### COMP9414/9814/3411: Artificial Intelligence

# 4. Solving Problems by Searching

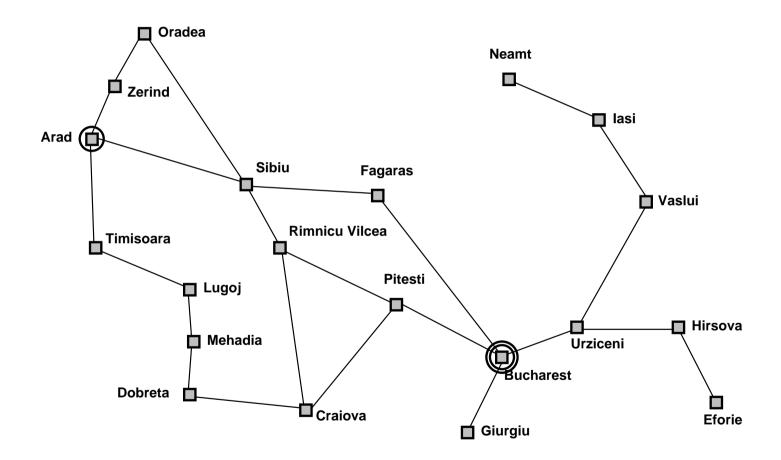
Russell & Norvig, Chapter 3.

**UNSW** 

#### **Motivation**

- Reactive and Model-Based Agents choose their actions based only on what they currently perceive, or have perceived in the recent past.
- a Planning Agent can use Search techniques to plan several steps ahead in order to achieve its goal(s).
- two classes of search strategies:
  - Uninformed search strategies can only distinguish goal states from non-goal states
  - ► Informed search strategies use heuristics to try to get "closer" to the goal

# **Romania Street Map**



### **Example: Romania**

On touring holiday in Romania; currently in Arad.

Flight leaves tomorrow from Bucharest; non-refundable ticket.

- Step 1 Formulate goal: be in Bucharest on time
- Step 2 Specify task:
  - states: various cities
  - operators or actions (= transitions between states): drive between cities
- Step 3 Find solution (= action sequences): sequence of cities, e.g. Arad, Sibiu, Fagaras, Bucharest
- Step 4 Execute: drive through all the cities given by the solution.

### Single-State Task Specification

A task is specified by states and actions:

- initial state e.g. "at Arad"
- state space e.g. other cities
- actions or operators (or successor function S(x)) e.g. Arad  $\rightarrow$  Zerind Arad  $\rightarrow$  Sibiu etc.
- goal test, check if a state is goal state explicit, e.g. x = "at Bucharest" implicit, e.g. NoDirt(x)
- **path** cost e.g. sum of distances, number of actions etc.
- $\blacksquare$  total cost = search cost + path cost = offline cost + online cost
- A solution is a state-action sequence (initial to goal state).

### **Choosing States and Actions**

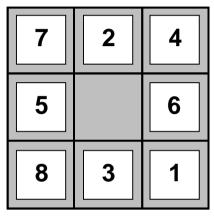
- Real world is absurdly complex
  - ⇒ state space must be abstracted for problem solving
- (abstract) state = set of real states
- (abstract) action = complex combination of real actions
  - e.g. "Arad → Zerind" represents a complex set of possible routes, detours, rest stops, etc.
  - ► for guaranteed realizability, any real state "in Arad" must get to some real state "in Zerind"
- (abstract) solution = set of real paths that are solutions in the real world

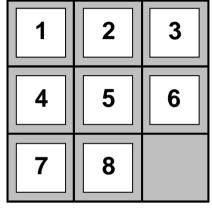
### **Example Problems**

- Toy problems: concise exact description
- Real world problems: don't have a single agreed desription

UNSW

#### The 8-Puzzle



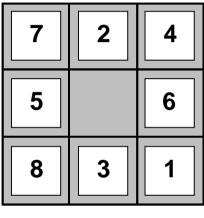


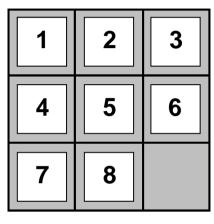
**Start State** 

**Goal State** 

- states: ?
- operators: ?
- goal test: ?
- path cost: ?

#### The 8-Puzzle



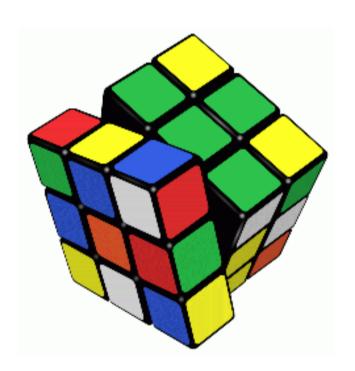


**Start State** 

**Goal State** 

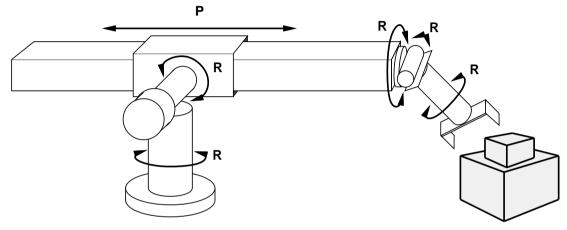
- states: integer locations of tiles (ignore intermediate positions)
- operators: move blank left, right, up, down (ignore unjamming etc.)
- goal test: = goal state (given)
- path cost: 1 per move

### Rubik's Cube



- states: ?
- operators: ?
- goal test: ?
- path cost: ?

# **Robotic Assembly**



- states: ?
- operators: ?
- goal test: ?
- path cost: ?

### **Path Search Algorithms**

Search: Finding state-action sequences that lead to desirable states. Search is a function

*solution* search(*task*)

Basic idea:

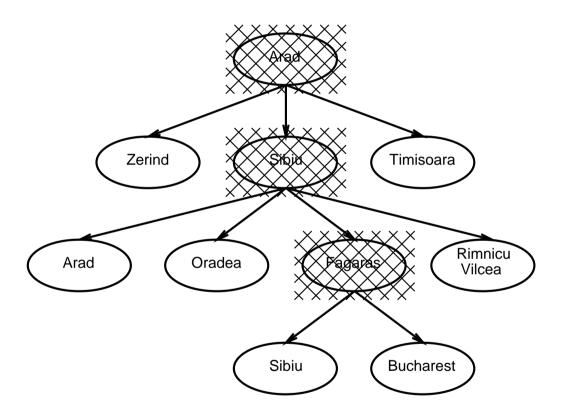
Offline, simulated exploration of state space by generating successors of already-explored states (i.e. "expanding" them)

UNSW (c) Alan Blair, 2013

### **Generating Action Sequences**

- Start with the initial state.
- Test if it is a goal state.
- Expand one of the states.
- If there are multiple possibilities you must make a choice.
- Procedure: choosing, testing and expanding until a solution is found or there are no more states to expand.
- Think of it as building up a search tree.

# **General Search Example**



#### **Search Tree**

- **Search** tree: superimposed over the state space.
- Root: search node corresponding to the initial state.
- Leaf nodes: correspond to states that have no successors in the tree because they were not expanded or generated no new nodes.
- state space is not the same as search tree
  - $\triangleright$  there are 20 states = 20 cities in the route finding example
  - but there are infinitely many paths!

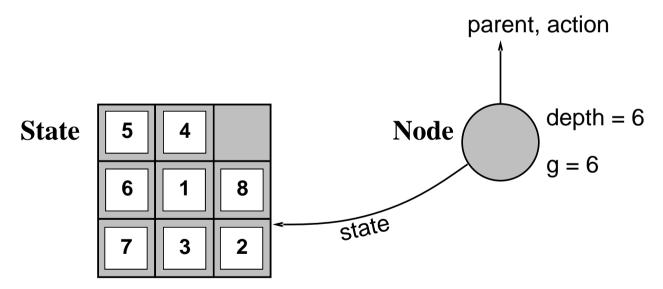
#### **Data Structures for a Node**

One possibility is to have a node data structure with five components:

- 1. Corresponding state
- 2. Parent node: the node which generated the current node.
- 3. Operator that was applied to generate the current node.
- 4. Depth: number of nodes from the root to the current node.
- 5. Path cost.

#### States vs. Nodes

a state is (a representation of) a physical configuration a node is a data structure constituting part of a search tree includes parent, children, depth, path  $\cos g(x)$  States do not have parents, children, depth, or path  $\cos t$ !



Note: two different nodes can contain the same state.

#### **Data Structures for Search Trees**

Frontier: collection of nodes waiting to be expanded

It can be implemented as a priority queue with the following operations:

- MAKE-QUEUE(ITEMS) creates queue with given items.
- Boolean EMPTY(QUEUE) returns TRUE if no items in queue.
- REMOVE-FRONT(QUEUE) removes the item at the front of the queue and returns it.
- QUEUEING-FN(ITEMS, QUEUE) inserts new items into the queue.

### **Search Strategies**

- A strategy is defined by picking the order of node expansion
- Strategies are evaluated along the following dimensions:
  - completeness does it always find a solution if one exists?
  - time complexity number of nodes generated/expanded
  - space complexity maximum number of nodes in memory
  - optimality does it always find a least-cost solution?
- Time and space complexity are measured in terms of
  - $\triangleright$  b maximum branching factor of the search tree
  - $\rightarrow$  d depth of the least-cost solution
  - $\rightarrow$  m maximum depth of the state space (may be  $\infty$ )

### **How Fast and How Much Memory?**

How to compare algorithms? Two approaches:

- 1. Benchmarking: run both algorithms on a computer and measure speed
- 2. Analysis of algorithms: mathematical analysis of the algorithm

**UNSW** 

## **Benchmarking**

- Run two algorithms on a computer and measure speed.
- Depends on implementation, compiler, computer, data, network ...
- Measuring time
- Processor cycles
- Counting operations
- Statistical comparison, confidence intervals

## **Analysis of Algorithms**

- $\blacksquare$  T(n) is  $\mathcal{O}(f(n))$  means  $\exists n_0, k : \forall n > n_0 \text{ T}(n) \leq kf(n)$ 
  - $\rightarrow$  n = input size
  - ightharpoonup T(n) = total number of step of the algorithm
- Independent of the implementation, compiler, ...
- Asymptotic analysis: For large n, an O(n) algorithm is better than an  $O(n^2)$  algorithm.
- O() abstracts over constant factors
  - e.g.  $T(100 \cdot n + 1000)$  is better than  $T(n^2 + 1)$  only for n > 110.
- O() notation is a good compromise between precision and ease of analysis.

## Uninformed search strategies

Uninformed (or "blind") search strategies use only the information available in the problem definition (can only distinguish a goal from a non-goal state):

- Breadth-First Search
- Uniform-Cost Search
- Depth-First Search
- Depth-Limited Search
- Iterative Deepening Search

Strategies are distinguished by the order in which the nodes are expanded.

**UNSW** 

## Informed search strategies

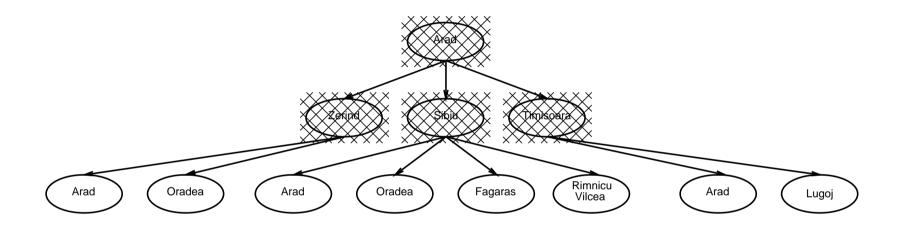
Informed (or "heuristic") search strategies use task-specific knowledge.

- Example of task-specific knowledge: distance between cities on the map.
- Informed search is more efficient than Uninformed search.
- Uninformed search systematically generates new states and tests them against the goal.

#### **Breadth-First Search**

- All nodes are expanded at a given depth in the tree before any nodes at the next level are expanded
- Expand root first, then all nodes generated by root, then All nodes generated by those nodes, etc..
- Expand shallowest unexpanded node
- implementation: QUEUEINGFN = put newly generated successors at end of queue
- Very systematic
- Finds the shallowest goal first

### **Breadth-First Search**

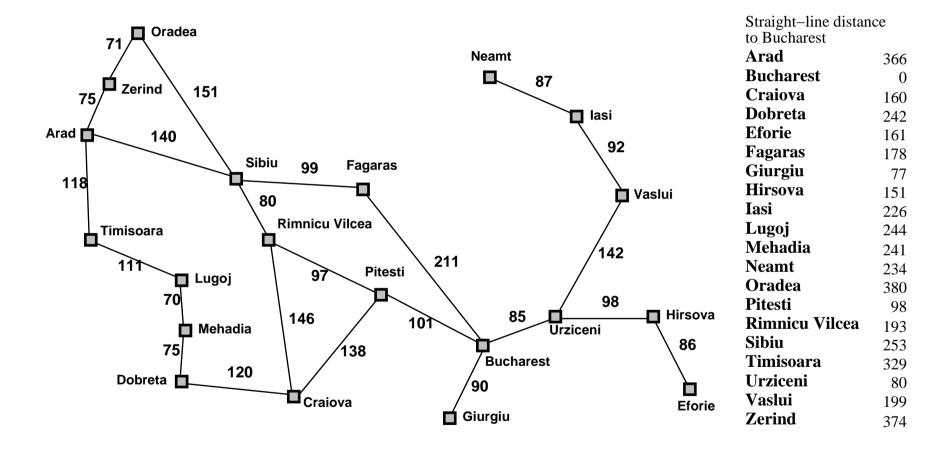


### **Properties of Breadth-First Search**

- Complete? Yes (if b is finite the shallowest goal is at a fixed depth d and will be found before any deeper nodes are expanded)
- Space:  $b + b^2 + b^3 + ... + b^d + (b^{d+1} b) = O(b^{(d+1)})$  (keeps every node in memory; expand all but the last node (goal) at level  $d \to b^{d+1} b$  nodes at level d + 1)
- **Time:**  $O(b^{(d+1)})$
- Optimal? Yes, but only if all actions have the same cost

Space is the big problem for Breadth-First Search; it grows exponentially with depth!

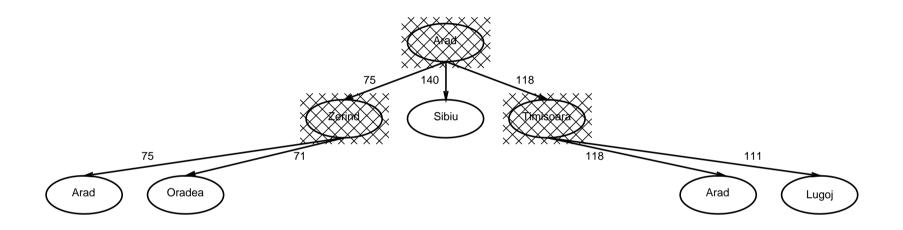
### Romania with step costs in km



#### **Uniform-Cost Search**

- Expand root first, then expand least-cost unexpanded node
- Implementation: QUEUEINGFN = insert nodes in order of increasing path cost.
- Reduces to breadth-first search when all actions have same cost
- Finds the cheapest goal provided path cost is monotonically increasing along each path (i.e. no negative-cost steps)

### **Uniform-Cost Search**



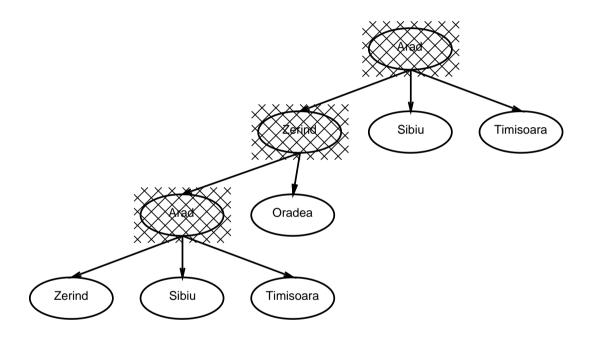
### **Properties of Uniform-Cost Search**

- Complete? Yes, if b is finite and step cost  $\geq \varepsilon$  with  $\varepsilon > 0$
- Time:  $O(b^{\lceil C^*/\epsilon \rceil})$  where  $C^* = \cos t$  of optimal solution, and assume every action costs at least  $\epsilon$
- Space:  $O(b^{\lceil C^*/\epsilon \rceil})$   $(b^{\lceil C^*/\epsilon \rceil} = b^d \text{ if all step costs are equal})$
- Optimal? Yes.

# **Depth-First search**

- Expands one of the nodes at the deepest level of the tree
- Implementation:
  - ► QUEUEINGFN = insert newly generated states at the front of the queue
  - can alternatively be implemented by recursive function calls

# **Depth-First Search**



### **Properties of Depth-First Search**

- Complete? No! fails in infinite-depth spaces, spaces with loops; modify to avoid repeated states along path ⇒ complete in finite spaces
- Time:  $O(b^m)$  (terrible if m is much larger than d but if solutions are dense, may be much faster than breadth-first)
- **Space**: O(bm), i.e. linear space!
- Optimal? No, can find suboptimal solutions first.

### **Depth-Limited Search**

Expands nodes like depth-first search but imposes a cutoff on the maximum depth of path.

- **Complete?** Yes (no infinite loops anymore)
- Time:  $O(b^l)$ , where l is the depth limit
- **Space**: O(bl), i.e. linear space similar to depth-first
- Optimal? No, can find suboptimal solutions first

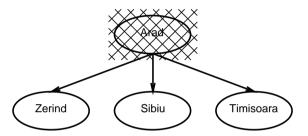
Problem: How to pick a good limit?

### **Iterative Deepening Search**

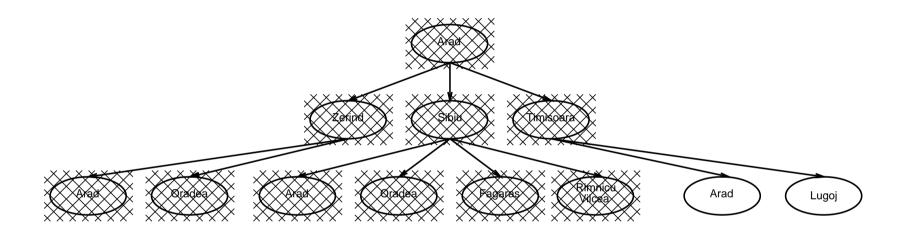
- Tries to combine the benefits of depth-first (low memory) and breadth-first (optimal and complete) by doing a series of depth-limited searches to depth 1, 2, 3, etc.
- Early states will be expanded multiple times, but that might not matter too much because most of the nodes are near the leaves.

UNSW (c) Alan Blair, 2013

# **Iterative Deepening Search**



# **Iterative Deepening Search**



## **Properties of Iterative Deepening Search**

- Complete? Yes.
- Time: nodes at the bottom level are expanded once, nodes at the next level twice, and so on:
  - depth-limited:  $1 + b^1 + b^2 + ... + b^{d-1} + b^d = O(b^d)$
  - ▶ iterative deepening:

$$(d+1)b^0 + db^1 + (d-1)b^2 + \dots + 2 \cdot b^{d-1} + 1 \cdot b^d = O(b^d)$$

## **Properties of Iterative Deepening Search**

- Complete? Yes.
- Time: nodes at the bottom level are expanded once, nodes at the next level twice, and so on:
  - ► depth-limited:  $1 + b^1 + b^2 + ... + b^{d-1} + b^d = O(b^d)$
  - iterative deepening:  $(d+1)b^0 + db^1 + (d-1)b^2 + ... + 2 \cdot b^{d-1} + 1 \cdot b^d = O(b^d)$
  - example b = 10, d = 5:
    - depth-limited: 1 + 10 + 100 + 1,000 + 10,000 + 100,000= 111,111
    - iterative-deepening: 6 + 50 + 400 + 3,000 + 20,000 + 100,000= 123,456
    - only about 11% more nodes (for b = 10).

# **Properties of Iterative Deepening Search**

- Complete? Yes
- $\blacksquare$  Time:  $O(b^d)$
- $\blacksquare$  Space: O(bd)
- Optimal? Yes, if step costs are identical.

#### **Bidirectional Search**

- Idea: Search both forward from the initial state and backward from the goal, and stop when the two searches meet in the middle.
- We need an efficient way to check if a new node already appears in the other half of the search. The complexity analysis assumes this can be done in constant time, using a Hash Table.
- Assume branching factor = b in both directions and that there is a solution at depth = d. Then bidirectional search finds a solution in  $O(2b^{d/2}) = O(b^{d/2})$  time steps.

#### **Bidirectional Search – Issues**

searching backwards means generating predecessors starting from the goal, which may be difficult

Search

- there can be several goals e.g. chekmate positions in chess
- space complexity:  $O(b^{d/2})$  because the nodes of at least one half must be kept in memory.

### **Summary**

- problem formulation usually requires abstracting away real-world details to define a state space that can feasibly be explored.
- variety of Uninformed search strategies
- Iterative Deepening Search uses only linear space and not much more time than other Uninformed algorithms.

### **Complexity Results for Uninformed Search**

	Breadth-	Uniform-	Depth-	Depth-	Iterative
Criterion	First	Cost	First	Limited	Deepening
Time	$O(b^{(d+1)})$	$\mathcal{O}(b^{\lceil C^*/\epsilon  ceil})$	$O(b^m)$	$O(b^l)$	$O(b^d)$
Space	$O(b^{(d+1)})$	$\mathcal{O}(b^{\lceil C^*/\epsilon  ceil})$	O(bm)	O(bl)	O(bd)
Complete?	Yes <sup>1</sup>	Yes <sup>2</sup>	No	No	Yes <sup>1</sup>
Optimal ?	Yes <sup>3</sup>	Yes	No	No	Yes <sup>3</sup>

b = branching factor, d = depth of the shallowest solution,

m = maximum depth of the search tree, l = depth limit.

1 =complete if b is finite.

 $2 = \text{complete if } b \text{ is finite and step costs} \ge \varepsilon \text{ with } \varepsilon > 0.$ 

3 =optimal if actions all have the same cost.