# New York Institute of Technology CSCI 330/509-M02 - Operating System 1st Quiz - Fall 2017

**Instructor: Prof. Susan Gass** 

## PART (I) Fill-in-the-blank Questions:

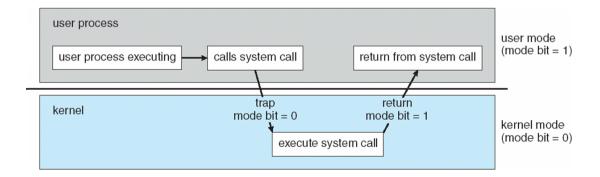
- 1. **kernel** is the one program running at all times on the computer.
- **2. Virtualization** allows operating system to run applications within other operating systems.
- **3. Virtual memory** allows execution of processes not completely in memory.
- 4. In Symmetric Multiprocessing (SMP), processors perform all tasks.
- 5. A timer can be used to prevent a user program from never returning control to the operating system.

#### **PART (II) Short-Answer Questions**

- **6.** Why should devices (with the exception of CPU) have device controllers?
- It's uses as an interface between device and the operating system.
- Device controller is a hardware with a local buffer (which is a special-purpose register), that's used for exchange of data between the device controller's local buffer and memory through the CPU. Communication with CPU is by interrupts, where device controller notifies the CPU that it has finished an operation, by causing an interrupt.
- I/O is from the device to local buffer of device controller
- 7. List and briefly describe the two modes of operating in a system.

**User mode (mode bit = 1)**: User application/Process is executing. However, since there is no direct access to hardware (including memory), requests for OS services via system calls, causes transition from user mode to Kernel mode.

**Kernel mode (mode bit = 0):** Handles system calls (which are interfaces to OS services)



**8.** Briefly describe the purpose of interrupts and their implementation.

An interrupt is a signal to the CPU, generated by hardware or software, notifying the CPU that an event has occurred that needs its attention.

**Hardware interrupts:** are caused by either internal device such as device controller or external devices such as keyboard, mouse, printer, scanner, sound card, camera, etc., requesting CPU services. For example, device signaling that it has received some data (e.g., keystrokes on the keyboard or data from network card); or it has just completed a task such as transferring data between the hard drive and memory.

**Software Interrupts:** are generated by user program to handle a traps or exceptions, which is sent to OS and it's run in privileged mode. Or interrupts sent to and from disk controller to request reading or writing of data to and from the disk. Software interrupts are also causes by programs requesting a service from OS via system calls.

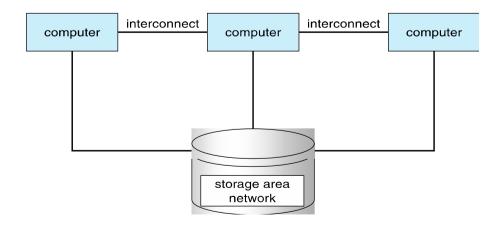
**Interrupt Handling:** For handling interrupts, CPU suspends its current activity, saves its state, an interrupt vector which contains the addresses of all the Interrupt Service Routines (ISRs) is looked up to locate the appropriate ISR to run. After interrupt is handled, CPU continues its activities from where it was interrupted.

**9.** Briefly describe clustered systems and why they are considered high-availability systems?

A collection of systems connected together on a network, sharing a storage via (SAN). They provide high-availability service which survives failure due to redundancies in these systems. So, if a node fails other nodes will take over the failed node's task.

**Asymmetric clustering:** where one machine in hot-standby mode, to look out for failed nodes and handle the task.

**Symmetric clustering:** where multiple nodes are running and monitoring each other.



Good Luck!

# New York Institute of Technology CSCI 330/509-M02 - Operating System 2nd Quiz - Fall 2017

**Instructor: Prof. Susan Gass** 

## PART (I): Fill-in-the-blanks Questions:

- 1. The Device controller is responsible for moving the data between the peripheral devices that it controls and its local buffer storage.
- 2. Microkernel provides more security and reliability, since most services are running as user.
- 3. Modules allow operating system services to be loaded dynamically.
- 4. A modular kernel may be the best of the current operating system design techniques, because

In addition to modules being loaded dynamically, when needed, adding or modifying the existing modules won't require modifying the kernel. Modules can communicate with each other, which makes it more flexible than the layered approach.

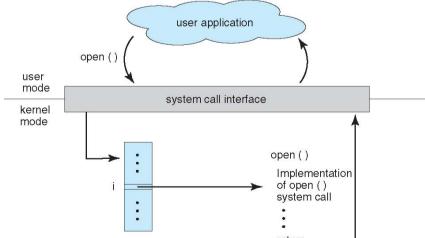
## **PART (II): Short-Answer Questions**

5. Briefly describe the purpose of systems calls and their implementation.

Systems calls are requests from user applications for OS services, such as reading a file, writing to some device, or maybe even running another program.

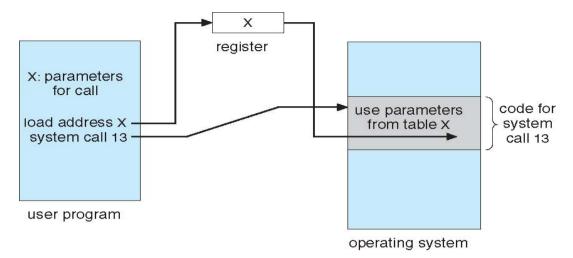
#### **Implementation:**

- A number is assigned to each systems call.
- System-call interface maintains a table indexed according to these numbers.
- System-call interface invokes the intended system call in OS's Kernel and returns status of the system call and any return values.



- 6. What are the three methods of system call parameter passing?
- Passing parameters in registers

• Parameters stored in a block or table, in memory, and address of block passed as a parameter in a register.



• Parameters placed, or pushed, onto the stack by the program and popped off the stack by the OS.

**Good Luck!** 

# New York Institute of Technology CSCI 330/509-M02 - Operating System 3<sup>rd</sup> Quiz - Fall 2017

**Instructor: Prof. Susan Gass** 

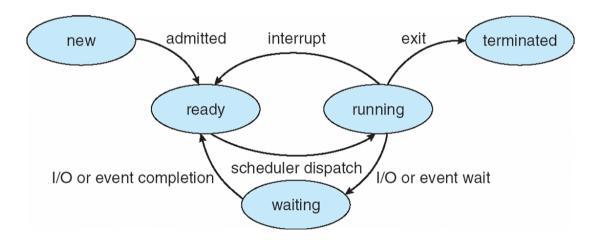
# **PART (I) – Multiple Choice Questions:**

<ol> <li>A process control block</li> <li>A) includes information on the process's state</li> <li>B) stores the address of the next instruction to be processed by a different process</li> <li>C) determines which process is to be executed next</li> <li>D)is an example of a process queue</li> </ol>
<ul> <li>2. The of a process contains temporary data such as function parameters, return addresses, and local variables.</li> <li>A) text section</li> <li>B) data section</li> <li>C) program counter</li> <li>D) stack</li> </ul>
<ul> <li>3. The refers to the number of processes in memory.</li> <li>A) process count</li> <li>B) long-term scheduler</li> <li>C) degree of multiprogramming</li> <li>D) CPU scheduler</li> </ul>
<ul> <li>4. The list of processes waiting for a particular I/O device is called a(n)</li> <li>A) standby queue</li> <li>B) ready queue</li> <li>C) device queue</li> <li>D) interrupt queue</li> </ul>
<ul><li>5. A blocking send() and blocking receive() is known as a(n)</li><li>A) synchronous message</li><li>B) rendezvous</li><li>C) blocked message</li><li>D) asynchronous message</li></ul>
PART (II) – True/False Questions:
6. The short-term scheduler selects a process that is ready to execute and allocates the CPU to it.
7. Cascading termination refers to all children processes terminating, while the parent process is still executing.
8. A mailbox is used in Direct interprocess communication. <b>F</b>

- 9. In a Non-blocking (asynchronous) interprocess communication, receiver is blocked until a message is available. **F**
- 10. In a Remote Procedure Call (RPC), a separate stub exists for each separate remote procedure.

## **PART (III) – Short Answer Questions:**

- 11. Explain the concept of a context switch.
  - Switching CPU from one process to another process, requires the system to **save the state** of the old process (i.e., the one that's being removed from the running state) and load (reload) the **saved state** of the new process via a **context switch**
  - **Context** of a process represented in the PCB
  - Context-switch time is overhead and idle time for the system
- 12. Name and describe the different states that a process can exist in at any given time.
  - **New** Process is created
  - **Ready** Processes ready to be selected by CPU Scheduler and assigned to the processor (CPU)
  - **Running** Process is running on CPU
  - **Waiting** Process is waiting for I/O or an event to occur
  - **Terminate** Process is finished execution



**Good Luck!** 

# New York Institute of Technology CSCI 330/509-M02 - Operating System 4<sup>rd</sup> Quiz - Fall 2017

**Instructor: Prof. Susan Gass** 

#### **PART (I) – Fill-in-the-blank Questions:**

- A thread library provides an API for creating and managing threads.
- Cancellation points are associated with deferred cancellation.
- The user-level thread is supported by the thread library.

#### **PART (II) – True/False Questions:**

- A thread is a unit of CPU utilization. T
- Each thread has its own register set, stack and data. F (not data)
- LWP is placed between user and kernel threads T
- Task parallelism involves distributing data across multiple computing cores. F (distributes threads NOT data)
- OpenMP provides support for parallel programming in shared-memory environments.

#### **PART (III) – Short Answer Question:**

- List the four major categories of the benefits of multithreaded programming. Briefly explain each.
- **Responsiveness** may allow continued execution if part of process is blocked, especially important for user interfaces
- **Resource Sharing** threads share resources of process, easier than shared memory or message passing
- **Economy** cheaper than process creation, thread switching lower overhead than context switching
- Scalability process can take advantage of multiprocessor architectures
- Briefly describe what a thread pool is and its benefits.

A number of threads are created and placed in a pool where they await work

#### **Advantages:**

- Usually slightly faster to service a request with an existing thread than create a new thread
- Allows the number of threads in the application(s) to be bound to the size of the pool.

# New York Institute of Technology CSCI 330/509-M01 - Operating System 1st Quiz - Fall 2017

**Instructor: Prof. Susan Gass** 

## PART (I) - Fill-in-the-blank Questions:

- 1. Kernel is the one program running at all times on the computer
- **2.** A timer can be used to prevent a user program from never returning control to the operating system.

#### PART (II) - True/False Questions:

- **3.** Process is a passive entity. **F**
- 4. Virtual memory allows execution of processes not completely in memory. T
- 5. In Symmetric Multiprocessing (SMP), each processor is assigned a specific task. F
- 6. Virtualization allows operating system to run applications within other operating systems. T

#### PART (III) - Short Answer Questions

7. Why should devices (with the exception of CPU) have device controllers?

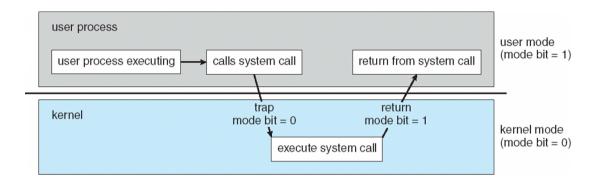
Device controllers are used for exchange of data between memory and device's local buffer, with intermediation of CPU.

Device controller notifies CPU about the completion of its operation, by generating an interrupt.

8. List and briefly describe the two modes of operating in a system.

User mode - where user process is executing Kernel mode – where system calls from user mode are handled User mode = 1 Kernel mode = 0

- **Mode bit** provided by hardware
  - Provides ability to distinguish when system is running user code or kernel code
  - Some instructions designated as **privileged**, only executable in kernel mode
  - System call changes mode to kernel = 0, return from call resets it to user = 1



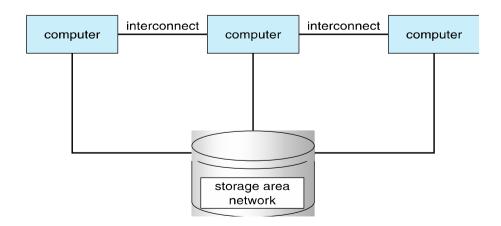
9. Briefly describe the purpose of interrupts and their implementation.

Interrupts are signals, generated by hardware or software.

**Hardware interrupts** - issued by hardware devices such as timers, disks, keyboard to notify CPU that an event has occurred that needs to be handled. CPU is directly involved in handling of the H/W generated interrupts. Kernel runs the appropriate routine for handling the H/W interrupt.

**Software interrupts** - are generated by processes, requesting for I/O, communicated directly to kernel and handled by kernel which will schedule the I/O to occur.

- 10. Briefly describe clustered systems and why they are considered high-availability systems?
  - Multiple systems interconnected on a network and working together
  - Usually sharing storage via a storage-area network (SAN)
  - Provides a **high-availability** service which survives failures, due to redundancy in these systems. So, if a node fails, other nodes can take over the failed node's task.
  - Asymmetric clustering has one machine in hot-standby mode
  - **Symmetric clustering** has multiple nodes running applications, monitoring each other



# New York Institute of Technology CSCI 330/509-M01 - Operating System 2nd Quiz - Fall 2017

**Instructor: Prof. Susan Gass** 

## PART (I) - Fill-in-the-blanks Questions:

- .The Device controller is responsible for moving the data between the peripheral devices that it controls and its local buffer storage.
- .Microkernel provides more security and reliability, since most services are running as user.
- .Modules allow operating system services to be loaded dynamically.
- .A modular kernel may be the best of the current operating system design techniques, because

In addition to modules being loaded dynamically, when needed, adding or modifying the existing modules won't require modifying the kernel. Modules can communicate with each other, which makes it more flexible than the layered approach.

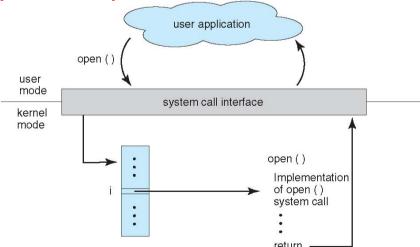
## **PART (II): Short-Answer Questions**

.Briefly describe the purpose of systems calls and their implementation.

Systems calls are requests from user applications for OS services, such as reading a file, writing to some device, or maybe even running another program.

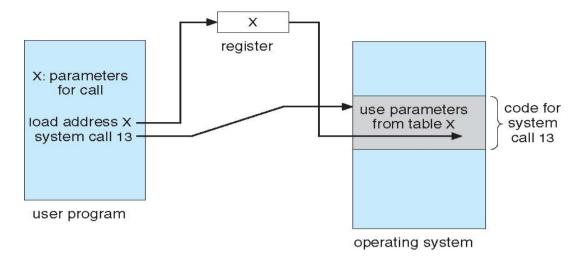
#### **Implementation:**

- .A number is assigned to each systems call.
- .System-call interface maintains a table indexed according to these numbers.
- .System-call interface invokes the intended system call in OS's Kernel and returns status of the system call and any return values.



- .What are the three methods of system call parameter passing?
- .Passing parameters in registers
- .Parameters stored in a block or table, in memory, and address of block passed as a

parameter in a register.



.Parameters placed, or pushed, onto the stack by the program and popped off the stack by the OS.

## **Good Luck!**

# New York Institute of Technology CSCI 330/509-M01 - Operating System $3^{rd}$ Quiz - Fall 2017

**Instructor: Prof. Susan Gass** 

## **PART (I) – Fill-in-the-blank Questions:**

- A Process Control Block includes information on the process's state
- The stack of a process contains temporary data such as function parameters, return addresses, and local variables.
- The list of processes waiting for a particular I/O device is called a device queue.
- The CPU/short-term scheduler selects a process that is ready to execute and allocates the CPU to it.
- Kernel is involved in message passing model of interprocess communication.
- Cascading termination refers to all children processes terminating, while the parent process is still executing.
- Sockets include IP address and port number.
- Ordinary pipe requires parent-child relationship.

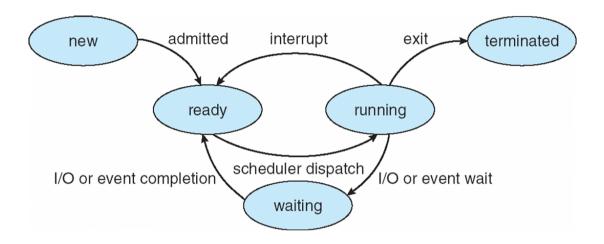
#### **PART (II) – True/False Questions:**

- A mailbox is used in Direct interprocess communication. **F**
- In a Non-blocking (asynchronous) interprocess communication, receiver is blocked until a message is available. **F**
- In a Remote Procedure Call (RPC), a separate stub exists for each separate remote procedure.

#### **PART (III) – Short Answer Questions:**

- Briefly describe the concept of context switch.
- Switching CPU from one process to another process, requires the system to save the state of the old process (i.e., the one that's being removed from the running state) and load (reload) the saved state of the new process via a context switch
- Context of a process represented in the PCB
- Context-switch time is overhead and idle time for the system

- 13. Name and describe the different states that a process can exist in at any given time.
  - 7. **New** Process is created
  - 8. **Ready** Processes ready to be selected by CPU Scheduler and assigned to the processor (CPU)
  - 9. **Running** Process is running on CPU
  - **10. Waiting** Process is waiting for I/O or an event to occur
  - 11. **Terminate** Process is finished execution



**Good Luck!** 

# New York Institute of Technology CSCI 330/509-M01 - Operating System 4<sup>th</sup> Quiz - Fall 2017

**Instructor: Prof. Susan Gass** 

## **PART (I) – Multiple Choice Questions:**

<b>10.</b> A uses an existing thread rather than creating a new one — to complete a task.
A) lightweight process
B) thread pool
C) scheduler activation
D) asynchronous procedure call
<b>11.</b> In multithreaded programs concerning communication between the kernel and the user-thread library, the kernel informs an application about certain events using a procedure known as a(n)
A) signal
B) upcall
C) event handler
D) pool
<b>12.</b> LWP is
A) Placed between system and kernel threads
B) Placed between user and kernel threads

## PART (I) - Fill-in-the-blank Questions:

None of the above

**13.** A thread library provides an API for creating and managing threads.

Common in systems implementing one-to-one multithreading models

- **14.** In Many-to-many multithreading model, multiple threads may not run in parallel on muticore system because only one may be in kernel at a time.
- **15.** Cancellation points are associated with deferred cancellation.

## PART (II) – True/False Questions:

- **16.** Each thread has its own register set and stack and data. **F** (not data)
- **17.** Task parallelism involves distributing data across multiple computing cores. **F** (distributes threads)
- **18.** User thread management is done by user-level threads library. **T**

## **PART (III) – Short Answer Questions:**

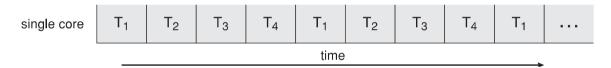
- **19.** List and briefly describe the four major categories of the benefits of multithreaded programming.
- 12. **Responsiveness** may allow continued execution if part of process is blocked, especially important for user interfaces
- 13. **Resource Sharing** threads share resources of process, easier than shared memory or message passing
- 14. **Economy** cheaper than process creation, thread switching lower overhead than context switching
- 15. Scalability process can take advantage of multiprocessor architectures
- **20.** What's the difference between parallelism and concurrency?

**Parallelism:** implies a system can perform more than one task simultaneously

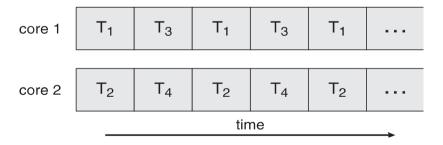
**Concurrency**: supports more than one task making progress Single processor / core, scheduler providing concurrency

#### Concurrency V. Parallelism

#### **Concurrent execution on single-core system:**



#### Parallelism on a multi-core system:



- **21.** Why and when Tread-local Storage (TLS) is used?
- There are times when a thread needs its own copy of the data. TLS allows each thread to have its own copy of data
  - Useful when you do not have control over the thread creation process (i.e., when using a thread pool)

# New York Institute of Technology CSCI 330/509-M03 - Operating System 1st Quiz - Fall 2017

**Instructor: Prof. Susan Gass** 

#### **PART (I) Fill-in-the-blank Questions:**

- .Kernel is the one program running at all times on the computer.
- .In Symmetric Multiprocessing (SMP), processors perform all asks.
- .A timer can be used to prevent a user program from never returning control to the operating system.
- .The device controller is responsible for moving the data between the peripheral devices that it controls and its local buffer storage.
- .Modules allow operating system services to be loaded dynamically.

#### **PART (II) Short-Answer Questions**

.List and briefly describe the two modes of operating in a system.

User mode - where user process is executing

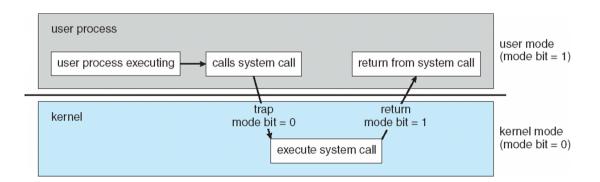
Kernel mode - where system calls from user m

Kernel mode – where system calls from user mode are handled

User mode = 1 Kernel mode = 0

.Mode bit provided by hardware

- .Provides ability to distinguish when system is running user code or kernel code
- .Some instructions designated as **privileged**, only executable in kernel mode
- .System call changes mode to kernel = 0, return from call resets it to user = 1



.Briefly describe the purpose of interrupts and their implementation.

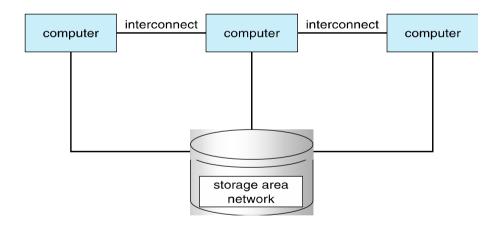
Interrupts are signals, generated by hardware or software.

**Hardware interrupts** - issued by hardware devices such as timers, disks, keyboard to notify CPU that an event has occurred that needs to be handled. CPU is directly involved in handling of the H/W generated interrupts. Kernel runs the appropriate routine for handling the H/W interrupt.

**Software interrupts** - are generated by processes, requesting for I/O, communicated directly to kernel and handled by kernel which will schedule the I/O to occur.

.Briefly describe clustered systems and why they are considered high-availability systems?

- Multiple systems interconnected on a network and working together
- Usually sharing storage via a **storage-area network (SAN)**
- Provides a **high-availability** service which survives failures, due to redundancy in these systems. So, if a node fails, other nodes can take over the failed node's task.
- **Asymmetric clustering** has one machine in hot-standby mode
- **Symmetric clustering** has multiple nodes running applications, monitoring each other



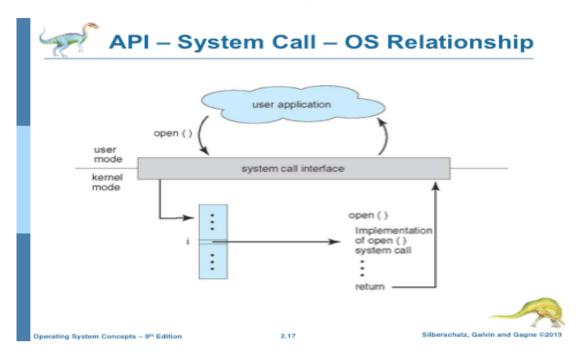
.Briefly describe the purpose of systems calls and their implementation.

- System calls are requests from user processes for OS services.
- Mostly accessed by programs via a high-level **Application Programming Interface (API)** rather than direct system call use

#### System Call Implementation:

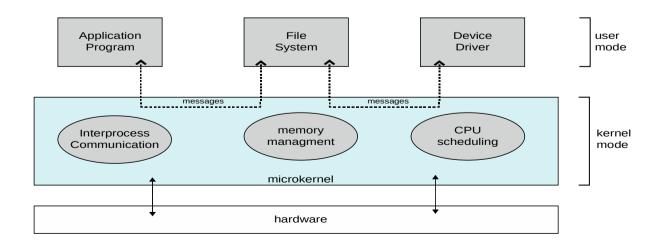
- A system call interface is used for this purpose to the services provided by the OS
- A number is associated with each system call.

• The system call interface invokes the intended system call in OS kernel and returns status of the system call and any return values



.What are the advantages and disadvantages of microkernel?

- Moves some of its services/functions from the kernel into user space, in which case it needs to communicate between user modules.
- Uses message passing model for communication between user modules
- **Benefits:** 
  - Easier to extend a microkernel
  - Easier to port the operating system to new architectures
  - More reliable (less code is running in kernel mode)
  - More secure
- **Detriments:** 
  - Performance overhead of user space to kernel space communication



#### **KEY**

# New York Institute of Technology CSCI 330/509-M03 - Operating System 3<sup>rd</sup> Quiz - Fall 2017

**Instructor: Prof. Susan Gass** 

## PART (I) - Fill-in-the-blank Questions:

- **22.** A Process Control Block (PCB) includes information on the process's state
- **23.** The stack of a process contains temporary data such as function parameters, return addresses, and local variables.
- **24.** The list of processes waiting for a particular I/O device is called a device queue.
- **25.** The CPU scheduler selects a process that is ready to execute and allocates the CPU to it.
- **26.** Kernel is involved in Message Passing model of interprocess communication.
- **27.** Cascading termination refers to all children processes terminating, while the parent process is still executing.
- **28.** Sockets include IP address and port number
- **29.** Ordinary pipe requires parent-child relationship.

#### **PART (II) – True/False Questions:**

- **30.** A mailbox is used in Direct interprocess communication. **F**
- **31.** In a Non-blocking (asynchronous) interprocess communication, receiver is blocked until a message is available. **F**
- **32.** In a Remote Procedure Call (RPC), a separate stub exists for each separate remote procedure. **T**

## **PART (III) – Short Answer Questions:**

- **33.** Briefly describe the concept of context switch.
- Switching CPU from one process to another process, requires the system to save the state of the old process and load the saved state for the new process via a context switch
- **Context** of a process represented in the PCB
- Context-switch time is overhead and idle time for the system

13. Name and describe the different states that a process can exist in at any given time.

New – Process is created

Ready – Processes ready to run and waiting to be selected by CPU Scheduler to go to running state and run on CPU

Running – Process is running on CPU

Waiting – Process is waiting for I/O or an event to occur

Terminate – Process is finished execution

**Good Luck!** 

# New York Institute of Technology CSCI 330-M03 - Operating System 4<sup>th</sup> Quiz - Fall 2017

**Instructor: Prof. Susan Gass** 

#### **PART (I) – Fill-in-the-blank Questions:**

- .A thread pool uses an existing thread rather than creating a new one to complete a task.
- .Cancellation points are associated with deferred cancellation.
- .Many-to-many multithreading model allows the operating system to create a sufficient number of kernel threads.

## PART (II) – True/False Questions:

- .Kernel threads are supported by user-level threads library. F (supported by CPU/OS)
- . Task Parallelism distributes threads across cores, each thread performing unique operation  ${f T}$
- .Each thread has its own register set and stack and data. F (not data)

## **PART (III) – Short-Answer Questions:**

- List the four major categories of the benefits of multithreaded programming. Briefly explain each.
- Responsiveness may allow continued execution if part of process is blocked, especially important for user interfaces
- **Resource Sharing** threads share resources of process, easier than shared memory or message passing
- **Economy** cheaper than process creation, thread switching lower overhead than context switching
- Scalability process can take advantage of multiprocessor architectures

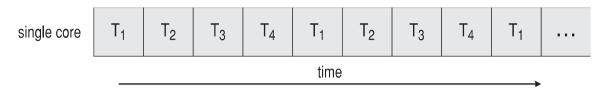
.Briefly state the difference(s) between parallelism and concurrency.

Parallelism implies a system can perform more than one task simultaneously

**Concurrency** supports more than one task making progress Single processor / core, scheduler providing concurrency

**Concurrency V. Parallelism** 

# **Concurrent execution on single-core system:**



# **Parallelism on a multi-core system:**

