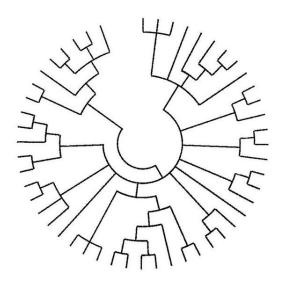
# DOCUMENTATION FOR THE PYTHON LIBRARY PEDIGRAD.PY

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Version 1.3



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# Introduction

## 1.1. About pedigrads and Pedigrad.py

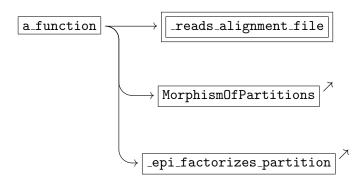
Pedigrads are mathematical tools that were initially created to model the mechanisms of genetics (see [1, 2, 3]). Mathematically, pedigrads are cone-preserving functors going from a certain class of limit sketches to a given category of values. Different aspects of biology can be encoded depending on the considered category of value. The python library described in this document – Pedigrad.py – only provides pedigrads taking their values in the category of partitions for a limit sketch whoses cones are product cones (see [4]).

#### 1.2. About this documentation

The present book contains a tutorial (see Chapter 2) explaining how to use the various methods and classes contained in Pedigrad.py as well as a description of the (importable and non-importable) functions of its sub-modules

- PartitionCategory.py (see Chapter 3)
- SegmentCategory.py (see Chapter 4)
- PedigradCategory.py (see Chapter 5)
- AsciiTree.py (see Chapter 6)
- Phylogeny.py (see Chapter 7)

A description of a function will always start with a dependency flow chart showing the intermediate functions it uses. Below, we give the example of such a flow chart.



These flow charts will come with two types of box for the intermediate function:

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1) A double box framing the name of an intermediate function, as shown above in the topmost box, indicates that the intermediate function does not have any dependencies itself.

2) An oblique arrow on the top-right corner of a box that frames the name of an intermediate function, as shown above in the lowest two boxes, indicates that the intermediate function is defined in a different module of the library.

Note that the python code given in this document will always be specified in text editor mode as follows.

```
1 class Pedigrad:
2    """
3    This is a comment
4    """
5    def __init__(self,alignment): # comment: constructor of the class
6    """
7    Another comment about the code
8    """
```

A console mode will also be used for examples (as shown below).

```
>>> print(P.loci)
[0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 13]
```

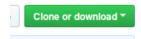
# 1.3. Acknowledgments

I would like to thank Maxim Wolf and Carles Boix for interesting discussions about DNA and genetics. I would also like to thank Maxim Wolf for answering many of my questions and giving me some of his time.

# **Tutorial**

## 2.1. Installation and preparation

To install the library, first download the package by clicking on the green button on the right of the screen at https://github.com/remytuyeras/pedigrad-library.



The downloaded package should be a compressed file named pedigrad-library-master.zip. Create a new directory in which you can copy and extract the compressed file using your favorite extraction application.



Enter the extracted directory pedigrad-library-master. Its inside should contain the following files.



In this tutorial, the use of the library Pedigrad will require the creation of four files:

- b two multiple sequence alignment files (usually with an extension .fa or .fasta);
- ▷ a file in which a pre-ordered set is specified (preferably with an extension .yml);
- ▷ a python file in which the user uses the library (the extension is obviously .py).

To do this properly, create a new directory in pedigrad-library-master, call it User, and copy the file Pedigrad.py in User.



Open the file Pedigrad.py that you copied in User. Once inside, you will be able to see several instances of the function

```
sys.path.insert(0,-)
```

in which paths appear in the second argument. Add the text ../ at the beginning of every path passed to the function sys.path.insert(0,-), as shown in the following pictures<sup>1</sup>.

```
3 sys.path.insert(0, 'Pedigrad_py/PartitionCategory/
                                                                           3 sys.path.insert(0,
        PartitionCategory import
                                                                                  PartitionCategory import *
 6 #print_partition(partition): standard output
                                                                           6 #print_partition(partition): standard output
 8 #EquivalenceRelation: .classes, .range, .closure, .quotient
                                                                          8 #EquivalenceRelation: .classes, .range, .closure, .quotient
10 #product_of_partitions(partition1,partition2): list
                                                                          10 #product of partitions(partition1,partition2): list
12 #coproduct_of_partitions(partition1,partition2): list
                                                                          12 #coproduct_of_partitions(partition1,partition2): list
14 #MorphismOfPartitions: .arrow, .source, .target
                                                                          14 #MorphismOfPartitions: .arrow, .source, .target
                                                                          15
16 #----
                                                                          16 #--
17 Import sys
18 sys.path.insert(0, 'Pedigrad_py/SegmentCategory/')
19 from SegmentCategory import *
                                                                          18 sys.path.insert(0, '.../Pedigrad_py/SegmentCategory/')
                                                                          19 from SegmentCategory import
21 #SegmentObject: .colors, .topology
                                                                          21 #SegmentObject: .colors, .topology
23 #CategoryOfSegments: .domain,
24 #.can_switch_to, .identity, .homset, .topology, .segment
                                                                          24 #.can_switch_to, .identity, .homset, .topology, .segment
                                                                         28 sys.path.insert(0, '../Pedigrad_py/PedigradCategory/'
29 from PedigradCategory import *
28 sys.path.insert(0, 'Pedigrad_py/PedigradCategory/')
29 from PedigradCategory import *
```

Once the paths are all updated, create, in the directory User, four new files with the names unknown.fa; ribosome.fa; main.py; and omega.yml. The inside of the directory User should look as follows, where the file Pedigrad.pyc will appear later, after compilation.



Open the file main.py and insert the following piece of code.

```
1 from Pedigrad import *
```

We are now ready to use the library – proceed to section 2.2.

# 2.2. Multiple sequence alignments

In this section, we explain how to enter a multiple sequence alignment in a file and how to parse it. In our case, we shall give the files unknown.fa and ribosome.fa the two multiple sequence alignments shown in [4, section 2.1]. The goal of the present tutorial will be to replicate the analysis described throughout [4] in order to determine whether the multiple sequence alignment stored in unknown.fa comes from a coding or non-coding region.

Recall that a mutiple sequence alignment is a relational mapping between a set of DNA sequences, which are usually associated with names of taxa. In our case, the name of the taxa should always be preceded by the symbol > and followed by a new line character (obtained by using the return key —). Then, the DNA sequence associated with the taxon should be displayed. Note that the sequence may contain several new line characters, but cannot

<sup>&</sup>lt;sup>1</sup>Note that the highlight mode of my text editor is different from the one that I will be using in the examples of the present documentation.

contain the character >.

```
1 > Human
2 ATGTCAGACTGCTACACGGAGCTGGAGAAGGCAGTCATTGTCCTGGTGGAAAACTTCTACAAATATGTGT
3 CTAAGTACAGCCTGGTCAAGAACAAGACAAGACCAAGAGCAGCTTCTCGCGAGATGCTCCAGAAAGAGCCTGAA
4 CCACATGCTGTCGGACACAGGGAACCGGAAGGCTGCGGATAAGCTCATCCAGAACCTGGATGCCAATCAT
5 GATGGGCGCATCAGCTTCGATGAGTACTGGACCTTGGTAGAGGCGGCATCACCGGCCCCATCGCCAAACTCA
6 TCCATGAGCAGGAGCAGGCAGGAGCAGCAGCAGCTAG
7 > Chimp
8 ATGTCAGACTGCTACACGGAGCTGGAGAAGAGCAGCTTGTCCTGGTGGAAAACTTCTACAAATATGTGT
9 CTAAGTACAGCCTGGTCAAGAACAAGATCAGCAAGAGCAGCTTCCGCGAGATGCTCCAGAAAGAGCTGGA
10 CCACATGCTGTCGGACACAGGGAACCAGGTGACCTGGACAACCTCGACCAATCAT
11 GATGGGCGCATCAGCTTCGATGAGTACGCAGAGCTGGAGACCTCACCAGCAACCTCGA
12 TCCGTGAGCAGGAGCAGCAGAGAGCAGCAGCTAG
13 > Mouse_lemur
14 ATGGCGGACTGCTCAAGAACAAGATCAGGAGAGAGCAGCTTCCCGAAAACTTCTACAAATACGTGT
15 CTAAGCACAGCCTGGTCAAGAACAAGATCAGCAAGAGCCGGTTGTCCCTGGTGGAAAACTTCACAAATACGTGT
15 CTAAGCACAGCCTGGTCAAGAACAAGATCAGCAAGAGCAGCTTTCCCGCAAAACCTGGATGCCAAACAGCTGAACAACCAGCCGGACCAGCACCAGCCTGCCCAAGACCTGGATAAGCTCCAGAAACCTGGT
15 CTAAGCACAGCCTGCTCAAGAACAAGATCAGCAAGAGCAGCTTCCCCGAAGAACCTCATCCAGAAAAGAGCTGAA
16 CCATATGCTGACGGACACGGGAACCAGGCTCGCAAGAAGCCTGATCCCAGAAACCTGGATGCCCAACCACCACCCC
```

To start with, copy and paste the following sequence alignment in unknown.fa.

#### unknown.fa

- 1 >TaxonA
- 2 ACGCTAGCAGTTTGGTTGCTCCAGCTG
- 3 >TaxonB
- 4 ACGTTAGTGCTCTGGTTGCCCTGGCCA
- 5 >TaxonC
- 6 ACGTTAGATCTCTGATTGGCCGAGTTA
- 7 >TaxonD
- 8 GCACCGGACATGCTATAGGTCGAATCA
- 9 >TaxonE
- 10 GTGCCGGACACACTATAGATCGAATCG
- 11 >TaxonF
- 12 ATGCTGGATAATCAATCGATCGATCCG

Similarly, copy and paste the following alignment in the file named ribosome.fa.

#### ribosome.fa

- 1 >TaxonA
- 2 ACGTGCTTGTTGGTCGCCTGT
- 3 >TaxonB
- 4 ACATGTTTGCTGGCCGTCTGT
- 5 >TaxonC
- 6 ACGTGTTTATCGACTGTCTGT
- 7 >TaxonD
- 8 ACACGCTTGCCGATCATCTAT
- 9 >TaxonE
- 10 ATGCGCTTACCGATCATCTAT
- 11 >TaxonF
- 12 ACGTGCTTACTGATCACCTGT

Once these texts have been copied and pasted in their respective files, open the file named main.py and add the following piece of code.

```
3 ribosome = read_alignment_file("ribosome.fa",READ_DNA)
4 for i in range(len(ribosome[0])):
5    print(str(i)+": "+str(ribosome[0][i]))
6    print("----")
7    for i in range(len(ribosome[1])):
8     print(str(i)+": "+str(ribosome[1][i]))
```

Save and compile main.py - you should obtain the following result in the console.

```
0:
    TaxonA
1:
   TaxonB
2:
   TaxonC
3:
   TaxonD
4:
   TaxonE
   TaxonF
5:
   ['A', 'C', 'G', 'T', 'G', 'C', 'T', 'T', 'G', 'T', 'T', 'G', 'G', 'T',
'C', 'G', 'C', 'C', 'T', 'G', 'T']
    ['A', 'C', 'A', 'T', 'G', 'T', 'T', 'T', 'G', 'C', 'T', 'G', 'G', 'C',
'C', 'G', 'T', 'C', 'T',
                        'G', 'T']
   ['A', 'C', 'G', 'T', 'G', 'T', 'T', 'T', 'A', 'T', 'C', 'G', 'A', 'C',
'T', 'G', 'T', 'C', 'T', 'G', 'T']
   ['A', 'C', 'A', 'C', 'G', 'C', 'T', 'T', 'G', 'C', 'C', 'G', 'A', 'T',
'C', 'A', 'T', 'C', 'T', 'A', 'T']
                        'G', 'C', 'T', 'T', 'A', 'C', 'C', 'G', 'A', 'T',
    ['A', 'T', 'G',
                   'С',
'C', 'A', 'T', 'C', 'T', 'A', 'T']
   ['A', 'C', 'G', 'T', 'G', 'C', 'T', 'T', 'A', 'C', 'T', 'G', 'A', 'T',
'C', 'A', 'C', 'C', 'T', 'G', 'T']
```

As can be seen, the procedure read\_alignment\_file can be used to collect the name of the taxa in its first output and the DNA sequence associated with each of these taxa in its second output. The DNA sequences are given in the form of lists of characters. See section 5.1 for a detailed description of the procedure read\_alignment\_file.

Note that the lists contained in ribosome [1] (the second output of read\_alignment\_file) contains the totality of the nucleotides of the alignment. To only select the columns that present noticeable mutations, one can use the procedure column\_is\_trivial (see the example given below and section 5.4).

For illustration, replace the current code contained in the file main.py with the following piece of code.

```
1 from Pedigrad import *
3 ribosome = read_alignment_file("ribosome.fa",READ_DNA)
5 indices = list()
6 transpose = list()
7 for i in range(len(ribosome[1][0])):
    column = list()
9
    for j in range(len(ribosome[1])):
       column.append(ribosome[1][j][i])
10
     if not(column_is_trivial(column,[])):
11
12
       transpose.append(column)
13
       indices.append(i)
14
15 for i in range(len(transpose)):
     print(str(i+1) + ") at index " + str(indices[i])+":
   str(transpose[i]))
```

Now, save and compile main.py - you should obtain the following result in the console.

2.3. Pre-ordered sets 7

```
С,
                             'С',
                                  'C'.
1) at index 1:
                       'Α',
                             'G',
                                  ίΑ,
                                       'G'
                                             'G']
2) at index 2:
                       Ϋ́,
                             'T'
3) at index 3:
                                  'C'.
                                       c,
                       'T',
                             Ϋ́,
                                  'С',
4) at index 5:
                       G',
                            Ϋ́Α',
                                  G',
5) at index 8:
                       'C', 'T',
                 Г'Т',
                                  'C', 'C',
6) at index 9:
                                   'С',
7) at index 10:
                        Ϋ́,
                             'C',
                  ['T',
                  ['G', 'G', 'A', 'A',
8) at index 12:
                  ['T', 'C', 'C', 'T'.
                                        'T'
9) at index 13:
                              'T', 'C', 'C', 'C']
                   ['C', 'C',
10) at index 14:
                   ['G',
                         'G', 'G', 'A', 'A',
11) at index 15:
                              Ϋ́,
                                    'T'.
                   ['C',
                         Ϋ́,
                                         Ϋ́,
12) at index 16:
13) at index 19:
                   ['G', 'G', 'G', 'A', 'A',
```

The previous list is the list of all non-trivial columns of the multiple sequence alignment of ribosome.fa, that is to say those columns that contain at least two different nucleotides.

We are now ready to proceed to the next section of the tutorial.

#### 2.3. Pre-ordered sets

While the mathematical formalism of [4] majoritarily uses a pre-ordered set with only two elements (called 'colors') – the only exception being [4, Example 4.7] – the present tutorial will use a pre-ordered set of four colors in order to make our code clearer. Implementing [4, Example 4.7] would then require at least five colors.

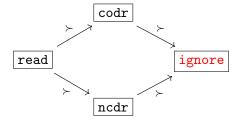
Since we want to implement the algorithm described from [4, Example 2.28] through [4, Example 4.10], which requires to compute the phylogeny of our set of taxa from the multiple sequence alignment of ribosome.fa and then determines whether the multiple sequence alignment of unknown.fa is a coding or non-coding region, we want to use at least three colors: two colors to read coding and non-coding regions and another one to read any type of region.

To do so, open the file named omega.yml and copy the following piece of code.

```
omega.yml

1 !obj:
2 - read #color to read any region
3 - codr #color to read a coding region
4 - ncdr #color to read a non-coding region
5 rel:
6 - read > codr;
7 - read > ncdr;
```

The previous lines define a pre-ordered set with four elements and four generating relations as follows.



The element called ignore, which does not appear in the code entered in omega.yml, is specified via the symbol!, appearing right before the key word obj. The symbol! formally

adds an minimum element to the pre-ordered set of omega.yml. This minimum element will later allow us to use blanks in the specification of segments (see section 4.1 for more detail).

## 2.4. Categories of segments

In this section, we use the pre-order structure defined in section 2.3 to define various parsing methods that we will use to analyze the multiple sequence alignments contained in unknown.fa and ribosome.fa.

To do so, we need to use what is called a category of quasi-homologous segments (mathematically defined in [4]). The definition of this 'category', usually denoted as  $\mathbf{Seg}(\Omega,n)$ , depends on a pre-ordered set  $\Omega$  and an non-negative integer n, which, in the present case, would stand for the length of the DNA strands of our multiple sequence alignments. In this python library, a category of quasi-homologous segments can be defined by using the class  $\mathbf{SegmentCategory}$  (section 4.4). More advanced classes can be used, but these classes are subclasses of the class  $\mathbf{SegmentCategory}$  (thus more complicated) so that focusing on  $\mathbf{SegmentCategory}$  first may be more appropriate for this tutorial. To call an instance of the class  $\mathbf{SegmentCategory}$ , replace the current code of  $\mathbf{main.py}$ , between line 15 to line 16, with the following lines.

```
15 Seg_rb = CategoryOfSegments("omega.yml",len(ribosome[1][0]))
16
17 unknown = read_alignment_file("unknown.fa",READ_DNA)
18 Seg_ukn = CategoryOfSegments("omega.yml",len(unknown[1][0]))
```

The variables Seg\_rb and Seg\_ukn can now be used to specify parsing methods for the multiple sequence alignments of unknown.fa and ribosome.fa. These parsing methods will be associated with specific parsing colors taken from the set of colors read, codr and ncdr defined in omega.yml.

Abstractly, the parsing of a piece of information is represented by a segment [4] – an object in the category  $\mathbf{Seg}(\Omega, n)$  – which can be seen as a partitioned sequence of colored nodes, as shown below.

```
(\circ\circ\circ)(\bullet\bullet\bullet)(\bullet\bullet)(\bullet)(\bullet)(\bullet\bullet)(\bullet\bullet)(\bullet\bullet)(\bullet)(\circ\circ\circ)
\circ = ignore
\bullet = codr
\bullet = ncdr
\bullet = read
```

Observe that the colors of the previous segment represents elements of the pre-ordered structure given in omega.yml. From the user's point of view, the previous segments can be specified by the following regular expression (its syntax is explained below).

```
[(3,3,1,'codr'), (6,2,1,'read'), (8,1,2,'read'), (10,2,1,'read'), (12,3,1,'codr'), (15,2,1,'ncdr'), (17,1,1,'read')]
```

Each quadruple given in the previous list represents a unicolored patch of the segment. The specification of such a patch follows the following syntax:

```
(start_position, number_of_nodes, number_of_times_repeated, the_color)
```

where

- ▷ start\_position is the index at which the patch starts in the segment;
- ▷ number\_of\_nodes is the length of the patch (i.e. the number of nodes);

▷ number\_of\_times\_repeated is the number of times the patch is successively repeated (see below);

$$[(2,3,5,\text{`read')}] = (\circ\circ)\underbrace{(\bullet\bullet\bullet)(\bullet\bullet\bullet)(\bullet\bullet\bullet)(\bullet\bullet\bullet)(\bullet\bullet\bullet)}_{\text{repeated 5 times}}$$

▶ the\_color is the reading state at which the patch is set;

Structurally, a segment is not a regular expression (as given above), but an instance of the class SegmentObject (see section 4.3), which comes with two objects: .topology and .colors. In the present library, the type of regular expression given earlier can always be turned into a SegmentObject item by using the method .segment of the class SegmentCategory, as shown below (also see section 4.4.5).

```
s = Seg_ukn.segment([(3,3,1,'codr'), (6,2,1,'read'), (8,1,2,'read'), (10,2,1,'read'), (12,3,1,'codr'), (15,2,1,'ncdr'), (17,1,1,'read')])
```

The variable s defined above is an instance of the class SegmentCategory and therefore possesses two lists s.topology and s.colors recording the bracketing structure and the color structure of the regular expression, respectively.

In this tutorial, we want to follow the main example of [4] and

- parse the file ribosome.fa according to a 1-nucleotide resolution topology with patches of 1 nucleotides colored in read;

$$[(_{-},1,1,\text{`read'})] = (\circ)(\circ)...(\circ)(\bullet)(\circ)(\circ)...(\circ)$$

- parse the file unknown.fa according to
  - 1) a codon resolution topology with patches of 3 nucleotides colored in codr;

$$[(\_,3,1,`codr')] = (\circ)(\circ)...(\circ)(\bullet\bullet\bullet)(\circ)(\circ)...(\circ)$$

2) a 2-nucleotide resolution topology with patches of 2 nucleotides colored in ncdr;

$$[(-,2,1,\text{`ncdr'})] = (\circ)(\circ)...(\circ)(\bullet \bullet)(\circ)(\circ)...(\circ)$$

3) a 2-nucleotide resolution topology refining the codon topology with patches of 3 nucleotides colored in read;

$$[(-,2,1, \text{`read'}), (-+2,1,1, \text{`read'})] = (\circ)(\circ)...(\circ)(\bullet \bullet)(\bullet)(\circ)(\circ)...(\circ)$$

$$[(-,1,1, \text{`read'}), (-+1,2,1, \text{`read'})] = (\circ)(\circ)...(\circ)(\bullet)(\bullet)(\circ)(\circ)...(\circ)$$

Because the parsing method meant to be used with ribosome.fa is already implemented in the present library, we shall focus on the parsing methods of unknown.fa. Our goal is to construct lists that contain the segments of the form specified above.

We start by constructing the list of segments described in item 1. We do so by adding the following lines to the file main.py.

```
20 parse_coding = list()
21 for i in range(Seg_ukn.domain):
22   if i % 3 == 0:
23     parse_coding.append(Seg_ukn.segment([(i,3,1,'codr')]))
```

The user can display the list parse\_coding by using the following lines of code.

```
25 def print_parsing_method(list_of_segments):
26
     for i in range(len(list_of_segments)):
27
       print(list_of_segments[i].topology,list_of_segments[i].colors)
28
29 print_parsing_method(parse_coding)
   Compiling main.py should give the following display in the console.
([(0, 2)], ['codr'])
([(3, 5)], ['codr'])
([(6, 8)], ['codr'])
([(9, 11)], ['codr'])
([(12, 14)], ['codr'])
([(15, 17)], ['codr'])
([(18, 20)], ['codr'])
([(21, 23)], ['codr'])
([(24, 26)], ['codr'])
   We now construct the list of segments described in item 2. To do so, add the following
lines to the file main.py.
31 parse_ncoding = list()
32 for i in range(Seg_ukn.domain):
33
     if i+1 < Seg_ukn.domain:</pre>
34
       parse_ncoding.append(Seg_ukn.segment([(i,2,1,'ncdr')]))
   We can display parse_ncoding as before by using the following line of code.
36 print_parsing_method(parse_ncoding)
   Compiling main.py should give the following display in the console.
([(0, 1)], ['ncdr'])
([(1, 2)], ['ncdr'])
([(2, 3)], ['ncdr'])
([(3, 4)], ['ncdr'])
([(4, 5)], ['ncdr'])
([(5, 6)], ['ncdr'])
([(6, 7)], ['ncdr'])
([(7, 8)], ['ncdr'])
([(8, 9)], ['ncdr'])
([(9, 10)], ['ncdr'])
([(10, 11)], ['ncdr'])
([(11, 12)], ['ncdr'])
([(12, 13)], ['ncdr'])
([(13, 14)], ['ncdr'])
([(14, 15)], ['ncdr'])
([(15, 16)], ['ncdr'])
([(16, 17)], ['ncdr'])
([(17, 18)], ['ncdr'])
([(18, 19)], ['ncdr'])
([(19, 20)], ['ncdr'])
([(20, 21)], ['ncdr'])
```

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```
([(21, 22)], ['ncdr'])
([(22, 23)], ['ncdr'])
([(23, 24)], ['ncdr'])
([(24, 25)], ['ncdr'])
([(25, 26)], ['ncdr'])
```

Finally, the list of segments described in item 3 can be constructed as follows.

```
38 parse_ground0 = list()
39 parse_ground1 = list()
40 for i in range(Seg_ukn.domain):
41   if i % 3 == 0 and (i+2 < Seg_ukn.domain):
42    parse_ground0.append(Seg_ukn.segment([(i,2,1,'read'), (i+2,1,1,'read')]))
43   if i % 3 == 0 and (i+2 < Seg_ukn.domain):
44    parse_ground0.append(Seg_ukn.segment([(i,1,1,'read'), (i+1,2,1,'read')]))
45   parse_ground = parse_ground0 + parse_ground1</pre>
```

Again, we can display parse\_ground as before by using the following line of code.

#### 47 print\_parsing\_method(parse\_ground)

Compiling main.py should give the following additional display in the console.

```
([(0, 1), (2, 2)], ['read', 'read'])
([(3, 4), (5, 5)], ['read', 'read'])
([(6, 7), (8, 8)], ['read', 'read'])
([(9, 10), (11, 11)], ['read', 'read'])
([(12, 13), (14, 14)], ['read', 'read'])
([(15, 16), (17, 17)], ['read', 'read'])
([(18, 19), (20, 20)], ['read', 'read'])
([(21, 22), (23, 23)], ['read', 'read'])
([(24, 25), (26, 26)], ['read', 'read'])
([(0, 0), (1, 2)], ['read', 'read'])
([(3, 3), (4, 5)], ['read', 'read'])
([(6, 6), (7, 8)], ['read', 'read'])
([(9, 9), (10, 11)], ['read', 'read'])
([(12, 12), (13, 14)], ['read', 'read'])
([(15, 15), (16, 17)], ['read', 'read'])
([(18, 18), (19, 20)], ['read', 'read'])
([(21, 21), (22, 23)], ['read', 'read'])
([(24, 24), (25, 26)], ['read', 'read'])
```

## 2.5. Local analyses

While the class SegmentCategory allows us to define a syntax for our parsing methods, it does not allow us to specify a semantics. To do so, we need to go higher in the class hierarchy and use the subclass LocalAnalysis (see section 5.3).

In general, the subclass LocalAnalysis is only meant to be used by its subclass Pedigrad (see section 5.5), but we will use it in this section to show how the semantics of our parsing methods is recorded internally.

As said in section 2.4, the parsing method that is to be used with the file ribosome.fa is already implemented in the library and can be called as follows.

```
49 Loc_rb = LocalAnalysis(NUCL_MODE, 'read', "omega.yml", Seg_rb.domain)
```

As the reader may have noticed, the name of the file ribosome.fa is not passed to the function and the only information related to ribosome.fa is the integer Seg\_rb.domain, which corresponds to the number of columns of the alignment contained in ribosome.fa. The reason for not passing the name of the file ribosome.fa to the constructor of LocalAnalysis is that a LocalAnalysis item is only meant to give a parsing schema. It is only at the level of the class Pedigrad that this schema will be used with the content of the file ribosome.fa.

The following lines of code show us the type of information that is recorded by the objects .base and .equiv associated with the class LocalAnalysis (see section 5.3). With respect to the item Loc\_rb defined earlier, these objects records the structure of a mapping that relates the segments of a 1-nucleotide resolution parsing method to a set of equivalence classes (stored in the object .equiv) – for this first example, the equivalence classes will be trivial.

```
51 for i in range(len(Loc_rb.base)):
52 print("segment " + str(i+1) + ": " + str(Loc_rb.base[i].topology)
+ " " + str(Loc_rb.base[i].colors) + " ---> " +
str(ID_to_EQ(Loc_rb.equiv[i])))
```

Compiling the previous code gives the following mapping. The trivial equivalence classes are represented by the empty lists given on the right-hand side.

```
[(0, 0)] ['read'] ---> [[]]
            [(1, 1)] ['read'] ---> [[]]
segment 2:
            [(2, 2)] ['read'] ---> [[]]
segment 3:
segment 4:
            [(3, 3)] ['read'] ---> [[]]
segment 5:
            [(4, 4)] ['read'] ---> [[]]
segment 6:
            [(5, 5)] ['read'] ---> [[]]
            [(6, 6)] ['read'] ---> [[]]
segment 7:
            [(7, 7)] ['read'] ---> [[]]
segment 8:
segment 9:
            [(8, 8)] ['read'] ---> [[]]
segment 10:
             [(9, 9)] ['read'] ---> [[]]
             [(10, 10)] ['read'] ---> [[]]
segment 11:
             [(11, 11)] ['read'] ---> [[]]
segment 12:
segment 13:
             [(12, 12)] ['read'] ---> [[]]
segment 14:
             [(13, 13)] ['read'] ---> [[]]
             [(14, 14)] ['read'] ---> [[]]
segment 15:
             [(15, 15)] ['read'] ---> [[]]
segment 16:
             [(16, 16)] ['read'] ---> [[]]
segment 17:
             [(17, 17)] ['read'] ---> [[]]
segment 18:
segment 19:
             [(18, 18)] ['read'] ---> [[]]
             [(19, 19)] ['read'] ---> [[]]
segment 20:
             [(20, 20)] ['read'] ---> [[]]
segment 21:
```

Let us now design the semantics of the other parsing methods. Following [4], we want to associate every segment of the list parse\_coding, defined in section 2.4, with the following equivalence classes, which will be interpreted as identities during the parsing.

$$(2.1) \hspace{1cm} \mathsf{ATA} \leftrightarrow \mathsf{GTG} \hspace{1cm} \mathsf{CTA} \leftrightarrow \mathsf{TTG} \hspace{1cm} \mathsf{TTA} \leftrightarrow \mathsf{CTG}$$

To encode these equivalence classes, we need to use one of the (empty) global lists NO1\_EQ, NO2\_EQ, ..., N21\_EQ. These variables are associated with a set of global strings NO1\_ID, NO2\_ID, ..., N21\_ID, which we can use to refer to the equivalence classes stored in NO1\_EQ, NO2\_EQ, etc.

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For the semantics associated with the parsing method parse\_coding, we will use the global list NO1\_EQ. The specification of the equivalence classes of (2.1) is done via the following line of code.

```
54 NO1_EQ.extend([["ATA","GTG"],["CTA","TTG"],["TTA","CTG"]])
```

To associate every SegmentObject item in parse\_coding with the equivalence classes stored in NO1\_EQ, we want to create a list that only contains copies of the string NO1\_ID and whose length is equal to the length of the list parse\_coding. The correspondence between the elements of parse\_coding and the created list (which will be named equiv\_coding, as shown below) is established by the indexing.

```
56 equiv_coding = list()
57 for i in range(len(parse_coding)):
58 equiv_coding.append(N01_ID)
```

Passing the lists parse\_coding and equiv\_coding to the procedure LocalAnalysis creates a structure that records the semantics of the parsing.

```
60 Loc_coding = LocalAnalysis(SEGM_MODE, parse_coding, equiv_coding, "omega.yml", Seg_ukn.domain)
```

The mapping can be displayed by using the following piece of code.

```
62 for i in range(len(Loc_coding.base)):
63  print("segment " + str(i+1) + ": " + str(Loc_coding.base[i].topology)
+ " " + str(Loc_coding.base[i].colors) + " ---> " +
str(ID_to_EQ(Loc_coding.equiv[i])))
```

Compiling gives us the following associations.

```
[(0, 2)] ['codr'] ---> [['ATA', 'GTG'], ['CTA', 'TTG'], ['TTA',
segment 1:
'CTG']]
segment 2: [(3, 5)] ['codr'] ---> [['ATA', 'GTG'], ['CTA', 'TTG'], ['TTA',
'CTG']]
           [(6, 8)] ['codr'] ---> [['ATA', 'GTG'], ['CTA', 'TTG'], ['TTA',
segment 3:
'CTG']]
segment 4: [(9, 11)] ['codr'] ---> [['ATA', 'GTG'], ['CTA', 'TTG'],
['TTA', 'CTG']]
           [(12, 14)] ['codr'] ---> [['ATA', 'GTG'], ['CTA', 'TTG'],
segment 5:
['TTA', 'CTG']]
segment 6: [(15, 17)] ['codr'] ---> [['ATA', 'GTG'], ['CTA', 'TTG'],
['TTA', 'CTG']]
           [(18, 20)] ['codr'] ---> [['ATA', 'GTG'], ['CTA', 'TTG'],
segment 7:
['TTA', 'CTG']]
           [(21, 23)] ['codr'] ---> [['ATA', 'GTG'], ['CTA', 'TTG'],
segment 8:
['TTA', 'CTG']]
            [(24, 26)] ['codr'] ---> [['ATA', 'GTG'], ['CTA', 'TTG'],
segment 9:
['TTA', 'CTG']]
```

We proceed similarly for the parsing method of parse\_ncoding. This time, every segment of parse\_ncoding should be associated with the following equivalence class.

```
\mathtt{CA} \leftrightarrow \mathtt{TG}
```

This equivalence class can be saved in the variable NO2\_EQ as follows.

```
65 NO2_EQ.extend([["CA","TG"]])
```

Then, we proceed as before by creating a list that only contains the variable NO2\_ID and whose length is equal to the length of the list parse\_ncoding.

```
67 equiv_ncoding = list()
68 for i in range(len(parse_ncoding)):
69 equiv_ncoding.append(NO2_ID)
```

Passing the lists parse\_ncoding and equiv\_ncoding to the procedure LocalAnalysis creates a structure that records the semantics of the parsing.

```
71 Loc_ncoding = LocalAnalysis(SEGM_MODE,parse_ncoding,equiv_ncoding,"omega.yml", Seg_ukn.domain)
```

The mapping can be displayed by using the following piece of code.

```
73 for i in range(len(Loc_ncoding.base)):
74  print("segment " + str(i+1) + ": " + str(Loc_ncoding.base[i].topology)
+ " " + str(Loc_ncoding.base[i].colors) + " ---> " +
str(ID_to_EQ(Loc_ncoding.equiv[i])))
```

Compiling gives us the following associations.

```
[(0, 1)] ['ncdr'] ---> [['CA',
segment 1:
            [(2, 3)] ['ncdr'] ---> [['CA',
segment 2:
segment 3:
            [(4, 5)] ['ncdr'] ---> [['CA', 'TG']]
            [(6, 7)] ['ncdr'] ---> [['CA', 'TG']]
segment 4:
            [(8, 9)] ['ncdr'] ---> [['CA', 'TG']]
segment 5:
segment 6:
            [(10, 11)] ['ncdr'] ---> [['CA', 'TG']]
            [(12, 13)] ['ncdr'] ---> [['CA', 'TG']]
segment 7:
segment 8:
            [(14, 15)] ['ncdr'] ---> [['CA', 'TG']]
            [(16, 17)] ['ncdr'] ---> [['CA', 'TG']]
segment 9:
             [(18, 19)] ['ncdr'] ---> [['CA', 'TG']]
segment 10:
             [(20, 21)] ['ncdr'] ---> [['CA', 'TG']]
segment 11:
             [(22, 23)] ['ncdr'] ---> [['CA', 'TG']]
segment 12:
segment 13:
             [(24, 25)] ['ncdr'] ---> [['CA', 'TG']]
```

Finally, the parsing method of parse\_ground will be used differently from the way the parsing methods of parse\_coding and parse\_ncoding are used and will, in fact, not need to be associated with any list of equivalence classes.

# 2.6. Categories of pedigrads

We now introduce a subclass of LocalAnalysis called Pedigrad (described in section 5.5). As already mentioned at the beginning of section 2.5, the methods of this class will allow us to implement the mapping stored in a LocalAnalysis item with respect to the multiple sequence alignments given in section 2.2. This mapping will later be used to construct the phylogeny of our set of taxa.

Let us call our first Pedigrad item, which we shall use to read the multiple sequence alignment saved in the file ribosome.fa. We do so by adding the following line of code to main.py.

```
76 P_rb = Pedigrad("ribosome.fa", READ_DNA, NUCL_MODE, 'read', "omega.yml")
```

The parameters passed to the previous constructor works as follows:

- ▷ "ribosome.fa" tells the Pedigrad item to read the multiple sequence alignment contained in the file ribosome.fa;
- ▷ READ\_DNA tells the Pedigrad item that DNA sequences are contained in the file "align.fa" so that any lower case nucleotide a, c, g and t is read as an upper case nucleotide (i.e. A, C, G and T);

78 for i in range(len(P\_rb.local)):

segment [(15, 15)] ['read'] ---> ['G', 'G',

segment [(16, 16)] ['read'] ---> ['C', 'T',

segment [(19, 19)] ['read'] ---> ['G', 'G', 'G',

- ▶ NUCL\_MODE tells the Pedigrad item that the DNA sequences of ribosome.fa should be parsed with respect to their nucleotidic topology (1-nucleotide resolution);
- ▷ 'read' tells the Pedigrad item to associate every segment representing a nucleotide with the color read – in the present case, this association is more formal than useful;
- ▷ "omega.yml" tells the Pedigrad item that the color read follow the rules specified in the file "omega.yml";

Every Pedigrad item possesses an object .local that records the vertical section of the multiple sequence alignment associated with the segments of the object .base (given by the superclass LocalAnalysis). For instance, let us add the following lines to the file main.py.

```
print("segment "+str(P_rb.base[i].topology) + " " +
   str(P_rb.base[i].colors) + " ---> " + str(P_rb.local[i]))
   Compiling should add the following lines to the output.
segment [(1, 1)] ['read'] ---> ['C', 'C', 'C', 'C',
                                                     Ϋ́,
segment [(2, 2)] ['read'] ---> ['G', 'A', 'G', 'A',
                                                     'G',
                                                'С',
segment [(3, 3)] ['read'] ---> ['T',
                                      'T', 'T',
segment [(5, 5)] ['read'] ---> ['C', 'T', 'T',
                                                'С',
segment [(8, 8)] ['read'] ---> ['G', 'G', 'A',
                                                G',
segment [(9, 9)] ['read'] ---> ['T', 'C', 'T',
                                                'С',
segment [(10, 10)] ['read'] ---> ['T', 'T', 'C', 'C', 'C',
                                             'Α',
segment [(12, 12)] ['read'] ---> ['G', 'G',
segment [(13, 13)] ['read'] ---> ['T', 'C', 'T', 'C',
                                        'C',
                                             'T',
                                                  'C'.
segment [(14, 14)] ['read'] ---> ['C',
```

Note that the columns of the multiple sequence alignment of ribosome.fa that are indexed by 0, 4, 6, 7, 11, 17, 18 and 20 are not present in the previous display. The reason for this is that the segments associated with these indices are not part of P.base due to the fact that the columns associated to these indices are trivial (i.e. they each contain a unique character).

'Α',

Ϋ́,

'Α',

'G', 'T',

Every Pedigrad item possesses a method .partition that allows us to associate any segment with a partition that is the product of all those partitions associated with those segments, in .base, that can be derived, via a morphism of segment, from the given input segment (see section 5.5.1 for more detail). Below, we give an example for three different segments.

```
81 print(P_rb.partition([(5,1,1,'read')],EXPR_MODE))
82 print(P_rb.partition([(5,1,1,'read'),(6,1,1,'read')],EXPR_MODE))
83 print(P_rb.partition([(5,1,1,'read'),(9,1,1,'read')],EXPR_MODE))
```

Compiling should display the three following partitions.

```
[0, 1, 1, 0, 0, 0]
[0, 1, 1, 0, 0, 0]
[0, 1, 2, 3, 3, 3]
```

The class Pedigrad also possesses a method .isolate that allows us to isolate the taxa associated with certain characters in every column. The reason for such a method is that multiple sequence alignments may contain special characters such as the dot character, which stands for a missing character. A way to deal with these characters would be to call the

procedure P\_rb.isloate(not(NEW),['.']). If the label NEW is used instead of not(NEW), then the procedure creates a new pedigrad item where the dot characters are islolated and the pedigrad P\_rb is not modified (see section 5.5.2).

The example given below isolates all the characters C of the multiple sequence alignment of ribosome.fa in the object .local of a new pedigrad Q, while P\_rb is untouched.

```
85 Q = P_rb.isolate(NEW,['C'])
86
87 for i in range(len(Q.local)):
88    print(str(Q.base[i].topology) + "--->" +str(Q.local[i]))
89
90    print(Q.partition([(5,1,1,'read')],EXPR_MODE))
91    print(Q.partition([(5,1,1,'read'),(6,1,1,'read')],EXPR_MODE))
92    print(Q.partition([(5,1,1,'read'),(9,1,1,'read')],EXPR_MODE))
```

Compiling the previous lines of code gives the following output. The symbols >n, where n is an integer, are used to isolate what were before symbols C in P\_rb.local.

```
segment [(1, 1)] ['read'] ---> ['>0', '>1', '>2', '>3', 'T', '>4']
segment [(2, 2)] ['read'] ---> ['G', 'A', 'G', 'A', 'G', 'G']
segment [(3, 3)] ['read'] ---> ['T', 'T', 'T', '>0', '>1', 'T']
segment [(5, 5)] ['read'] ---> ['>0', 'T', 'T', '>1', '>2', '>3']
segment [(8, 8)] ['read'] ---> ['G', 'G', 'A', 'G', 'A', 'A']
segment [(9, 9)] ['read'] ---> ['T', '>0', 'T', '>1', '>2', '>3']
segment [(10, 10)] ['read'] ---> ['T', 'T', '>0', '>1', '>2', 'T']
segment [(12, 12)] ['read'] ---> ['G', 'G', 'A', 'A', 'A', 'A']
segment [(13, 13)] ['read'] ---> ['T', '>0', 'T', '>1', 'T', 'T']
segment [(14, 14)] ['read'] ---> ['>0', '>1', 'T', '>2', '>3', '>4']
segment [(15, 15)] ['read'] ---> ['G', 'G', 'G', 'A', 'A', 'A']
segment [(16, 16)] ['read'] ---> ['>0', 'T', 'T', 'T', 'T', '51']
segment [(19, 19)] ['read'] ---> ['G', 'G', 'G', 'A', 'A', 'G']
[0, 1, 1, 2, 3, 4]
[0, 1, 1, 2, 3, 4]
[0, 1, 2, 3, 4, 5]
```

Later on, in section 2.8, we will make use of two other Pedigrad items, named P\_codr and P\_ncdr, which read the multiple sequence alignment of unknown.fa in the same ways as it is specified by the LocalAnalysis items Loc\_coding and Loc\_ncoding (see section 2.5). We finish this section by calling these pedigrads and displaying their characteristics. Note that, this time, the global variable SEGM\_MODE is used (instead of NUCL\_MODE) to mean that the specification of the object .base and the related equivalence classes are specified by hand (see section 5.5 and section 5.3).

```
94 P_codr = Pedigrad("unknown.fa",READ_DNA,SEGM_MODE,parse_coding,
equiv_coding,"omega.yml")
95 P_ncdr = Pedigrad("unknown.fa",READ_DNA,SEGM_MODE,parse_ncoding,
equiv_ncoding,"omega.yml")
```

We can have a first understanding of P\_codr and P\_ncdr by looking at their objects .local. Let us start by looking at P\_codr.local by adding the following lines of code to main.py.

```
97 for i in range(len(P_codr.local)):
98    print("segment "+str(P_codr.base[i].topology) + " " +
    str(P_codr.base[i].colors) + " ---> " + str(P_codr.local[i]))
```

Compiling gives the output displayed below, in which the integers 0, 1 and 2 are the representative elements of the three equivalence classes given in (2.1).

```
segment [(0, 2)] ['codr'] ---> ['ACG', 'ACG', 'ACG', 'GCA', 0, 'ATG']
segment [(3, 5)] ['codr'] ---> [1, 2, 2, 'CCG', 'CCG', 2]
segment [(6, 8)] ['codr'] ---> ['GCA', 0, 'GAT', 'GAC', 'GAC', 'GAT']
segment [(9, 11)] ['codr'] ---> ['GTT', 'CTC', 'CTC', 'ATG', 'ACA', 'AAT']
segment [(12, 14)] ['codr'] ---> ['TGG', 'TGG', 'TGA', 1, 1, 'CAA']
segment [(15, 17)] ['codr'] ---> [1, 1, 1, 'TAG', 'TAG', 'TCG']
segment [(18, 20)] ['codr'] ---> ['CTC', 'CCC', 'GCC', 'GTC', 'ATC', 'ATC']
segment [(21, 23)] ['codr'] ---> ['CAG', 'TGG', 'GAG', 'GAA', 'GAA', 'GAT']
segment [(24, 26)] ['codr'] ---> [2, 'CCA', 2, 'TCA', 'TCG', 'CCG']
```

Let us now look at P\_ncdr.local by adding the following lines of code to main.py.

```
100 for i in range(len(P_ncdr.local)):
101  print("segment "+str(P_ncdr.base[i].topology) + " " +
    str(P_ncdr.base[i].colors) + " ---> " + str(P_ncdr.local[i]))
```

This time, we obtain the following output, in which the integer 0 is the representative element of the equivalence class  $CA \leftrightarrow TG$ .

```
segment [(0, 1)] ['ncdr'] ---> ['AC', 'AC', 'AC', 'GC', 'GT', 'AT']
segment [(2, 3)] ['ncdr'] ---> ['GC', 'GT', 'GT', 'AC', 'GC', 'GC']
segment [(4, 5)] ['ncdr'] ---> ['TA', 'TA', 'TA', 'CG', 'CG', O]
segment [(6, 7)] ['ncdr'] ---> ['GC', 'GT', 'GA', 'GA', 'GA', 'GA']
segment [(8, 9)] ['ncdr'] ---> ['AG', 'GC', 'TC', O, O, 'TA']
segment [(10, 11)] ['ncdr'] ---> ['TT', 'TC', 'TC', O, O, 'AT']
segment [(12, 13)] ['ncdr'] ---> [O, O, O, 'CT', 'CT', O]
segment [(14, 15)] ['ncdr'] ---> ['GT', 'GT', 'AT', 'AT', 'AT', 'AT']
segment [(18, 19)] ['ncdr'] ---> ['CT', 'CC', 'GC', 'GT', 'AT', 'AT']
segment [(20, 21)] ['ncdr'] ---> ['CC', 'CT', 'CG', 'CG', 'CG']
segment [(24, 25)] ['ncdr'] ---> ['AG', 'GG', 'AG', 'AA', 'AA', 'AT']
segment [(24, 25)] ['ncdr'] ---> ['CT', 'CC', 'TT', 'TC', 'TC', 'CC']
```

Finally, note that, even though P\_codr and P\_ncdr use the colors codr and ncdr, the way the pre-order structure omega.yml was design allows us to use segments of color read to query partitions, as shown below.

```
103 print(P_codr.partition([(0,2,1,'read'),(2,1,1,'read')],EXPR_MODE))
104 print(P_ncdr.partition([(0,2,1,'read'),(2,1,1,'read')],EXPR_MODE))
```

The result of these calls is given below.

```
[0, 0, 0, 1, 2, 3]
[0, 0, 0, 1, 2, 3]
```

# 2.7. Phylogeneses and phylogenies

The goal of the present section is to implement the algorithm described in [4, Theorem 3.37]. Before even implementing this algorithm, we need to change the file Pedigrad.py in order to use a non-importable function from the library—this will give us the opportunity to illustrate how to import the non-importable functions described in this documentation.

The non-importable function that we want to use is \_preimage\_of\_partition (see section 3.3). To import it, insert the following piece of code in line 16 of Pedigrad.py.

```
16 from PartitionCategory import _preimage_of_partition
17 def preimage_of_partition(*args):
19 return _preimage_of_partition(*args)
```

This function can allow us to better see what partitions look like. This is illustrated below with the object .local of our Pedigrad item P\_rb defined in section 2.6. For this, append the following lines of code to the file main.py.

```
106 print("\n{{Alignment}}\n")
107 for i in range(len(P_rb.local)):
108  print("Segment "+str(P_rb.base[i].topology)+ " ---> " +
    str(preimage_of_partition(P_rb.local[i])))
```

The output associated with this part of the code (after compilation) should look as follows.

#### {{Alignment}}

```
Segment [(1, 1)] ---> [[0, 1, 2, 3, 5], [4]]
Segment [(2, 2)] ---> [[0, 2, 4, 5], [1, 3]]
Segment [(3, 3)] ---> [[0, 1, 2, 5], [3, 4]]
Segment [(5, 5)] ---> [[0, 3, 4, 5], [1, 2]]
Segment [(8, 8)] ---> [[0, 1, 3], [2, 4, 5]]
Segment [(9, 9)] ---> [[0, 2], [1, 3, 4, 5]]
Segment [(10, 10)] ---> [[0, 1, 5], [2, 3, 4]]
Segment [(12, 12)] ---> [[0, 1], [2, 3, 4, 5]]
Segment [(13, 13)] ---> [[0, 2, 4, 5], [1, 3]]
Segment [(14, 14)] ---> [[0, 1, 3, 4, 5], [2]]
Segment [(15, 15)] ---> [[0, 1, 2], [3, 4, 5]]
Segment [(16, 16)] ---> [[0, 5], [1, 2, 3, 4]]
Segment [(19, 19)] ---> [[0, 1, 2, 5], [3, 4]]
```

The reader can verify that the previous outputs correspond to the non-trivial images of the functor  $L_{\rm rb}: B_{\rm rb} \to {\bf Uprt}(S)$  shown in [4, Example 2.24]. The reader will also be able to notice that the previous collection of partitions misses the (terminal) partitions associated with the 'trivial columns' of the alignment (see the end of section 2.2).

Below, we define the first generation of our phylogeny, which corresponds to the 1-phylogeny  $\phi_0$  defined in [4, Example 3.30].

In this library, phylogenies are implemented through the class Phylogeny, which is described in section 7.2. The idea behind this type of structure is that, instead of being encoded by a unique evolutionary tree, as it is common, it is encoded by a collection of evolutionary trees – one for each taxa. The following lines define a 1-phylogeny whose histories 'end' (or start, if seen as lists) with the taxa themselves.

```
110 p = list()
111 for i in range(len(P_rb.taxa)):
112  p.append([[i]])
113 pgy = Phylogeny(p)
```

We can display the content of the 1-phylogeny pgy by using the object .history associated with the class Phylogeny. To do so, let us add the following lines to main.py.

```
115 print("\n{{Mathematical phylogeny}}\n")
116 for i in range(len(pgy.phylogeneses)):
117  print(P_rb.taxa[i] + " = " + str(pgy.phylogeneses[i].history))
```

Compiling these lines should give us the following display on the standard output.

```
{{Mathetical phylogeny}}

TaxonA = [[0]]

TaxonB = [[1]]

TaxonC = [[2]]

TaxonD = [[3]]

TaxonE = [[4]]

TaxonF = [[5]]
```

As described in the statement of [4, Theorem 3.37], our algorithm is a repetition of a construction called an *extension* and it stops once a *complete* extension is reached, which broadly means an extension that is equal to its extension. For the sake of exposition, we will first illustrate the first loop of the algorithm and then run the whole code on a while loop. In this respect, add the following lines to main.py – the commented part will be uncommented later on.

```
119 keep_going = True

120

121 if keep_going:

122 #while(keep_going):
```

We first start by creating groups of taxa that may have possibly originated from a common ancestor. The idea is to gather those taxa that are not present in each other first generations (see [4, Definition 3.28]), which means the last list of the list pgy.phylogeneses[i].history. We do so by using the method pgy.set\_up\_friendship() (described section 7.2.8) as shown below.

```
print("\n{{Set up friendship}}\n")
friendship = pgy.set_up_friendships()
for i in range(len(P_rb.taxa)):

print("---->"+str(P_rb.taxa[i])+"'s network: ")

for j in print(len(friendship[0][i])):

print("> common ancestor with " + str(P_rb.taxa[friendship[0][i])

[j]]) + ": "+ str(friendship[1][i][j]))
```

Compiling the previous lines of code gives the following output. As can be seen, common ancestors are represented by lists of taxa that they are supposed to generate.

```
{{Set up friendship}}
```

```
---->TaxonA's network:

> common ancestor with TaxonB: [0, 1]
> common ancestor with TaxonC: [0, 2]
> common ancestor with TaxonD: [0, 3]
> common ancestor with TaxonE: [0, 4]
> common ancestor with TaxonF: [0, 5]
---->TaxonB's network:
> common ancestor with TaxonA: [0, 1]
> common ancestor with TaxonC: [1, 2]
> common ancestor with TaxonD: [1, 3]
> common ancestor with TaxonE: [1, 4]
> common ancestor with TaxonF: [1, 5]
```

```
---->TaxonC's network:
> common ancestor with TaxonA: [0, 2]
> common ancestor with TaxonB: [1, 2]
> common ancestor with TaxonD: [2, 3]
> common ancestor with TaxonE: [2, 4]
> common ancestor with TaxonF: [2, 5]
---->TaxonD's network:
> common ancestor with TaxonA: [0, 3]
> common ancestor with TaxonB: [1, 3]
> common ancestor with TaxonC: [2, 3]
> common ancestor with TaxonE: [3, 4]
> common ancestor with TaxonF: [3, 5]
---->TaxonE's network:
> common ancestor with TaxonA: [0, 4]
> common ancestor with TaxonB: [1, 4]
> common ancestor with TaxonC: [2, 4]
> common ancestor with TaxonD: [3, 4]
> common ancestor with TaxonF: [4, 5]
---->TaxonF's network:
> common ancestor with TaxonA: [0, 5]
> common ancestor with TaxonB: [1, 5]
> common ancestor with TaxonC: [2, 5]
> common ancestor with TaxonD: [3, 5]
> common ancestor with TaxonE: [4, 5]
```

To decide which of these ancestors is the most probable, we can use the function pgy.score to assess them. As is done in [4], we use two scoring systems: the cardinalities of the large and exact selections (see [4, Definition 3.7 & 3.8]). In the code given below, these cardinalities are stored in the variable scores[i][j][1] and scores[i][j][2].

Adding the previous piece of code to the file main.py and compiling it gives the scores displayed below. The cardinalities of the large selections are denoted as L while the cardinalities of the exact selections are denoted as E. The reader who is reading [4] may here recognize the scores used in [4, Example 3.31] and [4, Example 3.22].

```
{{Score friendship}}
```

```
---->TaxonA

>TaxonB: L = 16, E = 1

>TaxonC: L = 15, E = 1

>TaxonD: L = 12, E = 0

>TaxonE: L = 12, E = 0

>TaxonF: L = 17, E = 1
```

```
---->TaxonB
>TaxonA: L = 16, E = 1
>TaxonC: L = 14, E = 1
>TaxonD: L = 15, E = 2
>TaxonE: L = 11, E = 0
>TaxonF: L = 14, E = 0
---->TaxonC
>TaxonA: L = 15, E = 1
>TaxonB: L = 14, E = 1
>TaxonD: L = 12, E = 0
>TaxonE: L = 14, E = 0
>TaxonF: L = 15, E = 0
---->TaxonD
>TaxonA: L = 12, E = 0
>TaxonB: L = 15, E = 2
>TaxonC: L = 12, E = 0
>TaxonE: L = 17, E = 2
>TaxonF: L = 14, E = 0
---->TaxonE
>TaxonA: L = 12, E = 0
>TaxonB: L = 11, E = 0
>TaxonC: L = 14, E = 0
>TaxonD: L = 17, E = 2
>TaxonF: L = 16, E = 0
---->TaxonF
>TaxonA: L = 17, E = 1
>TaxonB: L = 14, E = 0
>TaxonC: L = 15, E = 0
>TaxonD: L = 14, E = 0
>TaxonE: L = 16, E = 0
```

For each taxon, we now want to choose a set of closest relatives based on the scores computed above. Note that each series of scores is relative to a taxon so that TaxonA may be the best choice from the point of view of TaxonB, but taxon TaxonB may not be the best choice from the point of view TaxonA. In [4], the set of closest relatives associated with each taxon was encoded via the notion of set of dominant ancestors. To process the scores stored in the list scores, we want to use the method .choose associated with the class Phylogeny (see section 7.2.10). To do so, add the following lines of code to the file main.py.

Compiling should now add the following lines to the standard output.

```
{{Choose friendship}}
----->TaxonA's closet relative(s):
>TaxonF
----->TaxonB's closet relative(s):
>TaxonA
----->TaxonC's closet relative(s):
>TaxonA
----->TaxonD's closet relative(s):
>TaxonE
----->TaxonE's closet relative(s):
>TaxonD
----->TaxonF's closet relative(s):
>TaxonA
```

As can be seen above, the procedure pgy.choose() chose a closest relative for each taxon. These closest relatives together with the taxa with which they are associated will formally represent the ancestor from which they are supposed to originate. To create these formal representatives, we want to use the method .set\_up\_competition associated with the class Phylogeny. The name of this method comes from the fact that once ancestors have been defined for each taxon, we want to determine which one of these are more likely to survive. Then, these individuals, seen as the latest possible survivors, should be placed as early as possible in the phylogeny.

Below, we apply the method .set\_up\_competition on the list choose\_friends created earlier. Because the list p is, for now, quite trivial, the ancestors created by this method are only the list of the taxa shown ealier. However, later in the algorithm, the method .set\_up\_competition will form ancestors in a less obvious way by considering the 'first' generations of the phylogeny, which means the last list appended to the lists of pgy.phylogeneses (this will be explained later in the tutorial).

To create our list of ancestors, we need to add the following piece of code to main.py.

```
print("\n{{Set up competition}}\n")

146    competition = pgy.set_up_competition(choose_friends)

147    for i in range(len(competition)):

148        print("----->"+str(P_rb.taxa[i])+"'s team: ")

149        for j in range(len(competition[i])):

150        print(">" + str(P_rb.taxa[competition[i][j]]))

151        adjusted_competition = ([range(len(competition))],[competition])
```

Compiling the previous piece of code gives the following output.

```
---->TaxonA's team:
>TaxonA
>TaxonF
---->TaxonB's team:
>TaxonA
>TaxonB
---->TaxonC's team:
>TaxonA
>TaxonA
```

{{Set up competition}}

```
---->TaxonD's team:
>TaxonE
---->TaxonE's team:
>TaxonD
>TaxonE
---->TaxonF's team:
>TaxonF
>TaxonF
```

As can be seen, each team includes the taxon with which it is associated and its closest relatives. As was the case for friendships, these teams now have to be ranked so that only the best ones are chosen (ties are allowed). This can be done by using the method .score again. Note that while the output of .set\_up\_friendships() was matching the type of input required for .score, the output of .set\_up\_competition needs to be completed (see adjusted\_competition above) to fit the format required by .score. While the part

```
[range(len(competition))]
```

of adjusted\_competition only gives formal representatives to the internal lists of the list [competition], the method .set\_up\_friendships() outputs a more complex labeling system that is more representatives of the process that gives rise to the returned friendships (see section 7.2.8).

To score the set of best teams contained in adjusted\_competition, copy the following piece of code into main.py.

```
print("\n{{Score competition}}\n")
scores = pgy.score(P_rb.local,adjusted_competition)
print(scores)
for j in range(len(scores[0])):
    print(">" + str(P_rb.taxa[scores[0][j][0]]) + ": L =
    "+str(scores[0][j][1]) + ", E = "+str(scores[0][j][2]))
```

Compiling main.py should now add the following text to the standard output.

```
{{Score competition}}

>TaxonA: L = 17, E = 2

>TaxonB: L = 16, E = 2

>TaxonC: L = 15, E = 1

>TaxonD: L = 17, E = 9

>TaxonE: L = 17, E = 9

>TaxonF: L = 17, E = 2
```

As before, we want to choose the taxa associated with the best scores by using the method .choose (see section 7.2.10). Below, the procedure pgy.choose is applied to the list scores, defined earlier, and returns the 'labels' of the ancestors that possess the best scores. By construction of adjusted\_competition, the labels returned by pgy.choose correspond to the indices of the ancestors in the list competition. This means that we can recover the list characterizing the ancestors by indexing the output of pgy.choose in competition, as shown in the code displayed below.

Also, in the piece of code given below, we create a list c that contains the pairs of the form (t,competition[t]) for every taxon t returned by pgy.choose. This list will later be

used to add the new ancestor competition[t] to the phylogenesis of t, while the other taxa will keep their ancestors for one more generation.

```
159
      print("\n{{Choose competition}}\n")
160
      choose_competitors = pgy.choose(scores)
      c = list()
161
162
      for i in range(len(choose_competitors[0])):
        c.append((choose_competitors[0][i],competition[choose_competitors[0]
163
    [i]]))
        print("--->"+P_rb.taxa[choose_competitors[0][i]]+"'s winners:
164
        for j in range(len(competition[choose_competitors[0][i]])):
165
166
          print(">"+P_rb.taxa[competition[choose_competitors[0][i]][j]])
```

After adding the previous piece of code to main.py and compiling it, we obtain two winners for the competitions (see the output displayed below). Even though these winners represent the same ancestor, this may not always be the case.

```
{{Choose competition}}

---->TaxonD's winners:
>TaxonD
>TaxonE
---->TaxonE's winners:
>TaxonD
>TaxonD
```

We now want to add the ancestor [TaxonD, TaxonE] (represented by a list) to the phylogeneses of the taxa TaxonD and TaxonE. We can do so by using the method .extend associated with the class Phylogeny (see section 7.2.5).

In the piece of code given below, the procedure pgy.extend(c) returns a Boolean value that indicates whether the adding of the ancestors contained in c actually adds new information to the phylogeny pgy. If the value False is returned, then the ancestors stored in c are only repetitions of ancestors already considered in pgy for the same taxa. In this case, extending pgy with the ancestor contained in c will only generate an infinite loop. We therefore want to make the algorithm terminate when this happens — we can do so by giving the output of pgy.extend(c) to the variable keep\_going, which is used to control the while loop of the algorithm (see the beginning of the section).

```
print("\n{{Extension}}\n")
led keep_going = pgy.extend(c)
print("CONTINUE: "+len(keep_going))
```

It is possible to display the phylogeny pgy at any time via the method .print\_tree(). We can add the following lines of code to the file main.py to display the added generation to the phylogeny.

```
#Display current phylogeny
172
173
      if keep_going == True:
174
      for i in range(len(pgy.phylogeneses)):
        print("\nTree for " + str(P_rb.taxa[pgy.phylogeneses[i].taxon])+":")
175
176
        try:
177
          pgy.phylogeneses[i].print_tree()
178
        expect:
179
          {}
```

Compiling main.py should display the following text on the standard output.

```
{{Extension}}
CONTINUE: True
Tree for TaxonA:
Tree for TaxonB:
    B
Tree for TaxonC:
    В
         C
Tree for TaxonD:
    В
         C
Tree for TaxonE:
Tree for TaxonF:
```

We now exit the loop of the algorithm (see the change of indentation in the lines of code) and add the following piece of code, which is to display the final phylogeny in the form of ascii trees.

```
181 print("\n{{Phylogeny}}")
182 for i in range(len(pgy.phylogeneses)):
183    print("\nTree for " + str(P_rb.taxa[pgy.phylogeneses[i].taxon])+":")
184    pgy.phylogeneses[i].print_tree()
```

The reader who also reads [4] may want to see what the phylogeny looks like structurally. We therefore add the following lines of code to display the lists

```
pgy.phylogeneses[i].history,
```

which give the sequences of inclusions considered in [4, Definition 3.23].

```
186 print("\n{{Mathematical phylogeny}}\n")
187 for i in range(len(pgy.phylogeneses)):
188  print(P_rb.taxa[i] + " = " + str(pgy.phylogeneses[i].history))
```

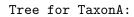
To run the algorithm, it suffices to uncomment the while condition of line 122 and turn line 121 into a comment.

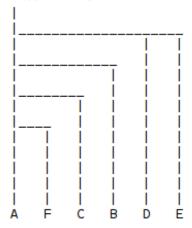
121 #if keep\_going:
122 while(keep\_going):

Compiling main.py should give a detailed description of the algorithm described in [4, Example 3.39].

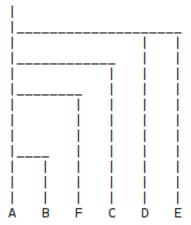
The final output associated with the lines of code comprised from line 181 to line 188 is given below.

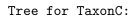
{{Phylogeny}}

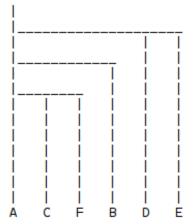




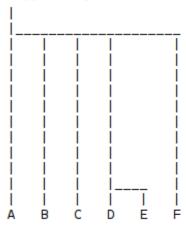
Tree for TaxonB:



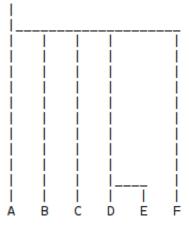


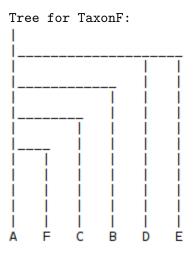


Tree for TaxonD:



Tree for TaxonE:





```
{{Mathematical phylogeny}}
```

```
TaxonA = [[0], [0], [0], [0, 5], [0, 2, 5], [0, 1, 2, 5], [0, 1, 2, 3, 4, 5]]

TaxonB = [[1], [1], [0, 1], [0, 1], [0, 1, 5], [0, 1, 2, 5], [0, 1, 2, 3, 4, 5]]

TaxonC = [[2], [2], [2], [2], [0, 2, 5], [0, 1, 2, 5], [0, 1, 2, 3, 4, 5]]

TaxonD = [[3], [3, 4], [3, 4], [3, 4], [3, 4], [3, 4], [0, 1, 2, 3, 4, 5]]

TaxonE = [[4], [3, 4], [3, 4], [3, 4], [3, 4], [3, 4], [0, 1, 2, 3, 4, 5]]

TaxonF = [[5], [5], [5], [0, 5], [0, 2, 5], [0, 1, 2, 5], [0, 1, 2, 3, 4, 5]]
```

# 2.8. Agreements

The goal of this section is to determine which one of the pedigrad P\_codr and P\_ncdr, defined in section 2.6, support the phylogeny constructed in section 2.7 the most. We follow [4, section 4.3] and use the concept of agreement to assess how much a pedigrad agrees with the phylogeny. We do so by using the method .agree associated with the class Pedigrad (see section 5.5.3). The common ground of the comparison is given by the list of segments parse\_ground constructed in section 2.4. The idea is to apply the two procedures

```
P_cdor.agree(parse_ground,-) P_ncdr.agree(parse_ground,-)
```

on the partioning associated with the phylogeny pgy displayed at the end of section 2.7 to compute the lists of segments in parse\_ground that agree with the given partioning.

In this respect, let us add the following lines of code to the file main.py. The two for loops given below display the length of the lists returned by the procedures P\_cdor.agree and P\_ncdr.agree. On the other hand, the user can uncomment the commented lines, in lines 198, 199, 205 and 206, to display the actual lists of segments returned by these procedures.

```
190 print("\n{{Agreements}}")
191 for i in range(len(pgy.phylogeneses)):
192    print("---->"+P_rb.taxa[i])
193    print("Coding")
194    for j in range(len(pgy.phylogeneses[i].history)):
195         u = EquivalenceRelation([pgy.phylogeneses[i].history[j]],
         len(pgy.phylogeneses)-1)
196         a = P_codr.agree(parse_ground,u.quotient())
```

2.8. Agreements 29

```
197
        print(">" + str(pgy.phylogeneses[i].history[j]) + "(" +
    str(len(a))+")")
198
        #for k in range(len(a)):
199
        #print("... "+str(a[k].topology))
200
      print("Non-coding")
      for j in range(len(pgy.phylogeneses[i].history)):
201
202
        u = EquivalenceRelation([pgy.phylogeneses[i].history[j]],
    len(pgy.phylogeneses)-1)
        b = P_ncdr.agree(parse_ground,u.quotient())
203
        print(">" + str(pgy.phylogeneses[i].history[j]) + "(" +
204
    str(len(b))+")")
205
        #for k in range(len(b)):
                      "+str(b[k].topology))
206
        # print("...
```

Compiling main.py should now add the following text to the standard output. The cardinality of the agreements are displayed in brackets next to the generation of the phylogeny for which they were computed. The reader going through [4] will notice that the data given below match with the data displayed in the tables of [4, Example 4.10]

```
{{Agreements}}
```

```
---->TaxonA
Coding
>[0](18)
>[0](18)
>[0](18)
>[0, 5](0)
>[0, 2, 5](0)
>[0, 1, 2, 5](0)
>[0, 1, 2, 3, 4, 5](0)
Non-coding
>[0](18)
>[0](18)
>[0](18)
>[0, 5](3)
>[0, 2, 5](1)
>[0, 1, 2, 5](1)
>[0, 1, 2, 3, 4, 5](0)
---->TaxonB
Coding
>[1](18)
>[1](18)
>[0, 1](6)
>[0, 1](6)
>[0, 1, 5](0)
>[0, 1, 2, 5](0)
>[0, 1, 2, 3, 4, 5](0)
```

```
Non-coding
>[1](18)
>[1](18)
>[0, 1](10)
>[0, 1](10)
>[0, 1, 5](1)
>[0, 1, 2, 5](1)
>[0, 1, 2, 3, 4, 5](0)
---->TaxonC
Coding
>[2](18)
>[2](18)
>[2](18)
>[2](18)
>[0, 2, 5](0)
>[0, 1, 2, 5](0)
>[0, 1, 2, 3, 4, 5](0)
Non-coding
>[2](18)
>[2](18)
>[2](18)
>[2](18)
>[0, 2, 5](1)
>[0, 1, 2, 5](1)
>[0, 1, 2, 3, 4, 5](0)
---->TaxonD
Coding
>[3](18)
>[3, 4](10)
>[3, 4](10)
>[3, 4](10)
>[3, 4](10)
>[3, 4](10)
>[0, 1, 2, 3, 4, 5](0)
Non-coding
>[3](18)
>[3, 4](14)
>[3, 4](14)
>[3, 4](14)
>[3, 4](14)
>[3, 4](14)
>[0, 1, 2, 3, 4, 5](0)
```

2.9. Example 4.7

```
---->TaxonE
Coding
>[4](18)
>[3, 4](10)
>[3, 4](10)
>[3, 4](10)
>[3, 4](10)
>[3, 4](10)
>[0, 1, 2, 3, 4, 5](0)
Non-coding
>[4](18)
>[3, 4](14)
>[3, 4](14)
>[3, 4](14)
>[3, 4](14)
>[3, 4](14)
>[0, 1, 2, 3, 4, 5](0)
---->TaxonF
Coding
>[5](18)
>[5](18)
>[5](18)
>[0, 5](0)
>[0, 2, 5](0)
>[0, 1, 2, 5](0)
>[0, 1, 2, 3, 4, 5](0)
Non-coding
>[5](18)
>[5](18)
>[5](18)
>[0, 5](3)
>[0, 2, 5](1)
>[0, 1, 2, 5](1)
>[0, 1, 2, 3, 4, 5](0)
```

# 2.9. Example 4.7

This last section illustrates how to use the functions of the present library to replicate a calculation shown in [4, Example 4.7], namely the following series of identities.

$$\begin{split} P(\tau') &= P(\mathsf{pair}\ 1) \times P(\mathsf{pair}\ 3) \times P(\mathsf{pair}\ 5) \\ &= \{a,b,c\}, \{d\}, \{e\}, \{f\} \times \{a,e,f\}, \{b,c\}, \{d\} \times \{a,b,c\}, \{d,e\}, \{f\} \\ &= \{a\}, \{b,c\}, \{d\}, \{e\}, \{f\} \end{split}$$

Recall that these identities allow one, in [4], to show that the segment  $\tau'$  (defined thereof) is not part of the agreement of the pedigrad Lan $L_{\rm nr}$  for the partition

$$\delta(\{a,b\}) = \{a,b\}, \{c\}, \{d\}, \{e\}, \{f\}.$$

First, the series of identities mentioned earlier can be verified with the following lines of code. Below, in line 213, the function product\_of\_partitions (see section 3.8) is used to compute the three products shown at the beginning of the calculation given above.

Compiling main.py gives us the following output, which shows us that our series of identities is satisfied.

{{Region reconstruction}}

```
[[0], [1, 2], [3], [4], [5]]

= [[0, 1, 2], [3], [4], [5]]

x [[0, 4, 5], [1, 2], [3]]

x [[0, 1, 2], [3, 4], [5]]

P(tau_prime) = [[0], [1, 2], [3], [4], [5]]
```

In particular, we can check that the segment

```
\tau' = [(0,2,1, \text{`read'}), (2,1,2, \text{`read'}), (4,2,1, \text{`read'})]
```

is not in  $\delta(\{a,b\}) \downarrow Lan L_{nr}$  by adding the following lines of code to main.py.

```
220 u = EquivalenceRelation([[0,1]],len(pgy.phylogeneses)-1)
221 print("\n"+str(u.quotient()) + " ---> "+ str(pp) + "?")
222 MorphismOfPartitions(u.quotient(),pp)
```

Compiling main.py then shows us that there is no morphism of partitions from the partition u.quotient() =  $\{a,b\},\{c\},\{d\},\{e\},\{f\}$  to the partition

```
P_ncdr.partition(\tau', EXPR_MODE).
```

```
[0, 0, 1, 2, 3, 4] ---> [0, 1, 1, 2, 3, 4]?

Error: in MorphismOfPartitions.__init__: source and target are not compatible.
```

In other words, the segment  $\tau'$  is not an object of the category  $\delta(\{a,b\}) \downarrow \mathsf{Ran} L_{\mathrm{nr}}$ .

# Presentation of the module PartitionCategory.py

## 3.1. Description of \_image\_of\_partition

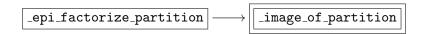
The function <u>\_image\_of\_partition</u> takes a list of elements and returns the list of its elements without repetition in the order in which they can be accessed from the left to the right.

```
1 def _image_of_partition(partition):
2    """ the source code of this function can be found in iop.py """
3    return the_image

    This corresponds to returning the image object of the underlying partition of the list.

>>> print(_image_of_partition([3,3,2,1,1,2,4,5,6,5,2,6]))
[3, 2, 1, 4, 5, 6]
>>> print(_image_of_partition(['A',4,'C','C','G',4,0,0,1,'a','A']))
['A', 4, 'C', 'G', 0, 1, 'a']
```

# 3.2. Description of \_epi\_factorize\_partition



The function <code>\_epi\_factorize\_partition</code> relabels the elements of a list with non-negative integers. It starts with the integer 0 and attributes a new label by increasing the previously attributed label by 1. The first element of the list always receives the label 0 and the highest integer used in the relabeling equals the length of the image (section 3.1) of the list decreased by 1.

```
1 def _epi_factorize_partition(partition):
2    """ the source code of this function can be found in efp.py """
3    return epimorphism
```

Even though a list already encodes an epimorphism, the goal of the function

is to return a canonical *choice* of epimorphism.

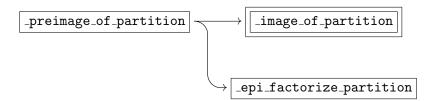
$$S \xrightarrow[e(f)]{f} \operatorname{Im}(f) \xrightarrow{\cong} K$$

This choice ensures that two partitions characterized by the same set of universal properties are equal as python lists. In [4], this type of construction is formulated in terms of 'episkeletal' structure for the object S (see the diagram above).

```
>>> p = [3,3,2,1,1,2,4,5,6,5,2,6]
>>> print(_epi_factorize_partition(p))
[0, 0, 1, 2, 2, 1, 3, 4, 5, 4, 1, 5]
>>> im = _image_of_partition(p)
>>> print(_epi_factorize_partition(im))
[0, 1, 2, 3, 4, 5]
>>> print(_epi_factorize_partition(['A',4,'C','C','G',4,0,0,1,'a','A']))
[0, 1, 2, 2, 3, 1, 4, 4, 5, 6, 0]
```

Note that the function does not literally relabel the input list, but allocates a new space in the memory to store the relabeled list.

## 3.3. Description of \_preimage\_of\_partition



The function \_preimage\_of\_partition takes a list and returns the list of the lists of indices that index the same element.

```
1 def _preimage_of_partition(partition):
2    """ the source code of this function can be found in piop.py """
3    return the_preimage
```

From the point of view of partitions [4, Appendix A], the returned list the\_preimage (see the code above) is the preimage of the underlying epimorphism  $f: S \to K$  of the input partition, where the preimage of f is defined as the K-indexed set of the fibers of the epimorphism.

$$\mathsf{PreIm}(f) = \{f^{-1}(k)\}_{k \in K}$$

Note that, from an implementation viewpoint, the set K might not be equipped with an obvious order relation, which makes it difficult to define the preimage of  $f: S \to K$  as a python list. To rectify this flaw, the preimage is computed with respect to the canonical epimorphism  $e(f): S \to \mathsf{Im}(f)$  whose codomain is equipped with the natural order on integers (see section 3.2).

$$PreIm(f) := \{e(f)^{-1}(k)\}_{k \in Im(f)}$$

```
>>> p = ['a', 'a',2,2,3,3, 'a']
>>> print(_preimage_of_partition(p))
[[0, 1, 6], [2, 3], [4, 5]]
>>> print(_epi_factorize_partition(p))
[0, 0, 1, 1, 2, 2, 0]
```

```
>>> p = [2,1,0,6,5,4,2,1,0]
>>> print(_preimage_of_partition(p))
[[0, 6], [1, 7], [2, 8], [3], [4], [5]]
>>> print(_epi_factorize_partition(p))
[0, 1, 2, 3, 4, 5, 0, 1, 2]
```

In the first example given above:

- the list [0,1,6] is the fiber of the element 'a' and its index in the preimage is 0;
- the list [2,3] is the fiber of the element 2 and its index in the preimage is 1;
- the list [4,5] is the fiber of the element 3 and its index in the preimage is 2.

The preimage will always order its fibers with respect to the order in which the elements of the input list appear.

### 3.4. Description of print\_partition

```
oxed{ print\_partition } \longrightarrow oxed{ \_preimage\_of\_partition }
```

The function print\_partition is a debug function that takes a list of elements and prints its preimage on the standard output.

```
1 def print_partition(partition):
2  print(_preimage_of_partition(partition))
```

See section 3.3 for examples.

## 3.5. Description of \_join\_preimages\_of\_partitions

The function \_join\_preimages\_of\_partitions takes two lists of lists of indices (the indices can be repeated and should only be non-negative integers) as well as a Boolean value and returns the list of the maximal unions of internal lists that intersect within the concatenation of the two input lists (see the examples below).

```
1 def _join_preimages_of_partitions(preimage1,preimage2,speed_mode):
2    """ the source code of this function can be found in jpop.py """
3    return the_join
```

While the two input lists preimage1 and preimage2 could be two outputs of the procedure

for two input lists of the same length, the Boolean value speed\_mode would indicate whether one of the two input lists may contain at least two different sublists with the same index, as shown below.

Note that the global variable FAST is reserved to this use.

```
>>> print(FAST)
True
```

From the point of view of partitions, the composition of <code>\_preimage\_of\_partition</code> with <code>\_join\_preimages\_of\_partitions</code> would amount to computing the coproduct of two partitions. Since a category of partitions is also a partially ordered set, this coproduct is also the <code>join</code> of the two partitions, which explains the name of the procedure.

For illustration, if we consider the following two lists of lists of indices

```
>>> p1 = [[0, 3], [1, 4], [2]]
>>> p2 = [[0, 1], [2], [3], [4]]
```

we can notice that

- the internal list [0,3] of p1 intersects with the internal lists [0,1] and [3] in p2;
- the internal list [0,1] of p1 intersects with the internal lists [1,4] and [1] in p2;
- the internal list [1,4] of p1 intersects with the internal list [4] in p2;

and

- the internal list [2] of p1 only intersects with the internal list [2] in p2,

so that we have

```
>>> print(_join_preimages_of_partitions(p1,p2,FAST))
[[1, 4, 0, 3], [2]]
```

In terms of implementation, the program

considers each internal list of p1 and searches for the lists of p2 that intersect it. If an intersection is found between two internal lists, it merges the two internal lists in p1 and empties that of p2 (the list is emptied and *not* removed in order to preserve a coherent indexing of the elements of p2). The function continues until all the possible intersections have been checked.

Here is a detail of what program (3.1) does with respect to the earlier example:

The element 0 of [0,3] is searched in the list [0,1] of p2;

The element 0 is found:

The lists [0,3] and [0,1] are merged in p1 and [0,1] is emptied from p2 as follows:

```
p1 = [[0, 3, 1], [1, 4], [2]]
p2 = [[], [2], [3], [4]]
```

Because the element 0 has now been found in p2 and the third input was set to FAST, no other sublist of p2 is supposed to contain the element 0 and the search of the element 0 stops here. Note that if not(FAST) were given in the third argument, then the earlier union operation would also be operated on the remaining sublists of p2.

The element 3 of [0, 3] is searched in the list [] of p2;

The element 3 is not found (continues);

The element 3 of [0, 3] is searched in the list [2] of p2;

The element 3 is not found (continues);

The element 3 of [0, 3] is searched in the list [3] of p2;

The element 3 is found;

The lists [0,3] and [3] are merged in p1 and [3] is emptied from p2 as follows:

```
p1 = [[0, 3, 1], [1, 4], [2]]
p2 = [[], [2], [], [4]]
```

The element 3 has now been found in p2 and does not need to be searched again.

All elements of the initial list [0, 3] have been searched.

The first lists of p1 is appended to p2 in order to ensure the transitive computation of the maximal unions through the next interations.

The list [0, 3, 1] of p1 is emptied as follows:

```
p1 = [[], [1, 4], [2]]
p2 = [[], [2], [], [4], [0, 3, 1]]
```

Repeat the previous procedure with respect to the list [1, 4] of p1. We obtain the following pair:

```
p1 = [[], [], [2]]
p2 = [[], [2], [], [], [1, 4, 0, 3]]
```

Repeat the previous procedure with respect to the remaining list [2] of p1. We obtain the following pair:

```
p1 = [[], [], []]
p2 = [[], [], [], [], [1, 4, 0, 3], [2]]
```

The function stops because there is no more list to process in p1. The output is all the non-empty lists of p2; i.e. [[1, 4, 0, 3], [2]]

Note that, because of the iterative nature of the previous algorithm, the procedure

$$_{\rm join\_preimages\_of\_partitions}(-,-,-)$$

does not necessarily presents its output in the same way as the procedure

does. For instance, while the index 0 will always be contained in the first list of the output of \_preimage\_of\_partition, it might not be contained in the first list of the output of \_join\_preimages\_of\_partitions as illustrated below.

```
>>> 1 = _preimage_of_partition([1,2,4,5,1,2,3,2,2,1,3])
>>> print(1)
[[0, 4, 9], [1, 5, 7, 8], [2], [3], [6, 10]]
>>> m = _preimage_of_partition([1,2,5,4,2,2,5,6,5,7,8])
>>> print(m)
[[0], [1, 4, 5], [2, 6, 8], [3], [7], [9], [10]]
>>> print(_join_preimages_of_partitions(1,m))
[[3], [6, 10, 2, 1, 5, 7, 8, 0, 4, 9]]
```

Interestingly, the procedure \_join\_preimages\_of\_partitions can also be used to compute the intersection-free closure of a set of sets, as shown below.

```
>>> a = [[1,0,2,1,3,2,5,4,6],[15,15,18,0,13],[7,11,12,22],[23,12]]
>>> closure_of_a = _join_preimages_of_partitions(a,a,not(FAST))
>>> print(closure_of_a)
[[15, 18, 0, 13, 1, 2, 3, 5, 4, 6], [23, 12, 7, 11, 22]]
```

# 3.6. Description of EquivalenceRelation (class)

The class EquivalenceRelation possesses two objects, namely

- .classes (list of lists);
- .range (integer);

and three methods, namely

- .\_\_init\_\_ (constructor);
- .closure;
- .quotient.

The constructor .\_\_init\_\_ takes between 1 and 2 arguments: the first argument should either be an empty list or a list of lists of indices (i.e. non-negative integers) and the second argument, which is optional when the first argument is not an empty list, should be an integer that is greater than or equal to the maximum index contained in the first input, if it exists.

```
1 class EquivalenceRelation:
 2
     #The objects of the class are:
 3
     #.classes (list of lists);
 4
     #.range (integer);
 5
     #The following constructor takes between 1 and 2 arguments,
     #the first one being a list and the second being an integer.
 6
 7
     def __init__(self,*args):
 8
     """ the source code of this constructor can be found in cl_er.py """
     def closure(self):
10
       self.classes = _join_preimages_of_partitions(self.classes,
   self.classes,not(FAST))
11
     def quotient(self):
12
     """ the source code of this function can be found in cl_er.py """
13
       return the_quotient
```

If the first input is not empty, then it is stored in the object .classes while the object .range receives:

- either the second input, when this second input is given;
- or the maximum index contained in the first input when no second input is given.

```
>>> eq1 = EquivalenceRelation([[0,1,2,9],[7,3,8,6],[4,9,5]])
>>> print(eq1.classes)
[[0, 1, 2, 9], [7, 3, 8, 6], [4, 9, 5]]
>>> print(eq1.range)
9
>>> eq2 = EquivalenceRelation([[0,1,2,9],[7,3,8,7],[9,15]],18)
>>> print(eq2.classes)
[[0, 1, 2, 9], [7, 3, 8, 7], [9, 15]]
>>> print(eq2.range)
18
```

If the first input is empty, then the second argument is required. In this case, the object .classes receive the lists containing all the singleton lists containing the integers from 0 to the integer given in the second argument, which is, for its part, stored in the object .range.

```
>>> eq3 = EquivalenceRelation([],5)
>>> print(eq3.classes)
[[0], [1], [2], [3], [4], [5]]
```

The method .closure() replaces the content of the object .classes with the transitive closure of its classes. After this procedure, the object .classes describes an actual equivalence relation (modulo the singleton equivalence classes, which do not need to be specified for obvious reasons).

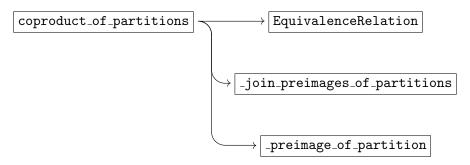
```
>>> eq1.closure()
>>> print(eq1.classes)
[[7, 3, 8, 6], [4, 9, 5, 0, 1, 2]
```

```
>>> eq2.closure()
>>> print(eq2.classes)
[[7, 3, 8], [9, 15, 0, 1, 2]]
```

The method .quotient() returns a list of integers whose length is equal to the integer contained in the object .range decreased by 1 and whose non-trivial fibers are those contained in the object .classes after a call of the method .closure().

```
>>> print(eq1.quotient())
[1, 1, 1, 0, 1, 1, 0, 0, 0, 1]
>>> print(eq2.quotient())
[1, 1, 1, 0, 2, 3, 4, 0, 0, 1, 5, 6, 7, 8, 9, 1, 10, 11, 12]
```

### 3.7. Description of coproduct\_of\_partitions



The function coproduct\_of\_partitions takes two lists of the same legnth and returns their coproduct (or join) as partitions. Specifically, the procedure outputs the quotient of the join of their preimages (see the piece of code given below). If the two input lists do not have the same length, then an error message is outputted and the program is aborted.

```
1 def coproduct_of_partitions(partition1,partition2):
 2
     if len(partition1) == len(partition2):
 3
       #Returns the coproduct of two partitions as the quotient of the
       #equivalence relation induced by the join of the preimages
 4
 5
       #of the two partitions.
       the_join = EquivalenceRelation(_join_preimages_of_partitions(
   _preimage_of_partition(partition1),_preimage_of_partition(partition2),
  FAST))
 7
       return the_join.quotient()
8
9
       print("Error: in coproduct_of_partitions: lengths do not match.")
10
       exit()
```

Note that the outputs of the procedure coproduct\_of\_partitions do not necessarily belong to the set of outputs of the procedure \_epi\_factorize\_partition. The reason comes from the way in which the procedure \_join\_preimages\_of\_partitions is implemented (see the end of section 3.5).

```
>>> 1 = [1,2,4,5,1,2,3,2,2,1,3]
>>> m = [1,2,5,4,2,2,5,6,5,7,8]
>>> c = coproduct_of_partitions(1,m)
>>> print(c)
[1, 1, 1, 0, 1, 1, 1, 1, 1, 1]
>>> print(_epi_factorize_partition(c))
[0, 0, 0, 1, 0, 0, 0, 0, 0, 0]
```

### 3.8. Description of product\_of\_partitions

```
	ext{product\_of\_partitions} \longrightarrow 	ext{$-$epi\_factorize\_partition}
```

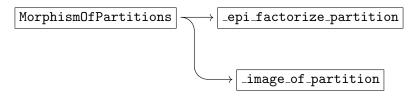
The function product\_of\_partitions takes two lists and returns a list that is the relabeling of the zipping of the two lists (i.e. the list of pairs of elements with corresponding indices in each of the input lists) via the procedure \_epi\_factorize\_partition.

```
1 def product_of_partitions(partition1,partition2):
     #The following line checks if the product of the two lists is possible.
     if len(partition1) == len(partition2):
3
4
       #Constructs the list of pairs of element with the
5
       #same index in the two lists, and then relabels
6
       #the pairs using _epi_factorize_partition.
7
       return _epi_factorize_partition(zip(partition1,partition2))
8
       print("Error: in product_of_partitions: lengths do not match.")
9
10
       exit()
```

The function outputs an error if the two input lists do not have the same length.

```
>>> product_of_partitions([1,1,1,1,2,3],['a','b','c','c','c','c','c'])
[0, 1, 2, 2, 3, 4]
>>> product_of_partitions([1,1,1,1,2],['a','b','c','c','c','c','c'])
Error: in product_of_partitions: lengths do not match.
```

# 3.9. Description of MorphismOfPartitions (class)



The class MorphismOfPartitions possesses three objects, namely

```
- .arrow (list)- .source (list)- .target (list)
```

and a constructor .\_\_init\_\_ The consructor .\_\_init\_\_ takes two lists as well as an optional argument and stores, in the object .arrow, the list that describes, if it exists, the (unique) morphism of partitions from the first input list (seen as a partition) to the second input list (seen as a partition). If the morphism does not exist, then the method returns an error message unless the value False was given as a third input.

The canonical epimorphisms associated with the partitions of the first and second input lists (see section 3.2) are stored in the objects .source and .target, respectively.

```
1 class MorphismOfPartitions:
2  #The objects of the class are:
3  #.arrow (list);
4  #.source (list);
5  #.target (list).
6  def __init__(self,source,target,*args):
7  """ the source code of this constructor can be found in cl_mop.py """
```

The list that is contained in the object .arrow is the image of the function  $\mathtt{source} \mapsto \mathtt{target}(\mathtt{source})$  that can be constructed from the parametrization  $t \mapsto \mathtt{source}[t]$  and  $t \mapsto \mathtt{target}[t]$ , which are given by the list structure of the two input lists  $\mathtt{target}$  and  $\mathtt{source}$ . This is illustrated below in more detail.

For illustration, let us consider the following pair of lists.

```
>>> p1 = [0,1,2,3,3,4,5]
>>> p2 = [0,1,2,3,3,3,1]
```

To construct the function  $source \mapsto target(source)$ , we can first try to construct the graph (source[t], target[t]), for which we use the procedure zip.

```
>>> p3 = zip(p1,p2)
>>> print(p3)
[(0, 0), (1, 1), (2, 2), (3, 3), (3, 3), (4, 3), (5, 1)]
```

The image of the zipping is then as follows:

```
>>> p4 = _image_of_partition(p3)

>>> print(p4)

[(0, 0), (1, 1), (2, 2), (3, 3), (4, 3), (5, 1)]
```

We can see that for each pair (x,y) in p4, every component x is mapped to a unique image y so that p4 defines the *graph* of the morphism of partitions between p1 and p2. The constructor .\_\_init\_\_ then records, in the object .arrow, the second projections of the pairs contained in p4, which also corresponds to the image of the underlying graph encoded by p4.

```
>>> m = MorphismOfPartitions(p1,p2)
>>> print(m.arrow)
[0, 1, 2, 3, 3, 1]
```

If there exists no morphism from the first input list to the second input list, then the function outputs an error message.

For example, if we modify p2 as follows

```
\Rightarrow p2 = [0,1,2,3,6,3,1]
```

then the image of the zipping of p1 and p2 is as follows:

```
>>> p4 = _image_of_partition(zip(p1,p2))
>>> print(p4)
[(0, 0), (1, 1), (2, 2), (3, 3), (3, 6), (4, 3), (5, 1)]
```

As can be seen, the argument 3 is 'mapped' to two different images, namely 3 and 6. In this case, the constructor .\_\_init\_\_ exits the program with an error message.

Here is a complete example summarizing the previous explanation.

```
>>> m = MorphismOfPartitions([1,6,5,3,3,4,2],[1,2,5,4,4,4,2])
>>> print(m.source)
[0, 1, 2, 3, 3, 4, 5]
>>> print(m.target)
[0, 1, 2, 3, 3, 3, 1]
>>> print(m.arrow)
[0, 1, 2, 3, 3, 1]
>>> m = MorphismOfPartitions([1,6,5,3,3,4,2],[1,2,5,4,6,4,2])
Error: in MorphismOfPartitions.__init__: source and target are not compatible.
>>> m = MorphismOfPartitions([1,6,5,3,3,4,2],[1,2,5,4,6,4,2],False)
```

Finally, note that the constructor of MorphismOfPartitions can be used to test whether there is a morphism between two partitions by using the key words try and except and setting the thrid argument to False, as illustrated below.

```
>>> try:
>>>
      m = MorphismOfPartitions([1,6,5,3,3,4,2],[1,2,5,4,4,4,2],False)
      print("True")
>>>
>>> except:
      print("False")
>>>
True
>>> try:
>>>
      m = MorphismOfPartitions([1,6,5,3,3,4,2],[1,2,5,4,6,4,2],False)
      print("True")
>>>
>>> except:
      print("False")
>>>
False
```

# Presentation of the module SegmentCategory.py

## 4.1. Description of \_read\_pre\_order

The function \_read\_pre\_order takes the name of a file and returns a Boolean value and a list of lists.

```
1 def _read_pre_order(name_of_file):
2    """ the source code of this function can be found in rpo.py """
3    return (flag_zero_obj,the_pre_order)
```

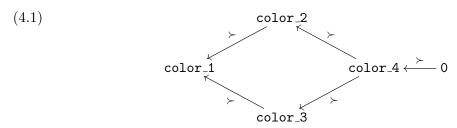
The input file is supposed to contain some specific code lines that describe a pre-ordered set. The code follows a certain syntax that will later be detailed in this section. Because this syntax is compatible with the yaml grammar, these pre-ordered sets will usually be specified with the extension .yml in our examples. Here is the example of such a file.

```
Omega.yml

1 #This is the example of a pre-ordered set.

2 
3 !obj:
4 - color_1 #object for color 1
5 - color_2 #object for color 2
6 - color_3 #object for color 3
7 - color_4 #object for color 4
8
9 rel: #these are generating relations for the preorder.
10 - color_1 > color_2, color_3;
11 - color_1 > color_3, color_1;
12 - color_3 > color_4;
13 - color_2 > color_4;
```

Diagrammatically, this pre-ordered set can be described by the graph given below. Below, we will see why there is an additional object 0 that is not specified in the yaml file.



The following sub-sections describe the syntax rules that should be used to define the pre-order structure contained in the file meant to be given to the function <code>\_read\_pre\_order</code> as well as the output of <code>\_read\_pre\_order</code>.

- **4.1.1. Comments.** Comments are allowed in the file and are specified as in python and in yaml, which is to say by starting with the character #.
- **4.1.2. Objects.** The set of elements of the pre-ordered set should always be specified in terms of a list of words succeeding one of the key words 'obj:' or '!obj:' as shown below.

```
obj:[name1][separator][name2][separator] (etc.)
!obj:[name1][separator][name2][separator] (etc.)
```

The labels [name1] and [name2] stand for words that should only be made of the characters 0-9, @, A-Z, \_ and a-z while the label [separator] stands for any character that is not in this list of characters. Repetitions of names are taken care by the parser, which ignores all repetitions. The following specification of objects is equivalent to that given in Omega.yml.

```
Objects.yml

1 #Note that we now use different types of separator.

2 !obj: color_1;

3 ,, color_2; color_1;

4 --> color_3.!color_4 *
```

While the key word obj: is only supposed to present the list of the elements of the pre-ordered set, the key word !obj: should only be used to formally add a formal initial object (i.e. a minimum element) to the pre-ordered set. This element was represented by 0 in diagram (4.1).

**4.1.3.** Relations. The pre-order relations of the pre-order structure should be specified after the key word rel: as a list of phrases satisfying the following syntax.

```
[dominant_object]>[predecessor1][separator][predecessor2][separator] (etc.);
```

Such a line means that [dominant\_object] is greater than or equal to all the elements listed after the symbol '>'. The list of predecessors stops at the symbol ';'. Note that the symbol ';' can be omitted for the last line of the file. The following specification of relations is equivalent to that given in Omega.yml.

```
Omega_bis.yml

1 #The pre-ordered set can be very compact.

2 !obj: 1 2 3 4

3 rel: 1>2,3; 3>4; 2>4
```

The labels [dominant\_object] and [predecessorn] stand for words that should only be made of the characters 0-9, @, A-Z, \_ and a-z while the label [separator] stands for any character that is not in this list of characters.

#### 4.1.4. Output. Finally, the output of the function \_read\_pre\_order is a pair

```
(flag_zero_obj,the_pre_order)
```

whose first component flag\_zero\_obj is a Boolean value specifying whether the key word !obj: is used to define the list of objects (as opposed to the key word obj:) and whose second component the\_pre\_order is a list of lists giving the non-transitive down-closures of the elements of the pre-ordered set in the order in which these were given in the input file.

Specifically, a list in the list the\_pre\_order will always start with the element of which it is supposed to give the down-closure, as shown below.

```
[dominant_object, predecessor1, predecessor2, (etc.)]
```

For instance, the ouput of our previous example Omega.yml is as follows.

```
>>> omega = _read_pre_order("Omega.yml")
>>> print("Formal initial object: "+str(omega[0]))
Formal initial object: True
>>> for i in range(len(omega[1])):
... print(omega[1][i])
['color_1', 'color_2', 'color_3']
['color_2', 'color_4']
['color_3', 'color_4']
['color_4']
```

## 4.2. Description of \_transitive\_closure

The function \_transitive\_closure takes a list of lists and returns another list of lists whose pointer is the same as that given in the input (i.e. the input is therefore modified by the function and returned as an output).

```
1 def _transitive_closure(down_closure):
2    """ the source code of this function can be found in tc.py """
3    return down_closure
```

The input, call it down\_closure, should be a list of potentially-non-transitive down-closures of elements of a given pre-ordered set. In particular, the input down\_closure should take the same form as that taken by the second output of the procedure \_read\_pre\_order(-) (see section 4.1). This means that the down-closure of an element should be the unique list of down\_closure starting with this element (see the syntax given below).

```
[dominant_object, predecessor1, predecessor2, (etc.)]
```

The output down\_closure is then the list of the transitive down-closures of the elements of that pre-ordered set.

Here is an example with the procedure <u>read\_pre\_order</u>. Let us consider the following pre-order specification.

```
Omega.yml

1 #This is a non-transitive presentation of a pre-ordered set.

2 3 #The list of the elements of the set (without the formal minimum).

4 !obj: 1 2 3 4 5 6

5 6 #The list of the non-trivial (generating) relations.

7 rel: 1 > 2; 2 > 3; 3 > 5; 5 > 6;
```

As shown below, we can see that the output of the procedure <code>read\_pre\_order</code> does not include the transitive relation 1 > 2 > 3 in the down-closure of 1. On the other hand, the relation 1 > 3 does appear in the down-closure of 1, even if it is not specified in Omega.yml.

```
>>> preorder = _read_pre_order("Omega.yml")
>>> print("Formal initial object: "+str(preorder[0]))
>>> for i in range(len(preorder[1])):
      print(preorder[1][i])
['1', '2']
['2', '3']
['3', '5']
['4']
['5', '6']
['6']
>>> omega = _transitive_closure(preorder[1])
>>> for i in range(len(omega)):
      print(omega[i])
['1', '2', '3', '5', '6']
['2', '3', '5', '6']
['3', '5', '6']
['4']
['5', '6']
['6']
```

# 4.3. Description of SegmentObject (class)

The class SegmentObject possesses two objects, namely

```
- .topology (list of 2-tuples);- .colors (list of indices),
```

and two methods, namely

```
- .__init__ (constructor)- .patch
```

The idea behind the two objects .colors and .topology is that they contain all the needed information to define a mathetical segment [1, 2, 3, 4], that is to say a pair (t, c) where t is the *topology*, usually encoded by an order preserving surjection, and c is the *coloring map*, usually encoded by a function going to a pre-ordered set.

```
1 class SegmentObject:
    #The objects of the class are:
2
3
    #.topology (list of 2-tuples);
    #.colors (list of indices);
4
5
    def __init__(self,topology,colors):
6
    """ the source code of this constructor can be found in cl_so.py """
7
    def patch(self,position):
8
    """ the source code of this function can be found in cl_so.py """
     return the_index
```

The constructor .\_\_init\_\_ takes two lists, specifically a lists of indices and a list of pairs of increasing indices, and allocate them in the object .colors and .topology, respectively

For instance, we could first define an uncolored topology of the form

```
(000)(000)(000)(000)(000)
```

by using the list t defined below.

```
>>> t = list()
>>> for i in range(5):
... t = t + [(3*i,3*i+2)]
```

Then, we could add colors to this topology, say to form the following Boolean segment.

```
(\circ\circ\circ)(\bullet\bullet\bullet)(\bullet\bullet\bullet)(\circ\circ\circ)(\circ\circ\circ)
```

In this case, the colors would be specified by a list of colors whose length is equal to len(t) and the associated segment would be defined via the constructor .\_\_init\_ as follows.

```
>>> c = [0,1,1,0,0]
>>> s = SegmentObject(t,c)
```

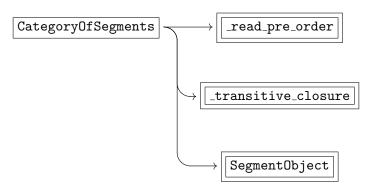
For its part, the method .patch takes an integer and returns the index of the first pair (a,b) of .topology that bounds the integer, that is to say that the input integer is greater than or equal to the first component a and is less than or equal to the second component b. If no such index exists, then the procedure returns -1.

For example, we can use the method .patch to recover all the information contained in our previous segment s, as illustrated below.

```
>>> for i in range(15):
     print("Position "+str(i)+" belongs to "+str(s.topology[s.patch(i)])+"
    and its color is "+str(s.colors[s.patch(i)]))
Position 0 belongs to (0, 2) and its color is 0
Position 1 belongs to (0, 2) and its color is 0
Position 2 belongs to (0, 2) and its color is 0
Position 3 belongs to (3, 5) and its color is 1
Position 4 belongs to (3, 5) and its color is 1
Position 5 belongs to (3, 5) and its color is 1
Position 6 belongs to (6, 8) and its color is 1
Position 7 belongs to (6, 8) and its color is 1
Position 8 belongs to (6, 8) and its color is 1
Position 9 belongs to (9, 11) and its color is 0
Position 10 belongs to (9, 11) and its color is 0
Position 11 belongs to (9, 11) and its color is 0
Position 12 belongs to (12, 14) and its color is 0
Position 13 belongs to (12, 14) and its color is 0
Position 14 belongs to (12, 14) and its color is 0
```

The pre-ordered set that is usually associated with a segment (t,c) can be specified through the class CategoryOfSegments defined in section 4.4.

# 4.4. Description of CategoryOfSegments (class)



The class CategoryOfSegments possesses three objects, namely

- .domain (integer)
- .mask (Boolean)
- .preorder (list of lists)

and five methods, namely

- .\_\_init\_\_ (constructor)
- .can\_switch\_to
- .homset
- .topology
- .segment

In addition, the class CategoryOfSegments may be used as a super class (this is allowed by the key word object used in the definition of the class; see below). In this library, the class CategoryOfSegments is the parent of the classes LocalAnalysis and Pedigrad (see section 5.3 and section 5.5).

```
1 class CategoryOfSegments(object):
2  #The objects of the class are:
3  #.domain (integer);
4  #.mask (Boolean);
5  #.preorder (list of lists);
```

The three objects .domain, .mask, and .preorder give all the needed information to specify a category of quasi-homologous segments  $Seg(\Omega \mid n)$  (as defined in [2, 3, 4]) for some pre-ordered set  $(\Omega, \preceq)$  and non-negative integer n. Specifically, the object

- .domain contains the integer n defining what is called the *domain* (see *ibid*) of the segments of  $\mathbf{Seg}(\Omega \mid n)$ , which can informally be identified as the length of the segments;
- .mask indicates whether the pre-ordered set  $(\Omega, \preceq)$  contains a formal initial object (i.e. a minimum element), which is usually used as an 'ignore' state or a mask;
- .preorder contains the (transitive) down-closure of all the elements of the preordered set  $\Omega$ . This type of data corresponds to the type of data outputted by the procedure composition displayed below (see section 4.2 and section 4.1).

```
_transitive_closure(_read_pre_order(-)[1])
```

Let us now describe how these objects can be initialized through the constructor.

```
6  def __init__(self,name_of_file,length_of_segments):
7  """ the source code of this constructor can be found in cl_cos.py """
```

The constructor .\_\_init\_\_ takes two inputs, namely the name of a file that contains a pre-order structure and an integer, and stores

- the integer in the object .domain;
- a Boolean value specifying whether the pre-order structure contains a formal initial object in .mask;
- the transitive down-closures of the elements of the pre-order in .preorder.

The other methods of the class are very 'categorical', in the sense that they either answer questions that are relevant to the category structure of  $\mathbf{Seg}(\Omega \mid n)$  or provide items that would be directly accessible from its structure.

```
8
     def can_switch_to(self,color1,color2):
9
     """ the source code of this function can be found in cl_cos.py """
         return (color2 in self.preorder[i])
10
11
     def identity(self, source, target):
       return (segment1.topology == segment2.topology)\
12
13
       and (segment1.colors == segment2.colors)
     def homset(self,source,target):
13
14
     """ the source code of this function can be found in cl_cos.py """
15
       return flag
     def topology(self,expression):
16
     """ the source code of this function can be found in cl_cos.py """
17
18
       return the_topology
     def segment(self,expression):
19
     """ the source code of this function can be found in cl_cos.py """
20
       return the_segment
21
```

**4.4.1.** Comparing colors. The method .can\_switch\_to takes two strings that should be the names of elements in the pre-ordered set and returns a Boolean value indicating whether the first one is greater than or equal to the second one for the pre-order structure.

For illustration, consider the following pre-order specification.

```
omega.yml
1 !obj: 0 1 2
2 rel: 2 > 1; 0 > 2
```

We can then use the method .can\_switch\_to to verify whether a certain pre-order relation holds, as shown below.

```
>>> Seg = CategoryOfSegments("omega.yml",50)
>>> print(Seg.can_switch_to("2","1"))
True
>>> print(Seg.can_switch_to("1","2"))
False
>>> print(Seg.can_switch_to("1","1"))
True
>>> print(Seg.can_switch_to("0","1"))
```

**4.4.2. Identities.** The method .identity takes two SegmentObject items and returns a Boolean value indicating whether there is a morphism of segments from the first input to the second input.

The following example uses the method .segment, described in section 4.4.5, to create two SegmentObject items from regular expressions.

```
>>> Seg = CategoryOfSegments("omega.yml",50)
>>> s1 = Seg.segment([(0,3,10,"1"),(30,6,2,"2")])
>>> s2 = Seg.segment([(0,6,7,"1")])
>>> print(Seg.identity(s1,s2))
False
>>> print(Seg.identity(s1,s1))
True
```

**4.4.3.** Hom-sets. The method .homset takes two SegmentObject items (see section 4.3) and returns a Boolean value specifying if there is a morphism from the first one to the second one. Theoretically, this function parses the two segments as if the object .mask was set to the value True (see section 4.4.5), in which case the topologies of the segments may lack some patches. These missing patches are assumed to have the color associated with the formal initial object of the pre-ordered set  $(\Omega, \preceq)$ .

The following example uses the method .segment, described in section 4.4.5, to create two SegmentObject items from regular expressions.

```
>>> Seg = CategoryOfSegments("omega.yml",50)
>>> s1 = Seg.segment([(0,3,10,"1"),(30,6,2,"2")])
>>> s2 = Seg.segment([(0,6,7,"1")])
>>> print(Seg.homset(s1,s2))
True
>>> s1 = Seg.segment([(0,3,10,"1"),(30,7,2,"2")])
>>> s2 = Seg.segment([(0,6,7,"1")])
>>> print(Seg.homset(s1,s2))
False
```

- **4.4.4.** Constructing a topology. The method .topology takes a list of 3-tuples and returns a list of 2-tuples. The input list is meant to encode a regular expression that describes the topology of a segment. The 3-tuples contained by the input list specifies
  - where a patch starts;
  - how long the patch is;
  - how many such patches are repeated successively.

Specifically, the input satisfies the following syntax:

```
[(start_position, length_of_patch, number_of_such_patches), (etc.) ]
```

Also, note that the start positions of the 3-tuples contained in the regular expression need to be given in an increasing order. For instance, a topology of the form

would be encoded by the following lists of 3-tuples:

```
[(0, 3, 2), (6, 4, 1), (10, 3, 4)]
```

On the other hand, the expression used below is not a valid example.

```
>>> Seg = CategoryOfSegments("omega.yml",22)
>>> expr = [(10, 3, 4), (6, 4, 1), (0, 3, 2)]
>>> s = Seg.topology(expr)
Error: in CategoryOfSegments.topology: expression is not well-formed
```

The output of the procedure is the implementation of the regular expression into a topology (a list of 2-tuples). The 2-tuples contained in the output are the start and end positions of each patch. For instance, the output that would be produced for our first example (given above) would be as follows.

```
[(0, 2), (3, 5), (6, 9), (10, 12), (13, 15), (16, 18), (19, 21)]
```

Also, depending on whether the object .mask is True or False, the regular expression either needs to cover the whole topology or only needs to give a few relevant patches whose color might not be that of the initial object of the pre-order structure.

1) If .mask contains True, then only patches *meant* to be associated with a non-initial color need to be specified so that the remaining patches of the topology are implicit. For instance, the expression [(5,3,1)] can specify either one of the following topologies when .domain is equal to 15.

 $(0.000)(0.00)(0.000000) \qquad (0)(0)(0)(0)(0)(0)(0)(0)(0)(0)(0)(0)$ 

In practice, it is always recommended to associate the implicit part of the topology with the 'mask' color – given by the initial object of  $(\Omega, \preceq)$ .

```
>>> Seg = CategoryOfSegments("omega.yml",15)
>>> expr = [(5,3,1)]
>>> s = Seg.topology(expr)
>>> print(s)
[(5, 7)]
```

2) If .mask contains False, then all the patches of the topology must be specified so that the only way to specify the topology given on the left-hand side of (4.2) is to give the following expression.

```
>>> expr = [(0,5,1), (5,3,1), (8,7,1)]
>>> s = Seg.topology(expr)
>>> print(s)
[(0, 4), (5, 7), (8, 14)]
```

- **4.4.5.** Constructing a segment. The method .segment takes is very similar to the procedure .topology. It takes a list of 4-tuples and returns a SegmentObject item. The input list is meant to encode a regular expression that describes the segment. The 4-tuples contained by the input list specifies
  - where a patch starts;
  - how long the patch is;
  - how many such patches are repeated successively;
  - the color name (or state) associated with the group of patches.

Specifically, the input satisfies the following syntax:

```
[(start_position, length_of_patch, number_of_such_patches, color), (etc.) ]
```

Also, note that the start positions of the 4-tuples contained in the regular expression need to be given in an increasing order. For instance, a segment of the form

would be encoded by the following lists of 3-tuples:

```
\overline{[(0,3,2,0),(6,4,1,1),(10,3,4,2)]}
```

On the other hand, the expression given below is not a valid example.

```
>>> expr = [(10,3,4,'2'), (6,4,1,'1'), (0,3,2,'0')]
>>> s = Seg.segment(expr)
Error: in CategoryOfSegments.segment: expression is not well-formed
```

In addition, the color names associated with the patches of the segment must be elements of the pre-order structure specified in the object .preorder.

```
>>> Seg = CategoryOfSegments("omega.yml",22)
>>> expr = [(0,3,2,'0'), (6,4,1,'1'), (10,3,4,"red")]
>>> s = Seg.segment(expr)
Error: in CategoryOfSegments.segment: color 'red' not found in .preorder
```

The output of the procedure is the implementation of the regular expression into a SegmentObject item. For instance, the segment that would be produced for our first example (given above) would be given by the following pair of lists.

```
topology = [(0, 2), (3, 5), (6, 9), (10, 12), (13, 15), (16, 18), (19, 21)] colors = ['0', '0', '1', '2', '2', '2', '2']
```

Also, depending on whether the object .mask is True or False, the regular expression either needs to cover the whole segment or only needs to give a few relevant patches whose color is not that of the initial object potentially specified in the pre-order structure.

1) If .mask contains True, then only patches that are associated with a non-initial color need to specified so that the remaining patches of the segment have an implicit topology. For instance, the expression [(5,3,1,'1')] can specify either one of the following segments when .domain is equal to 15.

```
(0.3)
(0.000)(\bullet \bullet \bullet)(0.00000)
(0)(0)(0)(0)(0)(\bullet \bullet \bullet)(0)(0)(0)(0)(0)(0)
```

Note that, in practice, this polymorphism is never taken into account as it is always associated with the 'mask' color – given by the initial object of  $(\Omega, \preceq)$ .

```
>>> Seg = CategoryOfSegments("omega.yml",15)
>>> expr = [(5,3,1,'1')]
>>> s = Seg.segment(expr)
>>> print(s.topology)
[(5, 7)]
>>> print(s.colors)
['1']
```

2) If .mask contains False, then all the patches of the topology must be specified so that the only way to specify the topology given on the left-hand side of (4.3) is to give an expression as follows.

```
>>> expr = [(0,5,1,'0'), (5,3,1,'1'), (8,7,1,'0')]
>>> s = Seg.segment(expr)
>>> print(s.topology)
[(0, 4), (5, 7), (8, 14)]
>>> print(s.colors)
['0', '1', '0']
```

# Presentation of the module PedigradCategory.py

## 5.1. Description of read\_alignment\_file

The function read\_alignment\_file takes the name of a file and an integer and returns a pair of lists, which we describe later on.

```
1 def read_alignment_file(name_of_file,reading_mode):
2    """ the source code of this function can be found in raf.py """
3    return (names,alignment)
```

The file whose name is stored in the variable name\_of\_file given above should contain a text following the grammar rules displayed below.

```
TEXT \rightarrow TEXT\nROW | an empty text ROW \rightarrow >TAXA\nSEQ TAXA \rightarrow text without the new line character and the character '>' SEQ \rightarrow text without the character '>'
```

The following text is an example.

```
Align.fa

1 >Name of taxon1

2 This is a text

3 
4 >Name of taxon2

5 This is a

6 text
```

The second input reading\_mode specifies whether the text contained in the file of name name\_of\_file contains DNA sequences or not. In the first case, the value of reading\_mode must be set to 1. This then implies that all lower case letters a, c, g and t are read as upper case letters A, C, G and T. The user can use the global variable READ\_DNA, which already contains the integer 1. No action is taken if another value other than 1 is given.

```
>>> READ_DNA
1
```

For its part, the first output of read\_alignment\_file, which was previously denoted as names, is a list of strings containing the names placed after the charater '>' in the input file.

The second output, denoted as alignment, is a list of lists of characters that spell each text displayed after the names stored in the list names.

As can be seen in the example given below, the index of a string in the list names corresponds to the index of its associated list of characters in alignment.

```
>>> output = read_alignment_file("Align.fa",READ_DNA)
>>> for i in range(len(output[0])):
...    print(str(i)+": "+str(output[0][i]))
0:    Name of taxon1
1:    Name of taxon2
>>> for i in range(len(output[1])):
...    print(str(i)+": "+str(output[1][i]))
0:    ['T', 'h', 'i', 's', ' ', 'i', 's', ' ', 'A', ' ', 'T', 'e', 'x', 'T']
1:    ['T', 'h', 'i', 's', ' ', 'i', 's', ' ', 'A', 'T', 'e', 'x', 'T']
```

# 5.2. Description of ID\_to\_EQ

The function ID\_to\_EQ takes a string and returns a list of lists supposed to describe an equivalence relation (see section 3.6). The equivalence classes of this equivalence relation (i.e. the internal lists) are to be used during the parsing of a sequence alignment to identify certain molecular patches together.

```
1 def ID_to_EQ(name_ID):
2    """ the source code of this function can be found in ite.py """
3    return N21_EQ
4    else:
5    print("Error: in ID_to_EQ: name_ID is not recognized")
5    exit()
```

The function <code>ID\_to\_EQ</code> is meant to be used with two sets of global variables. One sets consists of strings that define the valid inputs of <code>ID\_to\_EQ</code> while the other set consists of the possible outputs of <code>ID\_to\_EQ</code>, which are lists of lists that are

- either already equipped with specific equivalence classes describing a well-known evolutionary model (transition mutations, codon translation, etc.)
- or empty so that they can be 'edited' by the user (see below).

The inputs that are already associated with a pre-determined equivalence class are

```
NUCL_ID ('nu'), TRAN_ID ('tr'), AMIN_ID ('aa'),
```

and their associated equivalence classes are only supposed to be called via the function ID\_to\_EQ.

Below, we show what the outputs of the function ID\_to\_EQ are for these three inputs.

First, the output of the global variable NUCL\_ID is the trivial equivalence relations, which is to say the one involving no other identification than the reflexive ones (i.e. the identities).

```
>>> ID_to_EQ(NUCL_ID)
[[]]
```

In section 5.5, we will see that the previous equivalence relation is used to read the characters of a sequence alignment as-is (also, see section 5.3 for more details).

The equivalence relation associated with TRAN\_ID describes transition mutations (which change the nucleotides of a DNA strand according to the following rules:  $A \leftrightarrow G$  and  $C \leftrightarrow T$ ). Transition mutations often turn out to be silent mutations, which is why one may want to identify A with G and C with T via an equivalence relation.

```
>>> ID_to_EQ(TRAN_ID)
[['A', 'G'], ['C', 'T']]
```

The equivalence relation associated with AMIN\_ID contains the equivalence classes induced by the codon table, which is to say the lists of codons that code for the same amino acids.

```
>>> ID_to_EQ(AMIN_ID)
[['TTT', 'TTC'], ['TTA', 'TTG'], ['CTT', 'CTC', 'CTA', 'CTG'], ['TCT',
'TCC', 'TCA', 'TCG', 'AGT', 'AGC'], ['TAT', 'TAC'], ['CAT', 'CAC'], ['CAA',
'CAG'], ['TGT', 'TGC'], ['CGT', 'CGC', 'CGA', 'CGG'], ['ATT', 'ATC',
'ATA'], ['GTT', 'GTC', 'GTA', 'GTG'], ['ACT', 'ACC', 'ACA', 'ACG'], ['GCT',
'GCC', 'GCA', 'GCG'], ['AAT', 'AAC'], ['AAA', 'AAG'], ['GAT', 'GAC'],
['GAA', 'GAG'], ['AGA', 'AGG'], ['GGT', 'GGC', 'GGA', 'GGG']]
```

The user can also design its own equivalence relation (up to 21) via the (empty) global variables NO1\_EQ, NO2\_EQ, ..., N21\_EQ, which are associated with the global strings NO1\_ID, NO2\_ID,..., N21\_ID. The following example shows how the global variables NO1\_EQ, NO2\_EQ, ..., N21\_EQ can be modified to change to outputs of the function ID\_to\_EQ for the inputs NO1\_ID, NO2\_ID,..., N21\_ID.

```
>>> print(NO5_ID)
n5
>>> print(ID_to_EQ(NO5_ID))
[]
>>> NO5_EQ.append(["A","G"])
>>> print(NO5_EQ)
[['A', 'G']]
>>> print(ID_to_EQ(NO5_ID))
[['A', 'G']]
>>> NO4_EQ.extend([["AC","TC"],["CC", "TC"]]))
>>> print(ID_to_EQ(NO4_ID))
[['AC', 'TC'], ['CC', 'TC']]
```

Section 5.3 explains how the equivalence relations outputted by the function ID\_to\_EQ can be used to parse a mutiple sequence alignment.

# 5.3. Description of LocalAnalysis (subclass)

```
oxed{	ext{LocalAnalysis}} \longrightarrow oxed{	ext{CategoryOfSegments}}^{	extcolored{	extcolored{7}}}
```

The class LocalAnalysis is a subclass of CategoryOfSegments (section 4.4) that possesses two objects, namely

- .equiv (list of strings)
- .base (list of SegmentObject items)

and one method, namely a constructor .\_\_init\_\_.

```
1 class LocalAnalysis(CategoryOfSegments):
2  #The objects of the class are:
3  #.equiv (list of strings);
4  #.base (list of SegmentObjects);
5  def __init__(self,analysis_mode,*args):
6  """ the source code of this constructor can be found in cl_la.py """
```

The idea behind the class LocalAnalysis is to specify the recipe for constructing a *local analysis* (this concept is defined in [4]) via the two objects .equiv and .base. Note that a LocalAnaylsis item does not strictly encode a local analysis, as defined [4], because it is not associated with a multiple sequence alignment. In this library, the multiple sequence alignment is only meant to be specified at the level of a Pedigrad item (section 5.5).

In other words, the present structure only specifies the schema of a local analysis, by describing its pattern of construction, rather than the associated functor  $L: B \to \mathbf{Uprt}(S)$  itself. Even though the domain B is contained in the object .base, the mapping rules are not properly defined. Instead, one specifies, in the object .equiv, recipes for these mapping. Such a pattern specification is then used, in section 5.5, to generate what is regarded as a pedigrad [3, 4].

The constructor ...init.. of LocalAnalysis takes from 4 to 5 arguments and uses them to initialize the objects of the class according to certain pre-determined or personalized patterns. The first argument taken by ...init.. is always a string. However, the form of its second and third arguments may vary depending on the string contained in the first argument. On the other hand, the last two arguments always keep the same form, namely a string and an integer, which, once passed to the function, are given to the constructor of CategoryOfSegments to initialize the (super) objects .domain, .mask, and .preorder (see section 4.4)

More specifically, the first argument of .\_\_init\_ is meant to be part of a set of global variables containing strings, which are all displayed below.

```
EXPR_MODE = 'exp'

SEGM_MODE = 'seg'

NUCL_MODE = 'nu'

TRAN_MODE = 'tr'

TRNO_MODE = 'trOnu'

TRN1_MODE = 'tr1nu'

AMNO_MODE = 'aa0'

AMN1_MODE = 'aa1'

AMN2_MODE = 'aa2'

AMIN_MODE = 'aa'

determined
```

Depending on the string contained in the first argument, the constructor .\_\_init\_\_ may take four or five arguments. In addition, the second and third arguments may also take different forms.

The procedures .\_\_init\_\_(NUCL\_MODE, -) and .\_\_init\_\_(TRAN\_MODE, -) take three arguments whose last two arguments should consist of a string and an integer meant to be fed to the method .\_\_init\_\_ of CategoryOfSegments. For its part, the first argument should be a string that is the name of an element in the pre-order structure stored in the object .preorder.

The following example illustrates the two types of local analysis schemas that are obtained from the global variables NUCL\_MODE and TRAN\_MODE. We first give the example and then explain the values contained in the object .equiv (see after the example). The pre-order structure omega.yml that is used below is the same as that used in section 4.4.1.

```
>>> Loc = LocalAnalysis(NUCL_MODE, '1', "omega.yml", 10)
>>> print(Loc.domain)
10
>>> print(Loc.mask)
```

```
True
>>> print(Loc.preorder)
[['0', '2', '1'], ['1'], ['2', '1']]
>>> for i in range(len(Loc.base)):
      print("segment " + str(i) + ": " + str(Loc.base[i].topology) + " " +
    str(Loc.base[i].colors) + " ---> " + str(Loc.equiv[i]))
            [(0, 0)] ['1'] ---> nu
            [(1, 1)] ['1'] ---> nu
segment 1:
            [(2, 2)] ['1'] ---> nu
segment 2:
            [(3, 3)] ['1'] ---> nu
segment 3:
segment 4:
            [(4, 4)] ['1'] ---> nu
            [(5, 5)] ['1'] ---> nu
segment 5:
            [(6, 6)] ['1'] ---> nu
segment 6:
            [(7, 7)] ['1'] ---> nu
segment 7:
            [(8, 8)] ['1'] ---> nu
segment 8:
            [(9, 9)] ['1'] ---> nu
segment 9:
>>> Loc = LocalAnalysis(TRAN_MODE, '2', "omega.yml", 10)
>>> for i in range(len(Loc.base)):
      print("segment " + str(i) + ": " + str(Loc.base[i].topology) + " " +
    str(Loc.base[i].colors) + " ---> " + str(Loc.equiv[i]))
            [(0, 0)] ['2'] ---> tr
segment 0:
            [(1, 1)] ['2'] ---> tr
segment 1:
segment 2:
            [(2, 2)] ['2'] ---> tr
            [(3, 3)] ['2'] ---> tr
segment 3:
segment 4:
            [(4, 4)] ['2'] ---> tr
            [(5, 5)] ['2'] ---> tr
segment 5:
            [(6, 6)] ['2'] ---> tr
segment 6:
            [(7, 7)] ['2'] ---> tr
segment 7:
            [(8, 8)] ['2'] ---> tr
segment 8:
segment 9:
            [(9, 9)] ['2'] ---> tr
```

From the point of view of the function ID\_to\_EQ (see section 5.2), the previous two procedures specify how the images of the local analyses should be 'quotiented' via the strings contained in the object .equiv. Specifically, the type of local analysis returned by

```
.__init__(NUCL_MODE,-)
```

is meant to read the columns of a sequence alignment without identification between the characters while the type of local analysis returned by .\_\_init\_\_(TRAN\_MODE, -) is meant to identify A with G and C with T. This can be seen by using the function ID\_to\_EQ (see section 5.2) as follows.

```
[(5, 5)] ['1'] ---> [[]
segment 5:
            [(6, 6)] ['1'] ---> [[]]
segment 6:
segment 7:
            [(7, 7)] ['1'] ---> [[]]
segment 8:
            [(8, 8)] ['1'] ---> [[]]
            [(9, 9)] ['1'] ---> [[]]
segment 9:
>>> Loc = LocalAnalysis(TRAN_MODE, '2', "omega.yml", 10)
>>> for i in range(len(Loc.base)):
      print("segment " + str(i) + ": " + str(Loc.base[i].topology) + " " +
    str(Loc.base[i].colors) + " ---> " + str(ID_to_EQ(Loc.equiv[i])))
            [(0, 0)] ['2'] ---> [['A', 'G'], ['C', 'T']]
segment 1:
            [(1, 1)] ['2'] ---> [['A', 'G'], ['C',
            [(2, 2)] ['2'] ---> [['A', 'G'], ['C', 'T']]
segment 2:
            [(3, 3)] ['2'] ---> [['A', 'G'], ['C',
segment 3:
            [(4, 4)] ['2'] ---> [['A', 'G'], ['C', 'T']]
segment 4:
            [(5, 5)] ['2'] ---> [['A', 'G'], ['C',
segment 5:
            [(6, 6)] ['2'] ---> [['A', 'G'], ['C', 'T']]
segment 6:
            [(7, 7)] ['2'] ---> [['A', 'G'], ['C', 'T']]
segment 7:
            [(8, 8)] ['2'] ---> [['A', 'G'], ['C', 'T']]
segment 8:
            [(9, 9)] ['2'] ---> [['A', 'G'], ['C', 'T']]
segment 9:
```

The three procedures

```
.__init__(TRNO_MODE,-), .__init__(TRN1_MODE,-) and .__init__(TRN2_MODE,-)
```

take three arguments whose last two arguments should consist of a string and an integer meant to be fed to the method .\_\_init\_\_ of CategoryOfSegments. For its part, the first argument should be a list of two strings that are the names of two elements in the pre-order structure stored in the object .preorder.

```
>>> Loc = LocalAnalysis(TRNO_MODE,['2','1'],"omega.yml",10)
>>> for i in range(len(Loc.base)):
      print("segment " + str(i) + ": " + str(Loc.base[i].topology) + " " +
    str(Loc.base[i].colors) + " ---> " + str(Loc.equiv[i]))
            [(0, 0)] ['2'] ---> tr
segment 0:
           [(1, 1)] ['1'] ---> nu
segment 1:
           [(2, 2)] ['1'] ---> nu
segment 2:
segment 3:
           [(3, 3)] ['2'] ---> tr
           [(4, 4)] ['1'] ---> nu
segment 4:
segment 5:
           [(5, 5)] ['1'] ---> nu
segment 6:
           [(6, 6)] ['2'] ---> tr
            [(7, 7)] ['1'] ---> nu
segment 7:
segment 8:
            [(8, 8)] ['1'] ---> nu
            [(9, 9)] ['2'] ---> tr
segment 9:
>>> Loc = LocalAnalysis(TRN2_MODE,['2','1'],"omega.yml",10)
>>> for i in range(len(Loc.base)):
      print("segment " + str(i) + ": " + str(Loc.base[i].topology) + " " +
    str(Loc.base[i].colors) + " ---> " + str(Loc.equiv[i]))
            [(0, 0)] ['1'] ---> nu
segment 0:
segment 1:
            [(1, 1)] ['1'] ---> nu
           [(2, 2)] ['2'] ---> tr
segment 2:
            [(3, 3)] ['1'] ---> nu
segment 3:
segment 4:
            [(4, 4)] ['1'] ---> nu
           [(5, 5)] ['2'] ---> tr
segment 5:
```

```
segment 6: [(6, 6)] ['1'] ---> nu

segment 7: [(7, 7)] ['1'] ---> nu

segment 8: [(8, 8)] ['2'] ---> tr

segment 9: [(9, 9)] ['1'] ---> nu
```

From the point of view of the function ID\_to\_EQ (see section 5.2), a procedure of the form

```
.__init__(TRNX_MODE,-)
```

generates a local analysis that is to identify A with G and C with T on every column whose position is X modulo 3 and that is to read the other columns as-is.

```
>>> Loc = LocalAnalysis(TRNO_MODE,['2','1'],"omega.yml",10)
>>> for i in range(len(Loc.base)):
     print("segment " + str(i) + ": " + str(Loc.base[i].topology) + " " +
   str(Loc.base[i].colors) + " ---> " + str(ID_to_EQ(Loc.equiv[i])))
           [(0, 0)] ['2'] ---> [['A', 'G'], ['C', 'T']]
segment 0:
segment 1:
            [(1, 1)] ['1'] ---> [[]]
           [(2, 2)] ['1'] ---> [[]]
segment 2:
           [(3, 3)] ['2'] ---> [['A', 'G'], ['C', 'T']]
segment 3:
           [(4, 4)] ['1'] ---> [[]]
segment 4:
           [(5, 5)] ['1'] ---> [[]]
segment 5:
           [(6, 6)] ['2'] ---> [['A', 'G'], ['C', 'T']]
segment 6:
           [(7, 7)] ['1'] ---> [[]]
segment 7:
           [(8, 8)] ['1'] ---> [[]]
segment 8:
segment 9: [(9, 9)] ['2'] ---> [['A', 'G'], ['C', 'T']]
>>> Loc = LocalAnalysis(TRN2_MODE,['2','1'],"omega.yml",10)
>>> for i in range(len(Loc.base)):
     print("segment " + str(i) + ": " + str(Loc.base[i].topology) + " " +
   str(Loc.base[i].colors) + " ---> " + str(ID_to_EQ(Loc.equiv[i])))
segment 0: [(0, 0)] ['1'] ---> [[]]
segment 1:
           [(1, 1)] ['1'] ---> [[]]
            [(2, 2)] ['2'] ---> [['A', 'G'], ['C', 'T']]
segment 2:
           [(3, 3)] ['1'] ---> [[]]
segment 3:
           [(4, 4)] ['1'] ---> [[]]
segment 4:
           [(5, 5)] ['2'] ---> [['A', 'G'], ['C', 'T']]
segment 5:
segment 6:
           [(6, 6)] ['1'] ---> [[]]
            [(7, 7)] ['1'] ---> [[]]
segment 7:
           [(8, 8)] ['2'] ---> [['A', 'G'], ['C', 'T']]
segment 8:
segment 9: [(9, 9)] ['1'] ---> [[]]
```

The three procedures

```
.__init__(AMNO_MODE,-), .__init__(AMN1_MODE,-) and .__init__(AMN2_MODE,-)
```

take three arguments whose last two arguments should consist of a string and an integer meant to be fed to the method .\_\_init\_ of CategoryOfSegments. For its part, the first argument should be a string that is the name of an element in the pre-order structure stored in the object .preorder.

```
>>> Loc = LocalAnalysis(AMNO_MODE, '1', "omega.yml", 21)
>>> for i in range(len(Loc.base)):
      print("segment " + str(i) + ": " + str(Loc.base[i].topology) + " " +
    str(Loc.base[i].colors) + " ---> " + str(Loc.equiv[i]))
segment 0: [(0, 2)] ['1'] ---> aa
            [(3, 5)] ['1'] ---> aa
segment 1:
segment 2:
           [(6, 8)] ['1'] ---> aa
segment 3:
           [(9, 11)] ['1'] ---> aa
           [(12, 14)] ['1'] ---> aa
segment 4:
segment 5: [(15, 17)] ['1'] ---> aa
segment 6: [(18, 20)] ['1'] ---> aa
>>> Loc = LocalAnalysis(AMN1_MODE, '1', "omega.yml", 21)
>>> for i in range(len(Loc.base)):
     print("segment " + str(i) + ": " + str(Loc.base[i].topology) + " " +
    str(Loc.base[i].colors) + " ---> " + str(Loc.equiv[i]))
segment 0:
           [(1, 3)] ['1'] ---> aa
            [(4, 6)] ['1'] ---> aa
segment 1:
           [(7, 9)] ['1'] ---> aa
segment 2:
           [(10, 12)] ['1'] ---> aa
segment 3:
           [(13, 15)] ['1'] ---> aa
segment 4:
segment 5: [(16, 18)] ['1'] ---> aa
>>> Loc = LocalAnalysis(AMN2_MODE, '1', "omega.yml", 21)
>>> for i in range(len(Loc.base)):
      print("segment " + str(i) + ": " + str(Loc.base[i].topology) + " " +
    str(Loc.base[i].colors) + " ---> " + str(Loc.equiv[i]))
           [(2, 4)] ['1'] ---> aa
segment 0:
           [(5, 7)] ['1'] ---> aa
segment 1:
           [(8, 10)] ['1'] ---> aa
segment 2:
            [(11, 13)] ['1'] ---> aa
segment 3:
            [(14, 16)] ['1'] ---> aa
segment 4:
            [(17, 19)] ['1'] ---> aa
segment 5:
```

From the point of view of the function ID\_to\_EQ (see section 5.2), a procedure of the form

```
.__init__(AMNX_MODE,-)
```

generates a local analysis that reads the codons of a sequence alignment with the assumption that the codon topology starts at position  $\boldsymbol{X}$  and identifies them according to the codon translation table.

```
segment 1: [(5, 7)] ['1'] ---> [['TTT', 'TTC'], ['TTA', 'TTG'], ['CTT',
'CTC', 'CTA', 'CTG'], ['TCT', 'TCC', 'TCA', 'TCG', 'AGT', 'AGC'], ['TAT',
'TAC'], ['CAT', 'CAC'], ['CAA', 'CAG'], ['TGT', 'TGC'], ['CGT', 'CGC',
'CGA', 'CGG'], ['ATT', 'ATC', 'ATA'], ['GTT', 'GTC', 'GTA', 'GTG'], ['ACT',
'ACC', 'ACA', 'ACG'], ['GCT', 'GCC', 'GCA', 'GCG'], ['AAT', 'AAC'], ['AAA',
'AAG'], ['GAT', 'GAC'], ['GAA', 'GAG'], ['AGA', 'AGG'], ['GGT', 'GGC',
'GGA', 'GGG']]
segment 2: [(8, 10)] ['1'] ---> [['TTT', 'TTC'], ['TTA', 'TTG'], ['CTT',
'CTC', 'CTA', 'CTG'], ['TCT', 'TCC', 'TCA', 'TCG', 'AGT', 'AGC'], ['TAT',
'TAC'], ['CAT', 'CAC'], ['CAA', 'CAG'], ['TGT', 'TGC'], ['CGT', 'CGC',
'CGA', 'CGG'], ['ATT', 'ATC', 'ATA'], ['GTT', 'GTC', 'GTA', 'GTG'], ['ACT',
'ACC', 'ACA', 'ACG'], ['GCT', 'GCC', 'GCA', 'GCG'], ['AAT', 'AAC'], ['AAA',
'AAG'], ['GAT', 'GAC'], ['GAA', 'GAG'], ['AGA', 'AGG'], ['GGT', 'GGC',
'GGA', 'GGG']]
segment 3: [(11, 13)] ['1'] ---> [['TTT', 'TTC'], ['TTA', 'TTG'], ['CTT',
'CTC', 'CTA', 'CTG'], ['TCT', 'TCC', 'TCA', 'TCG', 'AGT', 'AGC'], ['TAT',
'TAC'], ['CAT', 'CAC'], ['CAA', 'CAG'], ['TGT', 'TGC'], ['CGT', 'CGC',
'CGA', 'CGG'], ['ATT', 'ATC', 'ATA'], ['GTT', 'GTC', 'GTA', 'GTG'], ['ACT',
'ACC', 'ACA', 'ACG'], ['GCT', 'GCC', 'GCA', 'GCG'], ['AAT', 'AAC'], ['AAA',
'AAG'], ['GAT', 'GAC'], ['GAA', 'GAG'], ['AGA', 'AGG'], ['GGT', 'GGC',
'GGA', 'GGG']]
segment 4: [(14, 16)] ['1'] ---> [['TTT', 'TTC'], ['TTA', 'TTG'], ['CTT',
'CTC', 'CTA', 'CTG'], ['TCT', 'TCC', 'TCA', 'TCG', 'AGT', 'AGC'], ['TAT',
'TAC'], ['CAT', 'CAC'], ['CAA', 'CAG'], ['TGT', 'TGC'], ['CGT', 'CGC',
'CGA', 'CGG'], ['ATT', 'ATC', 'ATA'], ['GTT', 'GTC', 'GTA', 'GTG'], ['ACT',
'ACC', 'ACA', 'ACG'], ['GCT', 'GCC', 'GCA', 'GCG'], ['AAT', 'AAC'], ['AAA',
'AAG'], ['GAT', 'GAC'], ['GAA', 'GAG'], ['AGA', 'AGG'], ['GGT', 'GGC',
'GGA', 'GGG']]
segment 5: [(17, 19)] ['1'] ---> [['TTT', 'TTC'], ['TTA', 'TTG'], ['CTT',
'CTC', 'CTA', 'CTG'], ['TCT', 'TCC', 'TCA', 'TCG', 'AGT', 'AGC'], ['TAT',
'TAC'], ['CAT', 'CAC'], ['CAA', 'CAG'], ['TGT', 'TGC'], ['CGT', 'CGC',
'CGA', 'CGG'], ['ATT', 'ATC', 'ATA'], ['GTT', 'GTC', 'GTA', 'GTG'], ['ACT',
'ACC', 'ACA', 'ACG'], ['GCT', 'GCC', 'GCA', 'GCG'], ['AAT', 'AAC'], ['AAA',
'AAG'], ['GAT', 'GAC'], ['GAA', 'GAG'], ['AGA', 'AGG'], ['GGT', 'GGC',
'GGA', 'GGG']]
```

The procedure .\_\_init\_\_(AMIN\_MODE, -), takes three arguments whose last two arguments should consist of a string and an integer meant to be fed to the method .\_\_init\_\_ of CategoryOfSegments. For its part, the first argument should be a list of three strings that are the names of three elements in the pre-order structure stored in the object .preorder. From the point of view of the function ID\_to\_EQ (see section 5.2), the procedure

```
.__init__(AMIN_MODE,-)
```

generates a local analysis that reads all the codons of the sequence and identifies them according to the codon translation table.

```
segment 2:
            [(6, 8)] ['0'] ---> aa
segment 3:
            [(9, 11)] ['0'] ---> aa
segment 4:
            [(12, 14)] ['0'] ---> aa
segment 5:
            [(15, 17)] ['0'] ---> aa
            [(18, 20)] ['0'] ---> aa
segment 6:
            [(1, 3)] ['1'] ---> aa
segment 7:
segment 8:
            [(4, 6)] ['1'] ---> aa
            [(7, 9)] ['1'] ---> aa
segment 9:
            [(10, 12)] ['1'] ---> aa
segment 10:
segment 11:
             [(13, 15)] ['1'] ---> aa
segment 12:
             [(16, 18)] ['1'] ---> aa
segment 13:
             [(2, 4)] ['2'] ---> aa
             [(5, 7)] ['2'] ---> aa
segment 14:
segment 15:
             [(8, 10)] ['2'] ---> aa
             [(11, 13)] ['2'] ---> aa
segment 16:
segment 17:
             [(14, 16)] ['2'] ---> aa
             [(17, 19)] ['2'] ---> aa
segment 18:
```

Finally the user can design their own local analysis by using the procedures

```
.__init__(EXPR_MODE, -) and .__init__(SEGM_MODE, -),
```

which take four more arguments as described below:

- the first argument of .\_\_init\_\_(EXPR\_MODE, -) should be a list of regular expressions specifying SegmentObject items (section 4.3). This list is then turned into a list of actual SegmentObject items, which is to be stored in the object .base;
- the first argument of .\_\_init\_\_(SEGM\_MODE, -) should be a list of SegmentObject items (section 4.3), which is to be stored in the object .base;
- the second argument should be a list of strings, which is to be stored in the object
   .equiv. These strings should be among those contained in the global variables
   NUCL\_ID, TRAN\_ID, AMIN\_ID, NO1\_ID, NO2\_ID, ..., and N21\_ID;
- and the last two arguments should consist of a string and an integer, which are to be passed to the method .\_\_init\_\_ of CategoryOfSegments (see section 4.4).

Below, we give two examples showing how the previous two procedures can be used. Note how theses examples uses the (empty) global variables NO1\_EQ and NO2\_EQ (see section 5.2).

```
>>> N01_EQ.append(["A","G"])
>>> NO2_EQ.extend([["AC","TC"],["CC", "TC"]])
>>> base = [[(5,1,1,'1')], [(6,2,1,'2')], [(8,1,1,'1')], [(9,2,2,'2')]]
>>> equiv = [NO1_ID, NO2_ID, NO1_ID, NO2_ID, NO2_ID]
>>> Loc = LocalAnalysis(EXPR_MODE, base, equiv, "omega.yml", 21)
>>> for i in range(len(Loc.base)):
      print("segment " + str(i) + ": " + str(Loc.base[i].topology) + " " +
    str(Loc.base[i].colors) + " ---> " + str(Loc.equiv[i]))
            [(5, 5)] ['1'] ---> n1
segment 0:
            [(6, 7)] ['2'] ---> n2
segment 1:
            [(8, 8)] ['1'] ---> n1
segment 2:
            [(9, 10), (11, 12)] ['2', '2'] ---> n2
segment 3:
```

```
>>> for i in range(len(Loc.base)):
... print("segment " + str(i) + ": " + str(Loc.base[i].topology) + " " +
    str(Loc.base[i].colors) + " ---> " + str(ID_to_EQ(Loc.equiv[i])))
segment 0: [(5, 5)] ['1'] ---> [['A', 'G']]
segment 1: [(6, 7)] ['2'] ---> [['AC', 'TC'], ['CC', 'TC']]
segment 2: [(8, 8)] ['1'] ---> [['A', 'G']]
segment 3: [(9, 10), (11, 12)] ['2', '2'] ---> [['AC', 'TC'], ['CC',
'TC']]
```

Alternatively, the procedure .\_\_init\_\_(SEGM\_MODE, -) would require to have a pre-defined environment in which SegmentObject items can be defined. Note the difference between the previous lines of codes and the following ones, which use the class CategoryOfSegments.

```
>>> N01_EQ.append(["A","G"])
>>> NO2_EQ.extend([["AC","TC"],["CC", "TC"]])
>>> Seg = CategoryOfSegments("omega.yml",21)
>>> base = [Seg.segment([(5,1,1,'1')]), Seg.segment([(6,2,1,'2')]),
    Seg.segment([(8,1,1,'1')]), Seg.segment([(9,2,2,'2')])]
>>> equiv = [NO1_ID, NO2_ID, NO1_ID, NO2_ID, NO2_ID]
>>> Loc = LocalAnalysis(SEGM_MODE, base, equiv, "omega.yml", 21)
>>> for i in range(len(Loc.base)):
      print("segment " + str(i) + ": " + str(Loc.base[i].topology) + " " +
    str(Loc.base[i].colors) + " ---> " + str(Loc.equiv[i]))
segment 0:
            [(5, 5)] ['1'] ---> n1
segment 1:
            [(6, 7)] ['2'] ---> n2
           [(8, 8)] ['1'] ---> n1
segment 2:
segment 3:
           [(9, 10), (11, 12)] ['2', '2'] ---> n2
>>> for i in range(len(Loc.base)):
      print("segment " + str(i) + ": " + str(Loc.base[i].topology) + " " +
    str(Loc.base[i].colors) + " ---> " + str(ID_to_EQ(Loc.equiv[i])))
            [(5, 5)] ['1'] ---> [['A', 'G']]
           [(6, 7)] ['2'] ---> [['AC', 'TC'], ['CC', 'TC']]
segment 1:
            [(8, 8)] ['1'] ---> [['A', 'G']]
segment 2:
           [(9, 10), (11, 12)] ['2', '2'] ---> [['AC', 'TC'], ['CC',
segment 3:
'TC']]
```

# 5.4. Description of column\_is\_trivial

The function column\_is\_trivial takes two lists of elements and

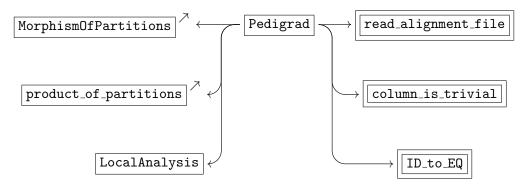
- returns True if, apart from the elements in the second input list, the first input list contains copies of a unique element;
- returns False if, apart from the elements in the second input list, the first input list contains at least two different elements.

```
1 def column_is_trivial(column, exceptions):
2    """ the source code of this function can be found in cit.py """
3    return flag
```

As will be seen later, in other sections, multiple sequence alignment often possess special characters that need to be handled differently from the other characters. For instance, the character '.' is often used in alignments to mean that a character is actually missing. These special characters sometimes need to be ignored in the analysis.

```
>>> p = ['e', 'e', 'e', 'e', 'e']
>>> print(column_is_trivial(p,[]))
True
>>> p = ['e', 'e', 'a', 'a', 'e']
>>> print(column_is_trivial(p,[]))
False
>>> p = [0, '.', 0, 0, '.', 0, 1, 0]
>>> print(column_is_trivial(p,['.']))
False
>>> print(column_is_trivial(p,['.']))
True
```

# 5.5. Description of Pedigrad (subclass)



The class Pedigrad is a subclass of LocalAnalysis (section 5.3) that possesses two objects, namely

- .local (list of lists)
- .taxa (list)

and two methods, namely

- .\_\_init\_\_ (constructor)
- .partition
- .reduce
- .agree

The constructor .\_\_init\_\_ takes from 5 to 6 arguments, which are to be used with the function read\_alignment\_file (section 5.1) and the constructor of the class LocalAnalysis (section 5.3). More specifically, the constructor of Pedigrad takes

- the name of a file and an integer, to be passed to the function read\_alignment\_file;
- a list of arguments, to be passed to the constructor of LocalAnalysis.

```
1 class Pedigrad(LocalAnalysis):
2  #The objects of the class are:
3  #.local (list);
4  #.taxa (list).
5  def __init__(self,name_of_file,reading_mode,*args):
6  """ the source code of this constructor can be found in cl_ped.py """
```

The list of arguments \*args (shown above) usually contains those arguments that should be passed to the constructor of LocalAnalysis (see .\_\_init\_\_ in section 5.3), except for the last argument, which

- does not need to be specified if the name of the file is the name of an existing file;
- needs to be specified if the name of the file is empty (see the examples given later).

Below, we will illustrate the various methods of the class Pedigrad through a series of examples, for which we will use the preorder structure omega.yml displayed in section 4.4.1. We will make use of various multiple sequence alignments depending on what we want to illustrate. For our first set of examples, we will consider the following alignment file, whose DNA rows all end with the character 'f'.

```
Align.fa

1 >A
2 aaaaaaaaaf
3 >B
4 bbbbbbbbbf
5 >C
6 cccccccf
7 >D
8 dddddddddf
9 >E
10 eeeeeeeeef
```

Let us start by giving the following lines of code to illustrate the syntax with which an instance of the class Pedigrad can be constructed and see how similar it is to the way in which an instance of the class LocalAnalysis is constructed.

```
>>> P = Pedigrad("Align.fa",not(READ_DNA),TRN2_MODE,['2','1'],"omega.yml")
>>> L = LocalAnalysis(TRN2_MODE,['2','1'],"omega.yml",500)
>>> P = Pedigrad("Align.fa",not(READ_DNA),AMN0_MODE,'1',"omega.yml")
>>> L = LocalAnalysis(AMN0_MODE,'1',"omega.yml",500)
>>> P = Pedigrad("",not(READ_DNA),TRN2_MODE,['2','1'],"omega.yml")
TypeError: __init__() takes exactly 3 arguments (2 given)
>>> P = Pedigrad("",not(READ_DNA),TRN2_MODE,['2','1'],"omega.yml",500)
```

If the name of the file given to the contructor, in its first argument, is not empty, then the first two arguments are passed to the procedure read\_alignment\_file(-,-) and the constructor proceeds to the initialization of the objects .local, .taxa and .base as follows:

- it stores the first output of read\_alignment\_file in the object .taxa;
- it creates a tuple whose first components are the third to the last arguments passed to the constructor of Pedigrad (this is the variable \*args shown earlier) and whose last component is the length of the list stored in the second output of

#### read\_alignment\_file.

The tuple is then given to the constructor of the class LocalAnalysis (see below).

```
super(Pedigrad, self).__init__(*args+(len(alignment[0]),))
```

Once the object .base of the super class (*i.e.* LocalAnalsysis) has been (pre-)initialized by this process, the SegmentObject items that it contains are used to parse the multiple sequence alignment contained in the file given to read\_alignment\_file. The parsing is done as follows:

Step 1: For every pair (x,y) contained in the topology of the i-th segment contained .base, the characters appearing from position x to position y, in each row of the sequence alignment, are collected and put together to form a list of strings;

- Step 2: The resulting list of strings is then relabeled by the indices of the lists to which they belong in the list of equivalence classes specified via the constructor of the class LocalAnalysis (see section 5.3);
- > Step 3.1: If the relabeled list of strings is not trivial according to the procedure

```
column_is_trivial(-,[]),
```

then it is stored at position i in the object .local;

▶ Step 3.2: If the lists of strings happens to be trivial, then it is not stored and the associated segment is removed from the object .base (thus shifting the indexing).

Let us illustrate the previous steps with a series of examples. First, we can appreciate the description of Step 1 and Step 3.2via the following example (for which the equivalence classes used in Step 2 are trivial, as shown in the first line, by printing the value of NUCL\_EQ).

```
>>> print(NUCL_EQ)
[[]]
>>> P = Pedigrad("Align.fa", not(READ_DNA), NUCL_MODE, '2', "omega.yml")
>>> print(P.taxa)
['A', 'B', 'C', 'D', 'E']
>>> print(P.domain,len(P.base),len(P.local))
(10, 9, 9)
>>> for i in range(len(P.base)):
      print("segment " + str(i) + ": " + str(P.base[i].topology) + ",
    "+str(P.base[i].colors) + " ---> " + str(P.local[i]))
            [(0, 0)], ['2'] ---> ['a', 'b', 'c', 'd', 'e']
segment 0:
            [(1, 1)], ['2'] ---> ['a', 'b', 'c', 'd',
segment 1:
            [(2, 2)], ['2'] ---> ['a', 'b', 'c', 'd',
segment 2:
            [(3, 3)], ['2'] ---> ['a',
                                       'b',
                                            'с',
segment 3:
            [(4, 4)], ['2'] ---> ['a', 'b', 'c', 'd',
segment 4:
            [(5, 5)], ['2'] ---> ['a', 'b', 'c', 'd',
segment 5:
            [(6, 6)], ['2'] ---> ['a', 'b', 'c', 'd',
segment 6:
            [(7, 7)], ['2'] ---> ['a', 'b', 'c', 'd',
segment 7:
            [(8, 8)], ['2'] ---> ['a', 'b', 'c', 'd', 'e']
segment 8:
```

When the SegmentObject items of the object .base only contain pairs spanning 1 character and the equivalence classes are empty (as above), then the list of lists stored in .local corresponds to the transpose of the sequence alignment (when seen as a matrix), up to removal of those columns (in the alignment) that only contain the same character (note that the last characters 'f' of each rows were removed).

Below, we give several other examples, some of which present bases whose SegmentObject items contain pairs spanning more than 1 character.

Our next example illustrates how the lists of strings contained in the object .local are relabeled when the equivalence class contained in NUCL\_EQ is replaced with non-trivial ones. Also, note that the Pedigrad item is, this time, initialized with the global variable TRN2\_MODE (see section 5.3 to this the type of parsing with which TRN2\_MODE is associated).

```
>>> NUCL_EQ.remove([])
>>> NUCL_EQ.extend([['e'],['d'],['c'],['b'],['a']])
>>> print(NUCL_EQ)
[['e'], ['d'], ['c'], ['b'], ['a']]
```

```
>>> P = Pedigrad("Align.fa", not(READ_DNA), NUCL_MODE, '2', "omega.yml")
>>> for i in range(len(P.base)):
       print("segment " + str(i) + ": " + str(P.base[i].topology) + ",
     "+str(P.base[i].colors) + " ---> " + str(P.local[i]))
              [(0, 0)], ['2'] \longrightarrow [4, 3, 2, 1, 0]
              [(1, 1)], ['2'] \longrightarrow [4, 3, 2, 1, 0]
segment 1:
              [(2, 2)], ['2'] \longrightarrow [4, 3, 2, 1, 0]
segment 2:
              [(3, 3)], ['2'] \longrightarrow [4, 3, 2, 1, 0]
segment 3:
             [(4, 4)], ['2'] \longrightarrow [4, 3, 2, 1, 0]
segment 4:
segment 5:
              [(5, 5)], ['2'] \longrightarrow [4, 3, 2, 1, 0]
              [(6, 6)], ['2'] \longrightarrow [4, 3, 2, 1, 0]
segment 6:
segment 7:
              [(7, 7)], ['2'] \longrightarrow [4, 3, 2, 1, 0]
segment 8:
              [(8, 8)], ['2'] \longrightarrow [4, 3, 2, 1, 0]
```

This second example is similar to the previous one, but the relabelling only occurs where the lists of strings conained in the object .local are detected. Note that the following example uses the modified version of NUCL\_EQ designed in the previous example (see the first lines below).

```
>>> print(NUCL_EQ)
[['e'], ['d'], ['c'], ['b'], ['a']]
>>> print(TRAN_EQ)
[['A', 'G'], ['C', 'T']]
>>> P = Pedigrad("Align.fa", not(READ_DNA), TRN2_MODE, ['2', '1'], "omega.yml")
>>> for i in range(len(P.base)):
      print("segment " + str(i) + ": " + str(P.base[i].topology) + ",
    "+str(P.base[i].colors) + " ---> " + str(P.local[i]))
segment 0:
             [(0, 0)], ['1'] \longrightarrow [4, 3, 2, 1, 0]
segment 1:
             [(1, 1)], ['1'] \longrightarrow [4, 3, 2, 1, 0]
             [(2, 2)], ['2'] ---> ['a', 'b', 'c', 'd', 'e']
segment 2:
             [(3, 3)], ['1'] \longrightarrow [4, 3, 2, 1, 0]
segment 3:
             [(4, 4)], ['1'] \longrightarrow [4, 3, 2, 1, 0]
segment 4:
             [(5, 5)], ['2'] ---> ['a', 'b', 'c', 'd', 'e']
segment 5:
             [(6, 6)], ['1'] \longrightarrow [4, 3, 2, 1, 0]
segment 6:
             [(7, 7)], ['1'] \longrightarrow [4, 3, 2, 1, 0]
segment 7:
```

We finish with the following example, in which the local analysis is designed by the user by using the global variables NO1\_ID and NO1\_EQ. This time, the parsing is done via pairs that span 3 characters at the same time and the string aaa and eee are seen as the same one by relabeling them as 0 while the string bbb is relabeled as 1.

```
>>> N01_EQ.extend([['aaa', 'eee'], ['bbb']])
>>> alignment = read_alignment_file("Align.fa",not(READ_DNA))
>>> base = list()
>>> equiv = list()
>>> for i in range(len(alignment[1][0])/3):
... base.append([(3*i,3,1,'1')])
... equiv.append(N01_ID)
>>> P = Pedigrad("Align.fa",not(READ_DNA),EXPR_MODE,base,equiv,"omega.yml")
>>> print(P.domain,len(P.base),len(P.local))
(10, 3, 3)
```

```
>>> for i in range(len(P.base)):
... print("segment " + str(i) + ": " + str(P.base[i].topology) + ",
    "+str(P.base[i].colors) + " ---> " + str(P.local[i]))
segment 0: [(0, 2)], ['1'] ---> [0, 1, 'ccc', 'ddd', 0]
segment 1: [(3, 5)], ['1'] ---> [0, 1, 'ccc', 'ddd', 0]
segment 2: [(6, 8)], ['1'] ---> [0, 1, 'ccc', 'ddd', 0]
```

On the other hand, if the name of the file passed to the contructor of the class Pedigrad is empty, then the objects .local and .taxa are initialized with empty lists while the other objects .equiv, .base, .domain, .mask and .preorder are initialized via the constructor of the class LocalAnalysis.

Let us now focus on the other methods of the class, which recover usual or canonical operations on pedigrads.

```
def partition(self,*args):
8
    """ the source code of this constructor can be found in cl_ped.py """
9
        return the_image
10
     def isolate(self,update_mode,exceptions):
     """ the source code of this constructor can be found in cl_ped.py """
11
12
       if update_mode == NEW:
13
         return new_pedigrad
     def agree(self,ground,pulling_condition):
14
15
     """ the source code of this constructor can be found in cl_ped.py """
       return agreeing_segments
16
```

#### 5.5.1. Partition. The method .partition takes

- either no argument;
- or the regular expression of SegmentObject item and a string (specifically EXPR\_MODE);
- or a SegmentObject item and a string (specifically SEGM\_MODE).

If no argument is given, then the procedure returns a terminal partition (see the examples given below). Otherwise, the procedure returns the image of the right Kan extension  $\operatorname{Ran}L$  [4, Definition 4.29] of the underlying local analysis  $L: B \to \operatorname{Uprt}(S)$  on the segment  $\tau$ .

$$\mathsf{Ran}L(\tau) = \prod_{v \in B} \prod_{\mathbf{Seg}(\Omega \,|\, n)(\tau,v)} L(v)$$

This image is obtained from the product of those partitions, in the object .local, whose indices correspond to the indices of those segments v, in the object .base, toward which there is a morphism of segments from the input SegmentObject item  $\tau$ . This last condition is equivalent to the inequality

$$\mathbf{Seg}(\Omega \mid n)(\tau, \upsilon) \neq \emptyset$$
,

which can be verified via the method .homset of the super class CategoryOfSegments (see section 4.4).

Let us illustrate the various types of output that the method partition can produce – for this, we shall consider the following sequence alignment.

```
Align.fa

1 >A

2 CCCAGTTAG

3 >B

4 CCGATATAA

5 >C

6 GGCTTATAG

7 >D

8 GGCGTATAG

9 >E

10 ACCATATAA
```

For simplicity, we shall suppose that the global variable  $NUCL\_EQ$  is as initially provided by the library (i.e. empty) and create a Pedigrad item for the mode  $NUCL\_MODE$ . Below, we display the parameters associated with this item.

```
>>> print(NUCL_EQ)
\Gamma\Gamma
>>> P = Pedigrad("Align.fa", not(READ_DNA), NUCL_MODE, '2', "omega.yml")
>>> for i in range(len(P.base)):
      print("segment " + str(i) + ": " + str(P.base[i].topology) + ",
    "+str(P.base[i].colors) + " ---> " + str(P.local[i]))
             [(0, 0)], ['2'] \longrightarrow ['C', 'C', 'G', 'G',
             [(1, 1)], ['2'] ---> ['C', 'C', 'G',
segment 1:
                                          'G',
             [(2, 2)], ['2'] \longrightarrow ['C',
                                                'С',
segment 2:
             [(3, 3)], ['2'] \longrightarrow ['A', 'A', 'T', 'G',
segment 3:
             [(4, 4)], ['2'] ---> ['G', 'T', 'T',
segment 4:
             [(5, 5)], ['2'] ---> ['T', 'A', 'A', 'A',
segment 5:
             [(8, 8)], ['2'] ---> ['G', 'A', 'G', 'G', 'A']
segment 6:
```

First, recall that if no argument is given to the procedure .partition, then a terminal partition is returned. As is convention in the sub-module PartitionCategory.py (section 3), such a partition is seen as a python list representing the associated epimorphism (see [4, Appendix A]). In the present case, the associated epimorphism is a constant epimorphism and its unique value is taken to be 0.

```
>>> print(P.partition())
[0, 0, 0, 0, 0]
```

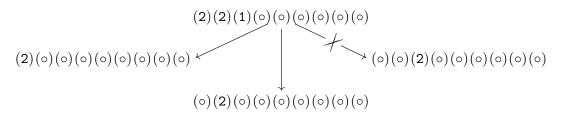
Let us now look at the outputs of the procedure partition when the regular expression of a segment is given as an input. For instance, suppose that one wants to compute the image of the following segment via the previously specified pedigrad.

```
(5.1) 	(2)(2)(1)(\circ)(\circ)(\circ)(\circ)(\circ)
```

This image is given by the following specification.

```
>>> print(P.partition([(0,1,1,'2'),(1,1,1,'2'),(2,1,1,'1')],EXPR_MODE))
[0, 0, 1, 1, 2]
```

As mentioned earlier, the previous partition (*i.e.* the returned list) is the product (section 3.8) of those partitions, in .local, whose indices correspond to the indices of those segments, in .base, toward which there is a morphism of segment from the SegmentObject item encoding the segment shown in (5.1).



We try to make this calculation more explicit in the following lines of code, in which the segments of the base are given by the regular expressions [(0,1,1,'2')], [(1,1,1,'2')], and [(2,1,1,'2')].

```
>>> s1 = P.segment([[(0,1,1,'2'),(1,1,1,'2'),(2,1,1,'1')])
>>> s2 = P.segment([(0,1,1,'2')]))
>>> print(P.homset(s1,s2))
True
>>> s3 = P.segment([(1,1,1,'2')]))
>>> print(P.homset(s1,s3))
True
>>> s4 = P.segment([(2,1,1,'2')]))
>>> print(P.homset(s1,s4))
False
>>> p1 = P.partition(s1,SEGM_MODE)
>>> p2 = P.partition(s2,SEGM_MODE)
>>> print(product_of_partitions(p1,p2))
[0, 0, 1, 1, 2]
```

For the sake of comparison, let us now compute the image of the following segment.

$$(5.2) (2)(2)(2)(\circ)(\circ)(\circ)(\circ)(\circ)$$

```
>>> print(P.partition([(0,1,1,'2'),(1,1,1,'2'),(2,1,1,'2')],EXPR_MODE))
[0, 1, 2, 2, 3]
```

The difference between the image of segment (5.1) and that of segment (5.2) lies in the existence of a morphism of segments of the form

$$(2)(2)(1)(\circ)(\circ)(\circ)(\circ)(\circ)(\circ) \longrightarrow (\circ)(\circ)(2)(\circ)(\circ)(\circ)(\circ)(\circ)(\circ)$$

and the non-triviality of the following image.

```
>>> print(P.partition([(2,1,1,'2')],EXPR_MODE))
[0, 1, 0, 0, 0]
```

- **5.5.2.** Isolate. The method .isolate takes an integer and a list of characters and may or may not return an output depending on the value of the integer, specifically:
  - if the integer is equal to the global variable NEW (i.e. equal to 1), then the procedure returns a new Pedigrad item;
  - otherwise, the procedure changes the ambient Pedigrad item directly.

```
>>> print(NEW,not(NEW))
(1, False)
```

If the input integer is equal to NEW, then the procedure returns a Pedigrad item that has the same attributes as the ambient Pedigrad item, except for its object .local whose characters that belong to the input list are given new and distinguished labels so that they give rise to singleton parts in the partitioning returned by the method .partition associated with the new Pedigrad item.

If the input integer is not equal to NEW, then the procedure replaces those characters of each internal list of self.local that belong to the input list with new and distinguished characters so that these give rise to singleton parts in the partitioning returned by the method .partition associated with the Pedigrad item.

For illustration, let us suppose that our sequence alignment file Align.fa now contains some special characters, as shown below, in red.

```
Align.fa

1 > A

2 CCCAGTT.G

3 > B

4 CCGA..T!A

5 > C

6 GGCT..T!G

7 > D

8 GGCG..T.G

9 > E

10 ACCATATAA
```

The following example illustrates the types of change that are made to the Pedigrad item when the method isolate is called with the value NEW and the list of characters ['.', '!']. We first display the object .local of the ambient Pedigrad item and then compare it to the returned Pedigrad item.

```
>>> P = Pedigrad("align.fa", READ_DNA, NUCL_MODE, '2', "omega.yml")
>>> for i in range(len(P.base)):
      print("segment "+str(i)+": "+str(P.base[i].topology)+"
    "+str(P.base[i].colors)+" ---> "+str(P.local[i]))
            [(0, 0)] ['2'] ---> ['C', 'C', 'G', 'G', 'A']
            [(1, 1)] ['2'] ---> ['C', 'C', 'G', 'G', 'C']
segment 1:
segment 2:
            [(2, 2)] ['2'] ---> ['C', 'G', 'C', 'C', 'C']
            [(3, 3)] ['2'] ---> ['A', 'A', 'T', 'G', 'A']
segment 3:
            [(4, 4)] ['2'] ---> ['G', '.', '.',
segment 4:
            [(5, 5)] ['2'] ---> ['T', '.', '.', '.', 'A']
segment 5:
            [(7, 7)] ['2'] ---> ['.', '!', '!',
segment 6:
            [(8, 8)] ['2'] ---> ['G', 'A', 'G', 'G', 'A']
segment 7:
>>> Q = P.isolate(NEW,['.','!'])
>>> for i in range(len(P.base)):
      print("segment "+str(i)+":
                                  "+str(Q.base[i].topology)+"
    "+str(Q.base[i].colors)+" ---> "+str(Q.local[i]))
```

```
[(0, 0)] ['2'] ---> ['C', 'C', 'G', 'G', 'A']
segment 0:
            [(1, 1)] ['2'] ---> ['C', 'C',
                                            G',
                                                 'G'.
segment 1:
            [(2, 2)] ['2'] ---> ['C', 'G', 'C', 'C', 'C']
segment 2:
                     ['2'] ---> ['A', 'A', 'T', 'G', 'A']
segment 3:
            [(3, 3)]
            [(4, 4)] ['2'] ---> ['G', '>0', '>1', '>2', 'T']
segment 4:
            [(5, 5)] ['2'] ---> ['T', '>0', '>1', '>2', 'A']
segment 5:
                                             '>2', '>3', 'A']
            [(7, 7)] ['2'] ---> ['>0', '>1',
segment 6:
            [(8, 8)] ['2'] ---> ['G', 'A', 'G', 'G', 'A']
segment 7:
```

Note that the call of the method isolate with the value NEW does not change the outputs of the procedure P.partition because the list P.local has not changed either. Only the list Q.local sees the relabeling of P.local, which makes the procedure Q.partition give different outputs.

```
>>> print(Q.partition([(4,1,1,'2'),(5,1,1,'2')],EXPR_MODE))
[0, 1, 2, 3, 4]
>>> print(P.partition([(4,1,1,'2'),(5,1,1,'2')],EXPR_MODE))
[0, 1, 1, 1, 2]
```

The example given below illustrates the types of change that are made to the Pedigrad item when the method isolate is called with the value not (NEW) and the list of characters ['.', '!']. We first show the object .local of the ambient Pedigrad item, which has not changed so far, and compare it to the Pedigrad item after the call.

```
>>> for i in range(len(P.base)):
      print("segment "+str(i)+":
                                  "+str(P.base[i].topology)+"
    "+str(P.base[i].colors)+" ---> "+str(P.local[i]))
segment 0:
            [(0, 0)] ['2'] ---> ['C', 'C', 'G', 'G',
segment 1:
            [(1, 1)] ['2'] ---> ['C',
                                       'C', 'G',
            [(2, 2)] ['2'] ---> ['C', 'G', 'C', 'C', 'C']
segment 2:
                                            Ϋ́,
            [(3, 3)] ['2'] ---> ['A',
                                       'Α',
segment 3:
                                      ·.,
            [(4, 4)] ['2'] ---> ['G',
segment 4:
            [(5, 5)] ['2'] ---> ['T', '.', '.',
segment 5:
segment 6:
            [(7, 7)] ['2'] ---> ['.', '!', '!',
            [(8, 8)] ['2'] ---> ['G', 'A', 'G', 'G', 'A']
segment 7:
>>> P.isolate(not(NEW),['.','!'])
>>> for i in range(len(P.base)):
      print("segment "+str(i)+":
                                  "+str(P.base[i].topology)+"
    "+str(P.base[i].colors)+" ---> "+str(P.local[i]))
            [(0, 0)] ['2'] ---> ['C', 'C', 'G', 'G', 'A']
segment 0:
                                           'G',
                                      'С',
            [(1, 1)] ['2'] ---> ['C',
                                                'G', 'C']
segment 1:
            [(2, 2)] ['2'] ---> ['C', 'G', 'C', 'C', 'C']
segment 2:
            [(3, 3)] ['2'] ---> ['A', 'A', 'T', 'G', 'A']
segment 3:
            [(4, 4)] ['2'] ---> ['G', '>0', '>1', '>2', 'T']
segment 4:
            [(5, 5)] ['2'] ---> ['T', '>0', '>1', '>2',
segment 5:
            [(7, 7)] ['2'] ---> ['>0', '>1', '>2', '>3', 'A']
segment 6:
            [(8, 8)] ['2'] ---> ['G', 'A', 'G', 'G', 'A']
segment 7:
```

This time, the outputs of the procedure P.partition are different, or, in fact, equal to those of the procedure Q.partition.

```
>>> print(P.partition([(4,1,1,'2'),(5,1,1,'2')],EXPR_MODE))
[0, 1, 2, 3, 4]
```

**5.5.3.** Agree. The method .agree takes a list of SegmentObject items and a list of nonnegative integers (i.e. a partition) and returns all those segments contained in the first input for which there is a morphism of partitions from the second input to the outputs of the procedure self.partition(-,SEGM\_MODE) at these segments. More mathematically, the method .agree computes the set of segments  $\tau$  for which there are morphisms of partitions of the form  $u \to P(\tau)$ , where P denotes the right Kan extension  $\operatorname{Ran} L$  of the underlying local analysis  $L: B \to \operatorname{Uprt}(S)$ .

For illustration, let us consider the Pedigrad item defined in section 5.5.1. For convenience, we recall the alignment file used thereof.

```
Align.fa

1 >A

2 CCCAGTTAG

3 >B

4 CCGATATAA

5 >C

6 GGCTTATAG

7 >D

8 GGCGTATAG

9 >E

10 ACCATATAA
```

The following example uses the method .agree to collect all those positions of the alignment that agree with the assumption that taxon A and taxon B are close relatives.

```
>>> u = EquivalenceRelation([[0,1]],4)
>>> print(u)
[0, 0, 1, 2, 3]
>>> agreement = P.agree(P.base,u.quotient())
>>> for seg in agreement:
... print("P("+str(seg.topology)+") = "+str(P.partition(seg,SEGM_MODE)))
P([(0, 0)]) = [0, 0, 1, 1, 2]
P([(1, 1)]) = [0, 0, 1, 1, 0]
P([(3, 3)]) = [0, 0, 1, 2, 0]
```

Similarly, we can compute the agreement lists of the pairs of taxa (B,C) and (D,E).

```
>>> u = EquivalenceRelation([[1,2]],4)
>>> print(u)
[1, 0, 0, 2, 3]
>>> agreement = P.agree(P.base,u.quotient())
>>> for seg in agreement:
      print("P("+str(seg.topology)+") = "+str(P.partition(seg,SEGM_MODE)))
P([(4, 4)]) = [0, 1, 1, 1, 1]
P([(5, 5)]) = [0, 1, 1, 1, 1]
>>> u = EquivalenceRelation([[3,4]],4)
>>> print(u)
[1, 2, 3, 0, 0]
>>> agreement = P.agree(P.base,u.quotient())
>>> for seg in agreement:
      print("P("+str(seg.topology)+") = "+str(P.partition(seg,SEGM_MODE)))
P([(2, 2)]) = [0, 1, 0, 0, 0]
P([(4, 4)]) = [0, 1, 1, 1, 1]
P([(5, 5)]) = [0, 1, 1, 1, 1]
```

# Presentation of the module AsciiTree.py

#### 6.1. Description of tree\_of\_partitions

$$[ exttt{tree\_of\_partitions}] \longrightarrow [ exttt{MorphismOfPartitions}]^{ exttt{}}$$

The function tree\_of\_partitions takes a list of lists whose pairs of successive lists can be related via MorphismOfPartitions items (see section 3.9)

pair of succ. lists 
$$\underbrace{[l_1, l_2, l_3, \dots, l_n]}_{\text{pair of succ. lists}}$$

and returns the actual lists of MorphismOfPartitions items between these.

```
1 def tree_of_partitions(partitions):
2    """ the source code of this function can be found in top.py """
3    return the_tree
```

The input list should always start with the target of the first arrow, then present its source, which should also be the target of the next arrow, etc.

```
[0, 0, 1, 2, 2, 3]

| arrow num 3: [0, 1, 1, 2]

| V

[0, 0, 1, 1, 1, 2]

| arrow num 2: [0, 0, 1]

| V

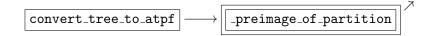
[0, 0, 0, 0, 0, 0]

| arrow num 1: [0, 0]

| V

[0, 0, 0, 0, 0, 0]
```

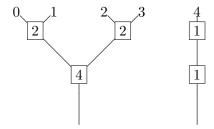
#### 6.2. Description of convert\_tree\_to\_atpf



The function convert\_tree\_to\_atpf takes a list of MorphismOfPartitions item (as returned by the procedure tree\_of\_partitions) and converts it into its associated ascii tree pre-format (abbrev. atpf), which is a regular expression of type ATPF given by the following double grammar rules.

The idea of such a construction is to give access to the number of leaves contained in each fork of a tree. This type of information will later be used to display trees with ascii characters on the console. For illustration, the following line gives the example of an atpf that describes the forest displayed below it.

```
atpf = [(4, [(2, [0, 1]), (2, [2, 3])]), (1, [(1, [4])])]
```



Note that the number of levels in the trees can be linked to what could be called the *depth* of the bracketing structure of the atpf. Specifically, we define the *depth* of an afpt according

to the following recursive equations, relative to the definition of the terms given above.

```
\begin{split} \operatorname{depth}(\operatorname{ATPF}) &:= \max\{\operatorname{depth}(\operatorname{Tree}_i) \mid i=1,\ldots,k\}; \\ \operatorname{depth}(\operatorname{Tree}) &:= \max\{\operatorname{depth}(\operatorname{Tree}_i) \mid i=1,\ldots,k\}+1; \\ \operatorname{depth}(\operatorname{Tree}) &:= \max\{\operatorname{depth}(\operatorname{Leaf}_i) \mid i=1,\ldots,k\}+1; \\ \operatorname{depth}(\operatorname{Leaf}) &:= 1; \end{split}
```

The procedure convert\_tree\_to\_atpf then returns a list and an integer, where the list is the atpf of the input (i.e. of the tree) and the integer is equal to the depth of the atpf, which is equal to len(tree)+1.

```
1 def _convert_tree_to_atpf(tree):
2    """ the source code of this function can be found in ctta.py """
3    return (the_atpf,len(tree)+1)
```

Since the depth is only returned for parsing purposes (see section 6.3 and section 6.4), the main task of the procedure convert\_tree\_to\_atpf is to compute the atpf associated with the input list of composable MorphismOfPartitions items by considering the successive preimagesof the objects .arrow of each MorphismOfPartitions item contained in the list.

For illustration, consider the following list of partitions:

```
>>> a = [0,1,0,0,0,0]
>>> b = [0,2,0,0,0,1]
>>> c = [0,4,2,3,3,5]
```

This list can be associated with an obvious sequence of MorphismOfPartitions item that is constructed by the procedure tree\_of\_partitions (see section 6.1). Below, we make the steps of this construction explicit for the list [a, b,c].

First, one wants to consider the preimage of the last partition c. This preimage is outputted by the function \_preimage\_of\_partition (see section 3.3) as shown below.

```
>>> _preimage_of_partition(c)
[[0], [1], [2], [3, 4], [5]]
```

The internal lists appearing in the previous list are important for our next step. More specifically, we want to follow the recursive definition of the grammar of atpfs (given above) and take the lists [0], [1, 2], [3, 4], and [5] to be the initial values of the recursion so that the first level of the atpf is as shown below, where the red numbers are the weight of the Leaf terms (see grammar rules above).

```
the_atpf = [(1, [0]), (1, [1]]), (1, [2]]), (2, [3, 4]), (1, [5])]
```

For the next level, we need to compute the preimage of the object .arrow encoding the morphism  $c \to b$ . Recall that the list contained in this object encodes the mapping rules associated with the morphism  $c \to b$ . Below, we display this mapping up to relabeling of the lists c and b as [0,1,2,3,3,4] and [0,1,0,0,0,2], respectively.

```
>>> f = MorphismsOfPartitions(c,b)
>>> for i in range(len(f.arrow)):
... print("f: "+str(i)+" |--> "+str(f.arrow[i]))
f: 0 |-> 0
f: 1 |-> 1
f: 2 |-> 0
f: 3 |-> 0
f: 4 |-> 2
```

Now, since b has three elements in its image, the MorphismOfPartitions item f possesses three fibers, which are given by the following list of lists.

```
>>> fiber = _preimage_of_partition(f.arrow)
>>> print(fiber)
[[0, 2, 3], [1], [4]]
```

Following the atpf grammar and, more specifically, the syntax for the Tree terms, we now want to use the list fiber computed above to create the next level of the atpf. The idea is to replace the intergers living in fiber with the elements of the list the\_atpf. Precisely, we want to replace:

```
fiber[0][0] = 0 with the_atpf[0]
fiber[0][1] = 2 with the_atpf[2]
fiber[0][2] = 3 with the_atpf[3]
fiber[1][0] = 1 with the_atpf[1]
fiber[2][0] = 4 with the_atpf[4]
```

Doing so, the list fiber is turned into the following list.

```
fiber = [[(1, [0]), (1, [2]]), (2, [3, 4])], [(1, [1]])], [(1, [5])]]
```

To complete the construction of the next level of the atpf, there remains to compute the weight for each internal list. Precisely, we can see that

```
the weight of [(1, [0]), (1, [2]]), (2, [3, 4])] is 1+1+2 = 4;
the weight of [(1, [1]])] is 1;
the weight of [(1, [5])]] is 1.
```

We then equip each list with its weight by using tuples, as shown below.

```
the_atpf = [(4,[(1, [0]), (1, [2]]), (2, [3, 4])]), (1,[(1, [1]]))], (1,[(1, [5])])]
```

We then repeat the previous procedure with, this time, the fiber of the morphism  $b \rightarrow a$  and the earlier list the\_atpf so that the final atpf is of the following form.

```
the_atpf = [(5, [(4, [(1, [0]), (1, [2]), (2, [3, 4])]), (1, [(1, [5])])]), (1, [(1, [1], [1], [1])])]
```

The user that verify that the previous list conresponds to the output of the following command.

```
>>> <print(convert_tree_to_atpf(tree_of_partitions([a,b,c]))[0])</pre>
```

#### 6.3. Description of convert\_atpf\_to\_atf

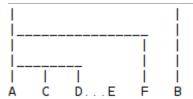
The function convert\_atpf\_to\_atf takes an atpf and its depth (see section 6.2) and returns the associated ascii tree format (abbrev. atf), which is a modified version of an atpf in which one substracts all the weights by the rightmost weight of the next level, as shown by the following grammar rules

```
\begin{split} & \texttt{ATPF} := [\texttt{Tree}_1, \texttt{Tree}_2, \dots, \texttt{Tree}_k]; \\ & \texttt{Tree} := \big( (\texttt{weight}(\texttt{Tree}), \texttt{weight}(\texttt{Tree}) - \texttt{weight}(\texttt{Tree}_k)), [\texttt{Tree}_1, \texttt{Tree}_2, \dots, \texttt{Tree}_k] \big); \\ & \texttt{Tree} := \big( (\texttt{weight}(\texttt{Tree}), \texttt{weight}(\texttt{Tree}) - \texttt{weight}(\texttt{Tree}_k)), [\texttt{Leaf}_1, \texttt{Leaf}_2, \dots, \texttt{Leaf}_k] \big); \\ & \texttt{Leaf} := \big( (\texttt{weight}(\texttt{Leaf}), 0), l \big), \text{ where } l \text{ is a list}; \\ \end{split}
```

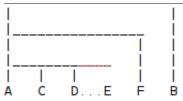
Note that this function uses the depth of the atpf in order to differentiate between the leaves and the intermediate levels of the tree, which require two different types of treatement.

```
1 def convert_atpf_to_atf(atpf,depth):
2    """ the source code of this function can be found in cata.py """
3    return the_atf
```

The reason for this is that the procedure print\_atf (see section 6.4) is to display ascii trees whose trunks are on the left of the screen, as show below.



Substracting the rightmost weights of the atpf from the weight placed below it, in the tree, allows print\_atf to know when it needs to stop printing the horizontal level of the tree. Intuitively, the following pictures shows what atpf would look like without convertion into an atf, where the red underscore symbols sticking out toward the right symbolize the amount of weight subtracted in the atf.



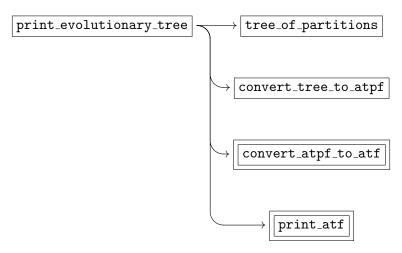
#### 6.4. Description of print\_atf

The function print\_atf takes an atf and its depth and prints the ascii tree associated with the atf on the standard output.

```
1 def print_atf(atf,depth):
2  """ the source code of this function can be found in patf.py """
```

The following example shows how print\_atf can be combined with the procedures convert\_tree\_to\_atpf and convert\_atpf\_to\_atf.

#### 6.5. Description of print\_evolutionary\_tree



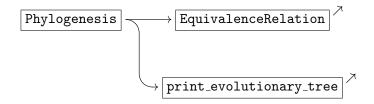
The function print\_evolutionary\_tree takes a list of lists whose pairs of successive lists can be related via MorphismOfPartitions items and returns the tree encoded by this sequence of morphisms.

```
1 def print_evolutionary_tree(atf,depth):
2  #Returns a sequence of morphisms of partitions.
3  tree = tree_of_partitions(partitions)
4  #Returns an ascii tree pre-format and its depth.
5  atpf = convert_tree_to_atpf(tree)
6  #Returns the ascii tree format of the atpf.
7  atf = convert_atpf_to_atf(*atpf)
8  #Prints the atf on the standard output.
9  print_atf(atf,atpf[1])
```

See the example given in section 6.5 to see what this procedure does.

# Presentation of the module Phylogeny.py

### 7.1. Description of Phylogenesis (class)



The class Phylogenesis possesses two objects, namely

- .taxon (non-negative integer);
- .history (list of lists of indices);

and three methods, namely

- .\_\_init\_\_ (constructor)
- .partitions
- .print\_tree

A Phylogenesis item is meant to be part of another structure called a Phylogeny (see section 7.2). The first object .taxon of the class Phylogenesis stores an integer that allows us to identify the Phylogenesis item with respect to other Phylogenesis items in the Phylogeny structure. On the other hand, the object .history stores a list of lists encoding a historical record of the coalescence events between the Phylogenesis item and the other taxa contained by the Phylogeny structure. The list of lists contained in the object .history should:

- start with a singleton list containing the integer representing the taxon itself;
- be such that every list should contain its predecessor list;

```
1 class Phylogenesis:
 2
     #The objects of the class are:
 3
     #.taxon (non-negative integer);
     #.history (list of lists of indices);
     def __init__(self,history):
 5
     """ the source code of this constructor can be found in cl_pgs.py """
 6
 7
     def partitions(self):
     """ the source code of this function can be found in cl_pgs.py """
 8
 9
       return partitions
10
     def print_tree(self):
     #Returns the evolutionary tree described by the list of lists outputted
11
12
     #by the procedure partitions().
13
       return print_evolutionary_tree(self.partitions())
```

The constructor .\_\_init\_\_ takes a non-empty list of lists whose first list is a singleton and allocates

- the index contained in the first internal list of the input list to the object .taxon,
- the input list to the object .history.

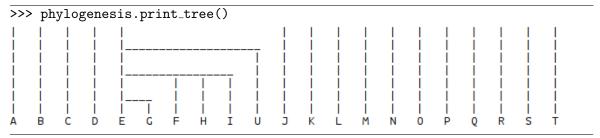
Before terminating, the procedure checks whether the list of lists is made of non-negative integers and whether each list preceding another is contained in the successor list. Below, we give the example of an initialization of a Phylogenesis item.

```
>>> history = [[4],[4,6],[5,4,7,8,6],[6,5,4,7,8,20]]
>>> phylogenesis = Phylogenesis(history)
>>> print(phylogenesis.taxon)
4
>>> print(phylogenesis.history[len(phylogenesis.history)-1])
[6, 5, 4, 7, 8, 20]
```

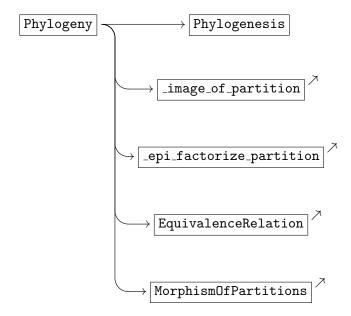
The method .partitions() returns the sequence of partitions induced by the list of lists contained in the object .history over the set of indices ranging from 0 to the maximum index of the last list of the object .history.

```
>>> p = phylogenesis.partitions()
>>> for i in range(len(p)):
>>> print(p[i])
[1, 2, 3, 4, 0, 0, 0, 0, 0, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 0]
[1, 2, 3, 4, 0, 0, 0, 0, 0, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16]
[1, 2, 3, 4, 0, 5, 0, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19]
[1, 2, 3, 4, 0, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20]
```

The method .print\_tree() returns the evolutionary tree associated with the sequence of partitions returned by .partition() (for more intuition, see section 6).



### 7.2. Description of Phylogeny (class)



The class Phylogeny possesses one object, namely

- .phylogeneses (list of Phylogenesis items)

and ten methods, namely

- .\_\_init\_\_ (constructor)
- .coalescent
- .extend
- .count\_uniformity
- .boolean\_partition
- .make\_friends
- .set\_up\_friendship
- .score
- .choose
- .set\_up\_competition

The object .phylogenesis is supposed to contained a list of Phylogenesis items. The taxon associated with the i-th phylogenesis should be indexed by the interger i itself and any label appearing in the Phylogenesis items of the list should have its own Phylogenesis item included in the list.

```
1 class Phylogeny:
2  #The objects of the class are:
3  #.phylogeneses (lists of Phylogenesis items);
4  def __init__(self,phylogeneses):
5  """ the source code of this constructor can be found in cl_pgs.py """
```

**7.2.1.** First generation. Below, we will often use the term *first generation* of the phylogenesis of a certain taxon t to refer to the last list contained in the list

```
self.phylogeneses[t].history
```

relative to the indexing order associated with the list structure.

**7.2.2.** Constructor. The constructor .\_\_init\_\_ takes a list of lists of lists containing nonnegative integers and use every internal list of the input to create a Phylogenesis item, which is stored in the object .phylogeneses.

The following lines show how a phylogeny can be created via the consutructor of the class.

```
>>> p = list()
>>> for i in range(8):
     p.append([[i], [i, (i+10) % 8], [i, (i+10) % 8, (i+5*i+13) % 8]]))
>>> pgy = Phylogeny(p)
>>> for i in range(len(pgy.phylogeneses)):
      print("taxon: "+str(pgy.phylogeneses[i].taxon))
>>>
      print(pgy.phylogeneses[i].history)
taxon:
[[0], [0, 2], [0, 2, 5]]
taxon:
[[1], [1, 3], [1, 3, 3]]
taxon:
        2
[[2], [2, 4], [2, 4, 1]]
taxon:
[[3], [3, 5], [3, 5, 7]]
taxon: 4
[[4], [4, 6], [4, 6, 5]]
taxon:
[[5], [5, 7], [5, 7, 3]]
taxon:
[[6], [6, 0], [6, 0, 1]]
taxon:
[[7], [7, 1], [7, 1, 7]]
```

**7.2.3. Elementary methods.** Phylogeny items can be updated, modified or analyzed through the methods .coalescent; .extend; and .make\_friends, which we present in the following sections.

```
6
     def coalescent(self):
 7
     """ the source code of this constructor can be found in cl_pgs.py """
8
       return coalescent
9
     def extend(self, extension):
10
     """ the source code of this constructor can be found in cl_pgs.py """
         return False
11
     def make_friends(self,taxon):
12
     """ the source code of this constructor can be found in cl_pgs.py """
13
14
       return (friends, coalescence_hypothesis)
```

**7.2.4.** Coalescent. The method .coalescent() returns the list of the last lists of the objects .history of each Phylogenesis item contained in the object .phylogeneses (*i.e.* what one would like to understand as the *first generations* of the current phylogeny). The k-th list of the outputted list is therefore the first generation (or last list) of the history of taxon k (see example below).

```
>>> coalescent = pgy.coalescent()
>>> for i in range(len(coalescent)):
      print("1st generation of " + str(i) + "'s history:
    str(coalescent[i]))
1st generation of 0's history:
                                 [0, 2, 5]
1st generation of 1's history:
                                 [1, 3, 3]
1st generation of 2's history:
                                [2, 4, 1]
1st generation of 3's history:
                                 [3, 5, 7]
                                 [4, 6, 5]
1st generation of 4's history:
                                 [5, 7, 3]
1st generation of 5's history:
1st generation of 6's history:
                                 [6, 0, 1]
1st generation of 7's history:
                                 [7, 1, 7]
```

**7.2.5.** Extend. The method .extend takes a list of pairs of the form (t,1) where t is the label of a taxon of the Phylogeny item (accessible through pgy.phylogeneses[-].taxon) and 1 is a list of taxa and it updates the object .phylogeneses as follows:

```
- for all pairs (t,1) contained in the input passed to .extend:
```

1) ▷ if every list 1 contains the last list of self.phylogeneses[t].history, ▷ if at least one of the lists 1 strictly contains the last list of

```
self.phylogeneses[t].history,
```

- → then every list 1 is appended to the list self.phylogeneses[t].history and the value True is returned;
- 2) if there is no strict inclusion of the last list of self.phylogeneses[t].history into 1, then the object .phylogeneses is not modified and the value False is returned;
- 3) otherwise, an error message is returned and the procedure exit the program;
- if an update has happened, then for all other taxa t of the phylogeny that do not appear in the input of .extend, the last list of self.phylogeneses[t].history (i.e. the first generation of the history of the phylogenesis of t) is again repeated (i.e. appended again) in the list self.phylogeneses[t].history.

The following code lines illustrate these various cases for the example used in the previous sections (starting from section 7.2.2).

```
>>> extension = [(5,[3,7,7,5]),(7,[7,1])]
>>> flag = pgy.extend(extension)
>>> print(flag)
False
>>> for i in range(len(pgy.phylogeneses)):
     print(pgy.phylogeneses[i].history)
[[0], [0, 2], [0, 2, 5]]
[[1], [1, 3], [1, 3, 3]]
[[2], [2, 4], [2, 4, 1]]
[[3], [3, 5], [3, 5, 7]]
[[4], [4, 6], [4, 6, 5]]
[[5], [5, 7], [5, 7, 3]]
[[6], [6, 0], [6, 0, 1]]
[[7], [7, 1], [7, 1, 7]]
>>> extension = [(5,[3,7,7,5]),(7,[7,1]),(1,[1,3,4,5])]
>>> flag = pgy.extend(extension)
>>> print(flag)
```

```
True
>>> for i in range(len(pgy.phylogeneses)):
      print(pgy.phylogeneses[i].history)
[[0], [0, 2], [0, 2, 5], [0, 2, 5]]
[[1], [1, 3], [1, 3, 3], [1, 3, 4, 5]]
[[2], [2, 4], [2, 4, 1], [2, 4, 1]]
[[3], [3, 5], [3, 5, 7], [3, 5, 7]]
[[4], [4, 6], [4, 6, 5], [4, 6, 5]]
[[5], [5, 7], [5, 7, 3], [3, 7, 5]]
[[6], [6, 0], [6, 0, 1], [6, 0, 1]]
[[7], [7, 1], [7, 1, 7], [7, 1]]
>>> extension = [(-1,["error"])]
>>> pgy.extend(extension)
>>> for i in range(len(pgy.phylogeneses)):
      print(pgy.phylogeneses[i].history)
[[0], [0, 2], [0, 2, 5], [0, 2, 5]]
[[1], [1, 3], [1, 3, 3], [1, 3, 4, 5]]
[[2], [2, 4], [2, 4, 1], [2, 4, 1]]
[[3], [3, 5], [3, 5, 7], [3, 5, 7]]
[[4], [4, 6], [4, 6, 5], [4, 6, 5]]
[[5], [5, 7], [5, 7, 3], [3, 7, 5]]
[[6], [6, 0], [6, 0, 1], [6, 0, 1]]
[[7], [7, 1], [7, 1, 7], [7, 1]]
>>> extension = [(5,[1,4,80]),(7,["error"])]
>>> pgy.extend(extension)
>>> for i in range(len(pgy.phylogeneses)):
      print(pgy.phylogeneses[i].history)
        in Phylogeny.extend: the extension is not compatible with the
phylogenesis of taxon 5
```

- **7.2.6.** Make friends. The method .make\_friends takes the label of a taxon (i.e. a non-negative integer) and returns a pair of lists (friends, hypothesis) where
  - the list friends contains all those taxa that have not coalesced with the input taxon, which means that there are not in the first generation of the phylogenesis of the taxon;
  - the list hypothesis contains the (sorted) lists obtained by making the union of the first generation of the input taxon with the first generation of one of the taxon in friends.

For illustration, consider the phylogeny constructed in section 7.2.5. Below, we first recall the structure of that phylogeny.

```
>>> for i in range(len(pgy.phylogeneses)):
>>> print(pgy.phylogeneses[i].history)
[[0], [0, 2], [0, 2, 5], [0, 2, 5]]
[[1], [1, 3], [1, 3, 3], [1, 3, 4, 5]]
[[2], [2, 4], [2, 4, 1], [2, 4, 1]]
[[3], [3, 5], [3, 5, 7], [3, 5, 7]]
[[4], [4, 6], [4, 6, 5], [4, 6, 5]]
```

```
[[5], [5, 7], [5, 7, 3], [3, 7, 5]]
[[6], [6, 0], [6, 0, 1], [6, 0, 1]]
[[7], [7, 1], [7, 1, 7], [7, 1]]
   The output of the method .make_friends for taxa 1, 2 and 7 is as follows.
>>> friends_made = pgy.make_friends(1)
>>> friends = friends_made[0]
>>> coalescence_hypothesis = friends_made[1]
>>> for i in range(len(friends)):
      print("taxa 1 and "+ str(friends[i])+" have ancestor
    "+str(coalescence_hypothesis[i]))
taxa 1 and 0 have ancestor [0, 1, 2, 3, 4, 5]
taxa 1 and 2 have ancestor [1, 2, 3, 4, 5]
taxa 1 and 6 have ancestor [0, 1, 3, 4, 5, 6]
taxa 1 and 7 have ancestor [1, 3, 4, 5, 7]
>>> friends_made = pgy.make_friends(2)
>>> friends = friends_made[0]
>>> coalescence_hypothesis = friends_made[1]
>>> for i in range(len(friends)):
      print("taxa 2 and "+ str(friends[i])+" have ancestor
    "+str(coalescence_hypothesis[i]))
taxa 2 and 0 have ancestor [0, 1, 2, 4, 5]
taxa 2 and 3 have ancestor [1, 2, 3, 4, 5, 7]
taxa 2 and 5 have ancestor [1, 2, 3, 4, 5, 7]
taxa 2 and 6 have ancestor [0, 1, 2, 4, 6]
taxa 2 and 7 have ancestor [1, 2, 4, 7]
>>> friends_made = pgy.make_friends(7)
>>> friends = friends_made[0]
>>> coalescence_hypothesis = friends_made[1]
>>> for i in range(len(friends)):
      print("taxa 7 and "+ str(friends[i])+" have ancestor
    "+str(coalescence_hypothesis[i]))
taxa 7 and 0 have ancestor [0, 1, 2, 5, 7]
taxa 7 and 2 have ancestor [1, 2, 4, 7]
taxa 7 and 3 have ancestor [1, 3, 5, 7]
taxa 7 and 4 have ancestor [1, 4, 5, 6, 7]
taxa 7 and 5 have ancestor [1, 3, 5, 7]
taxa 7 and 6 have ancestor [0, 1, 6, 7]
```

**7.2.7.** Algorithm for constructing phylogenies. The next set of methods are procedures meant to be used to implement the algorithm described in [4], which constructs a phylogeny according of the definition given thereof.

```
15
     def set_up_friendships(self):
16
     """ the source code of this constructor can be found in cl_pgs.py """
17
       return (friendships, coalescence_hypotheses)
     def score(self,partitions,friendship_network):
15
16
     """ the source code of this constructor can be found in cl_pgs.py """
17
       return score_cardinality_adjusted
     def choose(self,scores):
18
19
     """ the source code of this constructor can be found in cl_pgs.py """
20
       return result
```

```
21  def set_up_competition(self,best_fit):
22  """ the source code of this constructor can be found in cl_pgs.py """
23  return coalescence_hypothesis
```

**7.2.8.** Set up friendships. The method .set\_up\_friendships() returns a pair of lists (friendships,hypotheses) containing the lists of the two different outputs of the method .make\_friends for every taxon of the phylogeny. More specifically,

- friendships is the list of lists whose *t*-th list contains the first output of the procedure self.make\_friends for taxon *t*;
- hypotheses is the list of lists whose t-th list contains the second output of the procedure self.make\_friends for taxon t;

The following code lines give a description of the output of .set\_up\_friendships() for the phylogeny used in section 7.2.6.

```
>>> friendships_made = pgy.set_up_friendships()
>>> friendships = friendships_made[0]
>>> coalescence_hypotheses = friendships_made[1]
>>> for t in range(len(friendships)):
      for r in range(len(friendships[t])):
        print("taxa " + str(t) + " and " + str(friendships[t][r])+" have
>>>
    ancestor "+str(coalescence_hypotheses[t][r]))
taxa 0 and 1 have ancestor [0, 1, 2, 3, 4, 5]
taxa 0 and 3 have ancestor [0, 2, 3, 5, 7]
taxa 0 and 4 have ancestor [0, 2, 4, 5, 6]
taxa 0 and 6 have ancestor [0, 1, 2, 5, 6]
taxa 0 and 7 have ancestor [0, 1, 2, 5, 7]
taxa 1 and 0 have ancestor [0, 1, 2, 3, 4, 5]
taxa 1 and 2 have ancestor [1, 2, 3, 4, 5]
taxa 1 and 6 have ancestor [0, 1, 3, 4, 5, 6]
taxa 1 and 7 have ancestor [1, 3, 4, 5, 7]
taxa 2 and 0 have ancestor [0, 1, 2, 4, 5]
taxa 2 and 3 have ancestor [1, 2, 3, 4, 5, 7]
taxa 2 and 5 have ancestor [1, 2, 3, 4, 5,
taxa 2 and 6 have ancestor [0, 1, 2, 4, 6]
taxa 2 and 7 have ancestor [1, 2, 4, 7]
taxa 3 and 0 have ancestor [0, 2, 3, 5, 7]
taxa 3 and 1 have ancestor [1, 3, 4, 5, 7]
taxa 3 and 2 have ancestor [1, 2, 3, 4, 5, 7]
taxa 3 and 4 have ancestor [3, 4, 5, 6, 7]
taxa 3 and 6 have ancestor [0, 1, 3, 5, 6, 7]
taxa 4 and 0 have ancestor [0, 2, 4, 5, 6]
taxa 4 and 1 have ancestor [1, 3, 4, 5, 6]
taxa 4 and 2 have ancestor [1, 2, 4, 5, 6]
taxa 4 and 3 have ancestor [3, 4, 5, 6, 7]
taxa 4 and 7 have ancestor [1, 4, 5, 6, 7]
taxa 5 and 0 have ancestor [0, 2, 3, 5, 7]
taxa 5 and 1 have ancestor [1, 3, 4, 5, 7]
taxa 5 and 2 have ancestor [1, 2, 3, 4, 5, 7]
taxa 5 and 4 have ancestor [3, 4, 5, 6, 7]
taxa 5 and 6 have ancestor [0, 1, 3, 5, 6, 7]
```

```
taxa 6 and 2 have ancestor [0, 1, 2, 4, 6]
taxa 6 and 3 have ancestor [0, 1, 3, 5, 6, 7]
taxa 6 and 4 have ancestor [0, 1, 4, 5, 6]
taxa 6 and 5 have ancestor [0, 1, 3, 5, 6, 7]
taxa 6 and 7 have ancestor [0, 1, 6, 7]
taxa 7 and 0 have ancestor [0, 1, 2, 5, 7]
taxa 7 and 2 have ancestor [1, 2, 4, 7]
taxa 7 and 3 have ancestor [1, 3, 5, 7]
taxa 7 and 4 have ancestor [1, 4, 5, 6, 7]
taxa 7 and 5 have ancestor [1, 3, 5, 7]
taxa 7 and 6 have ancestor [0, 1, 6, 7]
```

**7.2.9.** Scoring system. The method .score takes a list of lists of non-negative integers (i.e. partitions), call it partitions, and a pair of lists, say (friendships, hypotheses), where

- friendships is a list of lists;
- hypotheses is a list of length len(friendships) whose t-th element is a list of length len(friendships[t]) whose elements are lists of integers ranging from 0 to

```
len(self.phylogeneses)-1
```

(preferrably sorted from smallest to greatest);

and returns a list of length len(friendships) whose t-th element is a list of triples of the form (r,large,exact) where

- r runs over the elements of friendships[t],
- large is the large score [4] of the hypothetical ancestor hypotheses[t] [r] within the set of ancestors contained in hypotheses[t] for the list of partitions given in the input,
- exact is the exact score [4] of the hypothetical ancestor hypotheses[t] [r] within the set of ancestors contained in hypotheses[t] for the list of partitions given in the input.

This means that large is the number of partitions belonging to the first input list partitions for which there is a morphism of partitions  $x.quotient() \rightarrow partitions[i]$  where we take

```
x = EquivalenceRelation([hypotheses[t][r]],len(self.phylogeneses)-1)
```

and exact is the number of partitions that were counted in the large score of r such that if these partitions belong to the large score of any other element s in friendships[t], then either the equality

```
hypotheses[t][r] = hypotheses[t][s]
```

holds or the intersection of hypotheses[t][r] with hypotheses[t][s] is empty.

The second input of the method .score can, for instance, be taken to be the output of the procedure

```
self.set_up_friendships().
```

For example, consider the following file containing a sequence alignment.

#### Align.fa

- 1 >Alice
- 2 ACGCTAGCGCGATCGATCGATCGATC
- 3 >Bob
- 4 ACGACTTAGCGGATCTGATACTCCCTCGATC
- 5 >Carles
- 6 ACGACCTAGCGGATCTTATAACTCACCGATC
- 7 >Doug
- 8 ACGCTAGCGGCTGATAACGATCGTATCGATC
- 9 >Eric
- 10 ACGCATGCGCGATCGACGGATCGTATCTATC
- 11 >Fred
- 12 ACGACCTAGCAGATTTCTAATCTCAACGATC
- 13 >Garv
- 14 ACGCGAGCATCTGAACACGATTGTAACGATC
- 15 >Haley
- 16 ACGCTACGCGACGATCGGCTTTAGATCGATC

Then, we can use the method .score, as shown below, with the Phylogeny item pgy considered in section 7.2.6 and the list of partitions induced by each non-trivial column of the previous alignment (these are contained in the object .local of the Pedigrad item obtained from the previous alignment when parsing the nucleotides).

```
>>> P = Pedigrad("Align.fa", READ_DNA, NUCL_MODE, '2', "omega.yml")
>>> 1 = pgy.score(P.local,pgy.set_up_friendships())
>>> for t in range(len(1)):
     for (r,large,exact) in l[t]:
        print("taxa " + str(t) + " and " + str(r)+" coalesce with large
>>>
    score "+str(large)+" and with exact score "+str(exact))
taxa 0 and 1 coalesce with large score 1 and with exact score 1
taxa 0 and 3 coalesce with large score 2 and with exact score 0
taxa 0 and 4 coalesce with large score 1 and with exact score 0
taxa 0 and 6 coalesce with large score 1 and with exact score 0
taxa 0 and 7 coalesce with large score 1 and with exact score 0
taxa 1 and 0 coalesce with large score 1 and with exact score 0
taxa 1 and 2 coalesce with large score 2 and with exact score 1
taxa 1 and 6 coalesce with large score 0 and with exact score 0
taxa 1 and 7 coalesce with large score 0 and with exact score 0
taxa 2 and 0 coalesce with large score 2 and with exact score 2
taxa 2 and 3 coalesce with large score 0 and with exact score 0
taxa 2 and 5 coalesce with large score 0 and with exact score 0
taxa 2 and 6 coalesce with large score 0 and with exact score 0
taxa 2 and 7 coalesce with large score 0 and with exact score 0
taxa 3 and 0 coalesce with large score 2 and with exact score 0
taxa 3 and 1 coalesce with large score 0 and with exact score 0
taxa 3 and 2 coalesce with large score 0 and with exact score 0
taxa 3 and 4 coalesce with large score 2 and with exact score 1
taxa 3 and 6 coalesce with large score 1 and with exact score 0
```

```
taxa 4 and 0 coalesce with large score 1 and with exact score 0
taxa 4 and 1 coalesce with large score 1 and with exact score 0
taxa 4 and 2 coalesce with large score 1 and with exact score 0
taxa 4 and 3 coalesce with large score 2 and with exact score 1
taxa 4 and 7 coalesce with large score 0 and with exact score 0
taxa 5 and 0 coalesce with large score 2 and with exact score 0
taxa 5 and 1 coalesce with large score 0 and with exact score 0
taxa 5 and 2 coalesce with large score 0 and with exact score 0
taxa 5 and 4 coalesce with large score 2 and with exact score 1
taxa 5 and 6 coalesce with large score 1 and with exact score 0
taxa 6 and 2 coalesce with large score 0 and with exact score 0
taxa 6 and 3 coalesce with large score 1 and with exact score 0
taxa 6 and 4 coalesce with large score 0 and with exact score 0
taxa 6 and 5 coalesce with large score 1 and with exact score 0
taxa 6 and 7 coalesce with large score 2 and with exact score 1
taxa 7 and 0 coalesce with large score 1 and with exact score 0
taxa 7 and 2 coalesce with large score 0 and with exact score 0
taxa 7 and 3 coalesce with large score 1 and with exact score 0
taxa 7 and 4 coalesce with large score 0 and with exact score 0
taxa 7 and 5 coalesce with large score 1 and with exact score 0
taxa 7 and 6 coalesce with large score 2 and with exact score 1
```

**7.2.10.** Choose. The method .choose takes a list of lists of triples (r,1,e) where 1 and e are non-negative integers and returns a list of lists whose *i*-th list is the list of those elements r of the *i*-th internal list of the input list for which the associated pairs (1,e) are equal to the greatest local maxima of the function  $\Gamma: (e,1) \mapsto (1,e)$  ordered by the lexicographical order and relative to the pairs of the *i*-th internal list of the input list (see the example below and [4, Definition 3.14]).

```
>>> k1 = [[("a",1,0),("b",2,0),("c",1,1),("d",3,3)]]
>>> choose = pgy.choose(k1)
>>> print(choose)
[['d']]
>>> k2 = [[("a",1,0),("b",2,0),("c",1,1),("d",3,3),("e",3,3)]]
>>> choose = pgy.choose(k2)
>>> print(choose)
[['d', 'e']]
>>> k3 = [[("a",1,0),("b",2,0),("c",8,1),("d",3,3),("e",3,3)]]
>>> choose = pgy.choose(k3)
>>> print(choose)
[['c']]
>>> k4 = [[("a",1,0),("b",9,0),("c",8,1),("d",3,3),("e",3,3)]]
>>> choose = pgy.choose(k4)
>>> print(choose)
[['b']]
>>> k = k1 + k2 + k3 + k4
>>> choose = pgy.choose(k)
>>> print(choose)
[['d'], ['d', 'e'], ['c'], ['b']]
```

The following example shows the type of output that one gets if one gives the list 1 of the example of section 7.2.9 to the method .choose.

**7.2.11.** Set up competition. The method .set\_up\_competition takes a list of lists of integers whose length must be equal to the length of self.phylogeneses (i.e. the number of taxa of the phylogeny) and returns a list of lists of integers whose length is also equal to the length of self.phylogeneses and whose t-th internal list is the union of the t-th list of self.coalescent() with the r-th list of self.coalescent() for every element r in the t-th internal list of the input list.

The following example shows the ouput of the method .set\_up\_competition when it is given the list choose constructed in the last example of section 7.2.10.

```
>>> competition = pgy.set_up_competition(choose)
>>> for t in range(len(competition)):
>>> print("Next competing generation representing taxon " + str(t) + " is
    " + str(competition[t]))

Next competing generation representing taxon 0 is [0, 2, 3, 5, 7]

Next competing generation representing taxon 1 is [1, 2, 3, 4, 5]

Next competing generation representing taxon 2 is [0, 1, 2, 4, 5]

Next competing generation representing taxon 3 is [3, 4, 5, 6, 7]

Next competing generation representing taxon 4 is [3, 4, 5, 6, 7]

Next competing generation representing taxon 5 is [3, 4, 5, 6, 7]

Next competing generation representing taxon 6 is [0, 1, 6, 7]

Next competing generation representing taxon 7 is [0, 1, 6, 7]
```

## **Bibliography**

- [1] R. Tuyéras, (2017), Category theory for genetics, arXiv:1708.05255
- [2] R. Tuyéras, (2018), Category theory for genetics I: mutations and sequence alignments, arXiv:1805.07002
- [3] R. Tuyéras, (2018), Category theory for genetics II: genotype, phenotype and haplotype, arXiv:1805.07004
- [4] R. Tuyéras, (2018), Category theory for genetics III: natural selection, evolution and phylogeny.