

EL9343 Homework 4

(Due Oct 5th, 2021)

No late assignments accepted

All problem/exercise numbers are for the third edition of CLRS text book

1. For the following array:

$A = \langle 15, 22, 25, 17, 12, 19, 23, 16, 24, 14, 10, 26 \rangle$

- (a) Create a max heap using the algorithm BUILD-MAX-HEAP.

```
[26, 24, 25, 22, 14, 19, 23, 16, 17, 12, 10, 15]
```

- (b) Design an algorithm to create a min heap. (Pseudocode is required)

```
1  part1: 50%
2  #####
3  BUILD-MIN-HEAP(A)
4  n = length[A]
5  for i ← ⌊n/2⌋ downto 1
6  do MIN-HEAPIFY(A, i, n)
7
8  part2: 50%
9  #####
10 Min-Heapify(A, i)
11 {
12   l = Left(i); r = Right(i);
13   if (l ≤ heap_size(A) && A[l] < A[i])
14     smallest = l;
15   else
16     smallest = i;
17   if (r ≤ heap_size(A) && A[r] < A[smallest])
18     smallest = r;
19   if (smallest ≠ i)
20     Swap(A, i, smallest);
21   Min_Heapify(A, smallest);
22 }
```

- (c) Create a min heap using the algorithm you designed in 1(b)

```
[10, 12, 19, 16, 14, 25, 23, 17, 24, 15, 22, 26]
```

- (d) Remove the largest item from the max heap you created in 1(a), using the HEAP-EXTRACT-MAX function. Show the array after you have removed the largest item.

```
[25, 24, 23, 22, 14, 19, 15, 16, 17, 12, 10]
```

- (e) Using the algorithm MAX-HEAP-INSERT, insert 11 into the heap that resulted from question 1(d). Show the array after insertion.

```
[25, 24, 23, 22, 14, 19, 15, 16, 17, 12, 10, 11]
```

2. Design two different algorithms to merge k sorted arrays, and return it as a new array. The

new array should be made by splicing together the nodes of the k arrays. Additionally, the total number of elements of all arrays is kn . (Notice that the number of elements of each array is not necessary the same). One of your algorithms should run in $O(kn \log k)$ time. Please give the procedure of your algorithm and analyze the running time. (Description is enough, you do not need to provide any pseudocode)

For example:

Input: A: <1, 4, 7, 10>, B: <2, 5, 8, 11>, C: <3, 6, 9>

Output: <1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11>

```

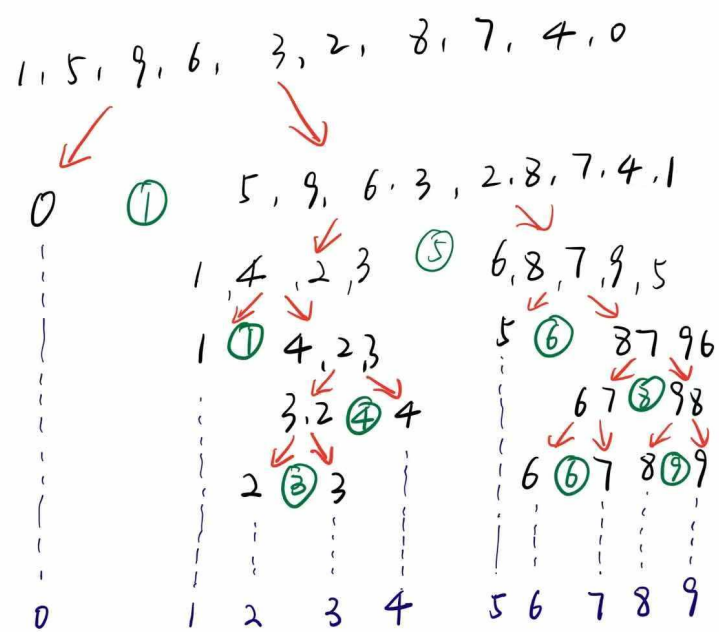
1  knlogk:
2  #####
3  Basically, we can initialize a min-heap and insert 1st element in all the arrays into the heap.
4  This will cost  $O(k)$  time. And we repeat following 2 operations until all arrays has been scanned to the last item:
5  i. Get minimum element from heap (using EXTRACT-MIN) and store it in output array.
6  ii. Replace heap root with the next element from the array where the element is extracted.
7      If the array does not have any more element (i.e. being scanned to the last item),
8      replace root with infinite. After replacing, call HEAPIFY(A, 1).
9
10 Because totally we have  $kn$  keys, the HEAPIFY takes  $O(\log k)$  time.
11 So, the total running time is  $O(k + kn \log k) = O(kn \log k)$ .
12 #####
13 The other algorithms can be implemented in these ways:
14 1. merge one by one
15 2. merge by pairs
16 3. put all together and sort
17 #####
18 To get full mark, just implement the algorithm with the running time of  $O(kn \log k)$  (80%)
19 and one any other algorithm. (20%)
20

```

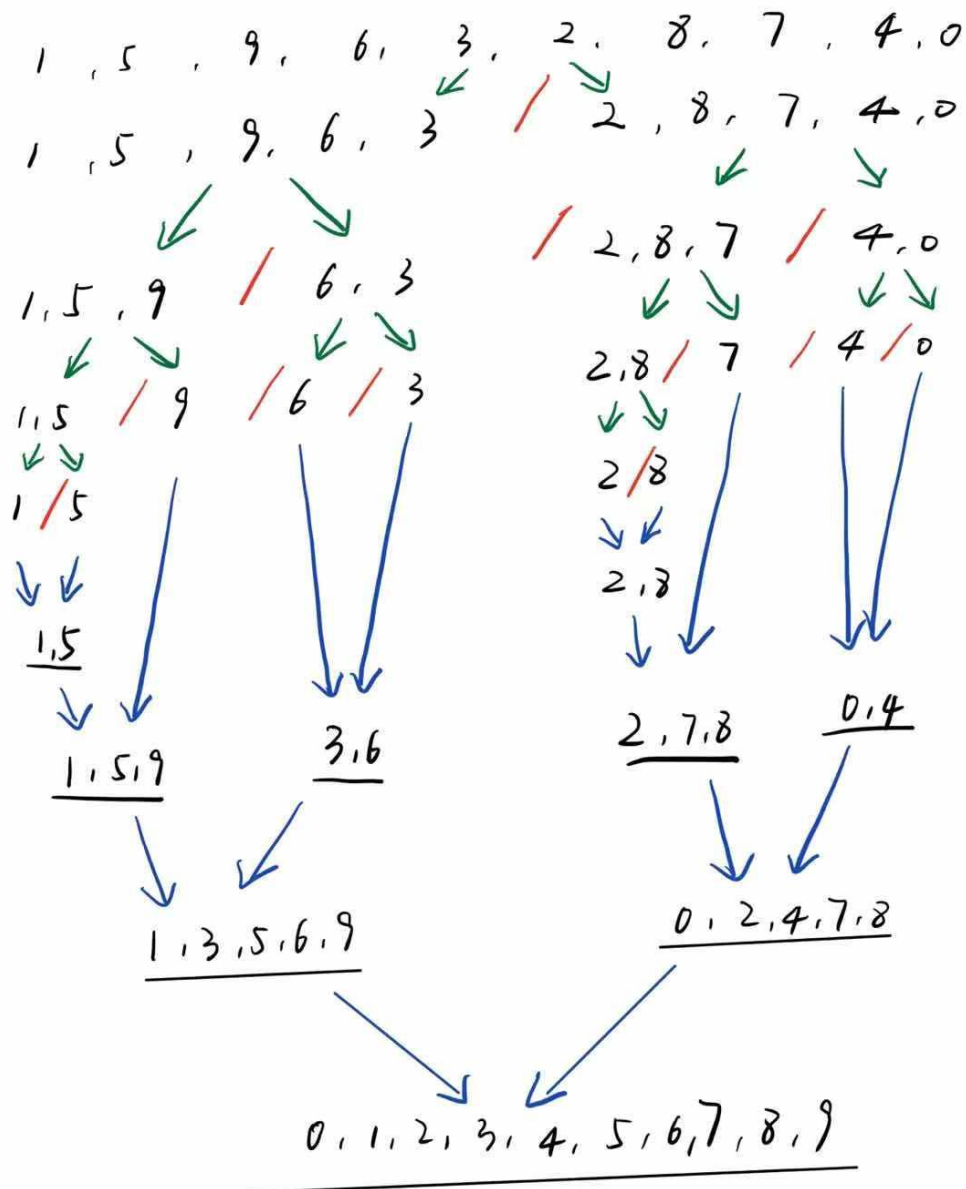
3. For the following array:

$A = \langle 1, 5, 9, 6, 3, 2, 8, 7, 4, 0 \rangle$

(a) Illustrate the operation of quick sort on array A



(b) Illustrate the operation of merge sort on array A



(c) Explain the advantage and disadvantage of sorting an array by quick sort compared to using merge sort.

```

1  QUICK SORT:
2  Worst case:  $O(n^2)$ 
3  Average case:  $O(n \log n)$ 
4  Storage: in place
5  Stability: Not Stable
6  MERGE SORT:
7  worst case:  $O(n \log n)$ 
8  Average case:  $O(n \log n)$ 
9  Storage:  $O(n)$ 
10 Stability: Stable

```

4. For an disordered array with n elements, design an algorithm for finding the median of this

array. Your algorithm should traverse the array only once.

Other reasonable solutions can also get full marks

Description is enough.

```
1  ALG: FIND_MEDIAN(A)
2  HEAPSIZE = SIZE(A) / 2 + 1
3  HEAP = BUILD_MIN_HEAP(A,0,HEAPSIZE) // BUILD
4  //BUILD_MIN_HEAP stops loading data to the tree when A[HEAPSIZE] is read
5  //Then do similiary thing as BUILD_MAX_HEAP in slides
6  for i <- HEAPSIZE + 1 TO SIZE(A)
7      do if A[i] > HEAP[1]
8          do HEAP[1] = A[i] //SWAP
9          MIN_HEAPIFY(HEAP, 1) //HEAPIFY
10 if SIZE(A) % 2 == 1
11     return HEAP[1]
12 else
13     return (HEAP_EXTRACT_MIN + HEAP[1]) / 2
```

```
14 #####
15 get full marked if the following parts are included:
16 1. BUILD HEAP, by half of the array and traverse the other half of the array(25%)
17 2. SWAP, when A[i] is smaller than the root value of the min heap(25%)
18 3. HEAPIFY, after SWAP(25%)
19 4. RETURN: the root value of the heap(25%)
20 (A similiary algorithm using max heap is also accpetable)
21 #####
22 Another way to solve this problem is build a min_heap and also a max_heap.
23 Get full marked if the following parts are included:
24 1. BUILD TWO HEAPS, one is a min_heap, the other is a max_heap, traverse the array(25%)
25 3. add A[i] to max_heap if A[i] <= min_heap's root value (12.5%)
26 4. add A[i] to min_heap if A[i] > min_heap's root value (12.5%)
27 5. Extract the root value of the max_heap and add it to the min_heap if len(max_heap) > len(min_heap) (12.5%)
28 6. Extract the root value of the min_heap and add it to the max_heap if len(min_heap) > len(max_heap) + 1 (12.5%)
29 7. return the root value of min_heap if len(A) is odd (12.5%)
30 8. return (max_heap[0], min_heap[0]) / 2 if len(A) is even(12.5%)
31 #####
32 Algorithms implemented by quick select or sort can get 80%
33
```