CSCI 310 – Data Structures – Spring 2020 Sparse Matrix

Matrix Facts

An $m \times n$ materix A is a rectangular array of mn real numbers arranged in m horizontal rows and n vertical columns.¹

If A is an $m \times n$ matrix, the element at row i, column j, is denoted a_{ij} , for $1 \leq i \leq m$, $1 \leq j \leq n$.

If A and B are both $m \times n$ matrices, then the sum A + B is an $m \times n$ matrix C where $c_{ij} = a_{ij} + b_{ij}$, for $1 \le i \le m$, $1 \le j \le n$.

If A is an $m \times n$ matrix and r is a real number, then the scalar multiple of A by r, written as rA, is the $m \times n$ matrix C, where $c_{ij} = r$ a_{ij} , for $1 \le i \le m$, $1 \le j \le n$.

If A and B are $m \times n$ matrices, A + (-1)B is written as A - B and is called the difference between A and B.

If A is an $m \times n$ matrix, then the *transpose* of A, written as A^T , is the $n \times m$ matrix with $a_{ij}^T = a_{ji}$. In other words, A^T is obtained from A by interchanging the rows and columns of A.

An $n \times 1$ matrix is also called an n-vector.

If A and B are both n-vectors, the dot product, or inner product, $A \cdot B$, is the real number defined as

$$A \cdot B = a_{11}b_{11} + a_{21}b_{21} + \ldots + a_{n1}b_{n1} = \sum_{i=1}^{n} a_{i1}b_{i1}$$

If A is an $m \times n$ matrix and B is a $n \times p$ matrix, then the product of A and B, denoted AB is the $m \times p$ matrix C defined by

$$c_{ij} = a_{i1}b_{1j} + a_{i2}b_{2j} + \ldots + a_{in}b_{nj} = \sum_{k=1}^{n} a_{ik}b_{kj}$$
 for $1 \le i \le m, \ 1 \le j \le p$.

Two $m \times n$ matrices A and B are equal if, and only if, $a_{ij} = b_{ij}$, for $1 \le i \le m$, $1 \le j \le n$.

An $m \times n$ matrix is called a *square* matrix if m = n.

An $n \times n$ square matrix is call a diagonal matrix if $a_{ij} = 0$ for $i \neq j$. In other words, terms off the main diagonal are all zero.

A scalar matrix is a diagonal matrix whose diagonal elements are equal.

An *identity* matrix is a scalar matrix whose diagonal elements are all equal to one.

A matrix A is called *symmetric* if $A^T = A$.

A matrix A is called skew symmetric if $A^T = -A$.

 $^{^{1}}$ All definitions are from *Elementary Linear Algebra with Applications*, 9^{th} edition, by Bernard Kolman and David R. Hill.

Caution The Matrix Facts section above describes matrices in standard mathematical terms. Specifically, the row and column indices start at 1, rather than at 0.

Sparse Matrix Implementation

Introduction We will implement a SparseMatrix class and write a program that uses it. Large matrices that occur in practice, say in civil engineering applications, are usually quite sparse, (i.e., most of their entries are zeroes). Therefore storing just the non-zero entries provides a significant amount of savings in memory usage. More precisely, suppose we want to store an $m \times n$ matrix containing t zeroes. If t is very small as compared to $m \cdot n$, we could save a significant amount of space if the amount of space we used was O(t) rather than O(mn). The SparseMatrix class will take advantage of the sparsity of a matrix and use space that is proportional to the number of non-zeroes.

Representing the Matrix (Instance Variables) Here is a detailed description of how we will represent an $m \times n$ matrix A. The class maintains two instance variables, numRows and numCols, that contain the values m and n respectively. In addition, there are two jagged lists called indices and values. Both of these lists have m rows (the same number of rows as the matrix A). These lists are used as follows:

indices If row *i* of the matrix no non-zero values, then indices[i] is empty. But if row *i* of the matrix does contain non-zero values, then the length of indices[i] is equal to the number of non-zero values in *A*. The values stored in indices[i] are the column indices where those non-zero values are located.

values As mentioned above, this list has the same shape as the indices list. Once again, if row i of the matrix no non-zero values, then values[i] is empty. But if row i of the matrix does contain non-zero values, the length of values[i] is equal to the number of non-zero values in A. The values stored in values[i] are the non-zero values from the matrix.

Below is an example to help illustrate how this works. Suppose A is the 7×11 matrix filled with zeros except for the following:

$$\begin{array}{rcl}
 a_{02} & = & 7 \\
 a_{0\ 10} & = & 9 \\
 a_{33} & = & 6 \\
 a_{46} & = & 3 \\
 a_{49} & = & 8 \\
 a_{53} & = & 2 \\
 a_{65} & = & 4
 \end{array}$$

Matrix A is show below, with zeros replaced dashes to make it easier to read.

Then the list indices is

	0	1
0	2	10
1		
$\frac{2}{3}$		
3	3	
4	6	9
5	3	
6	5	

Then the list values is

0	1
7	9
6	
3	8
2	
4	
	6 3 2

Constructors The SparseMatrix class includes the following constructors:

- A default (no-argument) constructor that creates a 1×1 matrix with the single matrix element initialized to zero.
- A constructor that takes a single integer n and creates an $n \times n$ matrix initialized to all zeros.
- A constructor that takes two integers m and n and creates an $m \times n$ matrix initialized to all zeros.
- A constructor that takes a 1-dimensional array of integers and creates a corresponding vector.
- A constructor that takes a 2-dimensional rectangular array of integers and creates a corresponding matrix.
- A constructor that takes a SparseMatrix object x and makes an equivalent SparseMatrix representation of x.

Accessors The SparseMatrix class includes the following accessor methods:

- getMax that returns the maximum matrix element.
- getMin that returns the minimum matrix element.
- getM that returns the number of rows in the matrix.
- getN that returns the number of columns in the matrix.
- get that takes two integer parameters i and j and returns the matrix element at row i and column j.
- toString that returns a string with the dimensions of the matrix and the number of non-zero entries.
- toString1 that returns a formatted string showing the matrix in tabular form. The width of the table columns should all be the same and should be set according to the largest number of characters required to show any element in the matrix. Zeros should be shown as dashes. This method would normally not be called on very large matrices.

Mutators The SparseMatrix class includes the following mutator methods:

• set that takes three parameters, i, j, and value, and sets the matrix element at row i column j to value.

Predicate Methods The SparseMatrix class includes the following predicate methods:

- is Vector returns true if the matrix is a vector, returns false otherwise.
- isSquare returns true if the matrix is a square matrix, returns false otherwise.
- isDiagonal returns true if the matrix is a diagonal matrix, returns false otherwise.
- isScalar returns true if the matrix is a scalar matrix, returns false otherwise.
- isIdentity returns true if the matrix is an identity matrix, returns false otherwise.
- isSymmetric returns true if the matrix is symmetric, returns false otherwise.
- isSkewSymmetric returns true if the matrix is skew symmetric, returns false otherwise.

Boolean Operations Only one Boolean operation is required for the Matrix class:

• equals takes another matrix as a parameter and tests to see if the two matrices are equal.

The method call A.equals(B) returns true if A = B and returns false otherwise.

Matrix Operations The SparseMatrix class includes the following matrix operations:

- add Takes another matrix and performs matrix addition.
 The method call A.add(B) returns the matrix A + B.
- scalarMultiply Takes an integer r and performs scalar multiplication. The method call A.scalarMultiply(r) returns the matrix rA.
- difference Takes another matrix and performs the difference operation. The method call A.difference(B) returns the matrix A B.
- transpose Returns the transpose of the matrix.
- dotProduct Takes another matrix and performs the dot product operation. The method call A.dotProduct(B) returns the integer $A \cdot B$.
- multiply Takes another matrix and performs matrix multiplication. The method call A.multiply(B) returns the matrix AB.
- subMatrix Takes two integer parameters i and j and returns the sub-matrix with row i and column j removed.

Static Methods The SparseMatrix class includes one static method:

• identity Takes one integer parameter n and returns the $n \times n$ identity matrix.

Tester

We would want a tester program that thoroughly tests the SparseMatrix implementation.