

# Translating Functional Programs to Java

Version 2

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This document formally defines:

1. the abstract syntax and static semantics (i.e. typing) of a first-order, non-polymorphic functional programming language with product, sum, restriction, and quotient types and with pattern matching for sum types, called *Fun*;
2. the abstract syntax of (a subset of) Java;
3. a translation from *Fun* programs to Java programs.

In the future, this formalization should be extended with static semantics of Java and dynamic semantics (i.e. execution) of *Fun* and Java, along with a proof that translating a *Fun* program yields a Java program that is equivalent, in some sense to be made precise, to the original *Fun* program.

## 1 The language *Fun*

### 1.1 Names

The definition of *Fun* is parameterized over a set of names

$$\mathcal{N}$$

This parameterization is not just for the sake of abstraction; it is exploited to factor the concrete name translation to Java.

### 1.2 Types

A *Fun* program has a finite set of user-defined types

$$Ty_U \subseteq_f \mathcal{N}$$

It also has built-in types

$$Ty_B = \{\text{Bool}, \text{Int}\}$$

for booleans and integers. The types of the program are<sup>1</sup>

$$Ty = Ty_U \uplus Ty_B$$

We define type products, sums, restrictions, and quotients as<sup>2</sup>

$$\begin{aligned} TyProd &= \{p_1 \ ty_1 \times \cdots \times p_n \ ty_n \mid \overline{ty} \in Ty^* \wedge \bar{p} \in \mathcal{N}^{(*)}\} \\ TySum &= \{c_1 \ \overline{ty}_1 + \cdots + c_n \ \overline{ty}_n \mid \overline{ty} \in (Ty^*)^+ \wedge \bar{c} \in \mathcal{N}^{(+)}\} \\ TyRestr &= \{ty|r \mid ty \in Ty \wedge r \in Op_U \wedge \tau(r) = ty \rightarrow \mathbf{Bool}\} \\ TyQuot &= \{ty/q \mid ty \in Ty \wedge q \in Op_U \wedge \tau(q) = ty, ty \rightarrow \mathbf{Bool}\} \end{aligned}$$

( $Op_U$  and  $\tau$  are defined below). A product consists of zero or more factors, each factor consisting of a projector  $p_i$  and a type  $ty_i$ ; projectors must be distinct. A sum consists of one or more summands, each summand consisting of a constructor  $c_i$  and zero or more argument types  $\overline{ty}_i$ ; constructors must be distinct. A restriction consists of a type and a unary predicate over that type. A quotient consists of a type and a binary predicate over that type, which should be an equivalence relation (however, we do not enforce this in our definition of *Fun*).

Each user-defined type has a definition that is a type product, sum, restriction, or quotient

$$\Delta : Ty_U \rightarrow TyProd \cup TySum \cup TyRestr \cup TyQuot$$

If  $\Delta(ty) \in TyProd$  (resp.  $TySum$ ,  $TyRestr$ ,  $TyQuot$ ),  $ty$  is called a product (resp. sum, restriction, quotient) type.

### 1.3 Operations

A *Fun* program has a finite set of user-defined op(eration)s

$$Op_U \subseteq_f \mathcal{N}$$

It also has built-in ops

$$\begin{aligned} Op_B &= \{\mathbf{true}, \mathbf{false}, \mathbf{not}, \mathbf{and}, \mathbf{or}\} \\ &\cup \{\iota \in \mathbf{Z} \mid -2^{31} \leq \iota < 2^{31}\} \\ &\cup \{\mathbf{minus}, +, -, *, /, \mathbf{mod}\} \\ &\cup \{<, \leq, >, \geq\} \end{aligned}$$

for boolean values and connectives, two's complement 32-bit integers, basic arithmetic of integers, and comparison of integers. Furthermore, projectors and constructors are lifted to ops

$$\begin{aligned} Op_P &= \uplus_{\Delta(ty)=(\prod_i p_i \ ty_i)} \bar{p} \\ Op_C &= \uplus_{\Delta(ty)=(\sum_i c_i \ \overline{ty}_i)} \bar{c} \end{aligned}$$

<sup>1</sup>**Notation.** The symbol  $\uplus$  denotes disjoint union.

<sup>2</sup>**Notation.** Given a set  $X$ :  $X^*$  is the set of all finite sequences of elements of  $X$ ;  $X^{(*)}$  is the set of all sequences in  $X^*$  whose elements are all distinct;  $X^+$  is the set of all non-empty sequences in  $X^*$ ; and  $X^{(+)}$  is the set of all sequences in  $X^+$  whose elements are all distinct.

Finally, each restriction (resp. quotient) type is accompanied by a restrictor and a relaxator (resp. a quotienter and a chooser) that map values between the restricted (resp. quotiented) type and the restriction (resp. quotient) type

$$\begin{aligned} Op_R &= \{\text{restr}_{ty} \mid \Delta(ty) \in TyRestr\} \\ &\cup \{\text{relax}_{ty} \mid \Delta(ty) \in TyRestr\} \\ Op_Q &= \{\text{quot}_{ty} \mid \Delta(ty) \in TyQuot\} \\ &\cup \{\text{choo}_{ty} \mid \Delta(ty) \in TyQuot\} \end{aligned}$$

The ops of the program are

$$Op = Op_U \uplus Op_B \uplus Op_P \uplus Op_C \uplus Op_R \uplus Op_Q$$

Each op has zero or more argument types and a result type

$$\tau : Op \rightarrow \{\overline{ty} \rightarrow ty \mid \overline{ty} \in Ty^* \wedge ty \in Ty\}$$

The built-in ops have types

$$\begin{aligned} \tau(\text{true}) &= \tau(\text{false}) = \text{Bool} \\ \tau(\text{not}) &= \text{Bool} \rightarrow \text{Bool} \\ \tau(\text{and}) &= \tau(\text{or}) = \text{Bool}, \text{Bool} \rightarrow \text{Bool} \\ \tau(\iota) &= \text{Int} \\ \tau(\text{minus}) &= \text{Int} \rightarrow \text{Int} \\ \tau(+) &= \tau(-) = \tau(*) = \tau(/) = \tau(\text{mod}) = \text{Int}, \text{Int} \rightarrow \text{Int} \\ \tau(<) &= \tau(\leq) = \tau(>) = \tau(\geq) = \text{Int}, \text{Int} \rightarrow \text{Bool} \end{aligned}$$

Projectors, constructors, restrictors, relaxators, quotienters, and choosers have types

$$\begin{aligned} ty &= \prod_i p_i \quad ty_i \Rightarrow \tau(p_i) = ty \rightarrow ty_i \\ ty &= \sum_i c_i \quad \overline{ty}_i \Rightarrow \tau(c_i) = \overline{ty}_i \rightarrow ty \\ \Delta(ty) &= ty_0 | r \Rightarrow \tau(\text{restr}_{ty}) = ty_0 \rightarrow ty \\ \Delta(ty) &= ty_0 | r \Rightarrow \tau(\text{relax}_{ty}) = ty \rightarrow ty_0 \\ \Delta(ty) &= ty_0 / q \Rightarrow \tau(\text{quot}_{ty}) = ty_0 \rightarrow ty \\ \Delta(ty) &= ty_0 / q \Rightarrow \tau(\text{choo}_{ty}) = ty \rightarrow ty_0 \end{aligned}$$

## 1.4 Terms

A variable is a name. For clarity, we introduce the synonym

$$V = \mathcal{N}$$

A context associates types to a finite number of variables

$$Cx = V \xrightarrow{f} Ty$$

The family  $\{T_{ty}^{cx}\}_{cx \in Cx, ty \in Ty}$  of sets of terms, indexed by contexts and types, is defined as<sup>3</sup>

$$\frac{cx(v) = ty}{v \in T_{ty}^{cx}} \quad (\text{variable})$$

$$\frac{\begin{array}{c} \tau(op) = \overline{ty} \rightarrow ty \\ \forall i. t_i \in T_{ty_i}^{cx} \end{array}}{op(\overline{t}) \in T_{ty}^{cx}} \quad (\text{application})$$

$$\frac{\begin{array}{c} \Delta(ty) = \prod_i p_i ty_i \\ \forall i. t_i \in T_{ty_i}^{cx} \end{array}}{\{p_1 \leftarrow t_1, \dots, p_n \leftarrow t_n\} \in T_{ty}^{cx}} \quad (\text{tuple})$$

$$\frac{t_1, t_2 \in T_{ty}^{cx}}{(t_1 = t_2) \in T_{\text{Bool}}^{cx}} \quad (\text{equality})$$

$$\frac{\begin{array}{c} t_0 \in T_{\text{Bool}}^{cx} \\ t_1, t_2 \in T_{ty}^{cx} \end{array}}{(\text{if } t_0 \ t_1 \ t_2) \in T_{ty}^{cx}} \quad (\text{conditional})$$

$$\frac{\begin{array}{c} v \in V \\ t_0 \in T_{ty_0}^{cx} \\ t \in T_{ty}^{cx[v \mapsto ty_0]} \end{array}}{(\text{let } v \leftarrow t_0 \text{ in } t) \in T_{ty}^{cx}} \quad (\text{let binding})$$

$$\frac{\begin{array}{c} \Delta(ty) = \sum_i c_i \overline{ty_i} \\ t \in T_{ty}^{cx} \\ \{i_1, \dots, i_p\} \subseteq \{1, \dots, n\} \\ \forall i. t_i \in T_{ty_0}^{cx[\overline{v_i} \mapsto \overline{ty_i}]} \end{array}}{(\text{case } t \ \{c_{i_1}(\overline{v_{i_1}}) \rightarrow t_{i_1}, \dots, c_{i_p}(\overline{v_{i_p}}) \rightarrow t_{i_p}\}) \in T_{ty_0}^{cx}} \quad (\text{pattern matching})$$

The set of all terms is  $T = \bigcup_{cx \in Cx, ty \in Ty} T_{ty}^{cx}$ .

Applications include constant terms (when  $\overline{ty} = \epsilon$ , i.e. the empty sequence). **let** and **case** introduce new variables into the contexts of some of their subterms; the newly introduced variables may shadow variables from the outer context. A **case** may have branches for only a subset of the sum type's summands.

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<sup>3</sup>**Notation.** If  $f$  is a function,  $f[x \mapsto y]$  is the function  $f'$  with domain  $\mathcal{D}(f') = \mathcal{D}(f) \cup \{x\}$  such that  $f'(x) = y$  and  $f'(x') = f(x')$  for all  $x' \neq x$ ; either  $x \in \mathcal{D}(f)$  (in which case the value of the function at  $x$  is overridden to be  $y$ ) or  $x \notin \mathcal{D}(f)$  (in which case the function is extended to have value  $y$  at  $x$ ).

The function<sup>4</sup>  $FV : T \rightarrow \mathcal{P}_\omega(V)$  collects the free variables of a term

$$\begin{aligned}
FV(v) &= \{v\} \\
FV(op(\bar{t})) &= FV(\{p_i \leftarrow t_i\}_i) = \bigcup_i FV(t_i) \\
FV(t_1 = t_2) &= FV(t_1) \cup FV(t_2) \\
FV(\mathbf{if} \ t_0 \ t_1 \ t_2) &= FV(t_0) \cup FV(t_1) \cup FV(t_2) \\
FV(\mathbf{let} \ v \leftarrow t_0 \ \mathbf{in} \ t) &= FV(t_0) \cup (FV(t) - \{v\}) \\
FV(\mathbf{case} \ t \ \{c_i(\bar{v}_i) \rightarrow t_i\}_i) &= FV(t) \cup \bigcup_i (FV(t_i) - \bar{v}_i)
\end{aligned}$$

We define the substitution of the free occurrences of a variable  $v$  with a variable  $v'$  in a term as

$$\begin{aligned}
v[v'/v] &= v' \\
w \neq v &\Rightarrow w[v'/v] = w \\
op(\bar{t})[v'/v] &= op(\bar{t}[v'/v]) \\
\{p_i \leftarrow t_i\}_i[v'/v] &= \{p_i \leftarrow t_i[v'/v]\}_i \\
(t_1 = t_2)[v'/v] &= (t_1[v'/v] = t_2[v'/v]) \\
(\mathbf{if} \ t_0 \ t_1 \ t_2)[v'/v] &= (\mathbf{if} \ t_0[v'/v] \ t_1[v'/v] \ t_2[v'/v]) \\
(\mathbf{let} \ v \leftarrow t_0 \ \mathbf{in} \ t)[v'/v] &= (\mathbf{let} \ v \leftarrow t_0[v'/v] \ \mathbf{in} \ t) \\
w \neq v &\Rightarrow (\mathbf{let} \ w \leftarrow t_0 \ \mathbf{in} \ t)[v'/v] = (\mathbf{let} \ w \leftarrow t_0[v'/v] \ \mathbf{in} \ t[v'/v]) \\
\forall i. \ t'_i &= \begin{cases} t_i[v'/v] & \text{if } v \notin \bar{v}_i \\ t_i & \text{otherwise} \end{cases} \Rightarrow \\
(\mathbf{case} \ t \ \{c_i(\bar{v}_i) \rightarrow t_i\}_i)[v'/v] &= (\mathbf{case} \ t[v'/v] \ \{c_i(\bar{v}_i) \rightarrow t'_i\}_i)
\end{aligned}$$

Care must be exercised, when doing substitutions, to prevent variable overload-  
ing (e.g.  $(\mathbf{if} \ v \ 0 \ v')[v'/v]$ ) and capture (e.g.  $(\mathbf{let} \ v' \leftarrow t \ \mathbf{in} \ v)[v'/v]$ ).

## 1.5 Op definitions

A user-defined op has (formal) parameters that are distinct variables

$$\pi : Op_U \rightarrow V^{(*)}$$

A user-defined op also has a restriction term

$$\rho : Op_U \rightarrow T$$

such that

$$\begin{aligned}
\tau(op) = \overline{ty} \rightarrow ty &\Rightarrow \rho(op) \in T_{\mathbf{Bool}}^{\{\pi(op) \mapsto \overline{ty}\}} \\
&\wedge \mathbf{let}, \mathbf{case} \text{ do not occur in } \rho(op) \\
&\wedge (\overline{ty} = \epsilon \Rightarrow \rho(op) = \mathbf{true})
\end{aligned}$$

i.e. the term has type **Bool** in the context that associates the op's argument types to the op's parameters, it does not contain **let** or **case**, and it is **true** if the op is a constant. The term constitutes a predicate on the op's parameters that implicitly defines a restriction type of the product of the op's argument

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<sup>4</sup>**Notation.** Given a set  $X$ ,  $\mathcal{P}_\omega(X) = \{\tilde{x} \mid \tilde{x} \subseteq_f X\}$ , i.e. the set of all finite subsets of  $X$ .

types: the op is only defined on this implicit restriction type. In other words,  $\rho$  captures the domain of ops that are not defined over all the tuples of the product of the argument types but only over some of them, as is common. The case where an op is defined over all the tuples is covered by  $\rho(op) = \text{true}$ . For constants, it would be rather silly to have a restriction term different from **true**, which explains the requirement. The reason to disallow **let** and **case** from appearing in a restriction term is to slightly simplify its translation to Java, as explained in Section 2; from the point of view of *Fun* alone, there is no reason why **let** and **case** should not appear in a restriction term.

A user-defined op also has a defining term

$$\delta : Op_U \rightarrow T$$

such that

$$\tau(op) = \overline{ty} \rightarrow ty \Rightarrow \delta(op) \in T_{ty}^{\{\pi(op) \mapsto \overline{ty}\}}$$

i.e. the defining term has the op's result type in the context that associates the op's argument types to the op's parameters.

## 1.6 Program

The program is the 7-tuple

$$\mathcal{P} = \langle Ty_U, \Delta, Op_U, \tau, \pi, \rho, \delta \rangle$$

# 2 The translation, informally

## 2.1 Types

The built-in types **Bool** and **Int** of *Fun* translate to the primitive types **boolean** and **int** of Java.

A product type translates to a class with an instance field for each factor. For example,  $\Delta(P) = a \text{ Int} \times b \text{ U}$  translates to

```
class P {
    int a;
    U b;
    ...
}
```

A sum type translates to an abstract class, accompanied by a non-abstract subclass for each summand; each subclass has an instance field for each argument of the corresponding constructor. Each instance of these subclasses carries a numeric tag that identifies its subclass; the tag is stored in an instance field of the abstract superclass, which also includes static fields that give names to the possible tag values for increased readability. For example,  $\Delta(S) = c (\text{Bool}, \text{U}) + d$  translates to

```

abstract class S {
    static int TAG_c = 1;
    static int TAG_d = 2;
    int tag;
    ...
}

class S_c extends S {
    boolean arg1;
    U arg2;
    ...
}

class S_d extends S {
    ...
}

```

This also works for recursive types, e.g.  $\Delta(\text{List}) = \text{nil} + \text{cons}(\text{Int}, \text{List})$  (lists of integers) naturally translates to

```

abstract class List {
    static int TAG_nil = 1;
    static int TAG_cons = 2;
    int tag;
    ...
}

class List_nil extends List {
    ...
}

class List_cons extends List {
    int arg1;
    List arg2;
    ...
}

```

A restriction type translates to a class that encapsulates a value of the restricted type in an instance field. For example,  $\Delta(R) = U|r$  translates to

```

class R {
    U relax;
    ...
}

```

While translating type restrictions to subclasses (e.g. `class R extends U`) may seem an elegant and viable approach, there are difficulties in restricting built-in types because they do not translate to classes but to primitive types and

there are difficulties in restricting sum types because their subclass structure may interfere with the one for the restriction (e.g. the restriction type of lists of length at most 3 should translate to a subclass of both `List_nil` and `List_cons`, but Java does not support multiple inheritance).

A quotient type translates to a class that encapsulates a value of the quotiented type (i.e. a member of the equivalence class that constitutes a quotient value) in an instance field. For example,  $\Delta(Q) = \text{Int}/q$  translates to

```
class Q {
    int choose;
    ...
}
```

## 2.2 Ops

The built-in ops of *Fun* translate to the obvious literals and operators of Java.

User-defined ops translate to fields if they are constants (i.e. they have no arguments), to methods otherwise.

If a user-defined op has a user-defined argument type, the op translates to an instance method of the class for that user-defined type; in the presence of multiple user-defined argument types, we choose the first (i.e. leftmost) one. The remaining arguments become the parameters of the method. For example,  $\tau(m) = \text{Int}, A, B \rightarrow \text{Bool}$  and  $\pi(m) = (i, a, b)$  translate to<sup>5</sup>

```
boolean A.m(int i, B b)
```

If the op is not a constant and all its argument types are built-in, it translates to a static method. If the op's result type is user-defined, the method is declared in the corresponding class, e.g.  $\tau(n) = \text{Int} \rightarrow A$  and  $\pi(n) = i$  translate to

```
static A A.n(int i)
```

If instead the op's result type is built-in, the method is declared in a class used as a receptacle of all methods and fields resulting from ops whose argument and result types are all built-in (i.e. primitive types in Java)

```
class Prim {
    ....
}
```

For example,  $\tau(o) = \text{Int} \rightarrow \text{Int}$  and  $\pi(o) = i$  translate to

```
static int Prim.o(int i)
```

If the op is a constant, it translates to a static field. If the constant's type is user-defined, the field is declared in the corresponding class, e.g.  $\tau(f) = A$  translates to

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<sup>5</sup>The dotted notation is not valid Java syntax; we use it just to concisely indicate in which classes methods and fields are declared.



```
static A A.f
```

If the constant's type is built-in, the field is declared in the special receptacle class mentioned above, e.g.  $\tau(g) = \text{Bool}$  translates to

```
static boolean Prim.g
```

Projectors translate to the fields of the corresponding product class, described earlier.

Constructors translate to static fields and methods declared in the corresponding sum class. The initializers of these fields and the bodies of these methods invoke Java constructors declared in the summand classes; these Java constructors have the same arguments as the corresponding *Fun* constructors and assign the arguments to the fields as well as the numeric tag. For example, we have

```
static S S.c(boolean arg1, U arg2) {
    return (new S_c(arg1,arg2));
}

static S S.d = new S_d();

S_c(boolean arg1, U arg2) {
    tag = S.TAG_c;
    this.arg1 = arg1;
    this.arg2 = arg2;
}

S_d() {
    tag = S.TAG_d;
}
```

Relaxators and choosers translate to the fields of the corresponding restriction and quotient classes, as indicated by the names of those fields. Restrictors and quotienters do not translate to any field or method; as explained below, their application translates to class instance creation expressions.

## 2.3 Terms

### 2.3.1 Variable

*Fun* variables normally translate to Java method parameters and local variables. An exception is for ops that translate to instance methods: the op's parameter whose (user-defined) type corresponds to the class in which the method is declared, translates to `this`. Two other exceptions are described later.

### 2.3.2 Application

The application of a built-in op translates to an expression involving the corresponding Java literal or operator. The application of a non-built-in op that

is not a restrictor or quotienter translates to an access to the corresponding field (if the op is a constant, projector, relaxator, or chooser) or to a call to the corresponding method (otherwise). The application of a restrictor (resp. quotienter) translates to a class instance creation expression of the corresponding restriction (resp. quotient) class.

For example,  $x + y$  translates to `x+y`,  $m(i, a, b)$  translates to `a.m(i,b)`,  $g$  translates to `Prim.g`,  $a(x)$  translates to `x.a`,  $c(\text{true}, y)$  translates to `S.c(true,y)`,  $\text{restr}_R(u)$  translates to `new R(u)`, and  $\text{quot}_Q(i)$  translates to `new Q(i)`.

### 2.3.3 Tuple

A tuple translates to a class instance creation expression of the corresponding product class, which has a constructor with one argument for each factor and which assigns the arguments to the fields. For example, we have

```
P(int a, U b) {
    this.a = a;
    this.b = b;
}
```

and the tuple  $\{a \leftarrow 2, b \leftarrow u\}$  translates to `new P(2,u)`.

### 2.3.4 Equality

An equality between terms with built-in type translates to a Java equality expression that uses the `==` operator.

An equality between terms with user-defined type translates to a call of the `equals` method of the corresponding class

```
boolean U.equals(U eqarg)
```

(This method does not override the `equals` method of class `Object`, because the latter has argument type `Object`.)

The `equals` method of a product class returns the conjunction of the equalities between all the components of the product, e.g.

```
boolean P.equals(P eqarg) {
    return (this.a == eqarg.a &&
            this.b.equals(eqarg.b));
}
```

For sum classes, we take advantage of Java's dynamic dispatch. We declare an abstract `equals` method in the abstract superclass. The implementing method in each subclass checks whether the argument has the same tag as the class to which the method belongs; if so, it compares all the fields. For example, we have

```
abstract boolean S.equals(S eqarg);
```

```

boolean S_c.equals(S eqarg) {
    if (eqarg.tag == S.TAG_c) {
        S_c eqargSub = (S_c) eqarg;
        return (this.arg1 == eqargSub.arg1 &&
                this.arg2.equals(eqargSub.arg2));
    } else return false;
}

boolean S_d.equals(S eqarg) {
    return (eqarg.tag == S.TAG_d);
}

```

The `equals` method of a restriction class compares its instance fields, e.g.

```

boolean R.equals(R eqarg) {
    return (this.relax.equals(eqarg.relax));
}

```

The `equals` method of a quotient class, as expected, invokes the method that is the translation of the binary relation (which should be an equivalence) that defines the quotient type, e.g.

```

boolean Q.equals(Q eqarg) {
    return (Prim.q(this.choose,eqarg.choose));
}

```

In this example, since  $\Delta(Q) = \text{Int}/q$ , i.e. the quotiented type is built-in, the binary relation `q` translates to a method in class `Prim`. If the quotiented type were user-defined, the binary relation would translate to the method for that op, which is declared in the class for the quotiented type.

### 2.3.5 Let binding

Unlike `let`, Java expressions cannot bind variables. For this reason, `let` translates to expressions preceded by assignment statements. For example, `let x ← 3 in x + x` translates to the expression `x+x` preceded by the statement `x=3;`.

So, in general, terms translate to expressions preceded by statements. The preceding statements for a term include the preceding statements for its sub-terms. For example, `(let x ← 2 in 3 * x) – (let y ← 4 in 8/y)` translates to `3*x-8/y` preceded by `x=2;y=4;`.

Some care is needed when different `let` terms bind the same variable. For instance, if `(let x ← 2 in 3 * x) – (let x ← 4 in 8/x)` (which is perfectly legal in *Fun*: the two bindings of `x` do not interfere with each other) translated to `3*x-8/x` preceded by `x=2;x=4;`, the resulting Java program would clearly give incorrect results. In this case, we must use two distinct Java variables (e.g. `x1` and `x2`) for the same *Fun* variable `x`, i.e. the term translates to `3*x1-8/x2` preceded by `x1=2;x2=4;`.

In certain cases, it is unnecessary to use different Java variables for the same *Fun* variable. For example, `let x ← 3 in let x ← x+1 in 2*x` can safely translate to `2*x` preceded by `x=3;x=x+1;` and `let x ← (let x ← 1 in x + 4) in 2 * x` can safely translate to `2*x` preceded by `x=1;x=x+4;`. This works because the two *Fun* variables have the same type; if `let x ← (let x ← true in (if x 1 2)) in x` translated to `x` preceded by `x=true;x=(x?1:2);` (translation of conditionals is explained below), the Java program would not even compile because `x` cannot have both type `int` and `boolean`.

Before translating the *Fun* program to Java, we suitably rename `let` variables to make them all distinct within each op's defining term; the renaming is such that the information about the original name is not lost. After translating the program to Java, we revisit the renamed variables and we restore their original names whenever possible (i.e. when the Java code will still compile and behave correctly after restoring the original names).

### 2.3.6 Conditional

If *Fun* terms simply translated to Java expressions, conditionals in *Fun* could be translated using Java's conditional operator `?:`. However, as just described, in general expressions are preceded by statements.

Thus, Java's `if-then-else` statement must be used. Since the result must be an expression, a local variable for the result is declared just before the `if-then-else`. This variable is assigned the resulting value at the end of each branch of the `if-then-else`. For example, `if (x = 6) (let y ← 2 in y*y) (let z ← 3 in minus z)` translates to `ifres` preceded by

```
int ifres;
if (x == 6) {
    y = 2;
    ifres = y*y;
} else {
    z = 3;
    ifres = -z;
}
```

If neither branch of a conditional requires preceding statements, Java's conditional operator `?:` is used. For example, `if (x < 4) (x + 3) 1` translates to `(x<4)?(x+3):1`. This makes the code more efficient and readable. If at least one branch requires preceding statements, even if the other branch does not, `if-then-else` must be used.

If the only use of the result variable is to be assigned to another variable, then the result variable is omitted and the other variable is assigned the result at the end of both branches of the `if-then-else`. For instance, instead of translating `let w ← if (x = 6) (let y ← 2 in y * y) (let z ← 3 in minus z) in w + 1` to the expression `w+1` preceded by

```
int ifres;
```

```

if (x == 6) {
    y = 2;
    ifres = y*y;
} else {
    z = 3;
    ifres = -z;
}
w = ifres;

```

we translate it to the expression `w+1` preceded by

```

if (x == 6) {
    y = 2;
    w = y*y;
} else {
    z = 3;
    w = -z;
}

```

This makes the code more readable and efficient. This applies to nested conditionals, e.g. `let z ← if b (if c (let x ← 1 in 3 * x) y) y/3 in z + 3` translates to `z+3` preceded by

```

if (b) {
    if (c) {
        x = 1;
        z = 3*x;
    } else {
        z = y;
    }
} else {
    z = y/3;
}

```

A similar omission of the result variable takes place when the only use of the variable is to be returned by a method or to be assigned to a static field (see below).

### 2.3.7 Pattern matching

In certain circumstances, pattern matching is realized via Java's dynamic dispatch, analogously to equality for sum classes.

This is best understood through an example. If  $\tau(\text{length}) = \text{List} \rightarrow \text{Int}$  and  $\pi(\text{length}) = \text{list}$ ,

$$\delta(\text{length}) = \text{case list } \{\text{nil} \rightarrow 0, \text{cons}(\text{head}, \text{tail}) \rightarrow 1 + \text{length}(\text{tail})\}$$

translates to

```

abstract int List.length();

int List_nil.length() {
    return 0;
}

int List_cons.length() {
    return (1 + this.arg2.length());
}

```

Since the type of `this.arg2` is `List`, the call `this.arg2.length()` is dynamically dispatched to the appropriate implementation of the abstract method. The variables `head` and `tail` bound by the second branch of the **case** translate to field accesses `this.arg1` and `this.arg2`; this is the second exception to the general translation of *Fun* variables to Java method parameters and local variables, mentioned earlier.

In other words, each branch of the **case** becomes a subclass method that implements the abstract superclass method.

If the **case** does not have a branch for every summand, the methods for the missing summands throw an error. This is exemplified later.

The realization of pattern matching via dynamic dispatch only works if the **case** is at the top level of the op's defining term and operates on the leftmost parameter with user-defined type. Otherwise, we use Java's **switch** on the numeric tag that identifies the summand. For example, if  $\tau(\text{fact}) = \text{List} \rightarrow \text{Int}$  and  $\pi(\text{fact}) = \text{list}$ ,

$$\delta(\text{fact}) = \text{let } x \leftarrow \text{length}(\text{list}) \text{ in } (\text{case list } \{\text{nil} \rightarrow 1, \text{cons}(\text{head}, \text{tail}) \rightarrow x * \text{fact}(\text{tail})\})$$

(which baroquely computes the factorial of the length of a list) translates to

```

int List.fact() {
    int x = this.length();
    switch (this.tag) {
        case List.TAG_nil:
            return 1;
        case List.TAG_cons:
            List_cons sub = (List_cons) this;
            return (x * sub.arg2.fact());
        default:
            throw (new Error());
    }
}

```

The variables `head` and `tail` bound by the second branch of the **case** translate to field accesses `sub.arg1` and `sub.arg2`, where `sub` is a variable that is assigned the value of `this` as a reference to an object of the subclass; this is the third

exception to the general translation of *Fun* variables to Java method parameters and local variables, mentioned earlier.

If a **case** has a branch for each of the summands, the default case of the **switch** is unreachable, unless external code erroneously constructs an object of a sum class with a numeric tag that does not correspond to any summand. Thus, the default case in the **switch** is useful to detect bugs in external code (in the future, more information about the offending object could be embedded into the thrown error). If a **case** does not have branches for some of its summands, the default case is the equivalent of the dynamically dispatched methods that throw an error, mentioned above.

Unless (the translation of) the result of a **case** is only used to be returned by a method (as in the last example) or to be assigned to a variable or static field, a local variable **caseres** for the result is declared just before the **switch** and every branch assigns the corresponding result to it, analogously to **if-then-else**.

If the target term of a **case** that translates to a **switch** is not a variable, then the expression that the term translates to would have to be recomputed inside the branches in order to cast the result to the **sub** variable. To avoid this, a local variable **target** is declared just before the **switch** and initialized with the expression that would be the **switch** target. For example, if  $\tau(f) = \text{List} \rightarrow \text{Int}$  and  $\pi(f) = \text{list}$ ,

$$\delta(f) = \text{case } g(\text{list}) \{ \text{nil} \rightarrow 0, \text{cons}(\text{head}, \text{tail}) \rightarrow \text{head} + f(\text{tail}) \}$$

translates to

```
int List.f() {
    List target = this.g();
    switch (target.tag) {
        case List.TAG_nil:
            return 0;
        case List.TAG_cons:
            List_cons sub = (List_cons) target;
            return (sub.arg1 + sub.arg2.f());
        default:
            throw (new Error());
    }
}
```

Instead of using **switch**, all pattern matching could be lifted to the top level within *Fun*, introducing suitable auxiliary ops that are called where the **case** terms originally are. After this transformation, pattern matching could be uniformly realized by dynamic dispatch. That is the approach followed in Version 1 of this document. However, experiments suggest that the methods derived from the auxiliary ops make the code less readable. For this reason, we realize pattern matching by dynamic dispatch only if the **case** is already at the top level; otherwise, we use **switch** without introducing auxiliary ops/methods.

## 2.4 Restriction terms

A restriction term translates to a Java assertion, placed at the beginning of the method that the op translates to (constants, which translate to fields, do not require an assertion because their restriction term is always **true**). The requirement that **let** and **case** do not occur in a restriction term ensures that the term translates to an expression without preceding statements; note that, without **let** and **case**, **if** always translates to an expression with **?:**. Since **assert** does not allow statements, in the absence of that requirement it would be necessary, in general, to encapsulate the translation of the restriction term in a method that is called by **assert**; for simplicity in the translation, we shift to the developer the burden to encapsulate the restriction predicate into an op, in case the predicate uses **let** or **case**.

For example, if  $\tau(\text{nth}) = \text{List, Int} \rightarrow \text{Int}$  and  $\pi(\text{nth}) = (\text{list}, n)$ ,

$$\rho(\text{nth}) = (0 \leq n) \text{ and } (n < \text{length}(\text{list}))$$

translates to

```
assert (0 <= n && n < this.length());
```

So, if

$$\delta(\text{nth}) = \text{case list } \{ \text{cons}(\text{head}, \text{tail}) \rightarrow \text{if } (n = 0) \text{ head } \text{nth}(\text{tail}, n - 1) \}$$

the op **nth** translates to the methods

```
abstract int List.nth(int n);

int List_nil.nth(int n) {
    throw (new Error());
}

int List_cons.nth(int n) {
    assert (0 <= n && n < this.length());
    if (n == 0) {
        return this.arg1;
    } else {
        return this.arg2.nth(n-1);
    }
}
```

There is no need to put **assert** in the method in **List\_nil** because the method should never be invoked in a correct program and if it is erroneously invoked it throws an error anyhow.

In correct programs, assertions are always satisfied. The static semantics of *Fun* does not include conditions guaranteeing that programs are correct in this sense (this may be added in the future). Thus, even a Java program that results from the translation of a *Fun* program may end up violating some assertions.



Even if the *Fun* programs were correct, external code may invoke methods with arguments that violate the assertions; in this case, assertions may be useful to detect bugs in external code. Similar remarks apply to the errors thrown by code resulting from the translation of a **case** that does not have branches for some of the summands.

## 2.5 Defining terms

The defining term of a non-constant op translates to the body of the corresponding method(s), as in the various examples given above. Given that the term translates to an expression preceded by zero or more statements, the body of the method consists of the preceding statements followed by a **return** of the expression. For example, if  $\tau(r) = P, \text{Int} \rightarrow \text{Int}$  and  $\pi(r) = (x, i)$ ,

$$\delta(r) = \text{let } z \leftarrow a(x) + i \text{ in } 2 * z$$

translates to

```
int P.r(int i) {
    int z = this.a + i;
    return (2 * z);
}
```

It is assumed that  $\rho(r) = \text{true}$ , so the **assert** is omitted because it would be pointless.

When the defining term of an op is an **if**, **if-then-else** is preferred over **?:**, e.g.

```
static int Prim.r2(int i) {
    int j = i - 1;
    if (j < 0) {
        return (-j);
    } else {
        return j;
    }
}
```

is produced instead of

```
static int Prim.r2(int i) {
    int j = i - 1;
    return ((j < 0) ? (-j) : j);
}
```

The reason is that the first form tends to be more readable when the expressions are longer.

The defining term of a constant translates to an initializer of the corresponding field or to a static initializer of the class where the field is declared. Given that the term translates to an expression preceded by zero or more statements,

there are two cases. If there are no preceding statements, the expression becomes the field initializer. If there are preceding statements, the class where the field is declared includes a static initializer (which is a Java block) consisting of the statements followed by an assignment of the expression to the field. For example,

$$\delta(s) = 7$$

translates to

```
static int Prim.s = 7;
```

while

$$\delta(t) = \text{let } i \leftarrow s + 2 \text{ in } 3 * i$$

translates to

```
static {
    int i = Prim.s + 2;
    Prim.t = 3 * i;
}
```

For static initializers, analogously to methods, **if-then-else** is preferred over **?:**, e.g.

```
static {
    int h = Prim.s * 2;
    if (h < 0) {
        Prim.t2 = -h;
    } else {
        Prim.t2 = h;
    }
}
```

is produced instead of

```
static {
    int h = Prim.s * 2;
    Prim.t2 = ((h < 0) ? (-h) : h);
}
```

### 3 The subset of Java

#### 3.1 Names

Similarly to *Fun*, also the definition of (our formal model of this subset of) Java is parameterized over a set of names

$$\mathcal{N}$$

Despite the use of the same symbol  $\mathcal{N}$  used for *Fun*, the two language definitions have disjoint scopes in the semi-formal meta-theory. This remark applies to other symbols used below. When defining the translation from *Fun* to Java, the symbols will be properly disambiguated via decorations.

### 3.2 Classes

A Java program declares a finite set of classes

$$C \subseteq_f \mathcal{N}$$

Each class may extend another class, as captured by

$$ext : C \rightarrow C \uplus \{\text{none}\}$$

Recall that we are formalizing the abstract syntax of Java. So,  $ext$  is meant to capture explicit **extends** clauses, not the implicit **extends Object** clause. In other words,  $ext(c) = \text{none}$  does not mean that  $c$  has no superclass; it just means that its declaration has no explicit **extends** clause (i.e.  $c$  has **Object** as direct superclass).

Whether a class is declared abstract is captured by the predicate

$$abs_C \subseteq C$$

### 3.3 Types

We only consider two primitive types

$$PTy = \{\text{boolean}, \text{int}\}$$

Classes are the only reference types we consider (i.e. no interfaces or arrays). The types of the program are

$$Ty = PTy \uplus C$$

### 3.4 Fields

A Java program has a finite set of fields

$$Fld \subseteq_f \{c.f : ty \mid c \in C \wedge f \in \mathcal{N} \wedge ty \in Ty\}$$

Formally, a field consists of the class in which it is declared, its name and its type.

Whether a field is static is captured by the predicate

$$stc_F \subseteq Fld$$

### 3.5 Methods

A Java program has a finite set of methods

$$Mth \subseteq_f \{c.m : \overline{ty} \rightarrow ty \mid c \in C \wedge m \in \mathcal{N} \wedge \overline{ty} \in Ty^* \wedge ty \in Ty\}$$

Formally, a method consists of the class in which it is declared, its name, and its argument and result types; we do not model methods that return **void**.

Whether a method is static and/or abstract is captured by the predicates

$$stc_M \subseteq Mth \qquad abs_M \subseteq Mth$$

### 3.6 Constructors

A Java program has a finite set of constructors

$$Con \subseteq_f \{c:\overline{ty} \mid c \in C \wedge \overline{ty} \in Ty^*\}$$

Formally, a constructor consist of the class in which it is declared and its argument types.

### 3.7 Variables

Variables are defined as in *Fun*

$$V = \mathcal{N}$$

Variables capture Java's local variables and method/constructor parameters. While in Java there exist other kinds of variables, in this formalization we reserve the term only for the kinds just mentioned.

### 3.8 Expressions

The set  $E$  of expressions is defined as

$$\frac{v \in V}{v \in E} \quad (\text{variable})$$

$$\frac{}{\text{this} \in E} \quad (\text{self-reference})$$

$$\frac{}{\text{true}, \text{false} \in E} \quad (\text{boolean literal})$$

$$\frac{\begin{array}{c} \iota \in \mathbf{Z} \\ -2^{31} \leq \iota < 2^{31} \end{array}}{\iota \in E} \quad (\text{integer literal})$$

$$\frac{\begin{array}{c} e_1, e_2 \in E \\ \odot \in \{\&\&, ||\} \end{array}}{(e_1 \odot e_2) \in E} \quad (\text{binary logical})$$

$$\frac{e \in E}{(! e) \in E} \quad (\text{unary logical})$$

$$\frac{\begin{array}{c} e_1, e_2 \in E \\ \odot \in \{+, -, *, /, \%\} \end{array}}{(e_1 \odot e_2) \in E} \quad (\text{binary arithmetic})$$

$$\frac{e \in E}{(-e) \in E} \quad (\text{unary arithmetic})$$

$$\frac{e_1, e_2 \in E \quad \odot \in \{<, <=, >, >=\}}{(e_1 \odot e_2) \in E} \quad (\text{relational})$$

$$\frac{e_0, e_1, e_2 \in E}{(e_0 ? e_1 : e_2) \in E} \quad (\text{conditional})$$

$$\frac{e_1, e_2 \in E}{(e_1 == e_2) \in E} \quad (\text{equality})$$

$$\frac{c \in C \quad \bar{e} \in E^*}{(\text{new } c(\bar{e})) \in E} \quad (\text{class instance creation})$$

$$\frac{e \in E \quad f \in \mathcal{N}}{e.f \in E} \quad (\text{instance field access})$$

$$\frac{c \in C \quad f \in \mathcal{N}}{c.f \in E} \quad (\text{static field access})$$

$$\frac{e \in E \quad m \in \mathcal{N} \quad \bar{e} \in E^*}{e.m(\bar{e}) \in E} \quad (\text{instance method invocation})$$

$$\frac{c \in C \quad m \in \mathcal{N} \quad \bar{e} \in E^*}{c.m(\bar{e}) \in E} \quad (\text{static method invocation})$$

$$\frac{c \in C \quad e \in E}{((c) e) \in E} \quad (\text{cast})$$

The function  $FV : E \rightarrow \mathcal{P}_\omega(V)$  collects the (free) variables of an expression

$$\begin{aligned}
FV(v) &= \{v\} \\
FV(\mathbf{this}) &= FV(\mathbf{true}) = FV(\mathbf{false}) = \\
&FV(\iota) = FV(c.f) = \emptyset \\
FV(e_1 \odot e_2) &= FV(e_1 == e_2) = FV(e_1) \cup FV(e_2) \\
FV(! e) &= FV(-e) = FV(e.f) = FV((c) e) = FV(e) \\
FV(e_0 ? e_1 : e_2) &= FV(e_0) \cup FV(e_1) \cup FV(e_2) \\
FV(\mathbf{new} c(\bar{e})) &= FV(c.m(\bar{e})) = \bigcup_i FV(e_i) \\
FV(e.m(\bar{e})) &= FV(e) \cup \bigcup_i FV(e_i)
\end{aligned}$$

We define the substitution of the (free) occurrences of a variable  $v$  with a variable  $v'$  in an expression as

$$\begin{aligned}
v[v'/v] &= v' \\
w \neq v \Rightarrow w[v'/v] &= w \\
\mathbf{this}[v'/v] &= \mathbf{this} \\
\mathbf{true}[v'/v] &= \mathbf{true} \\
\mathbf{false}[v'/v] &= \mathbf{false} \\
\iota[v'/v] &= \iota \\
(e_1 \odot e_2)[v'/v] &= (e_1[v'/v] \odot e_2[v'/v]) \\
(! e)[v'/v] &= (! e[v'/v]) \\
(-e)[v'/v] &= (-e[v'/v]) \\
(e_0 ? e_1 : e_2)[v'/v] &= (e_0[v'/v] ? e_1[v'/v] : e_2[v'/v]) \\
(e_1 == e_2)[v'/v] &= (e_1[v'/v] == e_2[v'/v]) \\
(\mathbf{new} c(\bar{e}))[v'/v] &= (\mathbf{new} c(\bar{e}[v'/v])) \\
e.f[v'/v] &= e[v'/v].f \\
c.f[v'/v] &= c.f \\
e.m(\bar{e})[v'/v] &= e[v'/v].m(\bar{e}[v'/v]) \\
c.m(\bar{e})[v'/v] &= c.m(\bar{e}[v'/v]) \\
((c) e)[v'/v] &= ((c) e[v'/v])
\end{aligned}$$

### 3.9 Statements

The set  $S$  of statements is defined as

$$\frac{}{\mathbf{mts} \in S} \quad (\text{empty})$$

$$\frac{e \in E}{(\mathbf{return} e) \in S} \quad (\text{return})$$

$$\frac{\begin{array}{l} ty \in Ty \\ v \in V \end{array}}{(ty v) \in S} \quad (\text{local variable declaration})$$

$$\frac{ty \in Ty \quad v \in V \quad e \in E}{(ty \ v = e) \in S} \quad (\text{local variable declaration with initializer})$$

$$\frac{v \in V \quad e \in E}{(v = e) \in S} \quad (\text{local variable assignment})$$

$$\frac{e_0, e \in E \quad f \in \mathcal{N}}{(e_0.f = e) \in S} \quad (\text{instance field assignment})$$

$$\frac{c \in C \quad f \in \mathcal{N} \quad e \in E}{(c.f = e) \in S} \quad (\text{static field assignment})$$

$$\frac{e \in E \quad s_1, s_2 \in S}{(\text{if } (e) \ s_1 \ \text{else } s_2) \in S} \quad (\text{conditional})$$

$$\frac{e \in E \quad \bar{e} \in E^* \quad \bar{s} \in S^* \quad s_0 \in S}{(\text{switch}(e) \ \{e_1 \rightarrow s_1 \ \dots \ e_n \rightarrow s_n\} \ s_0) \in S} \quad (\text{switch})$$

$$\frac{e \in E}{(\text{assert } e) \in S} \quad (\text{assertion})$$

$$\frac{}{\text{throwerr} \in S} \quad (\text{error throwing})$$

$$\frac{s_1, s_2 \in S}{s_1; s_2 \in S} \quad (\text{sequential composition})$$

$$\frac{}{s; \text{mts} = \text{mts}; s = s} \quad (\text{identity})$$

$$\overline{(s_1; s_2); s_3 = s_1; (s_2; s_3)} \quad (\text{associativity})$$

The (syntactic) associativity and identity properties of statement composition allow us to omit parentheses and empty statements when statements are composed.

While in Java assignments are expressions, in this formalization we define them as statements for simplicity.

The abstract syntax of **switch** is terser than its concrete syntax, e.g. the keywords **case** and **default** ( $s_0$  is the default statement) are omitted. An important omission is an implicit **break** at the end of each case, which must appear in the concrete syntax. We do not explicitly capture Java's requirement that case expressions must be constant.

The abstract syntax statement **throwerr** captures the concrete syntax statement **throw (new Error());**. Since we only throw newly created instances of class **Error**, we leave the class instance creation expression implicit in the abstract syntax.

The function  $FV : S \rightarrow \mathcal{P}_\omega(V)$  collects the free variables of a statement

$$\begin{aligned} FV(\text{mts}) &= \emptyset \\ FV((\text{return } e); s) &= FV(e) \cup FV(s) \\ FV((\text{ty } v); s) &= FV(s) - \{v\} \\ FV((\text{ty } v = e); s) &= FV(e) \cup (FV(s) - \{v\}) \\ FV((v = e); s) &= \{v\} \cup FV(e) \cup FV(s) \\ FV((e_0.f = e); s) &= FV(e_0) \cup FV(e) \cup FV(s) \\ FV((c.f = e); s) &= FV(e) \cup FV(s) \\ FV((\text{if } (e) \text{ } s_1 \text{ else } s_2); s) &= FV(e) \cup FV(s_1) \cup FV(s_2) \cup FV(s) \\ FV((\text{switch}(e) \{e_i \rightarrow s_i\}_i \text{ } s_0); s) &= FV(e) \cup \bigcup_i FV(e_i) \cup \\ &\quad \bigcup_i FV(s_i) \cup FV(s) \\ FV((\text{assert } e); s) &= FV(e) \cup FV(s) \\ FV(\text{throwerr}; s) &= FV(s) \end{aligned}$$

We define the substitution of the free occurrences of a variable  $v$  with a



variable  $v'$  in a statement as

$$\begin{aligned}
& \mathbf{mts}[v'/v] = \mathbf{mts} \\
& ((\mathbf{return } e); s)[v'/v] = (\mathbf{return } e[v'/v]); s[v'/v] \\
& ((\mathbf{ty } v); s)[v'/v] = (\mathbf{ty } v); s \\
& w \neq v \Rightarrow ((\mathbf{ty } w); s)[v'/v] = (\mathbf{ty } w); s[v'/v] \\
& ((\mathbf{ty } v = e); s)[v'/v] = (\mathbf{ty } v = e[v'/v]); s \\
& w \neq v \Rightarrow ((\mathbf{ty } w = e); s)[v'/v] = (\mathbf{ty } w = e[v'/v]); s[v'/v] \\
& ((v = e); s)[v'/v] = (v' = e[v'/v]); s[v'/v] \\
& w \neq v \Rightarrow ((w = e); s)[v'/v] = (w = e[v'/v]); s[v'/v] \\
& ((e_0.f = e); s)[v'/v] = (e_0[v'/v].f = e[v'/v]); s[v'/v] \\
& ((c.f = e); s)[v'/v] = (c.f = e[v'/v]); s[v'/v] \\
& s_1[v'/v] = s'_1 \wedge s_2[v'/v] = s'_2 \Rightarrow \\
& ((\mathbf{if } (e) \ s_1 \ \mathbf{else } s_2); s)[v'/v] = (\mathbf{if } (e[v'/v]) \ s'_1 \ \mathbf{else } s'_2); s[v'/v] \\
& \quad \forall i. \ e_i[v'/v] = e'_i \wedge \\
& \quad \forall i. \ s_i[v'/v] = s'_i \wedge \\
& \quad e[v'/v] = e' \Rightarrow \\
& ((\mathbf{switch}(e) \ \{e_i \rightarrow s_i\}_i \ s_0); s)[v'/v] = (\mathbf{switch}(e') \ \{e'_i \rightarrow s'_i\}_i \ s'_0); s[v'/v] \\
& ((\mathbf{assert } e); s)[v'/v] = (\mathbf{assert } e[v'/v]); s[v'/v] \\
& (\mathbf{throwerr}; s)[v'/v] = \mathbf{throwerr}; s[v'/v]
\end{aligned}$$

### 3.10 Parameters

Each method and each constructor has (formal) parameters that are variables

$$param : Mth \cup Con \rightarrow V^*$$

### 3.11 Bodies

Each non-abstract method and each constructor has a body that is a statement

$$body : Mth \cup Con \xrightarrow{p} S$$

### 3.12 Static (field) initializers

Some static fields have initializers that are expressions

$$sfinit : Fld \xrightarrow{p} E$$

Each class has a finite set of static initializers that are statements

$$sinit : C \rightarrow \mathcal{P}_\omega(S)$$

### 3.13 Program

The program is the 13-tuple

$$\mathcal{P} = \langle C, ext, abs_C, Fld, stc_F, Mth, stc_M, abs_M, Con, param, body, sfinit, sinit \rangle$$

## 4 The translation, formally

The translation from *Fun* to Java consists of three phases. First, **let** variables are made distinct within each op's defining term. This phase takes place within *Fun*; its purpose is to make the program amenable to the next phase, namely the language translation to Java. Finally, variables in the Java program are restored to their original names whenever possible; this last phase takes place within Java.

### 4.1 Variable renaming

Consider an arbitrary *Fun* program

$$\mathcal{P} = \langle Ty_U, \Delta, Op_U, \tau, \pi, \rho, \delta \rangle$$

The result of variable renaming is the *Fun* program

$$\mathcal{P}' = \langle Ty_U, \Delta, Op_U, \tau, \pi, \rho, \delta' \rangle$$

defined as follows.

#### 4.1.1 Names

If  $\mathcal{P}$  uses names from  $\mathcal{N}$ ,  $\mathcal{P}'$  uses names from

$$\mathcal{N}' = \mathcal{N} \uplus \{v_k \mid v \in \mathcal{N} \wedge k \in \mathbf{N}_+\}$$

i.e. besides the names in  $\mathcal{N}$ ,  $\mathcal{P}'$  uses names obtained by tagging names in  $\mathcal{N}$  (which, as it turns out, will be variables) with positive naturals.

#### 4.1.2 Term transformation

The idea is very simple: we traverse each term carrying around the set of **let** variables encountered so far. When we find a **let** variable already in the set, we rename it by tagging its name with a numeric index and we also add the new name to the set.

For cosmetic reasons, we define this transformation via a 4-ary relation

$$\rightsquigarrow \subseteq T \times \mathcal{P}_\omega(\mathcal{N}') \times T' \times \mathcal{P}_\omega(\mathcal{N}')$$

that is functional; the relational form just makes the rules below more readable by having the transformation look like rewriting. The meaning of  $(t \tilde{v} \rightsquigarrow t' \tilde{v}')$  is that the result of transforming the term  $t$  when the currently used variables are  $\tilde{v}$ , is the term  $t'$  and that the variables used after that are  $\tilde{v}'$ . We use  $T$  and  $T'$  because while the first term belongs to  $\mathcal{P}$  (which uses the names in  $\mathcal{N}$ ), the second term belongs to  $\mathcal{P}'$  (which uses the names in  $\mathcal{N}'$ ).

The relation is defined as

$$\overline{v \tilde{v} \rightsquigarrow v \tilde{v}}$$

$$\frac{\forall i. t_i \tilde{v}_{i-1} \rightsquigarrow t'_i \tilde{v}_i}{op(\bar{t}) \tilde{v}_0 \rightsquigarrow op(\bar{t}') \tilde{v}_n}$$

$$\frac{\forall i. t_i \tilde{v}_{i-1} \rightsquigarrow t'_i \tilde{v}_i}{\{p_i \leftarrow t_i\}_i \tilde{v}_0 \rightsquigarrow \{p_i \leftarrow t'_i\}_i \tilde{v}_n}$$

$$\frac{\begin{array}{c} t_1 \tilde{v}_0 \rightsquigarrow t'_1 \tilde{v}_1 \\ t_2 \tilde{v}_1 \rightsquigarrow t'_2 \tilde{v}_2 \end{array}}{(t_1 = t_2) \tilde{v}_0 \rightsquigarrow (t'_1 = t'_2) \tilde{v}_2}$$

$$\frac{\begin{array}{c} t_0 \tilde{v} \rightsquigarrow t'_0 \tilde{v}_0 \\ t_1 \tilde{v}_0 \rightsquigarrow t'_1 \tilde{v}_1 \\ t_2 \tilde{v}_1 \rightsquigarrow t'_2 \tilde{v}_2 \end{array}}{(\mathbf{if} \ t_0 \ t_1 \ t_2) \tilde{v} \rightsquigarrow (\mathbf{if} \ t'_0 \ t'_1 \ t'_2) \tilde{v}_2}$$

$$\frac{\begin{array}{c} t_0 \tilde{v} \rightsquigarrow t'_0 \tilde{v}_0 \\ v \notin \tilde{v}_0 \\ t \tilde{v}_0 \cup \{v\} \rightsquigarrow t' \tilde{v}' \end{array}}{(\mathbf{let} \ v \leftarrow t_0 \ \mathbf{in} \ t) \tilde{v} \rightsquigarrow (\mathbf{let} \ v \leftarrow t'_0 \ \mathbf{in} \ t') \tilde{v}'}$$

$$\frac{\begin{array}{c} t_0 \tilde{v} \rightsquigarrow t'_0 \tilde{v}_0 \\ v \in \tilde{v}_0 \\ k = \min\{k \in \mathbf{N}_+ \mid v_k \notin \tilde{v}_0\} \\ t[v_k/v] \tilde{v}_0 \cup \{v_k\} \rightsquigarrow t' \tilde{v}' \end{array}}{(\mathbf{let} \ v \leftarrow t_0 \ \mathbf{in} \ t) \tilde{v} \rightsquigarrow (\mathbf{let} \ v_k \leftarrow t'_0 \ \mathbf{in} \ t') \tilde{v}'}$$

$$\frac{\begin{array}{c} t \tilde{v} \rightsquigarrow t' \tilde{v}_0 \\ \forall i. t_i \tilde{v}_{i-1} \rightsquigarrow t'_i \tilde{v}_i \end{array}}{(\mathbf{case} \ t \ \{c_i(\bar{v}_i) \rightarrow t_i\}_i) \tilde{v} \rightsquigarrow (\mathbf{case} \ t' \ \{c_i(\bar{v}_i) \rightarrow t'_i\}_i) \tilde{v}_n}$$

It is easy to see that if  $(t \tilde{v} \rightsquigarrow t' \tilde{v}')$  then  $FV(t) = FV(t')$ .

Most rules are straightforward: subterms are recursively transformed and used variables are threaded through.

The interesting rules are those for **let**. When **let** is encountered, the subterm  $t_0$  is first transformed. Then, there are two cases. If the bound variable has not been used yet, the variable is added to the set of used variables and the subterm  $t$  of the **let** is transformed. If instead the variable has been used, a minimal index is added to it to make it distinct from the variables used so far. The term resulting from substituting the variable in the subterm  $t$  is then transformed. The variable substitution does not cause variable overloading or capture because

the new variable is in  $\mathcal{N}' - \mathcal{N}$  and thus it does not occur in the term where the variable is substituted, whose variables are all in  $\mathcal{N}$ .

When transforming **case**, it is unnecessary to add the names of the variables bound in the branches to the set of used variables, because variables bound by **case** always translate to field accesses in Java. Thus, variables bound by **case** do not interfere with **let** variables.

This transformation leaves **let** variables unchanged if they are already distinct.

#### 4.1.3 Transformed program

The only program component that changes is the ops' defining terms

$$(\delta(op) \ \bar{v} \rightsquigarrow t \ \tilde{v}) \Rightarrow \delta'(op) = t$$

The set of used variables is initialized with the parameters, because Java local variables and method parameters share the same name space.

## 4.2 Language translation

Consider a *Fun* program

$$\mathcal{P} = \langle Ty_U, \Delta, Op_U, \tau, \pi, \rho, \delta \rangle$$

such that **let** variables are distinct within each op's defining term, as resulting from the previous translation phase.

The result of language translation is the Java program

$$\mathcal{P}' = \langle C, ext, abs_C, Fld, stc_F, Mth, stc_M, abs_M, Con, param, body, sfinit, sinit \rangle$$

defined as follows.

#### 4.2.1 Names

If  $\mathcal{P}$  uses names from  $\mathcal{N}$ ,  $\mathcal{P}'$  uses names from

$$\begin{aligned} \mathcal{N}' = \mathcal{N} & \\ & \uplus \{\text{sumd}_{c_i}^{ty} \mid ty, c_i \in \mathcal{N}\} \\ & \uplus \{\text{arg}_j \mid j \in \mathbf{N}_+\} \\ & \uplus \{\text{ires}_k \mid k \in \mathbf{N}_+\} \\ & \uplus \{\text{cres}_l \mid l \in \mathbf{N}_+\} \\ & \uplus \{\text{sub}_l^{c_i} \mid c_i \in \mathcal{N} \wedge l \in \mathbf{N}_+\} \\ & \uplus \{\text{tgt}_l \mid l \in \mathbf{N}_+\} \\ & \uplus \{\text{tagc}_{c_i} \mid c_i \in \mathcal{N}\} \\ & \uplus \{\text{prim}, \text{eq}, \text{eqarg}, \text{eqargsub}, \text{tag}, \text{relax}, \text{choose}\} \end{aligned}$$

The use of the additional names is explicated below.

### 4.2.2 Types

$\mathcal{P}'$  has a class for each user-defined type, a class for each summand of each sum type, and a class to collect all the fields and methods with primitive types

$$C = Ty_U \uplus \{\text{sumd}_{c_i}^{ty} \mid \Delta(ty) = \sum_i c_i \overline{ty_i}\} \uplus \{\text{prim}\}$$

Only the summand classes have explicit direct superclasses (the sum classes)

$$c \in Ty_U \uplus \{\text{prim}\} \Rightarrow \text{ext}(c) = \text{none} \\ \text{ext}(\text{sumd}_{c_i}^{ty}) = ty$$

Only the sum classes are abstract

$$\text{abs}_C(c) \Leftrightarrow \Delta(c) \in TySum$$

The type translation from  $\mathcal{P}$  to  $\mathcal{P}'$  is captured by the function  $tt : Ty \rightarrow Ty'$ , defined as

$$tt(\text{Bool}) = \text{boolean} \\ tt(\text{Int}) = \text{int} \\ ty \in Ty_U \Rightarrow tt(ty) = ty$$

### 4.2.3 Fields

There is a field for each projector, declared in the product class

$$Fld_P = \{ty.p_i : tt(ty_i) \mid \Delta(ty) = \prod_i p_i ty_i\}$$

There is a field for each constructor argument, declared in the summand class

$$Fld_{CA} = \{\text{sumd}_{c_i}^{ty}.\text{arg}_j : tt(ty_{j,i}) \mid \Delta(ty) = \sum_i c_i \overline{ty_i}\}$$

There is a tag field for each sum type, declared in the sum class

$$Fld_T = \{ty.\text{tag} : \text{int} \mid \Delta(ty) \in TySum\}$$

There is a tag field for each constructor, declared in the sum class

$$Fld_{TC} = \{ty.\text{tag}_{c_i} : \text{int} \mid \Delta(ty) = \sum_i c_i \overline{ty_i}\}$$

There is a relaxator field for each restriction type, declared in the restriction class

$$Fld_R = \{ty.\text{relax} : tt(ty_0) \mid \Delta(ty) = ty_0|r\}$$

There is a chooser field for each quotient type, declared in the quotient class

$$Fld_C = \{ty.\text{choose} : tt(ty_0) \mid \Delta(ty) = ty_0/q\}$$

There is a field for each constant constructor, declared in the sum class

$$Fld_{CC} = \{ty.c_i:ty \mid \Delta(ty) = \sum_i c_i \overline{ty}_i \wedge \overline{ty}_i = \epsilon\}$$

There is a field for each user-defined constant with built-in type, declared in the class that collects all the primitive fields and methods

$$Fld_{CB} = \{\text{prim.op}:tt(ty) \mid op \in Op_U \wedge \tau(op) = ty \in Ty_B\}$$

There is a field for each constant with user-defined type (the constant must be user-defined, because all built-in constants have built-in types), declared in the class for that user-defined type

$$Fld_{CU} = \{ty.op:ty \mid op \in Op_U \wedge \tau(op) = ty \in Ty_U\}$$

Those are all the fields of  $\mathcal{P}'$

$$Fld = Fld_P \uplus Fld_{CA} \uplus Fld_T \uplus Fld_{TC} \uplus Fld_R \uplus Fld_C \uplus Fld_{CC} \uplus Fld_{CB} \uplus Fld_{CU}$$

The only static fields are those for constructor tags, constant constructors, and constants

$$stc_F(fld) \Leftrightarrow fld \in Fld_{TC} \uplus Fld_{CC} \uplus Fld_{CB} \uplus Fld_{CU}$$

#### 4.2.4 Methods

There is an equality method declared in each product, sum, restriction, or quotient class

$$\begin{aligned} Mth_{EP} &= \{ty.eq:ty \rightarrow \text{boolean} \mid \Delta(ty) \in TyProd\} \\ Mth_{ES} &= \{ty.eq:ty \rightarrow \text{boolean} \mid \Delta(ty) \in TySum\} \\ Mth_{ER} &= \{ty.eq:ty \rightarrow \text{boolean} \mid \Delta(ty) \in TyRestr\} \\ Mth_{EQ} &= \{ty.eq:ty \rightarrow \text{boolean} \mid \Delta(ty) \in TyQuot\} \end{aligned}$$

There is also an equality method declared in each summand class (which implements the abstract equality method declared in the sum class)

$$Mth_{ESS} = \{\text{sumd}_{c_i}^{ty}.eq:ty \rightarrow \text{boolean} \mid \Delta(ty) = \sum_i c_i \overline{ty}_i\}$$

There is a method for each non-constant constructor, declared in the sum class

$$Mth_C = \{ty.c_i:tt(\overline{ty}_i) \rightarrow ty \mid \Delta(ty) = \sum_i c_i \overline{ty}_i \wedge \overline{ty}_i \neq \epsilon\}$$

There is a method for each non-constant user-defined op with all built-in types, declared in the class that collects all the primitive fields and methods

$$Mth_B = \{\text{prim.op}:tt(\overline{ty}) \rightarrow tt(ty) \mid op \in Op_U \wedge \tau(op) = \overline{ty} \rightarrow ty \wedge \overline{ty} \in Ty_B^+ \wedge ty \in Ty_B\}$$

There is a method for each non-constant op with all built-in argument types but user-defined result type, declared in the class for that user-defined type

$$Mth_{BA} = \{ty.op : tt(\overline{ty}) \rightarrow ty \mid \\ op \in Op_U \wedge \tau(op) = \overline{ty} \rightarrow ty \wedge \overline{ty} \in Ty_B^+ \wedge ty \in Ty_U\}$$

There are methods for each op with at least a user-defined argument type and whose defining term is a **case** that operates on the leftmost parameter with user-defined type, which must be a sum type. A method is declared in the sum class and a method is declared in each subclass<sup>6</sup>

$$Mth_{PM} = \{ty_h.op : tt(del(\overline{ty}, h)) \rightarrow tt(ty) \mid \\ op \in Op_U \wedge \tau(op) = \overline{ty} \rightarrow ty \wedge \pi(op) = \bar{v} \wedge \\ h = \min\{h \mid ty_h \in Ty_U\} \wedge \delta(op) = (\mathbf{case} \ v_h \ \dots)\} \\ Mth_{PMS} = \{sumd_{c_i}^{ty_h}.op : tt(del(\overline{ty}, h)) \rightarrow tt(ty) \mid \\ op \in Op_U \wedge \tau(op) = \overline{ty} \rightarrow ty \wedge \pi(op) = \bar{v} \wedge \\ h = \min\{h \mid ty_h \in Ty_U\} \wedge \delta(op) = (\mathbf{case} \ v_h \ \dots)\}$$

There is a method for each op with at least a user-defined argument type and whose defining term is not a **case** that operates on the leftmost parameter with user-defined type; the method is declared in the class for the leftmost user-defined argument type

$$Mth_{NPM} = \{ty_h.op : tt(del(\overline{ty}, h)) \rightarrow tt(ty) \mid \\ op \in Op_U \wedge \tau(op) = \overline{ty} \rightarrow ty \wedge \pi(op) = \bar{v} \wedge \\ h = \min\{h \mid ty_h \in Ty_U\} \wedge \delta(op) \neq (\mathbf{case} \ v_h \ \dots)\}$$

Those are all the methods of  $\mathcal{P}'$

$$Mth = Mth_{EP} \uplus Mth_{ES} \uplus Mth_{ER} \uplus Mth_{EQ} \uplus Mth_{ESS} \\ \uplus Mth_C \uplus Mth_B \uplus Mth_{BA} \uplus Mth_{PM} \uplus Mth_{PMS} \uplus Mth_{NPM}$$

The only static methods are those for constructors and those for ops with all built-in argument types

$$stc_M(mth) \Leftrightarrow mth \in Mth_C \uplus Mth_B \uplus Mth_{BA}$$

The only abstract methods are those for equality of sum types and those, declared in sum classes, for ops with at least a user-defined argument type and whose defining term is a **case** that operates on the leftmost argument with user-defined type

$$abs_M(mth) \Leftrightarrow mth \in Mth_{ES} \uplus Mth_{PM}$$

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<sup>6</sup>**Notation.** If  $\overline{x}$  is a sequence,  $del(\overline{x}, i)$  is the sequence obtained by deleting the  $i$ -th element from  $\overline{x}$ .

#### 4.2.5 Constructors

There is a constructor in every product, summand, restriction, or quotient class

$$\begin{aligned} Con_P &= \{ty : \overline{ty} \mid \Delta(ty) = \prod_i p_i \ ty_i\} \\ Con_S &= \{\text{sumd}_{c_i}^{ty} : \overline{ty}_i \mid \Delta(ty) = \sum_i c_i \ \overline{ty}_i\} \\ Con_R &= \{ty : ty_0 \mid \Delta(ty) = ty_0 | r\} \\ Con_Q &= \{ty : ty_0 \mid \Delta(ty) = ty_0 / q\} \\ Con &= Con_P \uplus Con_S \uplus Con_R \uplus Con_Q \end{aligned}$$

#### 4.2.6 Parameters

The methods have parameters

$$\frac{mth \in Mth_{EP} \uplus Mth_{ES} \uplus Mth_{ESS} \uplus Mth_{ER} \uplus Mth_{EQ}}{param(mth) = eqarg}$$

$$\frac{mth \in Mth_C}{param(mth) = \overline{arg}}$$

$$\frac{mth = c.op : \overline{ty} \rightarrow ty \in Mth_B \uplus Mth_{BA}}{param(mth) = \pi(op)}$$

$$\frac{\begin{aligned} mth = c.op : tt(del(\overline{ty}, h)) \rightarrow tt(ty) \in Mth_{PM} \uplus Mth_{PMS} \uplus Mth_{NPM} \\ \tau(op) = \overline{ty} \rightarrow ty \\ h = \min\{h \mid ty_h \in Ty_U\} \end{aligned}}{param(mth) = del(\pi(op), h)}$$

For methods derived from user-defined ops, the parameters are derived from those of the ops. For equality methods, we use a parameter with name **eqarg**. For methods derived from constructors, we use parameters **arg<sub>j</sub>**.

The constructors have parameters

$$\begin{aligned} con = ty : \overline{ty} \in Con_P \ \wedge \ ty = \prod_i p_i \ ty_i &\Rightarrow param(con) = \overline{p} \\ con = \text{sumd}_{c_i}^{ty} : \overline{ty}_i \in Con_S &\Rightarrow param(con) = \overline{arg} \\ con = ty : ty_0 \in Con_R &\Rightarrow param(con) = relax \\ con = ty : ty_0 \in Con_Q &\Rightarrow param(con) = choose \end{aligned}$$

For product constructors, we use the projectors as parameters. For summand constructors, we use parameters **arg<sub>j</sub>**. For restriction and quotient constructors, we use parameters **relax** and **choose**.



#### 4.2.7 Translation of terms to expressions and statements

In general, each *Fun* term translates to a Java expression preceded by a Java statement. The statement assigns values to local variables that are used in the expression.

A *Fun* variable does not always translate to a Java variable. When an op translates to an instance method, the leftmost parameter with user-defined type translates to **this**. When an op whose defining term is a **case** translates to methods of the summand classes, the variables bound in each branch translate to field accesses of the corresponding classes. When a **case** translates to a **switch**, the variables bound in each branch also translate to field accesses. To capture the translation of a finite number of *Fun* variables to **this** or to field accesses, we use translation contexts

$$TC = V \xrightarrow{f} \{\mathbf{this}\} \uplus \{\mathbf{this}.f \mid f \in \mathcal{N}'\} \uplus \{\mathbf{sub}_l^{c_i}.f \mid c_i \in \mathcal{N} \wedge l \in \mathbf{N}_+ \wedge f \in \mathcal{N}'\}$$

Since **if** may translate to **if**, we need to generate a fresh local variable  $\mathbf{ires}_k$  to store the result computed by the two branches. We do that by threading a positive natural  $k$  while we traverse and translate the terms. We also thread a positive natural  $l$  to generate fresh local variables  $\mathbf{cres}_l$  to store the results of **switch**, fresh local variables  $\mathbf{sub}_l^{c_i}$  to store the result of casting (references to) sum class instances to summand classes inside the branches of **switch**, and fresh local variables  $\mathbf{tgt}_l$  to store the target of **switch**.

Before proceeding, it is useful to define a function  $eq : Ty \times E \times E \rightarrow E$  that produces an equality expression for two given expressions

$$\begin{aligned} ty \in Ty_B &\Rightarrow eq_{ty}(e_1, e_2) = (e_1 == e_2) \\ ty \in Ty_U &\Rightarrow eq_{ty}(e_1, e_2) = e_1.\mathbf{eq}(e_2) \end{aligned}$$

If the first argument is a built-in type, equality is realized via the **==** operator; if it is a user-defined type, by calling the equality method. This function merely serves to factor these two cases from some of the definitions below.

To abbreviate the translation rules below, we define a function *bot* that translates the binary built-in ops of *Fun* to the corresponding Java binary operators

$$\begin{aligned} bot(\mathbf{and}) &= \&\& \\ bot(\mathbf{or}) &= || \\ bot(+) &= + \\ bot(-) &= - \\ bot(*) &= * \\ bot(/) &= / \\ bot(\mathbf{mod}) &= \% \\ bot(<) &= < \\ bot(\leq) &= <= \\ bot(>) &= > \\ bot(\geq) &= >= \end{aligned}$$

The translation of terms to expressions preceded by statements is captured by a 9-ary functional relation

$$\leadsto \subseteq TC \times Cx \times T \times \mathbf{N}_+ \times \mathbf{N}_+ \times S \times E \times \mathbf{N}_+ \times \mathbf{N}_+$$

The meaning of  $(t \ k \ l \leadsto^{tc, cx} s \ e \ k' \ l')$  is that, in the translation context  $tc$ , the result of translating the term  $t$  with context  $cx$  when the currently available indices for **ires** and **cres/sub/tgt** variables are  $k$  and  $l$ , is the expression  $e$  preceded by the statement  $s$  and that the next available indices are  $k'$  and  $l'$ . For readability,  $tc$  and  $cx$  may be left implicit.

As explained in Section 2.3.6, if the only use of an **ires** variable is to be assigned to another variable, then the **ires** variable is omitted and the other variable is assigned inside the branches of the **if**. An analogous omission takes place when the only use of the **ires** variable is to be returned by a method or to be assigned to a static field, as well as with **cres** variables. This could be formalized as a further transformation taking place within Java after the language translation, which eliminates unneeded **ires** and **cres** variables. However, it is actually easier to incorporate the omission in the language translation, by means of variants of the  $\leadsto$  relation that embed information about the use of the expression resulting from translating a term and that produce statements (without expressions) that use the expressions according to the embedded information.

For return by a method, there is an 8-ary functional relation

$$\leadsto_{\text{Ret}} \subseteq TC \times Cx \times T \times \mathbf{N}_+ \times \mathbf{N}_+ \times S \times \mathbf{N}_+ \times \mathbf{N}_+$$

The meaning of  $(t \ k \ l \leadsto_{\text{Ret}}^{tc, cx} s \ k' \ l')$  is that, in the translation context  $tc$ , the statement that returns the expression resulting from translating the term  $t$  with context  $cx$  when the currently available indices for **ires** and **cres/sub/tgt** variables are  $k$  and  $l$ , is  $s$  and that the next available indices are  $k'$  and  $l'$ . For readability,  $tc$  and  $cx$  may be left implicit.

For assignment to a newly declared variable, there is a 10-ary functional relation

$$\leadsto_{\text{AsgNV}} \subseteq Ty' \times V' \times TC \times Cx \times T \times \mathbf{N}_+ \times \mathbf{N}_+ \times S \times \mathbf{N}_+ \times \mathbf{N}_+$$

The meaning of  $(t \ k \ l \leadsto_{\text{AsgNV}(ty, v)}^{tc, cx} s \ k' \ l')$  is that, in the translation context  $tc$ , the statement that assigns to the newly declared variable  $v$  of type  $ty$  the expression resulting from translating the term  $t$  with context  $cx$  when the currently available indices for **ires** and **cres/sub/tgt** variables are  $k$  and  $l$ , is  $s$  and that the next available indices are  $k'$  and  $l'$ . For readability,  $ty$ ,  $v$ ,  $tc$ , and  $cx$  may be left implicit.

For assignment to a (non-newly declared) variable, there is a 9-ary functional relation

$$\leadsto_{\text{AsgV}} \subseteq V' \times TC \times Cx \times T \times \mathbf{N}_+ \times \mathbf{N}_+ \times S \times \mathbf{N}_+ \times \mathbf{N}_+$$

The meaning of  $(t \ k \ l \leadsto_{\text{AsgV}(v)}^{tc, cx} s \ k' \ l')$  is that, in the translation context  $tc$ , the statement that assigns to the variable  $v$  the expression resulting from

translating the term  $t$  with context  $cx$  when the currently available indices for **ires** and **cres/sub/tgt** variables are  $k$  and  $l$ , is  $s$  and that the next available indices are  $k'$  and  $l'$ . For readability,  $v$ ,  $tc$ , and  $cx$  may be left implicit.

For assignment to a static field, there is a 10-ary functional relation

$$\leadsto_{\text{AsgF}} \subseteq C \times \mathcal{N}' \times TC \times Cx \times T \times \mathbf{N}_+ \times \mathbf{N}_+ \times S \times \mathbf{N}_+ \times \mathbf{N}_+$$

The meaning of  $(t \ k \ l \leadsto_{\text{AsgF}(c,f)}^{tc,cx} s \ k' \ l')$  is that, in the translation context  $tc$ , the statement that assigns to the static field with name  $f$  of class  $c$  the expression resulting from translating the term  $t$  with context  $cx$  when the currently available indices for **ires** and **cres/sub/tgt** variables are  $k$  and  $l$ , is  $s$  and that the next available indices are  $k'$  and  $l'$ . For readability,  $c$ ,  $f$ ,  $tc$ , and  $cx$  may be left implicit.

The five relations are (mutually recursively) defined as

$$\frac{v \in \mathcal{D}(tc)}{v \ k \ l \leadsto \text{mts} \ tc(v) \ k \ l}$$

$$\frac{v \notin \mathcal{D}(tc)}{v \ k \ l \leadsto \text{mts} \ v \ k \ l}$$

$$\overline{\text{true} \ k \ l \leadsto \text{mts} \ \text{true} \ k \ l}$$

$$\overline{\text{false} \ k \ l \leadsto \text{mts} \ \text{false} \ k \ l}$$

$$\overline{\iota \ k \ l \leadsto \text{mts} \ \iota \ k \ l}$$

$$\frac{t \ k \ l \leadsto s \ e \ k' \ l'}{(\text{not } t) \ k \ l \leadsto s \ (!e) \ k' \ l'}$$

$$\frac{t \ k \ l \leadsto s \ e \ k' \ l'}{(\text{minus } t) \ k \ l \leadsto s \ (-e) \ k' \ l'}$$

$$\frac{\begin{array}{l} t_1 \ k_0 \ l_0 \leadsto s_1 \ e_1 \ k_1 \ l_1 \\ t_2 \ k_1 \ l_1 \leadsto s_2 \ e_2 \ k_2 \ l_2 \\ \text{bot}(\odot) = \odot \end{array}}{(t_1 \circ t_2) \ k_0 \ l_0 \leadsto s_1; s_2 \ (e_1 \odot e_2) \ k_2 \ l_2}$$

$$\frac{\begin{array}{l} op \in Op_U \\ \tau(op) = ty \in Ty_B \end{array}}{op \ k \ l \leadsto \text{mts} \ \text{prim.op} \ k \ l}$$

$$\frac{\begin{array}{c} op \in Op_U \\ \tau(op) = ty \in Ty_U \end{array}}{op \ k \ l \rightsquigarrow \mathbf{mts} \ ty.op \ k \ l}$$

$$\frac{\begin{array}{c} op \in Op_U \\ \tau(op) = \overline{ty} \rightarrow ty \\ \overline{ty} \in Ty_B^+ \wedge ty \in Ty_B \\ \forall i. \ t_i \ k_{i-1} \ l_{i-1} \rightsquigarrow s_i \ e_i \ k_i \ l_i \end{array}}{op(\bar{t}) \ k_0 \ l_0 \rightsquigarrow s_1; \dots; s_n \ \mathbf{prim.op}(\bar{e}) \ k_n \ l_n}$$

$$\frac{\begin{array}{c} op \in Op_U \\ \tau(op) = \overline{ty} \rightarrow ty \\ \overline{ty} \in Ty_B^+ \wedge ty \in Ty_U \\ \forall i. \ t_i \ k_{i-1} \ l_{i-1} \rightsquigarrow s_i \ e_i \ k_i \ l_i \end{array}}{op(\bar{t}) \ k_0 \ l_0 \rightsquigarrow s_1; \dots; s_n \ ty.op(\bar{e}) \ k_n \ l_n}$$

$$\frac{\begin{array}{c} op \in Op_U \\ \tau(op) = \overline{ty} \rightarrow ty \\ h = \min\{h \mid ty_h \in Ty_U\} \\ \forall i. \ t_i \ k_{i-1} \ l_{i-1} \rightsquigarrow s_i \ e_i \ k_i \ l_i \end{array}}{op(\bar{t}) \ k_0 \ l_0 \rightsquigarrow s_1; \dots; s_n \ e_h.op(\mathit{del}(\bar{e}, h)) \ k_n \ l_n}$$

$$\frac{\begin{array}{c} \Delta(ty) = \prod_i p_i \ ty_i \\ \forall i. \ t_i \ k_{i-1} \ l_{i-1} \rightsquigarrow s_i \ e_i \ k_i \ l_i \end{array}}{\{p_i \leftarrow t_i\}_i \ k_0 \ l_0 \rightsquigarrow s_1; \dots; s_n \ (\mathbf{new} \ ty(\bar{e})) \ k_n \ l_n}$$

$$\frac{\begin{array}{c} \Delta(ty) = \prod_i p_i \ ty_i \\ t \ k \ l \rightsquigarrow s \ e \ k' \ l' \end{array}}{p_i(t) \ k \ l \rightsquigarrow s \ e.p_i \ k' \ l'}$$

$$\frac{\begin{array}{c} \Delta(ty) = \sum_i c_i \ \overline{ty_i} \\ \overline{ty_i} = \epsilon \end{array}}{c_i \ k \ l \rightsquigarrow \mathbf{mts} \ ty.c_i \ k \ l}$$

$$\frac{\begin{array}{c} \Delta(ty) = \sum_i c_i \ \overline{ty_i} \\ \overline{ty_i} \neq \epsilon \\ \forall j. \ t_j \ k_{j-1} \ l_{j-1} \rightsquigarrow s_j \ e_j \ k_j \ l_j \end{array}}{c_i(\bar{t}) \ k_0 \ l_0 \rightsquigarrow s_1; \dots; s_m \ ty.c_i(\bar{e}) \ k_m \ l_m}$$

$$\frac{t \ k \ l \rightsquigarrow s \ e \ k' \ l'}{\text{restr}_{ty}(t) \ k \ l \rightsquigarrow s \ (\text{new } ty(e)) \ k' \ l'}$$

$$\frac{t \ k \ l \rightsquigarrow s \ e \ k' \ l'}{\text{relax}_{ty}(t) \ k \ l \rightsquigarrow s \ (e.\text{relax}) \ k' \ l'}$$

$$\frac{t \ k \ l \rightsquigarrow s \ e \ k' \ l'}{\text{quot}_{ty}(t) \ k \ l \rightsquigarrow s \ (\text{new } ty(e)) \ k' \ l'}$$

$$\frac{t \ k \ l \rightsquigarrow s \ e \ k' \ l'}{\text{choo}_{ty}(t) \ k \ l \rightsquigarrow s \ (e.\text{choose}) \ k' \ l'}$$

$$\frac{\begin{array}{c} t_1, t_2 \in T_{ty}^{cx} \\ t_1 \ k_0 \ l_0 \rightsquigarrow s_1 \ e_1 \ k_1 \ l_1 \\ t_2 \ k_1 \ l_1 \rightsquigarrow s_2 \ e_2 \ k_2 \ l_2 \end{array}}{(t_1 = t_2) \ k_0 \ l_0 \rightsquigarrow s_1; s_2 \ eq_{ty}(e_1, e_2) \ k_2 \ l_2}$$

$$\frac{\begin{array}{c} t_0 \ k \ l \rightsquigarrow s_0 \ e_0 \ k' \ l' \\ t_1 \ k' \ l' \rightsquigarrow \text{mts} \ e_1 \ k' \ l' \\ t_2 \ k' \ l' \rightsquigarrow \text{mts} \ e_2 \ k' \ l' \end{array}}{(\text{if } t_0 \ t_1 \ t_2) \ k \ l \rightsquigarrow s_0 \ (e_0 ? e_1 : e_2) \ k' \ l'}$$

$$\frac{\begin{array}{c} t_1, t_2 \in T_{ty}^{cx} \\ t_0 \ (k+1) \ l \rightsquigarrow s_0 \ e_0 \ k_0 \ l_0 \\ t_1 \ k_0 \ l_0 \rightsquigarrow_{\text{AsgV}(\text{ires}_k)} s_1 \ k_1 \ l_1 \\ t_2 \ k_1 \ l_1 \rightsquigarrow_{\text{AsgV}(\text{ires}_k)} s_2 \ k_2 \ l_2 \\ s = (tt(ty) \ \text{ires}_k); s_0; (\text{if } (e_0) \ s_1 \ \text{else } s_2) \\ t_1 \ k \ l \rightsquigarrow s'_1 \ e'_1 \ k'_1 \ l'_1 \\ t_2 \ k \ l \rightsquigarrow s'_2 \ e'_2 \ k'_2 \ l'_2 \\ s'_1 \neq \text{mts} \ \vee \ s'_2 \neq \text{mts} \end{array}}{(\text{if } t_0 \ t_1 \ t_2) \ k \ l \rightsquigarrow s \ \text{ires}_k \ k_2 \ l_2}$$

$$\frac{\begin{array}{c} t_0 \in T_{ty_0}^{cx} \\ t_0 \ k \ l \rightsquigarrow_{\text{AsgNV}(tt(ty_0), v)} s_0 \ k_0 \ l_0 \\ t \ k_0 \ l_0 \rightsquigarrow^{tc, cx[v \mapsto ty_0]} s \ e \ k' \ l' \end{array}}{(\text{let } v \leftarrow t_0 \ \text{in } t) \ k \ l \rightsquigarrow s_0; s \ e \ k' \ l'}$$

$$\begin{array}{c}
t \in T_{ty}^{cx} \\
\Delta(ty) = \sum_i c_i \overline{ty_i} \\
t_i \in T_{ty_0}^{cx[\overline{v_i} \mapsto \overline{ty_i}]} \\
\left( \begin{array}{c} (\forall i. \overline{v_i} \cap FV(t_i) = \emptyset) \vee t \in V \Rightarrow \\ t \ k \ (l+1) \rightsquigarrow s \ e \ k_0 \ l_0 \end{array} \right) \\
\left( \begin{array}{c} (\exists i. \overline{v_i} \cap FV(t_i) \neq \emptyset) \wedge t \notin V \Rightarrow \\ (t \ k \ (l+1) \rightsquigarrow_{\text{AsgNV}(ty, \text{tgt}_l)} s \ k_0 \ l_0) \wedge e = \text{tgt}_l \end{array} \right) \\
\forall i. \ t_i \ k_{i-1} \ l_{i-1} \rightsquigarrow_{\text{AsgV}(\text{cres}_l)}^{tc[\overline{v_i} \mapsto \text{sub}_{l_i}^{c_i} \cdot \overline{\text{arg}}], cx[\overline{v_i} \mapsto \overline{ty_i}]} s_i \ k_i \ l_i \\
\forall i. \ s'_i = \begin{cases} (\text{sumd}_{c_i}^{ty} \text{sub}_l^{c_i} = ((\text{sumd}_{c_i}^{ty}) e)) & \text{if } \overline{v_i} \cap FV(t_i) \neq \emptyset \\ \text{mts} & \text{otherwise} \end{cases} \\
s' = (tt(ty_0) \text{cres}_l); s; (\text{switch}(e.\text{tag}) \{ty.\text{tag}_{c_i} \rightarrow (s'_i; s_i)\}_i \text{throwerr}) \\
\hline
(\text{case } t \{c_i(\overline{v_i}) \rightarrow t_i\}_i) \ k \ l \rightsquigarrow s' \text{cres}_l \ k_p \ l_p
\end{array}$$

$$\begin{array}{c}
t_0 \ k \ l \rightsquigarrow s_0 \ e_0 \ k_0 \ l_0 \\
t_1 \ k_0 \ l_0 \rightsquigarrow_{\text{Ret}} s_1 \ k_1 \ l_1 \\
t_2 \ k_1 \ l_1 \rightsquigarrow_{\text{Ret}} s_2 \ k_2 \ l_2 \\
\hline
(\text{if } t_0 \ t_1 \ t_2) \ k \ l \rightsquigarrow_{\text{Ret}} s_0; (\text{if } (e_0) \ s_1 \ \text{else } s_2) \ k_2 \ l_2
\end{array}$$

$$\begin{array}{c}
t \in T_{ty}^{cx} \\
\Delta(ty) = \sum_i c_i \overline{ty_i} \\
t_i \in T_{ty_0}^{cx[\overline{v_i} \mapsto \overline{ty_i}]} \\
\left( \begin{array}{c} (\forall i. \overline{v_i} \cap FV(t_i) = \emptyset) \vee t \in V \Rightarrow \\ t \ k \ (l+1) \rightsquigarrow s \ e \ k_0 \ l_0 \end{array} \right) \\
\left( \begin{array}{c} (\exists i. \overline{v_i} \cap FV(t_i) \neq \emptyset) \wedge t \notin V \Rightarrow \\ (t \ k \ (l+1) \rightsquigarrow_{\text{AsgNV}(ty, \text{tgt}_l)} s \ k_0 \ l_0) \wedge e = \text{tgt}_l \end{array} \right) \\
\forall i. \ t_i \ k_{i-1} \ l_{i-1} \rightsquigarrow_{\text{Ret}}^{tc[\overline{v_i} \mapsto \text{sub}_{l_i}^{c_i} \cdot \overline{\text{arg}}], cx[\overline{v_i} \mapsto \overline{ty_i}]} s_i \ k_i \ l_i \\
\forall i. \ s'_i = \begin{cases} (\text{sumd}_{c_i}^{ty} \text{sub}_l^{c_i} = ((\text{sumd}_{c_i}^{ty}) e)) & \text{if } \overline{v_i} \cap FV(t_i) \neq \emptyset \\ \text{mts} & \text{otherwise} \end{cases} \\
s' = s; (\text{switch}(e.\text{tag}) \{ty.\text{tag}_{c_i} \rightarrow (s'_i; s_i)\}_i \text{throwerr}) \\
\hline
(\text{case } t \{c_i(\overline{v_i}) \rightarrow t_i\}_i) \ k \ l \rightsquigarrow_{\text{Ret}} s' \ k_p \ l_p
\end{array}$$

$$\begin{array}{c}
t \neq (\text{if } \dots) \wedge t \neq (\text{case } \dots) \\
t \ k \ l \rightsquigarrow s \ e \ k' \ l' \\
\hline
t \ k \ l \rightsquigarrow_{\text{Ret}} s; (\text{return } e) \ k' \ l'
\end{array}$$

$$\begin{array}{c}
t_0 \ k \ l \rightsquigarrow s_0 \ e_0 \ k_0 \ l_0 \\
t_1 \ k_0 \ l_0 \rightsquigarrow_{\text{AsgV}} s_1 \ k_1 \ l_1 \\
t_2 \ k_1 \ l_1 \rightsquigarrow_{\text{AsgV}} s_2 \ k_2 \ l_2 \\
\hline
(\text{if } t_0 \ t_1 \ t_2) \ k \ l \rightsquigarrow_{\text{AsgNV}} (ty \ v); s_0; (\text{if } (e_0) \ s_1 \ \text{else } s_2) \ k_2 \ l_2
\end{array}$$

$$\begin{array}{c}
t \in T_{ty}^{cx} \\
\Delta(ty) = \sum_i c_i \overline{ty_i} \\
t_i \in T_{ty_0}^{cx[\overline{v_i} \mapsto \overline{ty_i}]} \\
\left( \begin{array}{c} (\forall i. \overline{v_i} \cap FV(t_i) = \emptyset) \vee t \in V \Rightarrow \\ t \ k \ (l+1) \rightsquigarrow s \ e \ k_0 \ l_0 \end{array} \right) \\
\left( \begin{array}{c} (\exists i. \overline{v_i} \cap FV(t_i) \neq \emptyset) \wedge t \notin V \Rightarrow \\ (t \ k \ (l+1) \rightsquigarrow_{\text{AsgNV}(ty, \text{tgt}_l)} s \ k_0 \ l_0) \wedge e = \text{tgt}_l \end{array} \right) \\
\forall i. \ t_i \ k_{i-1} \ l_{i-1} \rightsquigarrow_{\text{AsgV}(v)}^{tc[\overline{v_i} \mapsto \text{sub}_{l_i}^{c_i} \cdot \overline{\text{arg}}], cx[\overline{v_i} \mapsto \overline{ty_i}]} s_i \ k_i \ l_i \\
\forall i. \ s'_i = \begin{cases} (\text{sumd}_{c_i}^{ty} \text{sub}_{l_i}^{c_i} = ((\text{sumd}_{c_i}^{ty} e))) & \text{if } \overline{v_i} \cap FV(t_i) \neq \emptyset \\ \text{mts} & \text{otherwise} \end{cases} \\
s' = (tt(ty_0) \ v); s; (\text{switch}(e.\text{tag}) \{ty.\text{tagc}_{c_i} \rightarrow (s'_i; s_i)\}_i \text{ throwerr}) \\
\hline
(\text{case } t \{c_i(\overline{v_i}) \rightarrow t_i\}_i) \ k \ l \rightsquigarrow_{\text{AsgNV}(ty_0, v)} s' \ k_p \ l_p
\end{array}$$

$$\begin{array}{c}
t \neq (\text{if } \dots) \wedge t \neq (\text{case } \dots) \\
t \ k \ l \rightsquigarrow s \ e \ k' \ l' \\
\hline
t \ k \ l \rightsquigarrow_{\text{AsgNV}} s; (ty \ v = e) \ k' \ l'
\end{array}$$

$$\begin{array}{c}
t_0 \ k \ l \rightsquigarrow s_0 \ e_0 \ k_0 \ l_0 \\
t_1 \ k_0 \ l_0 \rightsquigarrow_{\text{AsgV}} s_1 \ k_1 \ l_1 \\
t_2 \ k_1 \ l_1 \rightsquigarrow_{\text{AsgV}} s_2 \ k_2 \ l_2 \\
\hline
(\text{if } t_0 \ t_1 \ t_2) \ k \ l \rightsquigarrow_{\text{AsgV}} s_0; (\text{if } (e_0) \ s_1 \ \text{else } s_2) \ k_2 \ l_2
\end{array}$$

$$\begin{array}{c}
t \in T_{ty}^{cx} \\
\Delta(ty) = \sum_i c_i \overline{ty_i} \\
t_i \in T_{ty_0}^{cx[\overline{v_i} \mapsto \overline{ty_i}]} \\
\left( \begin{array}{c} (\forall i. \overline{v_i} \cap FV(t_i) = \emptyset) \vee t \in V \Rightarrow \\ t \ k \ (l+1) \rightsquigarrow s \ e \ k_0 \ l_0 \end{array} \right) \\
\left( \begin{array}{c} (\exists i. \overline{v_i} \cap FV(t_i) \neq \emptyset) \wedge t \notin V \Rightarrow \\ (t \ k \ (l+1) \rightsquigarrow_{\text{AsgNV}(ty, \text{tgt}_l)} s \ k_0 \ l_0) \wedge e = \text{tgt}_l \end{array} \right) \\
\forall i. \ t_i \ k_{i-1} \ l_{i-1} \rightsquigarrow_{\text{AsgV}(v)}^{tc[\overline{v_i} \mapsto \text{sub}_{l_i}^{c_i} \cdot \overline{\text{arg}}], cx[\overline{v_i} \mapsto \overline{ty_i}]} s_i \ k_i \ l_i \\
\forall i. \ s'_i = \begin{cases} (\text{sumd}_{c_i}^{ty} \text{sub}_{l_i}^{c_i} = ((\text{sumd}_{c_i}^{ty} e))) & \text{if } \overline{v_i} \cap FV(t_i) \neq \emptyset \\ \text{mts} & \text{otherwise} \end{cases} \\
s' = s; (\text{switch}(e.\text{tag}) \{ty.\text{tagc}_{c_i} \rightarrow (s'_i; s_i)\}_i \text{ throwerr}) \\
\hline
(\text{case } t \{c_i(\overline{v_i}) \rightarrow t_i\}_i) \ k \ l \rightsquigarrow_{\text{AsgV}} s' \ k_p \ l_p
\end{array}$$

$$\begin{array}{c}
t \neq (\text{if } \dots) \wedge t \neq (\text{case } \dots) \\
t \ k \ l \rightsquigarrow s \ e \ k' \ l' \\
\hline
t \ k \ l \rightsquigarrow_{\text{AsgV}} s; (v = e) \ k' \ l'
\end{array}$$

$$\frac{
\begin{array}{c}
t_0 \ k \ l \rightsquigarrow s_0 \ e_0 \ k_0 \ l_0 \\
t_1 \ k_0 \ l_0 \rightsquigarrow_{\text{AsgF}} s_1 \ k_1 \ l_1 \\
t_2 \ k_1 \ l_1 \rightsquigarrow_{\text{AsgF}} s_2 \ k_2 \ l_2
\end{array}
}{
(\text{if } t_0 \ t_1 \ t_2) \ k \ l \rightsquigarrow_{\text{AsgF}} s_0; (\text{if } (e_0) \ s_1 \ \text{else } s_2) \ k_2 \ l_2
}$$

$$\frac{
\begin{array}{c}
t \in T_{ty}^{cx} \\
\Delta(ty) = \sum_i c_i \ \overline{ty}_i \\
t_i \in T_{ty_0}^{cx[\overline{v}_i \mapsto \overline{ty}_i]} \\
\left( \begin{array}{c} (\forall i. \ \overline{v}_i \cap FV(t_i) = \emptyset) \vee t \in V \Rightarrow \\ t \ k \ (l+1) \rightsquigarrow s \ e \ k_0 \ l_0 \end{array} \right) \\
\left( \begin{array}{c} (\exists i. \ \overline{v}_i \cap FV(t_i) \neq \emptyset) \wedge t \notin V \Rightarrow \\ (t \ k \ (l+1) \rightsquigarrow_{\text{AsgNV}(ty, \text{tgt}_l)} s \ k_0 \ l_0) \wedge e = \text{tgt}_l \end{array} \right) \\
\forall i. \ t_i \ k_{i-1} \ l_{i-1} \rightsquigarrow_{\text{AsgF}(c, f)}^{tc[\overline{v}_i \mapsto \text{sub}_{l_i}^{c_i} \cdot \overline{\text{arg}}], cx[\overline{v}_i \mapsto \overline{ty}_i]} s_i \ k_i \ l_i \\
\forall i. \ s'_i = \begin{cases} \text{sumd}_{c_i}^{ty} \ \text{sub}_{l_i}^{c_i} = ((\text{sumd}_{c_i}^{ty} \ e)) & \text{if } \overline{v}_i \cap FV(t_i) \neq \emptyset \\ \text{mts} & \text{otherwise} \end{cases} \\
s' = s; (\text{switch}(e.\text{tag}) \ \{ty.\text{tag}_{c_i} \rightarrow (s'_i; s_i)\}_i \ \text{throwerr})
\end{array}
}{
(\text{case } t \ \{c_i(\overline{v}_i) \rightarrow t_i\}_i) \ k \ l \rightsquigarrow_{\text{AsgF}} s' \ k_p \ l_p
}$$

$$\frac{
\begin{array}{c}
t \neq (\text{if } \dots) \wedge t \neq (\text{case } \dots) \\
t \ k \ l \rightsquigarrow s \ e \ k' \ l'
\end{array}
}{
t \ k \ l \rightsquigarrow_{\text{AsgF}} s; (c.f = e) \ k' \ l'
}$$

The rules for  $\rightsquigarrow$  over variables and op applications (including constants), as well as tuples and equalities, are straightforward.

For  $\rightsquigarrow$  over **if**, there are two rules. If both branches require no preceding statements, a conditional expression is produced. Otherwise, an **ires** variable is declared and assigned values inside the branches of the **if**, using  $\rightsquigarrow_{\text{AsgV}}$ . The last three premises of the rule just say that at least one branch requires a preceding statement; their presence is necessary because otherwise the rule and the previous one would be both applicable; note that  $s'_1$ ,  $s'_2$ , etc. are not used in the actual translation.

The rule for  $\rightsquigarrow$  over **let** uses  $\rightsquigarrow_{\text{AsgNV}}$  to assign the appropriate value to the bound variable, then the regular  $\rightsquigarrow$  for the other subterm  $t$ .

The rule for  $\rightsquigarrow$  over **case** is slightly complicated by the presence of various cases to consider. First, a **cres** variable is declared. If either no branch of the **case** uses the variables bound therein (which is relatively infrequent) or the target  $t$  of the **case** is a variable, then the expression  $e$  resulting from the translation of  $t$  is the target of the **switch**; this is because either  $e$  is evaluated only once (if no branch of the **case** uses the variables bound therein) or it is the translation of a *Fun* variable (i.e.  $t$ ), which is inexpensive to compute, being a variable or a field access. Otherwise, i.e. if at least one branch of the **case** uses the variables bound therein and  $t$  is not a variable, then a **tgt** variable is



introduced, to prevent a potentially expensive expression from being computed twice. The **tgt** variable is declared and assigned using  $\leadsto_{\text{AsgNV}}$ . Either way,  $e$  is the target of the **switch**. Each branch of the **case** is translated as follows. The variables bound in the branch translate to field accesses to a newly declared **sub** variable, initialized by casting the target of the **switch** to the appropriate subclass. If a particular branch does not use the variables bound therein, then no **sub** variable is introduced.

The rules for  $\leadsto_{\text{Ret}}$  translate **if** and **case** by recursively using  $\leadsto_{\text{Ret}}$  over the branches; for the other kinds of terms,  $\leadsto$  is used and the resulting expression is returned via **return**. It would be possible to return a conditional expression when neither branch of an **if** requires a preceding statement; however, the use of an explicit **if** seems more natural and idiomatic even for this case.

The rules for  $\leadsto_{\text{AsgNV}}$ ,  $\leadsto_{\text{AsgV}}$ , and  $\leadsto_{\text{AsgF}}$  are analogous to those for  $\leadsto_{\text{Ret}}$ .

#### 4.2.8 Bodies

The body of a product equality method returns the conjunction of the equalities of the instance fields (i.e. product components)

$$\frac{\Delta(ty) = \prod_i p_i \ ty_i \quad mth = ty.\text{eq}: ty \rightarrow \text{boolean} \in Mth_{\text{EP}}}{body(mth) = (\text{return } (\dots \&\& eq_{ty_i}(\text{this}.p_i, \text{eqarg}.p_i) \&\& \dots))}$$

The body of a summand equality method associated to a non-constant constructor first checks if the argument's tag coincides with the summand tag and, if that is the case, returns the conjunction of the equalities of the instance fields

$$\frac{\Delta(ty) = \sum_i c_i \ \overline{ty_i} \quad \overline{ty_i} \neq \epsilon \quad mth = \text{sumd}_{c_i}^{ty}.\text{eq}: ty \rightarrow \text{boolean} \in Mth_{\text{ESS}} \quad e = (\dots \&\& eq_{ty_{j,i}}(\text{this}.\text{arg}_j, \text{eqargsub}.\text{arg}_j) \&\& \dots) \quad s = (\text{sumd}_{c_i}^{ty} \text{eqargsub} = ((\text{sumd}_{c_i}^{ty} \text{eqarg})); (\text{return } e))}{body(mth) = (\text{if } (\text{eqarg}.\text{tag} == ty.\text{tag}_{c_i}) \ s \ \text{else } (\text{return } \text{false}))}$$

The body of a summand equality method associated to a constant constructor just checks if the argument's tag coincides with the summand tag

$$\frac{\Delta(ty) = \sum_i c_i \ \overline{ty_i} \quad \overline{ty_i} = \epsilon \quad mth = \text{sumd}_{c_i}^{ty}.\text{eq}: ty \rightarrow \text{boolean} \in Mth_{\text{ESS}}}{body(mth) = (\text{return } (\text{eqarg}.\text{tag} == ty.\text{tag}_{c_i}))}$$

The body of a restriction equality method returns the result of comparing for equality the encapsulated values of the restricted type

$$\frac{\Delta(ty) = ty_0|r \quad mth = ty.\text{eq}: ty \rightarrow \text{boolean} \in Mth_{\text{ER}}}{body(mth) = (\text{return } eq_{ty_0}(\text{this}.\text{relax}, \text{eqarg}.\text{relax}))}$$

The body of a quotient equality method invokes the equivalence relation over the encapsulated values of the quotiented type

$$\begin{array}{c}
\Delta(ty) = ty_0/q \\
mth = ty.eq : ty \rightarrow \text{boolean} \in Mth_{EQ} \\
e = \begin{cases} \text{prim}.q(\text{this}.choose, eqarg.choose) & \text{if } ty_0 \in Ty_B \\ \text{this}.choose.q(eqarg.choose) & \text{otherwise} \end{cases} \\
\hline
body(mth) = (\text{return } e)
\end{array}$$

Depending on whether the quotiented type is built-in or not, the method derived from the equivalence relation is either a static method declared in the class **prim** or an instance method declared in the class for the quotiented type.

The body of a method derived from a non-constant constructor returns a newly created object of the corresponding subclass

$$\begin{array}{c}
mth = ty.c_i : \overline{ty} \rightarrow ty \in Mth_C \\
\hline
body(mth) = (\text{return } (\text{new sumd}_{c_i}^{ty}(\overline{arg})))
\end{array}$$

The body of a method derived from a non-constant op with all built-in argument types is derived from the translation of the op's defining term

$$\begin{array}{c}
mth = c.op : tt(\overline{ty}) \rightarrow tt(ty) \in Mth_B \uplus Mth_{BA} \\
\tau(op) = \overline{ty} \rightarrow ty \\
\delta(op) \quad 1 \quad 1 \quad \rightsquigarrow_{\text{Ret}}^{\overline{\theta}, \{\pi(op) \mapsto \overline{ty}\}} \quad s \quad k \quad l \\
\rho(op) \quad 1 \quad 1 \quad \rightsquigarrow^{\overline{\theta}, \{\pi(op) \mapsto \overline{ty}\}} \quad \text{mts} \quad e \quad 1 \quad 1 \\
s_0 = \begin{cases} (\text{assert } e) & \text{if } e \neq \text{true} \\ \text{mts} & \text{otherwise} \end{cases} \\
\hline
body(mth) = s_0; s
\end{array}$$

Since these methods are static, we use the empty translation context. An assertion over the arguments is inserted at the beginning of the body, unless it is trivially true.

The body of a method derived from an op with at least a user-defined argument type and whose defining term is not a **case** operating on the leftmost parameter with user-defined type, is derived from the translation of the op's defining term

$$\begin{array}{c}
mth = c.op : tt(\overline{del}(\overline{ty}, h)) \rightarrow tt(ty) \in Mth_{NPM} \\
\tau(op) = \overline{ty} \rightarrow ty \\
h = \min\{h \mid ty_h \in Ty_U\} \\
\pi(op) = \overline{v} \\
\delta(op) \quad 1 \quad 1 \quad \rightsquigarrow_{\text{Ret}}^{\{v_h \mapsto \text{this}\}, \{\overline{v} \mapsto \overline{ty}\}} \quad s \quad k \quad l \\
\rho(op) \quad 1 \quad 1 \quad \rightsquigarrow^{\{v_h \mapsto \text{this}\}, \{\overline{v} \mapsto \overline{ty}\}} \quad \text{mts} \quad e \quad 1 \quad 1 \\
s_0 = \begin{cases} (\text{assert } e) & \text{if } e \neq \text{true} \\ \text{mts} & \text{otherwise} \end{cases} \\
\hline
body(mth) = s_0; s
\end{array}$$

Since these are instance methods, the translation context maps the leftmost parameter with user-defined type to **this**.

The body of a method derived from an op with at least a user-defined argument type and whose defining term is a **case** operating on the leftmost parameter with user-defined type, is derived from the translation of the corresponding branch subterm if the **case** has a branch for the summand

$$\begin{array}{c}
\Delta(ty) = \sum_i c_i \overline{ty}_i \\
mth = \text{sumd}_{c_i}^{ty}.op : tt(\text{del}(\overline{ty}, h)) \rightarrow tt(ty) \in Mth_{\text{PMS}} \\
\tau(op) = \overline{ty} \rightarrow ty \\
h = \min\{h \mid ty_h \in Ty_U\} \\
\pi(op) = \overline{v} \\
\delta(op) = \text{case } v_h \{c_i(\overline{v}_i) \rightarrow t_i\}_{i \in I} \\
\quad \quad \quad i \in I \\
tc = \{v_h \mapsto \text{this}\}[\overline{v}_i \mapsto \overline{\text{this.arg}}] \\
cx = \{\overline{v} \mapsto \overline{ty}\}[\overline{v}_i \mapsto \overline{ty}_i] \\
t_i \quad 1 \quad 1 \rightsquigarrow_{\text{Ret}}^{tc, cx} s \quad k \quad l \\
\rho(op) \quad 1 \quad 1 \rightsquigarrow^{tc, cx} \text{mts} \quad e \quad 1 \quad 1 \\
s_0 = \begin{cases} (\text{assert } e) & \text{if } e \neq \text{true} \\ \text{mts} & \text{otherwise} \end{cases} \\
\hline
body(mth) = s_0; s
\end{array}$$

The translation context maps the leftmost parameter with user-defined type to **this** and the variables bound in the  $i$ -th branch to field accesses of the  $i$ -th summand class; note that the mapping of a variable  $v$  bound in the  $i$ -th branch may shadow the mapping of a parameter  $v$ . If instead the **case** has no branch for the summand, the body throws an error

$$\begin{array}{c}
\Delta(ty) = \sum_i c_i \overline{ty}_i \\
mth = \text{sumd}_{c_i}^{ty}.op : tt(\text{del}(\overline{ty}, h)) \rightarrow tt(ty) \in Mth_{\text{PMS}} \\
\tau(op) = \overline{ty} \rightarrow ty \\
h = \min\{h \mid ty_h \in Ty_U\} \\
\pi(op) = \overline{v} \\
\delta(op) = \text{case } v_h \{c_i(\overline{v}_i) \rightarrow t_i\}_{i \in I} \\
\quad \quad \quad i \notin I \\
\hline
body(mth) = \text{throwerr}
\end{array}$$

The body of a product constructor assigns its parameters to the instance fields

$$\begin{array}{c}
\Delta(ty) = \prod_i p_i \quad ty_i \\
con = ty : ty \in Con_P \\
\hline
body(con) = (\dots; (\text{this}.p_i = p_i); \dots)
\end{array}$$

The body of a summand constructor assigns its parameters to the instance fields and initializes the tag

$$\begin{array}{c}
\Delta(ty) = \sum_i c_i \quad \overline{ty}_i \\
con = \text{sumd}_{c_i}^{ty} : \overline{ty}_i \in Con_S \\
\hline
body(con) = (\text{this.tag} = ty.\text{tag}_{c_i}); (\dots; (\text{this.arg}_j = \text{arg}_j); \dots)
\end{array}$$

The body of a restriction constructor assigns the argument to the field

$$\frac{\begin{array}{l} \Delta(ty) = ty_0|r \\ con = ty:tt(ty_0) \in Con_R \\ e = \begin{cases} \text{prim.r}(\text{relax}) & \text{if } ty_0 \in Ty_B \\ \text{relax.r}() & \text{otherwise} \end{cases} \end{array}}{body(con) = (\text{assert } e); (\text{this.relax} = \text{relax})}$$

The body starts with an assertion that the supplied argument satisfies the restriction predicate; if the restricted type is built-in, the method derived from the predicate is a static method declared in the class `prim`, otherwise it is an instance method declared in the class for the restricted type.

The body of a quotient constructor assigns the argument to the field

$$\frac{\begin{array}{l} \Delta(ty) = ty_0/q \\ con = ty:tt(ty_0) \in Con_Q \end{array}}{body(con) = (\text{this.choose} = \text{choose})}$$

#### 4.2.9 Static (field) initializers

The static field that holds the numeric tag for a summand is initialized with the appropriate numeric tag (for this to work it is required that no sum type has more than  $(2^{31} - 1)$  summands, which is a realistic assumption)

$$\frac{fld = ty.\text{tag}_{c_i} : \text{int} \in Fld_{TC}}{sfinit(fld) = i}$$

The static field derived from a constant constructor is initialized with a new object for the summand

$$\frac{fld = ty.c_i : ty \in Fld_{CC}}{sfinit(fld) = (\text{new sumd}_{c_i}^{ty}())}$$

The field derived from a user-defined constant whose defining term translates to an expression without a preceding statement, is initialized with that expression

$$\frac{\begin{array}{l} fld = c.op : ty \in Fld_{CB} \uplus Fld_{CU} \\ \delta(op) \ 1 \ 1 \rightsquigarrow_{\vec{\emptyset}, \vec{\emptyset}} \text{mts} \ e \ k \ l \end{array}}{sfinit(fld) = e}$$

The field derived from a user-defined constant whose defining term translates to an expression preceded by a non-empty statement, is initialized in a static initializer

$$\frac{\begin{array}{l} fld = c.op : ty \in Fld_{CB} \uplus Fld_{CU} \\ \delta(op) \ 1 \ 1 \rightsquigarrow_{\text{AsgF}(c, op)}^{\vec{\emptyset}, \vec{\emptyset}} s \ k \ l \\ \delta(op) \ 1 \ 1 \rightsquigarrow_{\vec{\emptyset}, \vec{\emptyset}} s' \ e' \ k' \ l' \\ s' \neq \text{mts} \end{array}}{s \in sinit(c)}$$

### 4.3 Variable restoration

Consider a Java program

$$\mathcal{P} = \langle C, ext, abs_C, Fld, stc_F, Mth, stc_M, abs_M, Con, param, body, sfinit, sinit \rangle$$

that uses names in

$$\mathcal{N} = \mathcal{N}_0 \uplus \{v_k \mid v \in \mathcal{N}_0 \wedge k \in \mathbf{N}_+\}$$

as resulting from the first translation phase; the names introduced in the second translation phase, e.g.  $ires_k$ , are considered to belong to  $\mathcal{N}_0$ .

The result of variable restoration is the Java program

$$\mathcal{P}' = \langle C, ext, abs_C, Fld, stc_F, Mth, stc_M, abs_M, Con, param, body', sfinit, sinit' \rangle$$

defined as follows.

#### 4.3.1 Names

$\mathcal{P}'$  uses the same names  $\mathcal{N}$  used by  $\mathcal{P}$ .

#### 4.3.2 Statement transformation

The idea is that we traverse each statement and whenever we find a declaration of a variable of the form  $v_k$ , we check whether the variable  $v$  has the same type as  $v_k$  and  $v$  is never used after the declaration. If that is not the case, we leave the variable unchanged. If that is the case, we safely rename  $v_k$  to  $v$  throughout the rest of the statement. If the declaration has an initializer, the declaration is turned into an assignment; otherwise, the declaration is removed altogether.

To keep track of the types of the variables encountered while traversing the statement, we use a context that is defined in complete analogy with *Fun* and that is threaded through

$$Cx = V \xrightarrow{f} Ty$$

Since statements can be nested, in order to check whether a variable is never used after a declaration, it is not enough to look at the free variables in the substatements where the declaration occurs. For instance, if we find a declaration of  $v_k$  in a statement  $s_1$  that is nested in  $((\text{if } (e) \ s_1 \ \text{else } s_2); s)$ , it is safe to rename  $v_k$  to  $v$  if  $v$  is used neither in the rest of  $s_1$  nor in  $s$ . The set of variables used in outer statements is passed as input when transforming an inner statement.

The transformation is captured by a functional 5-ary relation

$$\rightsquigarrow \subseteq Cx \times \mathcal{P}_\omega(V) \times S \times Cx \times S$$

The meaning of  $(cx \rightsquigarrow s \rightsquigarrow cx' \ s')$  is that the result of transforming the statement  $s$  when the context of the variables declared so far is  $cx$  and when

the variables used in outer statements are  $\tilde{v}$ , is  $s'$  and that the updated context is  $cx'$ . The relation is defined as

$$\begin{array}{c}
\frac{}{cx \ \tilde{v} \ \mathbf{mts} \rightsquigarrow cx \ \mathbf{mts}} \\
\\
\frac{cx \ \tilde{v} \ s \rightsquigarrow cx' \ s'}{cx \ \tilde{v} \ (\mathbf{return} \ e); s \rightsquigarrow cx' \ (\mathbf{return} \ e); s'} \\
\\
\frac{v \in \mathcal{N}_0 \quad cx \ \tilde{v} \ s \rightsquigarrow cx' \ s'}{cx \ \tilde{v} \ (ty \ v); s \rightsquigarrow cx' \ (ty \ v); s'} \\
\\
\frac{cx(v) = ty \quad v \notin \tilde{v} \cup FV(s) \quad cx \ \tilde{v} \ s[v/v_k] \rightsquigarrow cx' \ s'}{cx \ \tilde{v} \ (ty \ v_k); s \rightsquigarrow cx' \ s'} \\
\\
\frac{cx(v) \neq ty \ \vee \ v \in \tilde{v} \cup FV(s) \quad cx[v_k \mapsto ty] \ \tilde{v} \ s \rightsquigarrow cx' \ s'}{cx \ \tilde{v} \ (ty \ v_k); s \rightsquigarrow cx' \ (ty \ v_k); s'} \\
\\
\frac{v \in \mathcal{N}_0 \quad cx \ \tilde{v} \ s \rightsquigarrow cx' \ s'}{cx \ \tilde{v} \ (ty \ v = e); s \rightsquigarrow cx' \ (ty \ v = e); s'} \\
\\
\frac{cx(v) = ty \quad v \notin \tilde{v} \cup FV(s) \quad cx \ \tilde{v} \ s[v/v_k] \rightsquigarrow cx' \ s'}{cx \ \tilde{v} \ (ty \ v_k = e); s \rightsquigarrow cx' \ (v = e); s'} \\
\\
\frac{cx(v) \neq ty \ \vee \ v \in \tilde{v} \cup FV(s) \quad cx[v_k \mapsto ty] \ \tilde{v} \ s \rightsquigarrow cx' \ s'}{cx \ \tilde{v} \ (ty \ v_k = e); s \rightsquigarrow cx' \ (ty \ v_k = e); s'} \\
\\
\frac{cx \ \tilde{v} \ s \rightsquigarrow cx' \ s'}{cx \ \tilde{v} \ (v = e); s \rightsquigarrow cx' \ (v = e); s'} \\
\\
\frac{cx \ \tilde{v} \ s \rightsquigarrow cx' \ s'}{cx \ \tilde{v} \ (e_0.f = e); s \rightsquigarrow cx' \ (e_0.f = e); s'}
\end{array}$$

$$\begin{array}{c}
\frac{cx \quad \tilde{v} \quad s \rightsquigarrow cx' \quad s'}{cx \quad \tilde{v} \quad (c.f = e); s \rightsquigarrow cx' \quad (c.f = e); s'} \\[2ex]
\frac{\begin{array}{c} cx \quad (\tilde{v} \cup FV(s)) \quad s_1 \rightsquigarrow cx_1 \quad s'_1 \\ cx \quad (\tilde{v} \cup FV(s)) \quad s_2 \rightsquigarrow cx_2 \quad s'_2 \\ cx \quad \tilde{v} \quad s \rightsquigarrow cx' \quad s' \end{array}}{cx \quad \tilde{v} \quad (\text{if } (e) \ s_1 \text{ else } s_2); s \rightsquigarrow cx' \quad (\text{if } (e) \ s'_1 \text{ else } s'_2); s'} \\[2ex]
\frac{\begin{array}{c} \forall i. \ cx_{i-1} \quad (\tilde{v} \cup FV(s) \cup \bigcup_{i' > i} FV(s_{i'}) \cup FV(s_0)) \quad s_i \rightsquigarrow cx_i \quad s'_i \\ cx_n \quad (\tilde{v} \cup FV(s)) \quad s_0 \rightsquigarrow cx \quad s'_0 \\ cx_0 \quad \tilde{v} \quad s \rightsquigarrow cx' \quad s' \end{array}}{cx_0 \quad \tilde{v} \quad (\text{switch}(e) \ {e_i \rightarrow s_i}_i \ s_0); s \rightsquigarrow cx' \quad (\text{switch}(e) \ {e_i \rightarrow s'_i}_i \ s'_0); s'} \\[2ex]
\frac{cx \quad \tilde{v} \quad s \rightsquigarrow cx' \quad s'}{cx \quad \tilde{v} \quad (\text{assert } e); s \rightsquigarrow cx' \quad (\text{assert } e); s'} \\[2ex]
\frac{cx \quad \tilde{v} \quad s \rightsquigarrow cx' \quad s'}{cx \quad \tilde{v} \quad \text{throwerr}; s \rightsquigarrow cx' \quad \text{throwerr}; s'}
\end{array}$$

The contexts  $cx_1$  and  $cx_2$  obtained by transforming the branches  $s_1$  and  $s_2$  of an **if** are discarded because variables declared inside the branches are not visible outside the **if**. The context  $cx$  is passed to both branches because the branches are parallel, i.e. variables declared in a branch are not visible in the other branch. The set of used variables is augmented with the free variables of  $s$  prior to visiting the branches.

The context  $cx$  obtained by transforming a **switch** is discarded because variables declared inside the **switch** block are not visible outside. Differently from **if**, the context is threaded through the branches (i.e. cases) of the **switch** because the branches all live in the same block. The set of used variables is augmented with not only the free variables of  $s$  but also the free variables of the branches after  $i$  prior to visiting  $s_i$ .

### 4.3.3 Transformed program

The only program components that change are method bodies and static initializers

$$\frac{\begin{array}{c} mth = c.m : \overline{ty} \rightarrow ty \in \mathcal{D}(\text{body}) \\ param(mth) = \overline{v} \\ \{\overline{v} \mapsto \overline{ty}\} \quad \emptyset \quad body(mth) \rightsquigarrow cx \quad s \end{array}}{body'(mth) = s}$$

$$\frac{\vec{\emptyset} \quad \emptyset \quad s \in \text{sinit}(c) \quad s \rightsquigarrow cx \quad s'}{s' \in \text{sinit}'(c)}$$

In both cases, the set of used variables is initially empty because the body or the initializer is at the top level.

#### 4.4 Concrete name translation

The overall translation from a *Fun* program  $\mathcal{P}$  to a Java program  $\mathcal{P}'$ , consisting of the three phases specified above, is parameterized over the names  $\mathcal{N}$  used by  $\mathcal{P}$ .  $\mathcal{P}'$  uses names

$$\begin{aligned} \mathcal{N}' = \mathcal{N} & \\ & \uplus \{v_k \mid v \in \mathcal{N} \wedge k \in \mathbf{N}_+\} \\ & \uplus \{\text{sumd}_{c_i}^{ty} \mid ty, c_i \in \mathcal{N}\} \\ & \uplus \{\text{arg}_j \mid j \in \mathbf{N}_+\} \\ & \uplus \{\text{ires}_k \mid k \in \mathbf{N}_+\} \\ & \uplus \{\text{cres}_l \mid l \in \mathbf{N}_+\} \\ & \uplus \{\text{sub}_l^{c_i} \mid c_i \in \mathcal{N} \wedge l \in \mathbf{N}_+\} \\ & \uplus \{\text{tgt}_l \mid l \in \mathbf{N}_+\} \\ & \uplus \{\text{tag}_{c_i} \mid c_i \in \mathcal{N}\} \\ & \uplus \{\text{prim}, \text{eq}, \text{eqarg}, \text{eqargsub}, \text{tag}, \text{relax}, \text{choose}\} \end{aligned}$$

In order to produce valid Java code, the names in  $\mathcal{N}'$  used by  $\mathcal{P}'$  must be translated to Java identifiers that are distinct within the various name spaces (i.e. packages, classes, and method/constructor bodies) of  $\mathcal{P}'$ .

A Java identifier is a non-empty sequence of Unicode characters that starts with a letter or underscore or dollar, continues with letters, digits, underscores, and dollars, and is not a keyword or literal

$$\begin{aligned} \mathcal{J} = \{(\overline{ch}, \overline{ch}) \mid & ch \in \mathcal{C} \wedge \overline{ch} \in \mathcal{C}^* \wedge \\ & (\text{alpha}(ch) \vee ch \in \{-, \$\}) \wedge \\ & (\forall i. \text{alphanum}(ch_i) \vee ch_i \in \{-, \$\})\} - \mathcal{J}_{\text{KL}} \end{aligned}$$

where

$$\mathcal{C}$$

is the set of Unicode characters,

$$\mathcal{J}_{\text{KL}}$$

is the set of (Unicode character sequences forming) Java keywords and (boolean and null) literals, and the predicates

$$\text{alpha} \subseteq \mathcal{C} \qquad \text{alphanum} \subseteq \mathcal{C}$$



capture whether a Unicode character is alphabetic (i.e. letter) and/or alphanumeric (i.e. letter or digit).<sup>7</sup>

We now define a possible concrete name translation, under mundane assumptions about  $\mathcal{N}$  and  $\mathcal{P}$ . Other translations are possible. The examples in Section 2 do not exactly follow this name translation for simplicity.

#### 4.4.1 Assumptions on source program names

*Fun* uses identifiers consisting of non-empty sequences of Unicode characters starting with a letter, continuing with letters, digits, underscores, and question marks, and perhaps distinct from certain reserved Unicode character sequences (e.g. keywords in the concrete syntax of *Fun*, which is not specified in this document)

$$\mathcal{I} = \{(ch, \overline{ch}) \mid ch \in \mathcal{C} \wedge \overline{ch} \in \mathcal{C}^* \wedge \text{alpha}(ch) \wedge (\forall i. \text{alphanum}(ch_i) \vee ch_i \in \{-, ?\})\} - \mathcal{I}_R$$

where the exact contents of  $\mathcal{I}_R$  are unimportant because we only translate the identifiers in  $\mathcal{I}$ . Since ASCII characters are Unicode characters, this assumption covers the more restrictive possibility that *Fun* identifiers only consist of ASCII characters.

User-defined types are identifiers

$$Ty_U \subseteq \mathcal{I}$$

Projectors and constructors are also identifiers

$$\Delta(ty) = \prod_i p_i \ ty_i \Rightarrow \overline{p} \subseteq \mathcal{I} \qquad \Delta(ty) = \sum_i c_i \ \overline{ty}_i \Rightarrow \overline{c} \subseteq \mathcal{I}$$

A user-defined op consists of an identifier accompanied by the op's argument and result types

$$Op_U \subseteq \{oid^{\overline{ty} \rightarrow ty} \mid oid \in \mathcal{I} \wedge \overline{ty} \in Ty^* \wedge ty \in Ty\}$$

$$oid^{\overline{ty} \rightarrow ty} \in Op_U \Rightarrow \tau(oid^{\overline{ty} \rightarrow ty}) = \overline{ty} \rightarrow ty$$

which implies that ops can be overloaded, i.e. two ops can have the same identifier but different types. In lifting projectors and constructors to ops, we tag them with their types as well

$$Op_P = \{p_i^{ty \rightarrow ty_i} \mid \Delta(ty) = \prod_i p_i \ ty_i\}$$

$$Op_C = \{c_i^{\overline{ty}_i \rightarrow ty} \mid \Delta(ty) = \sum_i c_i \ \overline{ty}_i\}$$

Even if two product types have the same projector identifier, the projector ops are distinct because the product types are different; an analogous fact applies

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<sup>7</sup>In Java, `_` and `$` are considered letters. In this formalization, we do not consider them letters but our definition of Java identifiers coincides with the official one.

to constructors. User-defined ops, as well as projectors and constructors (lifted as ops) are required to be distinct

$$Op_P \cap Op_C = \emptyset \quad Op_P \cap Op_U = \emptyset \quad Op_C \cap Op_U = \emptyset$$

Variables are also identifiers

$$V \subseteq \mathcal{I}$$

#### 4.4.2 Preliminaries

There is considerable overlap between  $\mathcal{I}$  and  $\mathcal{J}$ . Normally, a *Fun* identifier translates to itself, as a Java identifier. But unfortunately, *Fun* identifiers may include `?`, which is disallowed in Java identifiers. In addition, a *Fun* identifier may happen to be a Java keyword or literal in  $\mathcal{J}_{KL}$ .

Identifier translation is captured by the function  $it : \mathcal{I} \rightarrow \mathcal{J}$  defined as

$$\begin{aligned} id \in \mathcal{J} &\Rightarrow it(id) = id \\ ? \in id &\Rightarrow it(id) = id[\$Q/?] \\ id \in \mathcal{J}_{KL} &\Rightarrow it(id) = (id, \$) \end{aligned}$$

i.e. *Fun* identifiers that are also Java identifiers translate to themselves, `?` is replaced<sup>8</sup> by `$Q` (`Q` for “question mark”), and Java keywords and literals are suffixed by `$`. For example,  $it(\mathbf{fact}) = \mathbf{fact}$ ,  $it(\mathbf{empty?}) = \mathbf{empty\$Q}$ , and  $it(\mathbf{null}) = \mathbf{null\$}$ . The function  $it$  is injective because `$` is disallowed in *Fun* identifiers and is always followed by `Q` when it replaces `?`: given  $it(id)$ , we can always determine  $id$ .

We will need to translate natural numbers to their decimal ASCII representation via the injective function  $nt : \mathbf{N} \rightarrow \mathcal{C}^*$  defined as

$$\begin{aligned} nt(0) &= 0 \\ &\vdots \\ nt(9) &= 9 \\ n \geq 10 &\Rightarrow nt(n) = (nt(n \mathbf{div} 10), nt(n \mathbf{mod} 10)) \end{aligned}$$

where **div** and **mod** are integer division and remainder.

We will also need to generate ASCII representations of *Fun* types via the injective function  $trp : Ty \rightarrow \mathcal{C}^*$  defined as

$$\begin{aligned} trp(\mathbf{Bool}) &= \$B \\ trp(\mathbf{Int}) &= \$I \\ ty \in Ty_U &\Rightarrow trp(ty) = (\$U, it(ty)) \end{aligned}$$

i.e. `Bool` and `Int` are represented as `$B` and `$I` (`B` and `I` for “boolean” and “integer”), while user-defined types are represented by prepending `$U` to their translation (`U` for “user-defined”).

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<sup>8</sup>We use the same substitution notation used for terms, expressions, and statements.

#### 4.4.3 Class names

The classes comprising  $\mathcal{P}'$  are meant to live in their own package (unnamed or otherwise). Thus, we must ensure that their (simple) names are distinct.

Class name translation is captured by the injective function  $ct : C \rightarrow \mathcal{J}$  defined as

$$\begin{aligned} ct(\text{prim}) &= \text{Prim} \\ ty \in Ty_U \wedge ty \neq \text{Prim} &\Rightarrow ct(ty) = it(ty) \\ \text{Prim} \in Ty_U &\Rightarrow ct(\text{Prim}) = \text{Prim\$} \\ ct(\text{sumd}_{c_i}^{ty}) &= (it(ty), \$\$, it(c_i)) \end{aligned}$$

The name **prim** of the class that collects all fields and methods derived from ops with all built-in types always translates to **Prim**.

The names  $Ty_U$  of the product and sum classes translate to  $it(Ty_U)$  if they are distinct from **Prim**, otherwise a **\$** is appended. All these names are distinct because of the injectivity of  $it$  and because **Prim** is not a Java keyword; they are also obviously distinct from **Prim**.

The names  $\text{sumd}_{c_i}^{ty}$  of the summand classes translate to the concatenation of  $it(ty)$  and  $it(c_i)$  separated by **\$\$**, e.g.  $\text{sumd}_{\text{nil}}^{\text{List}}$  and  $\text{sumd}_{\text{cons}}^{\text{List}}$  translate to **List\$\$nil** and **List\$\$cons**. The sum types are distinct and the constructors of any sum type are distinct. Every occurrence of **\$** in  $it(Ty_U)$  is immediately followed by **Q** or is at the end of the identifier. Thus, the summand class names are distinct from each other and from the other class names.

#### 4.4.4 Field names

The fields declared in a class must have distinct names.

Field name translation is captured by the function  $ft : Fld \rightarrow \mathcal{J}$  defined as

$$\frac{fld = ty.p_i : ty_i \in Fld_P}{ft(fld) = it(p_i)}$$

$$\frac{fld = \text{sumd}_{c_i}^{ty}.\text{arg}_j : ty_{j,i} \in Fld_{CA}}{ft(fld) = (\text{arg}, nt(j))}$$

$$\frac{fld = ty.\text{tag} : \text{int} \in Fld_T}{ft(fld) = \text{tag\$}}$$

$$\frac{fld = ty.\text{tag}_{c_i} : \text{int} \in Fld_{TC}}{ft(fld) = (\text{TAG}\$\$, it(c_i))}$$

$$\frac{fld = ty.\text{relax} : ty_0 \in Fld_R}{ft(fld) = \text{relax}}$$

$$\frac{fld = ty.choose : ty_0 \in Fld_C}{ft(fld) = \mathbf{choose}}$$

$$\frac{fld = ty.c_i : ty \in Fld_{CC}}{ft(fld) = it(c_i)}$$

$$\frac{fld = \mathbf{prim.oid^{Bool} : boolean} \in Fld_{CB}}{ft(fld) = \begin{cases} it(oid) & \text{if } oid^{Int} \notin Op_U \\ (it(oid), \$B) & \text{otherwise} \end{cases}}$$

$$\frac{fld = \mathbf{prim.oid^{Int} : int} \in Fld_{CB}}{ft(fld) = \begin{cases} it(oid) & \text{if } oid^{Bool} \notin Op_U \\ (it(oid), \$I) & \text{otherwise} \end{cases}}$$

$$\frac{fld = ty.oid^{ty} : ty \in Fld_{CU} \quad \Delta(ty) \in TySum}{ft(fld) = it(oid)}$$

$$\frac{fld = ty.oid^{ty} : ty \in Fld_{CU} \quad \Delta(ty) = \prod_i p_i ty_i}{ft(fld) = \begin{cases} it(oid) & \text{if } oid \notin \bar{p} \\ (it(oid), \$) & \text{otherwise} \end{cases}}$$

$$\frac{fld = ty.oid^{ty} : ty \in Fld_{CU} \quad \Delta(ty) \in TyRestr}{ft(fld) = \begin{cases} it(oid) & \text{if } oid \neq \mathbf{relax} \\ \mathbf{relax\$} & \text{otherwise} \end{cases}}$$

$$\frac{fld = ty.oid^{ty} : ty \in Fld_{CU} \quad \Delta(ty) \in TyQuot}{ft(fld) = \begin{cases} it(oid) & \text{if } oid \neq \mathbf{choose} \\ \mathbf{choose\$} & \text{otherwise} \end{cases}}$$

A summand class only declares instance fields  $\mathbf{arg}_j$ , one for each argument of the corresponding constructor. These names translate to  $\mathbf{arg1}$ ,  $\mathbf{arg2}$ , etc., which are distinct.

A sum class  $ty$  declares a static field  $\mathbf{tag}_{c_i}$  for each constructor, an instance field  $\mathbf{tag}$  for the numeric tag, a static field  $c_i$  for each constant constructor,

and a static field  $oid^{ty}$  for each user-defined constant with that sum type. The constructors of a sum type are distinct. Moreover, the assumptions on  $\mathcal{P}$  prevent two user-defined constants of type  $ty$  from having the same identifier and also prevent any user-defined constant of type  $ty$  from having the same identifier as a constant constructor of  $ty$ . Thus, we translate  $c_i$  and  $oid^{ty}$  to  $it(c_i)$  and  $it(oid)$ . We translate **tag** to **tag\$**, which is distinct from all the fields derived from constant constructors and from user-defined constants because **tag** is not a Java keyword. We translate **tag** $c_i$  by prepending **TAG\$\$** to the translation of  $c_i$ , which yields identifiers that are distinct from each other (because constructors are distinct) and from the other fields because the other fields do not contain **\$\$**.

A product class  $ty$  declares an instance field  $p_i$  for each projector and a static field  $oid^{ty}$  for each user-defined constant with that product type. The projectors of a product type are distinct. While the assumptions on  $\mathcal{P}$  prevent two user-defined constants of type  $ty$  from having the same identifier, nothing prevents one such constant to have the same identifier as a projector. We always translate a projector  $p_i$  to  $it(p_i)$ . A user-defined constant  $oid^{ty}$  translates to  $it(oid)$  if  $oid$  is distinct from every projector of the product type. Otherwise, we append **\$** to  $it(oid)$ . Either way, we end up with distinct field names because no projector translates to a Java keyword.

A restriction class  $ty$  declares an instance field **relax** holding a value of the restricted type and a static field  $oid^{ty}$  for each user-defined constant with that restriction type. We always translate **relax** to **relax**. While the assumptions on  $\mathcal{P}$  prevent two user-defined constants of type  $ty$  from having the same identifier, nothing prevents one such constant to have the identifier **relax**. A user-defined constant  $oid^{ty}$  translates to  $it(oid)$  if  $oid$  is not **relax**, otherwise we append **\$**. Either way, we end up with distinct field names because **relax** is not a Java keyword.

A quotient class  $ty$  declares an instance field **choose** holding a value of the quotient type and a static field  $oid^{ty}$  for each user-defined constant with that quotient type. We always translate **choose** to **choose**. While the assumptions on  $\mathcal{P}$  prevent two user-defined constants of type  $ty$  from having the same identifier, nothing prevents one such constant to have the identifier **choose**. A user-defined constant  $oid^{ty}$  translates to  $it(oid)$  if  $oid$  is not **choose**, otherwise we append **\$**. Either way, we end up with distinct field names because **choose** is not a Java keyword.

The class **prim** declares a static field  $oid^{ty}$  for each user-defined constant with built-in type. Nothing prevents the existence of two overloaded constants with the same identifier but different types **Bool** and **Int**. If  $oid^{ty}$  is not overloaded, it translates to  $it(oid)$ . If it is overloaded, we append **\$B** or **\$I** to it.

#### 4.4.5 Method names

The methods declared in a class must have distinct names or argument types.

Normally, a method name  $oid^{ty \rightarrow ty}$  translates to  $it(oid)$ , as defined below. However, two methods with the same  $oid$  may end up with the same argument

types. For example, there could be ops  $\mathbf{m}^{ty, \text{Int} \rightarrow ty} \neq \mathbf{m}^{\text{Int}, ty \rightarrow ty} \neq \mathbf{m}^{\text{Int} \rightarrow ty}$  with  $ty \in Ty_U$ , whose corresponding methods are  $ty.\mathbf{m}^{ty, \text{Int} \rightarrow ty} : \mathbf{int} \rightarrow ty$ ,  $ty.\mathbf{m}^{\text{Int}, ty \rightarrow ty} : \mathbf{int} \rightarrow ty$ , and  $ty.\mathbf{m}^{\text{Int} \rightarrow ty} : \mathbf{int} \rightarrow ty$ . In these conflicting situations, the translated identifiers must be suitably disambiguated.

We capture conflicts via a predicate  $\text{confl} \subseteq Mth$  on methods defined as

$$\frac{\begin{array}{l} mth = c.\text{oid}^{\overline{ty} \rightarrow ty} : tt(\text{del}(\overline{ty}, h)) \rightarrow tt(ty) \in Mth_{PM} \uplus Mth_{PMS} \uplus Mth_{NPM} \\ h = \min\{h \mid ty_h \in Ty_U\} \\ mth' = c.\text{oid}^{\overline{ty}' \rightarrow ty'} : tt(\text{del}(\overline{ty}', h')) \rightarrow tt(ty') \in Mth_{PM} \uplus Mth_{PMS} \uplus Mth_{NPM} \\ h' = \min\{h' \mid ty'_{h'} \in Ty_U\} \\ mth \neq mth' \\ tt(\text{del}(\overline{ty}, h)) = tt(\text{del}(\overline{ty}', h')) \end{array}}{\text{confl}(mth)}$$

$$\frac{\begin{array}{l} mth = ty_h.\text{oid}^{\overline{ty} \rightarrow ty} : tt(\text{del}(\overline{ty}, h)) \rightarrow tt(ty) \in Mth_{PM} \uplus Mth_{NPM} \\ h = \min\{h \mid ty_h \in Ty_U\} \\ mth' = ty_h.\text{oid}^{\overline{ty}' \rightarrow ty_h} : tt(\overline{ty}') \rightarrow ty_h \in Mth_{BA} \uplus Mth_C \\ tt(\text{del}(\overline{ty}, h)) = tt(\overline{ty}') \end{array}}{\text{confl}(mth)}$$

$$\frac{mth = c.\text{equals}^{ty, ty \rightarrow \text{Bool}} : ty \rightarrow \text{boolean} \in Mth_{PM} \uplus Mth_{PMS} \uplus Mth_{NPM}}{\text{confl}(mth)}$$

Method name translation is captured by the function  $mt : Mth \rightarrow \mathcal{J}$  defined as

$$\frac{mth = c.\text{eq} : ty \rightarrow \text{boolean} \in Mth_{EP} \uplus Mth_{ES} \uplus Mth_{ER} \uplus Mth_{EQ} \uplus Mth_{ESS}}{mt(mth) = \text{equals}}$$

$$\frac{mth = ty.c_i : \overline{ty}_i \rightarrow ty \in Mth_C}{mt(mth) = \begin{cases} \text{equals\$} & \text{if } c_i = \text{equals} \wedge \overline{ty}_i = ty \\ it(c_i) & \text{otherwise} \end{cases}}$$

$$\frac{mth = \text{prim}.\text{oid}^{\overline{ty} \rightarrow \text{Bool}} : tt(\overline{ty}) \rightarrow \text{boolean} \in Mth_B}{mt(mth) = \begin{cases} it(oid) & \text{if } oid^{\overline{ty} \rightarrow \text{Int}} \notin Op_U \\ (it(oid), \$B) & \text{otherwise} \end{cases}}$$

$$\frac{mth = \text{prim}.\text{oid}^{\overline{ty} \rightarrow \text{Int}} : tt(\overline{ty}) \rightarrow \mathbf{int} \in Mth_B}{mt(mth) = \begin{cases} it(oid) & \text{if } oid^{\overline{ty} \rightarrow \text{Bool}} \notin Op_U \\ (it(oid), \$I) & \text{otherwise} \end{cases}}$$

$$\frac{mth = ty.oid^{\overline{ty} \rightarrow ty} : tt(\overline{ty}) \rightarrow ty \in Mth_{BA}}{mt(mth) = it(oid)}$$

$$\frac{mth = c.oid^{\overline{ty} \rightarrow ty} : tt(del(\overline{ty}, h)) \rightarrow tt(ty) \in Mth_{PM} \uplus Mth_{PMS} \uplus Mth_{NPM} \quad h = \min\{h \mid ty_h \in Ty_U\}}{mt(mth) = \begin{cases} it(oid) & \text{if } \neg confl(mth) \\ (it(oid), \$, nt(h), trp(ty)) & \text{otherwise} \end{cases}}$$

A product class  $ty$  declares an equality method **eq** with argument type  $ty$ , which always translates to **equals**.

A product class  $ty$  also declares a static method  $oid^{\overline{ty} \rightarrow ty}$  with argument types  $tt(\overline{ty})$  for each op with  $\overline{ty} \in Ty_B^+$ . We translate  $oid^{\overline{ty} \rightarrow ty}$  to  $it(oid)$ . The assumptions on  $\mathcal{P}$  guarantee that if two of these static methods have the same  $oid$  then they have distinct (primitive) argument types, because the corresponding ops have the same result type  $ty$  and thus must differ in their argument types. Moreover, the class argument type  $ty$  of **eq** obviously differs from the primitive argument types of these static methods.

A product class  $ty_h$  also declares an instance method  $oid^{\overline{ty} \rightarrow ty}$  with argument types  $tt(del(\overline{ty}, h))$  for each op with  $h = \min\{h \mid ty_h \in Ty_U\}$ . In the absence of conflicts,  $oid^{\overline{ty} \rightarrow ty}$  translates to  $it(oid)$ . In the presence of conflicts, the assumptions on  $\mathcal{P}$  imply that  $oid^{\overline{ty} \rightarrow ty}$  must differ from the conflicting ops in the position  $h$  of the leftmost user-defined argument type and/or in the result type  $ty$ . Thus, we append (the ASCII representation of) the position  $h$  preceded by **\$** and of the result type  $ty$ . For instance, the method  $mm^{Int, ty \rightarrow Bool}$  translates to **mm\$2\$B** if the method conflicts with some other method; otherwise, just to **mm**.

A sum class  $ty$  declares an equality method **eq** with argument type  $ty$ , which always translates to **equals**.

A sum class  $ty$  also declares a static method  $oid^{\overline{ty} \rightarrow ty}$  with argument types  $tt(\overline{ty})$  for each op with  $\overline{ty} \in Ty_B^+$ . We translate  $oid^{\overline{ty} \rightarrow ty}$  to  $it(oid)$ . Similarly to product classes above, these static methods have names or argument types distinct from each other and from the equality method.

A sum class  $ty$  also declares a static method  $c_i$  with argument types  $tt(\overline{ty}_i)$  for each non-constant constructor of  $ty$ . The assumptions on  $\mathcal{P}$  ensure that these methods have names or argument types distinct from the other static methods mentioned just above. We translate  $c_i$  to  $it(c_i)$ ; if  $c_i = \mathbf{equals}$  and  $\overline{ty}_i = ty$ , we append **\$** to make it distinct from the equality method.

A sum class  $ty_h$  also declares a method  $oid^{\overline{ty} \rightarrow ty}$  with argument types  $tt(del(\overline{ty}, h))$  for each op with  $h = \min\{h \mid ty_h \in Ty_U\}$ . Similarly to product classes, in the absence of conflicts we translate  $oid^{\overline{ty} \rightarrow ty}$  to  $it(oid)$ . In the presence of conflicts, we append to  $it(oid)$  the position  $h$  and the result type  $ty$ .

A summand class  $\text{sumd}_{c_i}^{ty}$  declares an equality method **eq** with argument type  $ty$ , which always translates to **equals**.

A summand class  $\text{sumd}_{c_i}^{ty_h}$  also declares a method  $oid^{\overline{ty} \rightarrow ty}$  with argument types  $tt(\text{del}(\overline{ty}, h))$  for each op with  $h = \min\{h \mid ty_h \in Ty_U\}$ . Similarly to product and sum classes, in the absence of conflicts we translate  $oid^{\overline{ty} \rightarrow ty}$  to  $it(oid)$ . In the presence of conflicts, we append to  $it(oid)$  the position  $h$  and the result type  $ty$ .

A restriction or quotient class  $ty$  declares an equality method **eq** with argument type  $ty$ , which always translates to **equals**.

A restriction or quotient class  $ty$  also declares a static method  $oid^{\overline{ty} \rightarrow ty}$  with argument types  $tt(\overline{ty})$  for each op with  $\overline{ty} \in Ty_B^+$ . We translate  $oid^{\overline{ty} \rightarrow ty}$  to  $it(oid)$ . Similarly to product and sum classes above, these static methods have names or argument types distinct from each other and from the equality method.

A restriction or quotient class  $ty_h$  also declares a method  $oid^{\overline{ty} \rightarrow ty}$  with argument types  $tt(\text{del}(\overline{ty}, h))$  for each op with  $h = \min\{h \mid ty_h \in Ty_U\}$ . Similarly to product, sum, and summand classes, in the absence of conflicts we translate  $oid^{\overline{ty} \rightarrow ty}$  to  $it(oid)$ . In the presence of conflicts, we append to  $it(oid)$  the position  $h$  and the result type  $ty$ .

The class **prim** declares a method  $oid^{\overline{ty} \rightarrow ty}$  with argument types  $tt(\overline{ty})$  for each op with  $\overline{ty} \in Ty_B^+$  and  $ty \in Ty_B$ . The assumptions on  $\mathcal{P}$  ensure that if two such ops have the same  $oid$  and the same argument types  $\overline{ty}$ , they must differ in their result type  $ty$ . Thus, we translate  $oid^{\overline{ty} \rightarrow ty}$  to  $it(oid)$  if there is no other op  $oid^{\overline{ty} \rightarrow ty'}$  with  $ty' \in Ty_B$  and  $ty' \neq ty$ . Otherwise, we append **\$B** or **\$I** to  $it(oid)$ , incorporating a representation of the result type.

#### 4.4.6 Variables

The variables used within a method or constructor (method/constructor parameters and, if the method is not abstract, local variables) must be distinct.

Variable translation is captured by the function  $vt : V \xrightarrow{\mathcal{P}} \mathcal{J}$  defined as

$$\begin{aligned}
vt(\text{eqarg}) &= \text{eqarg} \\
vt(\text{eqargsub}) &= \text{eqargSub} \\
vt(\text{relax}) &= \text{relax} \\
vt(\text{choose}) &= \text{choose} \\
v \in \mathcal{I} &\Rightarrow vt(v) = it(v) \\
vt(v_k) &= (it(v), \$, nt(k)) \\
vt(\text{arg}_j) &= (\text{arg}, nt(j)) \\
vt(\text{ires}_k) &= (\text{ifres}\$, nt(k)) \\
vt(\text{cres}_l) &= (\text{caseres}\$, nt(l)) \\
vt(\text{sub}_{c_i}^{c_i}) &= (\text{sub}\$, it(c_i), \$, nt(l)) \\
vt(\text{tgt}_l) &= (\text{target}\$, nt(l)) \\
\Delta(ty) = \prod_i p_i \ ty_i &\Rightarrow vt(p_i) = it(p_i)
\end{aligned}$$



The equality methods have parameter `eqarg` and those in summand classes with at least one field also declare a local variable `eqargsub`. By translating `eqarg` and `eqargsub` to `eqarg` and `eqargSub`, we have distinct variables within these methods.

A method derived from a non-constant constructor has parameters `argj` and declares no local variables. Thus, it is sufficient to translate the parameters to `arg1`, `arg2`, etc.

All the other methods, derived from user-defined ops, have parameters and local variables derived from the variables of the ops' defining terms, plus local variables introduced to store results of `if` and `case` as well as `switch` targets and results of summand casts. These variables are either simple *Fun* identifiers or have one of the forms  $v_k$ ,  $\text{ires}_k$ ,  $\text{cres}_l$ ,  $\text{sub}_l^{c_i}$ , and  $\text{tgt}_l$ . All we have to do is translate them to distinct Java identifiers. We translate  $v$  to  $it(v)$ ,  $v_k$  by appending  $\$$  and  $k$  to  $it(v)$ ,  $\text{ires}_k/\text{cres}_l/\text{tgt}_l$  to  $\text{ifres}\$\$1/\text{caseres}\$\$1/\text{target}\$\$1$ ,  $\text{ifres}\$\$2/\text{caseres}\$\$2/\text{target}\$\$2$ , etc., and  $\text{sub}_l^{c_i}$  by appending  $it(c_i)$  and  $l$ , separated by  $\$\$$ , to `sub`.

The constructors of product classes have projectors  $p_i$  as parameters and declare no local variables; we translate their parameters to their corresponding Java identifiers via  $it$ . The constructors of restriction classes have one parameter `relax` and declare no local variable; we translate the parameter to `relax`. The constructors of quotient classes have one parameter `choose` and declare no local variable; we translate the parameter to `choose`. The constructors of summand classes have parameters `argj` and declare no local variables; we translate their parameters to `arg1`, `arg2`, etc.

#### 4.4.7 The dollar character

The concrete name translation defined above makes extensive use of  $\$$  (which is disallowed in *Fun* identifiers) to encode  $?$  (which is disallowed in Java identifiers) and to ensure name distinction within the various name spaces of the Java program. The resulting translation is relatively local, in the sense that most names translate to identifiers independently from other names, e.g. *Fun* constructors  $c_i$  always translate to  $it(c_i)$  and variables  $v_k$  always translate to  $(it(v), \$, nt(k))$ .

In the absence of a character like  $\$$ , disallowed in *Fun* identifiers but allowed in Java identifiers, a more complex and less local translation would be necessary. For instance, while  $\mathbf{x}_2$  could normally be translated to  $\mathbf{x}2$  (instead of  $\mathbf{x}\$2$ ), this would work only if the op's defining term where  $\mathbf{x}_2$  occurs does not happen to use a variable  $\mathbf{x}2$  already. So, in general the translation of  $\mathbf{x}_2$  would have to depend on the other variables occurring in the op's defining term.

## 5 Properties

We conjecture that the translation from *Fun* to Java defined in this document is correct, in the sense that the resulting Java program is accepted by any

compliant Java compiler and that its execution on any compliant Java Virtual Machine is “equivalent” to (i.e. “simulates”) the execution of the source *Fun* program.

In particular, the Java program will throw no exceptions during its execution, except when division by zero is attempted and when a non-existent **case** branch is reached; these circumstances would cause some kind of error in *Fun* as well.

## 6 Differences with Version 1

- Restriction and quotient types have been added to *Fun*, along with restrictors, relaxators, quotienters, and choosers.
- Pattern matching in *Fun* may now have branches for only a subset of the summands of the associated sum type.
- Restriction terms associated to ops have been added to *Fun*.
- Class comparison expressions have been removed from our formal model of Java.
- Assertions, **switch**, and error throwing have been added to our formal model of Java.
- Free variables and substitutions have been defined for Java expressions and statements.
- Pattern matching lifting has been removed from the translation.
- Variable renaming of **let** has been modified to transform the  $t_0$  subterm before using the index, so that all indices appear in order in the Java program.
- Translations for restriction and quotient types, along with their associated ops, have been added.
- Numeric tags for sum classes have been added to the translation, and sum equality methods have been changed to use the compare tags instead of classes.
- A translation of non-top-level pattern matching to **switch** statements has been added; top-level pattern matching still translates to dynamic dispatch.
- Variables to store intermediate results (e.g. of **if-then-else**) are minimized by embedding information about how the results are used (e.g. returned by a method), making the code more readable and efficient.
- Variables previously disambiguated by numeric indices are restored to their original names (i.e. the indices are removed) whenever possible, making the code more readable and space-efficient.

- The assumptions on the concrete names used in *Fun* have been slightly simplified, by eliminating certain assumptions (e.g. that `Bool` and `Int` are two identifiers) that are not really needed to define the concrete name translation.
- A few concrete name translations have been slightly changed (e.g. `prim`) to make them more readable and stable with respect to changes to the *Fun* program (e.g. `prim` always translates to `Prim`, regardless of the presence of a user-defined type `Prim`).
- A pleasant consequence of the elimination of pattern matching lifting is the absence of `aux` names, simplifying the concrete name translation.