$\frac{\text{Notes, } 10(a)}{\text{ECE } 606}$

In the last lecture, we defined complexity classes, which are sets of decision problems.

Given a complexity class C, when we say a problem $q \in C$, that can legitimately be seen as a characterization of an upper-bound of the computational hardness of the problem q.

E.g., if we identify that $q \in \mathbf{P}$, then we know that we do not need an algorithm that is any more inefficient than polynomial-time.

Similarly, if $q \in \mathbf{NP}$, we need no worse than a polynomial-time non-deterministic algorithm for q.

In this lecture, we consider an approach to <u>lower-bounding</u> the computational hardness (difficulty) of a (decision) problem.

Towards this, we begin with a way to compare the computational hardness of one decision problem against another decision problem. We then extend the approach to comparing the computational hardness of a problem against an entire complexity class.

Reductions

We now consider comparing two *problems* from the standpoint of computational hardness. Then, we extend such a notion to the computational hardness of a problem compared to every problem in a complexity class.

Terminology: hard means difficult. For example, we may say, "problem B is computationally at least hard as problem A."

To start us off: suppose a problem can only be one of "hard" or "easy." Then, a logic-based notion of what it means for a problem B to be at least as hard as problem A is:

$$B \text{ is easy} \implies A \text{ is easy.}$$

The only possibilities that the above implication admits:

- (1) A is easy and B is easy.
- (2) A is hard and B is hard.
- (3) A is easy and B is hard.

It does not admit:

(4) A is hard and B is easy.

Terminology and notation: if indeed we are able to establish that B is easy implies A is easy, then we say that there exists a *reduction* from A to B. Also, we adopt the symbol " \leq " and write $A \leq B$.

Note: we still need to more precisely clarify what we mean by "easy" and "hard." One way to do this is to more precisely specify what it means for A to reduce to B, i.e., $A \leq B$.

E.g., "easy" may mean "algorithm exists for the problem," and "hard" means "algorithm does not exist for the problem." Now, what we mean by $A \leq B$ is: if an algorithm exists for B, then one exists for A.

The Cook reduction

We write this as " \leq_c ." Under this reduction, we equate "easy" with " $\in \mathbf{P}$." That is, we say, for decision problems A and B, that $A \leq_c B$ if: $B \in \mathbf{P} \implies A \in \mathbf{P}$.

Example: consider HamPath and HamPathStartEnd:

HAMPATH: given as input a non-empty undirected graph G, is there a simple path in G of all its vertices?

HAMPATHSTARTEND: given as input a non-empty undirected graph G and two distinct vertices in it, a, b, is there a simple path $a \leadsto b$ in G of all its vertices?

Claim 1. HAMPATH \leq_c HAMPATHSTARTEND.

To prove the claim, assume that H_{SE} is a polynomial-time algorithm for HAMPATHSTARTEND. Then, we can show that HAMPATH $\in \mathbf{P}$ by construction.

```
H(G=\langle V,E\rangle)
1 if |V|=1 then return true
2 foreach u\in V do
3 foreach v\in V\setminus\{u\} do
4 if H_{SE}(G,u,v)= true then return true
5 return false
```

Claim 2. HAMPATHSTARTEND \leq_c HAMPATH.

Assume that H is a polynomial-time algorithm for HAMPATH. Then, we can show that HAMPATHSTARTEND $\in \mathbf{P}$ by proposing the following algorithm, H_{SE} , for it.

```
H_{SE}(G = \langle V, E \rangle, a, b)

1 if a = b or |V| = 1 then return false

2 Let V' \leftarrow V \cup \{x, y\}, where x, y \notin V

3 Let E' \leftarrow E \cup \{\langle x, a \rangle, \langle b, y \rangle\}

4 return H(\langle V', E' \rangle)
```

LONGSIMPLEPATH: given input (i) undirected $G = \langle V, E \rangle$, (ii) two distinct vertices $a, b \in V$, and, (iii) $k \in \mathbb{Z} \cap [1, |V| - 1]$, does there exist simple $a \leadsto b$ of $\geq k$ edges?

Claim 3. HamPathStartEnd \leq_c LongSimplePath.

Proof. Invoke a polynomial-time algorithm for LONGSIMPLEPATH with inputs G, a, b, |V|-1, and return whatever it returns.

Is it possible that $A \leq_c B$, but $B \nleq_c A$?

Yes. Let A = LongSimplePath. Let B = Halt, i.e., the decision-problem: given as input an algorithm α and a string x, does running α with input x eventually halt (terminate)?

Another example: let ShortSimplePath be the problem: given inputs (i) undirected $G = \langle V, E \rangle$, (ii) two distinct vertices $a, b \in V$, and, (iii) $k \in \mathbb{Z} \cap [1, |V| - 1]$, does there exist simple $a \leadsto b$ of $\leq k$ edges?

Then: SHORTSIMPLEPATH \leq_c LONGSIMPLEPATH.

But: LongSimplePath \leq_c ShortSimplePath \Longrightarrow $\mathbf{P} = \mathbf{NP}$, which is highly unlikely to be true.

In other words, if we adopt the customary assumption $\mathbf{P} \neq \mathbf{NP}$, then LongSimplePath \leq_c ShortSimplePath.

So shall we continue using \leq_c as our way of comparing the computational hardness of decision problems?

Turns out that \leq_c is not what is customarily used in this context. Because it has a highly undesirable property.

Claim 4. Let p be a decision problem and q its complement. Then $p \leq_c q$.

Definition: a set S is said to be *closed* under a binary operation \boxplus if: given any two $e_1, e_2 \in S$, it is the case that $e_1 \boxplus e_2 \in S$.

e.g., \mathbb{R} is closed under, -, i.e., subtraction, but \mathbb{Z}^+ is not.

Definition: we say that a complexity class \mathcal{C} is closed under a reduction \leq if: given any two decision problems p_1, p_2 , it is the case that $p_1 \leq p_2$ and $p_2 \in \mathcal{C} \implies p_1 \in \mathcal{C}$.

And now, our punchline:

Claim 5. If NP is closed under \leq_c , then NP = co-NP.

So, $\mathbf{NP} \neq \mathbf{co} \cdot \mathbf{NP} \implies \mathbf{NP}$ is not closed under \leq_c .