# Assignment 3: Single Image Super Resolution

PLAGIARISM Penalty: Whole Course Failed.

(Due: 23:59, 8 Apr. 2024)

### 1 Introduction

Single image super resolution (SISR) is an image restoration problem which aims to recover a high-resolution (HR) image from the corresponding low-resolution (LR) image.

In this assignment, you need to implement a Super Resolution Convolutional Neural Network (SRCNN) with PyTorch. We use Learning a Deep Convolutional Network for Image Super-Resolution [1] as the reference. The network architecture and implementation details will be provided in the following sections. In the end, you should submit the source code, training log, and the well-trained model after your finish this assignment.

## 2 Implementation Details

#### 2.1 SRCNN

SRCNN uses pairs of LR and HR images to learn the mapping between them. For this purpose, image databases containing LR and HR pairs are created and used as a training set. The learned mapping can be used to predict HR details in a new image.

The SRCNN consists of the following operations:

- 1. **Preprocessing:** Upscales LR image to desired HR size (using bicubic interpolation).
- 2. **Feature extraction**: Extracts a set of feature maps from the upscaled LR image.
- 3. **Non-linear mapping**: Maps the feature maps representing LR to HR patches.
- 4. **Reconstruction**: Produces the HR image from HR patches.

Operations 2–4 above can be cast as a convolutional layer in a CNN that accepts the upscaled images as input, and outputs the HR image. This CNN consists of three convolutional layers:

- Conv. Layer 1: Patch extraction
  - o 64 filters of size 3 x 9 x 9 (padding=4, stride=1)
  - o Activation function: ReLU
  - Output: 64 feature maps
- Conv. Layer 2: Non-linear mapping
  - o 32 filters of size 64 x 1x 1 (padding=0, stride=1)
  - o Activation function: ReLU
  - Output: 32 feature maps
- Conv. Layer 3: Reconstruction
  - o 3 filter of size 32 x 5 x 5 (padding=2, stride=1)
  - o Activation function: Identity
  - o Output: HR image

The overall structure of SRCNN is shown in Figure 1.

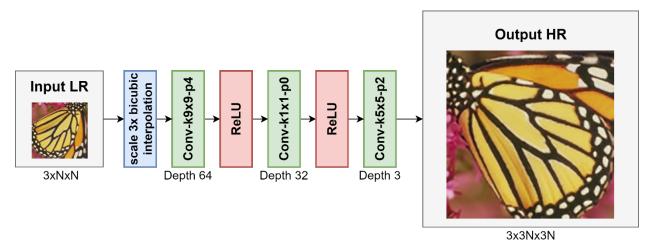


Figure 1. Network architecture of SRCNN with upscaling factor=3

In this assignment, you will need to implement a SRCNN with upscaling factor 3 in PyTorch. Let  $f_{\theta}(x)$  denote this SRCNN model in the following sections.

### 2.2 Model Training

A typical training framework for a neural network is as follows:

- Define the neural network that has some learnable parameters (or weights)
- Iterate over a dataset of inputs
- Process input through the network
- Compute the loss between output and the ground truth (how far is the output from being correct)
- Propagate gradients back into the network's parameters
- Update the weights of the network, typically using a simple update rule: weight = weight learning\_rate \* gradient

The SRCNN is a simple feed-forward neural network. It upscaled the input LR, feeds the upscaled image through several layers one after the other, and then finally gives the output. The overall training procedure of this network is the same as the above framework. To be specific, with PyTorch, the pseudocode of training procedure for SRCNN can be described as follows:

```
procedure TrainOneEpoch(model\ Y_{\theta}, optimizer, trainSet) for each (LR_i, HR_i) pair in trainSet do zero the gradient buffers of optimizer compute output_i = f_{\theta}(LR_i) compute the loss \ell = loss\_function(output_i, HR_i) back-propagate the gradients from \ell to the parameters \theta of model f_{\theta} use optimizer to update the parameters \theta record the loss for training statistics
```

Note that the actual code might differ from the pseudocode. Please check tutorial notes and PyTorch document for related APIs. Besides, we use mean squared error (MSE) as the *loss\_function*:

$$\mathcal{L}(\theta) = \frac{1}{n} \sum_{i=1}^{n} ||f_{\theta}(LR_i) - HR_i||^2$$

where *n* is the number of training samples. This loss functions can be found in PyTorch APIs. Using MSE as the loss function favors a high peak signal-to-noise ratio (PSNR). The PSNR is a widely used metric for quantitatively evaluating image restoration quality and is at least partially related to the perceptual quality. We will also use PSNR (the higher the better) to measure the performance of the trained model. The PSNR related snippets are provided in the skeleton code.

In this assignment, we use 91-Image dataset as our training dataset and Set-5 dataset as the validation dataset. The data related part is provided in the skeleton code.

Other hyperparameters related to training are listed below:

- Training epoch=120; one epoch means completing one loop over whole dataset
- Optimizer: Adam
- Learning rate=0.0001
- Training batch size=48; the number of inputs being feed into the network at once

Note that the above hyperparameters might not lead to reasonable performance. You are recommended to find other possible hyperparameters to achieve better performance.

### 2.3 Skeleton Code Usage

### 2.3.1 Project Structure

The skeleton code consists of 6 files:

- train.py: a CLI program, which contains the procedure of model training [need to be completed]
- model.py: SRCNN model [need to be completed]
- data.py: dataset related codes
- utils.py: helper functions
- super resolve.py: a CLI program, which can super resolve images given a well-trained model
- info.py: submission info [need to be completed]

In this assignment, you are required to implement a SRCNN in **PyTorch 1.6+**. In order to make the skeleton code functional, you need to complete these three files in the skeleton code: model.py, info.py, train.py.

### 2.3.2 train.py

This file contain codes for training the SRCNN. You need to complete the train() function. The default configuration might not lead to reasonable performance. You are recommended to setup your own training configuration to achieve better performance. You can also use a different optimizer or different loss functions (e.g. L1 loss, structural similarity index measure) for training.

The usage of train.py can be described as follows:

```
# train the SRCNN model using GPU, set learning rate=0.0005, batch size=256,
# make the program train 100 epoches and save a checkpoint every 10 epoches
python train.py train --cuda --lr=0.0005 --batch-size=256 --num-epoch=100 --save-
freq=10
# train the SRCNN model using CPU, set learning rate=0.001, batch size=128,
# make the program train 20 epoches and save a checkpoint every 2 epoches
python train.py train --lr=0.001 --batch-size=128 --num-epoch=20 --save-freq=2

# resume training with GPU from "checkpoint.x" with saved hyperparameters
python train.py resume checkpoint.x --cuda
# resume training from "checkpoint.x" and override some of saved hyperparameters
python train.py resume checkpoint.x --batch-size=16 --num-epoch=200

# inspect "checkpoint.x"
python train.py inspect checkpoint.x
```

Note that the checkpoint consists of the parameters of a trained model, the state of an optimizer, and the arguments (or hyperparameters) used in current training procedure. Thus, you can use checkpoint to resume training. This program will also try to save the visualization of training log into training log.html.

### 2.3.3 super resolve.py

The usage of super\_resolve.py can be described as follows:

```
# use the model stored in "checkpoint.x" to super resolve "lr.bmp"
python super_resolve.py --checkpoint checkpoint.x lr.bmp
```

You may use this program to perform qualitative comparison using the images inside the image\_examples.zip file. This file contains LR images, upscaled images with bicubic interpolation, and ground truth (GT) HR images.

# 3 Grading Scheme

# **3.1 SRCNN** [70 points]

The assignment will be graded by the following marking scheme:

• Code [30 points]

The network implementation: 20 points
 The codes for training: 10 points

Model Training [40 points]

Checkpoint: 10 points
 Model performance: 30 points

In general, the higher evaluation metrics are, the higher your score will be. Note that
we will also evaluate the generalization ability of your submitted model with a small
set of unseen images (images which do not belong to training and validation dataset).

### 3.2 Extra feature [30 points]

You are required to add extra feature related to super resolution: for example, HDR super resolution, panorama super resolution, image super resolution with arbitrary scaling factor, continuous image super resolution, video super resolution, interactive super resolution, or deploying your SRCNN on your smartphone, etc. Just use your curiosity and creativity.

### 4 Submission Guidelines

You need to submit train.py, info.py, model.py, and checkpoint.pth to the Blackboard. The saved checkpoint can have various filenames, you should select one and rename it to checkpoint.pth. You need to archive all the mentioned files in .zip or .7z format, name this archive file with your name and student ID (e.g. 1155xxxxxx lastname firstname.zip), and then submit this file to the Blackboard.

For extra feature, you need to archive your implementation and a readme file (which explain what the extra feature is) in .zip or .7z format, name this archive file with your name and student ID (e.g. 1155xxxxxx\_lastname\_firstname\_extra.zip), and then submit this file to the Blackboard.

In case of multiple submissions, only the latest one will be considered.

You will fail the course if you copy others' work, including works from previous years, the Internet etc. Sharing your codes with another student is also prohibited.

### 4.1 Submission Requirements

In your source code files, type your full name and student ID, just like:

```
#
# CSCI3290 Computational Imaging and Vision *
# --- Declaration --- *
# I declare that the assignment here submitted is original except for source
# material explicitly acknowledged. I also acknowledge that I am aware of
# University policy and regulations on honesty in academic work, and of the
# disciplinary guidelines and procedures applicable to breaches of such policy
# and regulations, as contained in the website
# http://www.cuhk.edu.hk/policy/academichonesty/ *
# Assignment 3
# Name:
# Student ID:
# Email Addr:
#
```

Besides, complete the following parts in the info.py. The info object will be saved to the checkpoint, i.e. well-trained model.

```
info = Info(
   name="your name",
   id="1155xxxxxxx",
   email="example@example.com"
)
```

## 4.2 Late Submission Penalty

If you submit your solution after the due date, 10 marks will be deducted per day, and the maximal deduction is 30 marks even you delay more than 3 days. However, there are hard deadlines as we need time to grade and submit grade. The hard deadline is 7 May 2024. *No submission is accepted after the hard deadline*.

# References

[1] Dong, C., Loy, C. C., He, K., & Tang, X. (2015). Image super-resolution using deep convolutional networks. *IEEE transactions on pattern analysis and machine intelligence*, 38(2), 295-307.

### **Useful Links**

- 1. PyTorch tutorial: <a href="https://pytorch.org/tutorials/beginner/deep learning 60min blitz.html">https://pytorch.org/tutorials/beginner/deep learning 60min blitz.html</a>
- 2. PyTorch document: <a href="https://pytorch.org/docs/stable/index.html">https://pytorch.org/docs/stable/index.html</a>
- 3. Tensorboard usage: <a href="https://pytorch.org/tutorials/intermediate/tensorboard\_tutorial.html">https://pytorch.org/tutorials/intermediate/tensorboard\_tutorial.html</a>
- 4. Jupyter notebook: https://docs.jupyter.org/en/latest/start/index.html
- 5. Google Colab: <a href="https://colab.research.google.com/">https://colab.research.google.com/</a>
- 6. Stanford University CS231n: <a href="https://www.youtube.com/watch?v=vT1JzLTH4G4&list=PLC1qU-LWwrF64f4QKQT-Vg5Wr4qEE1Zxk">https://www.youtube.com/watch?v=vT1JzLTH4G4&list=PLC1qU-LWwrF64f4QKQT-Vg5Wr4qEE1Zxk</a>