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## **UNet** — Line by Line Explanation

**Example UNet Implementation** 



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UNet, evolved from the traditional convolutional neural network, was first designed and applied in 2015 to process biomedical images. As a general convolutional neural network focuses its task on image classification, where input is an image and output is one label, but in biomedical cases, it requires us not only to distinguish whether there is a disease, but also to localise the area of abnormality.

UNet is dedicated to solving this problem. The reason it is able to localise and distinguish borders is by doing classification on every pixel, so the input and output share the same size. For example, for an input image of size 2x2:

```
[[255, 230], [128, 12]] # each number is a pixel
```

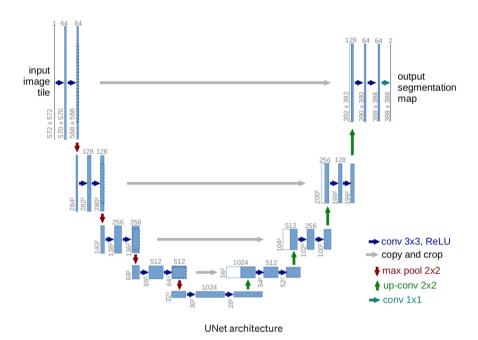
the output will have the same size of 2x2:

```
[[1, 0], [1, 1]] # could be any number between [0, 1]
```

Now let's get to the detail implementation of UNet. I will:

- 1. Show the overview of UNet
- $2. \ Breakdown the implementation line by line and further explain it$

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First sight, it has a "U" shape. The architecture is symmetric and consists of two major parts — the left part is called contracting path, which is constituted by the general convolutional process; the right part is expansive path, which is constituted by transposed 2d convolutional layers (you can think it as an upsampling technic for now).

Now let's have a quick look at the implementation:

```
def build_model(input_layer, start_neurons):
    conv1 = Conv2D(start_neurons * 1, (3, 3), activation="relu", padding="same")(input_layer)
    conv1 = Conv2D(start_neurons * 1, (3, 3), activation="relu", padding="same")(conv1)
    pool1 = MaxPooling2D((2, 2))(conv1)
    pool1 = Dropout(0.25)(pool1)

conv2 = Conv2D(start_neurons * 2, (3, 3), activation="relu", padding="same")(pool1)
    conv2 = Conv2D(start_neurons * 2, (3, 3), activation="relu", padding="same")(conv2)
    pool2 = MaxPooling2D((2, 2))(conv2)
    pool2 = Dropout(0.5)(pool2)

conv3 = Conv2D(start_neurons * 4, (3, 3), activation="relu", padding="same")(pool2)
```



```
conv4 = Conv2D(start_neurons * 8, (3, 3), activation="relu", padding="same")(pool3)
        conv4 = Conv2D(start_neurons * 8, (3, 3), activation="relu", padding="same")(conv4)
18
        pool4 = MaxPooling2D((2, 2))(conv4)
20
        pool4 = Dropout(0.5)(pool4)
        # Middle
        convm = Conv2D(start_neurons * 16, (3, 3), activation="relu", padding="same")(pool4)
        convm = Conv2D(start neurons * 16, (3, 3), activation="relu", padding="same")(convm)
        deconv4 = Conv2DTranspose(start neurons * 8, (3, 3), strides=(2, 2), padding="same")(convm)
        uconv4 = concatenate([deconv4, conv4])
28
        uconv4 = Dropout(0.5)(uconv4)
        uconv4 = Conv2D(start_neurons * 8, (3, 3), activation="relu", padding="same")(uconv4)
        uconv4 = Conv2D(start neurons * 8, (3, 3), activation="relu", padding="same")(uconv4)
        deconv3 = Conv2DTranspose(start_neurons * 4, (3, 3), strides=(2, 2), padding="same")(uconv2
        uconv3 = concatenate([deconv3, conv3])
34
        uconv3 = Dropout(0.5)(uconv3)
        uconv3 = Conv2D(start neurons * 4, (3, 3), activation="relu", padding="same")(uconv3)
        uconv3 = Conv2D(start_neurons * 4, (3, 3), activation="relu", padding="same")(uconv3)
38
        deconv2 = Conv2DTranspose(start_neurons * 2, (3, 3), strides=(2, 2), padding="same")(uconv3
        uconv2 = concatenate([deconv2, conv2])
40
        uconv2 = Dropout(0.5)(uconv2)
        uconv2 = Conv2D(start_neurons * 2, (3, 3), activation="relu", padding="same")(uconv2)
41
        uconv2 = Conv2D(start neurons * 2, (3, 3), activation="relu", padding="same")(uconv2)
42
43
        deconv1 = Conv2DTranspose(start_neurons * 1, (3, 3), strides=(2, 2), padding="same")(uconv2
45
        uconv1 = concatenate([deconv1, conv1])
        uconv1 = Dropout(0.5)(uconv1)
47
        uconv1 = Conv2D(start_neurons * 1, (3, 3), activation="relu", padding="same")(uconv1)
        uconv1 = Conv2D(start_neurons * 1, (3, 3), activation="relu", padding="same")(uconv1)
49
50
        output_layer = Conv2D(1, (1,1), padding="same", activation="sigmoid")(uconv1)
        return output_layer
    input_layer = Input((img_size_target, img_size_target, 1))
    output layer = build model(input layer, 16)
```

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Now let's break down the implementation line by line and maps to the corresponding parts on the image of UNet architecture.

## Line by Line Explanation

#### **Contracting Path**

The contracting path follows the formula:

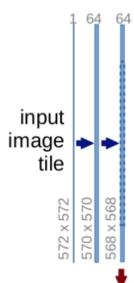
```
conv layer1 -> conv layer2 -> max pooling -> dropout(optional)
```

So the first part of our code is:

```
1 conv1 = Conv2D(start_neurons * 1, (3, 3), activation="relu", padding="same")(input_layer)
2 conv1 = Conv2D(start_neurons * 1, (3, 3), activation="relu", padding="same")(conv1)
3 pool1 = MaxPooling2D((2, 2))(conv1)
4 pool1 = Dropout(0.25)(pool1)

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```

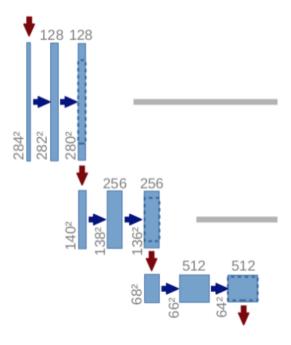
which matches to:





channel changes from  $1 \rightarrow 64$ , as convolution process will increase the depth of the image. The red arrow pointing down is the max pooling process which halves down size of image(the size reduced from  $572x572 \rightarrow 568x568$  is due to padding issues, but the implementation here uses padding = "same").

The process is repeated 3 more times:



with code:

```
conv2 = Conv2D(start_neurons * 2, (3, 3), activation="relu", padding="same")(pool1)
conv2 = Conv2D(start_neurons * 2, (3, 3), activation="relu", padding="same")(conv2)
pool2 = MaxPooling2D((2, 2))(conv2)

pool2 = Dropout(0.5)(pool2)

conv3 = Conv2D(start_neurons * 4, (3, 3), activation="relu", padding="same")(pool2)
conv3 = Conv2D(start_neurons * 4, (3, 3), activation="relu", padding="same")(conv3)
pool3 = MaxPooling2D((2, 2))(conv3)
pool3 = Dropout(0.5)(pool3)

conv4 = Conv2D(start_neurons * 8, (3, 3), activation="relu", padding="same")(pool3)
conv4 = Conv2D(start_neurons * 8, (3, 3), activation="relu", padding="same")(conv4)
```

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and now we reaches at the bottommost:



still 2 convolutional layers are built, but with no max pooling:

```
# Middle
convm = Conv2D(start_neurons * 16, (3, 3), activation="relu", padding="same")(pool4)
convm = Conv2D(start_neurons * 16, (3, 3), activation="relu", padding="same")(convm)

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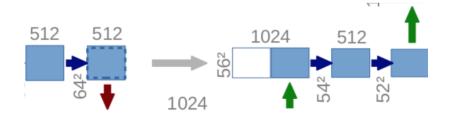
view raw
```

The image at this moment has been resized to 28x28x1024. Now let's get to the expansive path.

### **Expansive Path**

In the expansive path, the image is going to be upsized to its original size. The formula follows:

```
conv 2d transpose -> concatenate -> conv layer1 -> conv layer2
```



```
deconv4 = Conv2DTranspose(start_neurons * 8, (3, 3), strides=(2, 2), padding="same")(convm)
uconv4 = concatenate([deconv4, conv4])
uconv4 = Dropout(0.5)(uconv4)
uconv4 = Conv2D(start_neurons * 8, (3, 3), activation="relu", padding="same")(uconv4)
uconv4 = Conv2D(start_neurons * 8, (3, 3), activation="relu", padding="same")(uconv4)
```



Transposed convolution is an upsampling technic that expands the size of images. There is a visualised demo <u>here</u> and an explanation <u>here</u>. Basically, it does some padding on the original image followed by a convolution operation.

After the transposed convolution, the image is upsized from  $28x28x1024 \rightarrow 56x56x512$ , and then, this image is concatenated with the corresponding image from the contracting path and together makes an image of size 56x56x1024. The reason here is to combine the information from the previous layers in order to get a more precise prediction.

In line 4 and line 5, 2 other convolution layers are added.

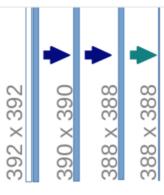
Same as before, this process is repeated 3 more times:

```
deconv3 = Conv2DTranspose(start neurons * 4, (3, 3), strides=(2, 2), padding="same")(uconv4)
    uconv3 = concatenate([deconv3, conv3])
    uconv3 = Dropout(0.5)(uconv3)
    uconv3 = Conv2D(start_neurons * 4, (3, 3), activation="relu", padding="same")(uconv3)
    uconv3 = Conv2D(start neurons * 4, (3, 3), activation="relu", padding="same")(uconv3)
    deconv2 = Conv2DTranspose(start neurons * 2, (3, 3), strides=(2, 2), padding="same")(uconv3)
    uconv2 = concatenate([deconv2, conv2])
     uconv2 = Dropout(0.5)(uconv2)
    uconv2 = Conv2D(start neurons * 2, (3, 3), activation="relu", padding="same")(uconv2)
     uconv2 = Conv2D(start neurons * 2, (3, 3), activation="relu", padding="same")(uconv2)
    deconv1 = Conv2DTranspose(start neurons * 1, (3, 3), strides=(2, 2), padding="same")(uconv2)
    uconv1 = concatenate([deconv1, conv1])
    uconv1 = Dropout(0.5)(uconv1)
    uconv1 = Conv2D(start neurons * 1, (3, 3), activation="relu", padding="same")(uconv1)
    uconv1 = Conv2D(start neurons * 1, (3, 3), activation="relu", padding="same")(uconv1)
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                                                                                           view raw
```

Now we've reached the uppermost of the architecture, the last step is to reshape the image to satisfy our prediction requirements.



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# output segmentation map

```
1 output_layer = Conv2D(1, (1,1), padding="same", activation="sigmoid")(uconv1)

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```

The last layer is a convolution layer with 1 filter of size 1x1 (notice that there is no dense layer in the whole network). And the rest left is the same for neural network training.

#### Conclusion

UNet is able to do image localisation by predicting the image pixel by pixel and the author of UNet claims in his <u>paper</u> that the network is strong enough to do good prediction based on even few data sets by using excessive data augmentation techniques. There are many applications of image segmentation using UNet and it also occurs in lots of competitions. One should try out on yourself and I hope this post could be a good starting point for you.

#### Reference:

- https://github.com/hlamba28/UNET-TGS/blob/master/TGS%20UNET.ipynb
- https://towardsdatascience.com/understanding-semantic-segmentation-with-unet-6be4f42d4b47
- https://towardsdatascience.com/types-of-convolutions-in-deep-learning-717013397f4d



• https://www.kaggle.com/phoenigs/u-net-dropout-augmentation-stratification

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