# Formulations of the shallow-water equations

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2015-07-13

There are a variety of different formulations for the shallow-water equations available. This document serves as an overview of these different formulations and how they are approximated.

#### 1 Overview

h	Water height
$\underline{u}$	Velocity in x direction
v	Velocity in y direction
hu	Momentum in x direction
hv	Momentum in y direction
$\eta$	Potential vorticity
g	Gravity
H	Awesome quantity
f	Coriolis force
$p_0$	Steady state of quantity
p'	Perturbation of $p_0$

#### 2 Momentum formulation

The conservative formulation uses the momentum (hu, hv) as the conserved quantities. In this form, the equations are given as

$$h_t + (hu)_x + (hv)_y = 0$$

$$(hu)_t + (hu^2 + \frac{1}{2}gh^2)_x + (huv)_y - fv = 0$$

$$(hv)_t + (hv^2 + \frac{1}{2}gh^2)_y + (huv)_x + fu = 0$$

Since this formulation is conserving the momentum, it is also referred as the conservative form.

Please note, that this formulation contains several non-linear terms!

#### 3 Non-conservative formulation

By using the produce rule, we can reformulate the equations above to make the velocities conserved quantities. Here, we first apply the produce rule to  $(hu)_t$ 

$$hu_t + h_t u + h_x u^2 + 2huu_x + ghh_x + (hu)_y v + huv_y - fv = 0$$

$$hu_t + (-(hu)_x - (hv)_y)u + h_x u^2 + 2huu_x + ghh_x + (hv)_y u + hvu_y - fv = 0$$

$$hu_t + (-(hu)_x)u + h_x u^2 + 2huu_x + ghh_x + hvu_y - fv = 0$$

$$hu_t - h_x uu - huu_x + h_x u^2 + 2huu_x + ghh_x + hvu_y - fv = 0$$

$$u_t + gh_x + uu_x + vu_y - fv = 0$$

Alltogether, we get the following non-conservative formulation:

$$h_t + (hu)_x + (hv)_y = 0$$
  

$$u_t + gh_x + uu_x + vu_y - fv = 0$$
  

$$v_t + gh_y + uv_x + vv_y + fu = 0$$

We can also split these equations in linear and non-linear parts:

$$L(U) := \left( \begin{array}{cc} g \delta_x & -f \\ g \delta_y & f \end{array} \right) U$$

$$N(U) := \begin{pmatrix} (hu)_x + (hv)_y \\ uu_x + vu_y \\ uv_x + vv_y \end{pmatrix}$$

### 4 Vorticity formulation

For certain reasons such as energy and enstropy conservation, the equations are reformulated and split into the vorticity and H component. We first add an artificial term  $vv_x$ 

$$u_t - vv_x + gh_x + uu_x + vv_x + vu_y - fv = 0$$

$$u_t - vv_x + vu_y + (gh + u^2 + v^2)_x - fv = 0$$

and set  $H := gh + u^2 + v^2$  and  $\eta := v_x - u_y + f$ , yielding

$$u_t - v\eta + H_x = 0$$

Similarly, we can reformulate  $v_t$ :

$$v_t + gh_y + uv_x + vv_y + uu_y - uu_y + fu = 0$$

$$v_t + uv_x - uu_y + fu + (gh + v^2 + u^2) = 0$$

This yields the vorticity formulation:

$$h_t + (hu)_x + (hv)_y = 0$$

$$u_t - v\eta + H_x = 0$$

$$v_t + u\eta + H_y = 0$$

### 5 Full linearization with perturbation

The equations can be linearized around a perturbation  $p = p_0 + p'$ , with  $p \in \{h, u, v\}$  with  $p_0$  denoting a constant steady state. Assuming  $a'b' < O(\epsilon)$ , we can

$$(ab)_x = ((a_0 + a')(b_0 + b'))_z = (a'b_0)_z + (b'a_0)_z + (a'b')_z \approx (a'b_0)_z + (b'a_0)_z = b_0a'_z + a_0b'_z$$

to simplify the non-conservative formulation to

$$h'_t + h_0(u'_x + v'_x) + h'_x(u_0 + v_0) = 0$$

Further, we assume a low velocity  $\{|u_0|, |v_0|\} < O(\epsilon)$ , yielding

$$h'_t + h_0 u'_x + h_0 v'_x = 0$$

Using the approximation  $ab_z=(a_0+a')(b_0+b')_z=a_0b'_z+a'b'_z\approx a_0b'_z$ , we can then simplify the non-conservative formulation to

$$u'_t + gh'_x + u_0u'_x + v_0u'_y - fv' = 0$$

$$v_t' + gh_u' + u_0v_x' + v_0v_u' + fu' = 0$$

and again by assuming a low velocity, get the following formulation:

$$h_t' + h_0 u_x' + h_0 v_x' = 0$$

$$u_t' + gh_x' - fv' = 0$$

$$v_t' + gh_y' + fu' = 0$$

Setting U := (h', u', v'), we can write these equations as a linear operator

$$L(U) := \left( \begin{array}{ccc} h_0 \delta_x & h_0 \delta_y \\ g \delta_x & -f \\ g \delta_y & f \end{array} \right) U$$

$$U_t + L(U) = 0$$

## 6 Linear/Non-linear version:

In the equation above, we neglected also the non-linear velocities. Assuming, that their influence is of high importance, we can write the equations in a form which is not neglecting these equations:

$$U_t + L(U) + N(U) = 0$$

with

$$N(U) := \begin{pmatrix} (hu)_x - h_0 u_x + (hv)_y - h_0 v_y \\ uu_x + vu_y \\ uv_x + vv_y \end{pmatrix}$$

or with the assumption of negligible perturbations of the water height, we get

$$N(U) := \left(\begin{array}{c} 0\\ uu_x + vu_y\\ uv_x + vv_y \end{array}\right)$$