

## NORMALIZATION :-

- ⇒ A large database defined as a single relation may result in data duplication. This repetition of data may result in :
- i) Making relation very large.
  - ii) It isn't easy to maintain and update data as it would involve searching many records in relation.
  - iii) Wastage and poor utilization of the disk space and resources.
  - iv) The likelihood of errors and inconsistencies.
- ⇒ So to handle these problems, we should analyze and decompose the relation with redundant data into smaller, simpler and well-structured relations that are satisfy desirable properties.
- ⇒ Normalization is a process of decomposing the relation into relation with fewer attributes.

## What is Normalization ? :-

- ⇒ Normalization is the process of organizing the data in the database.
- ⇒ Normalization is used to minimize the redundancy from a relation or set of relations.
- ⇒ It is also used to eliminate undesirable characteristics like Insertion, Updation and Deletion Anomalies.
- ⇒ Normalization divides the larger table into smaller and link them using relationships.
- ⇒ The normal form is used to reduce redundancy from the database model.

## DATA MODIFICATION ANOMALIES :-

### ► Insertion Anomaly -

Insertion Anomaly refers to when one cannot insert a new tuple into a relationship due to lack of data.

### ► Deletion Anomaly -

The delete anomaly refers to the situation where the deletion of data results in the unintended loss of some other important data.

### ► Updation Anomaly -

The update anomaly is when an update of a single data value requires multiple rows of data to be updated.

### Example:-

## Why Normalization is required ? :-

- ⇒ The main reason for normalizing the relations is removing the anomalies.
- ⇒ Failure to eliminate anomalies leads to data redundancy one can cause data integrity and other problems as the database grows.
- ⇒ Normalization consists of a series of guidelines that helps to guide you in creating a good database structure.

## # Types of Normal forms :-

- i) 1st
- ii) 2nd
- iii) 3rd
- iv) BCNF
- v) 4th
- vi) 5th

## # Advantages of Normalization :-

- ⇒ Normalization helps to minimize data redundancy.
- ⇒ Data consistency within the database.
- ⇒ Much more flexible database design.
- ⇒ Enforces the concept of relational integrity.

## # Disadvantages of Normalization :-

- ⇒ You cannot start building the database before knowing what the user needs.
- ⇒ The performance degrades when normalizing the relations to higher normal forms i.e. 4NF, 5NF.
- ⇒ It is very time consuming and difficult to normalize relation of a higher degree.
- ⇒ Careless decomposition may lead to a bad database design.

## FIRST NORMAL FORM :-

- ⇒ A relation will be in 1NF if it contains atomic values.
- ⇒ It states that an attribute of a table cannot hold multiple values. It must hold only single-valued attribute.
- ⇒ First Normal Form disallows the multi-valued attribute, composite attribute and their combinations.

⇒ Consider the following table :

Roll No	Name	Course
1	Aakash	C, DBMS
2	Ajit	Python
3	Ashok	PHP, Python

∴ It is not in 1st NF as it contains multiple values.

Option 1 :-

Roll No	Name	Course 1	Course 2
1	Aakash	C	DBMS
2	Ajit	Python	NULL
3	Ashok	PHP	Python

Option 2 -

RollNo	Name	Course
1	Aakash	C
1	Aakash	DBMS
2	Ajit	Python
3	Ashok	PHP
3	Ashok	Python

Solution -

Roll No	Name
1	Aakash
2	Ajit
3	Ashok

Roll No	Course
1	C
1	DBMS
2	Python
3	PHP
3	Python



## SECOND NORMAL FORM :-

- ⇒ In the 2NF, relational must be in 1NF.
- ⇒ In the second normal form, all non-key attributes are fully functional dependent on the candidate key.
- ⇒ There should not be any partial dependency.

### NOTE :-

When all the non-key attribute fully depend on candidate key then it is known full dependency else partial dependency.

Q R(ABCDEF)

$$FD: \{C \rightarrow F, E \rightarrow A, EC \rightarrow D, A \rightarrow B\}$$

$$(CE)^+ = CFAEBD$$

$$(ABCDEF)^+ = \{A, B, C, D, E, F\}$$

$$ABC\bar{F}E^+ = \{A, B, C, F, E, D\}$$

$$ABCE^+ = \{A, B, C, F, E, D\}$$

$$ACE^+ = \{A, B, C, F, E, D\}$$

$$CE^+ = \{A, B, F, AEB, D\}$$

$$\{C\} = \{F\}$$

$$\{E\} = \{A\}$$

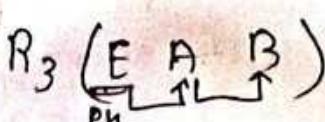
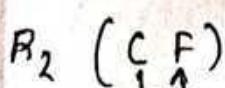
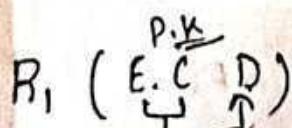
Candidate Key -  $\{CE\}$

Prime Attribute -  $\{C, E\}$

Non-Prime Attribute

$$- \{A, B, D\}$$

No, the table is not in 2nd Normal form



Q2 R(ABCDE)

FD:  $\{AB \rightarrow C, D \rightarrow E\}$

$ABCDEF^+ = \{A, B, C, D, E\}$

$ABCD^+ = \{A, B, C, D, E\}$

$ABD^+ = \{A, B, C, D, E\} \rightarrow CK$

$A = \Sigma A\}$

Prime =  $\{A, B, D\}$

$B = \Sigma D\}$

Non Prime =  $\{C, E\}$

$D = \{D\}$

~~it is not in~~ and normal form.

$R_1 \underbrace{(ABD)}_{PK}$

$R_2 \underbrace{(AB)}_{PK} \underbrace{(C)}_{NF}$

$R_3 \underbrace{(D)}_{PK} \underbrace{(E)}_{NF}$

Q3 R(ABCDE)

FD:  $\{A \xrightarrow{P.D.} B, B \rightarrow E, C \xrightarrow{P.D.} D\}$

$ABCDEF^+ = \{A, B, C, D, E\}$

$ACDEF^+ = \{A, B, C, D, E\}$

$AC^+ = \{A, B, E, C, D\} \rightarrow CK$

$\{A\}$

$\{B\}$

Prime Attribute  $\{A, C\}$

Non Prime Attribute  $\{B, D, E\}$

$R_1 (AC)$

No, if is not in and (NF).

$R_2 (A \xrightarrow{P.D.} B \xrightarrow{P.D.} E)$

$R_3 (C \rightarrow D)$



Q R(ABCDEFHIJ) AP.D.

FD:  $\{AB \xrightarrow{P.P.} C, AD \rightarrow GH, BD \rightarrow EF, A \rightarrow I, H \rightarrow J\}$

$ABCDEFHIJ^+ = \{A, B, C, D, E, F, G, H, I, J\}$

$\begin{cases} AD \rightarrow G, AD \rightarrow H \\ BD \rightarrow E, BD \rightarrow F \end{cases}$

$ABCDEFHIJ^+ = \{A, B, C, D, E, F, G, H, I, J\}$

Geo

$ABCDEFHIJ^+ = \{A, B, C, D, G, H, E, F, I, J\}$

$ABDEFHIJ^+ = \{A, B, C, D, E, F, G, H, I, J\}$

$ABDI^+ = \{A, B, C, D, E, F, G, H, I, J\} \rightarrow CK$

$\{A\} = \{I, A\}$

Prime  $\in A, B, D\}$

$\{B\} = \{B\}$

Non Prime  $\{C, E, F, G, H, I, J\}$

$\{D\} = \{D\}$

No, it is not in 2nd Normal Form

$R_1 (ABD)$

$R_2 (AB \overbrace{C})$

$R_3 (AD \overbrace{GH \overbrace{IJ}})$

$R_4 (A \rightarrow I)$

$R_5 (BD \rightarrow EF)$

$R_6 \cancel{G}$

Q R(ABCD)

FD:  $\{AB \rightarrow CD, C \rightarrow A, D \rightarrow B\}$

$ABCDEF^+ = \{A, B, C, D\}$

$AE^+ = \{A, B, C, D, E\}$

$A = \{A, B, D, C, E\}$

$E = \{E\}$

Q R(ABCDE)

FD:  $\{A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow E\}$

$ABCDEF^+ = \{A, B, C, D, E\}$

$ABCDEF^+ = \{A, B, C, D, E\}$

$R_1 (AE)$

$R_2 [A \rightarrow B \rightarrow G]$

$[E \leftarrow D]$

$R_3 \cancel{BCF}$



## THIRD NORMAL FORM :-

- = In 3NF, the table must be in 2NF and doesn't contain any transitive functional dependency.
- = 3NF is used to reduce the data duplication. It is also used to achieve the data integrity.
- = If there is no transitive dependency for non-prime attributes, then the relation must be in 3NF.

Q R(ABCD)

$$FD: \{AB \rightarrow C, C \rightarrow D\}$$

Candidate Key - AB

Prime Attribute - {A, B}

Non-Prime Attribute - {C, D}

$$ABD^+ = \{A, B, C, D\}$$

$$ABD^+ = \{A, B, C, D\}$$

$$AB^+ = \{A, B, C, D\}$$

$$\{AF\} = \{A\}$$

$$\{B\} = \{B\}$$

Not in 3NF.

R<sub>1</sub> (AB → C)

R<sub>2</sub> (~~AB~~ → C → D).

Q R(ABCDE)

$$FD: \{A \rightarrow B, B \rightarrow E, C \rightarrow D\}$$

Candidate Key = AC

Prime Attribute - {A, C}

Non-Prime Attribute - {B, D, E}

2NF

R<sub>1</sub> (AC)

R<sub>2</sub> (A → B → E)

R<sub>3</sub> (C → D)

$$ABCDE^+ = \{A, B, C, D, E\}$$

$$ABCDEF^+ = \{A, B, C, D, E\}$$

$$ABCDEF^+ = \{A, B, C, D, E\}$$

$$ABCDEF^+ = \{A, B, C, D, E\}$$

$$AC = \{A, B, C, D, E\}$$

$$AC = \{A, B, C, D, E\}$$

Not in 2nd NF.

Not in 3rd NF

3NF

R<sub>1</sub> (AC)

R<sub>21</sub> (A → B)

R<sub>22</sub> (B → E)

R<sub>3</sub> (C → D)



Q.  $R(ABCDEFHIJ)$

FD:  $\{AB \rightarrow C, A \rightarrow DE, B \rightarrow F, F \rightarrow GH, D \rightarrow IJ\}$

$ABCDEFH\cancel{IJ}^+ = \{A, B, C, D, E, F, G, H, I, J\}$

$\{A \rightarrow D, A \rightarrow E$   
 $F \rightarrow G, F \rightarrow H$   
 $D \rightarrow I, D \rightarrow J\}$

$ABCDEF\cancel{IJ}^+ = \{A, B, C, D, E, F, G, H, I, J\}$

$ABCDEF = \{A, B, C, D, E, F, G, H, I, J\}$

$ABCDEF = \{A, B, C, D, E, F, G, H, I, J\}$

Candidate Key = AB.

Prime Attribute =  $\{A, B\}$ .

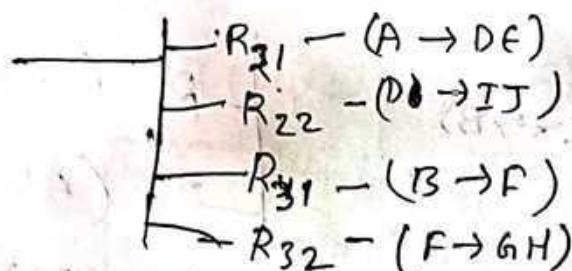
Non-Prime Attribute =  $\{C, D, E, F, G, H, I, J\}$ .

$R_1(AB) \rightsquigarrow$

$R_1(AB \rightarrow C)$

$R_2(A \rightarrow DE \rightarrow IJ)$

$R_3(B \rightarrow F \rightarrow GH)$



Q.  $R(ABCDE)$

FD:  $\{AB \rightarrow C, B \rightarrow D, D \rightarrow E\}$ .

Candidate key -

$ABCD\cancel{E}^+ = \{ABCD\}$  ?

A CK = CK.

## BCNF (Boyce Codd Normal Form) -

- = BCNF is the advance version of 3NF. ~~so~~ It is stricter than 3NF.
- = A table is in BCNF if every functional dependency  $X \rightarrow Y$ ,  $X$  is the super key of the table.
- = For BCNF, the table should be in 3NF and for every FD, LHS is super key/candidate key.

Q R(ABC)

FD:  $\{(AB) \rightarrow C, C \rightarrow B\}$

Candidate key  $\neq AB$

$$AB^{\neq} = \{A, B, C\}$$

$$A^+ = \{A\}$$

$$AB^+ = \{A, B, C\} \rightarrow CK$$

$$AC^+ = \{A, C, B\} \rightarrow CK$$

Prime Attribute  $\langle A, B, C \rangle$ .

~~Non-prime~~

~~R<sub>1</sub> (AB  $\rightarrow$  C  $\leftrightarrow$  B)~~

~~R<sub>2</sub> (C  $\rightarrow$  B)~~

~~R<sub>2</sub> (AB  $\rightarrow$  AB  $\rightarrow$  C)~~

~~R<sub>3</sub> (AC)~~

$\neg R_1 (C \rightarrow B)$

$\neg R_2 (AB)$

$\neg R_3 (AC)$

$R_1 (C \rightarrow B)$

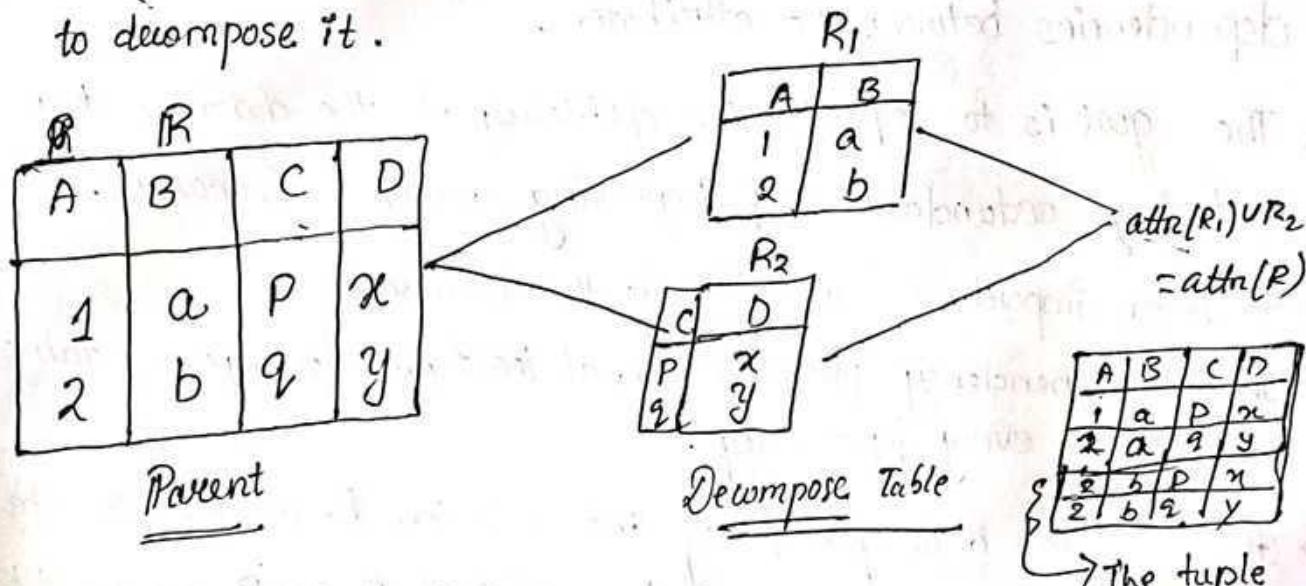
$R_2 (AB)$



## Decomposition :-

= The process of breaking up or dividing a single relation into two or more sub relations is called as decomposition of a relation

= Whenever the relation is not in proper Normal form we need to decompose it.



Rule 1 : Attribute of  $R_1 \cup R_2$  = Attribute of  $R$

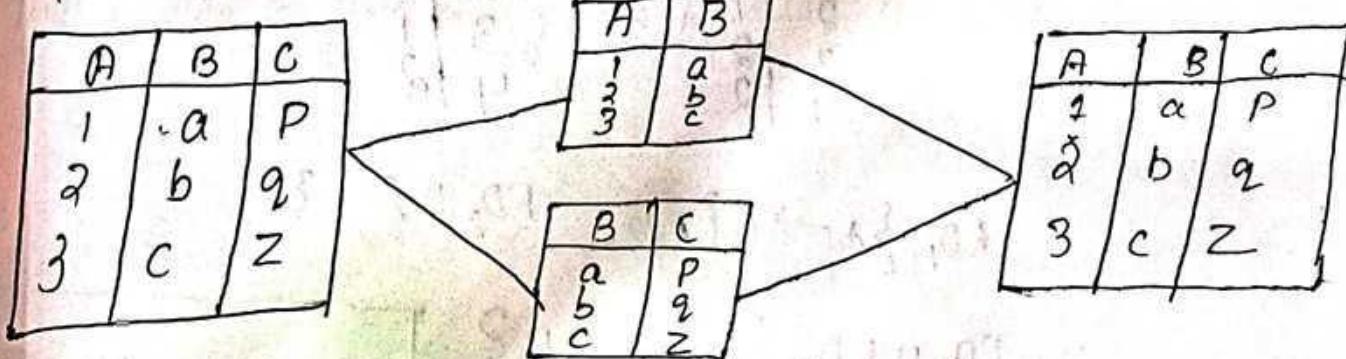
$$\text{i.e. } \text{attr}(R_1) \cup \text{attr}(R_2) = \text{attr}(R)$$

Rule 2 : Attribute of  $R_1 \cap$  Attribute of  $R_2 \neq \emptyset$

$$\text{i.e. } \text{attr}(R_1) \cap \text{attr}(R_2) \neq \emptyset$$

Rule 3 : Attribute of  $R_1 \cap$  Attribute of  $R_2 \rightarrow$  Attribute( $R_1$ )

Attribute ( $R_1$ )  $\cap$  Attribute ( $R_2$ )  $\rightarrow$  Attribute ( $R_2$ )



Hence, table is following lossless decomposition.

## Dependency Preserving :-

- ⇒ Dependency Preserving Decomposition is a technique used in Database Management System (DBMS) to decompose a relation into smaller relations while preserving the functional dependencies between the attributes.
- ⇒ The goal is to improve the efficiency of the database by reducing redundancy and improving query performance.
- ⇒ It is an important constraint of the database.
- ⇒ In the dependency preservation, at least one decomposed table must satisfy every dependency.
- ⇒ If a relation  $R$  is decomposed into relation  $R_1$  and  $R_2$ , then the dependencies of  $R$  either must be a part of  $R_1$  or  $R_2$  or must be derivable from the combination of functional dependencies of  $R_1$  and  $R_2$ .

$$R_1 \cup R_2 \equiv R \rightarrow \text{Rule.}$$

⇒ Example :-

$R$	$A$	$B$	$C$
1	1	1	1
2	1	1	2
3	2	1	1
4	2	2	2

$$\text{FD: } \{A \rightarrow BC, BC \rightarrow A\}$$
$$(A \rightarrow C, A \rightarrow B)$$

$R_1$	$A$	$B$
1	1	1
2	1	1
3	2	1
4	2	2

$R_2$	$A$	$C$
1	1	1
2	2	2
3	3	1
4	4	2

$$\text{FD}_1: \{A \rightarrow B\}$$

$$\text{FD}_2: \{ \}$$

$$\text{FD}_1 \cup \text{FD}_2 \{ A \rightarrow B \}$$

$$F_1 \cup F_2 \equiv F$$



Q.  $R(A, B, C, D, E)$

FD:  $\{A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow A\}$

$R_1(A, B, C)$

$R_2(C, D, E)$

FD<sub>n</sub>:  $\{ \}$

$A^+ = \{A, B, C, \emptyset\}$

$C^+ = \{C, D, \emptyset, B\}$

$B^+ = \{A, B, C, \emptyset, A\}$

$D^+ = \{D, A, \emptyset, C\}$

$C^+ = \{C, \emptyset, A, B\}$

$E^+ = \{E\}$

$AB^+ = \{A, B, C, \emptyset\}$

$CD^+ = \{C, D, \emptyset, B\}$

$AC^+ = \{A, C, B, \emptyset\}$

$CE^+ = \{C, D, A, \emptyset, E\}$

$BC^+ = \{B, C, \emptyset, A\}$

$DE^+ = \{D, A, \emptyset, C, E\}$

$ABC^+ = \{A, B, C, \emptyset, \emptyset\}$

$CDE^+ = \{C, D, E, \emptyset, \emptyset\}$

FD<sub>1</sub>:  $\{A \rightarrow BC, B \rightarrow AC, C \rightarrow AB, AB \xrightarrow{x} C, AC \xrightarrow{x} B,$

$BC \xrightarrow{x} A\}$

$\Rightarrow FD_1: \{A \rightarrow BC, B \rightarrow AC, C \rightarrow AB, AC \xrightarrow{x} B, BC \xrightarrow{x} A\}$

FD<sub>2</sub>:  $\{C \rightarrow D, D \rightarrow C, CE \rightarrow D, DE \xrightarrow{x} C\}$

$\Rightarrow FD_2: \{C \rightarrow D, D \rightarrow C, CE \rightarrow D\}$

G)  $\{A \rightarrow BC, B \rightarrow AC, C \rightarrow AB\}, CE \rightarrow D$

This relation is preserving the function dependency.

## Multivalued Dependency :-

⇒ It occurs in between two attributes, that they are independent of each other and dependent on the third attribute.

## FOURTH NORMAL FORM :-

⇒ A relation will be in 4NF if it's is in BCNF and has no multivalued dependency.

⇒ For a dependency  $A \rightarrow B$ , if for a single value of A multiple values of B exists, then the relation will be a multi-valued dependency.

Example :-

Std-ID	Course	Hobby
21	Computer	Dancing
21	Math	Singing
34	Chemistry	Dancing
74	Biology	Cricket
59	Physics	Hockey

Independent

Here Course and Hobby are independent but both depend on Std-ID.

Student-Course

Std-ID	Course
21	Computer
21	Math
34	Chemistry
74	Biology
59	Physics

Student-Hobby

Std-ID	Hobby
21	Dancing
21	Singing
34	Dancing
74	Cricket
59	Hockey

### # Join Dependency :-

⇒ If the join of  $R_1$  and  $R_2$  over C is equal to relation R, then we can say that a join dependency (JD) exists.

⇒ Where  $R_1$  and  $R_2$  are the decompositions  $R_1(A, B, C)$  and  $R_2(C, D)$  of a given relations  $R(A, B, C, D)$ .

⇒ Alternatively,  $R_1$  and  $R_2$  are a lossless decomposition of R.

⇒ A join dependency  $\bowtie \{R_1, R_2, R_3, \dots, R_n\}$  is said to hold over a relation R if  $R_1, R_2, \dots, R_n$  is a lossless join decomposition.

## FIFTH NORMAL FORM :-

- ⇒ A relation is in 5NF if it is in 4NF and no join dependency exists. i.e lossless decomposition should be there.
- ⇒ It can't be further non loss decomposed.
- ⇒ 5NF is satisfied when all the tables are broken into as many tables as possible in order to avoid redundancy.
- ⇒ 5NF is also known as Project-Join Normal form.

Example:

Agent	Company	Product
A <sub>1</sub>	PQR	Nut
A <sub>1</sub>	PQR	Bolt
A <sub>1</sub>	X Y Z	Nut
A <sub>1</sub>	X Y Z	Bolt
A <sub>2</sub>	PQR	Nut

Agent	Company
A <sub>1</sub>	PQR
A <sub>1</sub>	X Y Z
A <sub>2</sub>	PQR

Agent	Product
A <sub>1</sub>	Nut
A <sub>1</sub>	Bolt
A <sub>2</sub>	Nut

Company	Product
PQR	Nut
PQR	Bolt
X Y Z	Nut
X Y Z	Bolt