

CS 6041

Theory of Computation

Complexity

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Outline

- $O()$ and $o()$
- Analyzing algorithm
- Time complexity
- Class P
- Class NP
- P vs. NP, NP-hard, NP-completeness



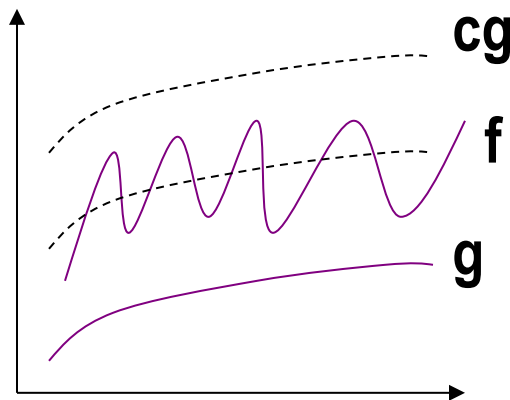
$O()$: Big-O notation

Suppose f and g are functions, $f, g: \mathbb{N} \rightarrow \mathbb{R}^+$.

If $\exists c, n_0, \forall n \geq n_0, f(n) \leq cg(n)$

then $f(n) = O(g(n))$

we say g is an *upper bound* for $f()$.



Question

Let $f(n)=5n^3+2n^2+22n+6$, then

$f(n)=O(n^3)$?

True



Question

Let $f(n)=5n^3+2n^2+22n+6$, then

$$f(n)=O(n^4)?$$

True



Question

Let $f(n)=5n^3+2n^2+22n+6$, then

$f(n)=O(n^2)$?

False



Question

Let $f(n) = 3n \log_2 n + 5n \log_2(\log_2 n) + 2$, then

$f(n) = O(n \log n)$?

True



$o()$: small-O notation

- $f(n) = o(g(n))$ if $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$
- $\sqrt{n} = o(n)$?

True

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{\sqrt{n}}{n} = \lim_{n \rightarrow \infty} \frac{1}{\sqrt{n}} = 0$$



Question

- $n = o(n \log_2^{\log_2^n})$?

True

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{n}{n \log_2^{\log_2^n}} = \lim_{n \rightarrow \infty} \frac{1}{\log_2^{\log_2^n}} = 0$$

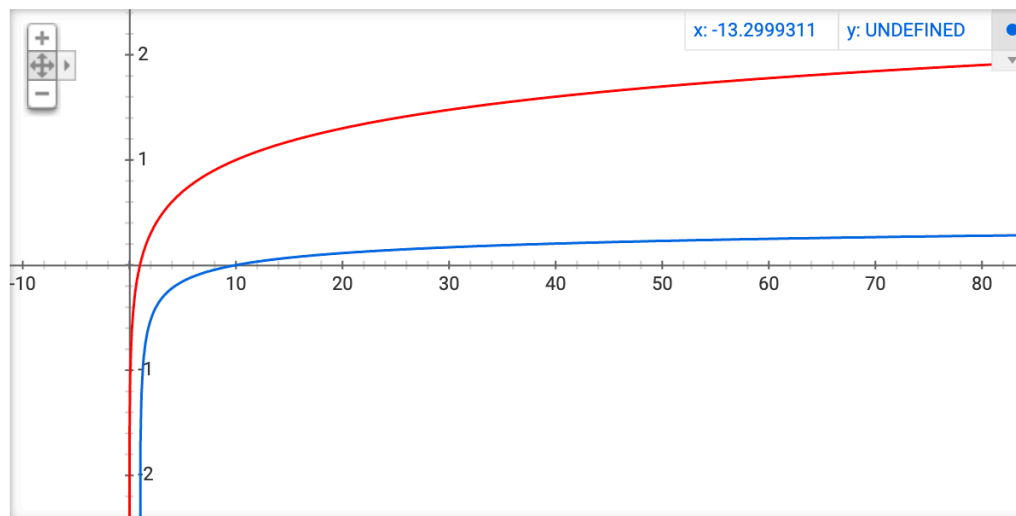


Question

- $n \log_2^{\log_2^n} = o(n \log_2^n)$?

True $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{n \log_2^{\log_2^n}}{n \log_2^n} = \lim_{n \rightarrow \infty} \frac{\log_2^{\log_2^n}}{\log_2^n} = 0$

Graph for $\log(\log(x))$, $\log(x)$

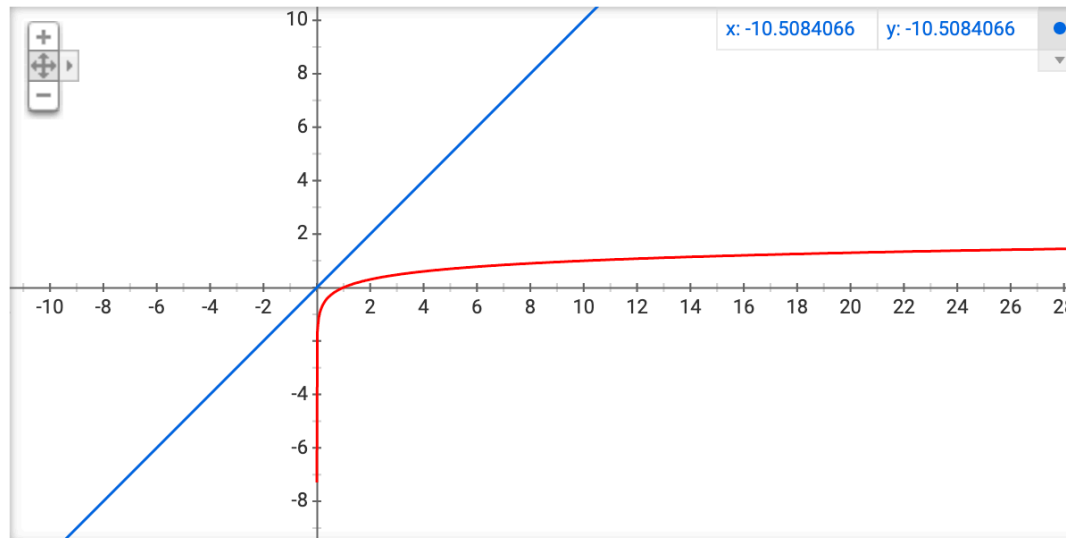


Question

- $n \log n = o(n^2)$?

True $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{n \log_2^n}{n^2} = \lim_{n \rightarrow \infty} \frac{\log_2^n}{n} = 0$

Graph for $x, \log(x)$



Question

- $n^2 = o(n^3)$?

True

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{n^2}{n^3} = \lim_{n \rightarrow \infty} \frac{1}{n} = 0$$



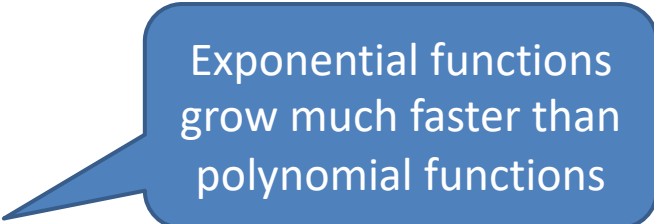
Polynomial bounds vs. Exponential bounds

Polynomial bounds: $n^{O(1)}$

$n^{0.1}, n^{0.99}, n, n^{1.1}, n^2, n^{2.57}, n^3, n^{10},$
 n^{100}, \dots

Exponential bounds: $2^{n^{O(1)}}$

$2^{n^{0.1}}, 2^{n^{0.5}}, 2^{n^2}, 2^{n^{10}}, 2^{n^{100}}$



Exponential functions
grow much faster than
polynomial functions

Worst case vs. Average case

- Worst case complexity

$\text{time}_M(n)$ = Maximum execution steps on TM M for input which length is n

- Average case complexity

$\text{time}_M(n)$ = Average execution steps on TM M for input which length is n

- Here we only discuss the worst case



Analyzing algorithms: $A = \{ 0^k 1^k \mid k \geq 0 \}$

- Single tape DTM M_1 : $O(n^2)$
- Single tape DTM M_2 : $O(n \log n)$
- Double tape DTM M_3 : $O(n)$



Analyzing algorithms: $A = \{ 0^k 1^k \mid k \geq 0 \}$

M_1 = "for input w :

- 1) scan the tape, if there exists 0 on the right of 1, reject;
- 2) repeat as long as some 0s and some 1s remain on the tape:
 - 3) scan across the tape and cross off one 0 and one 1;
 - 4) if there exist 0s after all 1s are crossed off, reject; if there exist 1s after all 0s are crossed off, reject; if there are no 0s and 1s on tape, accept. "

$O(n)$

$(n/2)O(n)$

Time: $O(n^2)$

$O(n)$



Analyzing algorithms: $A = \{ 0^k 1^k \mid k \geq 0 \}$

M_2 = "for input w :

- 1) scan the tape, if there exists 0 on the right of 1, reject;
- 2) repeat as long as some 0s and some 1s remain on the tape:
 - 3) Scan across the tape, checking whether the total number of 0s and 1s remaining is even or odd. If it is odd, reject;
 - 4) Scan again across the tape, crossing off every other 0 starting with the first 0, and then crossing off every other 1 starting with the first 1.
- 5) If no 0s and no 1s remain on the tape, accept. Otherwise, reject . "

$O(n)$

$(1 + \log_2 n) O(n)$

$O(n)$

Time: $O(n \log n)$



Analyzing algorithms: $A = \{ 0^k 1^k \mid k \geq 0 \}$

M_3 = "for input w :

1) Scan across tape 1 and reject if a 0 is found to the right of a 1.

$O(n)$

2) Scan across the 0s on tape 1 until the first 1. At the same time, copy the 0s onto tape 2.

$O(n)$

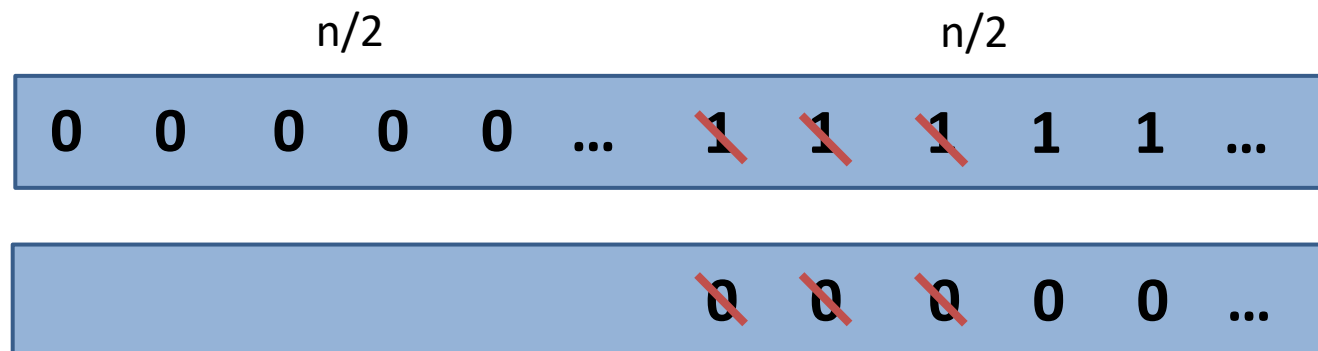
3) Scan across the 1s on tape 1 until the end of the input. For each 1 read on tape 1, cross off a 0 on tape 2. If all 0s are crossed off before all the 1s are read, reject .

$O(n)$

4. If all the 0s have now been crossed off, accept . If any 0s remain, reject ."

$O(n)$

Time: $O(n)$




Analyzing algorithms: $A = \{ 0^k 1^k \mid k \geq 0 \}$

- Single tape DTM M_1 : $O(n^2)$
- Single tape DTM M_2 : $O(n \log n)$
- Double tape DTM M_3 : $O(n)$

- M_2 to M_1 : a better algorithm
- M_3 to M_1/M_2 : exchange more space for less time



Time complexity class

- $\text{TIME}(t(n)) = \{ L \mid \text{language } L \text{ is decidable by an } O(t(n)) \text{ time Turing machine.} \}$
 - $A = \{ 0^k 1^k \mid k \geq 0 \}$
 - $A \in \text{TIME}(n \log n)$
 - $A \in \text{TIME}(n^2)$
- Single tape DTM M_2
Single tape DTM M_1

Complexity relationships among models

- The choice of computational model can affect the time complexity of languages
- Single-tape TM vs. multitape TM
 - square relationship (n^2 vs n)
- Deterministic TM vs. nondeterministic TM
 - exponential relationship (a^n vs n)



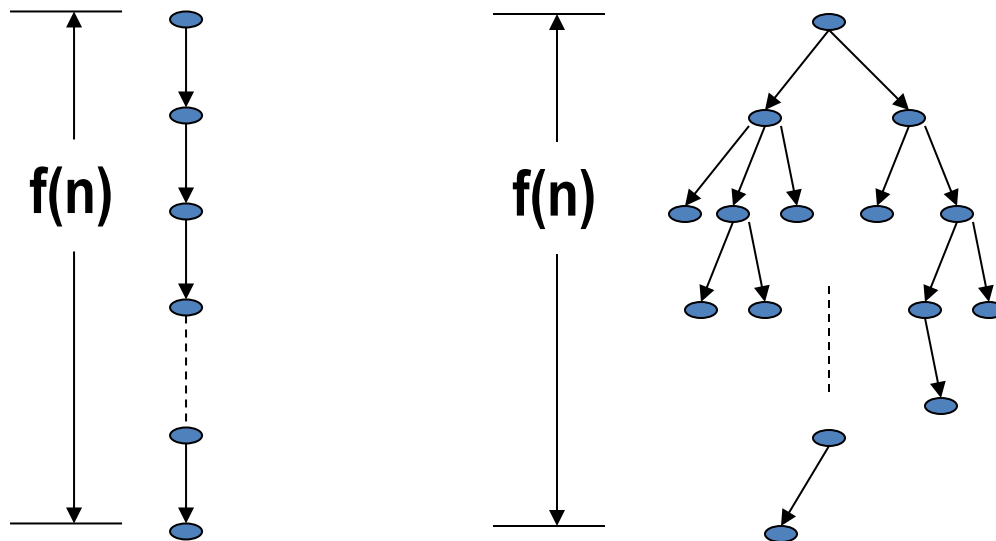
Complexity relationships among models

- Single-tape TM vs. multitape TM
 - Let $t(n)$ be a function, where $t(n) \geq n$. Then every $t(n)$ time multitape TM has an equivalent $O(t^2(n))$ time single-tape Turing machine.
 - Proof idea: simulating each step of the multitape machine uses at most $O(t(n))$ steps on the single-tape machine. Hence the total time used is $O(t^2(n))$ steps.



Complexity relationships among models

- Let N be a nondeterministic. The running time of N is the function $f: \mathbb{N} \rightarrow \mathbb{N}$,
where $f(n)$ is the maximum number of steps that N uses on any branch of its computation on any input of length n .



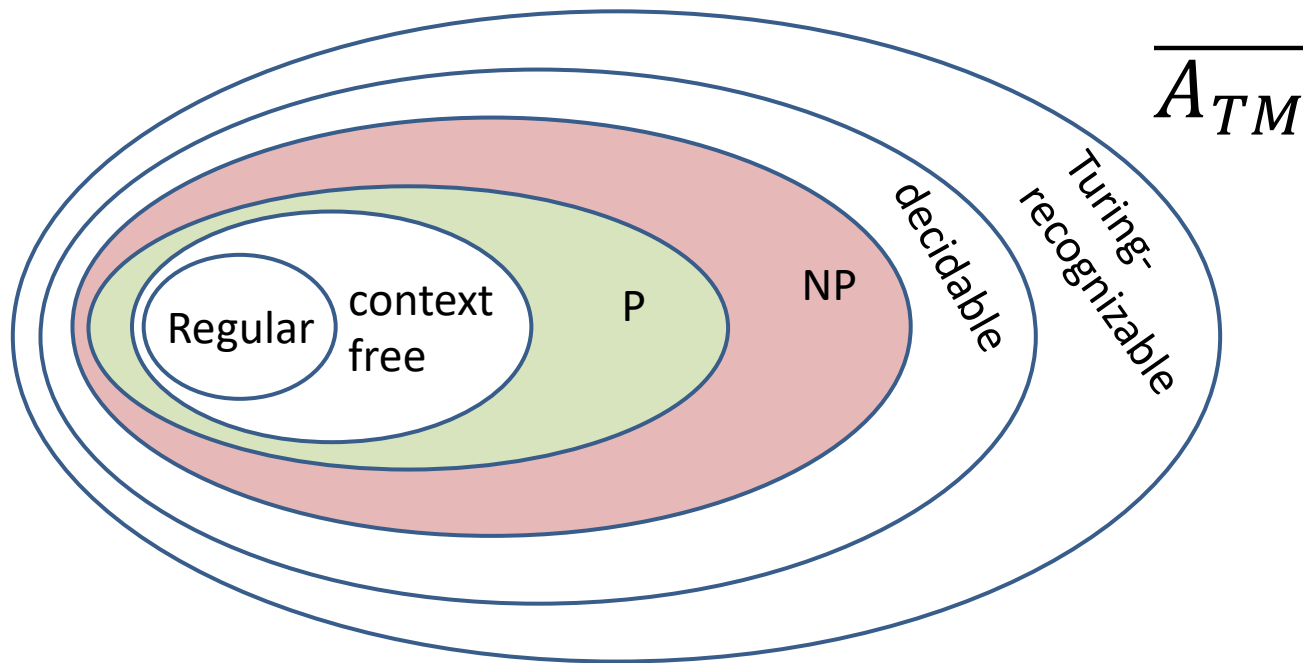
Complexity relationships among models

- Deterministic TM vs. nondeterministic TM
 - Let $t(n)$ be a function, where $t(n) \geq n$. Then every $t(n)$ time nondeterministic single-tape TM has an equivalent $2^{O(t(n))}$ time deterministic single-tape TM.
 - Proof idea: the total number of nodes in the tree is less than twice the maximum number of leaves $O(2^{t(n)})$. The time it takes to start from the root and travel down to a node is $O(t(n))$. Therefore, the running time of D is $O(t(n)2^{t(n)}) = 2^{O(t(n))}$.



Time complexity class

- $\text{TIME}(t(n)) = \{ L \mid \text{language } L \text{ is decidable by an } O(t(n)) \text{ time Turing machine.} \}$



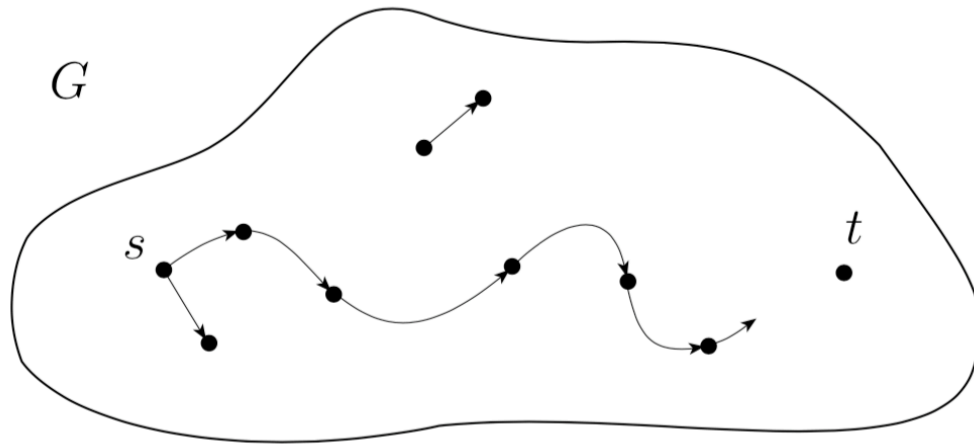
The class P

- $P = \bigcup_k \text{TIME}(n^k) = \{ L \mid L \text{ are languages that are } \underline{\text{decidable in polynomial time on a single-tape DTM}} \}.$
 - P is invariant for all models of computation that are polynomially equivalent to the single-tape DTM
 - P roughly corresponds to the class of problems that are realistically solvable on a computer, e.g., $O(n)$, $O(n^2)$, $O(n^3)$.



The example of class P: the Path problem

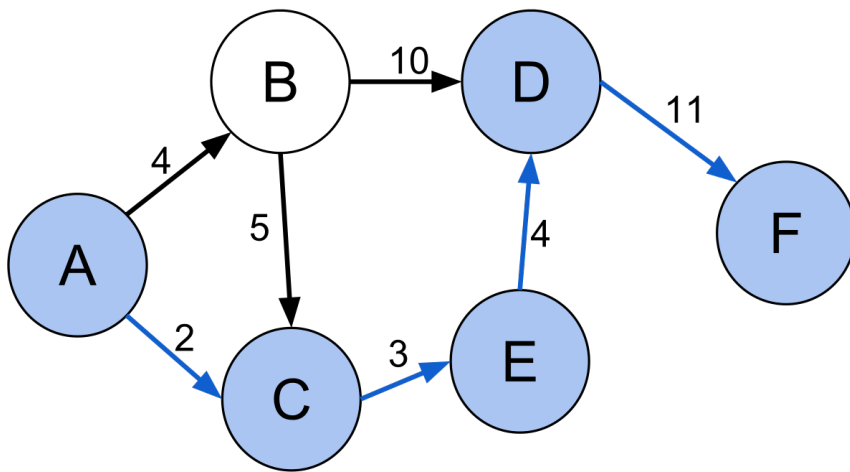
- Is there a path from s to t ?



- $PATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph that has a directed path from } s \text{ to } t\}.$

The example of class P: the Path problem

- Is there a path from s to t ?
- $PATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph that has a directed path from } s \text{ to } t\}$.



$\langle A, F \rangle?$ Yes

$\langle D, C \rangle?$ No



The example of class P: the Path problem

- Theorem: $\text{PATH} \in P$
- Analysis:
 - Input: number of vertex: n
 - Brute-force search such potential paths: $O(n^n)$
 - Breadth-first search: $O(n)$



The example of class P: relatively prime

- Two numbers are *relatively prime* if 1 is the largest integer that evenly divides them both
 - 10 and 21 are relatively prime.
- *RELPRIME* is the problem of testing whether two numbers are relatively prime
 - $RELPRIME = \{\langle x, y \rangle \mid x \text{ and } y \text{ are relatively prime}\}.$



The example of class P: relatively prime

- Theorem: $\text{RELPRIME} \in P$

- Analysis:

- Input: length of number in binary: n
- Brute-force: $O(2^n)$
- Euclidean algorithm (greatest common divisor): $O(n^k)$

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n



The example of class P: relatively prime

- Euclidean algorithm (greatest common divisor): $O(n^2)$

<https://www.youtube.com/watch?v=JUzYl1TYMcU>

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$E =$ “On input $\langle x, y \rangle$, where x and y are natural numbers in binary:

1. Repeat until $y = 0$:
2. Assign $x \leftarrow x \bmod y$.
3. Exchange x and y .
4. Output x .”

every execution of stage 2 cuts the value of x by at least half

$\log_2(n)$

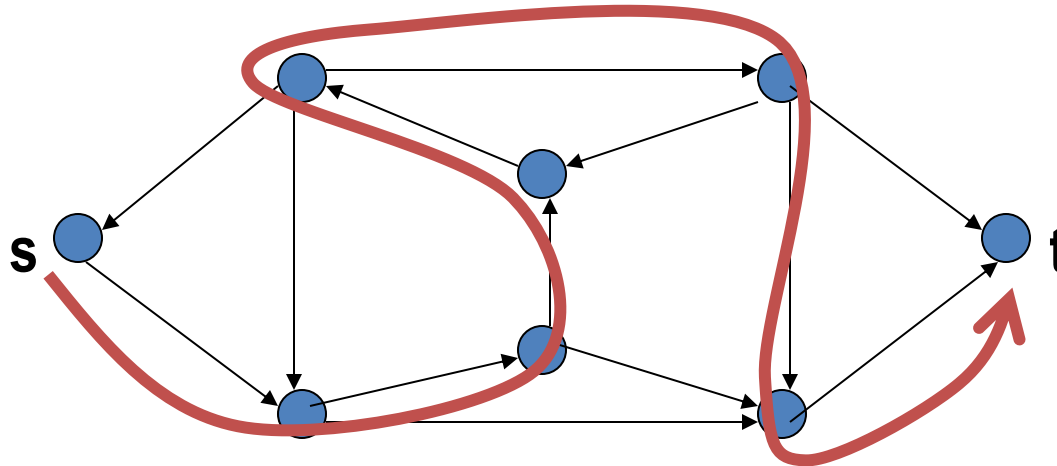
The class NP

- $P = \bigcup_k \text{TIME}(n^k) = \{ L \mid L \text{ are languages that are decidable in polynomial time on a single-tape DTM} \}.$
- $NP = \{ \text{We do not know languages } L \text{ are decidable or not in polynomial time on a single-tape DTM} \}.$



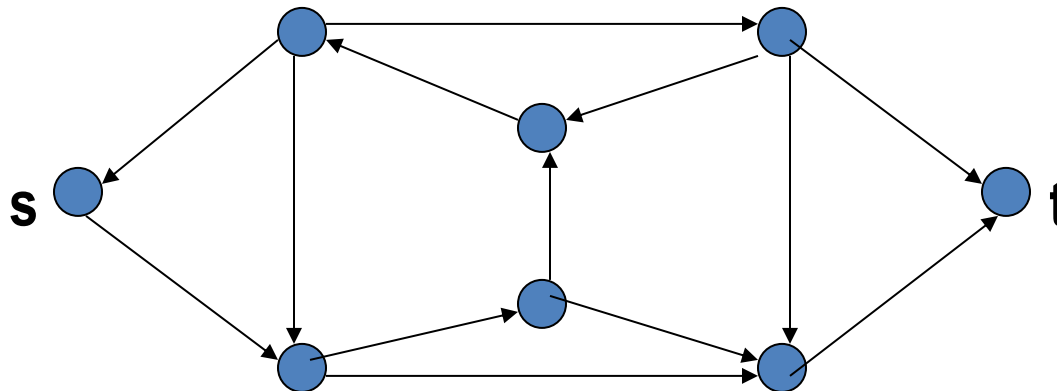
The example of class NP: Hamiltonian path

- Hamiltonian path: a directed path that goes through each node exactly once
- $HAMPATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t\}$.



The example of class NP: Hamiltonian path

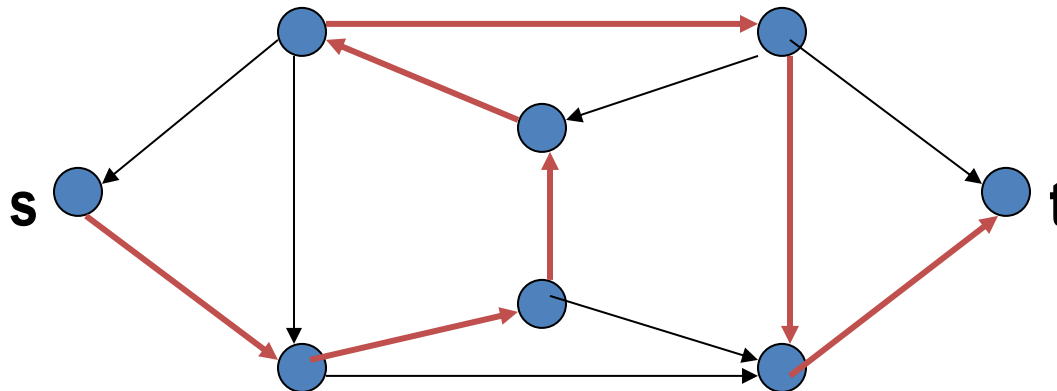
- $HAMPATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t\}$.



No one knows whether HAMPATH is solvable in polynomial time.

Polynomial verifiability of Hamiltonian path

- $HAMPATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t\}$.



We can *verify* one $HAMPATH$ in polynomial time.

Verifying something is much easier than *determining* something.

The class NP

- $P = \bigcup_k \text{TIME}(n^k) = \{ L \mid L \text{ are languages that are decidable in polynomial time on a single-tape DTM} \}$.
- $NP = \{ \text{We do not know languages } L \text{ are decidable or not in polynomial time on a single-tape DTM} \}$.
- NP is the class of languages that have polynomial time verifiers



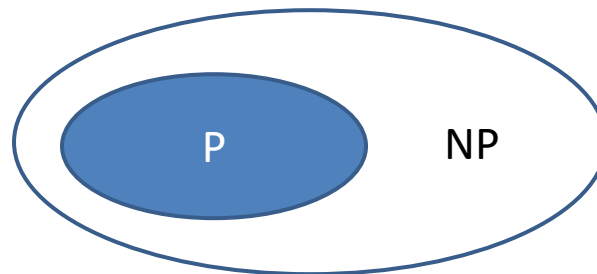
NTIME()

- $\text{NTIME}(t(n)) = \{ L \mid L \text{ is a language decided by an } O(t(n)) \text{ time nondeterministic TM} \}$
- $\text{NP} = \bigcup_k \text{NTIME}(n^k)$
- A language is in NP iff it is decided by some nondeterministic polynomial time TM.



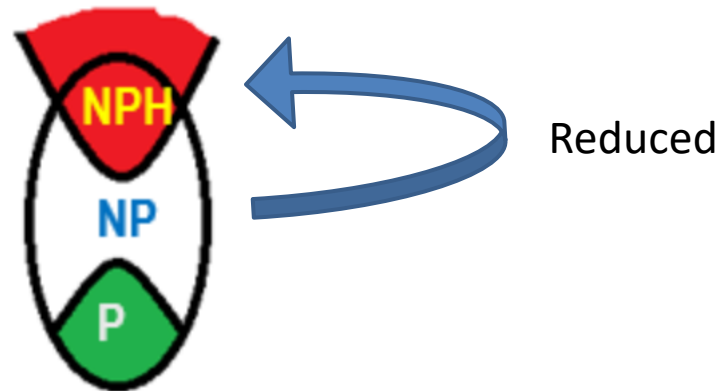
P vs. NP

- P = the class of languages for which membership can be **decided quickly**
- NP = the class of languages for which membership can be **verified quickly**
- The question of whether $P = NP$ is one of the greatest unsolved problems in computer science and mathematics



NP-hard

- A language B is **NP-hard** if:
 1. every A in NP is polynomial time reducible to B.



NP-completeness

- A language B is ***NP-complete*** if it satisfies two conditions:
 1. B is in NP, and
 2. every A in NP is polynomial time reducible to B.



Conclusion

- $O()$ and $o()$
- Analyzing algorithm
- Time complexity
- Class P
- Class NP
- P vs. NP, NP-hard, NP-completeness

