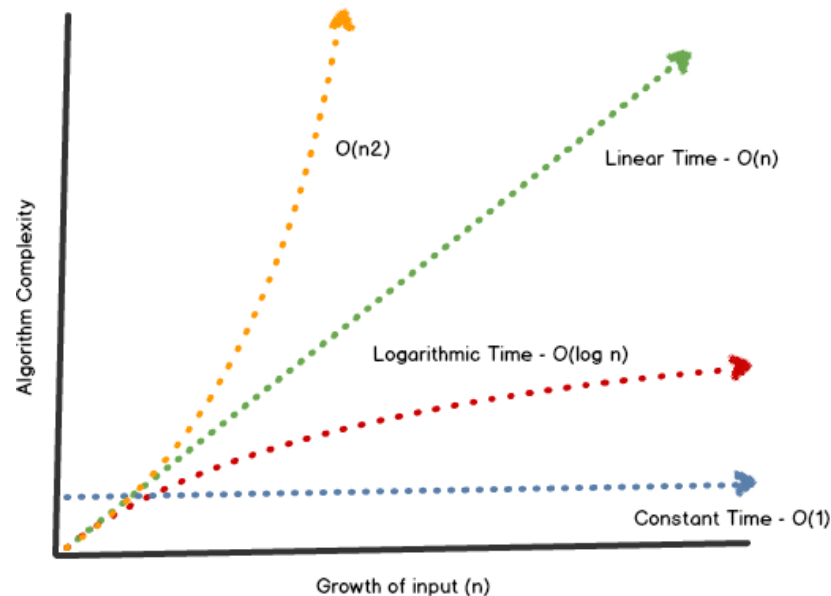


# Comparing algorithms: A review of Computational Complexity Analysis



Some slides are from Data Structures and Algorithms in Java, by M. T. Goodrich, et. al.

# Objectives

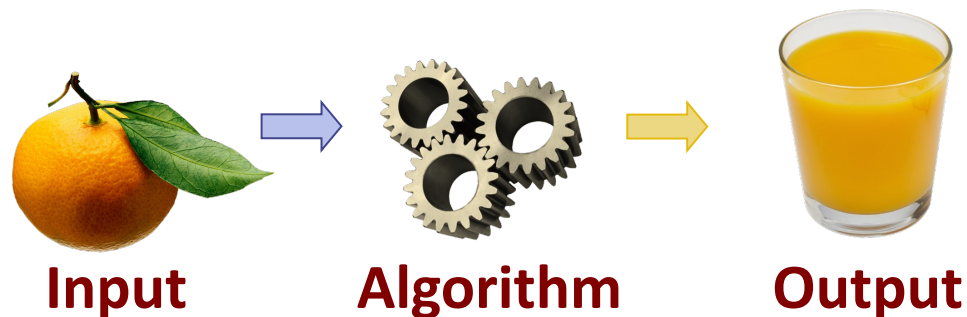
- ❑ Algorithm analysis
- ❑ Introducing the computational complexity
- ❑ Big-O notation and examples

# Algorithm Analysis

Algorithm analysis is a methodology to predict the resources that the algorithm requires

- Computational time
- Computer memory

We'll focus on computational time. It does not mean memory is not important. Generally, there is a trade-off between the two factors o Space-time trade-off is a common term



# Experimental Studies

- ❑ Write a program implementing the algorithm
- ❑ Run the program with inputs of varying size and composition, noting the time needed:
- ❑ Plot the results

```
1 long startTime = System.currentTimeMillis();           // record the starting time
2 /* (run the algorithm) */
3 long endTime = System.currentTimeMillis();             // record the ending time
4 long elapsed = endTime - startTime;                   // compute the elapsed time
```

# Limitations of Experiments

- ❑ It is necessary to implement the algorithm, which may be difficult
- ❑ Results may not be indicative of the running time on other inputs not included in the experiment.
- ❑ In order to compare two algorithms, the same hardware and software environments must be used



# Theoretical Analysis



- Uses a high-level description of the algorithm instead of an implementation
- Characterizes running time as a function of the input size,  $n$
- Takes into account all possible inputs
- Allows us to evaluate the speed of an algorithm independent of the hardware/software environment

# Input Size

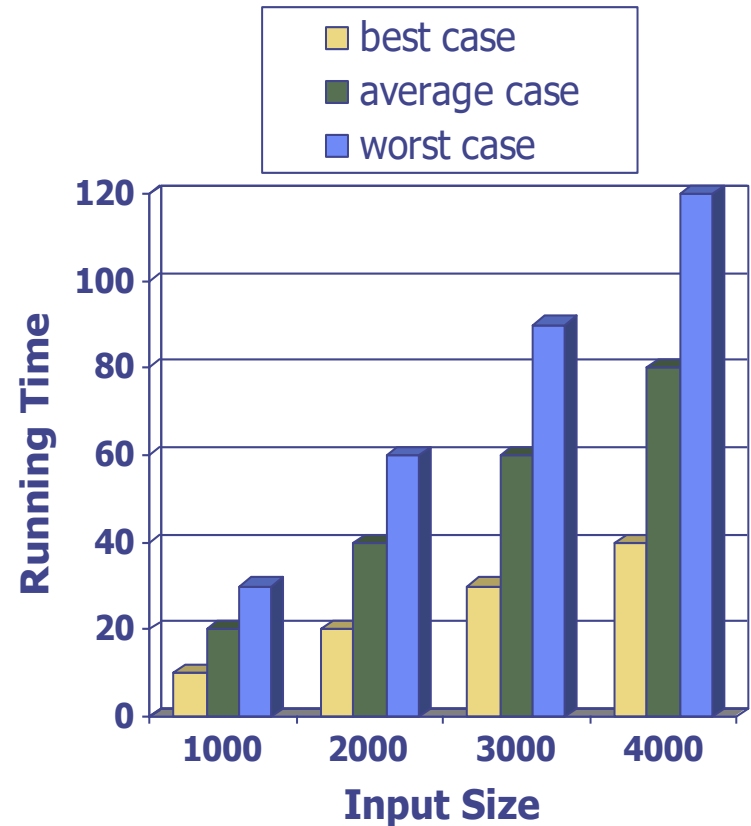
What is meant by the input size  $n$ ? Provide some application-specific examples.

- Dictionary: # words
- Restaurant: # customers or # food choices
- Airline: # flights, # luggage, or # costumers

We want to express the number of operations performed as a function of the input size  $n$ .

# Running Time

- ❑ The running time of an algorithm typically grows with the input size.
- ❑ Average case time is often difficult to determine.
- ❑ We focus on the worst case running time.
  - Easier to analyze
  - Crucial to applications such as games, finance and robotics





# Pseudocode

- ❑ High-level description of an algorithm
- ❑ More structured than English prose
- ❑ Less detailed than a program
- ❑ Preferred notation for describing algorithms
- ❑ Programming language independent

# Pseudocode Details

## □Control flow

- if ... then ... [else ...]
- while ... do ...
- repeat ... until ...
- for ... do ...
- Indentation replaces braces

## □Method declaration

Algorithm *method* (*arg* [, *arg*...])

Input ...

Output ...

## □Method call

*method* (*arg* [, *arg*...])

## □Return value

return *expression*

## □Expressions:

←Assignment

←

=Equality testing

=

*n*<sup>2</sup> Superscripts and other  
mathematical formatting allowed

# Primitive Operations

- ❑ Basic computations performed by an algorithm
- ❑ Each operation corresponding to a low-level instruction with a constant execution time
- ❑ Largely independent from the programming language
  
- ❑ Examples:
  - Evaluating an expression ( $x + y$ )
  - Assigning a value to a variable ( $x = 5$ )
  - Comparing two numbers ( $x < y$ )
  - Indexing into an array ( $A[i]$ )
  - Calling a method (`myCalculator.sum()`)
  - Returning from a method (`return result`)

# Counting Primitive Operations

- By inspecting the pseudocode, we can determine the maximum number of primitive operations executed by an algorithm, as a function of the input size

Algorithm ArrayMax(A, n)	# operations
currentMax $\leftarrow$ A[0]	2: (1 + 1)
for i $\leftarrow$ 1; i < n; i $\leftarrow$ i + 1 do	3n - 1: (1 + n + 2(n - 1))
if A[i] > currentMax then	2(n - 1)
currentMax $\leftarrow$ A[i]	2(n - 1)
endif	
endfor	
return currentMax	1
<b>Total: 7n - 2</b>	

# Algorithm efficiency: growth rate

- An algorithm's time requirements can be expressed as a function of (problem) input size
- Problem size depends on the particular problem:
  - For a search problem, the problem size is the number of elements in the search space
  - For a sorting problem, the problem size is the number of elements in the given list
- How quickly the time of an algorithm grows as a function of problem size -- this is often called an algorithm's growth rate

# Growth Rate of Running Time

- Changing the hardware/ software environment
  - Affects  $T(n)$  by a constant factor, but
  - Does not alter the growth rate of  $T(n)$
- The linear growth rate of the running time  $T(n)$  is a property of algorithm arrayMax

# Why does growth rate matters?

$N$	$\log_2 N$	$N \log_2 N$	$N^2$	$N^3$	$2^N$
1	0	1	1	1	2
2	1	2	4	8	4
4	2	8	16	64	16
8	3	24	64	512	256
16	4	64	256	4,096	65,536
32	5	160	1,024	32,768	4,294,967,296
64	6	384	4,096	262,144	approximately 20 billion billion
128	7	896	16,384	2,097,152	It would take a fast computer a trillion billion years to execute this many instructions
256	8	2,048	65,536	16,777,216	Do not ask!

# Algorithmic time complexity

Rather than counting the exact number of primitive operations, we approximate the runtime of an algorithm as a function of data size – *time complexity*.

We say an algorithm belongs to a complexity class.

We'll not cover complexity classes in detail – they will be covered in Algorithm Analysis course.

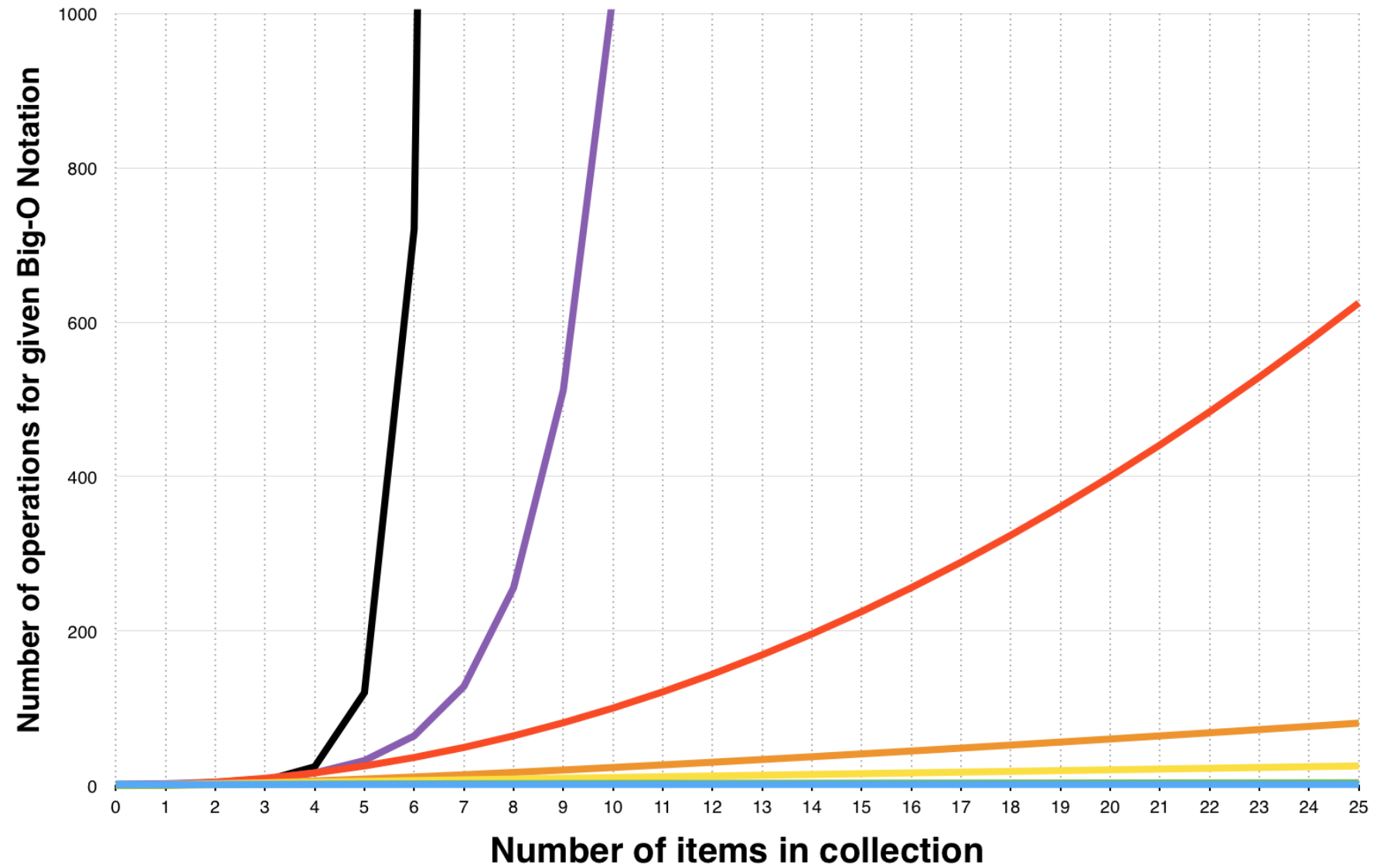
We'll briefly discuss seven basic functions which are often used in complexity analysis.



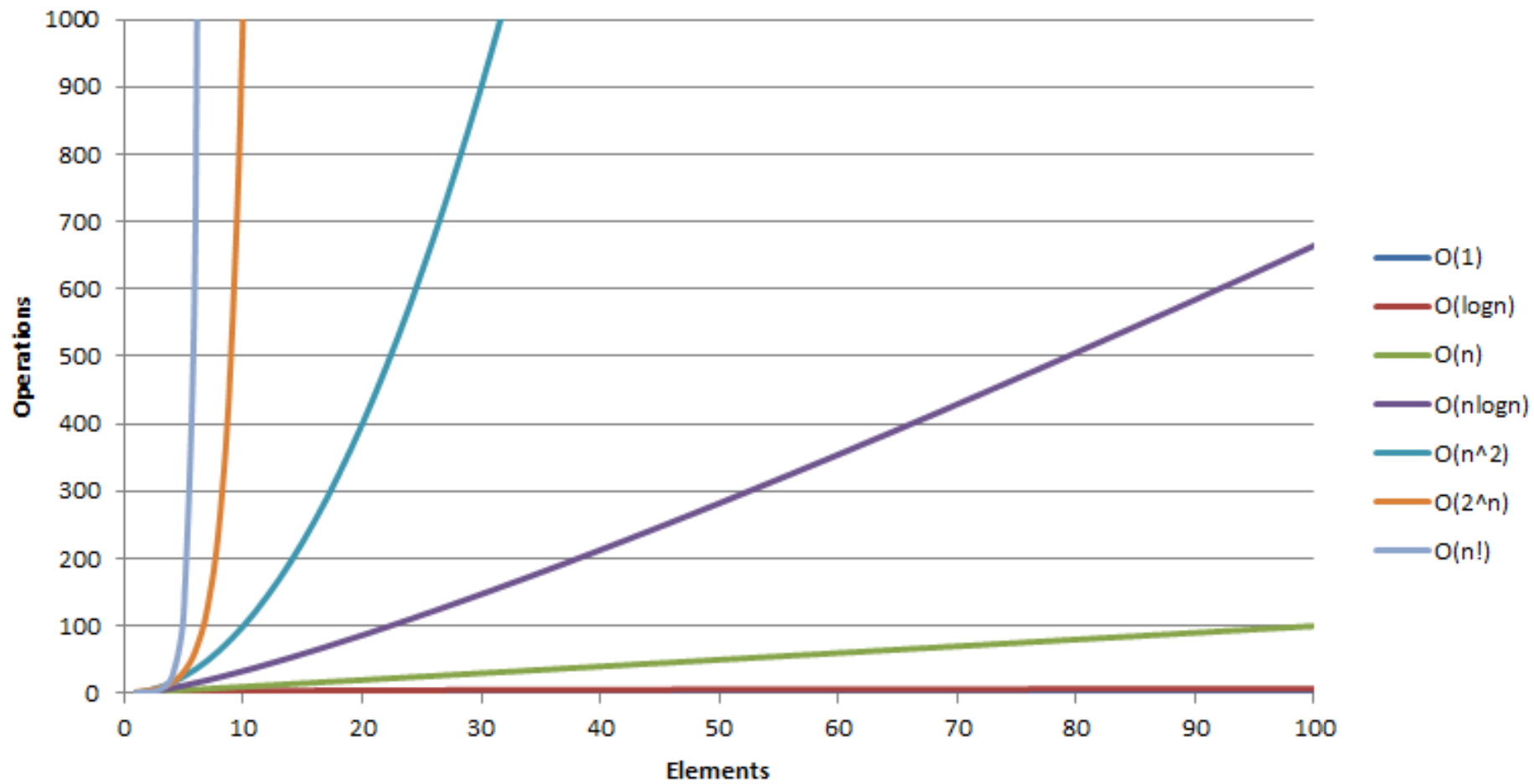
# Algorithmic time complexity

- Functions that are often seen in algorithm analysis:
  - Constant:  $f(n) = c \approx 1$
  - Logarithmic:  $f(n) \approx \log n$
  - Linear:  $f(n) \approx n$
  - N-Log-N:  $f(n) \approx n \log n$
  - Quadratic:  $f(n) \approx n^2$
  - Cubic:  $f(n) \approx n^3$
  - Exponential:  $f(n) \approx 2^n$
  - Factorial:  $f(n) \approx n!$

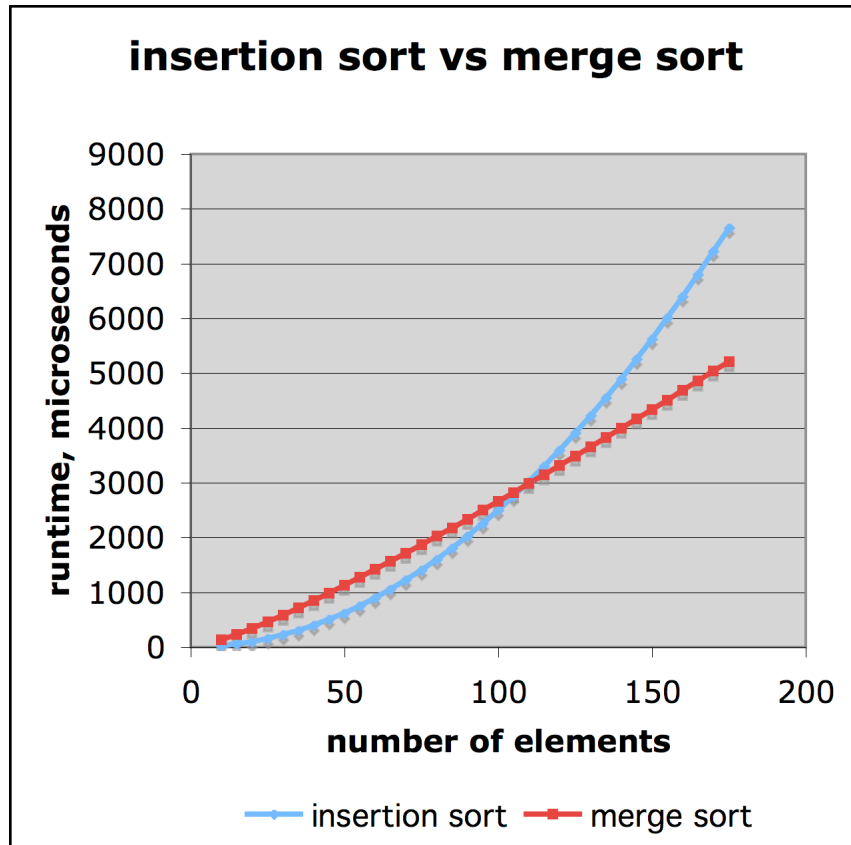
$O(1)$     $O(\log n)$     $O(n)$     $O(n \log n)$     $O(n^2)$     $O(2^n)$     $O(n!)$



## Big-O Complexity



# Comparison of Two Algorithms



insertion sort is  
 $n^2 / 4$

merge sort is  
 $2 n \lg n$

sort a million items?

insertion sort takes  
roughly **70 hours**  
while

merge sort takes  
roughly **40 seconds**

This is a slow machine, but if  
100 x as fast then it's **40 minutes**  
versus less than **0.5 seconds**

# Constant Factors

- The growth rate is not affected by
  - constant factors or
  - lower-order terms
- Examples
  - $10^2n + 10^5$  is a linear function
  - $10^5n^2 + 10^8n$  is a quadratic function

# Big-O Notation

□ Given functions  $f(n)$  and  $g(n)$ , we say that  $f(n)$  is  $O(g(n))$  if there are positive constants

□  $c$  and  $n_0$  such that

$$f(n) \leq cg(n) \text{ for } n \geq n_0$$

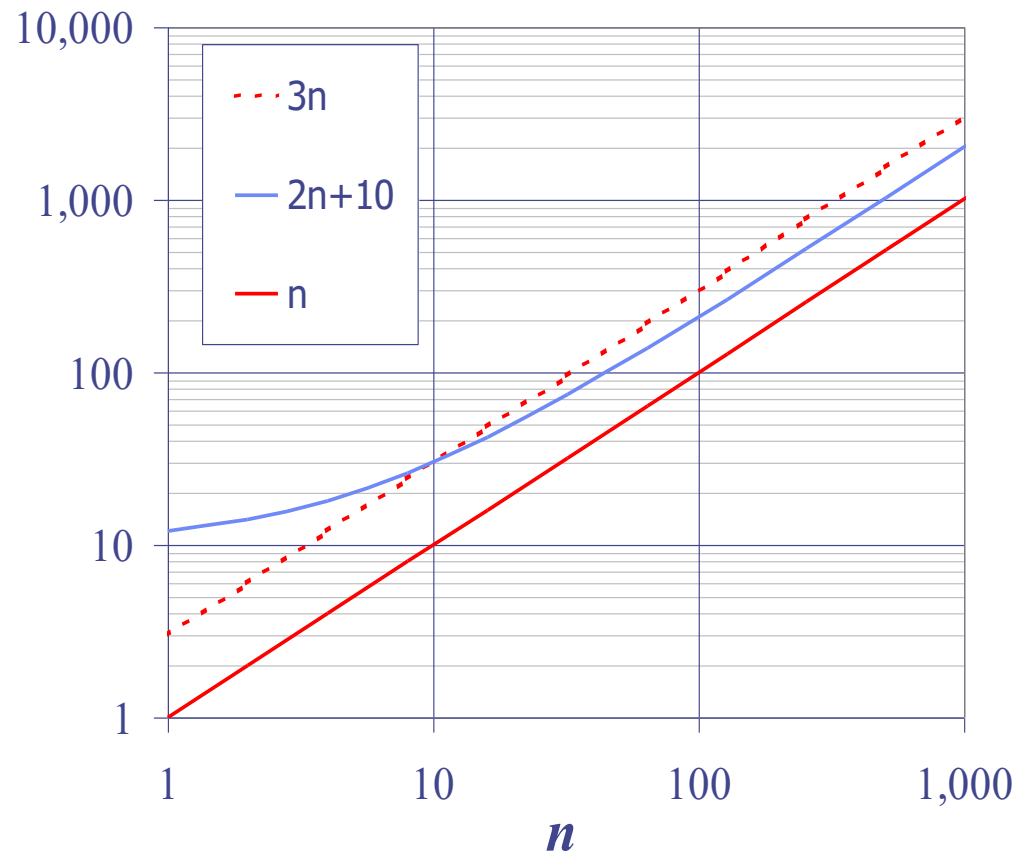
□ Example:  $2n + 10$  is  $O(n)$

- $2n + 10 \leq cn$

- $(c - 2)n \geq 10$

- $n \geq 10/(c - 2)$

- Pick  $c = 3$  and  $n_0 = 10$



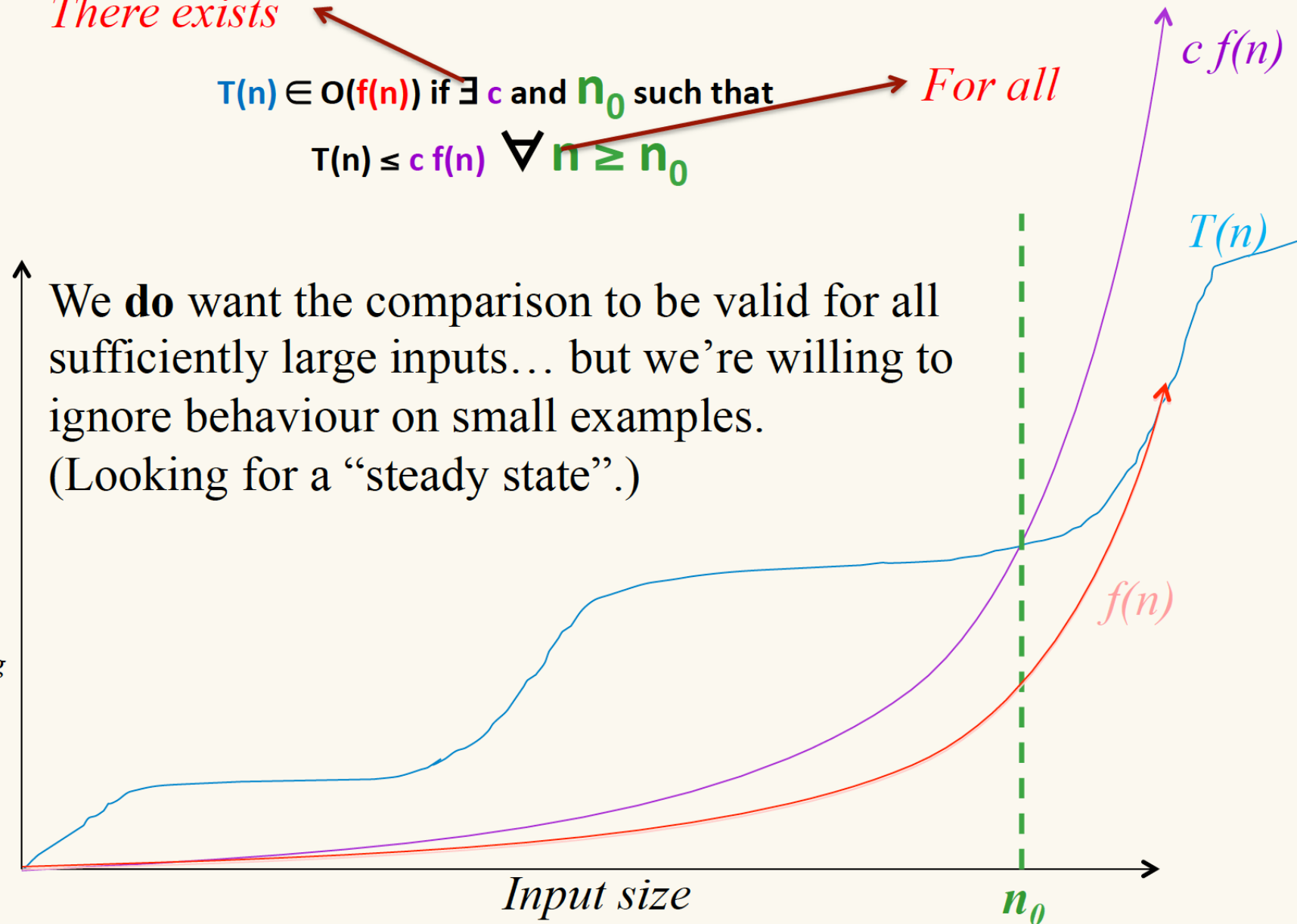
# Big-O Notation

*There exists*

$T(n) \in O(f(n))$  if  $\exists c$  and  $n_0$  such that

$$T(n) \leq c f(n) \quad \forall n \geq n_0$$

*For all*



# Asymptotic Analysis Hacks

## Eliminate low order terms

- $4n + 5 \Rightarrow 4n$
- $0.5 n \log n - 2n + 7 \Rightarrow 0.5 n \log n$
- $2^n + n^3 + 3n \Rightarrow 2^n$

## Eliminate coefficients

- $4n \Rightarrow n$
- $0.5 n \log n \Rightarrow n \log n$
- $n \log (n^2) = 2 n \log n \Rightarrow n \log n$



# More Big-O Examples

□  $7n - 2$

$7n - 2$  is  $O(n)$

need  $c > 0$  and  $n_0 \geq 1$  such that  $7n - 2 \leq c \cdot n$  for  $n \geq n_0$

this is true for  $c = 7$  and  $n_0 = 1$

□  $3n^3 + 20n^2 + 5$

$3n^3 + 20n^2 + 5$  is  $O(n^3)$

need  $c > 0$  and  $n_0 \geq 1$  such that  $3n^3 + 20n^2 + 5 \leq c \cdot n^3$  for  $n \geq n_0$

this is true for  $c = 4$  and  $n_0 = 21$

□  $3 \log n + 5$

$3 \log n + 5$  is  $O(\log n)$

need  $c > 0$  and  $n_0 \geq 1$  such that  $3 \log n + 5 \leq c \cdot \log n$  for  $n \geq n_0$

this is true for  $c = 8$  and  $n_0 = 2$

# Rates of Growth

- Suppose a computer executes  $10^{12}$  ops per second:

<b>n =</b>	<b>10</b>	<b>100</b>	<b>1,000</b>	<b>10,000</b>	<b><math>10^{12}</math></b>
<b>n</b>	$10^{-11}s$	$10^{-10}s$	$10^{-9}s$	$10^{-8}s$	1s
<b>n lg n</b>	$10^{-11}s$	$10^{-9}s$	$10^{-8}s$	$10^{-7}s$	40s
<b><math>n^2</math></b>	$10^{-10}s$	$10^{-8}s$	$10^{-6}s$	$10^{-4}s$	$10^{12}s$
<b><math>n^3</math></b>	$10^{-9}s$	$10^{-6}s$	$10^{-3}s$	1s	$10^{24}s$
<b><math>2^n</math></b>	$10^{-9}s$	$10^{18}s$	$10^{289}s$		

*$10^4s = 2.8 \text{ hrs}$*

*$10^{18}s = 30 \text{ billion years}$*

# Asymptotic Algorithm Analysis

- The asymptotic analysis of an algorithm determines the running time in big-Oh notation
- To perform the asymptotic analysis
  - We find the worst-case number of primitive operations executed as a function of the input size
  - We express this function with big-Oh notation
- Example:
  - We say that algorithm `arrayMax` “runs in  $O(n)$  time”
- Since constant factors and lower-order terms are eventually dropped anyhow, we can disregard them when counting primitive operations

# Math you need to Review



- Summations
- Powers
- Logarithms
- Proof techniques
- Basic probability

## □ Properties of powers:

$$a^{(b+c)} = a^b a^c$$

$$a^{bc} = (a^b)^c$$

$$a^b / a^c = a^{(b-c)}$$

$$b = a^{\log_a b}$$

$$b^c = a^{c \cdot \log_a b}$$

## □ Properties of logarithms:

$$\log_b(xy) = \log_b x + \log_b y$$

$$\log_b(x/y) = \log_b x - \log_b y$$

$$\log_b x^a = a \log_b x$$

$$\log_b a = \log_x a / \log_x b$$

# Relatives of Big-O



## big-Omega

- $f(n)$  is  $\Omega(g(n))$  if there is a constant  $c > 0$  and an integer constant  $n_0 \geq 1$  such that
$$f(n) \geq c \cdot g(n) \text{ for } n \geq n_0$$

## big-Theta

- $f(n)$  is  $\Theta(g(n))$  if there are constants  $c' > 0$  and  $c'' > 0$  and an integer constant  $n_0 \geq 1$  such that
$$c' \cdot g(n) \leq f(n) \leq c'' \cdot g(n) \text{ for } n \geq n_0$$

# Intuition for Asymptotic Notation

## big-O

$f(n)$  is  $O(g(n))$  if  $f(n)$  is asymptotically less than or equal to  $g(n)$

## big-Omega

$f(n)$  is  $\Omega(g(n))$  if  $f(n)$  is asymptotically greater than or equal to  $g(n)$

## big-Theta

$f(n)$  is  $\Theta(g(n))$  if  $f(n)$  is asymptotically equal to  $g(n)$

# Example Uses of the Relatives of Big-O

## ■ $5n^2$ is $\Omega(n^2)$

$f(n)$  is  $\Omega(g(n))$  if there is a constant  $c > 0$  and an integer constant  $n_0 \geq 1$  such that  $f(n) \geq c \cdot g(n)$  for  $n \geq n_0$   
let  $c = 5$  and  $n_0 = 1$

## ■ $5n^2$ is $\Omega(n)$

$f(n)$  is  $\Omega(g(n))$  if there is a constant  $c > 0$  and an integer constant  $n_0 \geq 1$  such that  $f(n) \geq c \cdot g(n)$  for  $n \geq n_0$   
let  $c = 1$  and  $n_0 = 1$

## ■ $5n^2$ is $\Theta(n^2)$

$f(n)$  is  $\Theta(g(n))$  if it is  $\Omega(n^2)$  and  $O(n^2)$ . We have already seen the former, for the latter recall that  $f(n)$  is  $O(g(n))$  if there is a constant  $c > 0$  and an integer constant  $n_0 \geq 1$  such that  $f(n) \leq c \cdot g(n)$  for  $n \geq n_0$   
Let  $c = 5$  and  $n_0 = 1$

# Pseudo-code 1

**Algorithm1** *arrayMax*( $A, n$ )

**Input** array  $A$  of  $n$  integers

**Output** maximum element of  $A$

*currentMax*  $\leftarrow A[0]$

**for**  $i \leftarrow 1$  **to**  $n - 1$  **do**

**if**  $A[i] > \textit{currentMax}$  **then**

*currentMax*  $\leftarrow A[i]$

**return** *currentMax*

- Running time of algorithm is  $O(n)$



# Pseudo-code 2

**Algorithm2** *prefixAverages*( $A, n$ )

**Input** array  $A$  of  $n$  integers

**Output** array  $X$  of  $n$  doubles

Let  $X$  be an array of  $n$  doubles

**for**  $i \leftarrow 1$  **to**  $n - 1$  **do**

$a \leftarrow 0$

**for**  $j \leftarrow 0$  **to**  $i - 1$  **do**

$a \leftarrow a + A[j]$

$X[i] \leftarrow a / (i+1)$

**return**  $X$

- Running time of algorithm is  $O(n^2)$