

# The Ratio and the Root Test

**THEOREM —The Ratio Test** Suppose that

Let  $\sum a_n$  be a series with positive terms and

$$\lim_{n \rightarrow \infty} \frac{a_{n+1}}{a_n} = \rho.$$

Then (a) the series *converges* if  $\rho < 1$ , (b) the series *diverges* if  $\rho > 1$  or  $\rho$  is infinite, (c) the test is *inconclusive* if  $\rho = 1$ .

**THEOREM —The Root Test** and suppose that

Let  $\sum a_n$  be a series with  $a_n \geq 0$  for  $n \geq N$ ,

$$\lim_{n \rightarrow \infty} \sqrt[n]{a_n} = \rho.$$

Then (a) the series *converges* if  $\rho < 1$ , (b) the series *diverges* if  $\rho > 1$  or  $\rho$  is infinite, (c) the test is *inconclusive* if  $\rho = 1$ .

Ex  $\sum_{n=1}^{\infty} \frac{1}{n}$   $\rho = \lim_{n \rightarrow \infty} \frac{\frac{1}{n+1}}{\frac{1}{n}} = \lim_{n \rightarrow \infty} \frac{n}{n+1} = \lim_{n \rightarrow \infty} \left(1 - \frac{1}{n+1}\right) = 1$

the test is inconclusive since  $\rho = 1$ .

$\sum \frac{1}{n}$  is a p-series with  $p=1$ , hence, it diverges.

Ex  $\sum_{n=1}^{\infty} \frac{1}{n!}$   $f = \lim_{n \rightarrow \infty} \frac{\frac{1}{(n+1)!}}{\frac{1}{n!}} = \lim_{n \rightarrow \infty} \frac{n!}{(n+1)!} \cdot \frac{1}{n!} = \lim_{n \rightarrow \infty} \frac{1}{n+1} = 0 < 1$

Since  $f = 0 < 1$ ,  $\sum \frac{1}{n!}$  is convergent by the ratio test.

Ex  $\sum_{n=1}^{\infty} n^3 e^{-n}$   $f = \lim_{n \rightarrow \infty} \frac{(n+1)^3 \cdot e^{-n-1}}{n^3 e^{-n}} = \lim_{n \rightarrow \infty} \left(\frac{n+1}{n}\right)^3 \cdot \frac{1}{e} = \lim_{n \rightarrow \infty} \left(1 + \frac{1}{n}\right)^3 \frac{1}{e} = \frac{1}{e}$

$e = 2.71$ ,  $\frac{1}{e} = \frac{1}{2.71} < 1$ , it converges by the ratio test.

Ex  $\sum_{n=1}^{\infty} \frac{n x^{2n}}{2^n}$ , the series contains only positive terms no matter what  $x$  is, due to  $x^{2n}$ .

$$f = \lim_{n \rightarrow \infty} \frac{a_{n+1}}{a_n} = \lim_{n \rightarrow \infty} \frac{\frac{(n+1)x^{2n+2}}{2^{n+1}}}{\frac{n x^{2n}}{2^n}} = \lim_{n \rightarrow \infty} \frac{(n+1) \cancel{x^{2n}} \cdot x^2 \cdot \cancel{2^n}}{2 \cdot \cancel{2^n} \cdot n \cancel{x^{2n}}} = \lim_{n \rightarrow \infty} \left(\frac{n+1}{n}\right) \frac{x^2}{2} = \frac{x^2}{2}$$

$$\frac{x^2}{2} < 1 \Rightarrow x < \pm \sqrt{2}, \quad \underbrace{-\sqrt{2}}_D < \underbrace{x}_C < \underbrace{\sqrt{2}}_D$$

$$\text{Ex} \quad \sum_{n=1}^{\infty} \frac{n!}{n^n}$$

$$\rho = \lim_{n \rightarrow \infty} \frac{(n+1)!}{(n+1)^{n+1}} \cdot \frac{n^n}{n!} = \frac{(n+1)}{(n+1)^n} \cdot \frac{n!}{n+1} \cdot \frac{n^n}{n!}$$

$$\rho = \lim_{n \rightarrow \infty} \left( \frac{n}{n+1} \right)^n = \lim_{n \rightarrow \infty} \left( 1 - \frac{1}{n+1} \right)^n = e^{-1} = \frac{1}{e} < 1$$

$$y = \left( \frac{x}{x+1} \right)^x$$

conv. by ratio test

$$\ln y = x \ln \frac{x}{x+1} = \frac{\ln \frac{x}{x+1}}{\frac{1}{x}}$$

$$\begin{aligned} \lim_{x \rightarrow \infty} \ln y &= \lim_{x \rightarrow \infty} \frac{\ln \frac{x}{x+1}}{1/x} = \lim_{x \rightarrow \infty} \frac{\frac{x+1}{x} \left( \frac{x+1-x}{(x+1)^2} \right)}{-1/x^2} \quad (1^{\text{st}} \text{ Hop.}) \\ &= \lim_{x \rightarrow \infty} \frac{-x^2}{x(x+1)} = \lim_{x \rightarrow \infty} -\frac{x^2/x^2}{x^2+x/x^2} = -1 \end{aligned}$$

$$\lim_{x \rightarrow \infty} \ln y = -1$$

$$\lim_{x \rightarrow \infty} y = e^{-1}$$

Ex  $\sum_{n=1}^{\infty} \frac{1}{n^n}$   $\rho = \lim_{n \rightarrow \infty} \sqrt[n]{a_n} = \lim_{n \rightarrow \infty} \sqrt[n]{\frac{1}{n^n}} = \lim_{n \rightarrow \infty} \frac{1}{n} = 0 < 1$

converges by  $n$ -root test.

Ex  $\sum_{n=1}^{\infty} \frac{n^2}{2^n}$   $\rho = \lim_{n \rightarrow \infty} \sqrt[n]{\frac{n^2}{2^n}} = \lim_{n \rightarrow \infty} \frac{n^{\frac{2}{n}}}{2}$

$$= \frac{1}{2} \lim_{n \rightarrow \infty} n^{\frac{2}{n}} = \frac{1}{2} < 1, \text{ converges}$$

Ex  $y = x^{2/x}$

$$\ln y = \frac{2}{x} \ln x$$

$$\lim_{x \rightarrow \infty} \ln y = 2 \lim_{x \rightarrow \infty} \frac{\ln x}{x} = 2 \lim_{x \rightarrow \infty} \frac{1/x}{1} = 0, \quad (\text{L'Hospital})$$

$$\lim_{x \rightarrow \infty} y = e^0 = 1$$

$$\lim_{x \rightarrow \infty} x^{2/x} = 1$$

**Ex**  $\sum_{n=1}^{\infty} \sin^n\left(\frac{1}{\sqrt{n}}\right)$   $\rho = \lim_{n \rightarrow \infty} \sqrt[n]{\sin^n(1/\sqrt{n})} = \lim_{n \rightarrow \infty} \sin(1/\sqrt{n}) = 0$   
 converges.

**Ex**  $\sum_{n=1}^{\infty} \left(\ln\left(e^2 + \frac{1}{n}\right)\right)^{n+1}$   $\rho = \lim_{n \rightarrow \infty} \left\{ \left[\ln\left(e^2 + \frac{1}{n}\right)\right]^{n+1} \right\}^{1/(n+1)}$   
 $\rho = \lim_{n \rightarrow \infty} \ln\left(e^2 + \frac{1}{n}\right) = \ln e^2 = 2 \ln e = 2 > 1$   
 Diverges by  $n^{\text{th}}$  root test.

### Using the Ratio Test

In Exercises 1–8, use the Ratio Test to determine if each series converges or diverges.

1.  $\sum_{n=1}^{\infty} \frac{2^n}{n!}$

2.  $\sum_{n=1}^{\infty} \frac{n+2}{3^n}$

3.  $\sum_{n=1}^{\infty} \frac{(n-1)!}{(n+1)^2}$

4.  $\sum_{n=1}^{\infty} \frac{2^{n+1}}{n3^{n-1}}$

5.  $\sum_{n=1}^{\infty} \frac{n^4}{4^n}$

6.  $\sum_{n=2}^{\infty} \frac{3^{n+2}}{\ln n}$

7.  $\sum_{n=1}^{\infty} \frac{n^2(n+2)!}{n! 3^{2n}}$

8.  $\sum_{n=1}^{\infty} \frac{n5^n}{(2n+3)\ln(n+1)}$

### Using the Root Test

In Exercises 9–16, use the Root Test to determine if each series converges or diverges.

9.  $\sum_{n=1}^{\infty} \frac{7}{(2n+5)^n}$

10.  $\sum_{n=1}^{\infty} \frac{4^n}{(3n)^n}$

11.  $\sum_{n=1}^{\infty} \left(\frac{4n+3}{3n-5}\right)^n$

12.  $\sum_{n=1}^{\infty} \left(\ln\left(e^2 + \frac{1}{n}\right)\right)^{n+1}$

13.  $\sum_{n=1}^{\infty} \frac{8}{(3 + (1/n))^{2n}}$

14.  $\sum_{n=1}^{\infty} \sin^n\left(\frac{1}{\sqrt{n}}\right)$

15.  $\sum_{n=1}^{\infty} \left(1 - \frac{1}{n}\right)^{n^2}$

(Hint:  $\lim_{n \rightarrow \infty} (1 + x/n)^n = e^x$ )

16.  $\sum_{n=2}^{\infty} \frac{1}{n^{1+n}}$