

# The Logic of Metaslang

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## 1 Introduction

This document formally defines the logic of the Metaslang language [1], drawing ideas from [2], [3], and [4, Part II].

The rest of this section introduces the mathematical notation used in this document. §2 defines the core (abstract) syntax of the Metaslang logic while §3 defines its proof theory. §4 states properties of the syntax and proof theory. §5 defines a set-theoretic semantics of the Metaslang logic. §6 shows how various Metaslang constructs are captured in terms of the core constructs. Finally, §A collects all the (meta) proofs of the (meta) theorems stated in the other sections.

### 1.1 Notation

We define the Metaslang logic in the usual semi-formal notation consisting of naive set theory and natural language. However, it is possible to define the Metaslang logic in axiomatic set theory or any other sufficiently expressive formal language.

The (meta) logical notations  $=$ ,  $\forall$ ,  $\exists$ ,  $\neg$ ,  $\wedge$ ,  $\vee$ ,  $\Rightarrow$ ,  $\Leftrightarrow$ , and  $\neq$  (e.g.  $\neq$ ) have the usual meaning.

The set-theoretic notations  $\in$ ,  $\emptyset$ ,  $\{\dots \mid \dots\}$ ,  $\{\dots\}$ ,  $\cup$ ,  $\cap$ , and  $\subseteq$  have the usual meaning.

$\mathbf{N}$  is the set of natural numbers, i.e.  $\{0, 1, 2, \dots\}$ .

If  $A$  and  $B$  are sets,  $A - B$  is their difference, i.e.  $\{x \in A \mid x \notin B\}$ .

If  $A$  and  $B$  are sets,  $A \times B$  is their cartesian product, i.e.  $\{\langle a, b \rangle \mid a \in A \wedge b \in B\}$ . This generalizes to  $n > 2$  sets.

If  $A$  and  $B$  are sets,  $A + B$  is their disjoint union, i.e.  $\{\langle 0, a \rangle \mid a \in A\} \cup \{\langle 1, b \rangle \mid b \in B\}$ . The “tags” 0 and 1 are always left implicit. This generalizes to  $n > 2$  sets.

If  $A$  and  $B$  are sets,  $A \xrightarrow{p} B$  is the set of all partial functions from  $A$  to  $B$ , i.e.  $\{f \subseteq A \times B \mid \forall \langle a, b_1 \rangle, \langle a, b_2 \rangle \in f. b_1 = b_2\}$ ;  $A \rightarrow B$  is the set of all total functions from  $A$  to  $B$ , i.e.  $\{f \in A \xrightarrow{p} B \mid \forall a \in A. \exists b \in B. \langle a, b \rangle \in f\}$ ; and  $A \hookrightarrow B$  is the set of all total injective functions from  $A$  to  $B$ , i.e.  $\{f \in A \rightarrow B \mid \forall \langle a_1, b \rangle, \langle a_2, b \rangle \in f. a_1 = a_2\}$ .

If  $f$  is a function from  $A$  to  $B$ ,  $\mathcal{D}(f)$  is the domain of  $f$ , i.e.  $\{a \in A \mid \exists b \in B. \langle a, b \rangle \in f\}$ .

If  $f$  is a function and  $a \in \mathcal{D}(f)$ ,  $f(a)$  denotes the unique value such that  $\langle a, f(a) \rangle \in f$ .

We write  $f : A \xrightarrow{p} B$ ,  $f : A \rightarrow B$ , and  $f : A \hookrightarrow B$  for  $f \in A \xrightarrow{p} B$ ,  $f \in A \rightarrow B$ , and  $f \in A \hookrightarrow B$ , respectively.

If  $A$  is a set,  $\mathcal{P}_\omega(A)$  is the set of all finite subsets of  $A$ , i.e.  $\{S \subseteq A \mid S \text{ finite}\}$ .

If  $A$  is a set,  $A^*$  is the set of all finite sequences of elements of  $A$ , i.e.  $\{x_1, \dots, x_n \mid x_1 \in A \wedge \dots \wedge x_n \in A\}$ ;  $A^+$ ,  $A^{(*)}$ , and  $A^{(+)}$  are the subsets of  $A^*$  of non-empty sequences, sequences without repeated elements, and non-empty sequences without repeated elements, respectively.<sup>1</sup> The empty sequence is written  $\epsilon$ . A sequence  $x_1, \dots, x_n$  is often written  $\bar{x}$ , leaving  $n$  implicit. The length of a sequence  $s$  is written  $|s|$ . When a sequence is written where a set is expected, it stands for the set of its elements.

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<sup>1</sup>Strictly speaking, our notation  $x_1, \dots, x_n$  for sequences may lead to ambiguities, e.g. if  $s_1$  and  $s_2$  are sequences, is  $s_1, s_2$  the sequence of length 2 whose elements are  $s_1$  and  $s_2$ , or is it the concatenation of  $s_1$  and  $s_2$ ? However, in this document, the intended meaning should be always clear from the symbols used and from mathematical context.

## 2 Syntax

### 2.1 Names

We postulate the existence of an infinite set of names

$$\mathcal{N}$$

### 2.2 Types

We inductively define the set of types as

$$\begin{aligned} Type = & \{\text{Bool}\} \\ & + \{\beta \mid \beta \in \mathcal{N}\} \\ & + \{\tau[\overline{T}] \mid \tau \in \mathcal{N} \wedge \overline{T} \in Type^*\} \\ & + \{T_1 \rightarrow T_2 \mid T_1, T_2 \in Type\} \\ & + \{f_1 T_1 \times \cdots \times f_n T_n \mid \overline{f} \in \mathcal{N}^{(*)} \wedge \overline{T} \in Type^*\} \\ & + \{c_1 T_1 + \cdots + c_n T_n \mid \overline{c} \in \mathcal{N}^{(+)} \wedge \overline{T} \in Type^+\} \\ & + \{T|r \mid T \in Type \wedge r \in Exp\} \\ & + \{T/q \mid T \in Type \wedge q \in Exp\} \end{aligned}$$

where  $Exp$  is defined later.<sup>2</sup>

Explanation:

- There is a type **Bool** for boolean (i.e. truth) values.
- A name  $\beta$  is a type variable.
- A type instance  $\tau[\overline{T}]$  is obtained by combining a type name  $\tau$  with zero or more argument types  $\overline{T}$ . We may write  $\tau[\epsilon]$  as just  $\tau$ .
- An arrow type  $T_1 \rightarrow T_2$  consists of a domain  $T_1$  and a range  $T_2$ .
- Record types  $\prod_i f_i T_i$  (resp. sum types  $\sum_i c_i T_i$ ) consist of typed fields  $f_i$  (resp. constructors  $c_i$ ). Note that record types may have no fields (denoted  $\prod \epsilon$ ), while sum types always have constructors. All the fields (resp. constructors) of a record (resp. sum) type must be distinct names. The case of no type  $T_i$  associated to a constructor  $c_i$  in a sum type as defined in [1] is captured by  $T_i$  being  $\prod \epsilon$  in the definition above: given a spec as defined in [1], one can imagine to add  $\prod \epsilon$  where a constructor has no type, and add the empty record as argument to  $c_i$  in expressions and patterns where needed.
- Restriction types  $T|r$  and quotient types  $T/q$  are obtained by combining types  $T$  with expressions  $r$  and  $q$  (meant to be suitable predicates). Restriction and quotient types create the dependency of types on expressions. Restriction types as defined above also capture comprehension types as defined in [1]: as mentioned in [1], a comprehension type can be turned into a restriction type by re-combining the pattern and expression into a lambda expression.

We introduce the abbreviation

$$\prod_i T_i \longrightarrow \prod_i \pi_i T_i$$

where each  $\pi_i$  is a fixed but unspecified name in  $\mathcal{N}$  such that  $\pi_i \neq \pi_j$  if  $i \neq j$ . Thus, record types as defined above also capture product types as defined in [1]; the names  $\pi_i$  capture the natural literal fields used in [1].

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<sup>2</sup>Types depend on expressions, which depend on types. Thus, types and expressions are inductively defined together, not separately. Their definitions are presented separately only for readability.

## 2.3 Expressions

We inductively define the set of expressions as

$$\begin{aligned}
Exp = & \{v \mid v \in \mathcal{N}\} \\
& + \{o[\overline{T}] \mid o \in \mathcal{N} \wedge \overline{T} \in Type^*\} \\
& + \{e_1 \ e_2 \mid e_1, e_2 \in Exp\} \\
& + \{\lambda v:T. e \mid v \in \mathcal{N} \wedge T \in Type \wedge e \in Exp\} \\
& + \{e_1 \equiv e_2 \mid e_1, e_2 \in Exp\} \\
& + \{\text{if } e_0 \ e_1 \ e_2 \mid e_0, e_1, e_2 \in Exp\} \\
& + \{\iota_T \mid T \in Type\} \\
& + \{\text{proj}_{\prod_i f_i \ T_i} f_j \mid \prod_i f_i \ T_i \in Type\} \\
& + \{\text{emb}_{\sum_i c_i \ T_i} c_j \mid \sum_i c_i \ T_i \in Type\} \\
& + \{\text{quo}_{T/q} \mid T/q \in Type\}
\end{aligned}$$

Explanation:

- A name  $v$  is a variable.
- An op(eration) instance  $o[\overline{T}]$  consists of an op name  $o$  and zero or more types  $\overline{T}$  that instantiate the (generally, polymorphic) type of the declared op. We may write  $o[\epsilon]$  as just  $o$ .
- An application  $e_1 \ e_2$  consists of a function  $e_1$  juxtaposed to an argument  $e_2$ .
- A (lambda) abstraction  $\lambda v:T. e$  consists of an argument  $v$  with an explicit type  $T$  and a body  $e$ . Even though lambda expressions as defined in [1] may have branches with patterns, that does not increase expressivity: one can imagine to use a fresh variable as argument of the abstraction and a case expression (introduced later) on the fresh variable with the branches as the body.
- An equality  $e_1 \equiv e_2$  consists of a left-hand side  $e_1$  and a right-hand side  $e_2$ .
- A conditional  $\text{if } e_0 \ e_1 \ e_2$  consists of a condition  $e_0$ , a “then” branch  $e_1$ , and an “else” branch  $e_2$ .
- The description operator  $\iota_T$  is tagged by a type. It operates on predicates over  $T$  (i.e. over values of type  $T \rightarrow \text{Bool}$ ) that are satisfied by a unique value of  $T$ , and its result is *the* value that satisfies the predicate.
- A projector  $\text{proj}_{\prod_i f_i \ T_i} f_j$  is tagged by a record type and by a field of that record type. We may write  $\text{proj}_{\prod_i f_i \ T_i} f_j$  as just  $\text{proj } f_j$  when the record type is inferrable or irrelevant.
- An embedder  $\text{emb}_{\sum_i c_i \ T_i} c_j$  is tagged by a sum type and by a constructor of that sum type. We may write  $\text{emb}_{\sum_i c_i \ T_i} c_j$  as just  $\text{emb } c_j$  when the sum type is inferrable or irrelevant.
- A quotienter  $\text{quo}_{T/q}$  is tagged by a quotient type. We may write  $\text{quo}_{T/q}$  as just  $\text{quo}$  when the quotient type is inferrable or irrelevant.

We introduce the abbreviations (many of which are expressions defined in [1])

$$\begin{aligned}
\text{true} &\longrightarrow \lambda\gamma:\text{Bool}. \gamma \equiv \lambda\gamma:\text{Bool}. \gamma \\
\text{false} &\longrightarrow \lambda\gamma:\text{Bool}. \gamma \equiv \lambda\gamma:\text{Bool}. \text{true} \\
\neg &\longrightarrow \lambda\gamma:\text{Bool}. (\text{if } \gamma \text{ false true}) \\
e_1 \wedge e_2 &\longrightarrow \text{if } e_1 \text{ } e_2 \text{ false} \\
e_1 \vee e_2 &\longrightarrow \text{if } e_1 \text{ true } e_2 \\
e_1 \Rightarrow e_2 &\longrightarrow \text{if } e_1 \text{ } e_2 \text{ true} \\
\Leftrightarrow &\longrightarrow \lambda\gamma:\text{Bool}. \lambda\gamma':\text{Bool}. (\gamma \equiv \gamma') \\
e_1 \Leftrightarrow e_2 &\longrightarrow \Leftrightarrow e_1 \text{ } e_2 \\
e_1 \not\equiv e_2 &\longrightarrow \neg (e_1 \equiv e_2) \\
\iota v:T.e &\longrightarrow \iota_T (\lambda v:T. e) \\
\forall_T &\longrightarrow \lambda\psi:T \rightarrow \text{Bool}. (\psi \equiv \lambda\gamma:T. \text{true}) \\
\forall v:T. e &\longrightarrow \forall_T (\lambda v:T. e) \\
\forall v_1:T_1, \dots, v_n:T_n. e &\longrightarrow \forall v_1:T_1. \dots \forall v_n:T_n. e \\
\forall \bar{v}:\bar{T}. e &\longrightarrow \forall v_1:T_1, \dots, v_n:T_n. e \\
\exists_T &\longrightarrow \lambda\psi:T \rightarrow \text{Bool}. \neg (\forall \gamma:T. \neg (\psi \gamma)) \\
\exists v:T. e &\longrightarrow \exists_T (\lambda v:T. e) \\
\exists v_1:T_1, \dots, v_n:T_n. e &\longrightarrow \exists v_1:T_1. \dots \exists v_n:T_n. e \\
\exists \bar{v}:\bar{T}. e &\longrightarrow \exists v_1:T_1, \dots, v_n:T_n. e \\
\exists!_T &\longrightarrow \lambda\psi:T \rightarrow \text{Bool}. (\exists \gamma:T. (\psi \gamma \wedge \forall \gamma':T. (\psi \gamma' \Rightarrow \gamma' \equiv \gamma))) \\
\exists! v:T. e &\longrightarrow \exists!_T (\lambda v:T. e) \\
e.f &\longrightarrow \text{proj } f \text{ } e \\
\text{rec}_{\prod_i f_i T_i} &\longrightarrow \lambda\gamma_1:T_1. \dots \lambda\gamma_n:T_n. \iota\gamma:\prod_i f_i T_i. \bigwedge_i (\gamma.f_i \equiv \gamma_i) \\
\langle f_1 \xleftarrow{T_1} e_1 \dots f_n \xleftarrow{T_n} e_n \rangle &\longrightarrow \text{rec}_{\prod_i f_i T_i} e_1 \dots e_n \\
\langle e_1, \dots, e_n \rangle &\longrightarrow \langle \pi_1 \leftarrow e_1 \dots \pi_n \leftarrow e_n \rangle
\end{aligned}$$

where  $\gamma, \gamma', \psi$ , and each  $\gamma_i$  are fixed but unspecified names in  $\mathcal{N}$  such that they are all distinct.

Explanation:

- The abbreviations **true** and **false** stand for logical truth and falsehood, respectively.
- The logical connectives for negation, conjunction, disjunction, and implication are defined in terms of conditionals.
- Coimplication is a synonym for equality, but only for booleans.
- Inequality is negation of equality.
- Stand-alone quantifiers are higher-order functions, but they can be written in binder form. Also the description operator can be written in binder form. Note that both  $\forall \epsilon. e$  and  $\exists \epsilon. e$  stand for  $e$ .
- A dotted projection  $e.f$  abbreviates an applied projection whose record type is implicit; this is why no record type appears in  $e.f$ .
- A record constructor  $\text{rec}_{\prod_i f_i T_i}$  is tagged by a record type and is defined by means of a description; records are characterized by their components. We may write  $\text{rec}_{\prod_i f_i T_i}$  as just **rec** when the record type is inferable or irrelevant. Note that  $\text{rec}_{\prod \epsilon}$  denotes the empty record (a conjunction  $\bigwedge_i e_i$  consisting of no conjuncts, i.e. such that  $n = 0$ , stands for **true**). The notation  $\langle f_i \xleftarrow{T_i} e_i \rangle_i$  is a more readable version of an applied record constructor. We may write  $\langle f_i \xleftarrow{T_i} e_i \rangle_i$  as just  $\langle f_i \leftarrow e_i \rangle_i$  when the component types are inferable or irrelevant.
- A tuple  $\langle \bar{e} \rangle$  captures tuple displays as defined in [1]. It abbreviates a record construction of a product type whose component types are implicit; this is why no component types appear in  $\langle \bar{e} \rangle$ .

The function  $\mathcal{FV} : Exp \rightarrow \mathcal{P}_\omega(\mathcal{N})$  returns the free variables of an expression

$$\begin{aligned}
\mathcal{FV}(v) &= \{v\} \\
\mathcal{FV}(o[\overline{T}]) &= \emptyset \\
\mathcal{FV}(e_1 e_2) &= \mathcal{FV}(e_1) \cup \mathcal{FV}(e_2) \\
\mathcal{FV}(\lambda v:T. e) &= \mathcal{FV}(e) - \{v\} \\
\mathcal{FV}(e_1 \equiv e_2) &= \mathcal{FV}(e_1) \cup \mathcal{FV}(e_2) \\
\mathcal{FV}(\text{if } e_0 e_1 e_2) &= \mathcal{FV}(e_0) \cup \mathcal{FV}(e_1) \cup \mathcal{FV}(e_2) \\
\mathcal{FV}(\iota_T) &= \emptyset \\
\mathcal{FV}(\text{proj } f) &= \emptyset \\
\mathcal{FV}(\text{emb } c) &= \emptyset \\
\mathcal{FV}(\text{quo}) &= \emptyset
\end{aligned}$$

Note that we do not consider the free variables in expressions contained in types contained in expressions (e.g. we do not consider the free variables in  $q$  as part of the free variables of  $\text{quo}_{T/q}$ ). The reason is that, as defined later, all the expressions contained in well-formed types have no free variables.

## 2.4 Contexts

We define the set of context elements as

$$\begin{aligned}
CxElem = & \{ \text{ty } \tau:n \mid \tau \in \mathcal{N} \wedge n \in \mathbf{N} \} \\
& + \{ \text{op } o:\{\overline{\beta}\} T \mid o \in \mathcal{N} \wedge \overline{\beta} \in \mathcal{N}^{(*)} \wedge T \in Type \} \\
& + \{ \text{def } \tau[\overline{\beta}] = T \mid \tau \in \mathcal{N} \wedge \overline{\beta} \in \mathcal{N}^{(*)} \wedge T \in Type \} \\
& + \{ \text{def } \{\overline{\beta}\} o = e \mid \overline{\beta} \in \mathcal{N}^{(*)} \wedge o \in \mathcal{N} \wedge e \in Exp \} \\
& + \{ \text{ax } \{\overline{\beta}\} e \mid \overline{\beta} \in \mathcal{N}^{(*)} \wedge e \in Exp \} \\
& + \{ \text{tvar } \beta \mid \beta \in \mathcal{N} \} \\
& + \{ \text{var } v:T \mid v \in \mathcal{N} \wedge T \in Type \}
\end{aligned}$$

Explanation:

- A type declaration  $\text{ty } \tau:n$  introduces a type name with an associated arity. The type variables of a type declaration as defined in [1] only serve to determine an arity and are otherwise irrelevant; thus, in the above definition we directly use the arity without type variables.
- An op(eration) declaration  $\text{op } o:\{\overline{\beta}\} T$  introduces an op name with an associated type, polymorphic in the explicit type variables.
- A type definition  $\text{def } \tau[\overline{\beta}] = T$  assigns a type to a maximally generic type instance of some type name (i.e. an instance with distinct type variables as arguments to the type name). A combined type declaration and definition as defined in [1] is captured by a type declaration as defined above immediately followed by a type definition as defined above.
- An op definition  $\text{def } \{\overline{\beta}\} o = e$  assigns an expression to an op name, polymorphic in the explicit type variables. A combined op declaration and definition as defined in [1] is captured by an op declaration as defined above immediately followed by an op definition as defined above.
- An axiom  $\text{ax } \{\overline{\beta}\} e$  introduces an expression (with type  $\text{Bool}$ , as defined later), polymorphic in the explicit type variables. We may write  $\text{ax } \{\epsilon\} e$  as just  $\text{ax } e$ .
- A type variable declaration  $\text{tvar } \beta$  introduces a type variable. We may write  $\text{tvar } \beta_1, \dots, \text{tvar } \beta_n$  as just  $\text{tvar } \beta_1, \dots, \beta_n$ .
- A variable declaration  $\text{var } v:T$  introduces a variable with a type.

We define the set of contexts as

$$Cx = CxElem^*$$

In other words, a context is a finite sequence of context elements.

The function  $\mathcal{TN} : Cx \rightarrow \mathcal{P}_\omega(\mathcal{N})$  returns the type names declared in a context

$$\begin{aligned}\mathcal{TN}(\epsilon) &= \emptyset \\ \mathcal{TN}(cxel, cx) &= \begin{cases} \mathcal{TN}(cx) \cup \{\tau\} & \text{if } cxel = \text{ty } \tau : n \\ \mathcal{TN}(cx) & \text{otherwise} \end{cases}\end{aligned}$$

The function  $\mathcal{ON} : Cx \rightarrow \mathcal{P}_\omega(\mathcal{N})$  returns the op names declared in a context

$$\begin{aligned}\mathcal{ON}(\epsilon) &= \emptyset \\ \mathcal{ON}(cxel, cx) &= \begin{cases} \mathcal{ON}(cx) \cup \{o\} & \text{if } cxel = \text{op } o : \{\bar{\beta}\} T \\ \mathcal{ON}(cx) & \text{otherwise} \end{cases}\end{aligned}$$

The function  $\mathcal{TV} : Cx \rightarrow \mathcal{P}_\omega(\mathcal{N})$  returns the type variables declared in a context

$$\begin{aligned}\mathcal{TV}(\epsilon) &= \emptyset \\ \mathcal{TV}(cxel, cx) &= \begin{cases} \mathcal{TV}(cx) \cup \{\beta\} & \text{if } cxel = \text{tvar } \beta \\ \mathcal{TV}(cx) & \text{otherwise} \end{cases}\end{aligned}$$

The function  $\mathcal{V} : Cx \rightarrow \mathcal{P}_\omega(\mathcal{N})$  returns the variables declared in a context

$$\begin{aligned}\mathcal{V}(\epsilon) &= \emptyset \\ \mathcal{V}(cxel, cx) &= \begin{cases} \mathcal{V}(cx) \cup \{v\} & \text{if } cxel = \text{var } v : T \\ \mathcal{V}(cx) & \text{otherwise} \end{cases}\end{aligned}$$

## 2.5 Specs

We define the set of spec(ification)s as

$$Sp = \{cx \in Cx \mid \mathcal{TV}(cx) = \mathcal{V}(cx) = \emptyset\}$$

In other words, a spec is a context without type variable declarations and variable declarations.

## 2.6 Occurring ops

The function  $\mathcal{ON} : Type + Exp \rightarrow \mathcal{P}_\omega(\mathcal{N})$  returns the op names occurring in a type or expression

$$\begin{aligned}\mathcal{ON}(\text{Bool}) &= \emptyset \\ \mathcal{ON}(\beta) &= \emptyset \\ \mathcal{ON}(\tau[\bar{T}]) &= \bigcup_i \mathcal{ON}(T_i) \\ \mathcal{ON}(T_1 \rightarrow T_2) &= \mathcal{ON}(T_1) \cup \mathcal{ON}(T_2) \\ \mathcal{ON}(\prod_i f_i T_i) &= \bigcup_i \mathcal{ON}(T_i) \\ \mathcal{ON}(\sum_i c_i T_i) &= \bigcup_i \mathcal{ON}(T_i) \\ \mathcal{ON}(T|r) &= \mathcal{ON}(T) \cup \mathcal{ON}(r) \\ \mathcal{ON}(T/q) &= \mathcal{ON}(T) \cup \mathcal{ON}(q) \\ \mathcal{ON}(v) &= \emptyset \\ \mathcal{ON}(o[\bar{T}]) &= \{o\} \cup \bigcup_i \mathcal{ON}(T_i) \\ \mathcal{ON}(e_1 e_2) &= \mathcal{ON}(e_1) \cup \mathcal{ON}(e_2) \\ \mathcal{ON}(\lambda v : T. e) &= \mathcal{ON}(T) \cup \mathcal{ON}(e) \\ \mathcal{ON}(e_1 \equiv e_2) &= \mathcal{ON}(e_1) \cup \mathcal{ON}(e_2) \\ \mathcal{ON}(\text{if } e_0 e_1 e_2) &= \mathcal{ON}(e_0) \cup \mathcal{ON}(e_1) \cup \mathcal{ON}(e_2) \\ \mathcal{ON}(\nu_T) &= \mathcal{ON}(T) \\ \mathcal{ON}(\text{proj} \prod_i f_i T_i f_j) &= \mathcal{ON}(\prod_i f_i T_i) \\ \mathcal{ON}(\text{emb} \sum_i c_i T_i c_j) &= \mathcal{ON}(\sum_i c_i T_i) \\ \mathcal{ON}(\text{quo}_{T/q}) &= \mathcal{ON}(T/q)\end{aligned}$$

## 2.7 Substitutions

### 2.7.1 Type substitutions

The function  $[-] : (Type + Exp) \times (\mathcal{N} \xrightarrow{P} Type) \rightarrow Type + Exp$  substitutes each type variable  $\beta \in \mathcal{D}(\sigma)$ , where  $\sigma : \mathcal{N} \xrightarrow{P} Type$ , with the type  $\sigma(\beta)$  in a type or expression  $x$  (written  $x[\sigma]$ )

$$\begin{aligned}
\text{Bool}[\sigma] &= \text{Bool} \\
\beta[\sigma] &= \begin{cases} \sigma(\beta) & \text{if } \beta \in \mathcal{D}(\sigma) \\ \beta & \text{otherwise} \end{cases} \\
\tau[\overline{T}][\sigma] &= \tau[\overline{T}[\sigma]] \\
(T_1 \rightarrow T_2)[\sigma] &= T_1[\sigma] \rightarrow T_2[\sigma] \\
(\prod_i f_i T_i)[\sigma] &= \prod_i f_i T_i[\sigma] \\
(\sum_i c_i T_i)[\sigma] &= \sum_i c_i T_i[\sigma] \\
(T|r)[\sigma] &= T[\sigma]|r[\sigma] \\
(T/q)[\sigma] &= T[\sigma]/q[\sigma] \\
v[\sigma] &= v \\
o[\overline{T}][\sigma] &= o[\overline{T}[\sigma]] \\
(e_1 e_2)[\sigma] &= e_1[\sigma] e_2[\sigma] \\
(\lambda v:T. e)[\sigma] &= \lambda v:T[\sigma]. e[\sigma] \\
(e_1 \equiv e_2)[\sigma] &= e_1[\sigma] \equiv e_2[\sigma] \\
(\text{if } e_0 e_1 e_2)[\sigma] &= \text{if } e_0[\sigma] e_1[\sigma] e_2[\sigma] \\
(\iota_T)[\sigma] &= \iota_{T[\sigma]} \\
\left( \text{proj}_{\prod_i f_i T_i} f_j \right) [\sigma] &= \text{proj}_{(\prod_i f_i T_i)[\sigma]} f_j \\
\left( \text{emb}_{\sum_i c_i T_i} c_j \right) [\sigma] &= \text{emb}_{(\sum_i c_i T_i)[\sigma]} c_j \\
\left( \text{quo}_{T/q} \right) [\sigma] &= \text{quo}_{(T/q)[\sigma]}
\end{aligned}$$

where of course  $(T_1, \dots, T_n)[\sigma] = T_1[\sigma], \dots, T_n[\sigma]$ . Given  $\overline{\beta} \in \mathcal{N}^{(*)}$  and  $\overline{T} \in Type^*$  such that  $|\overline{\beta}| = |\overline{T}|$ , we may write  $T[\{\langle \beta_i, T_i \rangle \mid 1 \leq i \leq n\}]$  as just  $T[\overline{\beta}/\overline{T}]$ .

### 2.7.2 Expression substitutions

The function  $[-./-] : Exp \times \mathcal{N} \times Exp \rightarrow Exp$  substitutes a variable  $u$  with an expression  $d$  in an expression  $e$  (written  $e[u/d]$ )

$$\begin{aligned}
v[u/d] &= \begin{cases} d & \text{if } u = v \\ v & \text{otherwise} \end{cases} \\
o[\overline{T}][u/d] &= o[\overline{T}] \\
(e_1 e_2)[u/d] &= e_1[u/d] e_2[u/d] \\
(\lambda v:T. e)[u/d] &= \begin{cases} \lambda v:T. e & \text{if } u = v \\ \lambda v:T. e[u/d] & \text{otherwise} \end{cases} \\
(e_1 \equiv e_2)[u/d] &= e_1[u/d] \equiv e_2[u/d] \\
(\text{if } e_0 e_1 e_2)[u/d] &= \text{if } e_0[u/d] e_1[u/d] e_2[u/d] \\
(\iota_T)[u/d] &= \iota_T \\
(\text{proj } f)[u/d] &= \text{proj } f \\
(\text{emb } c)[u/d] &= \text{emb } c \\
\text{quo}[u/d] &= \text{quo}
\end{aligned}$$

No substitution is performed in the expressions contained in types contained in expressions because, as already mentioned, such inner expressions have no free variables in well-formed types.

The function  $\mathcal{CV} : Exp \times \mathcal{N} \rightarrow \mathcal{P}_\omega(\mathcal{N})$  returns the variables that would be captured if a variable  $u$  were substituted with those variables in an expression  $e$  (i.e. all the variables bound in  $e$  at the free

occurrences of  $u$  in  $e$ )

$$\begin{aligned}
\mathcal{CV}(v, u) &= \emptyset \\
\mathcal{CV}(o[\bar{T}], u) &= \emptyset \\
\mathcal{CV}(e_1 e_2, u) &= \mathcal{CV}(e_1, u) \cup \mathcal{CV}(e_2, u) \\
\mathcal{CV}(\lambda v:T. e, u) &= \begin{cases} \{v\} \cup \mathcal{CV}(e, u) & \text{if } u \in \mathcal{FV}(e) - \{v\} \\ \emptyset & \text{otherwise} \end{cases} \\
\mathcal{CV}(e_1 \equiv e_2, u) &= \mathcal{CV}(e_1, u) \cup \mathcal{CV}(e_2, u) \\
\mathcal{CV}(\text{if } e_0 e_1 e_2, u) &= \mathcal{CV}(e_0, u) \cup \mathcal{CV}(e_1, u) \cup \mathcal{CV}(e_2, u) \\
\mathcal{CV}(\iota_T, u) &= \emptyset \\
\mathcal{CV}(\text{proj } f, u) &= \emptyset \\
\mathcal{CV}(\text{emb } c, u) &= \emptyset \\
\mathcal{CV}(\text{quo}, u) &= \emptyset
\end{aligned}$$

The relation  $OKsbs \subseteq Exp \times \mathcal{N} \times Exp$  captures the condition that the substitution  $e[u/d]$  causes no free variables in  $d$  to be captured

$$OKsbs(e, u, d) \Leftrightarrow \mathcal{FV}(d) \cap \mathcal{CV}(e, u) = \emptyset$$

### 3 Proof theory

The proof theory of the Metaslang logic includes not only rules to derive formulas (theorems), but also rules to derive typing judgements, type equivalences, and other judgements. The rules to derive such judgements are mutually recursive; even though they are presented separately in the following subsections, the rules are inductively defined all together.

#### 3.1 Well-formed contexts

We define a unary relation  $\vdash \_ : \text{CONTEXT} \subseteq Cx$  to capture well-formed contexts as

$$\begin{aligned}
&\frac{}{\vdash \epsilon : \text{CONTEXT}} \quad (\text{CXMT}) \\
&\frac{\vdash cx : \text{CONTEXT} \quad \tau \notin \mathcal{TN}(cx)}{\vdash cx, \text{ty } \tau : n : \text{CONTEXT}} \quad (\text{CXTDEC}) \\
&\frac{\vdash cx : \text{CONTEXT} \quad o \notin \mathcal{ON}(cx) \quad cx, \text{tvar } \bar{\beta} \vdash T : \text{TYPE}}{\vdash cx, \text{op } o : \{\bar{\beta}\} T : \text{CONTEXT}} \quad (\text{CXODEC}) \\
&\frac{\vdash cx : \text{CONTEXT} \quad \text{ty } \tau : n \in cx \quad \text{def } \tau \dots \notin cx \quad cx, \text{tvar } \bar{\beta} \vdash T : \text{TYPE} \quad |\bar{\beta}| = n}{\vdash cx, \text{def } \tau[\bar{\beta}] = T : \text{CONTEXT}} \quad (\text{CXTDEF}) \\
&\frac{\vdash cx : \text{CONTEXT} \quad \text{op } o : \{\bar{\beta}\} T \in cx \quad \text{def } \dots o \dots \notin cx \quad cx, \text{tvar } \bar{\beta}' \vdash \exists! v : T[\bar{\beta}/\bar{\beta}']. v \equiv e \quad o \notin \mathcal{ON}(e)}{\vdash cx, \text{def } \{\bar{\beta}'\} o = e[v/o[\bar{\beta}']] : \text{CONTEXT}} \quad (\text{CXODEF})
\end{aligned}$$



$$\begin{array}{c}
\frac{\vdash cx : \text{CONTEXT} \quad cx, \text{tvar } \bar{\beta} \vdash e : \text{Bool}}{\vdash cx, \text{ax } \{\bar{\beta}\} e : \text{CONTEXT}} \quad (\text{CXAAX}) \\
\\
\frac{\vdash cx : \text{CONTEXT} \quad \beta \notin \mathcal{TV}(cx)}{\vdash cx, \text{tvar } \beta : \text{CONTEXT}} \quad (\text{CXTVDEC}) \\
\\
\frac{\vdash cx : \text{CONTEXT} \quad v \notin \mathcal{V}(cx) \quad cx \vdash T : \text{TYPE}}{\vdash cx, \text{var } v : T : \text{CONTEXT}} \quad (\text{CXVDEC})
\end{array}$$

Eplanation:

- The empty context  $\epsilon$  is well-formed. All other rules add context elements to well-formed contexts. Thus, a well-formed context is constructed incrementally starting with the empty one and adding suitable elements.
- A type declaration  $\text{ty } \tau : n$  can be added to  $cx$  if  $\tau$  is not already declared in  $cx$ .
- An op declaration  $\text{op } o : \{\bar{\beta}\} T$  can be added to  $cx$  if  $o$  is not already declared in  $cx$ . The op's type  $T$  must be well-formed (defined later) in  $cx$  extended with the type variables  $\bar{\beta}$ , which must be distinct. Note that we do not require that all type variables in  $T$  are among  $\bar{\beta}$ , because there is no need for that restriction. However, since a well-formed spec has no type variable declarations, an op declaration in a well-formed spec automatically satisfies the restriction.
- A type definition  $\text{def } \tau[\bar{\beta}] = T$  can be added to  $cx$  if  $\tau$  is already declared but not already defined in  $cx$ . The defining type  $T$  must be well-formed in  $cx$  extended with the type variables  $\bar{\beta}$ , which must be distinct and whose number must match the arity of  $\tau$ . Similarly to op declarations, we do not require that all type variables in  $T$  are among  $\bar{\beta}$ , but such a restriction is automatically satisfied in a well-formed spec. Note that we allow vacuous type definitions such as  $\text{def } \tau[\epsilon] = \tau$  or  $\text{def } \tau[\epsilon] = \tau', \text{def } \tau'[\epsilon] = \tau$ , as well as other (mutually) recursive definitions that do not uniquely pin down the defined type such as the usual definition of lists; uniqueness can be enforced by suitable axioms (e.g. induction on lists). Unlike [1], there is no implicit assumption of (mutually) recursively defined types having least fixpoint semantics because there is no general way to generate implicit axioms expressing least fixpoint semantics in the Metaslang type system.
- An op definition for  $o$  can be added to  $cx$  if  $o$  is already declared but not already defined in  $cx$ . It is allowed to use different type variables  $\bar{\beta}'$  from the type variables  $\bar{\beta}$  used in the declaration of  $o$ , as long as they are also distinct and are the same number (i.e. it is an injective renaming); accordingly, the type  $T$  of  $o$  becomes  $T[\bar{\beta}/\bar{\beta}']$ . Of course, it is possible that  $\bar{\beta}' = \bar{\beta}$ . The defining expression of  $o$  must be such that there is a unique solution to the equation obtained by replacing  $o$  with some variable  $v$  in the defining equation of  $o$ ; turning “replacement of  $o$  with  $v$ ” around, the equation is  $v \equiv e$  and the defining expression of  $o$  is  $e[v/o[\bar{\beta}']]$ . The uniqueness of the solution is expressed as a theorem (defined later) in  $cx$  extended with the type variables  $\bar{\beta}'$ .
- A formula  $e$  can be added to  $cx$  as an axiom if  $e$  has type **Bool** (defined later). In general, the axiom may be polymorphic in (distinct) type variables  $\bar{\beta}$ . As in other cases, all type variables in  $e$  are automatically in  $\bar{\beta}$  in well-formed specs, but that is not required in well-formed contexts in general.
- A type variable declaration  $\text{tvar } \beta$  can be added to  $cx$  if  $\beta$  is not already declared in  $cx$ .
- A variable declaration  $\text{var } v : T$  can be added to  $cx$  if  $v$  is not already declared in  $cx$  and  $T$  is well-formed type in  $cx$ .

### 3.2 Well-formed specs

We define a unary relation  $\vdash \_ : \text{SPEC} \subseteq \text{Sp}$  to capture well-formed specs as

$$\frac{\vdash sp : \text{CONTEXT}}{\vdash sp : \text{SPEC}} \quad (\text{SPEC})$$

Note that the unary relation  $\vdash \_ : \text{SPEC}$  is defined on set  $\text{Sp}$ , which, as previously defined, consists of all the contexts without type variable and variable declarations. Thus, there is no need to include, as part of this rule, the condition that  $sp$  does not contain any type variable and variable declaration. The rule just says that a spec (which has no type variable or variable declarations by definition) is well-formed as a spec if it is well-formed as a context.

### 3.3 Well-formed types

We define a binary relation  $\_ \vdash \_ : \text{TYPE} \subseteq \text{Cx} \times \text{Type}$  to capture well-formed types as

$$\frac{\vdash cx : \text{CONTEXT}}{cx \vdash \text{Bool} : \text{TYPE}} \quad (\text{TYBOOL})$$

$$\frac{\vdash cx : \text{CONTEXT} \quad \beta \in \mathcal{TV}(cx)}{cx \vdash \beta : \text{TYPE}} \quad (\text{TYVAR})$$

$$\frac{\vdash cx : \text{CONTEXT} \quad \begin{array}{l} \mathbf{ty} \ \tau : n \in cx \\ |\overline{T}| = n \\ \forall i. \ cx \vdash T_i : \text{TYPE} \end{array}}{cx \vdash \tau[\overline{T}] : \text{TYPE}} \quad (\text{TYINST})$$

$$\frac{\begin{array}{l} cx \vdash T_1 : \text{TYPE} \\ cx \vdash T_2 : \text{TYPE} \end{array}}{cx \vdash T_1 \rightarrow T_2 : \text{TYPE}} \quad (\text{TYARR})$$

$$\frac{\vdash cx : \text{CONTEXT} \quad \forall i. \ cx \vdash T_i : \text{TYPE}}{cx \vdash \prod_i f_i T_i : \text{TYPE}} \quad (\text{TYREC})$$

$$\frac{\forall i. \ cx \vdash T_i : \text{TYPE}}{cx \vdash \sum_i c_i T_i : \text{TYPE}} \quad (\text{TYSUM})$$

$$\frac{\begin{array}{l} cx \vdash r : T \rightarrow \text{Bool} \\ \mathcal{FV}(r) = \emptyset \end{array}}{cx \vdash T|r : \text{TYPE}} \quad (\text{TYRESTR})$$

$$\frac{\begin{array}{l} cx \vdash \forall v : T. \ q \langle v, v \rangle \\ cx \vdash \forall v' : T, v'' : T. \ q \langle v', v'' \rangle \Rightarrow q \langle v'', v' \rangle \\ cx \vdash \forall v_1 : T, v_2 : T, v_3 : T. \ q \langle v_1, v_2 \rangle \wedge q \langle v_2, v_3 \rangle \Rightarrow q \langle v_1, v_3 \rangle \\ v' \neq v'' \wedge v_1 \neq v_2 \wedge v_2 \neq v_3 \wedge v_1 \neq v_3 \\ \mathcal{FV}(q) = \emptyset \end{array}}{cx \vdash T/q : \text{TYPE}} \quad (\text{TYQUOT})$$

Explanation:

- The type `Bool` is well-formed in any well-formed context.
- A type variable is a well-formed type in any well-formed context that declares it.
- A type instance  $\tau[\overline{T}]$  is well-formed in any well-formed context  $cx$  that declares  $\tau$  if the argument types are well-formed in  $cx$  and their number matches the arity of  $\tau$ .
- The rules for arrow, record, and sum types are straightforward. Note that in `TYARR` and `TYSUM` we do not explicitly require  $cx$  to be well-formed because the fact that a type is well-formed in a context implies that the context is well-formed (as proved later). However, the condition is explicit in `TYREC` because a record type can have zero components (unlike a sum type that always has at least one component).
- For restriction types, we require the predicate to have type  $T \rightarrow \text{Bool}$ , which implies that  $T$  is a well-formed type (as proved later). We also require that  $r$  has no free variables.
- For quotient types, we require the predicate to be an equivalence relation, i.e. that reflexivity, symmetry, and transitivity are theorems, which implies that  $T$  and  $cx$  are well-formed, that  $q$  has type  $T \times T \rightarrow \text{Bool}$ , etc. (as proved later). The condition that the variables  $v', v''$ , etc. are distinct is important: without it, the symmetry and transitivity requirements would effectively disappear (because the corresponding formulas would be trivially provable), thus only requiring the binary relation to be reflexive. We require that  $q$  has no free variables.

### 3.4 Type equivalence

We define a ternary relation  $\_ \vdash \_ \approx \_ \subseteq Cx \times Type \times Type$  to capture type equivalence as

$$\frac{\begin{array}{l} \vdash cx : \text{CONTEXT} \\ \text{def } \tau[\overline{\beta}] = T \in cx \\ \forall i. \ cx \vdash T_i : \text{TYPE} \end{array}}{cx \vdash \tau[\overline{T}] \approx T[\overline{\beta}/\overline{T}]} \quad (\text{TEDEF})$$

$$\frac{cx \vdash T : \text{TYPE}}{cx \vdash T \approx T} \quad (\text{TEREFL})$$

$$\frac{cx \vdash T_1 \approx T_2}{cx \vdash T_2 \approx T_1} \quad (\text{TESYMM})$$

$$\frac{\begin{array}{l} cx \vdash T_1 \approx T_2 \\ cx \vdash T_2 \approx T_3 \end{array}}{cx \vdash T_1 \approx T_3} \quad (\text{TETRANS})$$

$$\frac{\begin{array}{l} cx \vdash \tau[\overline{T}] : \text{TYPE} \\ \forall i. \ cx \vdash T_i \approx T'_i \end{array}}{cx \vdash \tau[\overline{T}] \approx \tau[\overline{T}']} \quad (\text{TEINST})$$

$$\frac{\begin{array}{l} cx \vdash T_1 \approx T'_1 \\ cx \vdash T_2 \approx T'_2 \end{array}}{cx \vdash T_1 \rightarrow T_2 \approx T'_1 \rightarrow T'_2} \quad (\text{TEARR})$$

$$\frac{\begin{array}{l} \vdash cx : \text{CONTEXT} \\ \forall i. \ cx \vdash T_i \approx T'_i \end{array}}{cx \vdash \prod_i f_i T_i \approx \prod_i f_i T'_i} \quad (\text{TEREC})$$

$$\frac{\forall i. \ cx \vdash T_i \approx T'_i}{cx \vdash \sum_i c_i T_i \approx \sum_i c_i T'_i} \quad (\text{TESUM})$$

$$\frac{\begin{array}{l} cx \vdash T|r : \text{TYPE} \\ cx \vdash T \approx T' \\ cx \vdash r \equiv r' \\ \mathcal{RV}(r') = \emptyset \end{array}}{cx \vdash T|r \approx T'|r'} \quad (\text{TERESTR})$$

$$\frac{\begin{array}{l} cx \vdash T/q : \text{TYPE} \\ cx \vdash T \approx T' \\ cx \vdash q \equiv q' \\ \mathcal{RV}(q') = \emptyset \end{array}}{cx \vdash T/q \approx T'/q'} \quad (\text{TEQUOT})$$

$$\frac{\begin{array}{l} cx \vdash \prod_i f_i T_i : \text{TYPE} \\ P : \{1, \dots, n\} \hookrightarrow \{1, \dots, n\} \end{array}}{cx \vdash \prod_i f_i T_i \approx \prod_i f_{P(i)} T_{P(i)}} \quad (\text{TERECORD})$$

$$\frac{\begin{array}{l} cx \vdash \sum_i c_i T_i : \text{TYPE} \\ P : \{1, \dots, n\} \hookrightarrow \{1, \dots, n\} \end{array}}{cx \vdash \sum_i c_i T_i \approx \sum_i c_{P(i)} T_{P(i)}} \quad (\text{TESUMORD})$$

Explanation:

- A type definition introduces type equivalences, one for each instance of the defining equation.
- Type equivalence is indeed an equivalence, i.e. reflexive, symmetric, and transitive.
- Type equivalence is a congruence with respect to syntactic (meta-)operations to construct types in the Metaslang type system, namely type instantiations, arrow types, record types, sum types, restriction types, and quotient types. In addition, equal restriction or quotient predicates give rise to equivalent restriction or quotient types. The conditions  $\mathcal{RV}(r') = \emptyset$  and  $\mathcal{RV}(q') = \emptyset$  are needed for the satisfaction of certain properties of the proof theory (see §4).
- The order of the components of a record or sum type is unimportant: any permutation of the components yields equivalent types. In the rules, the permutation is captured by a bijective function  $P$  on the record or sum indices  $\{1, \dots, n\}$  (the rules explicitly say that  $P$  is injective only, but since domain and codomain are finite and equal, it follows that  $P$  is also surjective, hence bijective).

### 3.5 Subtyping

We define a quaternary relation  $\_ \vdash \_ \prec \_ \subseteq Cx \times Type \times Exp \times Type$  to capture subtyping as

$$\frac{cx \vdash T|r : \text{TYPE}}{cx \vdash T|r \prec_r T} \quad (\text{STRESTR})$$

$$\frac{\begin{array}{l} cx \vdash T : \text{TYPE} \\ r = \lambda v:T. \text{true} \end{array}}{cx \vdash T \prec_r T} \quad (\text{STREFL})$$

$$\begin{array}{c}
\frac{
\begin{array}{c}
cx \vdash T : \text{TYPE} \\
cx \vdash T_1 \prec_r T_2 \\
v \neq v' \\
r' = \lambda v : T \rightarrow T_2. \forall v' : T. r (v v')
\end{array}
}{
cx \vdash T \rightarrow T_1 \prec_{r'} T \rightarrow T_2
} \quad (\text{STARR})
\\[20pt]
\frac{
\begin{array}{c}
cx \vdash \prod_i f_i T_i : \text{TYPE} \\
\forall i. cx \vdash T_i \prec_{r_i} T'_i \\
r = \lambda v : \prod_i f_i T'_i. \bigwedge_i r_i v. f_i
\end{array}
}{
cx \vdash \prod_i f_i T_i \prec_r \prod_i f_i T'_i
} \quad (\text{STREC})
\\[20pt]
\frac{
\begin{array}{c}
cx \vdash \sum_i c_i T_i : \text{TYPE} \\
\forall i. cx \vdash T_i \prec_{r_i} T'_i \\
r = \lambda v : \sum_i c_i T'_i. \bigvee_i \exists v' : T'_i. (v \equiv \text{emb } c_i v' \wedge r_i v') \\
v \neq v'
\end{array}
}{
cx \vdash \sum_i c_i T_i \prec_r \sum_i c_i T'_i
} \quad (\text{STSUM})
\\[20pt]
\frac{
\begin{array}{c}
cx \vdash T_1 \prec_r T_2 \\
cx \vdash T_1 \approx T'_1 \\
cx \vdash T_2 \approx T'_2
\end{array}
}{
cx \vdash T'_1 \prec_r T'_2
} \quad (\text{STTE})
\end{array}$$

Explanation:

- Unsurprisingly, a restriction type  $T|r$  is a subtype of  $T$ , with  $r$  being the predicate over the supertype  $T$  that identifies the values that are also in the subtype  $T|r$ .
- Subtyping is reflexive, i.e. a (well-formed) type  $T$  is a subtype of itself and the associated subtype predicate is always true.
- Arrow types are monotone in their range types with respect to subtyping. The associated predicate holds when all the values of the function satisfy the predicate associated to the range subtype. Note that the domain must be the same; while domain contravariance is used in some type systems with subtypes, it would violate extensionality (see explanation in [3]).
- Both record and sum types are monotone in their component types with respect to subtyping. The record subtype predicate holds when all the component subtype predicates hold on the record components. The sum subtype predicate holds when the appropriate component subtype predicate holds on the value of the sum type.
- Rule STTE states the substitutivity of equivalent types in subtype judgements.

We do not need a transitivity rule for subtyping. As defined later, subtyping judgements are only used to assign types to expressions, e.g. to assign a supertype to an expression of a subtype. So, instead of using transitivity of subtyping, rules for well-typed expressions can be applied multiple times, achieving the same effect.

### 3.6 Well-typed expressions

We define a ternary relation  $\vdash : \subseteq Cx \times Exp \times Type$  to capture well-typed expressions as

$$\frac{
\begin{array}{c}
\vdash cx : \text{CONTEXT} \\
\text{var } v : T \in cx
\end{array}
}{
cx \vdash v : T
} \quad (\text{EXVAR})$$

$$\begin{array}{c}
\frac{\begin{array}{l} \vdash cx : \text{CONTEXT} \\ \text{op } o : \{\bar{\beta}\} \ T \in cx \\ \forall i. \ cx \vdash T_i : \text{TYPE} \end{array}}{cx \vdash o[\bar{T}] : T[\bar{\beta}/\bar{T}]} \quad (\text{EXOP}) \\[10pt]
\frac{cx \vdash e_1 : T_1 \rightarrow T_2 \quad cx \vdash e_2 : T_1}{cx \vdash e_1 \ e_2 : T_2} \quad (\text{EXAPP}) \\[10pt]
\frac{cx, \text{var } v : T \vdash e : T'}{cx \vdash \lambda v : T. e : T \rightarrow T'} \quad (\text{EXABS}) \\[10pt]
\frac{cx \vdash e_1 : T \quad cx \vdash e_2 : T}{cx \vdash e_1 \equiv e_2 : \text{Bool}} \quad (\text{EXEQ}) \\[10pt]
\frac{cx \vdash e_0 : \text{Bool} \quad cx, \text{ax } e_0 \vdash e_1 : T \quad cx, \text{ax } \neg e_0 \vdash e_2 : T}{cx \vdash \text{if } e_0 \ e_1 \ e_2 : T} \quad (\text{EXIF}) \\[10pt]
\frac{cx \vdash e : \text{Bool} \quad cx \vdash e_1 : T \quad cx \vdash e_2 : T}{cx \vdash \text{if } e_0 \ e_1 \ e_2 : T} \quad (\text{EXIF0}) \\[10pt]
\frac{cx \vdash T : \text{TYPE}}{cx \vdash \iota_T : (T \rightarrow \text{Bool}) | \exists!_T \rightarrow T} \quad (\text{EXTHE}) \\[10pt]
\frac{cx \vdash \prod_i f_i \ T_i : \text{TYPE}}{cx \vdash \text{proj } f_j : \prod_i f_i \ T_i \rightarrow T_j} \quad (\text{EXPROJ}) \\[10pt]
\frac{cx \vdash \sum_i c_i \ T_i : \text{TYPE}}{cx \vdash \text{emb } c_j : T_j \rightarrow \sum_i c_i \ T_i} \quad (\text{EXEMBED}) \\[10pt]
\frac{cx \vdash T/q : \text{TYPE}}{cx \vdash \text{quo} : T \rightarrow T/q} \quad (\text{EXQUOT}) \\[10pt]
\frac{cx \vdash e : T \quad cx \vdash T \prec_r T'}{cx \vdash e : T'} \quad (\text{EXSUPER}) \\[10pt]
\frac{cx \vdash e : T' \quad cx \vdash T \prec_r T' \quad cx \vdash r \ e}{cx \vdash e : T} \quad (\text{EXSUB}) \\[10pt]
\frac{cx \vdash \lambda v : T. e : T' \quad v' \notin \mathcal{FV}(e) \cup \mathcal{CV}(e, v)}{cx \vdash \lambda v' : T. e[v/v'] : T'} \quad (\text{EXABSA ALPHA})
\end{array}$$

Explanation:

- A variable  $v$  declared in a well-formed context is a well-typed expression with the type  $T$  given in its declaration.
- An op  $o$  declared in a well-formed context can be instantiated via well-formed types  $\bar{T}$  whose number matches the number of type variables  $\bar{\beta}$ . The result is a well-formed expression whose type is obtained by substituting  $\bar{\beta}$  with  $\bar{T}$  in the declared type  $T$  of  $o$ .
- An application is well-typed if the function has an arrow type and the argument has the domain type of the arrow type. The type of the application is the range type of the arrow type.
- An abstraction is well-typed if the body is well-typed in the context extended with the declaration of the variable bound by the abstraction. The type of the abstraction is the arrow type that has the type of the variable as domain and the type of the body as range.
- An equality is well-typed with type **Bool** if the left- and right-hand sides are both well-typed with a common type  $T$ .
- In the rule EXIF for conditionals, the two branches must be well-typed, with a common type, in the context where the condition holds and does not hold, respectively. The additional assumption about the condition holding or not is realized by adding an axiom to the context. This rule makes conditionals non-strict. There is also a stronger rule EXIF0 that does not add any assumption about the condition to the context. The reason for rule EXIF0 and for why it does not seem derivable from EXIF, is given in §4.
- The description operator for a well-formed type is well-typed and denotes a function from the predicates over the type that are satisfied by a unique value to the type itself.
- A projector for a well-formed record type is well-typed and denotes a function from the record type to the corresponding component type.
- An embedded for a well-formed sum type is well-typed and denotes a function from the type corresponding to the constructor to the sum type.
- A quotienter for a well-formed quotient type is well-typed and denotes a function from the quotiented type to the quotient type.
- Rules EXSUPER and EXSUB link the notion of well-typed expressions to the notion of subtyping. If an expression  $e$  has a subtype  $T$ , it also has any supertype  $T'$ . If an expression  $e$  has a supertype  $T'$ , it also has any subtype  $T$  such that the associated predicate holds on  $e$ . Note that rule EXSUPER, in conjunction with rule STREFL, can be used to show that if an expression  $e$  has type  $T$ , it also has any type  $T'$  equivalent to  $T$ .
- The last rule amounts to treating expressions up to alpha equivalence, allowing to rename bound variables maintaining well-typedness. Without this rule, the Metaslang logic would be non-monotone, because extending a context with variable declarations may invalidate conclusions about the well-typedness of expressions that bind those variables (e.g. if  $cx \vdash \lambda v:T. e : T'$  were provable then  $cx, \text{var } v:T \vdash \lambda v:T. e : T'$  would not be provable). This rule also allows variable hiding as described in [1].

### 3.7 Theorems

We define a binary relation  $\vdash \subseteq Cx \times Exp$  to capture theorems as

$$\frac{\begin{array}{l} \vdash cx : \text{CONTEXT} \\ \text{ax } \{\bar{\beta}\} \ e \in cx \\ \forall i. \ cx \vdash T_i : \text{TYPE} \end{array}}{cx \vdash e[\bar{\beta}/\bar{T}]} \quad (\text{THAX})$$

$$\frac{\begin{array}{l} \vdash cx : \text{CONTEXT} \\ \text{def } \{\bar{\beta}\} \ o = e \in cx \\ \forall i. \ cx \vdash T_i : \text{TYPE} \end{array}}{cx \vdash o[\bar{T}] \equiv e[\bar{\beta}/\bar{T}]} \quad (\text{THDEF})$$

$$\frac{cx \vdash e : \dots}{cx \vdash e \equiv e} \quad (\text{THREFL})$$

$$\frac{cx \vdash e_1 \equiv e_2}{cx \vdash e_2 \equiv e_1} \quad (\text{THSYMM})$$

$$\frac{\begin{array}{l} cx \vdash e_1 \equiv e_2 \\ cx \vdash e_2 \equiv e_3 \end{array}}{cx \vdash e_1 \equiv e_3} \quad (\text{THTRANS})$$

$$\frac{\begin{array}{l} cx \vdash o[\bar{T}] : \dots \\ \forall i. \ cx \vdash T_i \approx T'_i \end{array}}{cx \vdash o[\bar{T}] \equiv o[\bar{T}']} \quad (\text{THOPSUBST})$$

$$\frac{\begin{array}{l} cx \vdash e_1 \ e_2 : \dots \\ cx \vdash e_1 \equiv e'_1 \\ cx \vdash e_2 \equiv e'_2 \end{array}}{cx \vdash e_1 \ e_2 \equiv e'_1 \ e'_2} \quad (\text{THAPPSUBST})$$

$$\frac{\begin{array}{l} cx \vdash \lambda v:T. e : \dots \\ cx \vdash T \approx T' \\ cx, \text{var } v:T \vdash e \equiv e' \end{array}}{cx \vdash \lambda v:T. e \equiv \lambda v:T'. e'} \quad (\text{THABSSUBST})$$

$$\frac{\begin{array}{l} cx \vdash e_1 \equiv e_2 : \dots \\ cx \vdash e_1 \equiv e'_1 \\ cx \vdash e_2 \equiv e'_2 \end{array}}{cx \vdash (e_1 \equiv e_2) \equiv (e'_1 \equiv e'_2)} \quad (\text{THEQSUBST})$$

$$\frac{\begin{array}{l} cx \vdash \text{if } e_0 \ e_1 \ e_2 : \dots \\ cx \vdash e_0 \equiv e'_0 \\ cx, \text{ax } e_0 \vdash e_1 \equiv e'_1 \\ cx, \text{ax } \neg e_0 \vdash e_2 \equiv e'_2 \end{array}}{cx \vdash \text{if } e_0 \ e_1 \ e_2 \equiv \text{if } e'_0 \ e'_1 \ e'_2} \quad (\text{THIFSUBST})$$

$$\frac{\begin{array}{l} cx \vdash \iota_T : \dots \\ cx \vdash T \approx T' \end{array}}{cx \vdash \iota_T \equiv \iota_{T'}} \quad (\text{THTHESUBST})$$

$$\frac{\begin{array}{l} cx \vdash \text{proj}_{\prod_i f_i \ T_i} f : \dots \\ cx \vdash \prod_i f_i \ T_i \approx \prod_i f'_i \ T'_i \end{array}}{cx \vdash \text{proj}_{\prod_i f_i \ T_i} f \equiv \text{proj}_{\prod_i f'_i \ T'_i} f} \quad (\text{THPROJSUBST})$$



$$\frac{cx \vdash \text{emb}_{\sum_i c_i T_i} c : \dots \quad cx \vdash \sum_i c_i T_i \approx \sum_i c'_i T'_i}{cx \vdash \text{emb}_{\sum_i c_i T_i} c \equiv \text{emb}_{\sum_i c'_i T'_i} c} \quad (\text{THEMBEDSUBST})$$

$$\frac{cx \vdash \text{quo}_{T/q} : \dots \quad cx \vdash T/q \approx T'/q'}{cx \vdash \text{quo}_{T/q} \equiv \text{quo}_{T'/q'}} \quad (\text{THQUOTSUBST})$$

$$\frac{cx \vdash e \quad cx \vdash e \equiv e'}{cx \vdash e'} \quad (\text{THSUBST})$$

$$\frac{\vdash cx : \text{CONTEXT} \quad v \neq v'}{cx \vdash \forall v : \text{Bool} \rightarrow \text{Bool}. (v \text{ true} \wedge v \text{ false} \Leftrightarrow (\forall v' : \text{Bool}. v \ v'))} \quad (\text{THBOOL})$$

$$\frac{cx \vdash T \rightarrow T' : \text{TYPE} \quad v \neq v' \wedge v' \neq v'' \wedge v'' \neq v}{cx \vdash \forall v : T \rightarrow T', v' : T \rightarrow T'. (v \equiv v' \Leftrightarrow (\forall v'' : T. v \ v'' \equiv v' \ v''))} \quad (\text{THEXT})$$

$$\frac{cx \vdash (\lambda v : T. e) \ e' : \dots \quad OKsbs(e, v, e')}{cx \vdash (\lambda v : T. e) \ e' \equiv e[v/e']} \quad (\text{THABS})$$

$$\frac{cx \vdash \text{if } e_0 \ e_1 \ e_2 : \dots \quad cx, \text{ax } e_0 \vdash e_1 \equiv e \quad cx, \text{ax } \neg e_0 \vdash e_2 \equiv e}{cx \vdash \text{if } e_0 \ e_1 \ e_2 \equiv e} \quad (\text{THIF})$$

$$\frac{cx \vdash \iota_T e : T}{cx \vdash e (\iota_T e)} \quad (\text{THTHE})$$

$$\frac{cx \vdash \prod_i f_i T_i : \text{TYPE} \quad v \neq v'}{cx \vdash \forall v : \prod_i f_i T_i, v' : \prod_i f_i T_i. ((\bigwedge_i v.f_i \equiv v'.f_i) \Rightarrow v \equiv v')} \quad (\text{THREC})$$

$$\frac{cx \vdash \sum_i c_i T_i : \text{TYPE} \quad v \neq v'}{cx \vdash \forall v : \sum_i c_i T_i. \bigvee_i \exists v' : T_i. v \equiv \text{emb } c_i \ v'} \quad (\text{THEMBSURJ})$$

$$\frac{cx \vdash \sum_i c_i T_i : \text{TYPE} \quad j \neq k \quad v \neq v'}{cx \vdash \forall v : T_j, v' : T_k. \text{emb } c_j \ v \not\equiv \text{emb } c_k \ v'} \quad (\text{THEMBDIST})$$

$$\frac{cx \vdash \sum_i c_i T_i : \text{TYPE} \quad v \neq v'}{cx \vdash \forall v:T_j, v':T_j. v \neq v' \Rightarrow \text{emb } c_j v \neq \text{emb } c_j v'} \quad (\text{THEMBINJ})$$

$$\frac{cx \vdash T/q : \text{TYPE} \quad v \neq v'}{cx \vdash \forall v:T/q. \exists v':T. \text{quo } v' \equiv v} \quad (\text{THQUOTSURJ})$$

$$\frac{cx \vdash T/q : \text{TYPE} \quad v \neq v'}{cx \vdash \forall v:T, v':T. q \langle v, v' \rangle \Leftrightarrow \text{quo } v \equiv \text{quo } v'} \quad (\text{THQUOTEQCLS})$$

$$\frac{cx \vdash \prod_i f_i T_i \prec_r \prod_i f_i T'_i}{cx \vdash \forall v:\prod_i f_i T_i. \text{proj}_{\prod_i f_i T_i} f_j v \equiv \text{proj}_{\prod_i f_i T'_i} f_j v} \quad (\text{THPROJSUB})$$

$$\frac{cx \vdash \sum_i c_i T_i \prec_r \sum_i c_i T'_i}{cx \vdash \forall v:T_j. \text{emb}_{\sum_i c_i T_i} c_j v \equiv \text{emb}_{\sum_i c_i T'_i} c_j v} \quad (\text{THEMBSUB})$$

$$\frac{cx \vdash T \prec_r T' \quad cx \vdash e : T}{cx \vdash r e} \quad (\text{THSUB})$$

Explanation:

- Axioms in a well-formed context are readily instantiated into theorems, via rule **THAX**. More precisely, the type variables over which the axiom is polymorphic are replaced with well-formed types.
- Similarly, op definitions in a well-formed context are readily instantiated into theorems, via rule **THDEF**.
- Rules **THREFL**, **THSYMM**, and **THTRANS** say that equality is an equivalence, i.e. reflexive, symmetric, and transitive.
- Rules **THOPSUBST** to **THQUOTSUBST** state the substitutivity of equalities and type equivalences in expressions. Note that in rule **THABSSUBST** the context is extended with the variable bound by the abstraction; in rule **THIFSUBST** the context is extended with an axiom saying that the condition is true or false.
- Rule **THSUBST** says that anything equal to a theorem is itself a theorem.
- Rule **THBOOL** asserts that **true** and **false** are the only values of type **Bool**.
- Rule **THEXT** says that a function is characterized by its values over all the values of its domain, i.e. by extensionality.
- Rule **THABS** defines the semantics of lambda abstraction: the bound variable  $v$  is replaced with the argument  $e'$  in the body  $e$ . The premise of the rule says that the application is well-typed.
- Rule **THIF** defines the semantics of conditionals: a conditional equals an expression if both branches do, in the contexts extended with the assumption that the condition is true and false, respectively. Note the premise that requires the conditional to be well-typed.

- Rule **THTHE** says that a description satisfies the predicate associated to the description.
- Rule **THREC** says that a record is characterized by the values of its components.
- Rules **THEMBSURJ**, **THEMBDIST**, and **THEMBINJ** characterize sum types. They say that every value of a sum type is the image of some constructor (i.e. the constructors are collectively surjective), that the images of distinct constructors are disjoint, and that each constructor is injective.
- Rules **THQUOTSURJ** and **THQUOTECLS** characterize quotient types. The first rule says that  $\text{quo}_{T/q}$  is a surjective function (i.e. every quotient value is obtained by applying it to some value of the quotiented type). The second rule says that  $\text{quo}_{T/q}$  maps each value of the quotiented type to its equivalence class, which is a value of the quotient type.
- Rules **THPROJSUB** and **THEMBSUB** say that projectors/embedders for record/sum subtypes agree with projectors/embedders for record/sum supertypes.
- Rule **THSUB** says that every value of a subtype satisfies the predicate that characterizes the subtype with respect to a supertype.

### 3.8 Proofs

The previous subsections have defined judgements of the forms

$$\begin{aligned}
& \vdash cx : \text{CONTEXT} \\
& \vdash sp : \text{SPEC} \\
& cx \vdash T : \text{TYPE} \\
& cx \vdash T_1 \approx T_2 \\
& cx \vdash T_1 \prec_r T_2 \\
& cx \vdash e : T \\
& cx \vdash e
\end{aligned}$$

by means of a set of inductive rules.

A proof of a judgement is a finite sequence of judgements that ends with the proved judgement and where each judgement in the sequence is derived from preceding judgements using some rule.

[[[TO DO: Make sure that the rules for theorems are “sufficient”, i.e. all truths “of interest” are indeed theorems derivable from the rules. Even though higher-order logic is notoriously incomplete, in practice theorem provers like PVS and HOL are sufficient to prove desired properties of formalized concepts without running into theoretical limitations. Perhaps the requirement boils down to prove completeness with respect to so-called “general models” (cf. [2]).]]]

## 4 Properties

### 4.1 Additional notions

In order to prove certain properties of the syntax and proof theory formalized in §2 and §3, we introduce some additional notions. Those notions have not been introduced earlier because they are not needed to define the Metaslang logic, but only to express and prove properties about it.

We extend expression substitution to context elements and contexts

$$\begin{aligned}
(\text{ty } \tau : n)[u/d] &= \text{ty } \tau : n \\
(\text{op } o : \{\bar{\beta}\} T)[u/d] &= \text{op } o : \{\bar{\beta}\} T \\
(\text{def } \tau[\bar{\beta}] = T)[u/d] &= \text{def } \tau[\bar{\beta}] = T \\
(\text{def } \{\bar{\beta}\} o = e)[u/d] &= \text{def } \{\bar{\beta}\} o = e[u/d] \\
(\text{ax } \{\bar{\beta}\} e)[u/d] &= \text{ax } \{\bar{\beta}\} e[u/d] \\
(\text{tvar } \beta)[u/d] &= \text{tvar } \beta \\
(\text{var } v : T)[u/d] &= \text{var } v : T
\end{aligned}$$

$$\begin{aligned}\epsilon[u/d] &= \epsilon \\ (cx_1, cx_2)[u/d] &= cx_1[u/d], cx_2[u/d]\end{aligned}$$

Note that  $(\text{var } v:T)[u/d] = \text{var } v:T$  even if  $u = v$ , because a variable declaration “works” more or less like a binder (and the  $v$  in  $\lambda v:T. e$  is unchanged under substitution).

## 4.2 Syntactic properties

Expression substitution only takes place at the free occurrences of the variable that is substituted. Thus, if the variable is not free in the expression, substitution causes no change and no variable capture:

### Theorem 4.1

$$u \notin \mathcal{FV}(e) \Rightarrow \mathcal{CV}(e, u) = \emptyset \wedge OKsbs(e, u, d) \wedge e[u/d] = e$$

Since expression substitution only substitutes the free occurrences of the variable, the variable itself is not captured at those occurrences:

### Theorem 4.2

$$u \notin \mathcal{CV}(e, u)$$

It is always possible to substitute a variable with itself and the substitution causes no change:

### Theorem 4.3

$$OKsbs(e, u, u) \wedge e[u/u] = e$$

The following four theorems say that if a substitution causes no capture in a compound expression, it does not cause capture in the component expressions either:

### Theorem 4.4

$$OKsbs(e_1 e_2, u, d) \Rightarrow OKsbs(e_1, u, d) \wedge OKsbs(e_2, u, d)$$

### Theorem 4.5

$$OKsbs(e_1 \equiv e_2, u, d) \Rightarrow OKsbs(e_1, u, d) \wedge OKsbs(e_2, u, d)$$

### Theorem 4.6

$$OKsbs(\text{if } e_0 e_1 e_2, u, d) \Rightarrow OKsbs(e_0, u, d) \wedge OKsbs(e_1, u, d) \wedge OKsbs(e_2, u, d)$$

### Theorem 4.7

$$OKsbs(\lambda v:T. e, u, d) \wedge u \neq v \Rightarrow OKsbs(e, u, d)$$

In the last theorem, the condition  $u \neq v$  is used in the proof and is in fact necessary, because in general  $OKsbs(\lambda v:T. e, u, d) \not\Rightarrow OKsbs(e, u, d)$ , as shown by the counter-example  $u = v$ ,  $e = \lambda w:T. v$ , and  $d = w$ .

If we substitute  $u$  with  $d$  in  $e$ , we remove  $u$  from the free variables of  $e$  and add the free variables of  $d$ . This is actually true only if  $u$  is free in  $e$  (otherwise the substitution causes no change) and no variable is captured (otherwise the captured variables of  $d$  would not contribute to the free variables of the result of substitution):

### Theorem 4.8

$$u \in \mathcal{FV}(e) \wedge OKsbs(e, u, d) \Rightarrow \mathcal{FV}(e[u/d]) = (\mathcal{FV}(e) - \{u\}) \cup \mathcal{FV}(d)$$

Two expression substitutions commute if their variables do not “interact”:

**Theorem 4.9**

$$u \neq u' \wedge u \notin \mathcal{FV}(d') \wedge u' \notin \mathcal{FV}(d) \Rightarrow e[u/d][u'/d'] = e[u'/d'][u/d]$$

In an expression  $e$ , renaming  $u$  to  $u'$  and then back  $u'$  to  $u$  leaves  $e$  unchanged, provided that  $u'$  is not captured (otherwise the captured occurrences would not be renamed back to  $u$ ) and does not already occur free in  $e$  (otherwise we do not obtain  $e$  at the end, because all free occurrences of  $u'$  disappear when we rename  $u'$  to  $u$ ):

**Theorem 4.10**

$$OKsbs(e, u, u') \wedge u' \notin \mathcal{FV}(e) \Rightarrow e[u/u'][u'/u] = e$$

If we rename a variable  $u$  to  $u'$  in an expression then the variables captured at the free occurrences of  $u$  in the original expression coincide with those captured at the free occurrences of  $u'$  in the transformed expression (provided that  $u'$  is not captured in the renaming and that  $u'$  was not already free in the original expression):

**Theorem 4.11**

$$OKsbs(e, u, u') \wedge u' \notin \mathcal{FV}(e) \Rightarrow \mathcal{CV}(e, u) = \mathcal{CV}(e[u/u'], u')$$

If we rename a variable  $u$  to  $u'$  in an expression then the variables captured at the free occurrences of a third variable  $w$  in the original expression coincide with those captured at the free occurrences of  $w$  in the transformed expression (provided that  $u'$  is not captured in the renaming):

**Theorem 4.12**

$$OKsbs(e, u, u') \wedge w \neq u \wedge w \neq u' \Rightarrow \mathcal{CV}(e, w) = \mathcal{CV}(e[u/u'], w)$$

Renaming bound variables does not change free variables, provided that the renaming causes no capture (not only the substitution  $e[v/v']$  must cause no capture, but also  $v'$  must not occur free in  $e$ , otherwise it would be captured by the top level  $\lambda v':T. \dots$ ):

**Theorem 4.13**

$$v' \notin \mathcal{FV}(e) \cup \mathcal{CV}(e, v) \Rightarrow \mathcal{FV}(\lambda v:T. e) = \mathcal{FV}(\lambda v':T. e[v/v'])$$

Type substitution does not change free variables:

**Theorem 4.14**

$$\mathcal{FV}(e[\sigma]) = \mathcal{FV}(e)$$

Expression substitution does not change the types, ops, and (type) variables declared in a context:

**Theorem 4.15**

$$\begin{aligned} \mathcal{TN}(cx[u/d]) &= \mathcal{TN}(cx) \\ \mathcal{QN}(cx[u/d]) &= \mathcal{QN}(cx) \\ \mathcal{TV}(cx[u/d]) &= \mathcal{TV}(cx) \\ \mathcal{V}(cx[u/d]) &= \mathcal{V}(cx) \\ \text{ty } \tau:n \in cx &\Leftrightarrow \text{ty } \tau:n \in cx[u/d] \\ \text{op } o:\{\bar{\beta}\} T \in cx &\Leftrightarrow \text{op } o:\{\bar{\beta}\} T \in cx[u/d] \\ \text{def } \tau[\bar{\beta}] = T \in cx &\Leftrightarrow \text{def } \tau[\bar{\beta}] = T \in cx[u/d] \end{aligned}$$

### 4.3 Properties of abbreviations

The following five theorems show that  $\mathcal{FV}$ ,  $\mathcal{CN}$ ,  $-[-]$ ,  $-[-/-]$ , and  $\mathcal{CV}$  “extend” to the abbreviations defined in §2 as expected.

**Theorem 4.16**

$$\begin{array}{ll}
\mathcal{FV}(\text{true}) & = \emptyset \\
\mathcal{FV}(\text{false}) & = \emptyset \\
\mathcal{FV}(\neg) & = \emptyset \\
\mathcal{FV}(e_1 \wedge e_2) & = \mathcal{FV}(e_1) \cup \mathcal{FV}(e_2) \\
\mathcal{FV}(e_1 \vee e_2) & = \mathcal{FV}(e_1) \cup \mathcal{FV}(e_2) \\
\mathcal{FV}(e_1 \Rightarrow e_2) & = \mathcal{FV}(e_1) \cup \mathcal{FV}(e_2) \\
\mathcal{FV}(\Leftrightarrow) & = \emptyset \\
\mathcal{FV}(e_1 \Leftrightarrow e_2) & = \mathcal{FV}(e_1) \cup \mathcal{FV}(e_2) \\
\mathcal{FV}(e_1 \not\equiv e_2) & = \mathcal{FV}(e_1) \cup \mathcal{FV}(e_2) \\
\mathcal{FV}(\iota v:T.e) & = \mathcal{FV}(e) - \{v\} \\
\mathcal{FV}(\forall_T) & = \emptyset \\
\mathcal{FV}(\forall v:T. e) & = \mathcal{FV}(e) - \{v\} \\
\mathcal{FV}(\forall v_1:T_1, \dots, v_n:T_n. e) & = \mathcal{FV}(e) - \bar{v} \\
\mathcal{FV}(\forall \bar{v}:\bar{T}. e) & = \mathcal{FV}(e) - \bar{v} \\
\mathcal{FV}(\exists_T) & = \emptyset \\
\mathcal{FV}(\exists v:T. e) & = \mathcal{FV}(e) - \{v\} \\
\mathcal{FV}(\exists v_1:T_1, \dots, v_n:T_n. e) & = \mathcal{FV}(e) - \bar{v} \\
\mathcal{FV}(\exists \bar{v}:\bar{T}. e) & = \mathcal{FV}(e) - \bar{v} \\
\mathcal{FV}(\exists!_T) & = \emptyset \\
\mathcal{FV}(\exists! v:T. e) & = \mathcal{FV}(e) - \{v\} \\
\mathcal{FV}(e.f) & = \mathcal{FV}(e) \\
\mathcal{FV}(\text{rec}) & = \emptyset \\
\mathcal{FV}(\langle f_i \leftarrow e_i \rangle_i) & = \bigcup_i \mathcal{FV}(e_i) \\
\mathcal{FV}(\langle \bar{e} \rangle) & = \bigcup_i \mathcal{FV}(e_i)
\end{array}$$

**Theorem 4.17**

$$\begin{aligned}
\mathcal{N}(\text{true}) &= \emptyset \\
\mathcal{N}(\text{false}) &= \emptyset \\
\mathcal{N}(\neg) &= \emptyset \\
\mathcal{N}(e_1 \wedge e_2) &= \mathcal{N}(e_1) \cup \mathcal{N}(e_2) \\
\mathcal{N}(e_1 \vee e_2) &= \mathcal{N}(e_1) \cup \mathcal{N}(e_2) \\
\mathcal{N}(e_1 \Rightarrow e_2) &= \mathcal{N}(e_1) \cup \mathcal{N}(e_2) \\
\mathcal{N}(\Leftrightarrow) &= \emptyset \\
\mathcal{N}(e_1 \Leftrightarrow e_2) &= \mathcal{N}(e_1) \cup \mathcal{N}(e_2) \\
\mathcal{N}(e_1 \not\equiv e_2) &= \mathcal{N}(e_1) \cup \mathcal{N}(e_2) \\
\mathcal{N}(\iota v:T.e) &= \mathcal{N}(T) \cup \mathcal{N}(e) \\
\mathcal{N}(\forall_T) &= \mathcal{N}(T) \\
\mathcal{N}(\forall v:T. e) &= \mathcal{N}(T) \cup \mathcal{N}(e) \\
\mathcal{N}(\forall v_1:T_1, \dots, v_n:T_n. e) &= \mathcal{N}(e) \cup \bigcup_i \mathcal{N}(T_i) \\
\mathcal{N}(\forall \bar{v}:\bar{T}. e) &= \mathcal{N}(e) \cup \bigcup_i \mathcal{N}(T_i) \\
\mathcal{N}(\exists_T) &= \mathcal{N}(T) \\
\mathcal{N}(\exists v:T. e) &= \mathcal{N}(T) \cup \mathcal{N}(e) \\
\mathcal{N}(\exists v_1:T_1, \dots, v_n:T_n. e) &= \mathcal{N}(e) \cup \bigcup_i \mathcal{N}(T_i) \\
\mathcal{N}(\exists \bar{v}:\bar{T}. e) &= \mathcal{N}(e) \cup \bigcup_i \mathcal{N}(T_i) \\
\mathcal{N}(\exists!_T) &= \mathcal{N}(T) \\
\mathcal{N}(\exists! v:T. e) &= \mathcal{N}(T) \cup \mathcal{N}(e) \\
\mathcal{N}(e.f) &= \mathcal{N}(e) \cup \bigcup_i \mathcal{N}(T_i) \\
\mathcal{N}(\text{rec} \prod_i f_i T_i) &= \bigcup_i \mathcal{N}(T_i) \\
\mathcal{N}(\langle f_i \xleftarrow{T_i} e_i \rangle_i) &= \bigcup_i \mathcal{N}(T_i) \cup \mathcal{N}(e_i) \\
\mathcal{N}(\langle \bar{e} \rangle) &= \bigcup_i \mathcal{N}(T_i) \cup \mathcal{N}(e_i)
\end{aligned}$$

**Theorem 4.18**

$$\begin{aligned}
\text{true}[\sigma] &= \text{true} \\
\text{false}[\sigma] &= \text{false} \\
\neg[\sigma] &= \neg \\
(e_1 \wedge e_2)[\sigma] &= e_1[\sigma] \wedge e_2[\sigma] \\
(e_1 \vee e_2)[\sigma] &= e_1[\sigma] \vee e_2[\sigma] \\
(e_1 \Rightarrow e_2)[\sigma] &= e_1[\sigma] \Rightarrow e_2[\sigma] \\
\Leftrightarrow[\sigma] &= \emptyset \\
(e_1 \Leftrightarrow e_2)[\sigma] &= e_1[\sigma] \Leftrightarrow e_2[\sigma] \\
(e_1 \not\equiv e_2)[\sigma] &= e_1[\sigma] \not\equiv e_2[\sigma] \\
(\iota v:T.e)[\sigma] &= \iota v:T[\sigma].e[\sigma] \\
\forall_T[\sigma] &= \forall_{T[\sigma]} \\
(\forall v:T. e)[\sigma] &= \forall v:T[\sigma]. e[\sigma] \\
(\forall v_1:T_1, \dots, v_n:T_n. e)[\sigma] &= \forall v_1:T_1[\sigma], \dots, v_n:T_n[\sigma]. e[\sigma] \\
(\forall \bar{v}:\bar{T}. e)[\sigma] &= \forall \bar{v}:\bar{T}[\sigma]. e[\sigma] \\
\exists_T[\sigma] &= \exists_{T[\sigma]} \\
(\exists v:T. e)[\sigma] &= \exists v:T[\sigma]. e[\sigma] \\
(\exists v_1:T_1, \dots, v_n:T_n. e)[\sigma] &= \exists v_1:T_1[\sigma], \dots, v_n:T_n[\sigma]. e[\sigma] \\
(\exists \bar{v}:\bar{T}. e)[\sigma] &= \exists \bar{v}:\bar{T}[\sigma]. e[\sigma] \\
\exists!_T[\sigma] &= \exists!_{T[\sigma]} \\
(\exists! v:T. e)[\sigma] &= \exists! v:T[\sigma]. e[\sigma] \\
(e.f)[\sigma] &= e[\sigma].f \\
\text{rec} \prod_i f_i T_i[\sigma] &= \text{rec} \prod_i f_i T_i[\sigma] \\
\langle f_i \xleftarrow{T_i} e_i \rangle_i[\sigma] &= \langle f_i \xleftarrow{T_i[\sigma]} e_i[\sigma] \rangle_i \\
\langle \bar{e} \rangle[\sigma] &= \langle e_1[\sigma], \dots, e_n[\sigma] \rangle
\end{aligned}$$

**Theorem 4.19**

$$\begin{aligned}
& \text{true}[u/d] = \text{true} \\
& \text{false}[u/d] = \text{false} \\
& \neg[u/d] = \neg \\
& (e_1 \wedge e_2)[u/d] = e_1[u/d] \wedge e_2[u/d] \\
& (e_1 \vee e_2)[u/d] = e_1[u/d] \vee e_2[u/d] \\
& (e_1 \Rightarrow e_2)[u/d] = e_1[u/d] \Rightarrow e_2[u/d] \\
& \Leftrightarrow[u/d] = \Leftrightarrow \\
& (e_1 \Leftrightarrow e_2)[u/d] = e_1[u/d] \Leftrightarrow e_2[u/d] \\
& (e_1 \not\equiv e_2)[u/d] = e_1[u/d] \not\equiv e_2[u/d] \\
& (\iota v:T.e)[u/d] = \begin{cases} \iota v:T.e & \text{if } u = v \\ \iota v:T.e[u/d] & \text{otherwise} \end{cases} \\
& \forall_T[u/d] = \forall_T \\
& (\forall v:T.e)[u/d] = \begin{cases} \forall v:T.e & \text{if } u = v \\ \forall v:T.e[u/d] & \text{otherwise} \end{cases} \\
& (\forall v_1:T_1, \dots, v_n:T_n.e)[u/d] = \begin{cases} \forall v_1:T_1, \dots, v_n:T_n.e & \text{if } u \in \bar{v} \\ \forall v_1:T_1, \dots, v_n:T_n.e[u/d] & \text{otherwise} \end{cases} \\
& (\forall \bar{v}:\bar{T}.e)[u/d] = \begin{cases} \forall \bar{v}:\bar{T}.e & \text{if } u \in \bar{v} \\ \forall \bar{v}:\bar{T}.e[u/d] & \text{otherwise} \end{cases} \\
& \exists_T[u/d] = \exists_T \\
& (\exists v:T.e)[u/d] = \begin{cases} \exists v:T.e & \text{if } u = v \\ \exists v:T.e[u/d] & \text{otherwise} \end{cases} \\
& (\exists v_1:T_1, \dots, v_n:T_n.e)[u/d] = \begin{cases} \exists v_1:T_1, \dots, v_n:T_n.e & \text{if } u \in \bar{v} \\ \exists v_1:T_1, \dots, v_n:T_n.e[u/d] & \text{otherwise} \end{cases} \\
& (\exists \bar{v}:\bar{T}.e)[u/d] = \begin{cases} \exists \bar{v}:\bar{T}.e & \text{if } u \in \bar{v} \\ \exists \bar{v}:\bar{T}.e[u/d] & \text{otherwise} \end{cases} \\
& \exists!_T[u/d] = \exists!_T \\
& (\exists! v:T.e)[u/d] = \begin{cases} \exists! v:T.e & \text{if } u = v \\ \exists! v:T.e[u/d] & \text{otherwise} \end{cases} \\
& (e.f)[u/d] = e[u/d].f \\
& \text{rec}[u/d] = \text{rec} \\
& \langle f_i \leftarrow e_i \rangle_i[u/d] = \langle f_i \leftarrow e_i[u/d] \rangle_i \\
& \langle \bar{e} \rangle[u/d] = \langle e_1[u/d], \dots, e_n[u/d] \rangle
\end{aligned}$$



**Theorem 4.20**

$$\begin{aligned}
\mathcal{V}(\text{true}, u) &= \emptyset \\
\mathcal{V}(\text{false}, u) &= \emptyset \\
\mathcal{V}(\neg, u) &= \emptyset \\
\mathcal{V}(e_1 \wedge e_2, u) &= \mathcal{V}(e_1, u) \cup \mathcal{V}(e_2, u) \\
\mathcal{V}(e_1 \vee e_2, u) &= \mathcal{V}(e_1, u) \cup \mathcal{V}(e_2, u) \\
\mathcal{V}(e_1 \Rightarrow e_2, u) &= \mathcal{V}(e_1, u) \cup \mathcal{V}(e_2, u) \\
\mathcal{V}(\Leftrightarrow, u) &= \emptyset \\
\mathcal{V}(e_1 \Leftrightarrow e_2, u) &= \mathcal{V}(e_1, u) \cup \mathcal{V}(e_2, u) \\
\mathcal{V}(e_1 \neq e_2, u) &= \mathcal{V}(e_1, u) \cup \mathcal{V}(e_2, u) \\
\mathcal{V}(\lambda v:T. e, u) &= \begin{cases} \{v\} \cup \mathcal{V}(e, u) & \text{if } u \in \mathcal{FV}(e) - \{v\} \\ \emptyset & \text{otherwise} \end{cases} \\
\mathcal{V}(\forall_T, u) &= \emptyset \\
\mathcal{V}(\forall v:T. e, u) &= \begin{cases} \{v\} \cup \mathcal{V}(e, u) & \text{if } u \in \mathcal{FV}(e) - \{v\} \\ \emptyset & \text{otherwise} \end{cases} \\
\mathcal{V}(\forall v_1:T_1, \dots, v_n:T_n. e, u) &= \begin{cases} \bar{v} \cup \mathcal{V}(e, u) & \text{if } u \in \mathcal{FV}(e) - \bar{v} \\ \emptyset & \text{otherwise} \end{cases} \\
\mathcal{V}(\forall \bar{v}:\bar{T}. e, u) &= \begin{cases} \bar{v} \cup \mathcal{V}(e, u) & \text{if } u \in \mathcal{FV}(e) - \bar{v} \\ \emptyset & \text{otherwise} \end{cases} \\
\mathcal{V}(\exists_T, u) &= \emptyset \\
\mathcal{V}(\exists v:T. e, u) &= \begin{cases} \{v\} \cup \mathcal{V}(e, u) & \text{if } u \in \mathcal{FV}(e) - \{v\} \\ \emptyset & \text{otherwise} \end{cases} \\
\mathcal{V}(\exists v_1:T_1, \dots, v_n:T_n. e, u) &= \begin{cases} \bar{v} \cup \mathcal{V}(e, u) & \text{if } u \in \mathcal{FV}(e) - \bar{v} \\ \emptyset & \text{otherwise} \end{cases} \\
\mathcal{V}(\exists \bar{v}:\bar{T}. e, u) &= \begin{cases} \bar{v} \cup \mathcal{V}(e, u) & \text{if } u \in \mathcal{FV}(e) - \bar{v} \\ \emptyset & \text{otherwise} \end{cases} \\
\mathcal{V}(\exists!_T, u) &= \emptyset \\
\mathcal{V}(\exists! v:T. e, u) &= \begin{cases} \{v\} \cup \mathcal{V}(e, u) & \text{if } u \in \mathcal{FV}(e) - \{v\} \\ \emptyset & \text{otherwise} \end{cases} \\
\mathcal{V}(e.f, u) &= \mathcal{V}(e, u) \\
\mathcal{V}(\text{rec}, u) &= \emptyset \\
\mathcal{V}(\langle f_i \leftarrow e_i \rangle_i, u) &= \bigcup_i \mathcal{V}(e_i, u) \\
\mathcal{V}(\langle \bar{e} \rangle, u) &= \bigcup_i \mathcal{V}(e_i, u)
\end{aligned}$$

#### 4.4 Proof-theoretical properties

Any prefix of a well-formed context is itself well-formed:

**Theorem 4.21**

$$\frac{\vdash cx_1, cx_2 : \text{CONTEXT}}{\vdash cx_1 : \text{CONTEXT}} \quad (\text{CXPRE})$$

If we derive a judgement with some context, that context is well-formed:

**Theorem 4.22**

$$\frac{cx \vdash \dots}{\vdash cx : \text{CONTEXT}} \quad (\text{CXWF})$$

The predicate of a well-formed restriction or quotient type has no free variables:

**Theorem 4.23**

$$\begin{aligned}
cx \vdash T|r : \text{TYPE} &\Rightarrow \mathcal{FV}(r) = \emptyset \\
cx \vdash T/q : \text{TYPE} &\Rightarrow \mathcal{FV}(q) = \emptyset
\end{aligned}$$

The subtype predicates that appear in (provable) subtype judgements have no free variables:

**Theorem 4.24**

$$cx \vdash T_1 \prec_r T_2 \Rightarrow \mathcal{FV}(r) = \emptyset$$

All the free variables in a well-typed expression or a theorem are declared in the context:

**Theorem 4.25**

$$\begin{aligned} cx \vdash e : T &\Rightarrow \mathcal{FV}(e) \subseteq \mathcal{V}(cx) \\ cx \vdash e &\Rightarrow \mathcal{FV}(e) \subseteq \mathcal{V}(cx) \end{aligned}$$

The derivation of a judgement does not depend on the choice of the names for the variables in the derivation. So, if we replace a variable declared in the context of the judgement with a fresh one<sup>3</sup> and perform the substitution in the rest of the judgement accordingly, the judgement is still provable:

**Theorem 4.26**

$$\begin{aligned} u' \text{ fresh} \wedge \vdash cx_1, \text{var } u : S, cx_2 : \text{CONTEXT} &\Rightarrow \vdash cx_1, \text{var } u' : S, cx_2[u/u'] : \text{CONTEXT} \\ u' \text{ fresh} \wedge cx_1, \text{var } u : S, cx_2 \vdash T : \text{TYPE} &\Rightarrow cx_1, \text{var } u' : S, cx_2[u/u'] \vdash T : \text{TYPE} \\ u' \text{ fresh} \wedge cx_1, \text{var } u : S, cx_2 \vdash T_1 \approx T_2 &\Rightarrow cx_1, \text{var } u' : S, cx_2[u/u'] \vdash T_1 \approx T_2 \\ u' \text{ fresh} \wedge cx_1, \text{var } u : S, cx_2 \vdash T_1 \prec_r T_2 &\Rightarrow cx_1, \text{var } u' : S, cx_2[u/u'] \vdash T_1 \prec_r T_2 \\ u' \text{ fresh} \wedge cx_1, \text{var } u : S, cx_2 \vdash e : T &\Rightarrow cx_1, \text{var } u' : S, cx_2[u/u'] \vdash e[u/u'] : T \\ u' \text{ fresh} \wedge cx_1, \text{var } u : S, cx_2 \vdash e &\Rightarrow cx_1, \text{var } u' : S, cx_2[u/u'] \vdash e[u/u'] \end{aligned}$$

The following theorems prove derived well-typedness rules for the abbreviations defined in §2:

**Theorem 4.27**

$$\frac{\vdash cx : \text{CONTEXT} \quad v \notin \mathcal{V}(cx)}{\vdash cx, \text{var } v : \text{Bool} : \text{CONTEXT}} \quad (\text{CXVDECBOL})$$

**Theorem 4.28**

$$\frac{cx \vdash e : \text{Bool}}{\vdash cx, \text{ax } e : \text{CONTEXT}} \quad (\text{CXA0})$$

**Theorem 4.29**

$$\frac{\vdash cx : \text{CONTEXT}}{cx \vdash \lambda v : \text{Bool}. v : \text{Bool} \rightarrow \text{Bool}} \quad (\text{EXIDBOL})$$

**Theorem 4.30**

$$\frac{\vdash cx : \text{CONTEXT}}{cx \vdash \text{true} : \text{Bool}} \quad (\text{EXTRUE})$$

**Theorem 4.31**

$$\frac{cx \vdash T : \text{TYPE}}{cx \vdash \lambda v : T. \text{true} : T \rightarrow \text{Bool}} \quad (\text{EXCONSTTRUE})$$

**Theorem 4.32**

$$\frac{\vdash cx : \text{CONTEXT}}{cx \vdash \text{false} : \text{Bool}} \quad (\text{EXFALSE})$$

**Theorem 4.33**

$$\frac{\vdash cx : \text{CONTEXT}}{cx \vdash \neg : \text{Bool} \rightarrow \text{Bool}} \quad (\text{EXNOT})$$

<sup>3</sup>The adjective “fresh” means that the variable does not occur anywhere in the (judgements that comprise the) derivation that is under discussion. This notion could be made more formal, but it should be sufficiently clear as stated.

In the proof of the previous theorem, we use EXIF0, not EXIF. An attempt to use EXIF causes a circularity, where in order to prove that  $\neg$  is well-typed one has to first prove that  $\neg$  is well-typed. The reader can try a backward derivation of  $cx, \text{var } \gamma : \text{Bool} \vdash \text{if } \gamma \text{ false true} : \text{Bool}$  where the first rule applied backwards is EXIF: while the first two premises (i.e.  $cx, \text{var } \gamma : \text{Bool} \vdash \gamma : \text{Bool}$  and  $cx, \text{var } \gamma : \text{Bool}, \text{ax } \gamma \vdash \text{false} : \text{Bool}$ ) can be derived with ease, the third premise (i.e.  $cx, \text{var } \gamma : \text{Bool}, \text{ax } \neg \gamma \vdash \text{true} : \text{Bool}$ ) requires proving  $\vdash cx, \text{var } \gamma : \text{Bool}, \text{ax } \neg \gamma : \text{CONTEXT}$  (so that we can use EXTRUE), which requires proving  $cx, \text{var } \gamma : \text{Bool} \vdash \neg \gamma : \text{Bool}$  (so that we can use CXAX), which requires proving  $cx, \text{var } \gamma : \text{Bool} \vdash \neg : \text{Bool} \rightarrow \text{Bool}$  (so that we can use EXAPP), which causes a circularity. The arguments just given do not constitute a formal proof of the necessity of rule EXIF0, but they do suggest the existence of such a proof.

**Theorem 4.34**

$$\frac{cx \vdash e : \text{Bool}}{cx \vdash \neg e : \text{Bool}} \quad (\text{EXNEG})$$

**Theorem 4.35**

$$\frac{\begin{array}{c} cx \vdash e_1 : \text{Bool} \\ cx, \text{ax } e_1 \vdash e_2 : \text{Bool} \end{array}}{cx \vdash e_1 \wedge e_2 : \text{Bool}} \quad (\text{EXCONJ})$$

**Theorem 4.36**

$$\frac{\begin{array}{c} cx \vdash e_1 : \text{Bool} \\ cx, \text{ax } \neg e_1 \vdash e_2 : \text{Bool} \end{array}}{cx \vdash e_1 \vee e_2 : \text{Bool}} \quad (\text{EXDISJ})$$

**Theorem 4.37**

$$\frac{\begin{array}{c} cx \vdash e_1 : \text{Bool} \\ cx, \text{ax } e_1 \vdash e_2 : \text{Bool} \end{array}}{cx \vdash e_1 \Rightarrow e_2 : \text{Bool}} \quad (\text{EXIMPL})$$

**Theorem 4.38**

$$\frac{\vdash cx : \text{CONTEXT}}{cx \vdash \Leftrightarrow : \text{Bool} \rightarrow \text{Bool} \rightarrow \text{Bool}} \quad (\text{EXIFF})$$

**Theorem 4.39**

$$\frac{\begin{array}{c} cx \vdash e_1 : \text{Bool} \\ cx \vdash e_2 : \text{Bool} \end{array}}{cx \vdash e_1 \Leftrightarrow e_2 : \text{Bool}} \quad (\text{EXCOIMPL})$$

**Theorem 4.40**

$$\frac{\begin{array}{c} cx \vdash e_1 : T \\ cx \vdash e_2 : T \end{array}}{cx \vdash e_1 \not\equiv e_2 : \text{Bool}} \quad (\text{EXNEQ})$$

## 5 Models

[[[TO DO]]]

This section defines the notion of model of a context (recall that specs are contexts without variable and type variable declarations).

A model of a context is a mapping from names declared in the context to suitable set-theoretic entities. For instance, a type name  $\tau$  of arity  $n$  is mapped to an  $n$ -ary function over sets (if  $n = 0$ , the model maps the type name simply to a set). The mapping is extended to all well-formed types, which

are mapped to sets, and to all well-typed expressions, which are mapped to elements of the sets that their types map to. The model must satisfy all the type definitions, op definitions, and axioms of the context.

It should be possible to prove the soundness of the rules to derive judgements with respect to models.

Since higher-order logic is notoriously incomplete, it is not possible to prove completeness of the rules to derive assertions. However, it should be possible to prove completeness with respect to general (a.k.a. Henkin) models. A general model is one in which the type  $T_1 \rightarrow T_2$  is a subset of all functions from  $T_1$  to  $T_2$ , and not necessarily the set of all such functions (as in standard models). Since there are more general models than standard models (a standard model is also a general model but not all general models are standard models), fewer formulas are true in all general models than in all standard models.

Perhaps this section should also contain a proof of the consistency of the Metaslang logic, analogously to the proof of the consistency of the higher-order logic defined in [2].

## 6 Other Metaslang constructs

### 6.1 Record updates

A record update as defined in [1] is just an abbreviation for an explicit record construction whose fields are assigned projections from the two expressions, as appropriate.

A record updater has the form

$$\ll \prod_i f_i T_i, \prod_i f'_i T'_i, \prod_i f''_i T''_i$$

where  $\prod_i f_i T_i, \prod_i f'_i T'_i, \prod_i f''_i T''_i \in \text{Type}$  and  $\bar{f}' \cap \bar{f}'' = \emptyset$ . Its type is

$$(\prod_i f_i T_i \times \prod_i f'_i T'_i) \rightarrow (\prod_i f_i T_i \times \prod_i f''_i T''_i) \rightarrow (\prod_i f_i T_i \times \prod_i f'_i T'_i \times \prod_i f''_i T''_i)$$

i.e. it operates on two records whose common fields have the same types and returns a record with the union of all the fields. The resulting record is obtained by putting together the second record with the fields of the first one that do not appear in the second record. In other words, we start with the first record, overwrite the common fields with those from the second record, and add the extra fields from the second record. This is defined by the abbreviation

$$\ll \longrightarrow \lambda \gamma_1 : T'. \lambda \gamma_2 : T''. \langle \bar{f} \leftarrow (\gamma_2 \cdot \bar{f}) \bar{f}' \leftarrow (\gamma_1 \cdot \bar{f}') \bar{f}'' \leftarrow (\gamma_2 \cdot \bar{f}'') \rangle$$

where we leave the record types that tag  $\ll$  implicit, where  $T'$  and  $T''$  respectively stand for  $\prod_i f_i T_i \times \prod_i f'_i T'_i$  and  $\prod_i f_i T_i \times \prod_i f''_i T''_i$ , and where the notation  $\bar{f} \leftarrow e \cdot \bar{f}$  stands for  $f_1 \leftarrow e.f_1 \dots f_n \leftarrow e.f_n$ . Recall that  $\gamma_i$  are distinct fixed but unspecified names in  $\mathcal{N}$ , as previously explained.

The infix form is defined by the abbreviation

$$e_1 \ll e_2 \longrightarrow \ll e_1 e_2$$

where again we leave the tagging record types implicit.

#### Theorem 6.1

$$\begin{aligned} \mathcal{V}(\ll) &= \emptyset \\ \mathcal{V}(e_1 \ll e_2) &= \mathcal{V}(e_1) \cup \mathcal{V}(e_2) \\ \mathcal{N}(\ll \prod_i f_i T_i, \prod_i f'_i T'_i, \prod_i f''_i T''_i) &= \bigcup_i \mathcal{N}(T_i) \cup \bigcup_i \mathcal{N}(T'_i) \cup \bigcup_i \mathcal{N}(T''_i) \\ \mathcal{N}(e_1 \ll e_2) &= \bigcup_i \mathcal{N}(T_i) \cup \bigcup_i \mathcal{N}(T'_i) \cup \bigcup_i \mathcal{N}(T''_i) \cup \mathcal{N}(e_1) \cup \mathcal{N}(e_2) \\ \ll \prod_i f_i T_i, \prod_i f'_i T'_i, \prod_i f''_i T''_i [\sigma] &= \ll \prod_i f_i T_i[\sigma], \prod_i f'_i T'_i[\sigma], \prod_i f''_i T''_i[\sigma] \\ (e_1 \ll e_2)[\sigma] &= e_1[\sigma] \ll e_2[\sigma] \\ \ll \prod_i f_i T_i, \prod_i f'_i T'_i, \prod_i f''_i T''_i [u/d] &= \ll \prod_i f_i T_i, \prod_i f'_i T'_i, \prod_i f''_i T''_i \\ (e_1 \ll e_2)[u/d] &= e_1[u/d] \ll e_2[u/d] \\ \mathcal{V}(\ll, u) &= \emptyset \\ \mathcal{V}(e_1 \ll e_2, u) &= \mathcal{V}(e_1, u) \cup \mathcal{V}(e_2, u) \end{aligned}$$

## 6.2 Binding conditionals

A binding conditional, currently absent from [1], has the form

$$\text{cond}_T \langle v_{1,1} : T_{1,1}, \dots, v_{m_1,1} : T_{m_1,1} \cdot b_1 \rightarrow e_1 \\ \dots \\ v_{1,n} : T_{1,n}, \dots, v_{m_n,n} : T_{m_n,n} \cdot b_n \rightarrow e_n \rangle$$

where  $T \in \text{Type}$ ,  $\bar{v} \in (\mathcal{N}^{(*)})^+$ ,  $\bar{T} \in (\text{Type}^*)^+$ , and  $\bar{b}, \bar{e} \in \text{Exp}^+$ .

Its intuitive meaning is the following. Each  $b_i$  is a boolean expression: if  $b_1$  holds, the result of the conditional is  $e_1$ ; otherwise, if  $b_2$  holds, the result is  $e_2$ ; and so on. At least one  $b_i$  must hold. Each branch  $i$  binds zero or more variables  $\bar{v}_i$ , whose scope is not only the condition  $b_i$ , but also the result expression  $e_i$ . Each branch  $i$  reads as: if there exist  $\bar{v}_i$  with respective types  $\bar{T}_i$  such that  $b_i$  holds, then the result is  $e_i$ , which can refer to the bound variables. The value of  $e_i$  must be the same for all values assigned to  $\bar{v}_i$  that make  $b_i$  true. All the  $e_i$  must have type  $T$ .

We introduce the abbreviations

$$\begin{aligned} \text{cond}_T \langle \bar{v} : \bar{T} \cdot b \rightarrow e \rangle &\longrightarrow \iota \gamma_{\bar{v},b,e} : T. \exists \bar{v} : \bar{T}. (b \wedge \gamma_{\bar{v},b,e} \equiv e) \\ \text{cond}_T \langle \bar{v}_1 : \bar{T}_1 \cdot b_1 \rightarrow e_1 \quad \dots \quad \bar{v}_n : \bar{T}_n \cdot b_n \rightarrow e_n \rangle &\longrightarrow \text{if } (\exists \bar{v}_1 : \bar{T}_1. b_1) \\ &\quad (\iota \gamma_{\bar{v}_1,b_1,e_1} : T. \exists \bar{v}_1 : \bar{T}_1. (b_1 \wedge \gamma_{\bar{v}_1,b_1,e_1} \equiv e_1)) \\ &\quad (\text{cond}_T \langle \bar{v}_2 : \bar{T}_2 \cdot b_2 \rightarrow e_2 \quad \dots \quad \bar{v}_n : \bar{T}_n \cdot b_n \rightarrow e_n \rangle) \end{aligned}$$

where  $\gamma_{\bar{v},b,e}$  is, for each triple  $\langle \bar{v}, b, e \rangle \in \mathcal{N}^* \times \text{Exp} \times \text{Exp}$ , a fixed but unspecified name in  $\mathcal{N}$  such that  $\gamma_{\bar{v},b,e} \notin \bar{v} \cup \mathcal{FV}(b) \cup \mathcal{FV}(e)$  and where the second abbreviation only applies when  $n > 1$ .

## 6.3 Pattern matching

A pattern matching expression, currently present in [1] in a more limited form than defined here, has the form

$$\text{case}_{T,T'} e \langle v_{1,1} : T_{1,1}, \dots, v_{m_1,1} : T_{m_1,1} \cdot p_1 \rightarrow e_1 \\ \dots \\ v_{1,n} : T_{1,n}, \dots, v_{m_n,n} : T_{m_n,n} \cdot p_n \rightarrow e_n \rangle$$

where  $T, T' \in \text{Type}$ ,  $e \in \text{Exp}$ ,  $\bar{v} \in (\mathcal{N}^{(*)})^+$ ,  $\bar{T} \in (\text{Type}^*)^+$ , and  $\bar{p}, \bar{e} \in \text{Exp}^+$ .

Its intuitive meaning is the following. Each  $p_i$  is a pattern expression of type  $T$  against which  $e$ , which must also have type  $T$ , is compared: if  $e$  matches  $p_1$ , the result of the case expression is  $e_1$ ; otherwise, if  $e$  matches  $p_2$  holds, the result is  $e_2$ ; and so on. The target expression  $e$  must match at least one  $p_i$ . Each branch  $i$  binds zero or more variables  $\bar{v}_i$ , whose scope is not only the pattern  $p_i$ , but also the result expression  $e_i$ . Here, “ $e$  matches  $p_i$ ” means that  $e \equiv p_i$  for some values of  $\bar{v}_i$  of types  $\bar{T}_i$ . Every branch  $i$  reads as: if there exist  $\bar{v}_i$  with respective types  $\bar{T}_i$  such that  $e \equiv p_i$  holds, then the result is  $e_i$ , which can refer to the bound variables. The value of  $e_i$  must be the same for all values assigned to  $\bar{v}_i$  that make  $e \equiv p_i$  true. All the  $e_i$  must have type  $T'$ .

We introduce the abbreviation

$$\text{case}_{T,T'} e \langle \bar{v}_i : \bar{T}_i \cdot p_i \rightarrow e_i \rangle_i \longrightarrow \begin{cases} \text{cond}_{T'} \langle \bar{v}_i : \bar{T}_i \cdot (e \equiv p_i) \rightarrow e_i \rangle_i & \text{if } \mathcal{FV}(e) \cap \bigcup_i \bar{v}_i = \emptyset \\ \text{case}_{T,T'} e \langle \gamma_{\bar{v}_i,e} : T. \gamma_{\bar{v}_i,e} \rightarrow \text{case}_{T,T'} \gamma_{\bar{v}_i,e} \langle \bar{v}_i : \bar{T}_i \cdot p_i \rightarrow e_i \rangle_i \rangle & \text{otherwise} \end{cases}$$

where  $\gamma_{\bar{v}_i,e}$  is, for each pair  $\langle \bar{v}_i, e \rangle \in (\mathcal{N}^{(*)})^+ \times \text{Exp}$ , a fixed but unspecified name in  $\mathcal{N}$  such that  $\gamma_{\bar{v}_i,e} \notin \bigcup_i \bar{v}_i \cup \mathcal{FV}(e)$ . The nested case expressions  $\text{case}_{T,T'} e \langle \gamma_{\bar{v}_i,e} : T. \gamma_{\bar{v}_i,e} \rightarrow \text{case} \dots \rangle$  coincide with  $\text{let}_{T'} \gamma_{\bar{v}_i,e} : T \leftarrow e \text{ in case} \dots$  (see let expressions, introduced later), which should be more intuitive. The reason for introducing the extra  $\gamma_{\bar{v}_i,e}$  variable via a let expression when  $\mathcal{FV}(e) \cap \bigcup_i \bar{v}_i \neq \emptyset$  is to prevent the bindings of the branches to capture free variables in the target expression  $e$ . Note that there is

no circularity: both nested case expressions readily expand into binding conditionals, because of the hypothesis that  $\gamma_{\bar{v},e} \notin \bigcup_i \bar{v}_i \cup \mathcal{FV}(e)$ .

Here, the patterns  $p_i$  can be any expressions. In [1], patterns are a separate syntactic category, which can be regarded as a subset of expressions.

Aliased patterns as defined in [1] can be easily captured as follows. Given an aliased pattern  $(v : T \text{ as } p)$  in a branch, first drop  $v$  and all other alias variables that appear in any subpatterns of  $p$ , obtaining an alias-free pattern  $p'$ . Then, use the abbreviation expansion given above, obtaining a binding conditional where the branch condition is  $e \equiv p'$ . Finally, conjoin that branch condition with equations that equate the alias variables with the patterns, e.g.  $v \equiv p'$ , at the same time adding those variables, e.g.  $v : T$ , to the variables  $\bar{v} : \bar{T}$  bound by the branch. An alternative to adding equations for the alias variables to the branch condition is to add simple let expressions for those variables in the result expression of the branch, e.g.  $\text{let}_{\dots} v : T \leftarrow p'$  in  $\dots$ .

If the aliased patterns defined in [1] were generalized to allow an arbitrary pattern at the left of **as**, they could be captured as follows. First, recursively expand  $p \text{ as } p'$  into a sequence of alias-free patterns  $p_1, \dots, p_n$  that are all equal to  $p$  and  $p'$ . Then, use the conjunction  $\bigwedge_i e \equiv p_i$  as the condition of the branch of the binding conditional. The potentially exponential expansion of  $p \text{ as } p'$  into  $p_1, \dots, p_n$  can be avoided by first introducing fresh variables for each left-hand side of each **as** in  $p \text{ as } p'$ , then using the method described earlier for aliased patterns of the form  $v : T \text{ as } p$ , and finally conjoining the branch condition with equations that equate the fresh variables to the corresponding left-hand sides.

## 6.4 Let expressions

### 6.4.1 Non-recursive

As in [1], a non-recursive let expression is defined as a case expression with one branch.

A non-recursive let expression has the form

$$\text{let}_{T,T'} \bar{v} : \bar{T}. p \leftarrow e \text{ in } e'$$

where  $T, T' \in \text{Type}$ ,  $\bar{v} \in \mathcal{N}^{(*)}$ ,  $\bar{T} \in \text{Type}^*$ , and  $p, e, e' \in \text{Exp}$ .

We introduce the abbreviation

$$\text{let}_{T,T'} \bar{v} : \bar{T}. p \leftarrow e \text{ in } e' \longrightarrow \text{case}_{T,T'} e \langle \bar{v} : \bar{T}. p \rightarrow e' \rangle$$

which captures a generalization of non-recursive let expressions as defined in [1], in the same way as the pattern matching defined here generalizes the pattern matching defined in [1].

### 6.4.2 Simple

A simple let expression has the form

$$\text{let}_{T'} v : T \leftarrow e \text{ in } e'$$

where  $v \in \mathcal{N}$ ,  $T, \text{typ}' \in \text{Type}$ , and  $e, e' \in \text{Exp}$ .

We introduce the abbreviation

$$\text{let}_{T'} v : T \leftarrow e \text{ in } e' \longrightarrow \text{let}_{T,T'} v : T. v \leftarrow e \text{ in } e'$$

which captures a common kind of non-recursive let expression.

### 6.4.3 Recursive

Recursive let expressions are defined in terms of non-recursive let expressions and binding conditionals.

A recursive let expression has the form

$$\text{let}_T \langle v_1 : T_1 \leftarrow e_1 \dots v_n : T_n \leftarrow e_n \rangle \text{ in } e$$

where  $T \in \text{Type}$ ,  $\bar{v} \in \mathcal{N}^{(+)}$ ,  $\bar{T} \in \text{Type}^+$ ,  $\bar{e} \in \text{Exp}^+$ , and  $e \in \text{Exp}$ .

We introduce the abbreviation

$$\text{let}_T \langle v_i : T_i \leftarrow e_i \rangle_i \text{ in } e \longrightarrow \text{let}_{\prod_i T_i, T} \bar{v} : \bar{T}. \langle \bar{v} \rangle \leftarrow \text{cond}_{\prod_i T_i} \langle \bar{v} : \bar{T}. \langle \bar{v} \rangle \equiv \langle \bar{e} \rangle \rightarrow \langle \bar{v} \rangle \rangle \text{ in } e$$

which captures recursive let expressions as defined in [1].

## 6.5 Choosers

A chooser has the form

$$\text{ch}_{T/q, T'}$$

where  $T/q, T' \in \text{Type}$ .

We introduce the abbreviation

$$\text{ch}_{T/q, T'} \longrightarrow \lambda\phi: (T \rightarrow T') | r. \lambda\gamma: T/q. (\text{let}_{T/q, T'} \gamma': T. \text{quo } \gamma' \leftarrow \gamma \text{ in } \phi \gamma')$$

where

$$r = \lambda\phi: T \rightarrow T'. \forall\gamma: T, \gamma': T. q \langle \gamma, \gamma' \rangle \Rightarrow \phi \gamma \equiv \phi \gamma'$$

and where  $\phi$  is a fixed but unspecified name in  $\mathcal{N}$  that is distinct from  $\gamma$  and  $\gamma'$  (which have been introduced earlier).

## 6.6 Embedding tests

An embedding test has the form

$$\text{emb}^?_{\sum_i c_i T_i} c_j$$

where  $\sum_i c_i T_i \in \text{Type}$ .

We introduce the abbreviation

$$\text{emb}^?_{\sum_i c_i T_i} c_j \longrightarrow \lambda\gamma: \sum_i c_i T_i. \exists\gamma': T_j. \gamma \equiv \text{emb } c_j \gamma'$$

### Theorem 6.2

$$\begin{aligned} \mathcal{FV}(\text{emb}^? c_j) &= \emptyset \\ \mathcal{ON}(\text{emb}^?_{\sum_i c_i T_i} c_j) &= \bigcup_i \mathcal{ON}(T_i) \\ (\text{emb}^?_{\sum_i c_i T_i} c_j)[\sigma] &= \text{emb}^?_{\sum_i c_i T_i[\sigma]} c_j \\ (\text{emb}^? c_j)[u/d] &= \text{emb}^? c_j \\ \mathcal{OV}(\text{emb}^? c_j, u) &= \emptyset \end{aligned}$$

## A Proofs

### Proof of Theorem 4.1

Since

$$\begin{aligned} \mathcal{OV}(e, u) &= \emptyset \\ &\Rightarrow \\ \mathcal{FV}(d) \cap \mathcal{OV}(e, u) &= \emptyset \\ &\Rightarrow \text{[definition of } OKsbs\text{]} \\ OKsbs(e, u, d) & \end{aligned}$$

we are left to prove

$$u \notin \mathcal{FV}(e) \Rightarrow \mathcal{OV}(e, u) = \emptyset \wedge e[u/d] = e$$

which we do by induction on  $e$ :

$$o[\overline{T}], \iota_T, \text{proj } f, \text{emb } c, \text{quo}$$

$$\begin{aligned} \mathcal{OV}(e, u) &= \emptyset && \text{[definition of } \mathcal{OV}\text{]} \\ e[u/d] &= e && \text{[definition of } \_[-/-]\text{]} \end{aligned}$$

$v$ )

$$1. \mathcal{OV}(v, u) = \emptyset \quad \text{[definition of } \mathcal{OV}\text{]}$$

$$\begin{array}{ll}
2. u \notin \mathcal{FV}(v) & [\text{hypothesis}] \\
3. u \neq v & [2, \mathcal{FV}(v) = \{v\}] \\
4. v[u/d] = v & [\text{definition of } \_[-/]\_, 3] \\
\\
e_1 \ e_2) & \\
1. u \notin \mathcal{FV}(e_1 \ e_2) & [\text{hypothesis}] \\
2. u \notin \mathcal{FV}(e_1) \ \wedge \ u \notin \mathcal{FV}(e_2) & [1, \mathcal{FV}(e_1 \ e_2) = \mathcal{FV}(e_1) \cup \mathcal{FV}(e_2)] \\
3. \mathcal{CV}(e_1 \ e_2, u) & \\
\quad = [\text{definition of } \mathcal{CV}] & \\
\quad \mathcal{CV}(e_1, u) \cup \mathcal{CV}(e_2, u) & \\
\quad = [\text{induction hypothesis, 2}] & \\
\quad \emptyset & \\
4. (e_1 \ e_2)[u/d] & \\
\quad = [\text{definition of } \_[-/]\_] & \\
\quad e_1[u/d] \ e_2[u/d] & \\
\quad = [\text{induction hypothesis, 2}] & \\
\quad e_1 \ e_2 & \\
\\
e_1 \equiv e_2, \text{ if } e_0 \ e_1 \ e_2) & \\
\text{Analogous to } e_1 \ e_2. & \\
\\
\lambda v:T. e) & \\
1. u \notin \mathcal{FV}(\lambda v:T. e) & [\text{hypothesis}] \\
2. u \notin \mathcal{FV}(e) - \{v\} & [1, \mathcal{FV}(\lambda v:T. e) = \mathcal{FV}(e) - \{v\}] \\
3. \mathcal{CV}(\lambda v:T. e, u) = \emptyset & [\text{definition of } \mathcal{CV}, 2] \\
\\
\text{If } u = v: & \\
4. (\lambda v:T. e)[u/d] = \lambda v:T. e & [\text{definition of } \_[-/]\_] \\
\\
\text{If } u \neq v: & \\
4. u \notin \mathcal{FV}(e) & [2] \\
5. (\lambda v:T. e)[u/d] & \\
\quad = [\text{definition of } \_[-/]\_] & \\
\quad \lambda v:T. e[u/d] & \\
\quad = [\text{induction hypothesis, 4}] & \\
\quad \lambda v:T. e &
\end{array}$$

## Proof of Theorem 4.2

By induction on  $e$ :

$v, o[\overline{T}], \iota_T, \text{proj } f, \text{emb } c, \text{quo}$

$$\begin{array}{ll}
1. \mathcal{CV}(e, u) = \emptyset & [\text{definition of } \mathcal{CV}] \\
2. u \notin \mathcal{CV}(e, u) & [1] \\
\\
e_1 \ e_2) & \\
1. \mathcal{CV}(e_1 \ e_2, u) = \mathcal{CV}(e_1, u) \cup \mathcal{CV}(e_2, u) & [\text{definition of } \mathcal{CV}] \\
2. u \notin \mathcal{CV}(e_1, u) \ \wedge \ u \notin \mathcal{CV}(e_2, u) & [\text{induction hypothesis}] \\
3. u \notin \mathcal{CV}(e_1 \ e_2, u) & [1, 2]
\end{array}$$



$e_1 \equiv e_2$ , if  $e_0 \ e_1 \ e_2$ )  
 Analogous to  $e_1 \ e_2$ .

$\lambda v:T. e$ )

If  $u \notin \mathcal{FV}(e) - \{v\}$ :

1.  $\mathcal{CV}(\lambda v:T. e, u) = \emptyset$  [definition of  $\mathcal{CV}$ ]
2.  $u \notin \mathcal{CV}(\lambda v:T. e, u)$  [1]

If  $u \in \mathcal{FV}(e) - \{v\}$ :

1.  $\mathcal{CV}(\lambda v:T. e, u) = \{v\} \cup \mathcal{CV}(e, u)$  [definition of  $\mathcal{CV}$ ]
2.  $u \notin \mathcal{CV}(e, u)$  [induction hypothesis]
3.  $u \neq v$  [ $u \in \mathcal{FV}(e) - \{v\}$ ]
4.  $u \notin \mathcal{CV}(\lambda v:T. e, u)$  [1, 2, 3]

### Proof of Theorem 4.3

We prove  $OKsbs(e, u, u)$  as follows:

1.  $u \notin \mathcal{CV}(e, u)$  [Theorem 4.2]
2.  $\{u\} \cap \mathcal{CV}(e, u) = \emptyset$  [1]
3.  $OKsbs(e, u, u)$  [definition of  $OKsbs$ , 2]

We prove  $e[u/u] = e$  by induction on  $e$ :

$o[\overline{T}], \iota_T, \text{proj } f, \text{emb } c, \text{quo}$ )

$$e[u/u] = e \quad \text{[definition of } \_[-/-]\text{]}$$

$v$ )

If  $v \neq u$ :

$$v[u/u] = v \quad \text{[definition of } \_[-/-]\text{]}$$

If  $v = u$ :

$$\begin{aligned} & v[u/u] \\ &= \text{[definition of } \_[-/-]\text{]} \\ & u \\ &= [v = u] \\ & v \end{aligned}$$

$e_1 \ e_2$ )

$$\begin{aligned} & (e_1 \ e_2)[u/u] \\ &= \text{[definition of } \_[-/-]\text{]} \\ & e_1[u/u] \ e_2[u/u] \\ &= \text{[induction hypothesis]} \\ & e_1 \ e_2 \end{aligned}$$

$e_1 \equiv e_2$ , if  $e_0 \ e_1 \ e_2$ )  
 Analogous to  $e_1 \ e_2$ .

$\lambda v:T. e$ )

If  $u = v$ :

$$(\lambda v:T. e)[u/u] = \lambda v:T. e \quad \text{[definition of } \_[-/-]\text{]}$$

If  $u \neq v$ :

$$\begin{aligned}
& (\lambda v:T. e)[u/u] \\
&= [\text{definition of } \_[-/\_]] \\
& \lambda v:T. e[u/u] \\
&= [\text{induction hypothesis}] \\
& \lambda v:T. e
\end{aligned}$$

#### Proof of Theorem 4.4

1.  $OKsbs(e_1 \ e_2, u, d)$  [hypothesis]
2.  $\mathcal{FV}(d) \cap \mathcal{CV}(e_1 \ e_2, u) = \emptyset$  [1, definition of  $OKsbs$ ]
3.  $\mathcal{FV}(d) \cap \mathcal{CV}(e_1, u) = \emptyset \ \wedge \ \mathcal{FV}(d) \cap \mathcal{CV}(e_2, u) = \emptyset$  [2,  $\mathcal{CV}(e_1 \ e_2, u) = \mathcal{CV}(e_1, u) \cup \mathcal{CV}(e_2, u)$ ]
4.  $OKsbs(e_1, u, d) \ \wedge \ OKsbs(e_2, u, d)$  [3, definition of  $OKsbs$ ]

#### Proof of Theorem 4.5

Analogous to Theorem 4.4.

#### Proof of Theorem 4.6

Analogous to Theorem 4.4.

#### Proof of Theorem 4.7

If  $u \notin \mathcal{FV}(e)$ :

$$OKsbs(e, u, d) \quad \text{[Theorem 4.1]}$$

If  $u \in \mathcal{FV}(e)$ :

1.  $OKsbs(\lambda v:T. e, u, d)$  [hypothesis]
2.  $\mathcal{FV}(d) \cap \mathcal{CV}(\lambda v:T. e, u) = \emptyset$  [1, definition of  $OKsbs$ ]
3.  $u \in \mathcal{FV}(e) - \{v\}$  [ $u \neq v, u \in \mathcal{FV}(e)$ ]
4.  $\mathcal{FV}(d) \cap (\{v\} \cup \mathcal{CV}(e, u)) = \emptyset$  [definition of  $\mathcal{CV}$ , 3, 2]
5.  $\mathcal{FV}(e) \cap \mathcal{CV}(e, u) = \emptyset$  [4]
6.  $OKsbs(e, u, d)$  [definition of  $OKsbs$ , 5]

#### Proof of Theorem 4.8

By induction on  $e$ :

$$\begin{aligned}
& o[\overline{T}], \iota_T, \text{proj } f, \text{emb } c, \text{quo}) \\
& \mathcal{FV}(e) = \emptyset \Rightarrow u \notin \mathcal{FV}(e)
\end{aligned}$$

$v)$

$$\begin{aligned}
& \mathcal{FV}(v[u/d]) \\
&= [\text{definition of } \_[-/\_], u \in \mathcal{FV}(v) \Rightarrow u = v] \\
& \mathcal{FV}(d) \\
&= \\
& \emptyset \cup \mathcal{FV}(d) \\
&= \\
& (\{u\} - \{u\}) \cup \mathcal{FV}(d) \\
&= [u \in \mathcal{FV}(v) \Rightarrow \mathcal{FV}(v) = \{u\}] \\
& (\mathcal{FV}(v) - \{u\}) \cup \mathcal{FV}(d)
\end{aligned}$$

$e_1 \ e_2$ )

If  $u \in \mathcal{FV}(e_1) \wedge u \in \mathcal{FV}(e_2)$ :

$$\begin{aligned}
& \mathcal{FV}((e_1 \ e_2)[u/d]) \\
&= [\text{definition of } \_[-/\_], \text{ definition of } \mathcal{FV}] \\
& \mathcal{FV}(e_1[u/d]) \cup \mathcal{FV}(e_2[u/d]) \\
&= [\text{Theorem 4.4, induction hypothesis}] \\
& (\mathcal{FV}(e_1) - \{u\}) \cup \mathcal{FV}(d) \cup (\mathcal{FV}(e_2) - \{u\}) \cup \mathcal{FV}(d) \\
&= \\
& (\mathcal{FV}(e_1) - \{u\}) \cup (\mathcal{FV}(e_2) - \{u\}) \cup \mathcal{FV}(d) \\
&= \\
& ((\mathcal{FV}(e_1) \cup \mathcal{FV}(e_2)) - \{u\}) \cup \mathcal{FV}(d) \\
&= \\
& (\mathcal{FV}(e_1 \ e_2) - \{u\}) \cup \mathcal{FV}(d)
\end{aligned}$$

If  $u \in \mathcal{FV}(e_1) \wedge u \notin \mathcal{FV}(e_2)$ :

$$\begin{aligned}
& \mathcal{FV}((e_1 \ e_2)[u/d]) \\
&= [\text{definition of } \_[-/\_], \text{ definition of } \mathcal{FV}] \\
& \mathcal{FV}(e_1[u/d]) \cup \mathcal{FV}(e_2[u/d]) \\
&= [\text{Theorem 4.4, induction hypothesis, Theorem 4.1}] \\
& (\mathcal{FV}(e_1) - \{u\}) \cup \mathcal{FV}(d) \cup \mathcal{FV}(e_2) \\
&= [u \notin \mathcal{FV}(e_2) \Rightarrow \mathcal{FV}(e_2) = \mathcal{FV}(e_2) - \{u\}] \\
& (\mathcal{FV}(e_1) - \{u\}) \cup (\mathcal{FV}(e_2) - \{u\}) \cup \mathcal{FV}(d) \\
&= [\text{as above}] \\
& (\mathcal{FV}(e_1 \ e_2) - \{u\}) \cup \mathcal{FV}(d)
\end{aligned}$$

If  $u \notin \mathcal{FV}(e_1) \wedge u \in \mathcal{FV}(e_2)$ , the proof is analogous to the previous one, with  $e_1$  and  $e_2$  swapped.  
Finally,  $u \notin \mathcal{FV}(e_1) \wedge u \notin \mathcal{FV}(e_2) \Rightarrow u \notin \mathcal{FV}(e_1 \ e_2)$ .

$e_1 \equiv e_2$ , if  $e_0 \ e_1 \ e_2$ )

Analogous to  $e_1 \ e_2$ , using Theorem 4.5 and Theorem 4.6 instead of Theorem 4.4.

$\lambda v:T. e$ )

1.  $u \in \mathcal{FV}(\lambda v:T. e)$  [hypothesis]
2.  $OKsbs(\lambda v:T. e, u, d)$  [hypothesis]
3.  $u \neq v$  [1,  $\mathcal{FV}(\lambda v:T. e) = \mathcal{FV}(e) - \{v\}$ ]
4.  $OKsbs(e, u, d)$  [Theorem 4.7, 2, 3]
5.  $\mathcal{FV}(d) \cap (\{v\} \cup \mathcal{CV}(e, u)) = \emptyset$  [1, 2]
6.  $\mathcal{FV}((\lambda v:T. e)[u/d])$   
 $=$  [3]  
 $\mathcal{FV}(\lambda v:T. e[u/d])$   
 $=$   
 $\mathcal{FV}(e[u/d]) - \{v\}$

$$\begin{aligned}
&= [\text{induction hypothesis, 4, } (1 \Rightarrow u \in \mathcal{PV}(e))] \\
&((\mathcal{PV}(e) - \{u\}) \cup \mathcal{PV}(d)) - \{v\} \\
&= \\
&((\mathcal{PV}(e) - \{u\}) - \{v\}) \cup (\mathcal{PV}(d) - \{v\}) \\
&= \\
&((\mathcal{PV}(e) - \{v\}) - \{u\}) \cup (\mathcal{PV}(d) - \{v\}) \\
&= [\text{definition of } \mathcal{PV}] \\
&(\mathcal{PV}(\lambda v:T. e) - \{u\}) \cup (\mathcal{PV}(d) - \{v\}) \\
&= [5 \Rightarrow v \notin \mathcal{PV}(d)] \\
&(\mathcal{PV}(\lambda v:T. e) - \{u\}) \cup \mathcal{PV}(d)
\end{aligned}$$

## Proof of Theorem 4.9

By induction on  $e$ :

$o[\overline{T}], \iota_T, \text{proj } f, \text{emb } c, \text{quo}$ )

$$e[u/d][u'/d'] = e[u'/d'] = e = e[u/d] = e[u'/d'][u/d] \quad [\text{definition of } \_[-/-]]$$

$u$ )

$$\begin{aligned}
&u[u/d][u'/d'] \\
&= [\text{definition of } \_[-/-]] \\
&d[u'/d'] \\
&= [\text{Theorem 4.1, hypothesis } u' \notin \mathcal{PV}(d)] \\
&d \\
&= [\text{definition of } \_[-/-]] \\
&u[u/d] \\
&= [\text{definition of } \_[-/-], \text{hypothesis } u \neq u'] \\
&u[u'/d'][u/d]
\end{aligned}$$

$u'$ )

Symmetric to previous proof.

$v \notin \{u, u'\}$ )

$$v[u/d][u'/d'] = v[u'/d'] = v = v[u/d] = v[u'/d'][u/d] \quad [\text{definition of } \_[-/-]]$$

$e_1 \ e_2$ )

$$\begin{aligned}
&(e_1 \ e_2)[u/d][u'/d'] \\
&= \\
&e_1[u/d][u'/d'] \ e_2[u/d][u'/d'] \\
&= [\text{induction hypothesis}] \\
&e_1[u'/d'][u/d] \ e_2[u'/d'][u/d] \\
&= \\
&(e_1 \ e_2)[u'/d'][u/d]
\end{aligned}$$

$e_1 \equiv e_2$ , if  $e_0 \ e_1 \ e_2$ )

Analogous to  $e_1 \ e_2$ .

$\lambda v:T. e$ )

If  $v = u$ :

$$1. \ v \neq u' \quad [\text{hypothesis } u \neq u']$$

$$\begin{aligned}
2. & (\lambda v:T. e)[u/d][u'/d'] \\
&= [v = u] \\
&(\lambda v:T. e)[u'/d'] \\
&= [1] \\
&\lambda v:T. e[u'/d'] \\
&= [v = u] \\
&(\lambda v:T. e[u'/d'])[u/d] \\
&= [1] \\
&(\lambda v:T. e)[u'/d'][u/d]
\end{aligned}$$

If  $v = u'$ , the proof is symmetric to the previous one.

If  $v \notin \{u, u'\}$ :

$$\begin{aligned}
&(\lambda v:T. e)[u/d][u'/d'] \\
&= \\
&\lambda v:T. e[u/d][u'/d'] \\
&= [\text{induction hypothesis}] \\
&\lambda v:T. e[u'/d'][u/d] \\
&= \\
&(\lambda v:T. e)[u'/d'][u/d]
\end{aligned}$$

## Proof of Theorem 4.10

By induction on  $e$ :

$$\begin{aligned}
&o[\overline{T}], \iota_T, \text{proj } f, \text{emb } c, \text{quo}) \\
&e[u/u'][u'/u] = e[u'/u] = e
\end{aligned}$$

$$\begin{aligned}
&u) \\
&u[u/u'][u'/u] = u'[u'/u] = u
\end{aligned}$$

$$\begin{aligned}
&u') \\
&u' \in \mathcal{FV}(u')
\end{aligned}$$

$$\begin{aligned}
&v \notin \{u, u'\}) \\
&v[u/u'][u'/u] = v[u'/u] = v
\end{aligned}$$

$$e_1 \ e_2)$$

$$\begin{aligned}
1. & OKsbs(e_1 \ e_2, u, u') && [\text{hypothesis}] \\
2. & u' \notin \mathcal{FV}(e_1 \ e_2) && [\text{hypothesis}] \\
3. & OKsbs(e_1, u, u') \ \wedge \ OKsbs(e_2, u, u') && [\text{Theorem 4.4, 1}] \\
4. & u' \notin \mathcal{FV}(e_1) \ \wedge \ u' \notin \mathcal{FV}(e_2) && [2] \\
5. & (e_1 \ e_2)[u/u'][u'/u] \\
&= \\
&e_1[u/u'][u'/u] \ e_2[u/u'][u'/u] \\
&= [\text{induction hypothesis, 3, 4}] \\
&e_1 \ e_2
\end{aligned}$$

$$e_1 \equiv e_2, \text{ if } e_0 \ e_1 \ e_2)$$

Analogous to  $e_1 \ e_2$ .

$$\lambda v:T. e)$$

If  $u \notin \mathcal{FV}(\lambda v:T. e)$ :

$$\begin{aligned}
& (\lambda v:T. e)[u/u'] [u'/u] \\
& = [\text{Theorem 4.1, hypothesis } u \notin \mathcal{FV}(\lambda v:T. e)] \\
& (\lambda v:T. e)[u'/u] \\
& = [\text{Theorem 4.1, hypothesis } u' \notin \mathcal{FV}(\lambda v:T. e)] \\
& \lambda v:T. e
\end{aligned}$$

If  $u \in \mathcal{FV}(\lambda v:T. e)$ :

1.  $u \in \mathcal{FV}(e) - \{v\}$  [ $\mathcal{FV}(\lambda v:T. e) = \mathcal{FV}(e) - \{v\}$ ]
2.  $u \neq v$  [1]
3.  $\{u'\} \cap (\{v\} \cup \mathcal{CV}(e, u)) = \emptyset$  [hypothesis  $OKsbs(\lambda v:T. e, u, u')$ , 1]
4.  $u' \neq v$  [3]
5.  $OKsbs(e, u, u')$  [Theorem 4.7, hypothesis  $OKsbs(\lambda v:T. e, u, u')$ , 2]
6.  $u' \notin \mathcal{FV}(e) - \{v\}$  [hypothesis  $u' \notin \mathcal{FV}(\lambda v:T. e)$ ]
7.  $u' \notin \mathcal{FV}(e)$  [6, 4]
8.  $(\lambda v:T. e)[u/u'] [u'/u]$   
 $= [2]$   
 $(\lambda v:T. e[u/u'])[u'/u]$   
 $= [4]$   
 $\lambda v:T. e[u/u'] [u'/u]$   
 $= [\text{induction hypothesis, 5, 7}]$   
 $\lambda v:T. e$

## Proof of Theorem 4.11

By induction on  $e$ :

$$\begin{aligned}
& o[\overline{T}], \iota_T, \text{proj } f, \text{emb } c, \text{quo} \\
& \mathcal{CV}(e, u) = \emptyset = \mathcal{CV}(e, u') = \mathcal{CV}(e[u/u'], u')
\end{aligned}$$

$$\begin{aligned}
& u) \\
& \mathcal{CV}(u, u) = \emptyset = \mathcal{CV}(u', u') = \mathcal{CV}(u[u/u'], u')
\end{aligned}$$

$$\begin{aligned}
& v \neq u) \\
& \mathcal{CV}(v, u) = \emptyset = \mathcal{CV}(v, u') = \mathcal{CV}(v[u/u'], u')
\end{aligned}$$

$e_1 \ e_2$ )

1.  $OKsbs(e_1, u, u') \wedge OKsbs(e_2, u, u')$  [Theorem 4.4, hypothesis  $OKsbs(e_1 \ e_2, u, u')$ ]
2.  $u' \notin \mathcal{FV}(e_1) \wedge u' \notin \mathcal{FV}(e_2)$  [hypothesis  $u' \notin \mathcal{FV}(e_1 \ e_2)$ ]
3.  $\mathcal{CV}(e_1 \ e_2, u)$   
 $=$   
 $\mathcal{CV}(e_1, u) \cup \mathcal{CV}(e_2, u)$   
 $= [\text{induction hypothesis, 1, 2}]$   
 $\mathcal{CV}(e_1[u/u'], u') \cup \mathcal{CV}(e_2[u/u'], u')$   
 $=$   
 $\mathcal{CV}(e_1[u/u'] \ e_2[u/u'], u')$   
 $=$   
 $\mathcal{CV}((e_1 \ e_2)[u/u'], u')$

$e_1 \equiv e_2$ , if  $e_0 \ e_1 \ e_2$ )

Analogous to  $e_1 \ e_2$ .

$\lambda v:T. e$ )

If  $u \notin \mathcal{FV}(\lambda v:T. e)$ :

$$\begin{aligned}
& \mathcal{CV}(\lambda v:T. e, u) \\
&= [\text{hypothesis } u \notin \mathcal{FV}(\lambda v:T. e)] \\
&\emptyset \\
&= [\text{hypothesis } u' \notin \mathcal{FV}(\lambda v:T. e)] \\
&\mathcal{CV}(\lambda v:T. e, u') \\
&= [\text{Theorem 4.1, hypothesis } u \notin \mathcal{FV}(\lambda v:T. e)] \\
&\mathcal{CV}((\lambda v:T. e)[u/u'], u)
\end{aligned}$$

If  $u \in \mathcal{FV}(\lambda v:T. e)$ :

1.  $u \in \mathcal{FV}(e) - \{v\}$  [ $\mathcal{FV}(\lambda v:T. e) = \mathcal{FV}(e) - \{v\}$ ]
2.  $u \neq v$  [1]
3.  $\{u'\} \cap (\{v\} \cup \mathcal{CV}(e, u)) = \emptyset$  [hypothesis  $OKsbs(\lambda v:T. e, u, u'), 1$ ]
4.  $u' \neq v$  [3]
5.  $OKsbs(e, u, u')$  [Theorem 4.7, hypothesis  $OKsbs(\lambda v:T. e, u, u'), 2$ ]
6.  $u' \notin \mathcal{FV}(e) - \{v\}$  [hypothesis  $u' \notin \mathcal{FV}(\lambda v:T. e)$ ]
7.  $u' \notin \mathcal{FV}(e)$  [6, 4]
8.  $u \in \mathcal{FV}(e)$  [1]
9.  $\mathcal{FV}(e[u/u']) = (\mathcal{FV}(e) - \{u\}) \cup \{u'\}$  [Theorem 4.8, 5, 8]
10.  $u' \in \mathcal{FV}(e[u/u'])$  [9]
11.  $u' \in \mathcal{FV}(e[u/u']) - \{v\}$  [10, 4]
12.  $\mathcal{CV}(\lambda v:T. e, u)$   
 $= [1]$   
 $\{v\} \cup \mathcal{CV}(e, u)$   
 $= [\text{induction hypothesis, 5, 7}]$   
 $\{v\} \cup \mathcal{CV}(e[u/u'], u')$   
 $= [11]$   
 $\mathcal{CV}(\lambda v:T. e[u/u'], u')$   
 $= [2]$   
 $\mathcal{CV}((\lambda v:T. e)[u/u'], u')$

## Proof of Theorem 4.12

By induction on  $e$ :

$$\begin{aligned}
& o[\overline{T}], \iota_T, \text{proj } f, \text{emb } c, \text{quo} \\
& e[u/u'] = e \Rightarrow \mathcal{CV}(e, w) = \mathcal{CV}(e[u/u'], w)
\end{aligned}$$

$$\begin{aligned}
& u) \\
& \mathcal{CV}(u, w) = \emptyset = \mathcal{CV}(u', w) = \mathcal{CV}(u[u/u'], w)
\end{aligned}$$

$$\begin{aligned}
& v \neq u) \\
& v[u/u'] = v \Rightarrow \mathcal{CV}(v, w) = \mathcal{CV}(v[u/u'], w)
\end{aligned}$$

$e_1 \ e_2$ )

1.  $OKsbs(e_1, u, u') \wedge OKsbs(e_2, u, u')$  [Theorem 4.4, hypothesis  $OKsbs(e_1 \ e_2, u, u')$ ]
2.  $\mathcal{CV}(e_1 \ e_2, w)$   
 $=$   
 $\mathcal{CV}(e_1, w) \cup \mathcal{CV}(e_2, w)$   
 $= [\text{induction hypothesis, 1}]$   
 $\mathcal{CV}(e_1[u/u'], w) \cup \mathcal{CV}(e_2[u/u'], w)$   
 $=$

$$\begin{aligned} & \mathcal{CV}(e_1[u/u'] \ e_2[u/u'], w) \\ &= \\ & \mathcal{CV}((e_1 \ e_2)[u/u'], w) \end{aligned}$$

$e_1 \equiv e_2$ , if  $e_0 \ e_1 \ e_2$ )

Analogous to  $e_1 \ e_2$ .

$\lambda v:T. e$ )

If  $u \notin \mathcal{FV}(\lambda v:T. e)$ :

1.  $(\lambda v:T. e)[u/u'] = \lambda v:T. e$  [Theorem 4.1, hypothesis  $u \notin \mathcal{FV}(\lambda v:T. e)$ ]
2.  $\mathcal{CV}(\lambda v:T. e, w) = \mathcal{CV}((\lambda v:T. e)[u/u'], w)$  [1]

If  $u \in \mathcal{FV}(\lambda v:T. e)$ :

1.  $\mathcal{CV}(\lambda v:T. e, w) = \begin{cases} \{v\} \cup \mathcal{CV}(e, w) & \text{if } w \in \mathcal{FV}(e) - \{v\} \\ \emptyset & \text{otherwise} \end{cases}$  [ $\mathcal{CV}$ ]
2.  $u \in \mathcal{FV}(\lambda v:T. e)$  [hypothesis]
3.  $u \in \mathcal{FV}(e) - \{v\}$  [2,  $\mathcal{FV}$ ]
4.  $u \neq v$  [3]
5.  $\mathcal{CV}((\lambda v:T. e)[u/u'], w) = \mathcal{CV}(\lambda v:T. e[u/u'], w)$  [4,  $-[-/-]$ ]
6.  $\mathcal{CV}(\lambda v:T. e[u/u'], w) = \begin{cases} \{v\} \cup \mathcal{CV}(e[u/u'], w) & \text{if } w \in \mathcal{FV}(e[u/u']) - \{v\} \\ \emptyset & \text{otherwise} \end{cases}$  [ $\mathcal{CV}$ ]
7.  $OKsbs(\lambda v:T. e, u, u')$  [hypothesis]
8.  $OKsbs(e, u, u')$  [Theorem 4.7, 7, 4]
9.  $w \neq u \wedge w \neq u'$  [hypothesis]
10.  $\mathcal{CV}(e, w) = \mathcal{CV}(e[u/u'], w)$  [induction hypothesis, 8, 9]
11.  $u \in \mathcal{FV}(e)$  [3]
12.  $\mathcal{FV}(e[u/u']) = (\mathcal{FV}(e) - \{u\}) \cup \{u'\}$  [Theorem 4.8, 8, 11]
13.  $w \in \mathcal{FV}(e)$   
 $\Rightarrow$  [9]  
 $w \in \mathcal{FV}(e) - \{u\}$   
 $\Rightarrow$   
 $w \in (\mathcal{FV}(e) - \{u\}) \cup \{u'\}$   
 $\Rightarrow$  [12]  
 $w \in \mathcal{FV}(e[u/u'])$
14.  $w \in \mathcal{FV}(e[u/u'])$   
 $\Rightarrow$  [12]  
 $w \in (\mathcal{FV}(e) - \{u\}) \cup \{u'\}$   
 $\Rightarrow$  [9]  
 $w \in \mathcal{FV}(e) - \{u\}$   
 $\Rightarrow$   
 $w \in \mathcal{FV}(e)$
15.  $w \in \mathcal{FV}(e) \Leftrightarrow w \in \mathcal{FV}(e[u/u'])$  [13, 14]
16.  $\mathcal{CV}(\lambda v:T. e, w) = \mathcal{CV}((\lambda v:T. e)[u/u'], w)$  [1, 10, 15, 5]



### Proof of Theorem 4.13

If  $v \notin \mathcal{FV}(e)$ :

$$\begin{aligned}
& \mathcal{FV}(\lambda v' : T. e[v/v']) \\
&= [\text{Theorem 4.1, hypothesis } v \notin \mathcal{FV}(e)] \\
& \mathcal{FV}(\lambda v' : T. e) \\
&= [\mathcal{FV}] \\
& \mathcal{FV}(e) - \{v'\} \\
&= [\text{hypothesis } v' \notin \mathcal{FV}(e)] \\
& \mathcal{FV}(e) \\
&= [\text{hypothesis } v \notin \mathcal{FV}(e)] \\
& \mathcal{FV}(e) - \{v\} \\
&= [\mathcal{FV}] \\
& \mathcal{FV}(\lambda v : T. e)
\end{aligned}$$

If  $v \in \mathcal{FV}(e)$ :

1.  $v' \notin \mathcal{CV}(e, v)$  [hypothesis]
2.  $\{v'\} \cap \mathcal{CV}(e, v) = \emptyset$  [1]
3.  $OKsbs(e, v, v')$  [2, *OKsbs*]
4.  $\mathcal{FV}(\lambda v' : T. e[v/v'])$   
 $= [\mathcal{FV}]$   
 $\mathcal{FV}(e[v/v']) - \{v'\}$   
 $= [\text{Theorem 4.8, hypothesis } v \in \mathcal{FV}(e), 3]$   
 $((\mathcal{FV}(e) - \{v\}) \cup \{v'\}) - \{v'\}$   
 $=$   
 $\mathcal{FV}(e) - \{v, v'\}$   
 $= [\text{hypothesis } v' \notin \mathcal{FV}(e)]$   
 $\mathcal{FV}(e) - \{v\}$   
 $= [\mathcal{FV}]$   
 $\mathcal{FV}(\lambda v : T. e)$

### Proof of Theorem 4.14

By induction on  $e$ :

$v$ )

$$\mathcal{FV}(v[\sigma]) = \mathcal{FV}(v) \quad [\text{definition of } \_[-]]$$

$o[\overline{T}]$ )

$$\begin{aligned}
& \mathcal{FV}(o[\overline{T}][\sigma]) \\
&= [\text{definition of } \_[-]] \\
& \mathcal{FV}(o[\overline{T}[\sigma]]) \\
&= [\text{definition of } \mathcal{FV}] \\
& \emptyset \\
&= [\text{definition of } \mathcal{FV}] \\
& \mathcal{FV}(o[\overline{T}])
\end{aligned}$$

$\iota_T, \text{proj } f, \text{emb } c, \text{quo}$ )  
 Analogous to  $o[\overline{T}]$ .

$e_1 \ e_2$ )

$$\begin{aligned}
& \mathcal{FV}((e_1 \ e_2)[\sigma]) \\
&= [\text{definition of } \_[-]] \\
& \mathcal{FV}(e_1[\sigma] \ e_2[\sigma]) \\
&= [\text{definition of } \mathcal{FV}] \\
& \mathcal{FV}(e_1[\sigma]) \cup \mathcal{FV}(e_2[\sigma]) \\
&= [\text{induction hypothesis}] \\
& \mathcal{FV}(e_1) \cup \mathcal{FV}(e_2) \\
&= [\text{definition of } \mathcal{FV}] \\
& \mathcal{FV}(e_1 \ e_2)
\end{aligned}$$

$e_1 \equiv e_2$ , if  $e_0 \ e_1 \ e_2$ )  
Analogous to  $e_1 \ e_2$ .

$\lambda v:T. e$ )

$$\begin{aligned}
& \mathcal{FV}((\lambda v:T. e)[\sigma]) \\
&= [\text{definition of } \_[-]] \\
& \mathcal{FV}(\lambda v:T[\sigma]. e[\sigma]) \\
&= [\text{definition of } \mathcal{FV}] \\
& \mathcal{FV}(e[\sigma]) - \{v\} \\
&= [\text{induction hypothesis}] \\
& \mathcal{FV}(e) - \{v\} \\
&= [\text{definition of } \mathcal{FV}] \\
& \mathcal{FV}(\lambda v:T. e)
\end{aligned}$$

### Proof of Theorem 4.15

Immediate by inspection of the definition of expression substitution of context elements and contexts.

### Proof of Theorem 4.16

By straightforward calculation, and by induction on  $n$  for  $\forall v_1:T_1, \dots, v_n:T_n. e$  and for  $\exists v_1:T_1, \dots, v_n:T_n. e$ .

### Proof of Theorem 4.17

By straightforward calculation. Recall that a projection  $e.f$  is implicitly decorated by a record type  $\prod_i f_i \ T_i$  and that a tuple  $\langle \bar{e} \rangle$  is implicitly decorated by types  $\bar{T}$ .

### Proof of Theorem 4.18

By straightforward calculation. Recall that a projection  $e.f$  is implicitly decorated by a record type  $\prod_i f_i \ T_i$  and that a tuple  $\langle \bar{e} \rangle$  is implicitly decorated by types  $\bar{T}$ : the type substitution is implicitly carried out in those decorating types as well.

### Proof of Theorem 4.19

By straightforward calculation, and by induction on  $n$  for  $\forall v_1:T_1, \dots, v_n:T_n. e$  and for  $\exists v_1:T_1, \dots, v_n:T_n. e$ . Theorem 4.1 can be readily used for the expressions that do not have free variables.

### Proof of Theorem 4.20

By straightforward calculation, and by induction on  $n$  for  $\forall v_1:T_1, \dots, v_n:T_n. e$  and for  $\exists v_1:T_1, \dots, v_n:T_n. e$  (using Theorem 4.1 for the base cases  $\forall \epsilon. e$  and  $\exists \epsilon. e$ , which both stand for  $e$ , when  $u \notin \mathcal{FV}(e)$ ). Theorem 4.1 can be readily used for the expressions that do not have free variables.

### Proof of Theorem 4.21

By induction on the length of  $cx_2$ , using the fact that a judgement of the form  $\vdash cx, cxel : \text{CONTEXT}$  can be only derived by a rule in §3.1 that has  $\vdash cx : \text{CONTEXT}$  as one of its premises.

### Proof of Theorem 4.22

By induction on the proof of  $(cx \vdash \dots)$ . All the inference rules whose conclusion is a judgement of the form  $(cx \vdash \dots)$ , except one, either have  $\vdash cx : \text{CONTEXT}$  as one of their premises, which immediately proves  $\vdash cx : \text{CONTEXT}$ , or have at least one premise of the form  $(cx \vdash \dots)$ , which proves  $\vdash cx : \text{CONTEXT}$  by induction hypothesis. The only rule that neither has  $\vdash cx : \text{CONTEXT}$  as one of its premises nor has any premise of the form  $(cx \vdash \dots)$  is EXABS, whose premise has the form  $(cx, \text{var } v : T \vdash \dots)$ : in this case, by induction hypothesis  $\vdash cx, \text{var } v : T : \text{CONTEXT}$  and by CXPRE  $\vdash cx : \text{CONTEXT}$ .

### Proof of Theorem 4.23

The only rule that can derive a judgement of the form  $cx \vdash T|r : \text{TYPE}$  is TYRESTR, which has  $\mathcal{FV}(r) = \emptyset$  as one of its premises. The only rule that can derive a judgement of the form  $cx \vdash T/q : \text{TYPE}$  is TYQUOT, which has  $\mathcal{FV}(q) = \emptyset$  as one of its premises.

### Proof of Theorem 4.24

By induction on the derivation of  $cx \vdash T_1 \prec_r T_2$ :

STRESTR)

1.  $cx \vdash T|r : \text{TYPE}$  [premise of STRESTR]
2.  $\mathcal{FV}(r) = \emptyset$  [Theorem 4.23, 1]

STREFL)

$$\mathcal{FV}(\lambda v : T. \text{true}) = \emptyset \quad [\text{Theorem 4.16}]$$

STARR)

1.  $\mathcal{FV}(r) = \emptyset$  [induction hypothesis]
2.  $\mathcal{FV}(\lambda v : T \rightarrow T_2. \forall v' : T. r (v v'))$   
 $= [\text{Theorem 4.16}]$   
 $\mathcal{FV}(r) - \{v, v'\}$   
 $= [1]$   
 $\emptyset$

STREC)

1.  $\forall i. \mathcal{FV}(r_i) = \emptyset$  [induction hypothesis]
2.  $\mathcal{FV}(\lambda v : \prod_i f_i T'_i. \bigwedge_i r_i v.f_i)$   
 $= [\text{Theorem 4.16}]$   
 $\bigcup_i \mathcal{FV}(r_i) - \{v\}$   
 $= [1]$   
 $\emptyset$

STSUM)

1.  $\forall i. \mathcal{FV}(r_i) = \emptyset$  [induction hypothesis]

$$\begin{aligned}
& 2. \mathcal{FV}(\lambda v : \sum_i c_i T'_i. \bigvee_i \exists v' : T'_i. (v \equiv \mathbf{emb} \ c_i \ v' \wedge r_i \ v')) \\
& \quad = [\text{Theorem 4.16}] \\
& \quad \bigcup_i \mathcal{FV}(r_i) - \{v, v'\} \\
& \quad = [1] \\
& \quad \emptyset
\end{aligned}$$

STTE)

$$\mathcal{FV}(r) = \emptyset$$

[induction hypothesis]

## Proof of Theorem 4.25

By induction on the derivation of the judgements in the antecedents of the implications:

EXOP, EXTHE, EXPROJ, EXEMBED, EXQUOT)  
 $\mathcal{FV}(e) = \emptyset$

EXVAR)

1.  $\mathbf{var} \ v : T \in cx$  [premise of EXVAR]
2.  $v \in \mathcal{V}(cx)$  [1]
3.  $\mathcal{FV}(v) = \{v\} \subseteq \mathcal{V}(cx)$  [2]

EXAPP)

$$\begin{aligned}
& \mathcal{FV}(e_1 \ e_2) \\
& \quad = \\
& \quad \mathcal{FV}(e_1) \cup \mathcal{FV}(e_2) \\
& \quad \subseteq [\text{induction hypothesis}] \\
& \quad \mathcal{V}(cx)
\end{aligned}$$

EXEQ, EXIF, EXIF0, EXSUPER, EXSUB)  
 Analogous to EXAPP.

EXABS)

1.  $\mathcal{FV}(e) \subseteq \mathcal{V}(cx, \mathbf{var} \ v : T)$  [induction hypothesis]
2.  $\mathcal{FV}(e) \subseteq \mathcal{V}(cx) \cup \{v\}$  [1]
3.  $\mathcal{FV}(e) - \{v\} \subseteq \mathcal{V}(cx) - \{v\}$  [2]
4.  $\mathcal{FV}(\lambda v : T. e) \subseteq \mathcal{V}(cx)$  [3]

EXABSA ALPHA)

1.  $\mathcal{FV}(\lambda v : T. e) \subseteq \mathcal{V}(cx)$  [induction hypothesis]
2.  $v' \notin \mathcal{FV}(e) \cup \mathcal{CV}(e, v)$  [premise of EXABSA ALPHA]
3.  $\mathcal{FV}(\lambda v : T. e) = \mathcal{FV}(\lambda v' : T. e[v/v'])$  [Theorem 4.13, 2]
4.  $\mathcal{FV}(\lambda v' : T. e[v/v']) \subseteq \mathcal{V}(cx)$  [1, 3]

THAX)

1.  $\mathbf{ax} \ \{\bar{\beta}\} \ e \in cx$  [premise of THAX]
2.  $\exists cx_1, cx_2. \ cx = cx_1, \mathbf{ax} \ \{\bar{\beta}\} \ e, cx_2$  [1]
3.  $\vdash cx : \text{CONTEXT}$  [premise of THAX]
4.  $\vdash cx_1, \mathbf{ax} \ \{\bar{\beta}\} \ e : \text{CONTEXT}$  [CXPRE, 3, 2]
5.  $cx_1, \mathbf{tvar} \ \bar{\beta} \vdash e : \text{Bool}$  [premise of CXAX, only rule that can derive 4]

6.  $\mathcal{FV}(e) \subseteq \mathcal{V}(cx_1)$  [induction hypothesis, 5]
7.  $\mathcal{V}(cx_1) \subseteq \mathcal{V}(cx)$  [2]
8.  $\mathcal{FV}(e[\bar{\beta}/\bar{T}]) = \mathcal{FV}(e)$  [Theorem 4.14]
9.  $\mathcal{FV}(e[\bar{\beta}/\bar{T}]) \subseteq \mathcal{V}(cx)$  [8, 6, 7]

THDEF)

TO DO

THABSSUBST)

1.  $\mathcal{FV}(\lambda v:T. e \equiv \lambda v:T'. e') = \mathcal{FV}(\lambda v:T. e) \cup \mathcal{FV}(\lambda v:T'. e')$
2.  $cx \vdash \lambda v:T. e : \dots$  [premise of THABSSUBST]
3.  $\mathcal{FV}(\lambda v:T. e) \subseteq \mathcal{V}(cx)$  [induction hypothesis, 2]
4.  $cx, \text{var } v:T \vdash e \equiv e'$  [premise of THABSSUBST]
5.  $\mathcal{FV}(e \equiv e') \subseteq \mathcal{V}(cx, \text{var } v:T)$  [induction hypothesis, 4]
6.  $\mathcal{FV}(e') \subseteq \mathcal{V}(cx) \cup \{v\}$  [5]
7.  $\mathcal{FV}(e') - \{v\} \subseteq \mathcal{V}(cx) - \{v\}$  [6]
8.  $\mathcal{FV}(\lambda v:T'. e') \subseteq \mathcal{V}(cx)$  [7]
9.  $\mathcal{FV}(\lambda v:T. e \equiv \lambda v:T'. e') \subseteq \mathcal{V}(cx)$  [1, 3, 8]

THABS)

1.  $\mathcal{FV}((\lambda v:T. e) e' \equiv e[v/e']) = \mathcal{FV}((\lambda v:T. e) e') \cup \mathcal{FV}(e[v/e'])$
2.  $cx \vdash (\lambda v:T. e) e' : \dots$  [premise of THABS]
3.  $\mathcal{FV}((\lambda v:T. e) e') \subseteq \mathcal{V}(cx)$  [induction hypothesis, 2]
4.  $\mathcal{FV}(\lambda v:T. e) \subseteq \mathcal{V}(cx)$  [3]
5.  $\mathcal{FV}(e) - \{v\} \subseteq \mathcal{V}(cx)$  [4]

If  $v \notin \mathcal{FV}(e)$ :

6.  $\mathcal{FV}(e[v/e']) = \mathcal{FV}(e)$  [Theorem 4.1]
7.  $\mathcal{FV}(e) \subseteq \mathcal{V}(cx)$  [5, hypothesis  $v \notin \mathcal{FV}(e)$ ]
8.  $\mathcal{FV}((\lambda v:T. e) e' \equiv e[v/e']) \subseteq \mathcal{V}(cx)$  [1, 3, 6, 7]

If  $v \in \mathcal{FV}(e)$ :

6.  $OKsbs(e, v, e')$  [premise of THABS]
7.  $\mathcal{FV}(e[v/e']) = (\mathcal{FV}(e) - \{v\}) \cup \mathcal{FV}(e')$  [Theorem 4.8, 6, hypothesis  $v \in \mathcal{FV}(e)$ ]
8.  $\mathcal{FV}(e') \subseteq \mathcal{V}(cx)$  [3]
9.  $\mathcal{FV}((\lambda v:T. e) e' \equiv e[v/e']) \subseteq \mathcal{V}(cx)$  [1, 3, 7, 8, 5]

THSUB)

1.  $\mathcal{FV}(r e) = \mathcal{FV}(r) \cup \mathcal{FV}(e)$
2.  $cx \vdash e : T$  [premise of THSUB]
3.  $\mathcal{FV}(e) \subseteq \mathcal{V}(cx)$  [induction hypothesis, 2]
4.  $cx \vdash T \prec_r T'$  [premise of THSUB]
5.  $\mathcal{FV}(r) = \emptyset$  [Theorem 4.24, 4]
6.  $\mathcal{FV}(r e) \subseteq \mathcal{V}(cx)$  [1, 3, 5]

all other rules)

The conclusion  $cx \vdash e$  of some rules is such that  $\mathcal{FV}(e) = \emptyset$  (proved by the definition of  $\mathcal{FV}$  and in some cases by Theorem 4.16), which immediately proves  $\mathcal{FV}(e) \subseteq \mathcal{V}(cx)$ . The conclusion  $cx \vdash e$  of the other rules is such that every variable in  $\mathcal{FV}(e)$  is also in some  $\mathcal{FV}(e')$  that occurs in a premise  $cx' \vdash e' : \dots$  or  $cx' \vdash e'$  of the rule such that  $\mathcal{V}(cx') = \mathcal{V}(cx)$ , which proves  $\mathcal{FV}(e) \subseteq \mathcal{V}(cx)$  by induction hypothesis (the only rules for which  $cx' \neq cx$  are THIFSUBST and THIF, where  $cx' = cx, ax \dots$  and so clearly  $\mathcal{V}(cx') = \mathcal{V}(cx)$ ).

## Proof of Theorem 4.26

By induction on the derivation of the judgements in the antecedents of the implications:

CXMT)

This case is impossible because  $cx_1, \text{var } u : S, cx_2 \neq \epsilon$ .

CXTDEC)

The judgement in the antecedent of the implication must end with a type declaration, so the  $cx_2$  in the judgement must end with that type declaration. For convenience we “rename”  $cx_2$  to  $cx_2, \text{ty } \tau : n$ . The instance of CXTDEC used to derive the judgement is thus

$$\frac{\begin{array}{c} \vdash cx_1, \text{var } u : S, cx_2 : \text{CONTEXT} \\ \tau \notin \mathcal{TN}(cx_1, \text{var } u : S, cx_2) \end{array}}{\vdash cx_1, \text{var } u : S, cx_2, \text{ty } \tau : n : \text{CONTEXT}} \quad (0)$$

and the judgement  $\vdash cx_1, \text{var } u' : S, cx_2[u/u'], \text{ty } \tau : n : \text{CONTEXT}$  is derived as follows:

1.  $\vdash cx_1, \text{var } u : S, cx_2 : \text{CONTEXT}$  [0]
2.  $\vdash cx_1, \text{var } u' : S, cx_2[u/u'] : \text{CONTEXT}$  [induction hypothesis, 1]
3.  $\tau \notin \mathcal{TN}(cx_1, \text{var } u : S, cx_2)$  [0]
4.  $\mathcal{TN}(cx_1, \text{var } u : S, cx_2)$ 

$$=$$

$$\mathcal{TN}(cx_1) \cup \mathcal{TN}(cx_2)$$

$$= [\text{Theorem 4.15}]$$

$$\mathcal{TN}(cx_1) \cup \mathcal{TN}(cx_2[u/u'])$$

$$=$$

$$\mathcal{TN}(cx_1, \text{var } u' : S, cx_2[u/u'])$$
5.  $\tau \notin \mathcal{TN}(cx_1, \text{var } u' : S, cx_2[u/u'])$  [3, 4]
6.  $\vdash cx_1, \text{var } u' : S, cx_2[u/u'], \text{ty } \tau : n : \text{CONTEXT}$  [CXTDEC, 2, 5]

CXODEC, CXTDEF, CXAX, CXTVDEC)

Analogous to CXTDEC: starting with the instance of the rule used to derive the judgement in the antecedent of the implication, we replace  $u$  with  $u'$  in the premises of the rule instance and then we apply the rule to the transformed premises to obtain the conclusion with  $u$  replaced with  $u'$  (using Theorem 4.15 as needed).

CXODEF)

TO DO

CXVDEC)

If  $cx_2 = \epsilon$ , the instance of CXVDEC used to derive the judgement in the antecedent of the implication is

$$\frac{\begin{array}{c} \vdash cx_1 : \text{CONTEXT} \\ u \notin \mathcal{V}(cx_1) \\ cx_1 \vdash T : \text{TYPE} \end{array}}{\vdash cx_1, \text{var } u : T : \text{CONTEXT}} \quad (0)$$

and the judgement  $\vdash cx_1, \text{var } u' : T : \text{CONTEXT}$  is derived as follows:

1.  $\vdash cx_1 : \text{CONTEXT}$  [0]
2.  $u'$  fresh [hypothesis]
3.  $u' \notin \mathcal{V}(cx_1)$  [2]
4.  $cx_1 \vdash T : \text{TYPE}$  [0]
5.  $\vdash cx_1, \text{var } u' : T : \text{CONTEXT}$  [CXVDEC, 1, 3, 4]

If  $cx_2 \neq \epsilon$ , the judgement in the antecedent of the implication must end with a variable declaration, so the  $cx_2$  in the judgement must end with that variable declaration. For convenience we “rename”  $cx_2$  to  $cx_2, \text{var } v : T$ . The instance of CXVDEC used to derive the judgement is thus

$$\frac{\begin{array}{c} \vdash cx_1, \text{var } u : S, cx_2 : \text{CONTEXT} \\ v \notin \mathcal{V}(cx_1, \text{var } u : S, cx_2) \\ cx_1, \text{var } u : S, cx_2 \vdash T : \text{TYPE} \end{array}}{\vdash cx_1, \text{var } u : S, cx_2, \text{var } v : T : \text{CONTEXT}} \quad (0)$$

and the judgement  $\vdash cx_1, \text{var } u' : S, cx_2[u/u'], \text{var } v : T : \text{CONTEXT}$  is derived as follows:

1.  $\vdash cx_1, \text{var } u : S, cx_2 : \text{CONTEXT}$  [0]
2.  $\vdash cx_1, \text{var } u' : S, cx_2[u/u'] : \text{CONTEXT}$  [induction hypothesis, 1]
3.  $v \notin \mathcal{V}(cx_1, \text{var } u : S, cx_2)$  [0]
4.  $\mathcal{V}(cx_1, \text{var } u : S, cx_2) = \mathcal{V}(cx_1) \cup \{u\} \cup \mathcal{V}(cx_2)$
5.  $v \notin \mathcal{V}(cx_1)$  [3, 4]
6.  $\mathcal{V}(cx_2) = \mathcal{V}(cx_2[u/u'])$  [Theorem 4.15]
7.  $v \notin \mathcal{V}(cx_2[u/u'])$  [3, 4, 6]
8.  $u'$  fresh [hypothesis]
9.  $v \neq u'$  [8]
10.  $\mathcal{V}(cx_1, \text{var } u' : S, cx_2[u/u']) = \mathcal{V}(cx_1) \cup \{u'\} \cup \mathcal{V}(cx_2[u/u'])$
11.  $v \notin \mathcal{V}(cx_1, \text{var } u' : S, cx_2[u/u'])$  [10, 5, 9, 7]
12.  $cx_1, \text{var } u : S, cx_2 \vdash T : \text{TYPE}$  [0]
13.  $cx_1, \text{var } u' : S, cx_2[u/u'] \vdash T : \text{TYPE}$  [12, induction hypothesis]
14.  $\vdash cx_1, \text{var } u' : S, cx_2[u/u'], \text{var } v : T : \text{CONTEXT}$  [CXVDEC, 2, 11, 13]

TYBOOL)

Let the instance of TYBOOL used to derive the judgement in the antecedent of the implication be

$$\frac{\vdash cx_1, \text{var } u : S, cx_2 : \text{CONTEXT}}{cx_1, \text{var } u : S, cx_2 \vdash \text{Bool} : \text{TYPE}} \quad (0)$$

and the judgement in the consequent of the implication is derived as follows:

1.  $\vdash cx_1, \text{var } u : S, cx_2 : \text{CONTEXT}$  [0]
2.  $\vdash cx_1, \text{var } u' : S, cx_2[u/u'] : \text{CONTEXT}$  [induction hypothesis, 1]
3.  $cx_1, \text{var } u' : S, cx_2[u/u'] \vdash \text{Bool} : \text{TYPE}$  [TYBOOL, 2]

all other rules)

Analogous to TYBOOL: starting with the instance of the rule used to derive the judgement in the antecedent of the implication, we replace  $u$  with  $u'$  in the premises of the rule instance and then we apply the rule to the transformed premises to obtain the conclusion with  $u$  replaced with  $u'$ . We use Theorem 4.15 for TYVAR, TYINST, TEDEF, .....

For TYRESTR, we use the fact that  $\mathcal{FV}(r) = \emptyset$  (explicit premise of the rule instance) and so  $r[u/u'] = r$  by Theorem 4.1.

For  $\text{TYQUOT}$ , we use the fact that  $\mathcal{FV}(q) = \emptyset$  (explicit premise of the rule instance) and so by Theorem 4.16 the reflexivity, symmetry, and transitivity theorems in the premises of the rules instance have no free variables and therefore the substitution of  $u$  with  $u'$  leaves those theorems unchanged by Theorem 4.1.

TO BE CONTINUED.....

### Proof of Theorem 4.27

1.  $\vdash cx : \text{CONTEXT}$  [hypothesis]
2.  $cx \vdash \text{Bool} : \text{TYPE}$  [TYBOOL, 1]
3.  $\vdash cx, \text{var } v : \text{Bool} : \text{CONTEXT}$  [CXVDEC, 1, hypothesis, 2]

### Proof of Theorem 4.28

1.  $cx \vdash e : \text{Bool}$  [hypothesis]
2.  $\vdash cx : \text{CONTEXT}$  [CXWF, 1]
3.  $\vdash cx, \text{ax } e : \text{CONTEXT}$  [CXAX, 2, 1]

### Proof of Theorem 4.29

If  $v \notin \mathcal{V}(cx)$ , the rule is derived as follows:

1.  $\vdash cx : \text{CONTEXT}$  [hypothesis]
2.  $\vdash cx, \text{var } v : \text{Bool} : \text{CONTEXT}$  [CXVDECBOOL, 1, hypothesis]
3.  $cx, \text{var } v : \text{Bool} \vdash v : \text{Bool}$  [EXVAR, 2]
4.  $cx \vdash \lambda v : \text{Bool}. v : \text{Bool} \rightarrow \text{Bool}$  [EXABS, 3]

If instead  $v \in \mathcal{V}(cx)$ , we can use a very analogous derivation to derive  $cx \vdash \lambda v' : \text{Bool}. v' : \text{Bool} \rightarrow \text{Bool}$ , where  $v' \neq v$  is a fresh variable not declared in  $cx$ , i.e.  $v' \notin \mathcal{V}(cx)$ ; then we use rule EXABSALPHA to rename  $v'$  to  $v$  (the rule is applicable because  $v \notin \mathcal{FV}(v') \cup \mathcal{CV}(v', v')$ ).

### Proof of Theorem 4.30

1.  $\vdash cx : \text{CONTEXT}$  [hypothesis]
2.  $cx \vdash \lambda \gamma : \text{Bool}. \gamma : \text{Bool} \rightarrow \text{Bool}$  [EXIDBOOL, 1]
3.  $cx \vdash (\lambda \gamma : \text{Bool}. \gamma \equiv \lambda \gamma : \text{Bool}. \gamma) : \text{Bool}$  [EXEQ, 2, 2]

### Proof of Theorem 4.31

If  $v \notin \mathcal{V}(cx)$ , the rule is derived as follows:

1.  $cx \vdash T : \text{TYPE}$  [hypothesis]
2.  $\vdash cx : \text{CONTEXT}$  [CXWF, 1]
3.  $\vdash cx, \text{var } v : T : \text{CONTEXT}$  [CXVDEC, 2, hypothesis, 1]
4.  $cx, \text{var } v : T \vdash \text{true} : \text{Bool}$  [EXTRUE, 3]
5.  $cx \vdash \lambda v : T. \text{true} : T \rightarrow \text{Bool}$  [EXABS, 4]

If instead  $v \in \mathcal{V}(cx)$ , we can use a very analogous derivation to derive  $cx \vdash \lambda v' : T. \text{true} : T \rightarrow \text{Bool}$ , where  $v' \neq v$  is a fresh variable not declared in  $cx$ , i.e.  $v' \notin \mathcal{V}(cx)$ ; then we use rule EXABSALPHA to rename  $v'$  to  $v$  (the rule is applicable because  $v \notin \mathcal{FV}(\text{true}) \cup \mathcal{CV}(\text{true}, v')$ ).



### Proof of Theorem 4.32

1.  $\vdash cx : \text{CONTEXT}$  [hypothesis]
2.  $cx \vdash \lambda\gamma:\text{Bool}. \gamma : \text{Bool} \rightarrow \text{Bool}$  [EXIDBOOL, 1]
3.  $cx \vdash \text{Bool} : \text{TYPE}$  [TYBOOL, 1]
4.  $cx \vdash \lambda\gamma:\text{Bool}. \text{true} : \text{Bool} \rightarrow \text{Bool}$  [EXCONSTTRUE, 3]
5.  $cx \vdash (\lambda\gamma:\text{Bool}. \gamma \equiv \lambda\gamma:\text{Bool}. \text{true}) : \text{Bool}$  [EXEQ, 2, 4]

### Proof of Theorem 4.33

If  $\gamma \notin \mathcal{V}(cx)$ , the rule is derived as follows:

1.  $\vdash cx : \text{CONTEXT}$  [hypothesis]
2.  $\vdash cx, \text{var } \gamma:\text{Bool} : \text{CONTEXT}$  [CXVDECBOOL, 1, hypothesis]
3.  $cx, \text{var } \gamma:\text{Bool} \vdash \gamma : \text{Bool}$  [EXVAR, 2]
4.  $cx, \text{var } \gamma:\text{Bool} \vdash \text{false} : \text{Bool}$  [EXFALSE, 2]
5.  $cx, \text{var } \gamma:\text{Bool} \vdash \text{true} : \text{Bool}$  [EXTRUE, 2]
6.  $cx, \text{var } \gamma:\text{Bool} \vdash \text{if } \gamma \text{ false true} : \text{Bool}$  [EXIF0, 3, 4, 5]
7.  $cx \vdash \lambda\gamma:\text{Bool}. (\text{if } \gamma \text{ false true}) : \text{Bool} \rightarrow \text{Bool}$  [EXABS, 6]

If instead  $\gamma \in \mathcal{V}(cx)$ , we can use a very analogous derivation to derive  $cx \vdash \lambda v:\text{Bool}. (\text{if } v \text{ false true}) : \text{Bool} \rightarrow \text{Bool}$ , where  $v \neq \gamma$  is a fresh variable not declared in  $cx$ , i.e.  $v \notin \mathcal{V}(cx)$ ; then we use rule EXABSALPHA to rename  $v$  to  $\gamma$ .

### Proof of Theorem 4.34

1.  $cx \vdash e : \text{Bool}$  [hypothesis]
2.  $\vdash cx : \text{CONTEXT}$  [CXWF, 1]
3.  $cx \vdash \neg : \text{Bool} \rightarrow \text{Bool}$  [EXNOT, 2]
4.  $cx \vdash \neg e : \text{Bool}$  [EXAPP, 3, 1]

### Proof of Theorem 4.35

1.  $cx \vdash e_1 : \text{Bool}$  [hypothesis]
2.  $cx \vdash \neg e_1 : \text{Bool}$  [EXNEG, 1]
3.  $\vdash cx, \text{ax } \neg e_1 : \text{CONTEXT}$  [CXAX0, 2]
4.  $cx, \text{ax } \neg e_1 \vdash \text{false} : \text{Bool}$  [EXFALSE, 3]
5.  $cx, \text{ax } e_1 \vdash e_2 : \text{Bool}$  [hypothesis]
6.  $cx \vdash \text{if } e_1 \text{ } e_2 \text{ false} : \text{Bool}$  [EXIF, 1, 5, 4]

### Proof of Theorem 4.36

1.  $cx \vdash e_1 : \text{Bool}$  [hypothesis]
2.  $\vdash cx, \text{ax } e_1 : \text{CONTEXT}$  [CXA0, 1]
3.  $cx, \text{ax } e_1 \vdash \text{true} : \text{Bool}$  [EXTRUE, 2]
4.  $cx, \text{ax } \neg e_1 \vdash e_2 : \text{Bool}$  [hypothesis]
5.  $cx \vdash \text{if } e_1 \text{ true } e_2 : \text{Bool}$  [EXIF, 1, 3, 4]

### Proof of Theorem 4.37

1.  $cx \vdash e_1 : \text{Bool}$  [hypothesis]
2.  $cx \vdash \neg e_1 : \text{Bool}$  [EXNEG, 1]
3.  $\vdash cx, \text{ax } \neg e_1 : \text{CONTEXT}$  [CXA0, 2]
4.  $cx, \text{ax } \neg e_1 \vdash \text{true} : \text{Bool}$  [EXTRUE, 3]
5.  $cx, \text{ax } e_1 \vdash e_2 : \text{Bool}$  [hypothesis]
6.  $cx \vdash \text{if } e_1 \text{ } e_2 \text{ true} : \text{Bool}$  [EXIF, 1, 5, 4]

### Proof of Theorem 4.38

We first prove the derived rule

$$\frac{\begin{array}{c} \vdash cx, \text{var } v : \text{Bool} : \text{CONTEXT} \\ v' \neq v \end{array}}{cx, \text{var } v : \text{Bool} \vdash \lambda v' : \text{Bool}. v \equiv v' : \text{Bool} \rightarrow \text{Bool}} \quad (*)$$

If  $v' \notin \mathcal{V}(cx)$ , the rule is derived as follows:

1.  $\vdash cx, \text{var } v : \text{Bool} : \text{CONTEXT}$  [hypothesis]
2.  $\vdash cx, \text{var } v : \text{Bool}, \text{var } v' : \text{Bool} : \text{CONTEXT}$  [CXVDECBOOL, 1, hypothesis]
3.  $cx, \text{var } v : \text{Bool}, \text{var } v' : \text{Bool} \vdash v : \text{Bool}$  [EXVAR, 2]
4.  $cx, \text{var } v : \text{Bool}, \text{var } v' : \text{Bool} \vdash v' : \text{Bool}$  [EXVAR, 2]
5.  $cx, \text{var } v : \text{Bool}, \text{var } v' : \text{Bool} \vdash v \equiv v' : \text{Bool}$  [EXEQ, 3, 4]
6.  $cx, \text{var } v : \text{Bool} \vdash \lambda v' : \text{Bool}. v \equiv v' : \text{Bool} \rightarrow \text{Bool}$  [EXABS, 5]

If instead  $v' \in \mathcal{V}(cx)$ , we can use a very analogous derivation to derive  $cx, \text{var } v : \text{Bool} \vdash \lambda v'' : \text{Bool}. v \equiv v'' : \text{Bool} \rightarrow \text{Bool}$ , where  $v'' \neq v'$  is a fresh variable not declared in  $cx$ , i.e.  $v'' \notin \mathcal{V}(cx)$ ; then we use rule EXABSA ALPHA to rename  $v''$  to  $v'$ . This concludes the proof of rule  $*$ .

We now prove EXIFF. If  $\gamma \notin \mathcal{V}(cx)$ , the rule is derived as follows:

1.  $\vdash cx : \text{CONTEXT}$  [hypothesis]
2.  $\vdash cx, \text{var } \gamma : \text{Bool} : \text{CONTEXT}$  [CXVDECBOOL, 1]
3.  $cx, \text{var } \gamma : \text{Bool} \vdash \lambda \gamma' : \text{Bool}. \gamma \equiv \gamma' : \text{Bool} \rightarrow \text{Bool}$  [ $*$ , 2,  $\gamma \neq \gamma'$ ]
4.  $cx \vdash \lambda \gamma : \text{Bool}. \lambda \gamma' : \text{Bool}. \gamma \equiv \gamma' : \text{Bool} \rightarrow \text{Bool} \rightarrow \text{Bool}$  [EXABS, 3]

If instead  $\gamma \in \mathcal{V}(cx)$ , we can use a very analogous derivation to derive  $cx \vdash \lambda v : \text{Bool}. \lambda \gamma' : \text{Bool}. v \equiv \gamma' : \text{Bool} \rightarrow \text{Bool} \rightarrow \text{Bool}$ , where  $v \neq \gamma$  is a fresh variable not declared in  $cx$ , i.e.  $v \notin \mathcal{V}(cx)$ ; then we use rule EXABSA ALPHA to rename  $v$  to  $\gamma$ .

### Proof of Theorem 4.39

1.  $cx \vdash e_1 : \text{Bool}$  [hypothesis]
2.  $\vdash cx : \text{CONTEXT}$  [CXWF, 1]
3.  $cx \vdash \Leftrightarrow : \text{Bool} \rightarrow \text{Bool} \rightarrow \text{Bool}$  [EXIFF, 2]
4.  $cx \vdash \Leftrightarrow e_1 : \text{Bool} \rightarrow \text{Bool}$  [EXAPP, 3, 1]
5.  $cx \vdash e_2 : \text{Bool}$  [hypothesis]
6.  $cx \vdash \Leftrightarrow e_1 e_2 : \text{Bool}$  [EXAPP, 4, 5]

### Proof of Theorem 4.40

1.  $cx \vdash e_1 : T$  [hypothesis]
2.  $cx \vdash e_2 : T$  [hypothesis]
3.  $cx \vdash e_1 \equiv e_2 : \text{Bool}$  [EXEQ, 1, 2]
4.  $cx \vdash \neg (e_1 \equiv e_2) : \text{Bool}$  [EXNEG, 3]

### Proof of Theorem 6.1

By straightforward calculation, using Theorem 4.1 where needed.

### Proof of Theorem 6.2

By straightforward calculation, using Theorem 4.1 where needed.

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