

CSC 311 DESIGN AND ANALYSIS OF ALGORITHMS

IMPORTANCE OF LEARNING DESIGN AND ANALYSIS OF ALGORITHMS

- **Augment problem solving skills-** algorithm design and their implementations
- **Strengthen formal thinking-** proofs; analysis

COMPETENCIES THAT YOU WILL DEVELOP

You will be able to:

- Strengthen the recall of the basic ***data structures***;
- Describe ***core algorithms***;
- Apply ***algorithm design paradigms***: divide & conquer, greedy algorithms, dynamic programming;
- ***Analyze the correctness and runtime performance*** of a given algorithm;
- ***Apply*** techniques in solving practical problems;
- Describe the ***inherent complexity*** (lower bounds & intractability) of some problems.

REST OF THE SESSION SCHEDULE

Introduction – why ADA?

Algorithm

The Input and Outputs

Experimental values on some input functions

Analysis of Algorithm – time and space complexities

Interesting measures- best cases, worst case, average case

Notations

Growth of functions

Elementary Data Structures

Arrays

Lists

Queues

Priority Queues

Binary Trees

Heaps

Hash Tables

Introduction – why Analysis and Design of Algorithms?

Defining Analysis and Design of Algorithms

It is the determination of the amount of time, storage and/or other resources necessary to execute the algorithm (also called computational complexity of algorithms).

Determine: function that relates the length of an algorithm's input to the number of steps it takes (its time complexity)

OR

Determine: the function that relates its input to the number of storage locations it uses (its space complexity).

Efficient Algorithm

One whose function's values are small, or grow slowly compared to a growth in the size of the input.

Introduction – why Analysis and Design of Algorithms?

History

The term "Analysis of algorithms" was coined by Donald Knuth.

Importance of Analysis of algorithms

- Algorithm analysis is an important part of a broader computational complexity theory.
- Computational complexity theory provides theoretical estimates for the resources needed by any algorithm which solves a given computational problem.
- These estimates provide an insight into reasonable directions of search for efficient algorithms.

Use of Analysis of Algorithms

- Determine characteristics of the algorithm.
- Evaluate suitability of an algorithm to an application.
- Compare algorithm with other algorithms for the same application.
- Helps in understanding the algorithm and enables its improvement.

ALGORITHM- WHAT IS IT?

Algorithm: is a step-by-step procedure for solving a problem in a finite amount of time with finite amount of effort.

General steps in exhaustive analysis of algorithms

- 1) Implement the algorithm completely.
 - 2) Determine the time required for each basic operation.
 - 3) Identify unknown quantities that can be used to describe the frequency of execution of the basic operations.
 - 4) Develop a realistic model for the input to the program.
 - 5) Analyze the unknown quantities, assuming the modelled input.
 - 6) Calculate the total running time by multiplying the time by the frequency for each operation, then adding all the products.
- Classical algorithm analysis on early computers could result in exact predictions of running times.
 - Modern systems and algorithms are much more complex, but modern analyses are informed by the idea that exact analysis of this sort could be performed in principle.

The Input and Outputs

Isolated values

Single or countable isolated values for input

X, y, z : 3 input values; can be varied to any number of finite inputs

(**input size** usually associated by some constant say C or c)

Arrays- one dimensional

Index	0	1	2	3	4	5	6	7	8	9
	-1	17	-30	50	6	2	28	11	12	-6

Input size may vary but is associated with size of array denoted as n

Arrays – two dimensional

Index	0	1	2	3	4	5	6	7	8	9
0	-1	17	36	50	6	2	28	11	12	-6
1	33	4	2	6	8	99	71	-8	11	2

The Input and Outputs

Isolated values

input size usually associated by some constant say C or c
Input size = $f(n) = C$, where C is a constant, n is a non negative integer

Arrays- one dimensional



Input size may vary but is associated with size of array denoted as n

Input size = $f(n)$, where n varies, n is a non negative integer

Arrays – two dimensional (can be translated to one dimensional array)

Input size = $f(2*n) = g(n)$, where n varies, n is a non negative integer

Input size = $f(k*n) = h(n)$, where n varies, n is a non negative integer for k-dimensional array

The Input and Outputs



SOME TYPICAL INPUT FUNCTIONS

$$T(n) = n, \quad n \text{ is a natural number}$$

$$T(n) = \log_2 n, \quad n \text{ is a natural number}$$

$$T(n) = n \log_2 n, \quad n \text{ is a natural number}$$

$$T(n) = n^2, \quad n \text{ is a natural number}$$

$$T(n) = n^3, \quad n \text{ is a natural number}$$

$$T(n) = 2^n, \quad n \text{ is a natural number}$$

$$T(n) = n!, \quad n \text{ is a natural number}$$

CSC 311 DESIGN AND ANALYSIS OF ALGORITHMS

Numeric values of some possible input functions

n	$\log_2 n$	n	$n \log_2 n$	n^2	n^3	2^n	$n!$
10	3.3	10^1	$3.3 \cdot 10^1$	10^2	10^3	10^3	$3.6 \cdot 10^6$
10^2	6.6	10^2	$6.6 \cdot 10^2$	10^4	10^6	$1.3 \cdot 10^{30}$	$9.3 \cdot 10^{157}$
10^3	10	10^3	$1.0 \cdot 10^4$	10^6	10^9		
10^4	13	10^4	$1.3 \cdot 10^5$	10^8	10^{12}		
10^5	17	10^5	$1.7 \cdot 10^6$	10^{10}	10^{15}		
10^6	20	10^6	$2.0 \cdot 10^7$	10^{12}	10^{18}		

SOME TYPICAL SEQUENCES

ARITHMETIC SEQUENCE

$$\begin{array}{ccccccc}
 +6 & +6 & +6 & +6 & & & \\
 \frown & \frown & \frown & \frown & & & \\
 2, & 8, & 14, & 20, & 26 & \dots & d = 6
 \end{array}$$

$$\begin{array}{ccccccc}
 -5 & -5 & -5 & -5 & & & \\
 \frown & \frown & \frown & \frown & & & \\
 50, & 45, & 40, & 35, & 30 & \dots & d = -5
 \end{array}$$

Arithmetic Sequence and Series

An arithmetic sequence is a sequence of numbers such that the difference d between each consecutive term is a constant.

$$a, a+d, a+2d, a+3d, \dots$$

$$\text{The } n^{\text{th}} \text{ term, } a_n = a + (n-1)d$$

$$\text{Sum of first } n \text{ terms, } S_n = \frac{n}{2} [2a + (n-1)d]$$

$$S_n = \frac{n}{2} [a + a_n]$$

Geometric Sequence

A geometric sequence is one where to get from one term to the next you multiply by the same number each time. This number is called the **common ratio, r** .

Eg

$$\begin{array}{ccccccc}
 1 & 2 & 3 & 4 & & & \\
 2, & 10, & 50, & 250 & \dots & & \\
 \frown & \frown & \frown & & & & \\
 \times 5 & \times 5 & \times 5 & & & &
 \end{array}$$

$r=5$

$$\begin{array}{ccc}
 \frac{10}{2}=5 & \frac{50}{10}=5 & \frac{250}{50}=5
 \end{array}$$

Geometric Sequences and Series

SUMMARY

A geometric sequence or geometric progression (G.P.) is of the form

$$a, ar, ar^2, ar^3, \dots$$

The n^{th} term of an G.P. is

$$a_n = ar^{n-1}$$

The sum of n terms is

$$S_n = \frac{a(1-r^n)}{1-r}$$

or

$$S_n = \frac{a(r^n - 1)}{r - 1}$$

SOME TYPICAL SEQUENCES

Arithmetic general term	$t_n = a + (n - 1)d$
Sum of arithmetic sequences	$S_n = \frac{n}{2} [2a + (n - 1)d]$
Geometric general term	$t_n = a \times r^{n-1}$
Sum of geometric sequences	$S_n = \frac{a(1 - r^n)}{1 - r}$
Sum to infinity	$S_\infty = \frac{a}{1 - r}$

LOGARITHMIC RELATED FORMULAE

Equivalence:

$$a^x = y \leftrightarrow \log_a y = x$$

$$e^x = y \leftrightarrow \ln y = x$$

Log of a product:

$$\log_a x \cdot y = \log_a x + \log_a y$$

$$\ln x \cdot y = \ln x + \ln y$$

Log of a quotient:

$$\log_a \frac{x}{y} = \log_a x - \log_a y$$

$$\ln \frac{x}{y} = \ln x - \ln y$$

Log of a power:

$$\log_a x^n = n \log_a x$$

$$\ln x^n = n \ln x$$

Log of a reciprocal:

$$\log_a \frac{1}{x} = -\log_a x$$

$$\ln \frac{1}{x} = -\ln x$$

Log of the base:

$$\log_a a = 1$$

$$\ln e = 1$$

Log of 1:

$$\log_a 1 = 0$$

$$\ln 1 = 0$$

RECURSION

Recursive function

A function defined in terms of itself via self-referential expressions.

The function will continue to call itself and repeat its behavior until some condition is met to return a result.

Example (python):

```
def fact(n): #recursive function to calculate factorial
```

```
    """ Function to find factorial """
```

```
    if n == 1:
```

```
        return 1
```

```
    else:
```

```
        return (n * fact(n-1))
```

```
print ("3! = ",fact(3))
```

```
# another recursive funtion
def printRev( n ):
    if n > 0 :
        print( n )
        printReverse( n-1 )
```

RECURRENCES

Recurrence relation

Is an equation that recursively defines a sequence or multidimensional array of values, once one or more initial terms are given;

Each further term of the sequence or array is defined as a function of the preceding terms.

Examples

$$u_n = \varphi(n, u_{n-1}) \quad \text{for } n > 0,$$

where

$$\varphi : \mathbb{N} \times X \rightarrow X$$

is a function, where X is a set to which the elements of a sequence must belong. For any $u_0 \in X$ this defines a unique sequence with u_0 as its first element, called the initial value.

RECURRENCES

Recurrence relations

Factorial: defined by the recurrence relation

$$n! = n (n - 1)! \text{ for } n > 0, \text{ and the initial condition } 0! = 1$$

Logistic map: An example of a recurrence relation is the

$x_{n+1} = r x_n (1 - x_n)$, with a given constant r ; given the initial term x_0 each subsequent term is determined by this relation.

Fibonacci numbers: a type of a homogeneous linear recurrence relation with constant coefficients, see below.

$$F_n = F_{n-1} + F_{n-2} \text{ with initial conditions (seed values) } F_0 = 0,$$

$$F_1 = 1.$$

Solving a recurrence relation means obtaining a : a non-recursive function of n .

ANALYSIS OF ALGORITHM – TIME AND SPACE COMPLEXITIES

Time complexity of an algorithm ($T(n)$)

- the amount of time taken by an algorithm to run as a function of the length(size) of the input.

Space complexity of an algorithm

- the amount of space or memory taken by an algorithm to run as a function of the length (size) of the input.

Example: Search for a value x in an array A

```
for  $i \leftarrow 1$  to length of  $A$ 
    if  $A[i]$  is equal to  $x$ 
        return TRUE
return FALSE
```

Time Complexity: varies from c to $c + \text{size of } A$, $c = \text{some other operations}$; depends on where x occurs in A ; $\text{op-time} = \text{const.}$

Space Complexity: size of A + number of temporary variables

Most attention: on time complexity; that will be our focus

ANALYSIS OF ALGORITHM – TIME COMPLEXITY

INTERESTING MEASURES OF TIME COMPLEXITY

Best case- a function; gives the minimum number of steps taken on any instance of size n .

Worst case- a function; gives the maximum number of steps taken on any instance of size n .

Average case- is the amount of some computational resource (typically time) used by the algorithm, averaged over all possible inputs. The analysis of such algorithms leads to the related notion of an expected complexity that is based on probabilities.

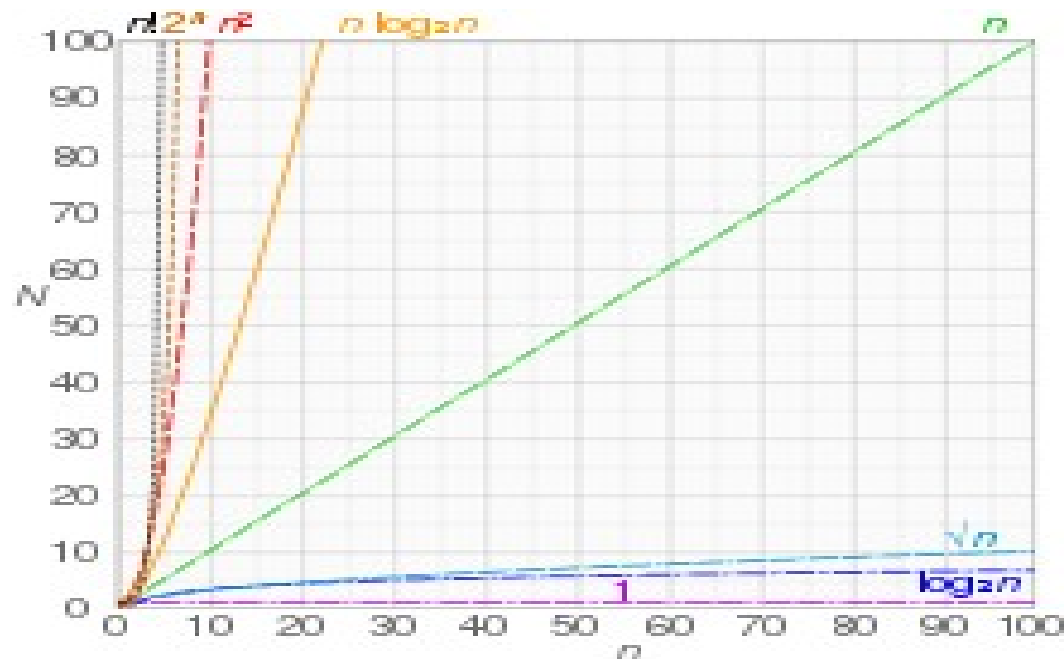
NOTATIONS

ORDER OF GROWTH/ GROWTH OF FUNCTIONS

-how the time of execution depends on the length of the input. Consider the length of input increasing to large values.

-Ignore the lower order terms, since the lower order terms are relatively insignificant for large input.

Different notations are used to describe the limiting behavior of a function, that is as the input size increases and becomes very large.



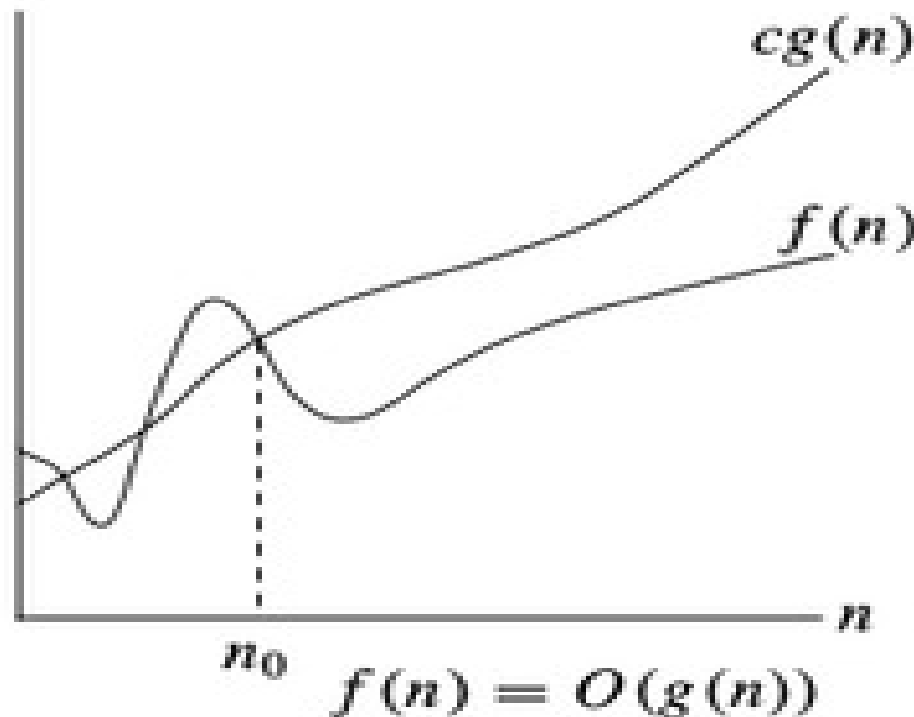
The O- notations are used to describe the growth of functions

NOTATION - GROWTH OF FUNCTIONS

O-notation:

To denote asymptotic upper bound, we use *O*-notation. For a given function $g(n)$, we denote by $O(g(n))$ (pronounced "big-oh of g of n ") the set of functions:

$O(g(n)) = \{ f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq c * g(n) \text{ for all } n \geq n_0 \}$



$T(n) = O(g(n))$, compute the estimate of the function $g(n)$ as n approaches infinity to find the actual values dominating terms

Example:

$$g(n) = 500 + 10n^2$$

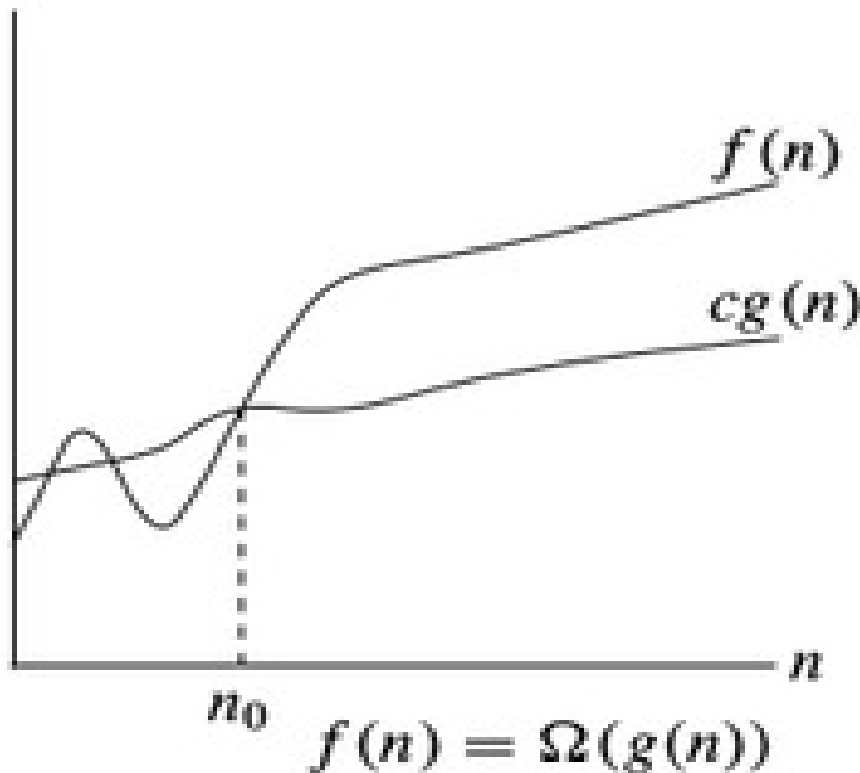
As n becomes large only $10n^2$ counts so $T(n) = O(n^2)$

NOTATION - GROWTH OF FUNCTIONS

Ω -notation:

To denote asymptotic lower bound, we use Ω -notation. For a given function $g(n)$, we denote by $\Omega(g(n))$ (pronounced "big-omega of g of n") the set of functions:

$\Omega(g(n)) = \{ f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq c * g(n) \leq f(n) \text{ for all } n \geq n_0 \}$



$T(n) = \Omega(g(n))$, compute the estimate of the function $g(n)$ as n approaches infinity to find the actual values dominating terms

Example:

$$g(n) = 500 + n + 10n^2$$

As n becomes large only n is lower bound so

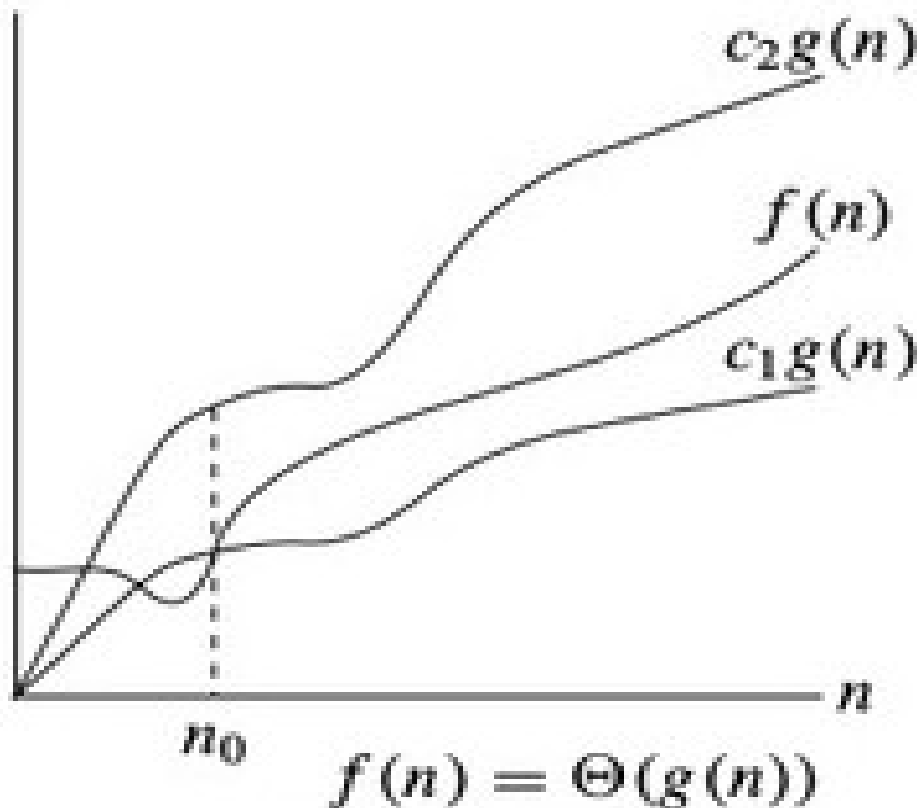
$$T(n) = \Omega(n)$$

NOTATION - GROWTH OF FUNCTIONS

Θ -notation:

To denote asymptotic tight bound, we use Θ -notation. For a given function $g(n)$, we denote by $\Theta(g(n))$ (pronounced "big-theta of g of n") the set of functions:

$\Theta(g(n)) = \{ f(n) : \text{there exist positive constants } c_1, c_2 \text{ and } n_0 \text{ such that } 0 \leq c_1 * g(n) \leq f(n) \leq c_2 * g(n) \text{ for all } n > n_0 \}$



$T(n) = \Theta(g(n))$, find a function $g(n)$ that lies between some two functions as n approaches infinity

Example: $g(n) = 2n+3$

Check $k_1 * n < 2n+3 < k_2 * n$

$T(n) = \Theta(n)$

NOTATION - GROWTH OF FUNCTIONS

Exercise: Find about the small O, small omega and small theta.

Algorithm	Best Time Complexity	Average Time Complexity	Worst Time Complexity	Worst Space Complexity
Linear Search	$O(1)$	$O(n)$	$O(n)$	$O(1)$
Binary Search	$O(1)$	$O(\log n)$	$O(\log n)$	$O(1)$
Bubble Sort	$O(n)$	$O(n^2)$	$O(n^2)$	$O(1)$
Selection Sort	$O(n^2)$	$O(n^2)$	$O(n^2)$	$O(1)$
Insertion Sort	$O(n)$	$O(n^2)$	$O(n^2)$	$O(1)$
Merge Sort	$O(n \log n)$	$O(n \log n)$	$O(n \log n)$	$O(n)$
Quick Sort	$O(n \log n)$	$O(n \log n)$	$O(n^2)$	$O(\log n)$
Heap Sort	$O(n \log n)$	$O(n \log n)$	$O(n \log n)$	$O(n)$
Bucket Sort	$O(n+k)$	$O(n+k)$	$O(n^2)$	$O(n)$
Radix Sort	$O(nk)$	$O(nk)$	$O(nk)$	$O(n+k)$
Tim Sort	$O(n)$	$O(n \log n)$	$O(n \log n)$	$O(n)$
Shell Sort	$O(n)$	$O((n \log(n))^2)$	$O((n \log(n))^2)$	$O(1)$

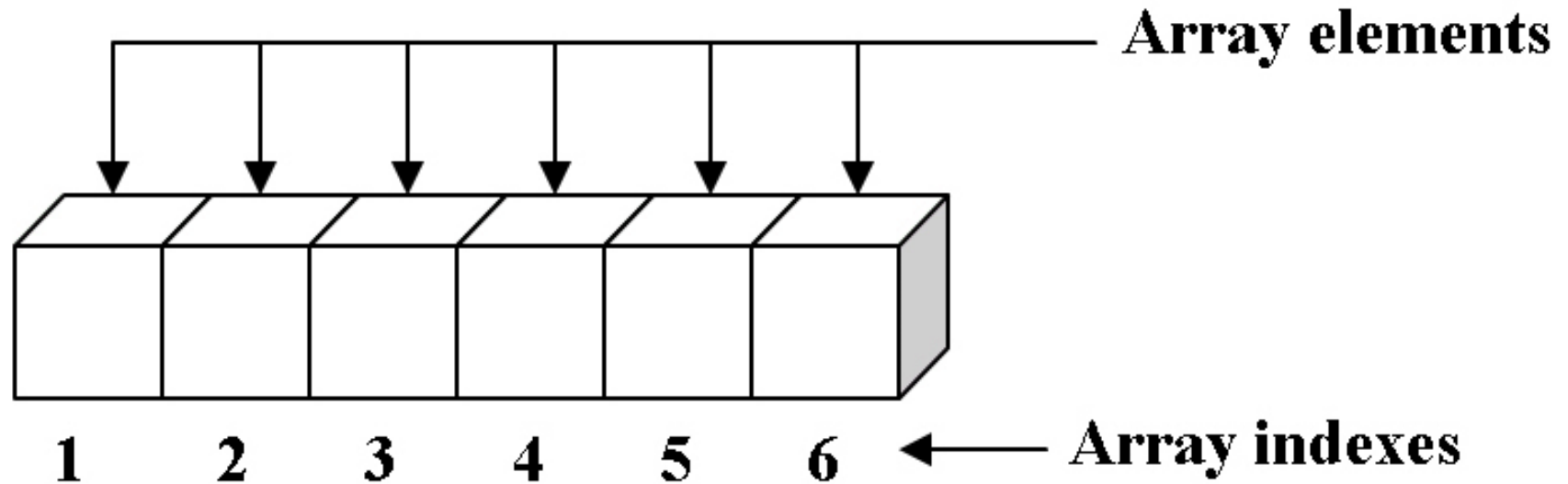
NOTATION - GROWTH OF FUNCTIONS

Exercise: Use the table below to discuss your preferred algorithms for searching for sorting when the input size is small and when the input size is very large.

Algorithm	Best Time Complexity	Average Time Complexity	Worst Time Complexity	Worst Space Complexity
Linear Search	$O(1)$	$O(n)$	$O(n)$	$O(1)$
Binary Search	$O(1)$	$O(\log n)$	$O(\log n)$	$O(1)$
Bubble Sort	$O(n)$	$O(n^2)$	$O(n^2)$	$O(1)$
Selection Sort	$O(n^2)$	$O(n^2)$	$O(n^2)$	$O(1)$
Insertion Sort	$O(n)$	$O(n^2)$	$O(n^2)$	$O(1)$
Merge Sort	$O(n \log n)$	$O(n \log n)$	$O(n \log n)$	$O(n)$
Quick Sort	$O(n \log n)$	$O(n \log n)$	$O(n^2)$	$O(\log n)$
Heap Sort	$O(n \log n)$	$O(n \log n)$	$O(n \log n)$	$O(n)$
Bucket Sort	$O(n+k)$	$O(n+k)$	$O(n^2)$	$O(n)$
Radix Sort	$O(nk)$	$O(nk)$	$O(nk)$	$O(n+k)$
Tim Sort	$O(n)$	$O(n \log n)$	$O(n \log n)$	$O(n)$
Shell Sort	$O(n)$	$O((n \log(n))^2)$	$O((n \log(n))^2)$	$O(1)$

ELEMENTARY DATA STRUCTURES: ARRAY ABSTRACT DATA TYPES

- Arrays store and retrieve items using an integer index.
- An item is stored in a given index and can be retrieved at a later time by specifying the same index.



One-dimensional array with six elements

ELEMENTARY DATA STRUCTURES: ARRAY ABSTRACT DATA TYPES

- ```
def sum_elements():
```
- **my\_array = [None,] \* 3**    **# declaring and using a list in this way isn't Pythonic**
  - **my\_array[0] = 1**            **# instead you'd make an empty list and use the append method**
  - **my\_array[1] = 3**            **# but if we did that here, then we wouldn't be showing off its**
  - **my\_array[2] = 5**            **# array functionality very much**
  - **sum = 0**
  - **for i in range(0, len(my\_array)):**
  - **sum += my\_array[i]**
  - **print(sum)**
- 
- **Operations: insert element; delete element; assign element to a cell; read element from a cell; read values in all cells; display values of the whole array**
  - **Caution: may have fixed size;**

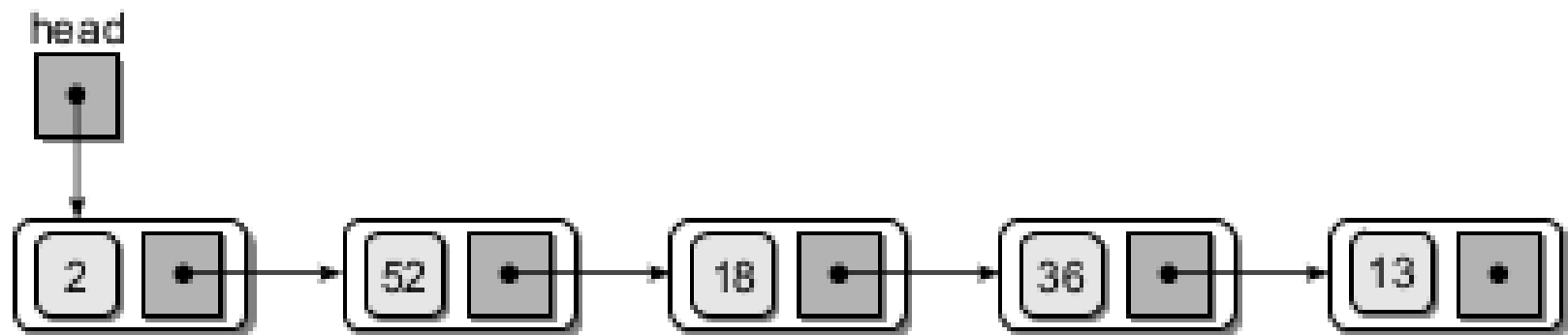
# ELEMENTARY DATA STRUCTURES: LIST ABSTRACT DATA TYPES

## Linked Lists

A general purpose structure that can be used for linear storage; Compared to array it requires a smaller memory allocation and no element shifts for insertions and deletions.

It has no constant time direct element access like in array. So it is not suitable for every data storage problem.

Types of linked lists: The singly linked list; circularly linked, the doubly linked, and the circularly doubly linked lists.

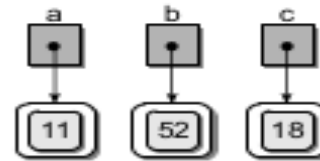


# ELEMENTARY DATA STRUCTURES: LIST ABSTRACT DATA TYPES

## Linked Lists and set ups

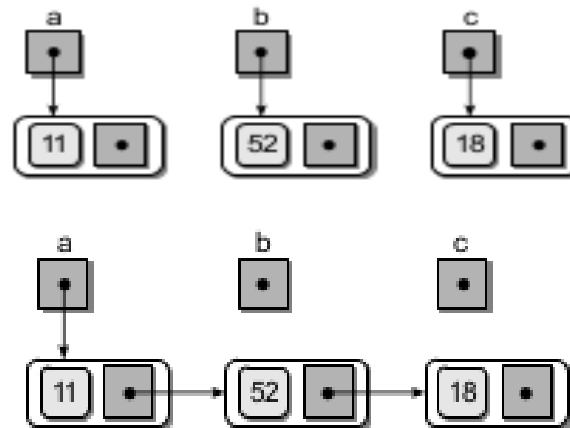
```
class ListNode :
 def __init__(self, data) :
 self.data = data
```

```
a = ListNode(11)
b = ListNode(52)
c = ListNode(18)
```



```
class ListNode :
 def __init__(self, data) :
 self.data = data
 self.next = None
```

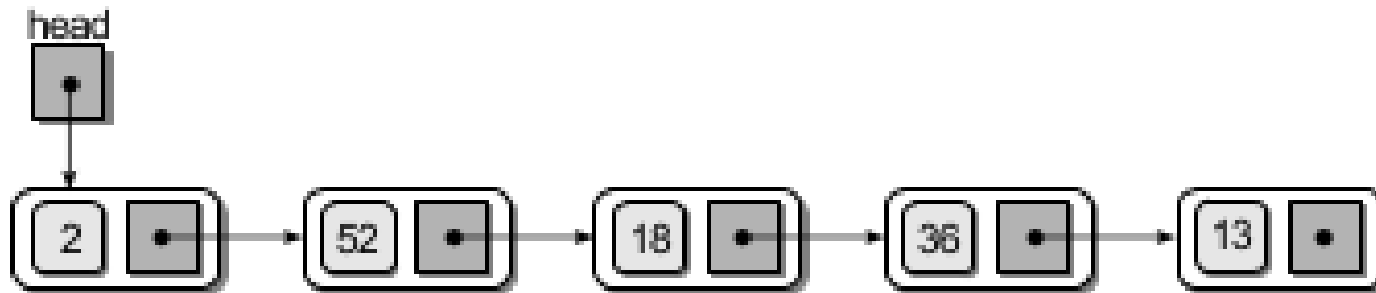
```
a.next = b
b.next = c
a.next = b
print(a.data)
print(a.next.data)
print(a.next.next.data)
```



# ELEMENTARY DATA STRUCTURES: LIST ABSTRACT DATA TYPES

## Singly Linked

- a linked list;
- each node contains a single link field;
- allows for a complete traversal from a distinctive first node to the last.



Operations: traverse a list; display elements; add a node; delete a node; search for a node; inserting element;

```
def traversal(head):
 curNode = head
 while curNode is not None :
 print curNode.data
 curNode = curNode.next
```

```
def unorderedSearch(head, target):
 curNode = head
 while curNode is not None and
 curNode.data != target :
 curNode= curNode.next
 return curNode is not None
```

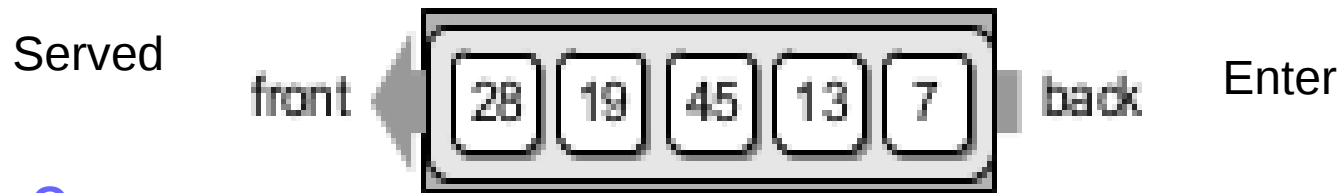
# ELEMENTARY DATA STRUCTURES: QUEUES

A **queue**:

A specialized list with a limited number of operations;

Items can only be added to one end and removed from the other.

A queue is also known as a first-in, first-out (FIFO) list.



**Opera**

**Queue():** Creates a new empty queue, which is a queue containing no items.

**isEmpty():** Returns a boolean value indicating whether the queue is empty.

**length ():** Returns the number of items currently in the queue.

**enqueue( item ):** Adds the given item to the back of the queue.

**dequeue():** Removes and returns the front item from the queue. An item cannot be dequeued from an empty queue.

## ELEMENTARY DATA STRUCTURES: QUEUES

**# Implementation of the Queue ADT using a Python list.**

**class Queue : # Creates an empty queue.**

**def \_\_init\_\_( self ):**

**self.\_qList = list()**

**def isEmpty( self ): # Returns True if the queue is empty.**

**return len( self ) == 0**

**def \_\_len\_\_( self ):# Returns the number of items in the queue.**

**return len( self.\_qList )**

**def enqueue( self, item ):# Adds the given item to the queue.**

**self.\_qList.append( item )**

**def dequeue( self ):# Removes and returns the first item in the queue.**

**assert not self.isEmpty(), "Cannot dequeue from an empty queue."**

**return self.\_qList.pop( 0 )**

# ELEMENTARY DATA STRUCTURES: PRIORITY QUEUES

**A priority queue:**

**is simply an extended version of the basic queue with the exception that a priority  $p$  must be assigned to each item at the time it is enqueued.**

**Basic types of priority queues: bounded and unbounded.**

**The bounded priority queue: allows a small limited range of  $p$  priorities over the interval of integers  $[0 \dots p]$ .**

**The unbounded priority queue: no limit on the range of integer values that can be used as priorities.**

**For same priority, the FIFO rule applies.**



# ELEMENTARY DATA STRUCTURES: PRIORITY QUEUES

## A priority queue implementation:

```
Implementation of the unbounded Priority Queue ADT using a Python list
with new items appended to the end.
class PriorityQueue : #Create an empty unbounded priority queue.
 def __init__(self):
 self._qList = list()
 def isEmpty(self): # Returns True if the queue is empty.
 return len(self) == 0
 def __len__(self):#Returns the number of items in the queue.
 return len(self._qList)
 def enqueue(self, item, priority): # Adds the given item to the queue.
 # Create a new instance of the storage class and append it to the list.
 entry = _PriorityQEntry(item, priority)
 self._qList.append(entry)
 def dequeue(self) : #Removes and returns the first item in the queue.
 assert not self.isEmpty(), "Cannot dequeue from an empty queue."
 # Find the entry with the highest priority.
 highest = self._qList[0].priority
 for i in range(self.len()) :
 # See if the ith entry contains a higher priority (smaller integer).
 if self._qList[i].priority < highest :
 Highest = self._qList[i].priority
 #Remove the entry with the highest priority and return the item.
 entry = self._qList.pop(highest)
 return entry.item
class _PriorityQEntry(object):#Private storage class for associating queue items with their priority.
 def __init__(self, item, prioity):
 self.item = item
 self.priority = priority
```



# ELEMENTARY DATA STRUCTURES: BINARY TREES

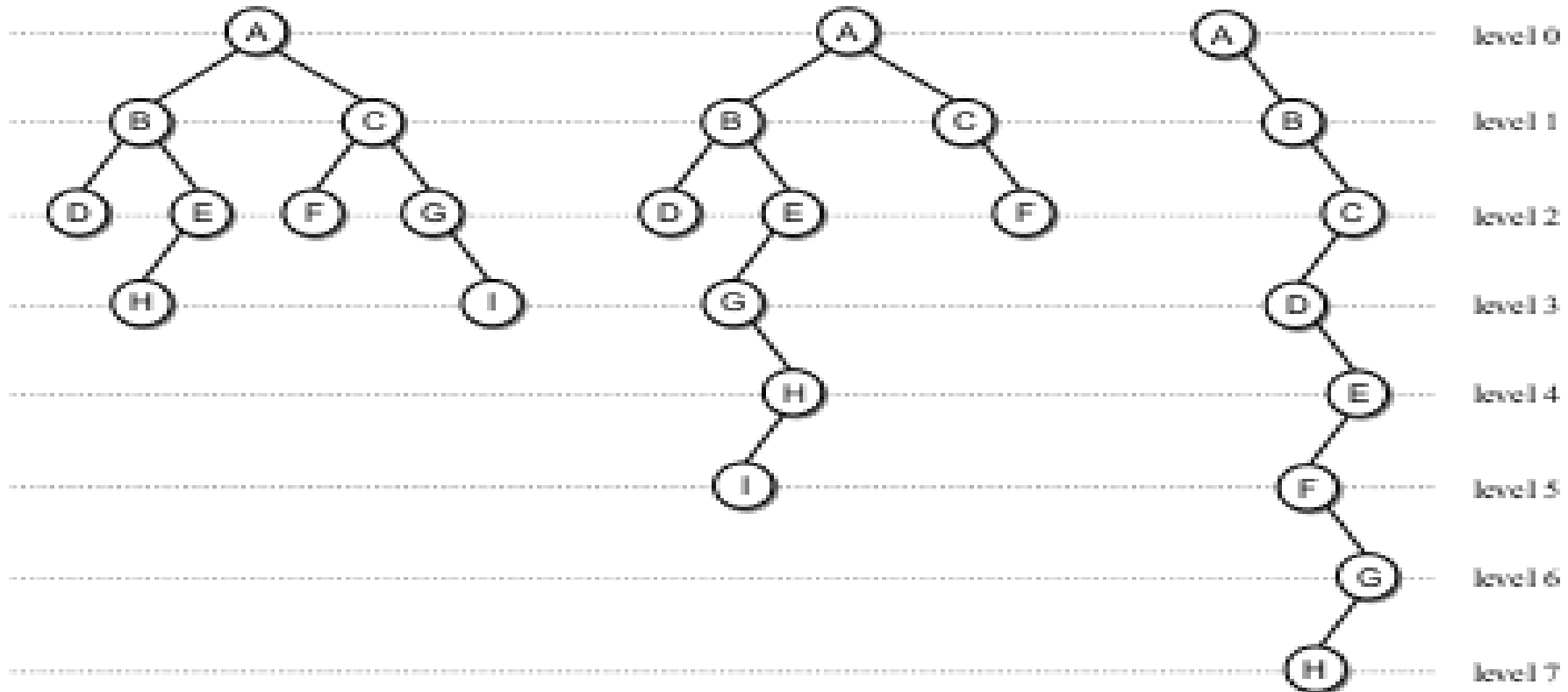
## A binary tree

A tree in which each node can have at most two children

One child is identified as the left child

Other as the right child

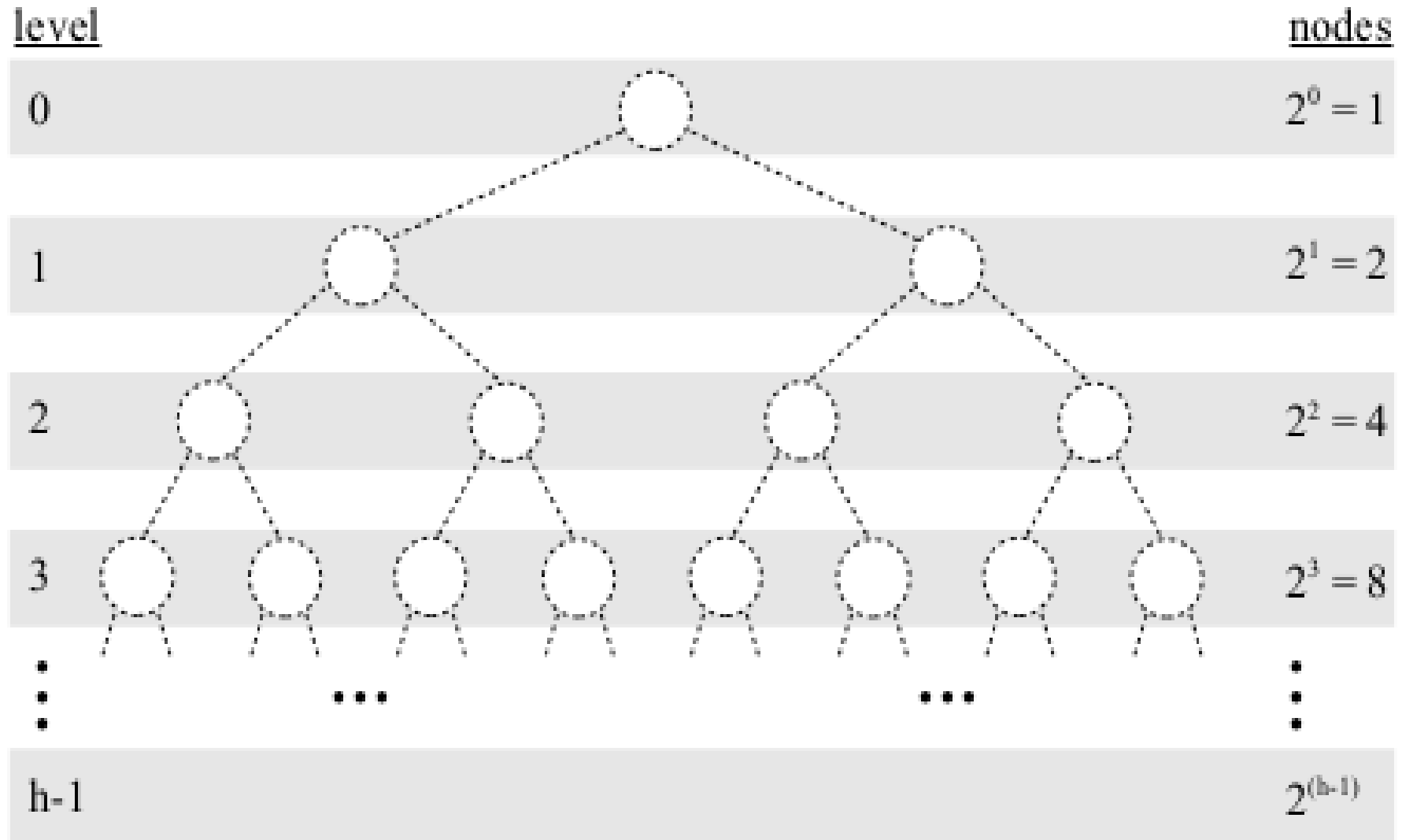
Binary trees have many shapes



# ELEMENTARY DATA STRUCTURES: BINARY TREES

## A binary tree

### Levels



# ELEMENTARY DATA STRUCTURES: BINARY TREES

## A binary tree

### Implementations

# The storage class for creating binary tree nodes.

```
class _BinTreeNode :
```

```
 def __init__(self, data) :
 self.data = data
 self.left = None
 self.right = None
```

```
def postorderTrav(subtree) :
 if subtree is not None :
 postorderTrav(subtree.left)
 postorderTrav(subtree.right)
 print(subtree.data)
```

### Traversals

```
def preorderTrav(subtree) :
 if subtree is not None :
 print(subtree.data)
 preorderTrav(subtree.left)
 preorderTrav(subtree.right)
```

```
def inorderTrav(subtree) :
 if subtree is not None :
 inorderTrav(subtree.left)
 print(subtree.data)
 inorderTrav(subtree.right)
```

```
def breadthFirstTrav(bintree) :
 # Create a queue and add the root node to it.
 Queue q
 q.enqueue(bintree)
 # Visit each node in the tree.
 while not q.isEmpty() :
 # Remove the next node from the queue and
 # visit it.
 node = q.dequeue()
 print(node.data)
 # Add the two children to the queue.
 if node.left is not None :
 q.enqueue(node.left)
 if node.right is not None :
 q.enqueue(node.right)
```

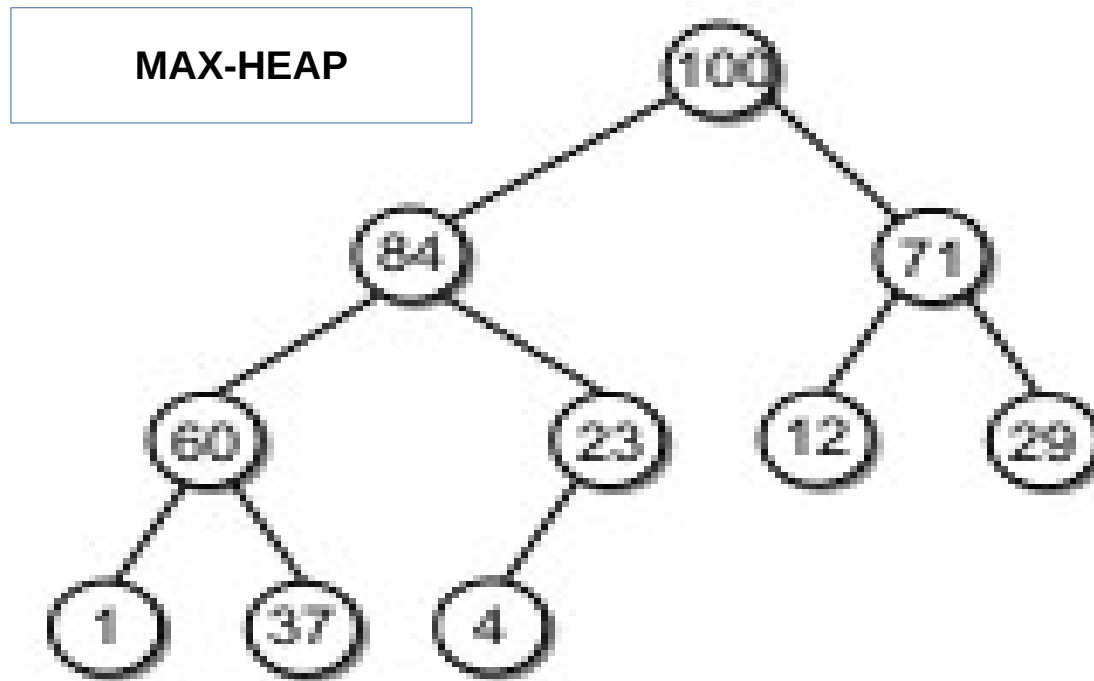
# ELEMENTARY DATA STRUCTURES: HEAPS

A **heap** is a complete binary tree in which the nodes are organized based on their data entry values

There are two variants of the heap structure: max-heap and min-heap

## The max-heap:

- Has the heap order property;
- For each non-leaf node  $V$ , the value in  $V$  is greater than the value of its two children;
- The largest value in a max-heap will always be stored in the root while the smallest values will be stored in the leaf nodes.



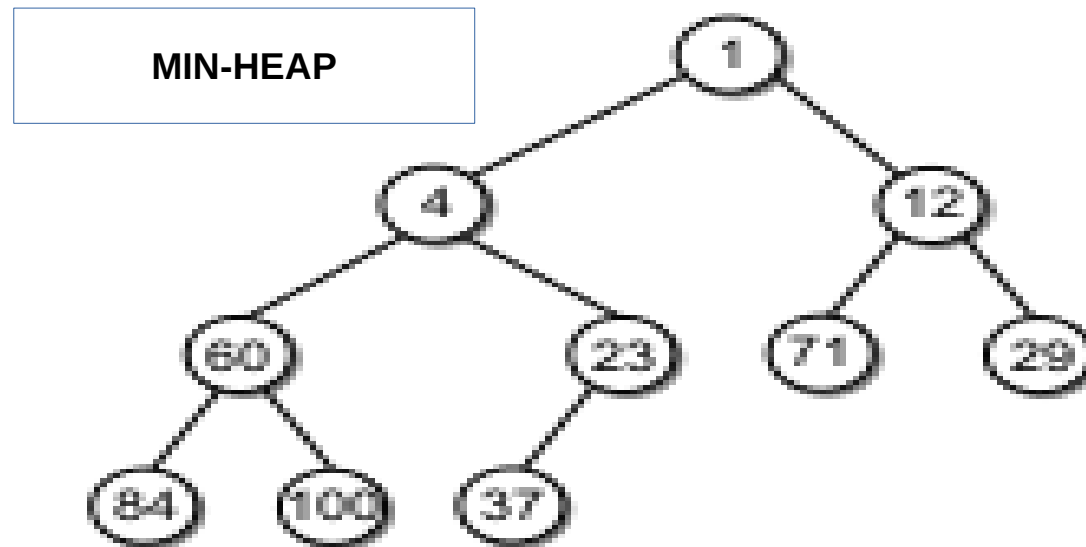
# ELEMENTARY DATA STRUCTURES: HEAPS

A **heap** is a complete binary tree in which the nodes are organized based on their data entry values

There are two variants of the heap structure: max-heap and min-heap

**The min-heap:**

For each non-leaf node  $V$ , the value in  $V$  is smaller than the value of its two children.



## Operations on heaps

A heap is a specialized structure with limited operations

One can insert a new value into a heap

Once can extract and remove the root node's value from the heap.

# ELEMENTARY DATA STRUCTURES: HEAPS IMPLEMENTATIONS

# An array-based implementation of the max-heap.

class MaxHeap :

# Create a max-heap with capacity of maxSize.

def \_\_init\_\_( self, maxSize ):

self.\_elements = Array( maxSize )

self.\_count = 0

# Return the number of items in the heap.

def \_\_len\_\_( self ):

return self.\_count

# Return the maximum capacity of the heap.

def capacity( self ):

return len( self.\_elements )

# Add a new value to the heap.

def add( self, value ):

assert self.\_count < self.capacity(), "Cannot  
add to a full heap."

# Add the new value to the end of the list.

self.\_elements[ self.\_count ] = value

self.\_count += 1

# Sift the new value up the tree.

self.\_siftUp( self.\_count - 1 )

# Extract the maximum value from the heap.

def extract( self ):

assert self.\_count > 0, "Cannot extract f  
rom an empty heap."

value = self.\_elements[0]

self.\_count -= 1

self.\_elements[0] = self.\_elements[ self.\_count ]

# Sift the root value down the tree.

self.\_siftDown( 0 )

# Sift the value at the ndx element up the tree.

def \_siftUp( self, ndx ):

if ndx > 0 :

parent = ndx // 2

if self.\_elements[ndx] > self.\_elements[parent] :

tmp = self.\_elements[ndx]

self.\_elements[ndx] = self.\_elements[parent]

self.\_elements[parent] = tmp

self.\_siftUp( parent )

# swap elements

# Sift the value at the ndx element down the tree.

def \_siftDown( self, ndx ):

left = 2 \* ndx + 1

right = 2 \* ndx + 2

# Determine which node contains the larger value.

largest = ndx

if left < count and self.\_elements[left] >=

self.\_elements[largest] :

largest = left

elif right < count and self.\_elements[right] >=

self.\_elements[largest]:

largest = right

# If the largest value is not in the current node (ndx),

swap it with

# the largest value and repeat the process.

if largest != ndx :

swap( self.\_elements[ndx],

self.\_elements[largest] )

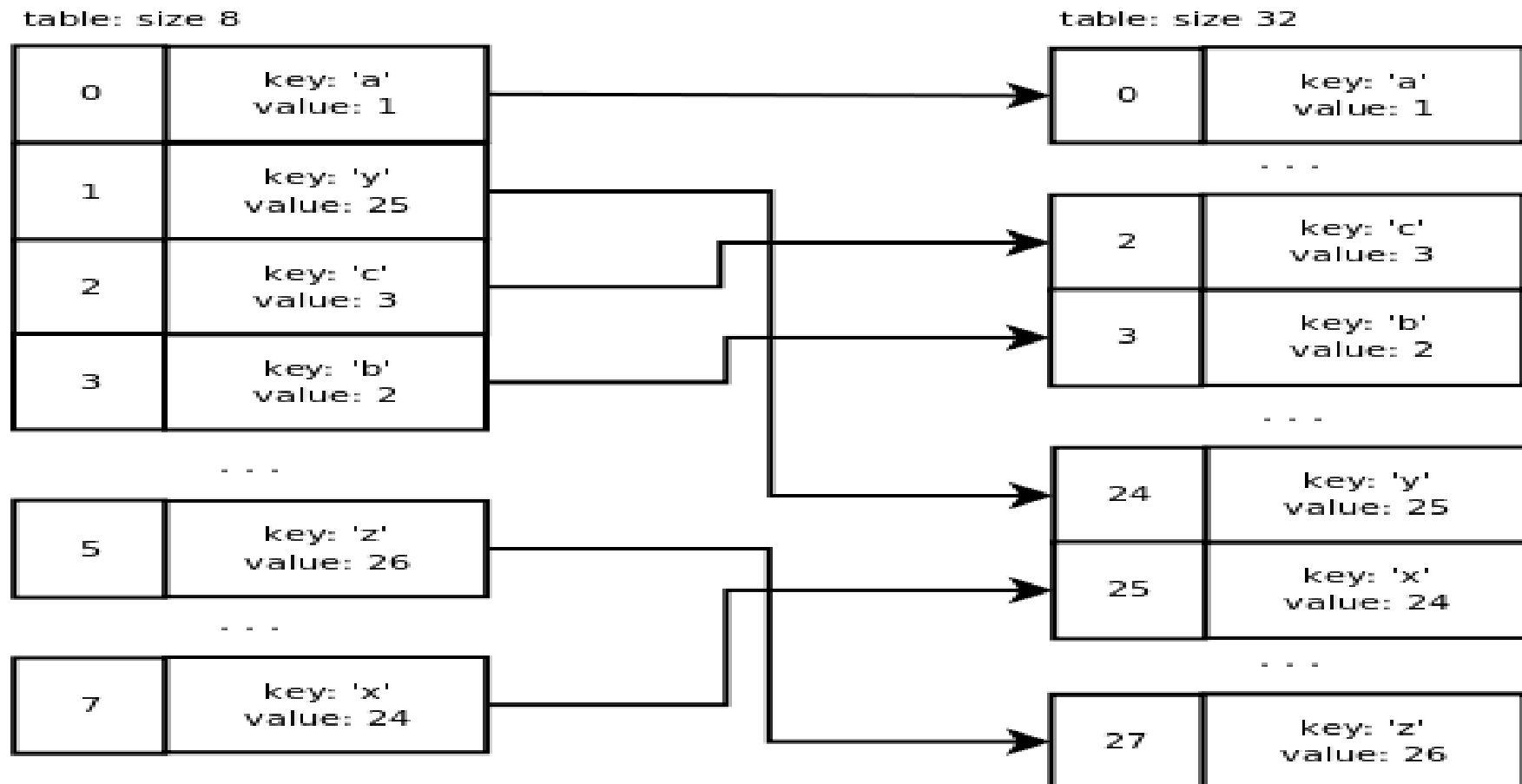
\_siftDown( largest )

# ELEMENTARY DATA STRUCTURES: HASH TABLES

## Hash Table

Stores data into an array format

It uses a hashing function that generates a slot or an index to store/insert any element or value.



# ELEMENTARY DATA STRUCTURES: HASH TABLES

## Hashing Function

- Generates a slot or index to any “key” value.
- Perfect hashing or perfect hash function is the one which assigns a unique slot for every key value.
- Sometimes, there can be cases where the hash function generates the same index for multiple key values.
- The size of the hash table can be increased to improve the perfection of the hash function.

**Creating a hash\_table- modulo can be a hashing function**

```
hash_table = [None] * 10
print (hash_table)
```

**# Output:**

```
[None, None, None, None, None, None, None, None, None, None]
```



# ELEMENTARY DATA STRUCTURES: HASH TABLES

## Hashing Function

Creating a hash\_table- modulo can be a hashing function

```
hash_table = [None] * 10
```

```
print (hash_table)
```

# Output:

```
[None, None, None, None, None, None, None, None, None, None]
```

```
def hashing_func(key): # module used as a hashing function
 return key % len(hash_table)
```

```
print (hashing_func(10)) # Output: 0
```

```
print (hashing_func(20)) # Output: 0
```

```
print (hashing_func(25)) # Output: 5
```

### More implementations

```
hash_table = [[] for _ in range(10)]
```

```
print (hash_table)
```

# Output:

```
[[], [], [], [], [], [], [], [], [], []]
```

# ELEMENTARY DATA STRUCTURES: HASH TABLES

## More implementations

```
def insert(hash_table, key, value):
 hash_key = hash(key) %
len(hash_table)
 key_exists = False
 bucket = hash_table[hash_key]
 for i, kv in enumerate(bucket):
 k, v = kv
 if key == k:
 key_exists = True
 break
 if key_exists:
 bucket[i] = ((key, value))
 else:
 bucket.append((key, value))
```

```
insert(hash_table, 10, 'Nepal')
insert(hash_table, 25, 'USA')
insert(hash_table, 20, 'India')
print (hash_table)
Output:
[(10, 'Nepal'), (20, 'India')], [], [], [],
[], [(25, 'USA')], [], [], [], []
```

```
def search(hash_table, key):
 hash_key = hash(key) % len(hash_table)
 bucket = hash_table[hash_key]
 for i, kv in enumerate(bucket):
 k, v = kv
 if key == k:
 return v
```

```
print (search(hash_table, 10)) # Output: Nepal
print (search(hash_table, 20)) # Output: India
print (search(hash_table, 30)) # Output: None
```

# ELEMENTARY DATA STRUCTURES: HASH TABLES

## More implementations

```
def delete(hash_table, key):
 hash_key = hash(key) % len(hash_table)
 key_exists = False
 bucket = hash_table[hash_key]
 for i, kv in enumerate(bucket):
 k, v = kv
 if key == k:
 key_exists = True
 break
 if key_exists:
 del bucket[i]
 print ('Key {} deleted'.format(key))
 else:
 print ('Key {} not found'.format(key))
```

```
delete(hash_table, 100)
print (hash_table)
Output:
Key 100 not found
[[(10, 'Nepal'), (20, 'India')], [], [], [], [], [(25, 'USA')], [], [], [], []]
```

```
delete(hash_table, 10)
print (hash_table)
Output:
Key 10 deleted
[[(20, 'India')], [], [], [], [], [(25, 'USA')], [], [], [], []]
```

Weeks 1, 2, 3

# ELEMENTARY DATA STRUCTURES- COMPLEXITIES

| Data Structure     | Time Complexity |              |              |              |              |              |              |              | Space Complexity |
|--------------------|-----------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|------------------|
|                    | Average         |              |              |              | Worst        |              |              |              | Worst            |
|                    | Access          | Search       | Insertion    | Deletion     | Access       | Search       | Insertion    | Deletion     |                  |
| Array              | $O(1)$          | $O(n)$       | $O(n)$       | $O(n)$       | $O(1)$       | $O(n)$       | $O(n)$       | $O(n)$       | $O(n)$           |
| Stack              | $O(n)$          | $O(n)$       | $O(1)$       | $O(1)$       | $O(n)$       | $O(n)$       | $O(1)$       | $O(1)$       | $O(n)$           |
| Queue              | $O(n)$          | $O(n)$       | $O(1)$       | $O(1)$       | $O(n)$       | $O(n)$       | $O(1)$       | $O(1)$       | $O(n)$           |
| Singly-Linked List | $O(n)$          | $O(n)$       | $O(1)$       | $O(1)$       | $O(n)$       | $O(n)$       | $O(1)$       | $O(1)$       | $O(n)$           |
| Doubly-Linked List | $O(n)$          | $O(n)$       | $O(1)$       | $O(1)$       | $O(n)$       | $O(n)$       | $O(1)$       | $O(1)$       | $O(n)$           |
| Skip List          | $O(\log(n))$    | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | $O(n)$       | $O(n)$       | $O(n)$       | $O(n)$       | $O(n \log(n))$   |
| Hash Table         | N/A             | $O(1)$       | $O(1)$       | $O(1)$       | N/A          | $O(n)$       | $O(n)$       | $O(n)$       | $O(n)$           |
| Binary Search Tree | $O(\log(n))$    | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | $O(n)$       | $O(n)$       | $O(n)$       | $O(n)$       | $O(n)$           |
| Cartesian Tree     | N/A             | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | N/A          | $O(n)$       | $O(n)$       | $O(n)$       | $O(n)$           |
| B-Tree             | $O(\log(n))$    | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | $O(n)$           |
| Red-Black Tree     | $O(\log(n))$    | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | $O(n)$           |
| Splay Tree         | N/A             | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | N/A          | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | $O(n)$           |
| AVL Tree           | $O(\log(n))$    | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | $O(n)$           |
| KD Tree            | $O(\log(n))$    | $O(\log(n))$ | $O(\log(n))$ | $O(\log(n))$ | $O(n)$       | $O(n)$       | $O(n)$       | $O(n)$       | $O(n)$           |

# **CSC 311 DESIGN AND ANALYSIS OF ALGORITHMS**

## **EXERCISES**

- (1) Define the term algorithm**
- (2) Define the term 'design and analysis of algorithm'**
- (3) Describe the features of a an efficient algorithm**
- (4) What is the origin of 'design and analysis of algorithms'?**
- (5) Why is analysi of algorithms important?**
- (6) What is the use of analysis of algorithms?**
- (7) Describe the steps in analysis of algorithms**
- (8) Describe the role of inputs in analysis of algorithms**
- (9) State some typical input functions**
- (10) Discuss why it is still necessary to perform analysis of algorithms when computers are now very fast.**
- (11) Describe some arithmetic sequences**
- (12) Describe some geometric sequences**
- (13) Describe some logarithmic formulae**
- (14) Discus the importance of 'recursion'**

# **CSC 311 DESIGN AND ANALYSIS OF ALGORITHMS**

## **EXERCISES**

- (1) Discuss the importance of 'recurrence relations' giving examples**
- (2) Describe the term 'time complexity of an algorithm'**
- (3) Describe the term 'space complexity of an algorithm'**
- (4) State the important features to consider in time complexity of an algorithm**
- (5) Discuss the order of growth of functions**
- (6) Describe the big-oh**
- (7) Describe the big-omega**
- (8) Describe the big-theta**
- (9) Find out how various algorithms perform in terms of the big-o**
- (10) Which algorithms would you prefer in sorting and searching?**
- (11) Describe arrays and their implementations**
- (12) Describe linked-lists and their implementations**

# **CSC 311 DESIGN AND ANALYSIS OF ALGORITHMS**

## **EXERCISES**

- (1) Describe queues and their implementations**
- (2) Describe priority queues**
- (3) Describe binary trees and their implementations**
- (4) Describe heaps and their implementations**
- (5) Describe hash tables and hashing functions**
- (6) Discuss space and time complexity associated with operations on some data structures.**