ECE650 lecture 1 Notes

Concurrency and Synchronization

Part1 Concurrency/Multiprogramming/Multiprocessing

Q1. Multiprogramming VS uniprogramming?

Ans: Multiprogramming: More than one "unit of execution" at a time, Supported by most all current operating systems.

Uniprogramming: no concurrency, A characteristic of early operating systems, e.g., MS-DOS.

Q2. The important things related to a process?

- 1. A process contains many threads. A process is a unit of allocation.
- 2. Different processes have different memory addresses
- 3. The important components of a process are: execution context(program counter, stack pointer, registers), code, data, stack, separate memory views by virtual memory abstraction.
- 4. Each process created or managed by the operating system, process can create child processes.
- 5. Each process contains process ID number, process state, execution context(pc, sp,register), memory management, files, IO info.
- 6. The process is created via the system calls with os, fork(): make exact copy the current process and run. Return value of fork() differs between child and parent, child-> 0, parent-> child's PID. exec(): can follow fork() to run a different program, Exec takes filename for program binary from disk, Loads new program into the current process's memory.
- 7. A process may also create & start execution of threads, clone(), pthread create(), etc.

Q3. The important things related to a thread?

- 1. A thread is a part of one process. A thread is a unit of execution.
- 2. If different threads belong to same process, they share same memory address, data(variables, array locations, objects).
- 3. Each thread has unique execution context(program counter, stack pointer, registers).
- 4. Threads can execute in one of the following ways:
 - 4.1 Multiple threads time-slice on 1 CPU with 1 hardware (HW) thread
 - 4.2 Multiple threads at the same time on 1 CPU with n HW threads
 - 4.2.2 Could be 1 CPU having many cores
 - 4.2.3 Or 1 CPU having 1 core that supports simultaneous multi-threading, Requires some duplicate HW and advanced scheduling of resources
 - 4.3 Multiple threads at the same time on m CPUs with n HW threads
- 5. Threads are usually created to cooperate (benefiting from a shared virtual memory of their process) to perform a task
- 6. Threads usually communicate with each other through reading from or writing to shared memory address or variables.

Part 2 Handling Race Conditions

O1. What is a race condition?

"The condition where the system's substantive behavior is dependent on the sequence ortiming of other uncontrollable events. It becomes a bug when one or more of the possible behaviors is undesirable."

Q2. Why Race condition may not good?

Depends on execution timing, Non-deterministic result, Testing doesn't always reveal the problem.

O3. How to fix race condition?

- 1. Do not add sleep() function call!
- 2. Use mutual exclusion(one thread at a time in critical section(a set of operations we want to execute atomically).
- 3. Mutual exclusion provided by lock operations.
- 4. This isn't only an issue on machines with >1 HW thread

Part 3. Synchronization

Q1. What is Global Event Synchronization?

- 1. When using multiple threads, we often need barriers to synchronize their execution
- 2. Barriers may be use when one threads need to wait until all other threads to finish the execution task to start its own work.
- 3. use barrier synchronization only when needed and design to try to avoid using it

Q2. What is Point to Point Event Synchronization?

- 1. A thread notifies another thread so it can proceed
- 2. "producer-consumer" behavior
- 3. Shared memory parallel programs use semaphores, monitors, or flag variables to control synchronization and access

Q3. Lower level understanding of synchronization.

- 1. The assembly-version implementation of synchronization are not atomic, allows several threads to enter the critical section simultaneously.
- 2. We may use software-only solutions, Petersons algorithm, mutual exclusion for 2 threads.
- 3. In Petersons algorithm, Exit from lock() happens only if: the other process has not competed for the lock or the other process is competing, has set the turn to itself, and will be blocked in the while() loop.
- 4. Software-only solutions may be tricky to implement and different solutions for different memory consistency models need to be considered.

- 5. atomic operations that we can use for atomically locking/unlocking may help.
 - 5.1 Part of different processors' ISA/design
 - 5.2 Can execute in one cycle
 - 5.3 Test-and-set, compare-and-swap, fetch-and-increment
 - 5.4 Provide atomic processing for a set of steps, such as Read a location, capture its value, write a new value

Part 4. Multi-threaded Programming

- Q1. How can we create multiple threads within a program?
 - 1. C: pthreads, C++: std::thread or boost::thread
- Q2. What will the threads execute?
 - 1. Typically spawned to execute a specific function
- Q3. What is shared vs. private per thread?
 - 1. Shared: Recall address space
 - 2. Private: Thread-local storage.
- Q4. How to use POSIX pthreads?
 - 1. #include<pthread.h> to your C source code
 - 2. gcc -o p_test p_test.c -lpthread
- Q5. What can pthread do?
 - 1. Create threads, Wait for threads to complete, Destroy threads, Synchronize across threads, Protect critical sections.
- Q6. How to create pthread?
 - int pthread_create(pthread_t* thread, pthread_attr_t* attr, void *(*start_routine)(void *), void* arg);
 - 1.1 pthread_t *thread_name thread object (contains thread ID)
 - 1.2 pthread_attr_t *attr attributes to apply to this thread
 - 1.3 void *(*start_routine)(void *) pointer to function to execute
 - 1.4 void *arg arguments to pass to above function
- Q7. How to destroy a pthread?
 - pthread_join(pthread_t thread, void** value_ptr)
 - 1.1 Suspends the calling thread
 - 1.2 Waits for successful termination of the specified thread
 - 1.3 value_ptr is optional data passed from terminating thread's exit
 - pthread_exit(void *value_ptr)
 - 2.1 Terminates a thread

2.2 Provides value_ptr to any pending pthread_join() call

Q8. The use of pthread mutex?

- 1. 2 ways to initialize a mutex
 - 1.1 int pthread_mutex_init(pthread_mutex_t* mutex, const
 pthread mutexattr t* mutex attr);
 - 1.2 pthread_mutex_t lock = PTHREAD_MUTEX_INITIALIZER;
 - 1.2.1 Initialized with default pthread mutex attributes
- 2. Operate on the lock?
 - 2.1 int pthread_mutex_lock(pthread_mutex_t* mutex);
 - 2.2 int pthread mutex trylock(pthread mutex t* mutex);
 - 2.3 int pthread_mutex_unlock(pthread_mutex_t* mutex);

Q9. Read/write locks?

- 1. Declaration
 - 1.1 pthread_rwlock_t x = PTHREAD_RWLOCK_INITIALIZER;
- 2. Operations
 - 2.1 Acquire Read Lock: pthread rwlock rdlock(&x);
 - 2.2 Acquire Write Lock: pthread_rwlock_wrlock(&x);
 - 2.3 Unlock Read/Write Lock: pthread_rwlock_unlock(&x);
 - 2.4 Destroy Lock: pthread_rwlock_destroy(&x)

Q10. Read/Write lock behavior?

- 1. Lock has 3 states: unlocked, read-locked, write-locked
- pthread_rwlock_rdlock(&x)
 - 2.1 If state = unlocked: thread proceeds & state becomes read-locked
 - 2.2 If state = read-locked: thread proceeds & state remains read-locked
 - 2.2.1 Internally, a counter increments to track # of readers
 - 2.3 If state = write-locked: thread blocks until state becomes unlocked
 - 2.3.1 Then state becomes read locked
- 3. pthread_rwlock_wrlock(&x)
 - 3.1 If state = unlocked: thread proceeds & state becomes write-locked
 - 3.2 If state = read-locked or state = write-locked
 - 3.2.1 Thread blocks until state becomes unlocked
 - 3.2.2 Then state becomes write-locked

O11. Pthread barrier?

- int pthread_barrier_init(pthread_barrier_t* barrier, const pthread_barrierattr_t* barrier_attr, unsigned int count);
- pthread_barrier_t barrier = PTHREAD_BARRIER_INITIALIZER(count);
- int pthread_barrier_wait(pthread_barrier_t* barrier);
- Q12. C++ thread?

1. C++11, Support for similar features as pthreads

Q13. Thread local storage?

- 1. Mechanism to allocate variables such that there is 1 per thread
- 2. Can be applied to variable declarations that would normally be shared
- 3. Indicated with the __thread keyword:

Q14. C++ synchronization?

- 1. #include<mutex>
- 2. Barriers: use boost::barrier