

# Neural Machine Translation

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**COMP-550** 

J&M Ch. 13 (3<sup>rd</sup> ed.). Eisenstein, Ch. 18

## Outline

Finish: IBM models and phrase-based MT

Neural machine translation

Attention mechanism

Transformers and large language models

**Prompting** 

### Statistical Machine Translation

Let's look at a popular direct-transfer approach to statistical machine translation: the **noisy channel model**.

English 
$$P(E)$$
 Russian

When I look at an article in Russian, I say:
'This is really written in English, but it has been coded
in some strange symbols. I will now proceed to decode.'
Warren Weaver, 1955

## IBM Model 1

IBM developed a series of five influential models that make increasingly powerful assumptions.

#### Model 1 is the most basic:

- Each source word is aligned to zero or one target word
- Don't try to model different distortions of word order (e.g., completely flipping word order vs. just swapping the orders of one or two words)
- Don't try to model likelihood of **fertility** (some phrases, e.g., take a walk, might be translated as one unit)

# **Expectation-Maximization**

- 1. Initialize the parameters P(f|e) randomly
- Iterate for a while:
  - **E-step**: Given the current parameters, compute the expected value of Count(f, e) over the training data
  - **M-step**: Given the current Count(f,e), compute the new MLE  $\theta_k = P(f|e)$

# Details, Details

In practice, don't initialize t(f|e) uniformly:

- Given reasonable sizes of lexicon, too many parameters = too much memory and computation!
- Rather, restrict it to word pairs e', f', where e' and f' occur is some aligned sentence pair in the training set.

When sentence lengths are high, need to efficiently compute probabilities of all possible alignments.

Can adapt our algorithm to implicitly sum over all alignments

## IBM Model 2

Does not assume that all possible alignment structures are equiprobable.

 For many language pairs, alignment should proceed without much crossing:

And the programme has been implemented.

Le programme a été mis en application.

Can also draw alignment as a table.

### **Extensions**

#### Higher IBM models

Model 3: model **fertility**—how many words are used to translate a word

Models 4 and 5 changes the dependency structure of the alignments so that they depend on each other

### Phrase-Based SMT

What about dealing with phrases that are better translated as a unit?

```
coup blow
```

foudre lightning

coup de foudre love at first sight

Non-constituents also benefit:

Spass am fun with the

Phrase-based, rather than word-based SMT can solve this problem by adding a little more context.

Need to learn phrase table

## A Model of Phrase-based MT

1. Split sentence into phrases

$$E = e_1 e_2 \dots e_I = e p_1 e p_2 \dots e p_N$$

- 2. Translate each phrase with **phrase translation probability** P(fp|ep)
- 3. Rearrange phrases with some **reordering** probability d(dist)
  - e.g., penalty for changing position

$$P(F|E) = \prod_{n} P(fp_n|ep_n)d(dist_n)$$

# MT Decoding

We still need a **decoding algorithm** to *search* for the best possible translation predicted by a given model.

Many search algorithms can be used:

A\* search

Greedy hill-climbing

Beam search

. . .

Let's briefly describe a greedy hill-climbing method (Germann et al., 2001)

# **Greedy Hill-Climbing**

### Start by creating one complete candidate translation

e.g., translate each word separately

$$e^* = \operatorname{argmax}_e P(f|e)$$

#### This gives an initial translation:

Diese Woche ist die gruene Hexe zuhause.

This week is the green witch at home.

# Hill Climbing

### Then, apply change operators:

- Change the translation of a word or phrase
- Combine the translation of two words into a phrase
- Split up the translation of a phrase into two subphrases
- Rearrange parts of the translation

At each point, we evaluate all of the transformations by computing P(E)P(F,A|E), and select the change the maximizes this.

We iteratively run this process until reaching a local optimum.

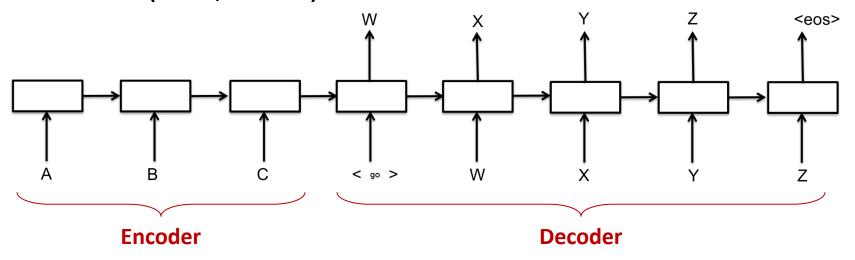
## **Neural Machine Translation**

Neural networks can be applied to machine translation!

Methods developed here **led to current SOTA models** for large-scale language processing.

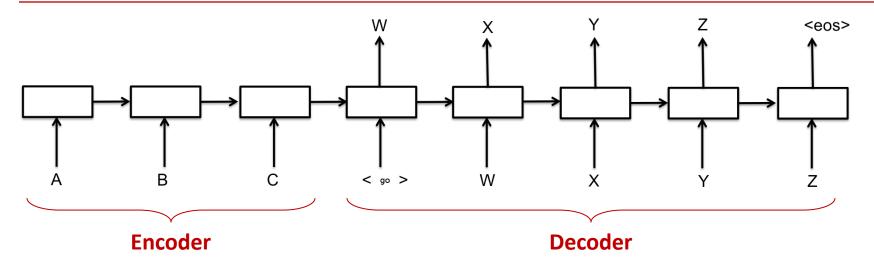
### RNNs for MT

Consider a **sequence-to-sequence** recurrent neural network (Cho, 2014):



- Each block above is an RNN cell, such as a LSTM block
- Or in practice, a bidirectional deep LSTM block

### **Encoder-Decoder**



 $z = ENCODE(w^{(s)})$  $w^{(t)}|w^{(s)} \sim DECODE(z)$ , can be interpreted as probability Some tricks improve performance a lot:

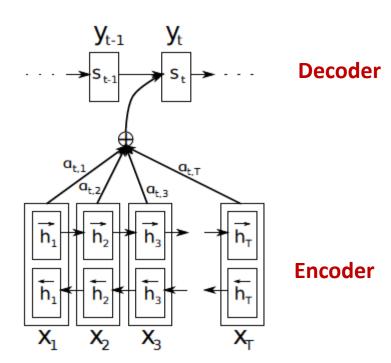
- Reverse order of input sentence
- Train an ensemble of translation models, decode by averaging their output probabilities

## **Attention Mechanism**

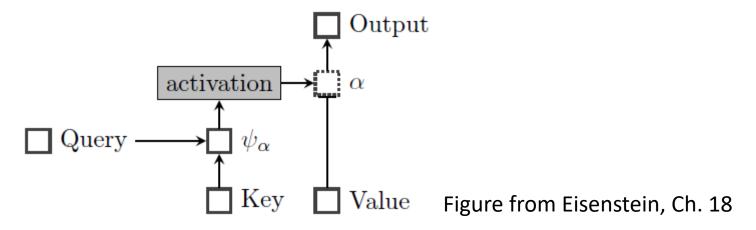
- Translate and align at the same time?
- At decoder step, take a weighted combination of the hidden representations in the encoder for use in predicting next word (Bahdanau et al., 2015):

$$c_i = \sum_j \alpha_{ij} h_j \quad \text{Used in decoding at time i}$$
 
$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_k e_{ik}}$$
 
$$e_{ij} = a(s_{i-1}, h_j)$$
 
$$a_{t,1} = \frac{\mathbf{y}_{t-1}}{\mathbf{y}_{t}}$$
 
$$a_{t,1} = \mathbf{y}_{t}$$
 
$$a_{t,1} = \mathbf{y}_{t}$$

where a is a feed-forward NN



# Attention As Soft Retrieval



Attention is like a *soft* version of a retrieval system from a memory of (key, value) pairs:

Query – representation of what we're looking for

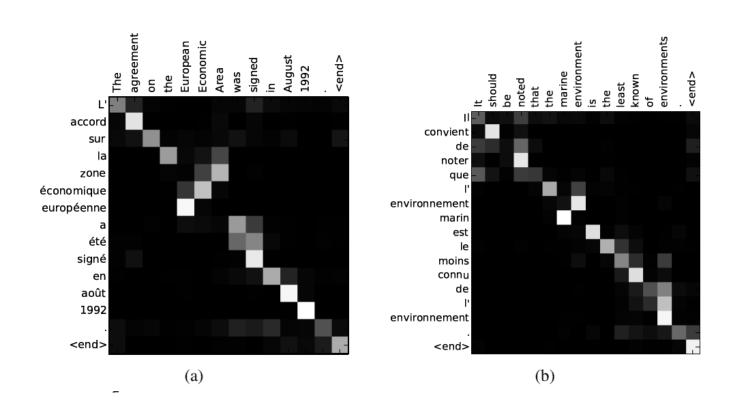
**Key** – representation of an entry in memory

**Value** – information that is stored

Soft because all of the above are vectors, and an entry can be partially retrieved, since  $\alpha$  ranges between 0 and 1.

Let's identify the query, key, and value in the NMT model.

# Visualization of Attention Weights



from (Bahdanau et al., 2015)

Use of attention now widespread in NLP!

## Transformer Architecture (Vaswani et al., 2017)

What if attention was all we needed?

#### Motivation to replace RNNs like LSTMs:

- Despite supposedly solving vanishing gradient problem, recurrence in LSTMs still make it difficult to look at patterns and information over long distances.
- Inherent nature of recurrence need to pass information one step at a time

#### Idea behind Transformers:

Allow information flow between any pair of words!

# Attention in Transformers

Sentence:  $w_1 \ w_2 \ \dots \ w_n$ 

Embeddings:  $x_1 x_2 \dots x_n$ 

Goal is to compute next layer of word representations at layer l:

$$z_1^l \quad z_2^l \quad \dots \quad z_n^l$$

**Attention** learn a distribution over words to decide how important each word is in order to compute the representations at the next layer

# Values, Keys, and Queries

#### Three views of a word:

**query** use of this word as a query, because we want to

compute its representation at the next layer

**key** use of this word as a key; we use this

vector to decide how important the word is to

another word as part of the attention

computation

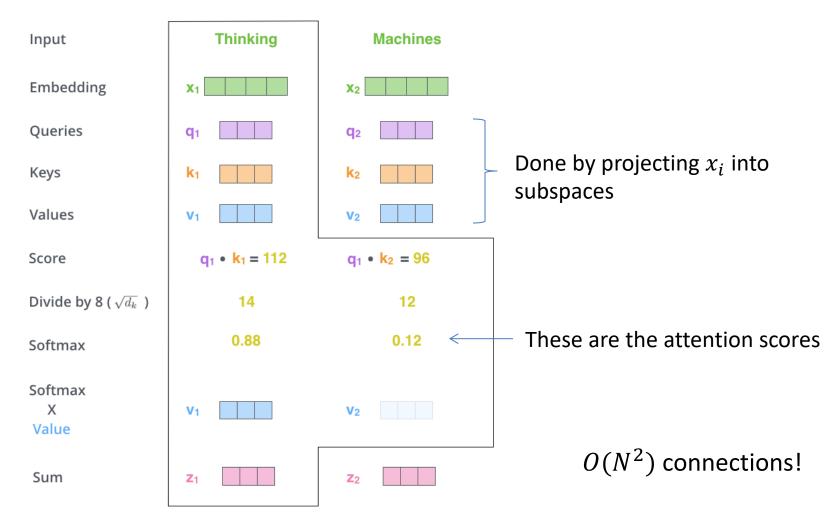
value this vector stores the value associated with the

key, once you've done the attention computation

Each view is associated with its own vector

# Example: Two word sentence

Computing the representation of the first word at the next layer:



Source: http://jalammar.github.io/illustrated-transformer/

### Transformer Architecture

There are a number of other bells and whistles.

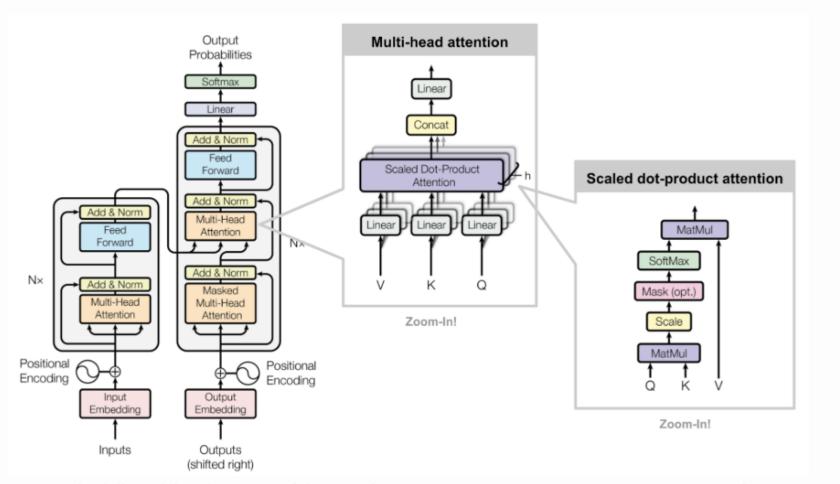


Fig. 17. The full model architecture of the transformer. (Image source: Fig 1 & 2 in Vaswani, et al., 2017.)

### Transformers in NMT

# Google Translate uses a Transformer encoder, but an RNN-based decoder

https://ai.googleblog.com/2020/06/recent-advances-in-google-translate.html

Transformer decoders are very expensive at inference time! Compare:

- RNN feed in one token, predict next token
- Transformer feed in entire context generated so far, predict next token

# BERT (Devlin et al., 2018)

#### A transformer model trained on:

- masked language modelling
   e.g., There is a word [MASKED] in this sentence.
- next sentence prediction
   Given, s1 s2 -> Does s2 follow s1?

#### Training corpora:

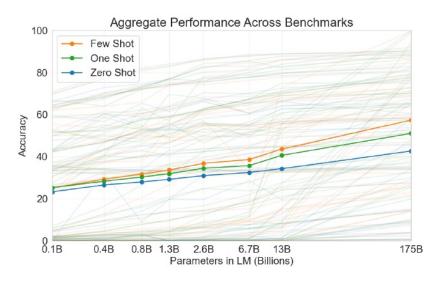
Books (800M words), English Wikipedia (2500M words)

Up to 340M parameters.

# Scaling Up Even More

#### GPT-3 from OpenAI (Brown et al., 2020):

- Training: ~500B words (web crawled data, books, Wikipedia)
- Up to 175B model parameters
- Many larger models exist now from major tech companies!



**Figure 1.3:** Aggregate performance for all 42 accuracy-denominated benchmarks While zero-shot performance improves steadily with model size, few-shot performance increases more rapidly, demonstrating that larger models are more proficient at in-context learning. See Figure 3.8 for a more detailed analysis on SuperGLUE, a standard NLP benchmark suite.

# Successes

BERT + variants are the basis of modern NLP systems.

 Many new SOTA results which start by fine-tuning one of these pre-trained Transformer models

GPT-3 also shows some success at **few-shot** or **zero-shot** learning:

few-shot give a small number (<100) of examples to

finetune on

zero-shot give no new examples; usually need to

give some other natural language prompt

as side input

# T5 (Raffel et al., 2020)

#### A transformer model trained on:

Span corruption e.g.

**input**: Thank you <X> me to your party <Y> week.

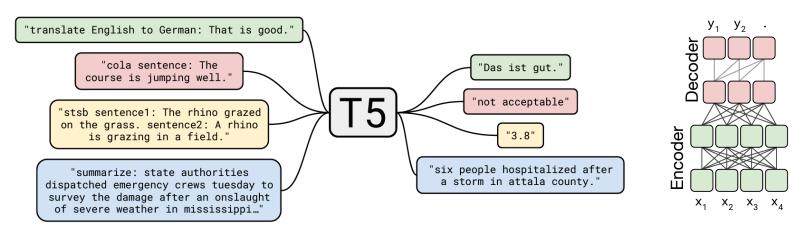
output: <X> for inviting <Y> last <Z>

#### Training corpora:

C4 (34B tokens)

Up to 11B parameters.

Cast every task as a text generation task



# mT5 (Xue et al., 2021)

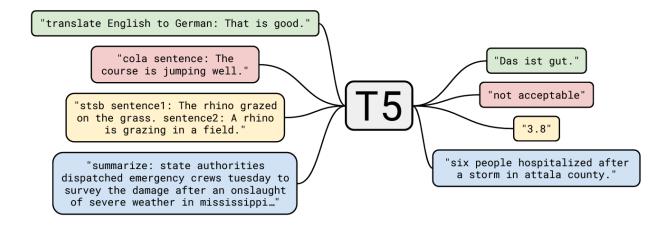
Multilingual T5 trained on the same T5 architecture.

#### Training corpora:

mC4 (6.3T tokens): 101 languages

A pre-trained multilingual LM that can be fine-tuned on monolingual and cross-lingual tasks such as:

Machine translation



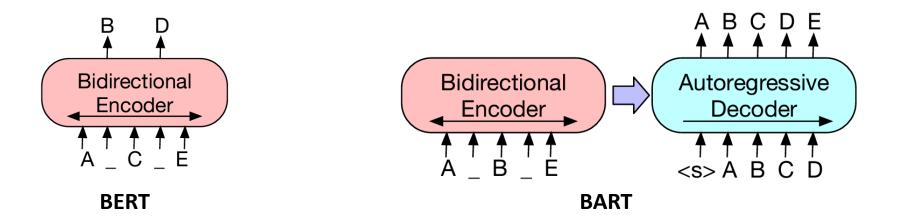
## Other Text-to-Text Models

BART (Lewis et al, 2019)

Similar objective as BERT but with enc-dec transformer architecture.

mBART (Liu et al., 2020) & mBART50 (Tang et al., 2020)

Multilingual variant with 25 & 50 languages.



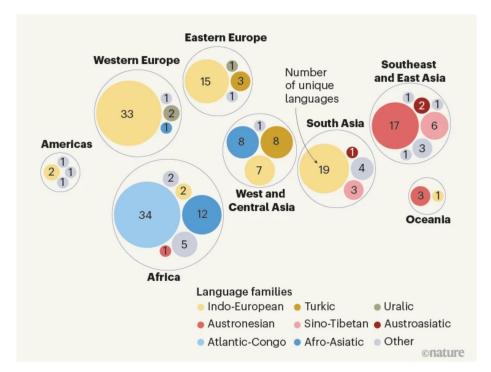
# Massively multilingual MT

#### M2M-100

Many-to-many MT model for 100 languages

#### **NLLB-200**

- Many-to-many MT model for 200+ languages.
- Flores-200 evaluation data



# Adapting to unseen languages

# Difficult to train a massively multilingual MT for all languages

- With a few thousand high quality translation corpus, one can adapt M2M-100 & NLLB-200 to new languages.
- Few epochs
- Example: Consider a language unseen by any LM such as Luo

	English-Swahili	Swahili-English	English-Luo	Luo-English
M2M-100 (PT)	20.1	25.2	_	_
MT5 (FT)	25.1	29.5	3.1	6.4
mBART50 (FT)	25.8	29.0	10.0	12.1
M2M-100 (FT)	26.7	29.8	11.5	13.0

### **Current Trends**

Given a good enough model, can give **instructions** in the context (a.k.a. **prompt**).

Complete the sentence as if we're in a dark comedy murder mystery. Mary had a little \_\_\_\_\_

- accident GOOD
- *lamb* GOOD?
- *very* BAD
- *up* BAD

**Typical setup:** Instructions + demonstrations + query

# Chain-of-"Thought" Prompting

#### Include intermediate reasoning steps:

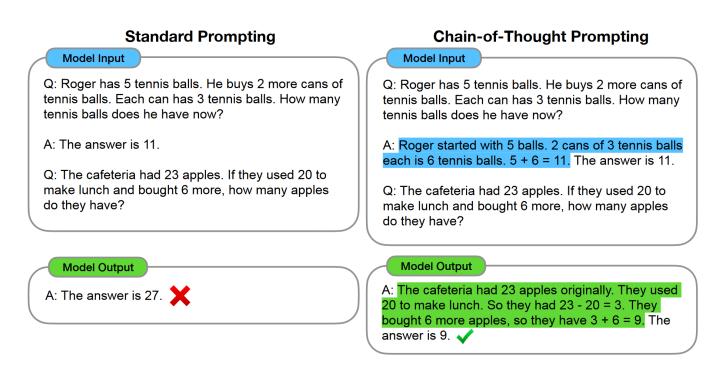


Figure 1: Chain-of-thought prompting enables large language models to tackle complex arithmetic, commonsense, and symbolic reasoning tasks. Chain-of-thought reasoning processes are highlighted.

Wei et al., 2022

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