

# CSC 311 DESIGN AND ANALYSIS OF ALGORITHMS

## SESSION TOPICS

### Time Complexities for some general algorithms

Recurrence relations

Recurrences by substitution

Recursion tree method

Master Method

### Sorting

SelectionSort

QuickSort

MergeSort

Selection

## Time Complexities for some algorithms

$y \leftarrow x^3 + 10x - 20$   
1 1 1 1 1

assign; raise; add; multiply; subtract = 5  
operations;  $T(n) = 5 = \text{constant} = 1 = O(1)$

```
func add(x,y){  
    return x+y;  
}
```

→  $T(n)$

→ 1

So  $T(n) = 1 = \text{constant} = O(1)$

```
func sum(A,n){  
    s=0;  
    for i=1 to n{  
        s = s + A[i];  
    }  
    return s;  
}
```

→  $T(n)$

→ 1

→  $n+1$

→  $n$

1

$T(n) = 2n+3 = O(n)$ ; linear

## Time Complexities for some algorithms

<pre>func addMat(A,B,C){     for i=1 to n{         for j = 1 to n{             C[i,j]=A[i,j]+B[i,j];         }     } }</pre>	<p>→ <math>T(n)</math></p> <p>→ <math>n+1</math></p> <p>→ <math>n(n+1)</math></p> <p>→ <math>n*n=n^2</math></p> <p><math>T(n) = 2n^2+2n+1</math>; quadratic <math>= O(n^2)</math></p>
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<pre>func mulMat(A,B,C){     for i=1 to n{         for j = 1 to n{             C[i,j]=0             For k=1 to n{                 C[i,j]+=A[i,k]*B[k,j];             }         }     } }</pre>	<p>→ <math>T(n)</math></p> <p>→ <math>n+1</math></p> <p>→ <math>n(n+1)</math></p> <p>→ <math>n*n=n^2</math></p> <p>→ <math>n^2(n+1)</math></p> <p>→ <math>n*n*n=n^3</math></p> <p><math>T(n) = 2n^3 + 3n^2 + 2n + 1</math> Cubic <math>= O(n^3)</math></p>
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# Time Complexities for some algorithms

<b>func bsearch(A,x,n){</b>	→	<b>T(n)</b>
<b>i=1; j=n</b>	→	<b>1+1</b>
<b>while i&lt;j {</b>	→	<b>logn</b>
<b>mid = (i+j)/2;</b>	→	<b>logn</b>
<b>if (x&lt;A[mid]) j=mid-1</b>	→	<b>0.5logn</b>
<b>else if (x&gt;A[mid]) i=mid+1;</b>	→	<b>0.5logn</b>
<b>else return mid;</b>		
<b>}</b>		<b>T(n) = 3logn+2;</b>
<b>}</b>		<b>= O(logn)</b>
		<b>Logarithmic</b>

```
func give(n){
    if (n>0{
        print (n)
        give(n-1)
        give(n-1)
    }
}
```

**T(n)**

**1**

**T(n-1)**

**T(n-1)**

**T(n) = 2T(n-1) + 1**

**A recurrence relation, needs to be solved- will turn out to be exponential**

# Time Complexities for some algorithms

```
func rep1(n){  
    for (i=1;i<n;i++) {  
        print (I);  
    }  
}
```

→  $T(n)$   
→  $n+1$   
→  $n$   
 $T(n) = 2n+1;$   
 $O(n)$

```
func rep2(n){  
    for (i=1;i<n;i=i+2) {  
        print (I);  
    }  
}
```

→  $T(n)$   
→  $n/2$   
→  $n/2$   
 $T(n) = n$   
 $O(n)$

# Time Complexities for some algorithms

```
func rep3(n){  
    for (i=0;i<n;i++){  
        for (j=0;j<n;j++){  
            x=x*j;some statement  
        }  
    }  
}
```

→  $T(n)$   
→  $n+1$   
→  $n(n+1)$   
→  $n*n$   
 $T(n) = 2n^2 + 3n + 1;$   
 $O(n^2)$

```
func rep4(n){  
    for (i=0;i<n;i++){  
        for (j=0;j<i;j++){  
            x=x*j; // some statement  
        }  
    }  
}
```

→  $T(n)$   
trace i, j, no of j times  
To see that the total time  
is  $1+2+3..n = n(n+1)/2$   
 $O(n^2)$

# Time Complexities for some algorithms

```
func rep5(n){  
    p=0;  
    for (i=1;p<n;i++) {  
        p=p+i;  
    }  
}
```

→ T(n)  
Trace: i            p  
         1           0+1  
         2           1+2  
         k           1+2+..k  
p=k(k+1)/2; k=n<sup>1/2</sup> O(n<sup>1/2</sup>)

```
func rep6(n){  
    for (i=1;i<n;i=i*2 {  
        x=x*i;// some statement  
    }  
}
```

→ T(n)  
trace i, to see that total  
time is 2<sup>k</sup>, when n = 2<sup>k</sup>  
k=log<sub>2</sub>n  
O(log<sub>2</sub>n)

## Time Complexities for some algorithms

```
func rep7(n){  
    for (i=n;i>1;i=i/2) {  
        x=x+10;// some statement  
    }  
}
```

→ T(n)  
Trace: i  
n, n/2, n/2<sup>2</sup>, n/2<sup>3</sup>, n/2<sup>4</sup>,  
n/2<sup>5</sup>, .. n/2<sup>k</sup>  
for n/2<sup>k</sup> = 1, n = 2<sup>k</sup>  
k = log<sub>2</sub> n, O(log<sub>2</sub> n)

```
func rep8(n){  
    for (i=0;i<n;i++) {  
        x=x*i;// some statement  
    }  
    for (j=0;j<n;j++) {  
        x=x*i;// some statement  
    }  
}
```

→ T(n)

n

n

2n

O(n)



## Time Complexities for some algorithms

```
func rep9(n){  
    p=0;  
    for (i=1;i<n;i=i*2 {  
        p++;  
    }  
    for (j=0;j<p;j=j*2 {  
        x=x*i;// some statement  
    }  
}
```

→  $T(n)$

$p = \log n$

→  $\log p$  ie.  $\log \log n$

$O(\log \log n)$

### Summary

for (i=1;i<n;i++) ----  $O(n)$   
for (i=1;i<n;i=i+2 ) ----  $O(n)$   
for (i=1;i>n;i=i-- ) ----  $O(n)$   
for (i=1;i<n;i=i\*2 ) ----  $O(\log_2 n)$   
for (i=1;i<n;i=i\*3 ) ----  $O(\log_3 n)$   
for (i=1;i<n;i=i/2 ) ----  $O(\log_2 n)$

# RECURRENCE RELATIONS

## Recall

**Recurrence relation:** Is an equation that recursively defines a sequence or multidimensional array of values, once one or more initial terms are given;

Each further term of the sequence or array is defined as a function of the preceding terms.

## Example

$$F_n = g(n, f_{n-1}) \text{ for } n > 0 \text{ where } g: \mathbb{N} \times X \rightarrow X$$

is a function, where  $X$  is a set to which the elements of a sequence must belong. For any  $u_0 \in X$  this defines a unique sequence with  $u_0$  as its first element, called the initial value.

# RECURRENCE RELATIONS

## Recall

**Factorial:** defined by the recurrence relation

$$n! = n (n - 1)! \text{ for } n > 0, \text{ and the initial condition } 0! = 1$$

**Logistic map:** An example of a recurrence relation is the

$x_{n+1} = r x_n (1 - x_n)$ , with a given constant  $r$ ; given the initial term  $x_0$  each subsequent term is determined by this relation.

**Fibonacci numbers:** a type of a homogeneous linear recurrence relation with constant coefficients, see below.

$F_n = F_{n-1} + F_{n-2}$  with initial conditions (seed values)  $F_0 = 0$ ,

$$F_1 = 1.$$

Solving a recurrence relation means obtaining a : a non-recursive function of  $n$ .

# RECURRENCE RELATIONS

## A little maths

### Definition again:

A recurrence relation is an equation that recursively defines a sequence where the next term is a function of the previous terms (Expressing  $F_n$  as some combination of  $F_i$  with  $i < n$ ).

### Examples:

Fibonacci series –  $F_n = F_{n-1} + F_{n-2}$  ;with  $F_0 = 0$ ;  $F_1 = 1$

Tower of Hanoi –  $F_n = 2F_{n-1} + 1$

# RECURRENCE RELATIONS

## A little maths

### Linear Recurrence Relations

A linear recurrence equation of degree  $k$  or order  $k$  is a recurrence equation which is in the format:

$$x_n = A_1 x_{n-1} + A_2 x_{n-2} + A_3 x_{n-3} + \dots + A_k x_{n-k}$$

( $A_n$  is a constant and  $A_k \neq 0$ ) on a sequence of numbers as a first-degree polynomial.

# RECURRENCE RELATIONS

## A little maths

### How to solve linear recurrence relation

Suppose, a two ordered linear recurrence relation is:

$$F_n = AF_{n-1} + BF_{n-2}, \text{ where } A \text{ and } B \text{ are real numbers.}$$

Rearrange:

$$F_n - AF_{n-1} - BF_{n-2} = 0$$

### Get characteristic Equation:

The characteristic equation for the above recurrence relation is :  $x^n - Ax^{n-1} - Bx^{n-2} = 0$ ; dividing everything by  $x^{n-2}$  we get:  $x^2 - Ax - B = 0$ ; a quadratic equation we can solve

Possibilities: same roots; distinct roots; **complex roots**

Distinct roots:  $(x-x_1)(x-x_2)=0$ , so  $F_n = ax_1^n + bx_2^n$  is the solution

Same roots:  $(x-x_1)^2 = 0$ , so  $F_n = ax_1^n + bx_1^n$  is the solution

# RECURRENCE RELATIONS

## Back to complexity

### Steps of recurrence relation

**Basic step:** also called initial or base condition; one or more constants that terminate recurrence

**Recursive steps:** generate new terms from earlier terms; get next sequence from preceding k values, ie  $f_{n-1}, f_{n-2}, f_{n-3}, \dots f_{n-k}$ .

For Fibonacci sequence we have:  $F_0, F_1, F_2, \dots,$

$$F_n = \begin{cases} 0 & \text{if } n=0 \\ 1 & \text{if } n=1 \\ F_{n-1} + F_{n-2} & \text{if } n \geq 2 \end{cases}$$

Similarly for the factorial:

$$n! = \begin{cases} 1 & \text{if } n=1 \\ n \cdot (n-1)! & \text{if } n > 1 \end{cases}$$

Weeks-4,5,6,7

# RECURRENCE RELATIONS

## Solving recurrence relations

### METHODS

There are four methods that can be used to solve the recurrence equation:

1. The Substitution Method (Guess the solution & verify by Induction)
2. Iteration Method (unrolling and summing)
3. The Recursion-tree method
4. Master method



# RECURRENCE RELATIONS

## Solving recurrence relations

### The Substitution Method

In this method one guesses a bound and applies mathematical induction to prove that the guess is correct.

#### Steps

**Step1: Guess the form of the Solution.**

**Step2: Use Mathematical Induction to prove the correctness of the guess.**

#### Example 1

**Solve the following recurrence by using substitution method.**

$$T(n) = 2T(n/2) + n$$

# RECURRENCE RELATIONS

## Solving recurrence relations

### The Substitution Method

#### Example 1 continues

Solve the following recurrence by using substitution method.

$$T(n) = 2T(n/2) + n$$

#### Step1- guess

Due to  $n/2$  it is suggestive of  $n \log$ , so guess  $T(n) = O(n \log n)$

ie.  $T(n) \leq c * n \log n$

#### Step2- mathematical induction

Apply mathematical Induction to prove the guess.

#### Base cases:

Let  $n=1$ : Given that  $T(1) = 1$ , we find that  $T(1) \leq c * 1.0 = 0$  that leads to a contradiction;

# RECURRENCE RELATIONS

## Solving recurrence relations

### The Substitution Method

#### Example 1 continues

Solve the following recurrence by using substitution method.

$$T(n) = 2T(n/2) + n$$

#### Step2- mathematical induction

##### Base cases:

Let  $n=1$ : Given that  $T(1) = 1$ , we find that  $T(1) \leq c \cdot 1 \cdot \log 1 = 0$  that leads to a contradiction;

Let  $n=2$ ;  $T(2) \leq c \cdot 2 \log 2 = c \cdot 2$ ;

from the equation  $T(2) = T(2/2) + 2 = T(1) + 2 = 0 + 2 = 2 \leq c \cdot 2$  from above.

##### Induction step

Assume true for  $n = n/2$ ; so  $T(n/2) \leq c \cdot (n/2) \log(n/2)$  holds

# RECURRENCE RELATIONS

## Solving recurrence relations

### The Substitution Method

#### Example 1 continues

Solve the following recurrence by using substitution method.

$$T(n) = 2T(n/2) + n$$

Step2- mathematical induction

**Induction step:** Assume true for  $n = n/2$ ; so  $T(n/2) \leq c \cdot (n/2) \log(n/2)$  holds

Prove that it holds for  $n$ : that is  $T(n) \leq c \cdot n \log n$

$$\text{But } T(n) \leq 2T(n/2) + n \leq 2(c \cdot (n/2) \log(n/2)) + n$$

$$\leq cn \log(n/2) + n \leq cn \log n - cn \log 2 + n \leq cn \log n - cn + n$$

$$\leq cn \log n \text{ for every } c \geq 1; \text{ So by induction } T(n) = O(n \log n)$$

**Drawback of the method:** coming up with the correct guess is not generally easy

# RECURRENCE RELATIONS

## Solving recurrence relations : METHODS- 2

### ITERATION METHOD

The given recurrence is substituted back to itself several times

#### Steps

- Expand the recurrence through substitution
- Express the expansion as a summation by plugging the recurrence back into itself seeking a pattern.
- Work out the total sum based on arithmetic or geometric series.
- Example 2.1:  $T(n) = b$ , if  $n = 1$ , else  $T(n) = c + T(n-1)$  if  $n > 1$
- **Solution**
- $T(1) = b$  as given and  $T(n) = c + T(n-1)$ , also given
- At  $n-1$  we have  $T(n-1) = c + (c + T(n-2)) = 2c + T(n-2)$
- At  $n-2$  we have  $T(n-2) = 2c + c + T(n-3) = 3c + T(n-3) \dots\dots\dots$
- At  $n-k$  we have  $T(n-k) = c.k + T(n-k) = c.k + T(1) = nc - c + b = O(n)$  where for  $k=n-1$

# RECURRENCE RELATIONS

## Solving recurrence relations : METHODS- 2

### ITERATION METHOD

**Example 2.2:**  $T(n) = a$ , if  $n = 1$ , else  $T(n) = T(n/2) + n$

- **Solution**

- $T(n) = n + T(n/2)$
- $T(n/2)$ : we have  $n + n/2 + T(n/4)$
- $T(n/4)$ : we have  $n + n/2 + n/4 + T(n/8) \dots\dots\dots$
- $T(n/2^k)$ : we have  $n + n/2 + n/4 + n/8 + \dots + n/(2^{k-1}) + T(n/2^k)$
- At the end:  $T(n/2^k) = T(1)$ , so  $n/2^k = 1$ ,  $k = \log_2 n$
- We have geometric series:
- $n + n/2 + n/4 + n/8 + \dots + n/2^{k-1} + T(1) = n + n/2 + n/4 + n/8 + \dots + n/2^{k-1} + b$
- $= n(1 - (1/2)^{\log_2 n}) / (1 - (1/2)) = 2n(1 - n^{\log_2 1 - \log_2 2}) = 2n(1 - n^{0-1}) = 2n(1 - (1/n))$
- $= 2n - 2 = O(n)$

# RECURRENCE RELATIONS

## Solving recurrence relations

### METHODS-3: The Recursion-tree method

A tree is used to trace the steps iteratively and visually; it is very convenient. Recurrence is examined until boundary conditions are reached.

General:  $T(n) = aT(n/b) + f(n)$ ; place  $f(n)$  at the root, spread  $T(n/b)$   $a$  times are children

**Example 1:** solve  $T(n) = 2T(n/2) + n$

Place the  $n$  at the root; for simplicity replace  $T(n/2)$  by  $n/2$

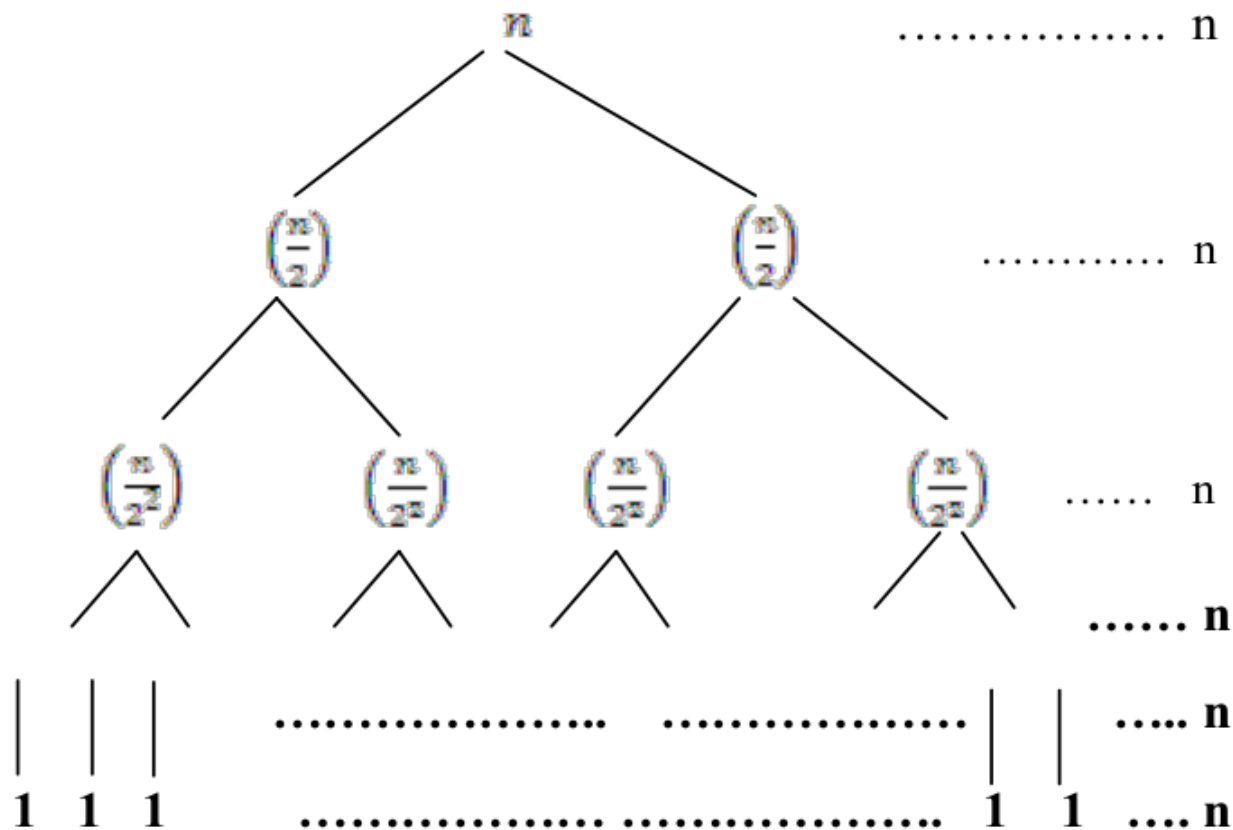
# RECURRENCE RELATIONS

## Solving recurrence relations

### METHODS-3: The Recursion-tree method

**Example 1:** solve  $T(n) = 2T(n/2) + n$

Place the  $n$  at the root; for simplicity replace  $T(n/2)$  by  $n/2$





# RECURRENCE RELATIONS

## Solving recurrence relations

### METHODS-3: The Recursion-tree method

**Example 1:** solve  $T(n) = 2T(n/2) + n$

The level costs each add to  $n$ ; total cost is therefore  $n + n + \dots + n$

The sequence:

$n, n/2, n/(2^2), n/(2^3), \dots, n/(2^k)$

Last level = 1, so  $n/(2^k) = 1$

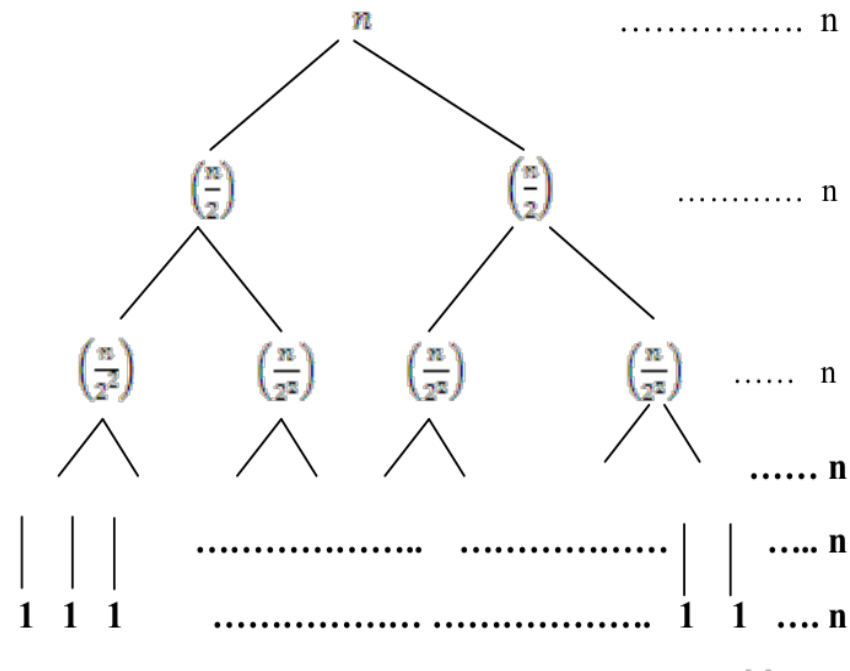
So  $n = 2^k$ , so  $k = \log_2 n$

Total time requirement  
estimate:

$n + n + n + \dots = nk$  terms

$n + n + n + \dots = n(\log_2 n)$  terms

So  $T(n) = O(n \log_2 n)$

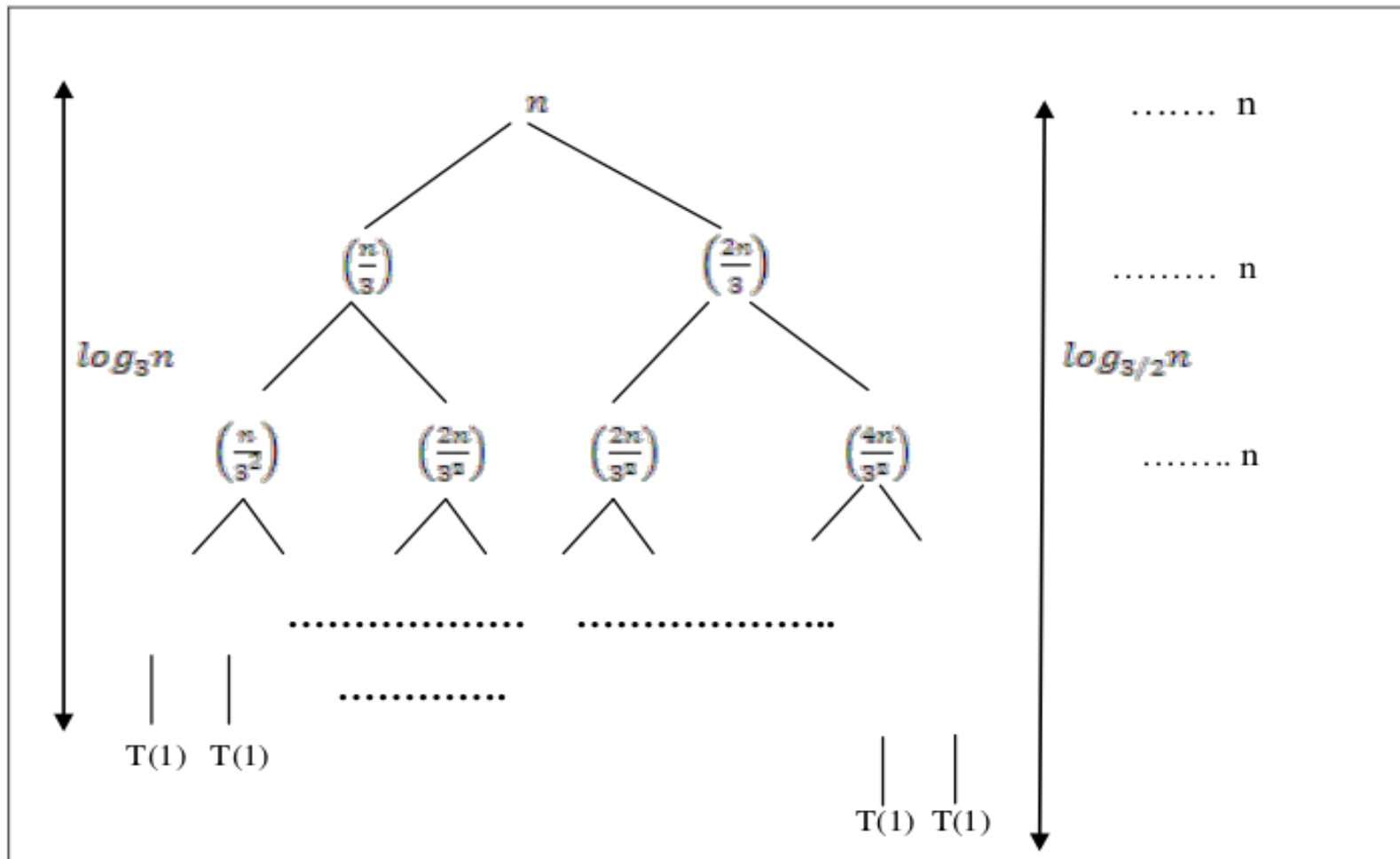


# RECURRENCE RELATIONS

## Solving recurrence relations

### METHODS-3: The Recursion-tree method

**Example 2:** solve  $T(n) = T(n/3) + T(2n/3) + n$



# RECURRENCE RELATIONS

## Solving recurrence relations

### METHODS-3: The Recursion-tree method

**Example 2:** solve  $T(n) = T(n/3) + T(2n/3) + n$

**The sequence:**

$n, (2/3)n, (2/3)^2n, (2/3)^3n, \dots, 1$

So  $(2/3)^k = 1$ , so  $k = \log_{(3/2)} n$ ,  $k$  is the height of the tree

**Total time estimate:**

$n + n + n + \dots + n = n(k \text{ times}) = n(\log_{(3/2)} n \text{ times})$

But  $n(\log_{(3/2)} n) = (n \log_2 n) / (\log_2(3/2)) = c \cdot n \log_2 n$

So  $T(n) = O(n \log_2 n)$

**For best case, take shortest path:**

$n, n/3, n/3^2, n/3^3, \dots, n/3^k$

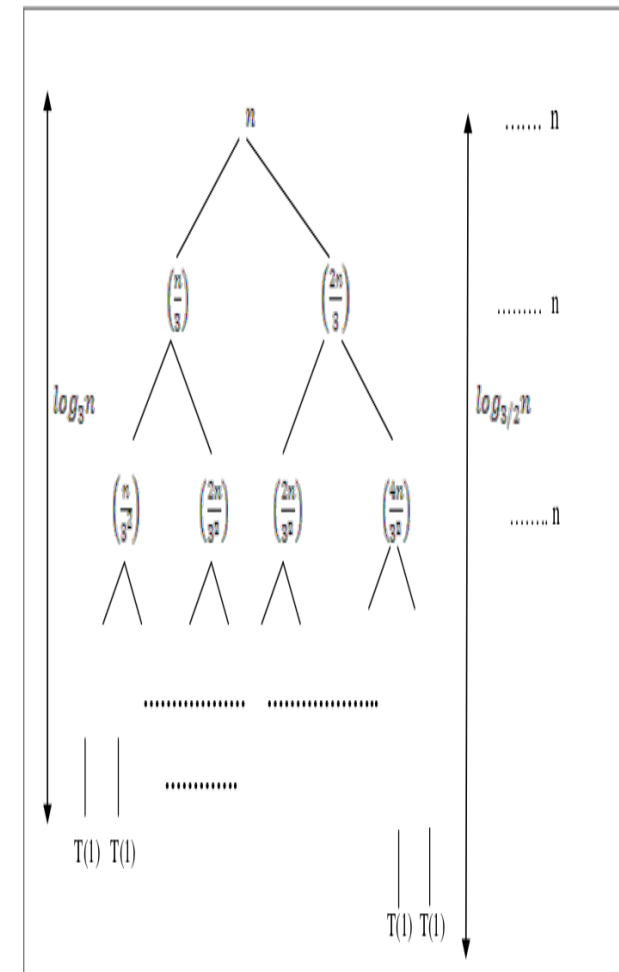
So  $n/3^k = 1$ ,  $k = \log_3 n$  which is the tree height

**Estimate:**

$n + n + n + \dots + n = n(k \text{ times}) = n(\log_3 n \text{ times})$

$\log_3 n = (\log_2 n) / (\log_2 3)$ , so  $T(n) = \Omega(n \log_2 n)$

So  $T(n) = \Theta(n \log_2 n)$ , since it both  $O$  and  $\Omega$  for the same order.

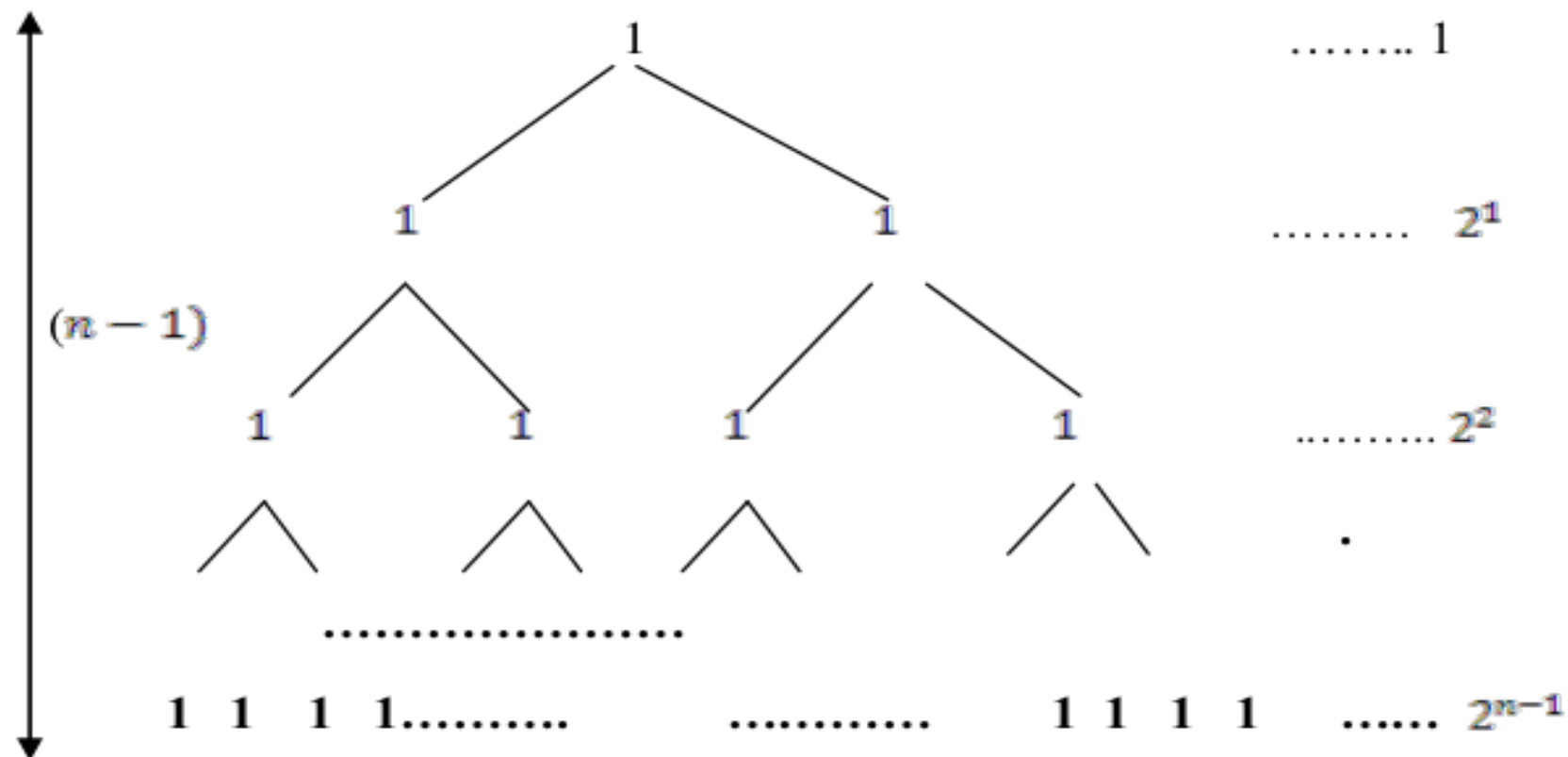


# RECURRENCE RELATIONS

## Solving recurrence relations

### METHODS-3: The Recursion-tree method

**Example 3:** solve  $T(n) = 2T(n-1) + 1$ ;  $T(1)=1$ ;  $T(2)=3$ ; Tower of Hanoi



# RECURRENCE RELATIONS

## Solving recurrence relations

### METHODS-3: The Recursion-tree method

**Example 3:** solve  $T(n) = 2T(n-1) + 1$ ;  $T(1)=1$ ;  $T(2)=3$ ; Tower of Hanoi

**Last level:**  $n-(n-1) = 1$ ; also corresponds to height of tree

**Total cost:**

$$T(n) = 1 + 2^1 + 2^2 + 2^3 + \dots + 2^{n-1} = 1(2^n - 1)/(2 - 1) = 2^n - 1$$

$$T(n) = O(2^n)$$

**Exercises- solve the following recurrence relations**

1)  $T(n) = 3T(n/2) + 1$ ; use iteration method

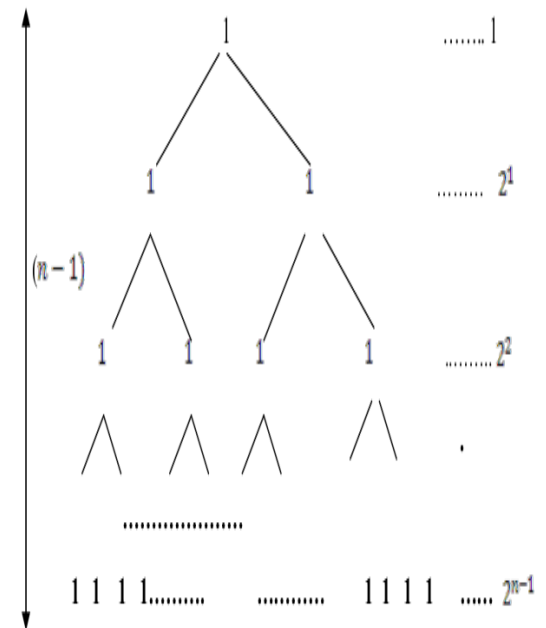
2)  $T(n) = 4T(n/2) + n$ ; use recursion tree method

3)  $T(n) = 3T(n/2) + n$ ; use recursion tree method

4)  $T(n) = 2T(n/2) + n^2$ ; use recursion tree method

5)  $T(n) = T(n/2) + T(n/4) + T(n/8) + n$ ; use recursion tree method

6) Write programs implementing factorial, fibonacci sequence and Tower of Hanoi and benchmark times for  $n=5, 10, 15$ .



# RECURRENCE RELATIONS

## Solving recurrence relations

### MASTER METHOD

**Asymptotically positive function:**  $f(n)$  for which there is some  $n_0$ , such that  $f(n) > 0$  for all  $n > n_0$

Types of problems solved:

$T(n) = aT(n/b) + f(n)$ , where  $a$ ,  $b$  are constants and  $a \geq 1$  and  $b > 1$ ,  $f(n)$  is asymptotically positive function

Note that there are  $a$  subproblems, each of size  $n/b$

Each  $a$  subproblem takes  $T(n/b)$  and is solved recursively

The function  $f(n)$  provides the cost of dividing and combining the subproblems

$n/b$  should be an integer, otherwise take the ceiling or the floor

$a$ , and  $b$  are natural numbers.

# RECURRENCE RELATIONS

## Solving recurrence relations

### MASTER METHOD

It is therefore a utility method for analyzing recurrence equations

It is used in many cases for divide and conquer algorithms

Format for recurrence relations:

$$T(n) = aT(n/b) + f(n)$$

Where:

$a$ ,  $b$  are constants and  $a \geq 1$  and  $b > 1$ ,

$n$  is the size of the current problem

$a$  is the number of subproblems in the recursion

$n/b$  is the size of the subproblems;  $n/b$  should be an integer, otherwise take the ceiling or the floor

$f(n)$  is the cost of work done outside recursive calls such as dividing and combining the subproblems

# RECURRENCE RELATIONS

## Solving recurrence relations

### MASTER METHOD

#### MASTER THEOREM

Let  $T(n) = aT(n/b) + f(n)$ , where  $a$ ,  $b$  are constants and  $a \geq 1$  and  $b > 1$ ,  $f(n)$  is asymptotically bounded function and  $b/n$  is a positive integer, otherwise its ceiling or floor is taken.

Then  $T(n)$  can be bounded asymptotically as follows:-

There are following three cases:

1. If  $f(n) = \Theta(n^c)$  where  $c < \log_b a$  then  $T(n) = \Theta(n^{\log_b a})$
2. If  $f(n) = \Theta(n^c)$  where  $c = \log_b a$  then  $T(n) = \Theta(n^c \log n)$
3. If  $f(n) = \Theta(n^c)$  where  $c > \log_b a$  then  $T(n) = \Theta(f(n))$

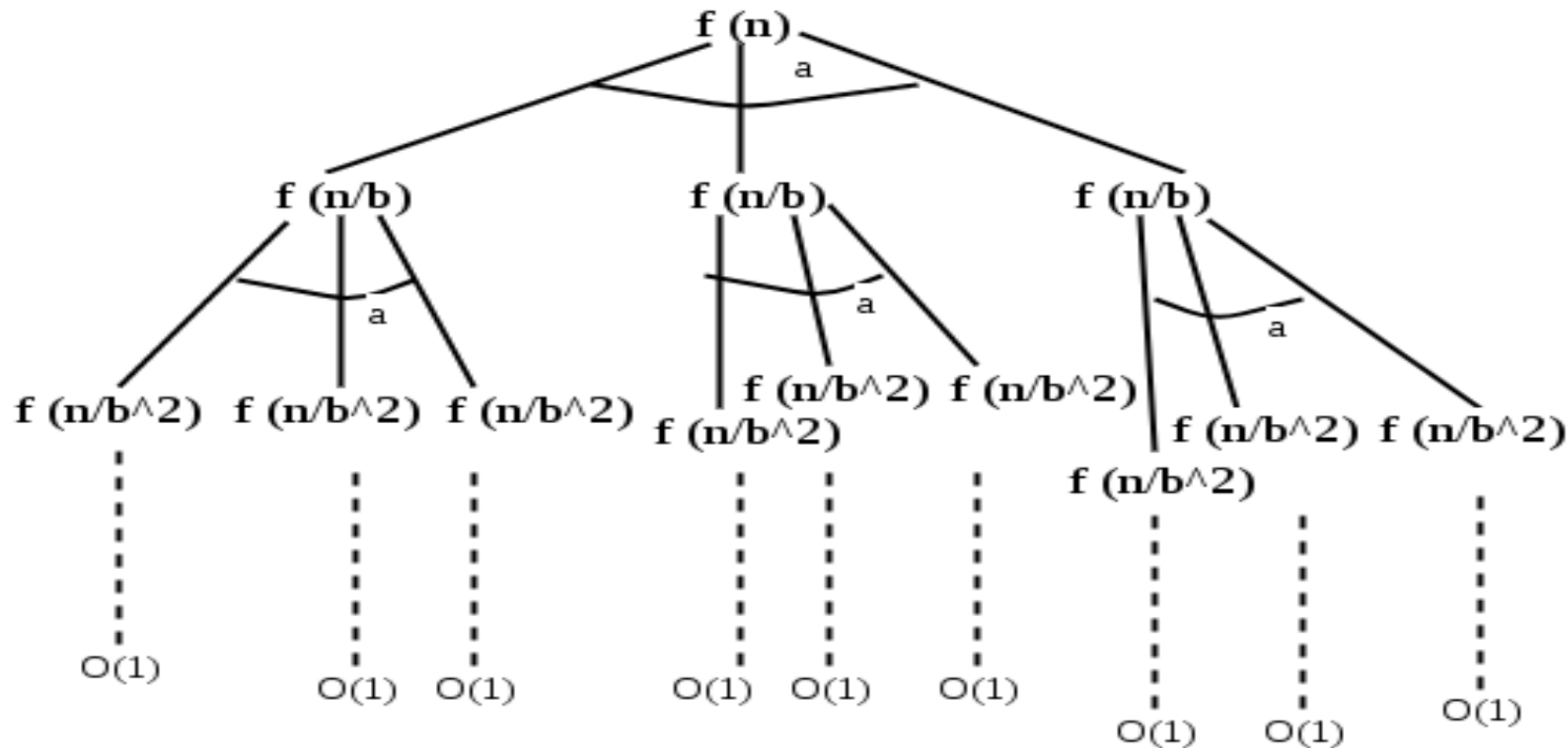


# RECURRENCE RELATIONS

## Solving recurrence relations

### MASTER THEOREM - three cases:

1. If  $f(n) = \Theta(n^c)$  where  $c < \log_b a$  then  $T(n) = \Theta(n^{\log_b a})$
2. If  $f(n) = \Theta(n^c)$  where  $c = \log_b a$  then  $T(n) = \Theta(n^c \log n)$
3. If  $f(n) = \Theta(n^c)$  where  $c > \log_b a$  then  $T(n) = \Theta(f(n))$



# RECURRENCE RELATIONS

## Solving recurrence relations: MASTER THEOREM

$T(n) = aT(n/b) + f(n)$ ,  $a \geq 1$  and  $b > 1$ ,  $f(n)$  is extra cost ;  $n/b$  is a positive integer (or floor or ceiling), (Version 2):-

There are 3 cases:

Case 1. The running time is dominated by the cost at the leaves:

If  $f(n) = O(n^{\log_b(a) - \epsilon})$ , then  $T(n) = \Theta(n^{\log_b(a)})$   
for an  $\epsilon > 0$

Case 2. The running time is evenly distributed throughout the tree:

If  $f(n) = \Theta(n^{\log_b(a)})$ , then  $T(n) = \Theta(n^{\log_b(a)} \log(n))$

Case 3. The running time is dominated by the cost at the root:

If  $f(n) = \Omega(n^{\log_b(a) + \epsilon})$ , then  $T(n) = \Theta(f(n))$   
for an  $\epsilon > 0$

If  $f(n)$  satisfies the regularity condition:

$af(n/b) \leq cf(n)$  where  $c < 1$  (this always holds for polynomials)

Because of this condition, the Master Method cannot solve every recurrence of the given form.

# RECURRENCE RELATIONS

## Solving recurrence relations

### MASTER METHOD

#### MASTER THEOREM: Hint on Applying Master Theorem

$$T(n) = aT(n/b) + f(n)$$

1. Extract  $a$ ,  $b$  and  $f(n)$  from the given recurrence equation
2. Use values of  $a$ ,  $b$  to evaluate the value of  $n^{\log_b(a)}$
3. Compare  $f(n)$  and what you got in 2 above ie  $n^{\log_b(a)}$
4. Identify appropriate case for Master Theorem:

ie  $f(n) > n^{\log_b(a)}$  for case 1,

$f(n) = n^{\log_b(a)}$  for case 2      OR

$f(n) < n^{\log_b(a)}$  for case 3 provided  $af(n/b) < kf(n)$  for some  $k < 1$

# RECURRENCE RELATIONS

## Solving recurrence relations : MASTER METHOD: Version 2 cont

Let  $a \geq 1$  and  $b > 1$  be constants, let  $f(n)$  be a function, and let  $T(n)$  be defined on the nonnegative integers by the recurrence

$$T(n) = aT(n/b) + f(n),$$

where we interpret  $n/b$  to mean either  $\lfloor n/b \rfloor$  or  $\lceil n/b \rceil$ . Then  $T(n)$  has the following asymptotic bounds:

1. If  $f(n) = O(n^{\log_b a - \epsilon})$  for some constant  $\epsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$ .
2. If  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \lg n)$ .
3. If  $f(n) = \Omega(n^{\log_b a + \epsilon})$  for some constant  $\epsilon > 0$ , and if  $af(n/b) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$ . ■

# RECURRENCE RELATIONS

## Solving recurrence relations

### MASTER METHOD

#### MASTER THEOREM

**Example:** Let  $T(n) = 3T(n/4) + n \log n$ ;

Then  $a=3$ ,  $b=4$ ;  $f(n) = n \log n = n^{c>1}$

But  $w = \log_4 3 \approx 0.79$ ; so  $n^w = n^{0.79}$  this shows that  $c > \log_4 3$

So  $f(n) = n \log n = \Omega(n^{w+e})$ , where  $e \approx 0.21$ ;

apply case 3 [If  $f(n) = \Theta(n^c)$  where  $c > \log_b a$  then  $T(n) = \Theta(f(n))$ ];

So  $T(n) = \Theta(n \log n)$

**Exercise:** try for  $T(n) = 2T(n/2) + n \log n$  (\*\*\*\*\*)

# RECURRENCE RELATIONS

## Solving recurrence relations

### MASTER METHOD

### MASTER THEOREM

#### Examples

Given:  $T(n) = 2T(n/2) + n$

1. Extract:  $a=2$ ,  $b=2$  and  $f(n) = n=n^1$ , so  $c=1$
2. Evaluate the value of  $n^{(\log_b(a))}$  we have  $n^{(\log_2(2))} = n^1 = n$
3. Compare  $f(n)$  and what you got in 2 above ie  $n^{(\log_2(2))} = n^1 = n$
4. Identify appropriate case for Master Theorem: Same so Case 2

$$f(n) = n^{(\log_b(a))} \text{ for case 2}$$

Applying case 2 [If  $f(n) = \Theta(n^y)$  where  $y = \log_b a$  then  $T(n) = \Theta(n^y \log n)$ ] we have  $T(n) = \Theta(n^1 \log n) = \Theta(n \log n)$

# RECURRENCE RELATIONS

## Solving recurrence relations

### MASTER THEOREM: Examples

Given:  $T(n) = 9T(n/3) + n$

1. Extract:  $a=9$ ,  $b=3$  and  $f(n) = n$

2. Evaluate the value of  $n^{\log_b(a)}$  we have  $n^{\log_3(9)} = n^2$

3. Compare  $f(n)$  and what you got in 2 above ie  $n^{\log_2(2)} = n^2$

4. Identify appropriate case for Master Theorem:  $f(n)$  is less so  
Case 1

Applying case 1 [ If  $f(n) = \Theta(n^c)$  where  $c < \log_b a$  then  $T(n) = \Theta(n^{\log_b a})$ ] we have  $T(n) = \Theta(n^2)$

# RECURRENCE RELATIONS

## Solving recurrence relations

### MASTER THEOREM: Examples

Given:  $T(n) = 3T(n/4) + n\log n$

1. Extract:  $a=3$ ,  $b=4$  and  $f(n) = n\log n$

2. Evaluate the value of  $n^{\log_b(a)}$  we have  $n^{\log_4(3)} = n^{x < 1}$

3. Compare  $f(n)=n\log n$  with  $n^{\log_4(3)} = n^{x < 1}$

4. Identify appropriate case for Master Theorem:  $f(n)$  is larger so Case 3

Applying case 3 [ If  $f(n) = \Theta(n^c)$  where  $c > \log_b a$  then  $T(n) = \Theta(f(n))$ ] check  $af(n/b) \leq kf(n)$  for  $k < 1$ ; ie  $3(n/4)\log(n/4) \leq kf(n)$  that is true for  $k=3/4$ ; so we apply case 3 and have  $T(n) = \Theta(n\log n)$



# RECURRENCE RELATIONS

## Solving recurrence relations

### MASTER METHOD

**MASTER THEOREM** **Example:** Let  $T(n) = 9T(n/3) + n$ ;

Then  $a=9$ ,  $b=3$ ;  $f(n) = n=n^1$ ;  $c=1$ ;  $w=\log_3 9 = 2$ ;  $n^w = n^2$

So  $f(n) = O(n^{w-e})$ , where  $e=1$ ;

By case 1 [ If  $f(n) = \Theta(n^c)$  where  $c < \log_b a$  then  $T(n) = \Theta(n^{\log_b a})$  ],  $T(n) = \Theta(n^2)$

**Exercise:** try for  $T(n) = 8T(n/2) + 1000n^2$

### Example

Let  $T(n) = T(2n/3) + 1$ ;  $a=1$ ;  $b=3/2$ ;  $f(n) = 1 = n^c$ ,  $c=0$ ; where  $w=\log_{3/2} 1$

$\log_b a = \log_{(3/2)} 1 = 0$ ; Case 2 [If  $f(n) = \Theta(n^c)$  where  $c = \log_b a$  then  $T(n) = \Theta(n^c \log n)$ ]

But  $f(n) = \Theta(n^w)$ , where  $w=\log_3 2$ . So we have  $T(n) = \Theta(n^w \cdot \log n)$ , so  
so  $T(n) = \Theta(n^0 \cdot \log n)$ , as  $w=0$ ; then now  $T(n) = \Theta(\log n)$

# RECURRENCE RELATIONS

## Solving recurrence relations

### MASTER THEOREM

#### Example Case 1 Confirm the following

$$T(n) = 2T(n/2) + 1;$$

$$T(n) = \Theta(n^1)$$

$$T(n) = 4T(n/2) + 1;$$

$$T(n) = \Theta(n^2)$$

$$T(n) = 4T(n/2) + n^1;$$

$$T(n) = \Theta(n^2)$$

$$T(n) = 8T(n/2) + n^2;$$

$$T(n) = \Theta(n^3)$$

$$T(n) = 16T(n/2) + n^2;$$

$$T(n) = \Theta(n^4)$$

# RECURRENCE RELATIONS

## Solving recurrence relations

### MASTER THEOREM

#### Example Case 2 Confirm the following

$$T(n) = T(n/2) + 1;$$

$$T(n) = 2T(n/2) + n;$$

$$T(n) = 2T(n/2) + n \log n;$$

$$T(n) = 4T(n/2) + n^2;$$

$$T(n) = 4T(n/2) + (n \log n)^2;$$

$$T(n) = 2T(n/2) + n^{1/2} / (\log n);$$

$$T(n) = 2T(n/2) + n^{1/2} / (\log^2 n);$$

$$T(n) = \Theta(\log n)$$

$$T(n) = \Theta(n \log n)$$

$$T(n) = \Theta(n \log^2 n)$$

$$T(n) = \Theta((n^2 \log n))$$

$$T(n) = \Theta((n \log n)^2 \log n)$$

$$T(n) = \Theta(n \log \log n)$$

$$T(n) = \Theta(n)$$

# RECURRENCE RELATIONS

## Solving recurrence relations

### MASTER THEOREM

#### Example Case 3 Confirm the following

$$T(n) = T(n/2) + n^1;$$

$$T(n) = \Theta(n^1)$$

$$T(n) = 2T(n/2) + n^2;$$

$$T(n) = \Theta(n^2)$$

$$T(n) = 2T(n/2) + n^2 \log n;$$

$$T(n) = \Theta(n^2 \log n)$$

$$T(n) = 4T(n/2) + n^3 \log^2 n;$$

$$T(n) = \Theta(n^3 \log^2 n)$$

$$T(n) = 2T(n/2) + n^2 (\log n);$$

$$T(n) = \Theta(n^2)$$

# RECURRENCE RELATIONS

## Solving recurrence relations

### MASTER THEOREM

#### Inadmissible equations

The following equations cannot be solved using the master theorem:<sup>[2]</sup>

- $T(n) = 2^n T\left(\frac{n}{2}\right) + n^n$

$a$  is not a constant; the number of subproblems should be fixed

- $T(n) = 2T\left(\frac{n}{2}\right) + \frac{n}{\log n}$

non-polynomial difference between  $f(n)$  and  $n^{\log_b a}$  (see below)

- $T(n) = 0.5T\left(\frac{n}{2}\right) + n$

$a < 1$  cannot have less than one sub problem

- $T(n) = 64T\left(\frac{n}{8}\right) - n^2 \log n$

$f(n)$  which is the combination time is not positive

- $T(n) = T\left(\frac{n}{2}\right) + n(2 - \cos n)$  Case 3 regularity violation

# RECURRENCE RELATIONS

## Solving recurrence relations

### MASTER THEOREM EXERCISES

Use Master's Method to solve the following:

a.  $T(n) = 4T\left(\frac{n}{2}\right) + n$

b.  $T(n) = 4T\left(\frac{n}{2}\right) + n^2$

c.  $T(n) = 4T\left(\frac{n}{2}\right) + n^3$

d.  $T(n) = 2T\left(\frac{n}{2}\right) + n\sqrt{n}$

e.  $T(n) = 4T\left(\frac{n}{3}\right) + n^2$

f.  $T(n) = 8T\left(\frac{n}{2}\right) + 3n^2$

# RECURRENCE RELATIONS

## Solving recurrence relations

Solve the following recurrence relations

$$T(n) = T(n-1) + 5, n > 1, T(1) = 0$$

$$T(n) = 3T(n-1) \quad n > 1, T(1) = 4$$

$$T(n) = T(n-1) + n, n > 0, T(0) = 0$$

$$T(n) = T(n/2) + n, n > 1, T(1) = 1 \text{ (solve for } n = 2^k \text{)}$$

$$T(n) = T(n/3) + n, n > 1, T(1) = 1 \text{ (solve for } n = 3^k \text{)}$$

Set up a recursive algorithm based on  $2^n = 2^{n-1} + 2^{n-1}$

# **SORTING**

## **Selection Sort**

### **Process**

- **Scan the entire list to find its smallest element;**
- **Exchange it with the first element, putting the smallest element in its final position in the sorted list.**
- **Then we scan the list, starting with the second element,**
- **to find the smallest among the last  $n - 1$  elements and exchange it with the second element, putting the second smallest element in its final position.**
- **Repeat until all the elements are in their correct places.**



# SORTING

## Selection Sort

### Process

	89	45	68	90	29	34	<b>17</b>
17		45	68	90	<b>29</b>	34	89
17	29		68	90	45	<b>34</b>	89
17	29	34		90	<b>45</b>	68	89
17	29	34	45		90	<b>68</b>	89
17	29	34	45	68		90	<b>89</b>
17	29	34	45	68	89		90

# **SORTING**

## **Selection Sort**

### **Algorithm**

**SelectionSort( $A[0..n - 1]$ )**

**//Sorts a given array by selection sort**

**//Input: An array  $A[0..n - 1]$  of orderable elements**

**//Output: Array  $A[0..n - 1]$  sorted in nondecreasing order**

**for  $i \leftarrow 0$  to  $n - 2$  do**

**min  $\leftarrow i$**

**for  $j \leftarrow i + 1$  to  $n - 1$  do**

**if  $A[j] < A[\text{min}]$  min  $\leftarrow j$**

**Swap  $A[i]$  and  $A[\text{min}]$**

# SORTING

## Selection Sort

### Complexity

$$C(n) = \sum_{i=0}^{n-2} \sum_{j=i+1}^{n-1} 1 = \sum_{i=0}^{n-2} [(n-1) - (i+1) + 1] = \sum_{i=0}^{n-2} (n-1-i).$$

$$C(n) = \sum_{i=0}^{n-2} \sum_{j=i+1}^{n-1} 1 = \sum_{i=0}^{n-2} (n-1-i) = \frac{(n-1)n}{2}.$$

The time complexity of Selection sort is  $\Theta(n^2)$ , but key swaps is  $\Theta(n)$

**Exercise:** Write a program that implements and times selection sort runs. Keep experimental data on this

# **SORTING**

## **QuickSort**

- **An important sorting algorithm that is based on the divide-and-conquer algorithmic approach.**
- **It divides element according to their value, creating partitions.**
- **A partition is an arrangement of the array's elements so that all the elements to the left of some element  $A[s]$  are less than or equal to  $A[s]$ , and all the elements to the right of  $A[s]$  are greater than or equal to it.**

$$\underbrace{A[0] \dots A[s-1]}_{\text{all are } \leq A[s]} \quad A[s] \quad \underbrace{A[s+1] \dots A[n-1]}_{\text{all are } \geq A[s]}$$

# **SORTING**

## **QuickSort**

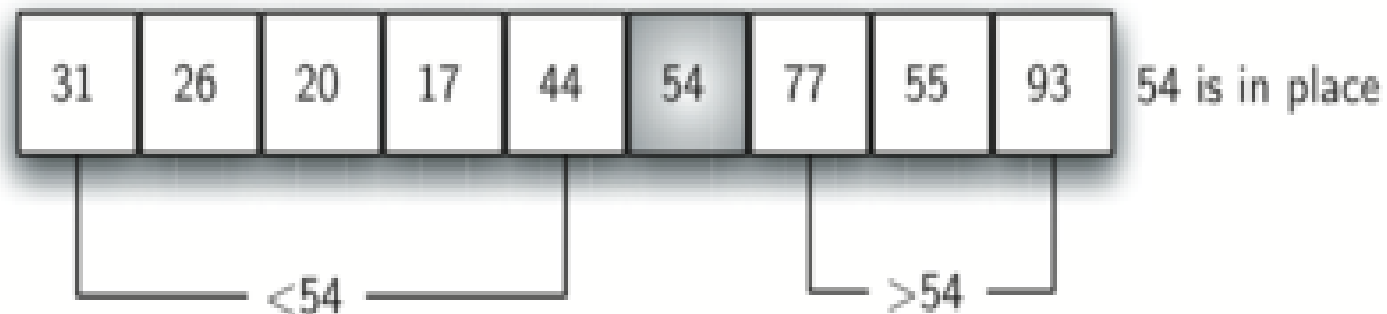
- **An important sorting algorithm that is based on the divide-and-conquer algorithmic approach.**
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- **A partition is an arrangement of the array's elements so that all the elements to the left of some element  $A[s]$  are less than or equal to  $A[s]$ , and all the elements to the right of  $A[s]$  are greater than or equal to it.**

$$\underbrace{A[0] \dots A[s-1]}_{\text{all are } \leq A[s]} \quad A[s] \quad \underbrace{A[s+1] \dots A[n-1]}_{\text{all are } \geq A[s]}$$

# SORTING

## QuickSort Process

$$\underbrace{A[0] \dots A[s-1]}_{\text{all are } \leq A[s]} \quad A[s] \quad \underbrace{A[s+1] \dots A[n-1]}_{\text{all are } \geq A[s]}$$



quicksort left half



quicksort right half

# SORTING

## QuickSort Process

$$\underbrace{A[0] \dots A[s-1]}_{\text{all are } \leq A[s]} \quad A[s] \quad \underbrace{A[s+1] \dots A[n-1]}_{\text{all are } \geq A[s]}$$

44	75	23	43	55	12	64	77	33
----	----	----	----	----	----	----	----	----

Original array—pivot is 44

23	12	43	33	44	75	55	64	77
----	----	----	----	----	----	----	----	----

Elements are sorted into less-than pivot values and greater-than pivot values

23	12	43	33	44	75	55	64	77
----	----	----	----	----	----	----	----	----

Split array into subarrays on either side of pivot value—pivot values are 23 and 75

23	12	43	33	75	55	64	77
----	----	----	----	----	----	----	----

Split subarrays and sort on pivot value

12	23	33	43	55	75	64	77
----	----	----	----	----	----	----	----

Sorted subarrays

12	23	33	43	55	75	64	77
----	----	----	----	----	----	----	----

Array after concentrating right side to left side

# **SORTING**

## **QuickSort Process**

### **Divide**

**Partition (rearrange) the array  $A[p \dots r]$  into two (possibly empty) subarrays  $A[p \dots q - 1]$  and  $A[q+1 \dots r]$  such that each element of  $A[p \dots q - 1]$  is less than or equal to  $A[q]$ , which is, in turn, less than or equal to each element of  $A[q + 1 \dots r]$ . Compute the index  $q$  as part of this partitioning procedure.**

### **Conquer**

**Sort the two subarrays  $A[p \dots q - 1]$  and  $A[q+1 \dots r]$  by recursive calls to quicksort**

### **Combine**

**Because the subarrays are already sorted, no work is needed to combine them: the entire array  $A[p \dots r]$  is now sorted.**



# **SORTING**

## **QuickSort Algorithm**

**Quicksort(A[l..r]):** //Sorts a subarray by quicksort

**//Input:** Subarray of array A[0..n – 1], defined by its left and right indices l and r

**//Output:** Subarray A[l..r] sorted in nondecreasing order

**if** l < r

    s ← Partition(A[l..r]) //s is a split position

    Quicksort(A[l..s – 1])

    Quicksort(A[s + 1..r])

**ALGORITHM Partition(A[l..r])**

**//Partitions by Hoare's algorithm, using the first element as a pivot**

**//Input:** Subarray of array A[0..n – 1], defined by its left and right indices l and r (l < r)

**//Output:** Partition of A[l..r], split position returned as this function's value

p ← A[l]

i ← l; j ← r + 1

**repeat**

**repeat** i ← i + 1 **until** A[i] ≥ p

**repeat** j ← j – 1 **until** A[j] ≤ p

        swap(A[i], A[j])

**until** i ≥ j

    swap(A[i], A[j]) //undo last swap when i ≥ j

    swap(A[l], A[j])

**return** j

# **SORTING**

## **QuickSort Algorithm**

```
procedure quickSort(left, right)

  if right-left <= 0
    return
  else
    pivot = A[right]
    partition = partitionFunc(left, right, pivot)
    quickSort(left,partition-1)
    quickSort(partition+1,right)
  end if

end procedure
```

# **SORTING**

## **QuickSort Algorithm Complexity**

### **Best**

$$T(n) = 2T(n/2) + n, \text{ for } n > 1, T(1) = 0$$

Using the Master Theorem,  $T(n) \in (n \log_2 n)$ ;

Solving it exactly for  $n = 2^k$  gives  $T(n) = n \log_2 n$ .

### **Worst**

$$T(n) = (n + 1) + n + \dots + 3 = [(n + 1)(n + 2))/2] - 3 \in \Theta(n^2)$$

### **Average**

$$T(n) = (1/n) \sum_{s=0}^{n-1} [(n + 1) + C \text{ avg}(s) + C \text{ avg}(n - 1 - s)] \text{ for } n > 1,$$

$$T(0) = 0, T(1) = 0$$

$$T(n) \approx 2n \ln n \approx 1.39n \log_2 n = \Theta(n \log_2 n)$$

# **SORTING**

## **QuickSort Algorithm Complexity**

### **Best**

$$T(n) = 2T(n/2) + n, \text{ for } n > 1, T(1) = 0$$

Using the Master Theorem,  $T(n) \in (n \log_2 n)$ ;

Solving it exactly for  $n = 2^k$  gives  $T(n) = n \log_2 n$ .

### **Worst**

$$T(n) = (n + 1) + n + \dots + 3 = [((n + 1)(n + 2))/2] - 3 \in \Theta(n^2)$$

### **Average**

$$T(n) = (1/n) \sum_{s=0}^{n-1} [(n + 1) + C \text{ avg}(s) + C \text{ avg}(n - 1 - s)] \text{ for } n > 1,$$

$$T(0) = 0, T(1) = 0$$

$$T(n) \approx 2n \ln n \approx 1.39n \log_2 n = \Theta(n \log_2 n)$$

**Exercise:** implement QuickSort and experiment on the timing with different input sets with numbers from 10, 50 and 500.

# **SORTING**

## **MergeSort**

- This is a good example of a successful application of the divide-and-conquer technique.
- It sorts a given array  $A[0 .. n-1]$  by dividing it into two halves  $A[0.. \lfloor n/2 \rfloor - 1]$  and  $A[\lfloor n/2 \rfloor .. n - 1]$ , sorting each of them recursively, and then merging the two smaller sorted arrays into a single sorted one.
- Mergesort is the method of choice for sorting linked lists and is therefore frequently used in functional and logical programming languages that have lists as their primary data structure.
- mergesort is basically optimal as far as the number of comparisons is concerned; so it is also a good choice if comparisons are expensive.

# **SORTING**

## **MergeSort**

- **Divide**

**Divide the  $n$ -element sequence to be sorted into two subsequences of  $n/2$  elements each.**

- **Conquer**

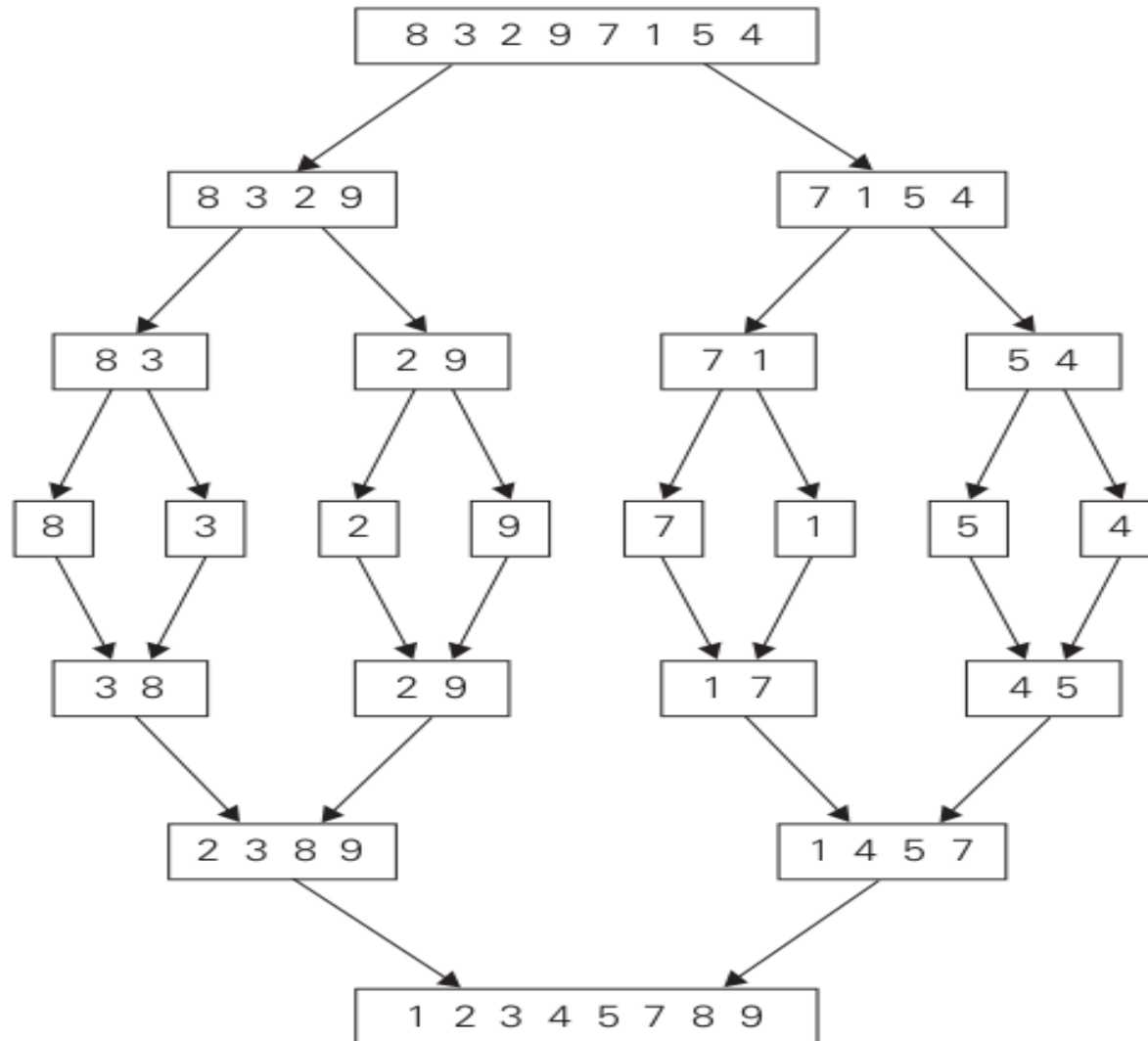
**Sort the two subsequences recursively using merge sort.**

### **Combine**

**Merge the two sorted subsequences to produce the sorted answer.**

# SORTING

## MergeSort Process



# SORTING

## MergeSort Process

### How MergeSort Algorithm Works Internally

1. Divide the array into two parts

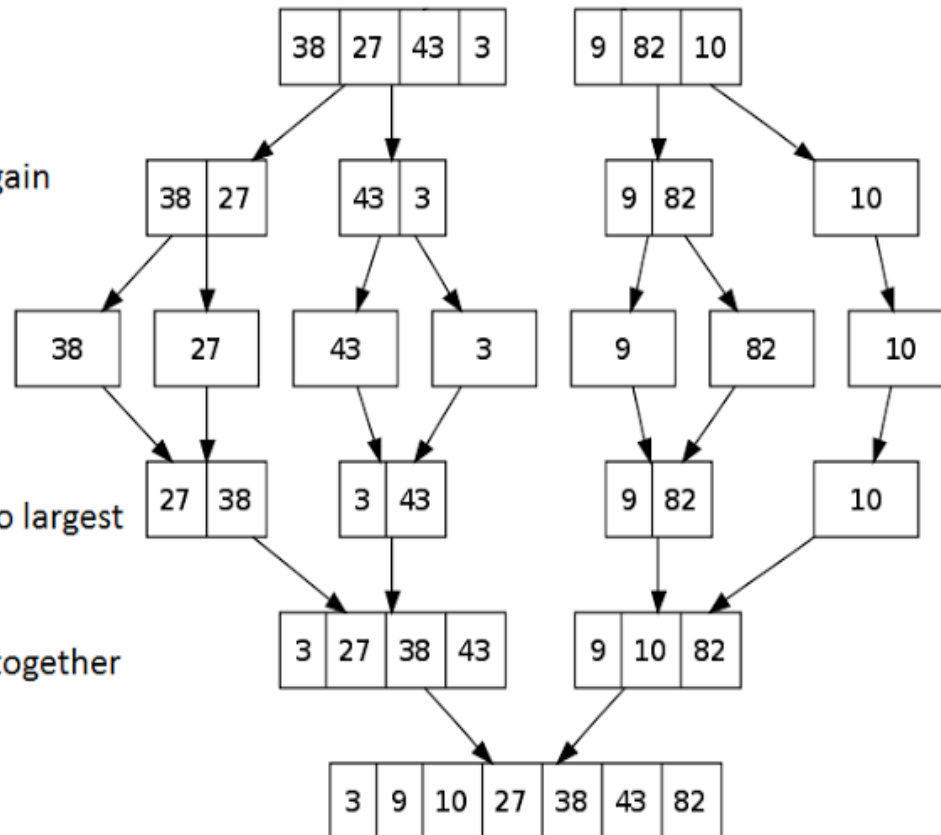
2. Divide the array into two parts again

3. Break each element into single parts

4. Sort the elements from smallest to largest

5. Merge the divided sorted arrays together

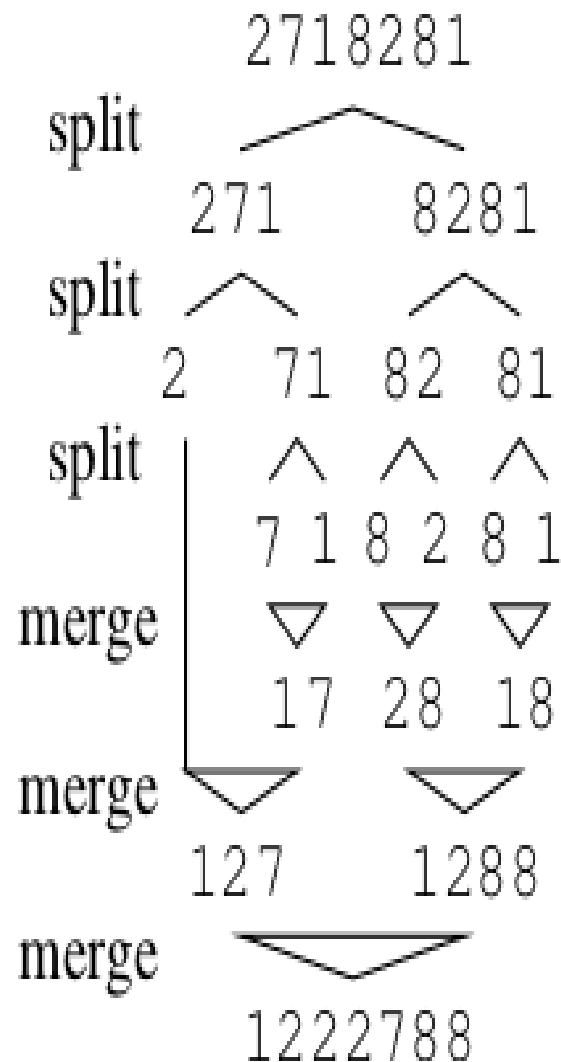
6. The array has been sorted





# SORTING

## MergeSort Process



<i>a</i>	<i>b</i>	<i>c</i>	operation
$\langle 1, 2, 7 \rangle$	$\langle 1, 2, 8, 8 \rangle$	$\langle \rangle$	move <i>a</i>
$\langle 2, 7 \rangle$	$\langle 1, 2, 8, 8 \rangle$	$\langle 1 \rangle$	move <i>b</i>
$\langle 2, 7 \rangle$	$\langle 2, 8, 8 \rangle$	$\langle 1, 1 \rangle$	move <i>a</i>
$\langle 7 \rangle$	$\langle 2, 8, 8 \rangle$	$\langle 1, 1, 2 \rangle$	move <i>b</i>
$\langle 7 \rangle$	$\langle 8, 8 \rangle$	$\langle 1, 1, 2, 2 \rangle$	move <i>a</i>
$\langle \rangle$	$\langle 8, 8 \rangle$	$\langle 1, 1, 2, 2, 7 \rangle$	move <i>a</i>
$\langle \rangle$	$\langle \rangle$	$\langle 1, 1, 2, 2, 7, 8, 8 \rangle$	concat <i>b</i>

# SORTING

## MergeSort Algorithm

**Function** *mergeSort*( $\langle e_1, \dots, e_n \rangle$ ) : *Sequence of Element*

**if**  $n = 1$  **then return**  $\langle e_1 \rangle$

**else return** *merge*(*mergeSort*( $e_1, \dots, e_{\lfloor n/2 \rfloor}$ ), *mergeSort*( $e_{\lfloor n/2 \rfloor + 1}, \dots, e_n$ ))

// merging two sequences represented as lists

**Function** *merge*( $a, b$  : *Sequence of Element*) : *Sequence of Element*

$c := \langle \rangle$

**loop**

**invariant**  $a, b$ , and  $c$  are sorted and  $\forall e \in c, e' \in a \cup b : e \leq e'$

**if**  $a.isEmpty$  **then**  $c.concat(b)$ ; **return**  $c$

**if**  $b.isEmpty$  **then**  $c.concat(a)$ ; **return**  $c$

**if**  $a.first \leq b.first$  **then**  $c.moveToBack(a.first)$

**else**  $c.moveToBack(b.first)$

# **SORTING**

## **MergeSort Algorithm**

**ALGORITHM Merge( $B[0..p - 1]$ ,  $C[0..q - 1]$ ,  $A[0..p + q - 1]$ )**

**//Merges two sorted arrays into one sorted array**

**//Input: Arrays  $B[0..p - 1]$  and  $C[0..q - 1]$  both sorted**

**//Output: Sorted array  $A[0..p + q - 1]$  of the elements of B and C**

**$i \leftarrow 0$ ;  $j \leftarrow 0$ ;  $k \leftarrow 0$**

**while  $i < p$  and  $j < q$  do**

**if  $B[i] \leq C[j]$**

**$A[k] \leftarrow B[i]$ ;  $i \leftarrow i + 1$**

**else  $A[k] \leftarrow C[j]$ ;  $j \leftarrow j + 1$**

**$k \leftarrow k + 1$**

**if  $i = p$**

**copy  $C[j..q - 1]$  to  $A[k..p + q - 1]$**

**else copy  $B[i..p - 1]$  to  $A[k..p + q - 1]$**

# **SORTING**

## **MergeSort Algorithm**

### **TIME COMPLEXITY**

#### **Divide**

The divide step just computes the middle of the subarray, which takes constant time. Thus  $D(n) = \Theta(1)$ .

**Conquer:** We recursively solve two subproblems, each of size  $n/2$ , which contributes  $2T(n/2)$  to the running time.

**Combine:** We have already noted that the MERGE procedure on an  $n$ -element subarray takes time  $\Theta(n)$  and so  $C(n) = \Theta(n)$

**The combine recurrence:**

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1, \\ 2T(n/2) + \Theta(n) & \text{if } n > 1. \end{cases}$$

# **SORTING**

## **MergeSort Algorithm**

### **TIME COMPLEXITY**

**The combine recurrence:**

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1, \\ 2T(n/2) + \Theta(n) & \text{if } n > 1. \end{cases}$$

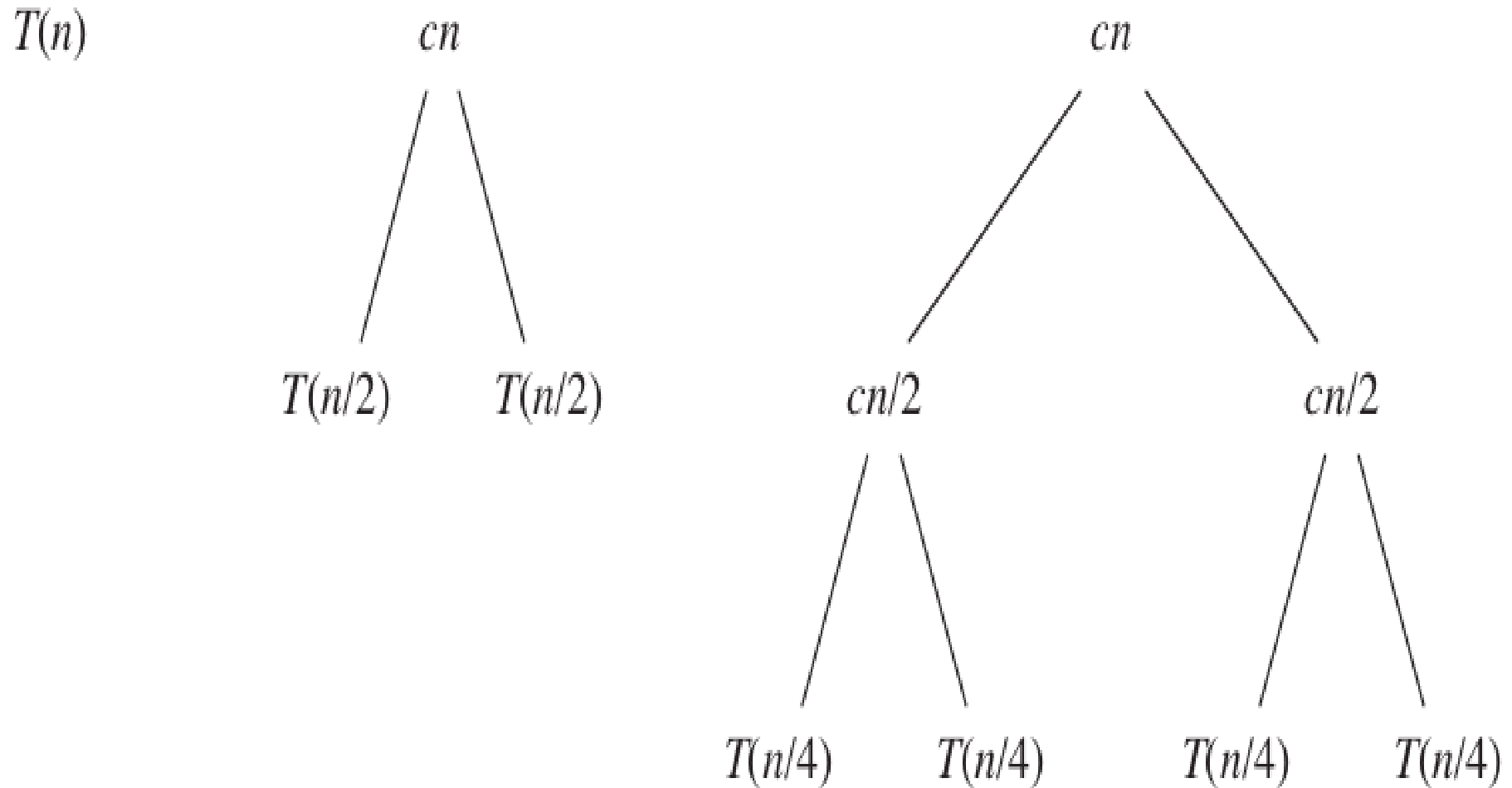
**Rewriting we have:**

$$T(n) = \begin{cases} c & \text{if } n = 1, \\ 2T(n/2) + cn & \text{if } n > 1, \end{cases}$$

**This can be solve using the recursion tree as shown below.**

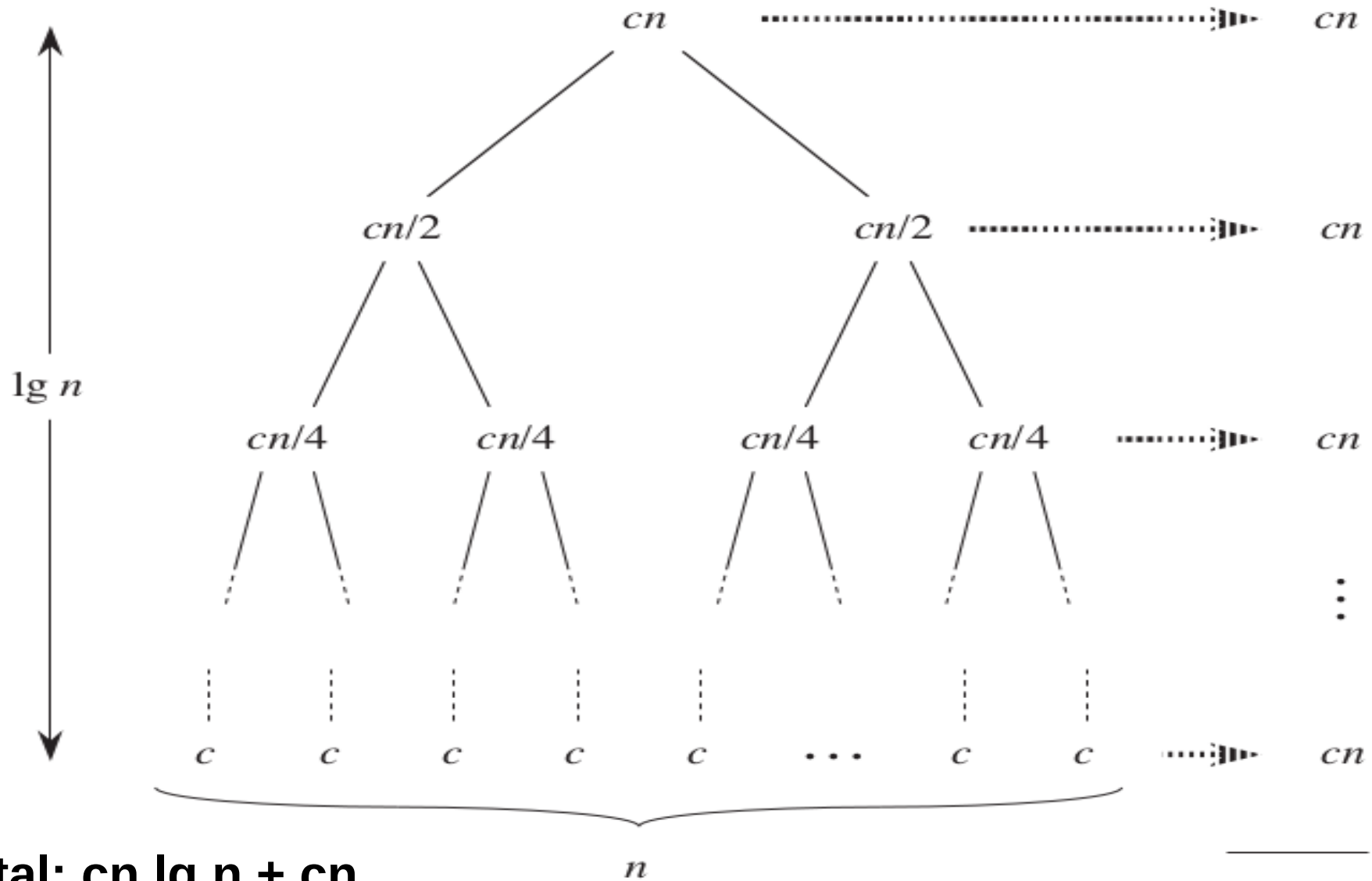
# SORTING

## MergeSort Algorithm



# SORTING

## MergeSort Algorithm



Total:  $cn \lg n + cn$   
 $O(n \log n)$

# SELECTION

## SELECTION ALGORITHM

**This is an algorithm for finding the  $k^{\text{th}}$  smallest number in a list or array;**

**Such a number is called the  $k^{\text{th}}$  order statistic.**

**This includes the cases of finding the minimum, maximum, and median elements.**

**Selection problems are easily reduced to sorting, however they do not require the full power of sorting.**



# SELECTION

## SELECTION ALGORITHM- DETERMINISTIC AND RANDOMIZED

```
A[1..n].  
  
min = 1;  
for j = 2 to n do  
    if A[j] < A[min] then min = j endif  
endfor.  
  
int RSELECT(int  $\ell$ ,  $r$ ,  $i$ )  
     $q = \text{RSPLIT}(\ell, r); m = q - \ell + 1;$   
    if  $i < m$  then return RSELECT( $\ell, q - 1, i$ )  
    elseif  $i = m$  then return  $q$   
    else return RSELECT( $q + 1, r, i - m$ )  
endif.
```

# SELECTION

## SELECTION ALGORITHM

Let  $s = \langle e_1, \dots, e_n \rangle$  be a sequence

and let  $s' = \langle e'_1, \dots, e'_n \rangle$  be the sorted version of it.

- Selection of the smallest element requires determining  $e'_1$ , selection of the smallest and the largest requires determining  $e'_1$  and  $e'_n$ ;
- The selection of the  $k$ -th largest requires determining  $e'_k$ .
- Selection of the median refers to selecting the  $\lfloor n/2 \rfloor$ -th largest element.
- Selection of the median and also quartiles is a basic problem in statistics.
- It is easy to determine the smallest or the smallest and the largest element by a single scan of a sequence in linear time.
- $k$ -th largest element can be determined in linear time.

# SELECTION

## SELECTION ALGORITHM

1. Divide the  $n$  elements of the input array into  $\lfloor n/5 \rfloor$  groups of 5 elements each and at most one group made up of the remaining  $n \bmod 5$  elements.
2. Find the median of each of the  $\lfloor n/5 \rfloor$  groups by first insertion-sorting the elements of each group (of which there are at most 5) and then picking the median from the sorted list of group elements.
3. Use SELECT recursively to find the median  $x$  of the  $\lfloor n/5 \rfloor$  medians found in step 2. (If there are an even number of medians, then by our convention,  $x$  is the lower median.)
4. Partition the input array around the median-of-medians  $x$  using the modified version of PARTITION . Let  $k$  be one more than the number of elements on the low side of the partition, so that  $x$  is the  $k^{\text{th}}$  smallest element and there are  $k-n$  elements on the high side of the partition.
5. If  $i = k$ , then return  $x$ . Otherwise, use SELECT recursively to find the  $i^{\text{th}}$  smallest element on the low side if  $i < k$ , or the  $i - k$ th smallest element on the high side if  $i > k$ .

# SELECTION

## SELECTION ALGORITHM-RANDOMIZED

// Find an element with rank  $k$

**Function** *select*( $s$  : *Sequence of Element*;  $k$  :  $\mathbb{N}$ ) : *Element*

**assert**  $|s| \geq k$

pick  $p \in s$  uniformly at random

$a := \langle e \in s : e < p \rangle$

**if**  $|a| \geq k$  **then return** *select*( $a, k$ )

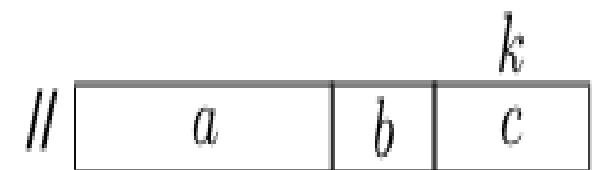
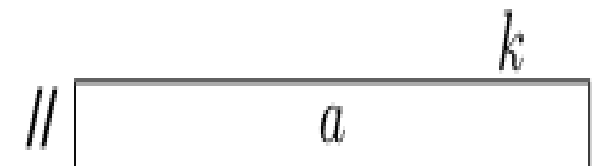
$b := \langle e \in s : e = p \rangle$

**if**  $|a| + |b| \geq k$  **then return**  $p$

$c := \langle e \in s : e > p \rangle$

**return** *select*( $c, k - |a| - |b|$ )

// pivot key



# SELECTION

## SELECTION ALGORITHM

$s$	$k$	$p$	$a$	$b$	$c$
$\langle 3, 1, 4, 5, 9, 2, 6, 5, 3, 5, 8 \rangle$	6	2	$\langle 1 \rangle$	$\langle 2 \rangle$	$\langle 3, 4, 5, 9, 6, 5, 3, 5, 8 \rangle$
$\langle 3, 4, 5, 9, 6, 5, 3, 5, 8 \rangle$	4	6	$\langle 3, 4, 5, 5, 3, 4 \rangle$	$\langle 6 \rangle$	$\langle 9, 8 \rangle$
$\langle 3, 4, 5, 5, 3, 5 \rangle$	4	5	$\langle 3, 4, 3 \rangle$	$\langle 5, 5, 5 \rangle$	$\langle \rangle$

# SELECTION

## SELECTION ALGORITHM- COMPLEXITY

### **Worst-case running time**

For simplicity, assume that  $n$  is a multiple of 5 and ignore ceiling and floor functions.

The number of items less than or equal to the median of medians is at least  $3n/10$  in this context.

These are the first three items in the sets with medians less than or equal to the median of medians. I

Symmetrically, the number of items greater than or equal to the median of medians is at least  $3n/10$ .

The first recursion works on a set of  $n/5$  medians, and the second recursion works on a set of at most  $7n/10$  items.

We have:

$T(n) \leq n + T(n/5) + T(7n/10)$ , that is  $O(n)$

# SELECTION

## SELECTION ALGORITHM- COMPLEXITY

### Worst-case running time

We have:

$T(n) \leq n + T(n/5) + T(7n/10)$ , that is  $O(n)$  that can be proved using induction

Assume  $T(m) \leq c \cdot m$  for  $m < n$  and  $c$  a large enough constant;

$T(n) \leq n + (c/5).n + (7c/10).n = (1+9c/10).n$

Tacking  $c$  values  $\geq 10$ , we have  $T(n) \leq c.n$

# CSC 311 DESIGN AND ANALYSIS OF ALGORITHMS

## EXERCISES

- (1) Estimate the running time of a program that has 2000 lines of sequential code of a procedural language.
- (2) Estimate the running of a program that scans the input two times.
- (3) Estimate the running time of a program that adds two  $n \times n$  matrices.
- (4) Estimate the running time of a program that multiplies two  $n \times n$  matrices.
- (5) Estimate the running time of a program that uses binary search to locate an item from an unsorted array of size  $n$ .
- (6) Estimate the running time of a program that requests  $n$  integers and displays their squares.
- (7) Estimate the running time of a program that uses bubble sort technique to sort an array of  $n$  unsorted numbers.
- (8) Estimate the running time of a program controlled by the loop:  
for ( $x=1$ ;  $i < n$ ;  $i++$ )
- (9) Estimate the running time of a program controlled by the loop:  
for ( $x=1$ ;  $i < n$ ;  $i--$ )



# CSC 311 DESIGN AND ANALYSIS OF ALGORITHMS

## EXERCISES

- (1) Estimate the running time of a program controlled by the loop:  
for (x=1; i<n; i=i\*2).
- (2) Estimate the running time of a program controlled by the loop:  
for (x=1; i<n; i=i\*4).
- (3) Estimate the running time of a program controlled by the loop:  
for (x=1; i<n; i=i/2).
- (4) Define recurrence relation and give an example.
- (5) State the recurrence relations for Fibonacci series and Tower of Hanoi.
- (6) Give a general formula of a linear recurrence equation.
- (7) Work out a characteristic equation for the recurrence relation:  
(1)  $R_n = AR_{n-1} + BR$ , for A, B being real numbers
- (8) Give the steps of a recurrence relation
- (9) Discuss the methods used to solve recurrence relations giving examples in each case.
- (10) Describe the substitution method
- (11) Describe the iteration method
- (12) Describe the recursion tree method

# **CSC 311 DESIGN AND ANALYSIS OF ALGORITHMS**

## **EXERCISES**

- (1) Describe the master method**
- (2) Solve  $T(n) = 9T(n/3)$  using Master Theorem**
- (3) Solve  $T(n) = T(2n/3) + 1$  using Master Theorem**
- (4) Solve  $T(n) = 8T(n/2) + 1000n^2$  using Master Theorem**
- (5) Solve  $T(n) = n^2T(n/2) + n^2$  using Master Theorem**
- (6) Solve  $T(n) = 64T(n/8) - n^2 \log n$  using Master Theorem**
- (7) Solve  $T(n) = 4T(n/3) + n^2$  using Master Theorem**
- (8) Set up recursive algorithm based on  $2^n = 2^{n-1} + 2^{n-2}$**
- (9) Describe selection sort**
- (10) Implement selection sort**
- (11) Discuss the complexity of selection sort**
- (12) Describe quicksort**
- (13) Implement quicksort**
- (14) Discuss the complexity of quicksort**

# **CSC 311 DESIGN AND ANALYSIS OF ALGORITHMS**

## **EXERCISES**

- (1)Describe MergeSort**
- (2)Implement MergeSort**
- (3)Discuss the complexity of MergeSort**
- (4)Describe Selection algorithm**
- (5)Implement Selection algorithm**
- (6)Discuss the complexity of Selection algorithm**