# Replication II: Preventing Coups d'état: How Counterbalancing Works

## Introduction to R

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#### 1 Introduction

Does counterbalancing—the creation of alternative security forces outside of the military chain of command—decrease the risk of military coups? In this document, we replicate the analysis in De Bruin (2017), focusing in particular on the descriptive analysis in Tables 1 (p. 9) as well as the models in Table 2 (p. 13) and 3 (p. 14).

This report is structured as follows. Section 2 briefly reports the hypotheses developed in De Bruin (2017). Section 3 describes the data (available here). This section also reproduces the descriptive analysis in Table 1 of the original article (Section 3.1). Section 4 estimates the regression models in Table 2 and some of the models in Table 3. In Section 5, we conclude by summarizing the results of our replication.

## 2 The hypotheses

De Bruin (2017) develops the following hypotheses based on existing research on military coups:

**Hypothesis 1**: Coups are more likely to succeed against regimes that do not counterbalance than those that do.

**Hypothesis 1a**: Counterbalancing decreases the probability a coup will suc- ceed by creating barriers to communication and coordination between forces.

**Hypothesis 1b**: Counterbalancing decreases the probably a coup will succeed by increasing the likelihood that coup plotters will face armed resistance to the coup.

**Hypothesis 2**: Counterbalancing does not decrease the likelihood of coup attempts.

**Hypothesis 3**: The creation of a new counterweight increases the risk of a coup in the following year.

#### 3 The data

The data are available as a STATA .dta file on the journal website (scroll all the way down). I downloaded the data set (called esd\_preventingcoups\_data.dta) and read it into R using the read\_dta() function from the haven package. The data set has 37 variables with 2978 observations. Table 1 lists all variables and presents summary statistics (note that non-numerical variables are excluded).

Table 1: Summary statistics

|  | $\mathbf{n}$ | mean    | $\operatorname{sd}$ | $\min$  | max     |
|--|--------------|---------|---------------------|---------|---------|
| ccode  | 2978         | 465.57  | 251.98              | 40.00   | 950.00  |
| year   | 2978         | 1986.39 | 14.54               | 1960.00 | 2010.00 |
| attempt  | 2978         | 0.07    | 0.25                | 0.00    | 1.00    |
| success  | 196          | 0.40    | 0.49                | 0.00    | 1.00    |
| top  | 196          | 0.32    | 0.47                | 0.00    | 1.00    |
| middle   | 196          | 0.21    | 0.41                | 0.00    | 1.00    |
| cbcount  | 2978         | 1.13    | 1.06                | 0.00    | 9.00    |
| counterbalancing   | 2978         | 0.63    | 0.50                | 0.00    | 2.30    |
| newcb  | 2978         | 0.03    | 0.18                | 0.00    | 1.00    |
| military   | 2798         | 0.13    | 0.33                | 0.00    | 1.00    |
| polity2  | 2748         | -1.71   | 6.60                | -10.00  | 10.00   |
| dem7   | 2978         | 0.26    | 0.44                | 0.00    | 1.00    |
| lngdppc  | 2588         | 7.39    | 1.19                | 4.36    | 10.36   |
| $\operatorname{chgdp}$   | 2341         | 0.02    | 0.07                | -0.39   | 0.64    |
| lmilex   | 2312         | 6.15    | 1.76                | 2.02    | 10.69   |
| chmilex  | 2296         | 1.05    | 41.11               | -0.88   | 1965.29 |
| soquall  | 2312         | 8.43    | 1.24                | 0.93    | 12.73   |
| lmilper  | 2374         | 4.01    | 1.74                | 0.00    | 8.47    |
| $\operatorname{recent} \operatorname{\underline{\hspace{1cm}rev}}$ | 2909         | 0.04    | 0.19                | 0.00    | 1.00    |
| recent3  | 2978         | 0.16    | 0.36                | 0.00    | 1.00    |
| ht_region  | 2978         | 3.58    | 1.95                | 1.00    | 9.00    |
| regional3  | 2978         | 0.68    | 0.46                | 0.00    | 1.00    |
| banks_conflict   | 2978         | 0.21    | 0.41                | 0.00    | 1.00    |
| coldwar  | 2978         | 0.55    | 0.50                | 0.00    | 1.00    |
| fpt_coup   | 2978         | 0.06    | 0.23                | 0.00    | 1.00    |
| $fpt\_success$   | 169          | 0.49    | 0.50                | 0.00    | 1.00    |
| giniSIDD_1   | 1320         | 43.11   | 9.63                | 17.77   | 65.69   |
| $giniSIDD2\_1$   | 1320         | 1951.58 | 828.64              | 315.95  | 4314.90 |
| theil  | 901          | 41.30   | 7.00                | 22.10   | 64.36   |
| theil2   | 901          | 1754.33 | 547.39              | 488.41  | 4142.21 |
| frenchcol  | 2978         | 0.20    | 0.40                | 0.00    | 1.00    |
| ucdp_civil   | 2978         | 0.25    | 0.43                | 0.00    | 1.00    |
| fatalmid   | 2978         | 0.16    | 0.36                | 0.00    | 1.00    |
| p_hat  | 2316         | 0.23    | 0.16                | 0.02    | 0.92    |
| defensepact  | 2978         | 0.33    | 0.47                | 0.00    | 1.00    |

#### 3.1 Descriptive analysis

In this section, we reproduce Table 1 in the original article. There is a STATA do-file (esd\_preventingcoups\_dofile.do) which contains the STATA code for the table. Here are the contents:

```
*MAIN TEXT TABLES*

*Table 1: Counterbalancing and Coups
tab attempt cbcount
tab attempt newcb
tab success cbcount
tab success newcb
```

This code simply cross-tabulates variables (namely coup attempts or successes with the count of (new) counterbalancing organizations). It seems that De Bruin (2017) filled in the table manually from this code. We will of course generate the table programmatically.

New Counterweight Number of Counterweights 0 1 2 3+No Yes Total 974 Total observations 1,008 722 274 2,882 96 2,978 No coup attempt 904 953 670 255 2,699 83 2,782 7%% country years with attempts 7%5% 7%14%7%6%Coup attempts 70 55 52 19 183 13 196 Failed 36 32 35 14 108 9 117 23 Successful 34 17 5 75 4 79 % coup attempts successful 6%28%51%64%31%56%32%

Table 2: Counterbalancing and Coups

#### 4 Models

Table 3 shows the models from De Bruin (2017), Table 2.

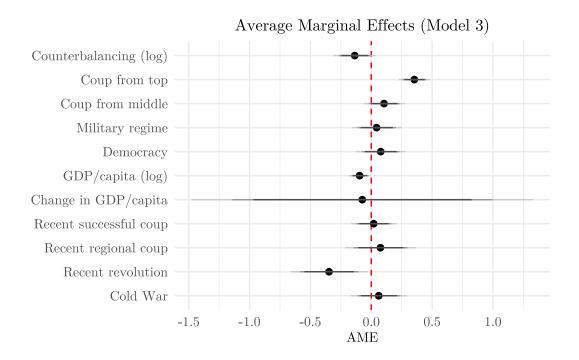
Table 3: Effect of Counterbalancing on Coup Outcomes

|                        |                       | Coup success          |                         |
|------------------------|-----------------------|-----------------------|-------------------------|
|                        | (1)                   | (2)                   | (3)                     |
| Counterbalancing (log) | $-0.632^{**}$ (0.301) | $-0.611^{**}$ (0.278) | $-0.772^*$ (0.418)      |
| Coup from top          | (0.00-)               | 2.031***<br>(0.361)   | 2.015***<br>(0.406)     |
| Coup from middle       |                       | $0.616^*$ $(0.329)$   | $0.598^*$ $(0.358)$     |
| Military regime        |                       | (0.929)               | 0.250 $(0.447)$         |
| Democracy              |                       |                       | 0.447 $0.443$ $(0.463)$ |
| GDP/capita (log)       |                       |                       | $-0.543^{***}$          |
| Change in GDP/capita   |                       |                       | (0.198) $-0.420$        |
| Recent successful coup |                       |                       | (3.090) $0.114$         |
| Recent regional coup   |                       |                       | (0.411) $0.430$         |
| Recent revolution      |                       |                       | $(0.636)$ $-1.974^{**}$ |
| Cold War               |                       |                       | (0.819) $0.350$         |
| Constant               | -0.008                | -0.842***<br>(0.262)  | (0.516) $2.316$         |
| N                      | (0.203) $196$         | (0.262) $196$         | (1.804) $179$           |
| Log Likelihood<br>AIC  | -129.723 $263.446$    | -112.968 $233.936$    | -94.178 $212.356$       |

<sup>\*</sup>p < .1; \*\*p < .05; \*\*\*p < .01

### 4.1 Marginal effects

The Average Marginal Effect (AME) gives the change in the predicted probability of a successful coup for a one-unit increase in the independent variable. The figure below plots the AME for all variables in Model 3, along with 90, 95, and 99% confidence intervals.



## Conclusion

## References

De Bruin, Erica. 2017. "Preventing Coups d'état: How Counterbalancing Works." *Journal of Conflict Resolution* 62 (7): 1433–58. https://doi.org/10.1177/0022002717692652.