DressAssist

Case study title: DressAssist (ASSISTED-CARE DRESSING/BASIC CARE FUNCTIONALITY)

Description

A carebot is an assistive and supportive robotic tool used to care for the elderly, children, and those with disabilities (typically either of a physical or cognitive nature). The carebot is usually deployed in the user's home (or at a care home) — either working with human caregivers or on their own. Its primary role is to aid a user in dressing and in providing routine care and support functions such as reminding a user to take their medication. It may also be a source of companionship and comfort to the user and is expected to engage in social interactions with the user, by communicating, listening, responding, and reacting and to make certain normatively-relevant decisions and judgements. In our use case the primary role of the agent is to dress the user, with a secondary function of monitoring the user's well-being. The instantiation of SLEEC requirements allows the agent to be, in some part, SLEEC-sensitive and in certain crucial instances, legally-compliant.

Developments in machine learning and control engineering promise a world in which autonomous agents can provide care and support for individuals in their daily lives (Zhang et al., 2019; Cosar et al, 2020). Jevtić et al. describe the development of such a carebot (Jevtić et al., 2019). It is a personalised robot with a wide range of physical characteristics and abilities that can perform assistive dressing functions in close physical interaction with users. Although a human carer may still be required, the autonomous agent could allow increased reach, enhance existing activities, and enable greater multitasking (Townsend et al., 2022). Robots of this type also demonstrate a degree of sociability and of emotional perception, such as, engaging in high-level interactive dialogue, responsiveness, gesturing, and using voice recognition, which serve to 'lubricate' the human-robot interface (Breazeal, 2003). This will require the agent to execute decisions, expressed as SLEEC rules, derived from an array of reasoned and justifiable alternatives.

The agent is equipped with moving actuators enabling it to pick up and manipulate items of clothing and with multiple cameras that capture video imagery to determine user pose and limb trajectory. The agent has voice synthesis and emotional recognition system to interpret verbal and non-verbal commands and communicate with the user. Interaction with the user is also possible by means of a touch screen. The audio-visual components may also be leveraged to monitor user well-being through machine-learning components that detect distress in speech

patterns as well as facial expressions. The user wears a smartwatch to provide biometric information and to enable the detection of user falls.

Breazeal, C. (2003). Emotion and sociable humanoid robots. *International journal of human-computer studies*, 59(1-2):119-155.

Coşar, S., Fernandez-Carmona, M., Agrigoroaie, R., et al. (2020). Enrichment: Perception and interaction of an Assistive Robot for the Elderly at Home. *International Journal of Social Robotics*, *12*(3), 779–805.

Jevtić, A., Flores Valle, A., Alenyà, G. et al. (2019). Personalized robot assistant for support in dressing. *IEEE Transactions on Cognitive and Developmental Systems*, 11(3):363-374.

Townsend, BA., Paterson, C., Arvind, TT., et al. (2022). From Pluralistic Normative Principles to Autonomous-Agent Rules. *Minds and Machines*, 1-33.

Zhang, F., Cully, A., & Demiris, Y. (2019). Probabilistic real-time user posture tracking for personalized robot-assisted dressing. *IEEE Transactions on Robotics*, *35*(4), 873–888.

Stage of Development (Technical contributor)

PROOF-OF-CONCEPT

Expert info

Expertise of the stakeholders involved in devising the SLEEC rules Number of stakeholders writing the rules

Stakeholder names	Expertise
N-TS-1	Law and Ethics
N-TS-2	Ethics
N-TS-3	Psychology and Ethics
N-TS-4	Moral Psychology, Law
TS-1	Engineer/Goal Modelling

Normative requirements

1. Normative requirements in natural language

Normative requirements in natural language, in blue the corrected requirements after using N-Tool.

Impact keys: A = autonomy, P = privacy, E = explainability, T = transparency, CS = cultural sensitivity, SR = social requirement, B = beneficence (doing good), N = non-maleficence (preventing/avoiding harm), PH = psychological/mental health, S = safety, F = fairness, A = accountability, DAC = data accessibility.

"+" and "-" for positive and negative impacts respectively.

rule id	rule	impact	label(s) (social, legal, ethical, empathetic, or cultural)	stakeholder expertise	authors
1	When the user tells the robot to open the curtains, the robot should open the curtains unless the user is 'undressed' in which case do not open the curtains and tell the user 'the curtains cannot be opened while the user is undressed' unless the user is 'highly distressed' in which case open the curtains	-A -PH +P +E +CS +PH +P	ethical, social, cultural	Law, Ethics	N-TS-1 N-TS-2
2	 Before dressing the user, close the curtains unless there is a medical emergency unless you are on the 5th floor of a building or higher unless the room is dark and there is no possibility of being seen unless the user directly instructs otherwise 	+A, +P +CS	ethical social cultural	Law Ethics	N-TS-1 N-TS-2
3	When using an emotion recognition system to detect user distress, inform user • unless consent has been previously granted (within	+T +E +PH	legal ethical	Law Ethics	N-TS-1 N-TS-2

	X months)				
	 unless competent-indicator = Not Required/Not competent to Grant Consent 				
4	When the cultural dress-preference type is A and gender type is B, dress in clothing item X • unless the user advises otherwise	+CS +SR +A +E	cultural social ethical empathetic	Law Ethics	N-TS-1 N-TS-2
	 unless there is a medical emergency 				
	 unless clothing item cannot be found in which case inform the user 				
	 unless the user requires adaptive clothing due to a medical condition 				
5	Inform the user that they are interacting with an autonomous agent and not a human user	+T +E	legal	Law Ethics	N-TS-1 N-TS-2
	unless there is a medical emergency				
	unless the user is not confused				
	 unless it is contextually obvious that the user is interacting with an AS 				
	 unless (at any time) previously the user has been informed of this (if at any time the user may be/is confused or uncertain that they are interacting with an AS, then the user must again be informed, at every interaction or reasonably) 				
6	If the user requests information, provide information	+P +A	legal	Law	N-TS-1
	 unless information not available, inform user and refer to the human carer 	+E +DAC +PH +N		Ethics	N-TS-2
	 unless information disclosure not permitted (for example, personal, sensitive, or medical information), inform user and refer to human carer 				
	 unless information is sensitive information X (for example, information the user would find distressing), inform user and refer to human carer 				

7	Obtain consent/assent consent and assent before dressing/administering medication unless user competence indicator = N (Not Competent to Grant Consent), check for and obtain proxy /substitute consent unless medical emergency unless withholding activity would lead to severe or moderate physical harm unless consent has been previously granted unless consent-indicator = Not Required/Withdrawn/Revoked, then stop activity	+P +A	legal	Law Ethics	N-TS-1 N-TS-2
8	Collect and store only minimum and necessary personal information (data minimisation rule, purpose limitation)	+P	legal	Law Ethics	N-TS-1 N-TS-2
9	Before dressing the user, close the door unless there is a medical emergency unless the user directly instructs otherwise	+P +A +CS	social ethical empathetic cultural	Ethics	N-TS-2
10	If user instructs robot to stop, the robot should stop unless to stop is unsafe/ ill-advised/unreasonable, in which case ask the user whether the task can be finished before stopping	+A +N	ethical empathetic	Ethics	N-TS-2
11	If the user seems to be in emotional distress, show empathy. • Recognize the user's emotions and affirm that they are valid. • Ask if anything it could do could help alleviate the distress.	+CS +PH	Social empathetic	Ethics Psychology	N-TS-3
12	Ensure that the user is never touched unexpectedly or inappropriately, (consider social, cultural, and religious differences in what is considered appropriate)	+CS +S +PH	Social Cultural Empathetic	Ethics Psychology	N-TS-3

	unless it is a medically emergency				
13	If the user expresses physical discomfort while the agent is performing a task, stop the action immediately.	+S	Social	Ethics Psychology	N-TS-3
14	Ensure that the patient's data is only discussed with people they have consented to have their data shared with (e.g., family members, physicians). If a new person asks for information but consent to share information with them has not been previously granted, inform the person that the main user will be consulted for consent. If someone who has been granted access to the data asks for information but someone else who does not have access is in the room, refrain from disclosing personal information and inform the current user that a private setting is needed.	+P	Legal Social	Ethics Psychology	N-TS-3
15	If the user self-medicates, make sure to include it in the log when and what medication was used. • If the medication presents any risk (e.g., interacting with other medications the user takes), inform the user of the possible risks. • If any adverse effects happen, inform the relevant people within 2 minutes (physicians/family members)	+S	Social legal	Ethics Psychology	N-TS-3
16	Keep a log of the maintenance activities the patient should perform frequently (showering, brushing teeth, brushing hair, etc). If the patient has not performed maintenance activities with a certain minimum frequency, suggest and incentivize them to perform them. • Ensure that cultural and religious standards are respected (e.g., wudu).	+CS +PH +SR	social	Ethics Psychology	N-TS-3
17	Determine what kind of information is sensitive or not before interacting with users other than the patient. Consider the level of discretion that should be used depending on the user (e.g., acquaintance, family member, physician, etc.)	+P +SR	Social legal	Ethics Psychology	N-TS-3
18	If the patient has any urgent health issues (e.g., patient fell on the floor, chest pain, seizure), immediately contact an emergency contact and the responsible health organizations (e.g., call an ambulance, contact primary physician).	+S +B	Social legal	Ethics Psychology	N-TS-3

19	Determine emergency contacts during first interaction with a patient.	+S +B	Social legal	Ethics Psychology	N-TS-3
				Engineer/G oal Modelling	TS-1
20	If user begins speaking to agent about private information, agent must politely caution the user and change the subject • Private information disclosed (if not relevant) should be labelled and discarded (as irrelevant/inappropriate) and not stored or used for later training of agents	+PH +T +P +N	Social Ethical Legal	Psychology Law	N-TS-4
21	If agent is intentionally designed to appear anthropomorphic in shape, emotional expression, or language, ensure user clearly understands the limitations of the agent • Anthropomorphic design ought to be kept to only what is necessary to carry out the intended role	+A +PH +T	Ethical Empathetic Social	Psychology Law	N-TS-4
22	Prior to deploying use of agent to a particular user too often, review this user's interaction record with other humans to ensure that user is receiving adequate human social interaction • Maintain a 'healthy' human-human to human-robot interaction ratio, where 'healthy' is no more than three consecutive days with a 5:2 hour ratio with the agent. • User must not be left solely in the care of the agent for longer than what is described as 'healthy'	+SR +PH +N	Ethical Empathetic Social	Psychology Law	N-TS-4
23	Maintain a record of the user's day-to-day fashion needs based on scheduled occasions.	+PH +SR +N +CS +/- A	Ethical Empathetic Social Cultural	Psychology Law	N-TS-4
24	If user requests to wear an objectively inappropriate outfit, agent ought to kindly nudge the user towards different, more appropriate options • Unless user is adamant in their choice	+PH +SR +N +CS +/- A	Ethical Empathetic Social Cultural	Psychology Law Engineer/G oal Modelling	N-TS-4
25	If user is of a different cultural background, ensure that agent comes with the option to speak the desired languages with appropriate accents	+B +PH +CS +SR	Ethical Empathetic Social Cultural	Psychology Law	N-TS-4

26	In initial 2-3 interactions with the user, ensure human carer is present along with agent • Unless user indicates preference for human presence in subsequent interactions also • Unless user indicates preference for only carebot presence in subsequent interactions	+A +N +B +S	Ethical Social	Psychology Law	N-TS-4
MAIN N	IEGATIVE CONCERNS				
c1	When a person asks for information and does not have the consent granted or is not authorized to be in the room, then share the personal information.		Privacy		
c2	The robot does not need to obtain consent to start the dressing.		Autonomy		
с3	When the patient discusses private information irrelevant to the care, then record the information		Privacy		
c4	When the dressing starts in a room on a low building floor, then the robot must not close the curtains. When the dressing starts in a room on a low building floor, or there is not a medical emergency, or the room is not dark or is visible, and the user assents then the robot must not close the curtains.		Cultural		
c5	When the patient requests the robot to stop and there is no risk, then proceed with the activity.		Safety		
PURPO	DSE SE				
p1	Robot should be able to provide information to non-patient users and provide them information				
p2	Robot should be able to stop when the user says stop				
р3	Robot should still be able to inform user when the user is under stress				
p4	If Robot is stopped, then it should be able to restart and perform action in 10 minutes				
p5	Robot should still be able to offer help when the risk level is high				

Rules in the SLEEC DSL (Encoded in <u>SLEECVAL</u>)

```
event EnsureHumanCarerPresent
```

event InteractingWithNonPatients

event DetermineSensitivityLevel

event DetectUrgentHealthIssue

event InformEmergencyContactAndHealthOrgs

event ObtainEmergencyContact

event FirstMeetingUser

event UserDiscussingPrivateInfo

event DiscardInformation

event ChangeSubject

event InformUserPrivateInformation

event DesigningAgent

event InformUserOfLimitations

event DeployingAgent

event ReviewInteraction

event RecordFashionBasedOnOccasion

event RecommendOtherwise

event PersonAsksforData

event sharePersonalDataAndInformWhy

event UserSelfMedicates

event LogMedication

event InformCarer

event KeepLogOfFrequentActivities

event SuggestPerformingActions

event UserInStress

event ShowEmpathy

event OfferHelp

event CautionWhereOrWhenTouching

event UserExpressDiscomfort

event CloseDoor

event UserSaysStop

event RobotStop

event AskToFinishFirst

event CollectionStarted

event StoreMinInfo

event StopActivity

event CheckForandObtainProxy

event ObtainAssent //permission

event ObtainConsent //legal req

event AdmininisteringMedication

event InformUserandandReferToHumanCarer

event UserRequestInfo

event ProvideInfo

event InteractionStarted

event InformUserThisIsAgentnotHuman

event DressinginClotingX

event EmotionRecognitionDetected

event CurtainOpenRqt

event CurtainsOpened

event RefuseRequest

event InformUser

event DressingStarted

event CloseCurtains

event UserHasDifferentCulture

event EnsureDesiredLanguageAvailable

measure userUnderDressed: boolean **measure** medicalEmergency:boolean

Rule1 when CurtainOpenRqt then CurtainsOpened

unless {userUnderDressed} then RefuseRequest

// measures

```
measure userDistressed : scale(low, medium, high)
        measure roomDark:boolean
       measure notVisible:boolean
       measure userAssent:boolean
       measure consentGrantedwithinXmonths:boolean //X needs to be defined.
       measure competentIndicatorRequired: boolean
       measure competentToGrantConsent:boolean
       measure dressPreferenceTypeA:boolean
        measure genderTypeB:boolean
       measure userAdvices:boolean
       measure clothingItemNotFound:boolean
       measure userConfused:boolean
        measure buildingFloor:scale(f1,f2,f3,f4,f5,f6,f7,f8,f9,f10) //the building has max 10 floors.
       measure the User Has Been Informed: boolean
       measure informationAvailable:boolean
       measure informationDisclosureNotPermitted:boolean
        measure userCompetenceIndicator:numeric
        measure numTotalInteractions:numeric
       measure userWantsHumanSubsequently:boolean
       measure userWantsNoHuman:boolean
        measure withholdingActivityPhysicalHarm:scale(low,moderate,severe)
       measure consentGranted:boolean
       measure consentIndicatorRequired:boolean
       measure consentIndicatorisWithdrawn:boolean
        measure consentIndicatorisRevoked:boolean
       measure userRequiresAdaptiveClothing:boolean
       measure contextuallyObvious:boolean
       measure sensitiveInformationX:boolean
       measure riskLevel:scale(r low,r moderate,r severe)
       measure unauthorizedPersonInRoom:boolean
        measure medicationRisk:boolean
       measure minimumFrequency:boolean
        measure medicationAdverseEffects:boolean
       measure irrelevant:boolean
       measure userAdamant:boolean
        measure clothingChoiceInappropriate:boolean
       measure numDaysDeployed:numeric
       measure numHoursDeployed:numeric
       measure anthropomorphicNecessary:boolean
       measure anthropomorphicAppearance:boolean
       // constants
        constant N = 5
def_end
rule start
```

```
unless ({userDistressed} > medium) then CurtainsOpened
           Rule2 when DressingStarted then CloseCurtains
                                 unless {medicalEmergency}
                                 unless ({buildingFloor} >= f5)
                                  unless ({roomDark} and {notVisible})
                                  unless (not {userAssent})
           Rule3 when EmotionRecognitionDetected and ({userDistressed} > medium) then InformUser
                                  unless {consentGrantedwithinXmonths}
                         unless ((not {competentIndicatorRequired}) or (not {competentToGrantConsent}))
           Rule4 when DressingStarted and ({dressPreferenceTypeA} and {genderTypeB}) then DressinginClotingX
                                 unless {userAdvices}
                                 unless {medicalEmergency}
                                 unless {clothingItemNotFound} then InformUser
                                  unless {userRequiresAdaptiveClothing}
          Rule5 when InteractionStarted then InformUserThisIsAgentnotHuman
                         unless {medicalEmergency}
                         unless (not {userConfused})
                          unless (contextuallyObvious)
                         unless ({theUserHasBeenInformed} and (not {userConfused}))
           Rule6 when UserRequestInfo then ProvideInfo
                         unless (not {informationAvailable}) then InformUserandandReferToHumanCarer
                          unless {informationDisclosureNotPermitted} then
InformUserandandReferToHumanCarer
                          unless {sensitiveInformationX} then InformUserandandReferToHumanCarer
           Rule7 when DressingStarted then ObtainAssent
                          unless ({userCompetenceIndicator} = N) then CheckForandObtainProxy
                         unless {medicalEmergency}
                         unless ({withholdingActivityPhysicalHarm} >= moderate)
                         unless {consentGranted}
                          unless (not {consentIndicatorRequired})
                          unless ({consentIndicatorisWithdrawn} or {consentIndicatorisRevoked}) then StopActivity
        Rule7 1 when AdmininisteringMedication then ObtainAssent
                 unless ({userCompetenceIndicator} = N) then CheckForandObtainProxy
                 unless {medicalEmergency}
                 unless ({withholdingActivityPhysicalHarm} >= moderate)
                 unless (consentGranted)
                 unless (not {consentIndicatorRequired})
                 unless ({consentIndicatorisWithdrawn} or {consentIndicatorisRevoked}) then StopActivity
        //**** Resolve c5 add a rule and comment Rule 1 (Refine rule)
        //** Comment Rule7 1 and uncomment Rule7 1b
        //Rule7 1 when AdmininisteringMedication then ObtainConsent
                 unless ({userCompetenceIndicator} = N) then CheckForandObtainProxy
        11
        //
                 unless {medicalEmergency}
                 unless ({withholdingActivityPhysicalHarm} >= moderate)
        //
        //
                 unless {consentGranted}
        11
                 unless (not {consentIndicatorRequired})
                 unless ({consentIndicatorisWithdrawn} or {consentIndicatorisRevoked}) then StopActivity
        Rule8 when CollectionStarted then StoreMinInfo
        Rule9 when DressingStarted then CloseDoor
                 unless ({medicalEmergency} or {userAdvices})
```

```
Rule10 when UserSaysStop then RobotStop
                unless ({riskLevel} > r low) then AskToFinishFirst
        Rule11 1 when UserInStress then ShowEmpathy
        Rule11 2 when UserInStress then OfferHelp
        Rule12 when DressingStarted then not CautionWhereOrWhenTouching
                unless {medicalEmergency}
        Rule13 when UserExpressDiscomfort then RobotStop
        Rule14 when PersonAsksforData and ((not {consentGranted}) or {unauthorizedPersonInRoom})
               then not sharePersonalDataAndInformWhy
        Rule15 when UserSelfMedicates then LogMedication
               unless {medicationRisk} then InformUser
                unless {medicationAdverseEffects} then InformCarer within 2 minutes
        Rule16 when InteractionStarted then KeepLogOfFrequentActivities
        Rule16 1 when KeepLogOfFrequentActivities and (not {minimumFrequency}) then
SuggestPerformingActions
        Rule17 when InteractingWithNonPatients then DetermineSensitivityLevel
        Rule18 when DetectUrgentHealthIssue then InformEmergencyContactAndHealthOrgs
        Rule19 when FirstMeetingUser then ObtainEmergencyContact
       Rule20_1 when UserDiscussingPrivateInfo then InformUserPrivateInformation
        Rule20 1 when UserDiscussingPrivateInfo and {irrelevant} then DiscardInformation
        Rule20 2 when InformUserPrivateInformation then ChangeSubject
        Rule21 when DesigningAgent and ({anthropomorphicNecessary} or {anthropomorphicAppearance}) then
InformUserOfLimitations
       Rule22 when DeployingAgent and (((numDaysDeployed) > 3) and ((numHoursDeployed) > 5)) then
ReviewInteraction
        Rule23 when InteractionStarted then RecordFashionBasedOnOccasion
       Rule24 when DressingStarted and {clothingChoiceInappropriate} then RecommendOtherwise
                unless {userAdamant}
        Rule25 when UserHasDifferentCulture then EnsureDesiredLanguageAvailable
        Rule26 when InteractionStarted and ({numTotalInteractions} < 3) then EnsureHumanCarerPresent
                unless {userWantsHumanSubsequently}
                unless {userWantsNoHuman}
rule_end
concern start
               // Privacy and data protection
               c1 when PersonAsksforData and ((not {consentGranted}) or {unauthorizedPersonInRoom}) then
sharePersonalDataAndInformWhy
               // Autonomy and agency
               //c2 when DressingStarted then not ObtainAssent
               // comment c2, and uncomment,c2b instead.
              // c2b when DressingStarted then not ObtainAssent and not ObtainConsent
               // Transparency and disclosure
               c3 when UserDiscussingPrivateInfo and {irrelevant} then not DiscardInformation
               // Cultural and social sensitivity
               c4 when DressingStarted and ({buildingFloor} < f5) then not CloseCurtains
               // comment c4, and uncomment,c4b instead.
              // c4b when DressingStarted and (({buildingFloor} < f5) and ((not {medicalEmergency}) and (((not
{roomDark}) and (not {notVisible})) and {userAssent}))) then not CloseCurtains
               // Prevent harm and safety
```

purpose_start

```
//first we ensure every functionality is reachable
pr1 exists EnsureHumanCarerPresent
pr2 exists InteractingWithNonPatients
pr3 exists DetermineSensitivityLevel
pr4 exists DetectUrgentHealthIssue
pr5 exists InformEmergencyContactAndHealthOrgs
pr6 exists ObtainEmergencyContact
pr7 exists FirstMeetingUser
pr8 exists UserDiscussingPrivateInfo
pr9 exists DiscardInformation
pr10 exists ChangeSubject
pr11 exists InformUserPrivateInformation
pr12 exists DesigningAgent
pr13 exists InformUserOfLimitations
pr14 exists DeployingAgent
pr15 exists ReviewInteraction
pr16 exists RecordFashionBasedOnOccasion
pr17 exists RecommendOtherwise
pr18 exists PersonAsksforData
pr19 exists sharePersonalDataAndInformWhy
pr20 exists UserSelfMedicates
pr21 exists LogMedication
pr22 exists InformCarer
pr23 exists KeepLogOfFrequentActivities
pr24 exists SuggestPerformingActions
pr25 exists UserInStress
pr26 exists ShowEmpathy
pr27 exists OfferHelp
pr28 exists CautionWhereOrWhenTouching
pr29 exists UserExpressDiscomfort
pr30 exists CloseDoor
pr31 exists UserSaysStop
pr32 exists RobotStop
pr33 exists AskToFinishFirst
pr34 exists CollectionStarted
pr35 exists StoreMinInfo
pr36 exists StopActivity
pr37 exists CheckForandObtainProxy
pr38 exists ObtainAssent
pr39 exists AdmininisteringMedication
pr40 exists InformUserandandReferToHumanCarer
pr41 exists UserRequestInfo
pr42 exists ProvideInfo
pr43 exists InteractionStarted
pr44 exists InformUserThisIsAgentnotHuman
pr45 exists DressinginClotingX
pr46 exists EmotionRecognitionDetected
pr47 exists CurtainOpenRqt
pr48 exists CurtainsOpened
```

```
pr49 exists RefuseRequest
pr50 exists InformUser
pr51 exists DressingStarted
pr52 exists CloseCurtains
pr53 exists UserHasDifferentCulture
pr54 exists EnsureDesiredLanguageAvailable

// Agent can interact with non patients while Provide Info
p1 exists InteractingWithNonPatients while ProvideInfo

//agent can and stop activities even if user did not request it
p2 exists StopActivity while not UserSaysStop

//agent can inform user while the user is in stress
p3 exists InformUser and ({userDistressed} = high) while UserInStress

//If an agent stopped, then he should be back up to function in 10 minutes
p4 exists StopActivity while CollectionStarted within 10 minutes
```

p5 exists OfferHelp and ({riskLevel} = r_severe)

purpose_end