# cs2281r-pset0

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### Implementation Deviations

#### Parallelized Multi-Head Self-Attention

In the original tutorial, the multi-head self-attention mechanism is implemented in a concatenated list comprehension loop. This is quite inefficient, so I parallelized the computation using tensor operations. The code is based on my own fork of Andrej Karpathy's nanoGPT repository (KentoNishi/generic-nanogpt) which I created in 2023.

My MultiHeadSelfAttention class can be found on Line 94 (permalink).

#### Weight Tying between Token Embedding and LM Head

Weight tying is a common technique in transformer models to reduce the number of parameters. The original tutorial does not implement weight tying to keep the code simple, but I added it to my implementation.

The additional line of code can be found on Line 190 (permalink).

# Saving the Model

For convenience, I saved the model and optimizer state dictionaries to a file named model.pth. The code can be found on Line 251 (permalink).

# Output

Running python gpt.py > output.txt produces the following output:

# output.txt

```
10.763969 M parameters step 0: train loss 4.2058, val loss 4.2151 step 500: train loss 1.8398, val loss 1.9840 step 1000: train loss 1.4254, val loss 1.6283 step 1500: train loss 1.2813, val loss 1.5337 step 2000: train loss 1.2002, val loss 1.5086 step 2500: train loss 1.1329, val loss 1.4790 step 3000: train loss 1.0764, val loss 1.4879 step 3500: train loss 1.0224, val loss 1.4849 step 4000: train loss 0.9724, val loss 1.5081
```

```
step 4500: train loss 0.9186, val loss 1.5365
   step 4999: train loss 0.8663, val loss 1.5676
   BRUTUS:
   O Mercutio, that any patience from the gentleman,
   So much well breathe youth and to jumet you?
   First Citizen:
   Fellow-man, e'er wemen welcong of our own.
   Will you do retur guiter'd? we will dispose to die,
   repent the business to the driver
   distrustipe of Rome.
   Second Citizen:
   He's enough.
   MENENIUS:
   Let the citus got he sting; he is audired
   to whip something: advance him, an't human!
   First Thizan:
   A noble city, a business ca: as they speak the
   withing him about us.
   CORIOLANUS:
   Nay, si
   Code
   gpt.py
   import torch
   import torch.nn as nn
   from torch.nn import functional as F
  batch_size = 64
_6 block_size = 256
7 \text{ max\_iters} = 5000
  eval_interval = 500
   learning_rate = 3e-4
device = "cuda" if torch.cuda.is_available() else "cpu"
eval_iters = 200
n_{12} n_{embd} = 384
n_{13} n_{head} = 6
n_{14} n_{1ayer} = 6
```

15 dropout = 0.2

```
16
   torch.manual_seed(0)
17
18
   with open("input.txt", "r", encoding="utf-8") as f:
19
        text = f.read()
20
21
   chars = sorted(list(set(text)))
22
   vocab_size = len(chars)
   stoi = {ch: i for i, ch in enumerate(chars)}
   itos = {i: ch for i, ch in enumerate(chars)}
   encode = lambda s: [stoi[c] for c in s]
   decode = lambda 1: "".join([itos[i] for i in 1])
28
   data = torch.tensor(encode(text), dtype=torch.long)
29
   n = int(0.9 * len(data))
   train data = data[:n]
   val_data = data[n:]
33
   def get_batch(split):
35
        data = train_data if split == "train" else val_data
36
        ix = torch.randint(len(data) - block_size, (batch_size,))
37
        x = torch.stack([data[i : i + block_size] for i in ix])
        y = torch.stack([data[i + 1 : i + block_size + 1] for i in ix])
39
        x, y = x.to(device), y.to(device)
40
       return x, y
41
43
   @torch.no_grad()
44
   def estimate_loss():
45
        out = {}
46
       model.eval()
47
        for split in ["train", "val"]:
48
            losses = torch.zeros(eval_iters)
49
            for k in range(eval_iters):
50
                X, Y = get_batch(split)
                logits, loss = model(X, Y)
52
                losses[k] = loss.item()
            out[split] = losses.mean()
54
        model.train()
        return out
56
58
   # class Head(nn.Module):
         def __init__(self, head_size):
60
              super().__init__()
```

```
self.key = nn.Linear(n_embd, head_size, bias=False)
62
              self.query = nn.Linear(n_embd, head_size, bias=False)
              self.value = nn.Linear(n_embd, head_size, bias=False)
64
              self.register_buffer("tril", torch.tril(torch.ones(block_size, block_size)))
              self.dropout = nn.Dropout(dropout)
66
          def forward(self, x):
68
              B, T, C = x.shape
    #
              k = self.key(x)
70
              q = self.query(x)
              wei = q @ k.transpose(-2, -1) * k.shape[-1] ** -0.5
72
              wei = wei.masked_fill(self.tril[:T, :T] == 0, float("-inf"))
73
              wei = F.softmax(wei, dim=-1)
74
              wei = self.dropout(wei)
75
              v = self.value(x)
76
              out = wei @ v
77
              return out
78
79
    # class MultiHeadAttention(nn.Module):
81
          def __init__(self, num_heads, head_size):
              super().\_init_{--}()
83
              self.heads = nn.ModuleList([Head(head_size) for _ in range(num_heads)])
              self.proj = nn.Linear(head_size * num_heads, n_embd)
85
              self.dropout = nn.Dropout(dropout)
86
87
          def forward(self, x):
              out = torch.cat([h(x) for h in self.heads], dim=-1)
89
              out = self.dropout(self.proj(out))
              return out
91
93
    # CUSTOM: Parallelized Multi-Head Self-Attention
94
    class MultiHeadSelfAttention(nn.Module):
        def __init__(
96
            self, num_heads, head_size, n_embd, dropout=dropout, block_size=block_size
97
98
            super().__init__()
            self.num_heads = num_heads
100
            self.head_size = head_size
101
            self.n_embd = n_embd
102
            self.key = nn.Linear(n embd, num heads * head size, bias=False)
104
            self.query = nn.Linear(n_embd, num_heads * head_size, bias=False)
            self.value = nn.Linear(n_embd, num_heads * head_size, bias=False)
106
            self.proj = nn.Linear(num_heads * head_size, n_embd)
```

```
self.dropout = nn.Dropout(dropout)
108
109
             self.register_buffer("tril", torch.tril(torch.ones(block_size, block_size)))
110
        def forward(self, x):
112
             B, T, C = x.shape
113
114
            k = self.key(x).view(
115
                 B, T, self.num_heads, self.head_size
116
               # (B, T, num_heads, head_size)
             q = self.query(x).view(
118
                 B, T, self.num_heads, self.head_size
119
               # (B, T, num_heads, head_size)
120
             v = self.value(x).view(
121
                 B, T, self.num_heads, self.head_size
               # (B, T, num heads, head size)
123
124
             # Transpose to get (B, num_heads, T, head_size)
125
            k = k.transpose(1, 2) # (B, num_heads, T, head_size)
                                    # (B, num_heads, T, head_size)
             q = q.transpose(1, 2)
127
             v = v.transpose(1, 2)
                                    # (B, num_heads, T, head_size)
129
             wei = q @ k.transpose(-2, -1) * self.head_size**-0.5 # (B, num_heads, T, T)
             wei = wei.masked_fill(
131
                 self.tril[:T, :T] == 0, float("-inf")
132
               # (B, num heads, T, T)
133
             wei = F.softmax(wei, dim=-1) # (B, num_heads, T, T)
134
            wei = self.dropout(wei)
135
136
             out = (
137
                 wei @ v
138
               # (B, num_heads, T, T) @ (B, num_heads, T, head_size) --> (B, num_heads, T, head_
139
140
             out = (
141
                 out.transpose(1, 2).contiguous().view(B, T, self.num_heads * self.head_size)
142
143
144
             out = self.dropout(self.proj(out))
             return out
146
147
148
    class FeedFoward(nn.Module):
149
        def __init__(self, n_embd):
150
             super().__init__()
151
             self.net = nn.Sequential(
152
                 nn.Linear(n_embd, 4 * n_embd),
153
```

```
nn.ReLU(),
154
                 nn.Linear(4 * n_embd, n_embd),
155
                 nn.Dropout(dropout),
156
             )
158
         def forward(self, x):
159
             return self.net(x)
160
161
162
    class Block(nn.Module):
163
        def __init__(self, n_embd, n_head):
164
             super().__init__()
165
             head_size = n_embd // n_head
166
             # self.sa = MultiHeadAttention(n head, head size)
167
             self.sa = MultiHeadSelfAttention(n_head, head_size, n_embd)
168
             self.ffwd = FeedFoward(n embd)
169
             self.ln1 = nn.LayerNorm(n_embd)
170
             self.ln2 = nn.LayerNorm(n_embd)
171
172
         def forward(self, x):
173
             x = x + self.sa(self.ln1(x))
174
             x = x + self.ffwd(self.ln2(x))
175
             return x
177
178
    class GPTLanguageModel(nn.Module):
179
         def __init__(self):
180
             super().__init__()
181
             self.token_embedding_table = nn.Embedding(vocab_size, n_embd)
182
             self.position_embedding_table = nn.Embedding(block_size, n_embd)
183
             self.blocks = nn.Sequential(
184
                 *[Block(n_embd, n_head=n_head) for _ in range(n_layer)]
185
             )
186
             self.ln_f = nn.LayerNorm(n_embd)
187
             self.lm_head = nn.Linear(n_embd, vocab_size)
188
189
             # CUSTOM: Weight Tying between Token Embedding and LM Head
190
             self.lm_head.weight = self.token_embedding_table.weight
192
             self.apply(self._init_weights)
193
194
         def _init_weights(self, module):
             if isinstance(module, nn.Linear):
196
                 torch.nn.init.normal_(module.weight, mean=0.0, std=0.02)
197
                 if module.bias is not None:
198
                     torch.nn.init.zeros_(module.bias)
199
```

```
elif isinstance(module, nn.Embedding):
200
                 torch.nn.init.normal_(module.weight, mean=0.0, std=0.02)
201
202
         def forward(self, idx, targets=None):
             B, T = idx.shape
204
             tok_emb = self.token_embedding_table(idx)
205
             pos_emb = self.position_embedding_table(torch.arange(T, device=device))
206
             x = tok_emb + pos_emb
207
             x = self.blocks(x)
208
             x = self.ln f(x)
209
             logits = self.lm_head(x)
210
             if targets is None:
211
                 loss = None
212
             else:
213
                 B, T, C = logits.shape
                 logits = logits.view(B * T, C)
215
                 targets = targets.view(B * T)
216
                 loss = F.cross_entropy(logits, targets)
217
             return logits, loss
219
         def generate(self, idx, max_new_tokens):
             for _ in range(max_new_tokens):
221
                 idx_cond = idx[:, -block_size:]
                 logits, loss = self(idx_cond)
223
                 logits = logits[:, -1, :]
224
                 probs = F.softmax(logits, dim=-1)
225
                 idx_next = torch.multinomial(probs, num_samples=1)
                 idx = torch.cat((idx, idx_next), dim=1)
227
             return idx
228
229
230
    model = GPTLanguageModel()
231
    m = model.to(device)
232
    print(sum(p.numel() for p in m.parameters()) / 1e6, "M parameters")
233
234
    optimizer = torch.optim.AdamW(model.parameters(), lr=learning_rate)
235
    for iter in range(max_iters):
236
         if iter % eval_interval == 0 or iter == max_iters - 1:
             losses = estimate_loss()
238
            print(
239
                 f"step {iter}: train loss {losses['train']:.4f}, val loss {losses['val']:.4f}"
240
             )
        xb, yb = get batch("train")
242
         logits, loss = model(xb, yb)
         optimizer.zero_grad(set_to_none=True)
244
        loss.backward()
```

```
optimizer.step()

context = torch.zeros((1, 1), dtype=torch.long, device=device)

print(decode(m.generate(context, max_new_tokens=500)[0].tolist()))

torch.save(

"model": model.state_dict(), "optimizer": optimizer.state_dict()}, "model.pth"

be a context = torch.zeros((1, 1), dtype=torch.long, device=device)

print(decode(m.generate(context, max_new_tokens=500)[0].tolist()))

torch.save(

"model": model.state_dict(), "optimizer": optimizer.state_dict()}, "model.pth"

be a context = torch.zeros((1, 1), dtype=torch.long, device=device)

print(decode(m.generate(context, max_new_tokens=500)[0].tolist()))
```