



# NIEMOPEN

## PGB Kickoff (Plenary Session)

20 October 2022

Ms. Katherine Escobar  
Joint Staff J6, DDC5I, Data & Standards  
NIEM Managing Director

# CALL TO ORDER



**Ms. Katherine Escobar**  
**PGB Chair**

Deputy Division Chief, Data  
and Standards Division at  
Joint Staff J6 and NIEM  
Managing Director

- **Welcome**
- **Admin**

# VENUE

## PGB Plenary

- Thursday, 20 October 22
- Live & Virtual
  - Location: GTRI Rosslyn, VA Facility, 1700 N Moore Street, Unit 1900, Arlington VA 22209
  - Virtual: MS TEAMS
- Plenary Session 2:15 – 3 PM

## Plenary Session

Microsoft Teams meeting

**Join on your computer, mobile app or room device**

[Click here to join the meeting](#)

**Or call in (audio only)**

[+1 410-874-6749,,445659992#](#) United States, Baltimore

Phone Conference ID: 445 659 992#

# MAKING THE MOST OF OUR SESSION

## *Virtual Meeting Etiquette*

### PLEASE:

- **MUTE** your mic when you're not talking
- Identify yourself before you start to speak
- **Speak** clearly
- **Disable** "call waiting" feature  
(the clicking noise can be heard by all)

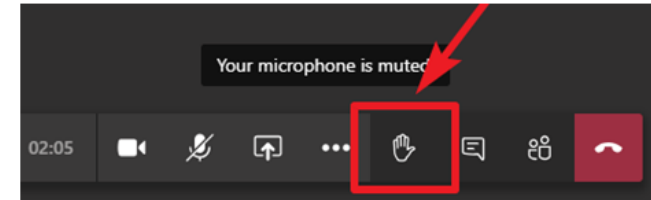
**Please note:** ESC sessions are audio recorded.

Meeting Minutes will be published and distributed to participants.

### QUESTIONS & ANSWERS ARE ENCOURAGED!

To signal you want to contribute without interrupting the speaker

- Enter comments via **CHAT window** at any time



To signal a question or respond to a question

- Click on '**Raise your hand**' button on meeting toolbar

(Lower hand after you've talked by clicking hand button again)

**Presenters, please be mindful of timing**

# PGB PLENARY SESSION (2:15 - 3 PM) DRAFT AGENDA

- Call to Order (2:15 PM)
- Welcome from PGB Chair
- Introductions
- Welcome from OASIS Staff (Mr. Chet Ensign & Ms. Dee Schur)
- Transition Process & Progress
  - NIEMOpen Timeline Status
  - Governance
  - Transition Progress
  - Sponsorship
- Summary of NIEMOpen Charter & TSC Governance Documents
- TSC Chair/Co-Chairs
- Review of Decisions
- Sponsorship (Ms. Dee Schur)
- Resources
- “Best-of-NIEM” Awards
- Q & A & Adjourn

# ROLL CALL & INTRODUCTIONS

## PGB VOTING MEMBERS Founding Sponsor Organizations



**Mr. Phil Mattson**

Senior Executive Standards Advisor  
Systems Engineering & Standards  
Division, Science and Technology  
Directorate, US Department of  
Homeland Security



**Ms. Katherine Escobar**

Deputy Division Chief, Data and  
Standards Division at Joint Staff  
J6 and NIEM Managing  
Director



**Mr. Gary Egner**

Director Business  
Development, Equivant



**Mr. John Wandelt**

Principal Research scientist,  
Georgia Tech Research  
Institute



**Mr. Tom Welsh**



Think Evilily, Act Ethically  
**sFractal Consulting LLC**  
the closer you look, the more you see

**Mr. Duncan Sparrell**



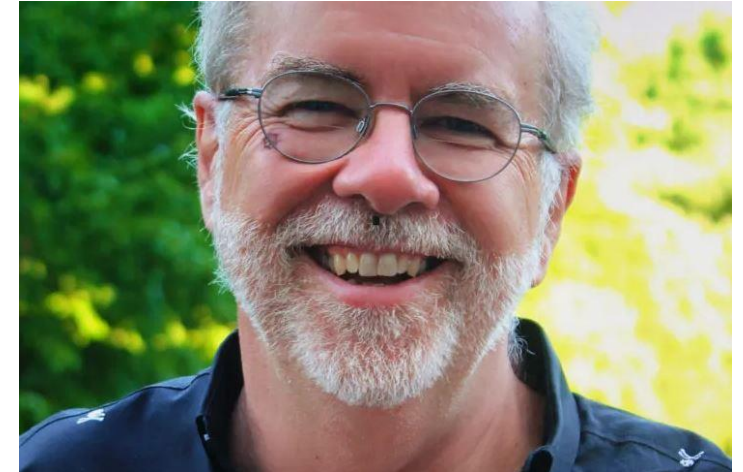
# WELCOME FROM OASIS STAFF



Ms. Carol Geyer  
OASIS OPEN Chief Development  
Officer



Ms. Dee Schur  
OASIS OPEN Senior Manager,  
Development & Advocacy



Mr. Chet Ensign  
OASIS OPEN Senior Manager,  
Development & Advocacy

# TRANSITION PROCESS & PROGRESS



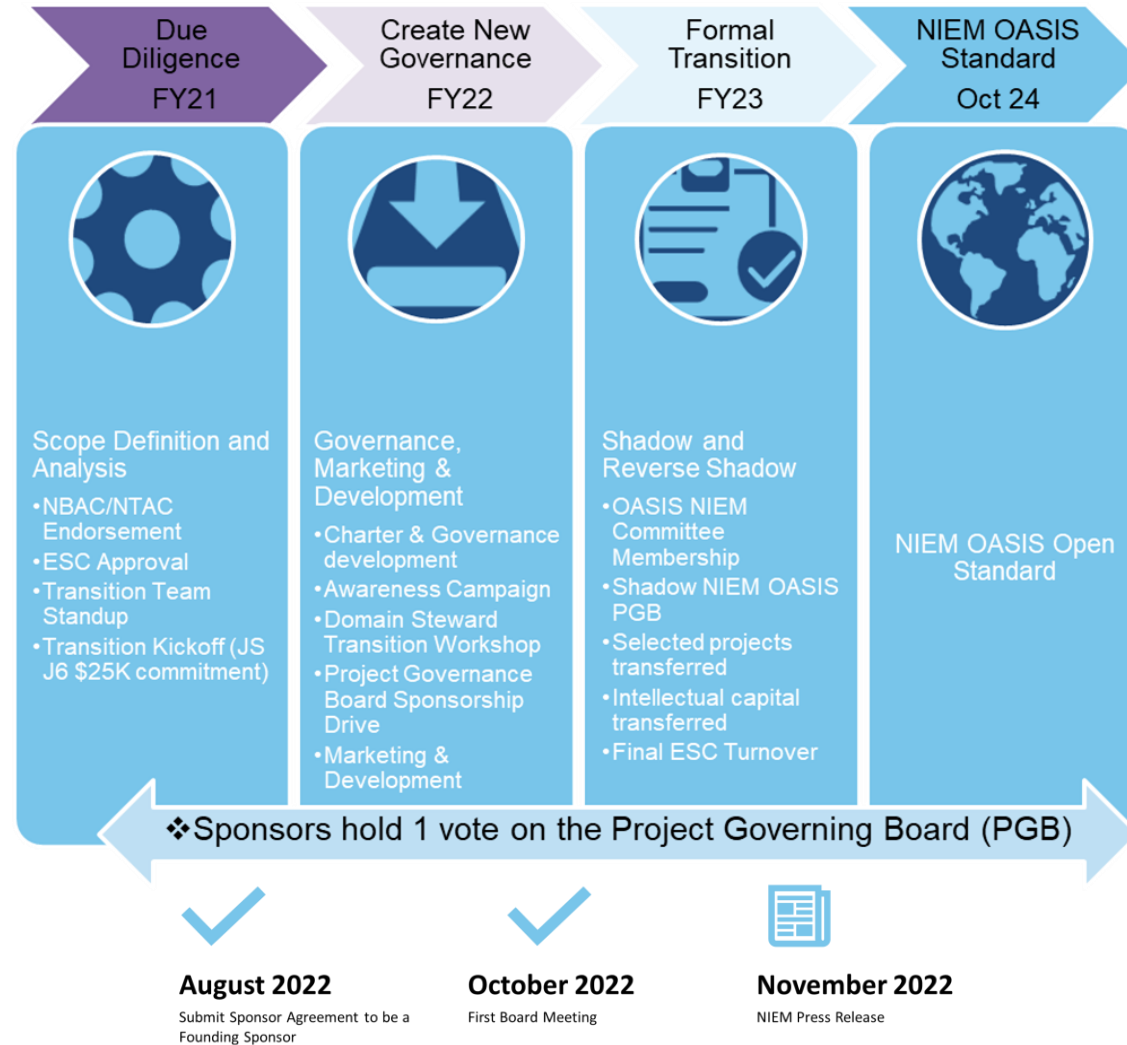
**Ms. Katherine Escobar**  
**PGB Chair**

Deputy Division Chief, Data  
and Standards Division at  
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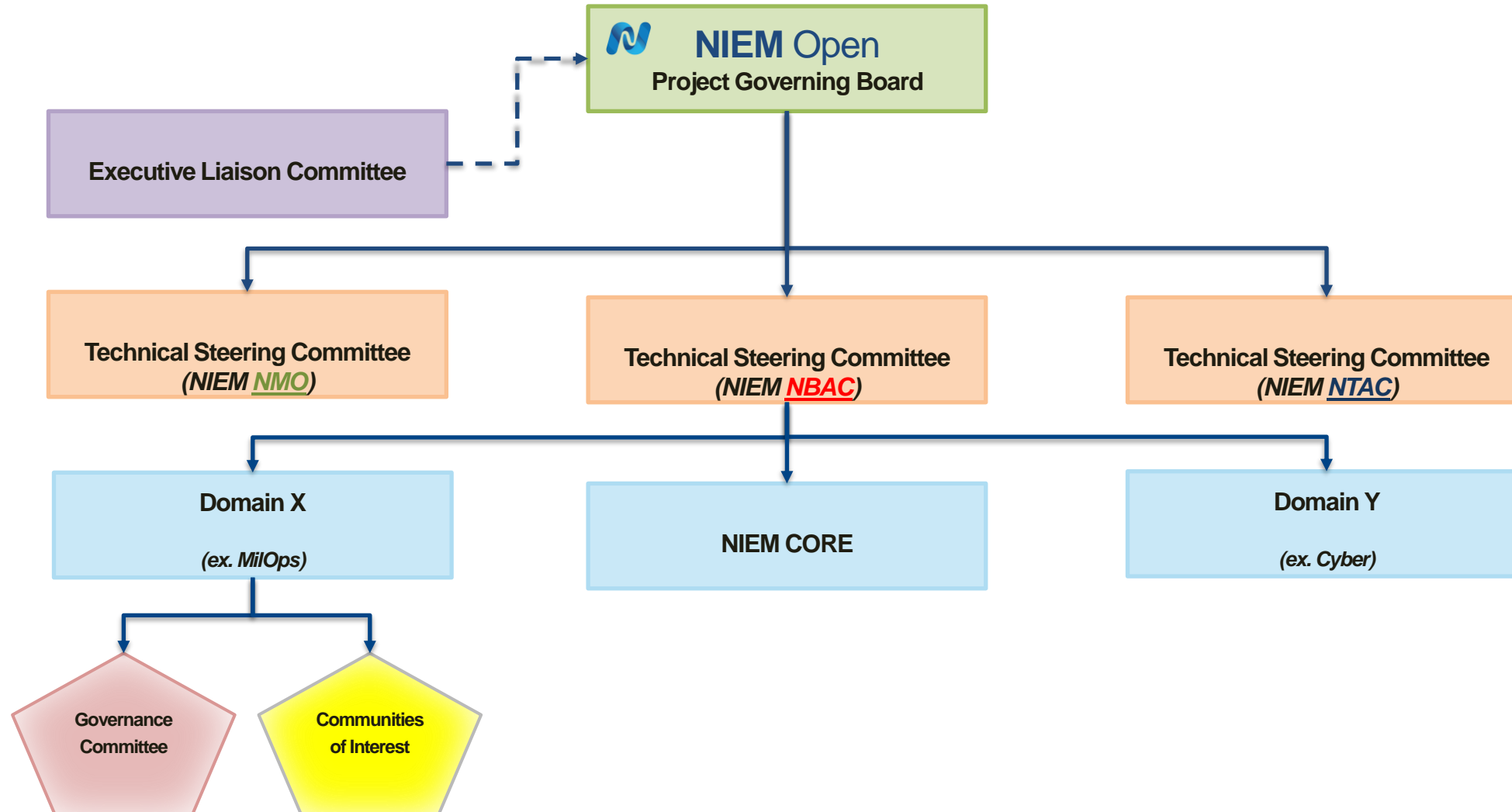


# NIEM OPEN TIMELINE STATUS

- Phased approach Open Standard – 24 months
  - Phase 1 (12 months): Preparation (Governing Documentation and Sponsor Commitments)
  - Phase 2 (12 month): Formal Transition to OASIS (NMO)



# TRANSITION TO OASIS OPEN PROJECT/GOVERNANCE



# NIEM OPEN TRANSITION PROGRESS



## Complete

- ✓ Draft POA&M
- ✓ Draft and publish NIEM Open Charter
- ✓ J6 Sponsorship
- ✓ Transfer NIEM community Rosters/email distros
- ✓ Conduct First Sponsor Kickoff Mtg
- ✓ OASIS Admin Privileges
  - Web Presence (NIEMOpen.org)
  - Jira/Confluence

## In Progress

- Identify Potential Sponsors/Conduct Outreach
- Revising NBAC & NTAC Charter, Domain Steward Agreements
- Populating OASIS Jira & Confluence Site
- Updating NIEM Branding
- Build Out NIEMOpen.org
- Weekly Synch w/ OASIS Staff
- Assessing NIEM Model & NIEM GTRI GitHub Capital/Equities & Planning Transfer to OASIS
- Researching OASIS Release Process and Planning 1<sup>st</sup> Release Under OASIS
- TRANSITION ESC TO PGB ADVISORY BODY 20 OCT 22
- KICKOFF PGB 20 OCT 22

## To Be Accomplished

- Obtain contributor license agreements (CLAs/e-CLAs)
- Physically Transfer NIEM Capital/Equities (Model, Specifications, Documentation) to OASIS
- Implement “New” development and release processes (lead developer actions)
- Submit NIEM Project Specifications for approval as OASIS Standard
  - NIEM Model
  - NIEM Technical Framework

# NIEM OPEN SPONSORSHIP

- Founding sponsors
  - JS J6
  - DHS S&T
  - Equivant
  - GTRI
  - sFractal
  - NAJIS
- On the Cusp
  - Earnst & Young
  - MITRE
  - DOT
- Expressed Interest
  - Government of Canada
  - DHS OBIM
  - DHS CISA
  - MICROSOFT



# GOVERNANCE DOCS, TSC CHAIRS, & PGB DECISIONS



**Ms. Katherine Escobar**  
**PGB Chair**

Deputy Division Chief, Data  
and Standards Division at  
Joint Staff J6 and NIEM  
Managing Director

# SUMMARY OF CHARTER & TSC GOVERNANCE DOCUMENTS

- NIEMOpen Charter
- NIEM Technical Architecture Committee (NTAC) Governance Document
- NIEM Business Architecture Committee (NBAC) Governance Document
- NIEM Management Office (NMO) TSC Governance Document



<https://github.com/niemopen/oasis-open-project>



# NBAC, NTAC, & NMO TSC CHAIR/CO-CHAIRS

## OPEN Project Rules:

### Technical Steering Committees:

- The PGB shall form a **Technical Steering Committee** (or **TSC**) by a resolution of the PGB.
- The PGB may form more than one TSC, in which case it must designate a name and a distinct scope of operation for each TSC.
- TSC members must have signed and submitted an individual CLA, and if appointed by an entity, that entity must have signed and submitted an entity CLA.

### PGB OUTCOME

TSC	TSC CHAIRS
NBAC TSC	Mr. Kamran Atri & Mr. Thomas Krul
NTAC TSC	Dr. Scott Renner & Mr. Jim Cabral
NMO TSC	Ms. Katherine Escobar & Ms. Beth Smalley



Mr. Kamran Atri



Mr. Thomas Krul



Dr. Scott Renner



Mr. Jim Cabral



Ms. Beth Smalley



Ms. Katherine Escobar

# DECISIONS: NIEM OPEN MAINTAINERS

## OPEN Project Rules:

7.2 The PGB shall ensure that there are one or more ***Maintainers*** to serve as the principal editor(s) of the Project's technical work managed within its Project Repositories. Maintainers shall exercise editorial responsibility over the contents of the Project's repositories, including by:

- (a) evaluating and responding to pull requests
- (b) designating main or recommended branches of each repository
- (c) designating deprecated branches or contributions.

Maintainers should act to carry out the technical consensus of the TSC, Contributors, and PGB, and may be removed by the PGB at any time, after notice to the Maintainer and the TSC, for failure to perform their functions as determined by the PGB. No contributed information or pull requests may be deleted from any Project Repository, due to the open nature of the Applicable Licenses and the Archival Permanence rules in [Section 9.2](#). The appointment of a Maintainer survives the closure of the PGB, and thereafter the remaining Maintainer(s) or the Open Projects Administrator may appoint additional or replacement Maintainers.

GitHub Repos	Primary	Alternates
NIEM Open	Ms. Christina Medlin & Mr. Aubrey Beach	Mr. Tom Carlson, Ms. Shunda Louis
NBAC TSC	Ms. Christina Medlin & Mr. Aubrey Beach	Mr. Tom Carlson, Ms. Shunda Louis, Mr. Steve Sullivan
NTAC TSC	Mr. Tom Carlson & Christina Medlin	Mr. Aubrey Beach, Ms. Shunda Louis, Dr. Scott Renner, Mr. Mike Douklias
NMO TSC	Mr. Aubrey Beach	Ms. Shunda Louis, Mr. Steve Sullivan, Mr. Mike Douklias



Ms. Christina Medlin



Mr. Aubrey Beach



Mr. Tom Carlson



# DECISIONS: TSC LIASONS TO PGB

We expect our newly formed TSCs to submit the following individuals as liaisons to the PGB.

TSC	Liaison (s)	Voting Member
NBAC TSC	NBAC Chair/Co-Chairs & Mr. Steve Sullivan	TBD
NTAC TSC	NTAC Chair/Co-Chairs & Mr. Mike Douklias	TBD
NMO TSC	Ms. Beth Smalley	YES



Ms. Beth Smalley

# NIEM OPEN SPONSORSHIP



**Ms. Dee Schur** – Senior Manager,  
Development & Advocacy

# The Ask

## Participation, sponsorship, and support



The main reason to sponsor NIEM is to ensure this work continues to expand and gain increased international recognition. Sponsor organizations will benefit by leveraging the freely available NIEM Standards and improve stakeholder's mission experience while saving time and resources.

# What will your organization gain by supporting NIEM?

Create partnerships and tap into brain trust of NIEM experts from public sector

Participate in the development of NIEM standards, ensuring your perspectives and use-cases are represented

Opportunity to become a steward with a seat on the NIEM Board

Unify fragmented efforts and encourage convergence

Determine if/when approved work should be submitted to ITU, ICC, UN, ISO, Etc....

Facilitate communication between private and public-sector

# What core services does OASIS provide?

Legal entity,  
management of  
trademarks and  
copyrights

Contributor License  
Agreement  
administration

Collaboration tool set:  
GitHub org, mailing  
group, calendar, roster,  
ballot, asynchronous  
chat, video meetings...

OASIS Standards  
process submission  
management

Submission of approved  
deliverables to ISO, IEC,  
ITU via OASIS liaison

Community  
management support

Assistance in writing  
charter, Code of  
Conduct, other policies

Governance  
administration and  
support

Support for project  
assets such as website  
design, hosting, site  
maintenance, collateral  
and logo design

Press, promotion &  
outreach to attract  
developers and  
sponsors

Meeting planning and  
coordination

Free public access to  
deliverables in  
perpetuity

# SPONSOR REGISTRATION

- Open Project Sponsorship Registration: <https://www.oasis-open.org/join-2/#membership-dues-op>
- Points of Contact:
  - Dee Schur (Senior Manager, Development and Advocacy): [dee.schur@oasis-open.org](mailto:dee.schur@oasis-open.org)
  - Carol Geyer (Chief Development Officer): [carol.geyer@oasis-open.org](mailto:carol.geyer@oasis-open.org)
  - Irene Heller (Payment Submission POC): [irene.heller@oasis-open.org](mailto:irene.heller@oasis-open.org)

# RESOURCES & BEST OF NIEM AWARDS



**Ms. Katherine Escobar**  
**PGB Chair**

Deputy Division Chief, Data  
and Standards Division at  
Joint Staff J6 and NIEM  
Managing Director

# RESOURCES

- [OASIS Open - OASIS Open \(oasis-open.org\)](https://oasis-open.org)
- NIEMOpen.org: <http://NiemOpen.org>
- Git Repos
  - NIEMOpen: <https://github.com/niemopen/oasis-open-project>
  - NTAC TSC TBD: in-progress
  - NBAC TSC TBD: in-progress
  - NMO TSC TBD: in-progress
- NIEMOpen Slack Channel
- Mailing Lists
  - NIEMOpen: <https://lists.oasis-open-projects.org/g/niemopen>
  - NTAC TSC: <https://lists.oasis-open-projects.org/g/niemopen-ntactsc>
  - NBAC TSC: <https://lists.oasis-open-projects.org/g/niemopen-nbactsc>
  - NMO TSC: <https://lists.oasis-open-projects.org/g/niemopen-nmotsc>
  - SLTT Tiger Team: <https://lists.oasis-open-projects.org/g/niemopen-slitt>





# 2022 BEST OF NIEM™

## Best Implementation of NIEM

PRESENTED TO:

Bureau of Justice Assistance, Office of Justice Programs and  
U.S. Department of Justice

AWARDED FOR:



Upgrading the National Prescription Drug Monitoring  
Program's PMIX architecture, which uses NIEM 4.0 schema  
and allows for enhanced requestor identification and security  
of Electronic Health Record (EHR) exchange integrations.

# 2022 BEST OF NIEM™

## Improving Data Safeguarding using NIEM

PRESENTED TO:

NASA Armstrong Research Facility

AWARDED FOR:



Implementing the NIEM Controlled Unclassified Information (CUI) schema to assure that data residing in the ARMD Flight Data Portal at NASA is compliant with CUI Marking requirements for both document markings and portion markings, as required by Executive Order 13556.

# 2022 BEST OF NIEM™

## Advancing the Adoption of NIEM

PRESENTED TO:

Defense Information Systems Agency (DISA)

AWARDED FOR:

Producing high quality professional NIEM technical training videos that can be accessed on-demand, which allows more developers and implementers to learn how to use NIEM.



# ADJOURN

- Are there any questions
- Meeting Adjourned



# BACKUP