## **Parallel and Distributed Computing**

#### Lock

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https://kevinsuo.github.io/

https://github.com/kevinsuo/CS3502/blob/master/race condition.c

- A race condition occurs when two or more threads access shared data and they try to change it at the same time.
- The order in which the threads attempt to access the shared data makes the results unpredictable

```
nclude <stdlib.h>
#include <pthread.h>
                                                      Race condition occurs for
int counter = 0;
                                                            variable counter
void *compute()
      int i = 0:
      while (i < 100) {
             counter = counter + 1;
                                                          pi@raspberrypi ~/Downloads> ./race_condition.o
                                                          Counter value: 100
      printf("Counter value: %d\n", counter);
                                                          Counter value: 200
int main()
                                                                                    Seem nothing wrong?
      pthread_t thread1, thread2;
      pthread_create(&thread1, NULL, compute, (void *)&thread1);
      pthread_create(&thread2, NULL, compute, (void *)&thread2);
      pthread_exit(NULL);
      exit(0):
                                                                               Parallel and Distributed Computing
                                                        ate University
```

## Race condition example

```
#include <stdio.h>
#include <stdlib.h>
#include <pthread.h>
int counter = 0;
                         Increase the loop number
void *compute()
       int i = 0;
       while (i < 10000) {
               counter = counter + 1;
               i++;
       printf("Counter value: %d\n", counter);
                               Add more threads
int main()
       pthread_t thread1, thread2, thread3, thread4, thread5;
       pthread_create(&thread1, NULL, compute, (void *)&thread1);
       pthread_create(&thread2, NULL, compute, (void *)&thread2);
       pthread_create(&thread3, NULL, compute, (void *)&thread3);
       pthread_create(&thread4, NULL, compute, (void *)&thread4);
       pthread_create(&thread5, NULL, compute, (void *)&thread5);
       pthread_exit(NULL);
       exit(0);
```

```
0 10k
20k
30k
40k
50k
```

```
pi@raspberrypi ~/Downloads> ./race_condition.o
Counter value: 14467
Counter value: 10410
Counter value: 12080
Counter value: 22745
Counter value: 32725
```

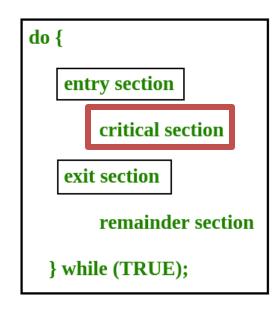
Weird results!

#### **Critical section**

 A section of code in a concurrent task that modifies or accesses a resource shared with another task.

#### Examples

- A piece of code that reads from or writes to a shared memory region
- Or a code that modifies or traverses a shared linked list.



## **Critical section example**

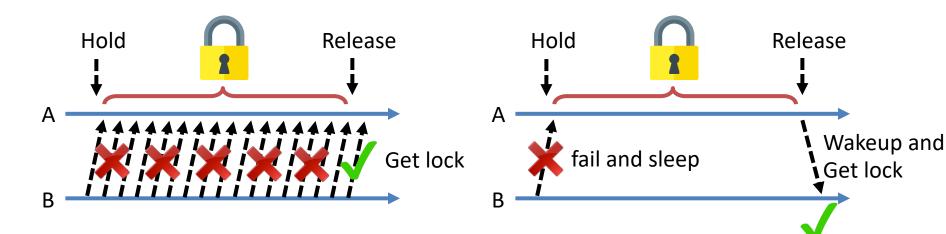
```
include <stdio.h>
#include <stdlib.h>
#include <pthread.h>
                                                     Critical section: All threads read and
int counter = 0;
                                                           write the shared counter
void *compute()
        while (i < 100) {
               counter = counter + 1;
                i++:
        printf("Counter value: %d\n", counter);
int main()
       pthread_t thread1, thread2;
        pthread_create(&thread1, NULL, compute, (void *)&thread1);
        pthread_create(&thread2, NULL, compute, (void *)&thread2);
        pthread_exit(NULL);
        exit(0);
```

#### Critical section vs. Race condition

```
include <stdio.h>
#include <stdlib.h>
#include <pthread.h>
                                                   Critical section is where the
int counter = 0;
                                                     race condition happens.
void *compute()
       while (i < 100) {
               counter = counter + 1;
               i++:
                                                        When multiple threads visit
       printf("Counter value: %d\n", counter);
                                                          the critical section, race
                                                        condition problem appears!
int main()
       pthread_t thread1, thread2;
       pthread_create(&thread1, NULL, compute, (void *)&thread1);
       pthread_create(&thread2, NULL, compute, (void *)&thread2);
       pthread_exit(NULL);
       exit(0);
```

## Lock (mutual exclusion)

- A lock (mutual exclusion) is a synchronization mechanism for enforcing limits on access to a resource in an environment where there are many threads of execution
- Types of mutual mechanism:
  - Busy-waiting, e.g., spinlock
  - Sleep and wakeup

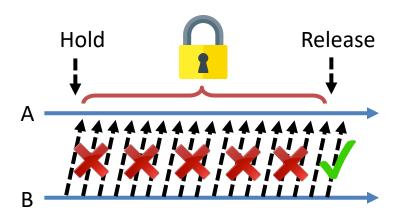


#### 1, Spinlock: A busy-waiting lock implementation

- Don't block. Instead, constantly poll the lock for availability.
- Usage: small critical region
- Advantage
  - Very efficient with short critical sections
    - if you expect a lock to be released quickly
- Disadvantage
  - Doesn't yield the CPU and burns CPU cycles
    - Bad if critical sections are long.
  - Efficient only if machine has multiple CPUs.
    - Counterproductive on uniprocessor machines

```
while (lock is unavailable)
    continue; // try again
return success;
```

```
SpinLock(resource);
Execute Critical Section;
SpinUnlock(resource);
```

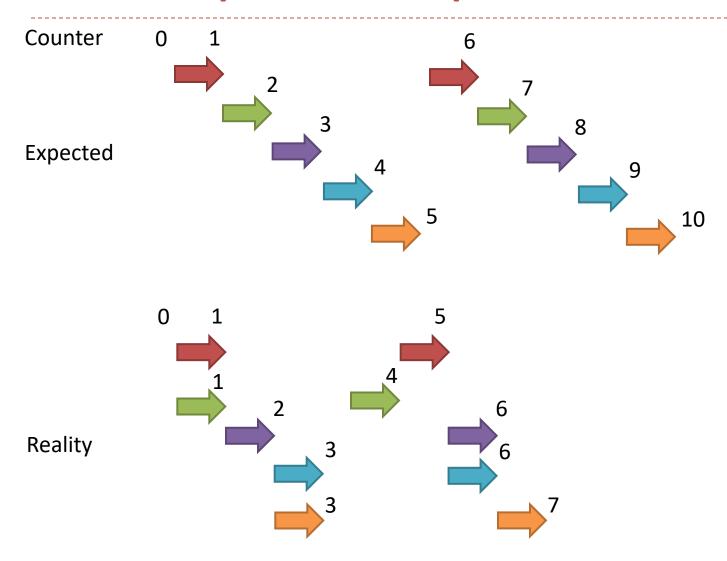


#### Without Spinlock example

```
#include <stdio.h>
int counter = 0;
static pthread_spinlock_t splock;
void *compute()
    while (i < 10000) {
        i++;
    printf("Counter value: %d\n", counter);
int main()
    pthread_t thread1, thread2, thread3, thread4, thread5;
    pthread_create(&thread1, NULL, compute, (void *)&thread1);
    pthread_create(&thread2, NULL, compute, (void *)&thread2);
    pthread_create(&thread3, NULL, compute, (void *)&thread3);
    pthread_create(&thread4, NULL, compute, (void *)&thread4);
    pthread_create(&thread5, NULL, compute, (void *)&thread5);
    pthread_exit(NULL);
    exit(0);
```

```
fish /home/ksuo
Counter value: 10000
Counter value: 26973
Counter value: 30000
Counter value: 34209
Counter value: 44209
ksuo@ksuo-VirtualBox ~> ./spinlock.o
Counter value: 10000
Counter value: 20000
Counter value: 30000
Counter value: 40000
Counter value: 49841
ksuo@ksuo-VirtualBox ~> ./spinlock.o
Counter value: 14946
Counter value: 10128
Counter value: 17272
Counter value: 27272
Counter value: 30431
ksuo@ksuo-VirtualBox ~>
```

## Without Spinlock example

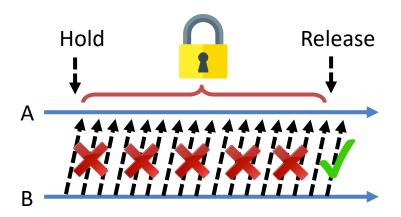


## Spinlock example

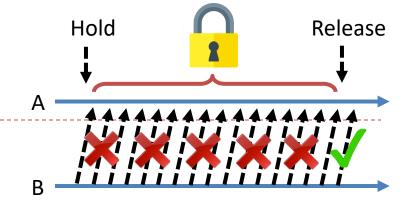
https://github.com/kevinsuo/CS3502/blob/master/spinlock.c

```
#include <pthread.h>
static pthread_spinlock_t splock;
void *compute()
    pthread_spin_lock(&splock);
    while (i < 10000) {
        i++;
   printf("Counter value: %d\n", counter);
    pthread_spin_unlock(&splock);
int main()
    pthread_t thread1, thread2, thread3, thread4, thread5;
    pthread_create(&thread1, NULL, compute, (void *)&thread1);
    pthread_create(&thread2, NULL, compute, (void *)&thread2);
    pthread_create(&thread3, NULL, compute, (void *)&thread3);
    pthread_create(&thread4, NULL, compute, (void *)&thread4);
    pthread_create(&thread5, NULL, compute, (void *)&thread5);
    pthread_exit(NULL);
    exit(0);
```

```
ksuo@ksuo-VirtualBox ~/Desktop> ./spinlock.o
Counter value: 10000
Counter value: 20000
Counter value: 30000
Counter value: 40000
Counter value: 50000
```



## Spinlock example



Counter is 0

Counter is 10k

Counter is 20k



Enter critical section Counter: 1,2,3,..., 10k

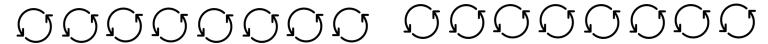










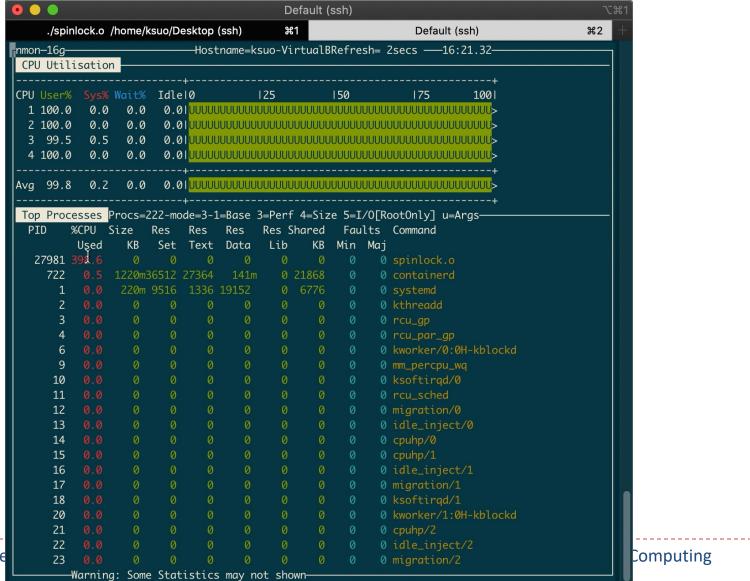




Enter critical section Counter: 10k+1,...,20k



## Spinlock example: CPU utilization



# Other mutual exclusion similar as busy waiting (spinlock)

### Disabling interrupts:

OS technique, not users'

#### Lock variables:

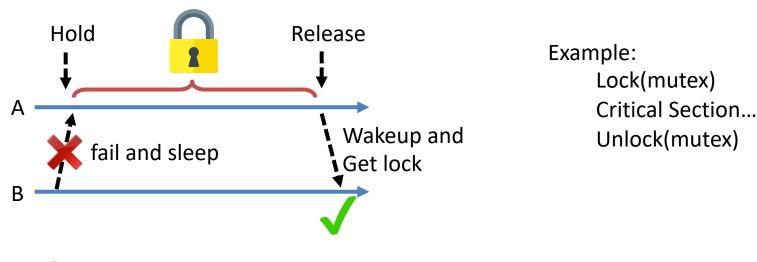
Test-and-set lock (TSL) is a two-step process, not atomic

## Peterson's algorithm

 Does not need atomic operation and mainly used in user space application

## 2, Mutex lock: A sleep-and-wakeup lock implementation

- A variable that can be in one of two states: unlocked or locked
- Mutex is used as a LOCK around critical sections



Pro:
Better cpu utilization

Con:

Overhead on entering sleep or wake up

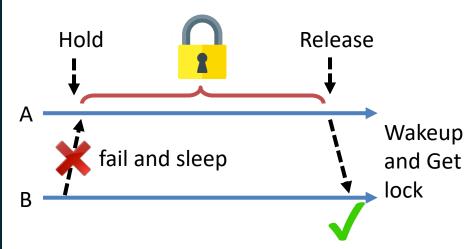
Not suited for short duration of lock acquisition

## Mutex lock example

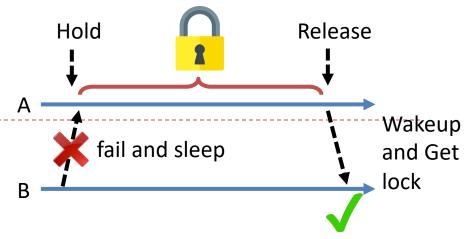
https://github.com/kevinsuo/CS3502/blob/master/mutexlock.c

```
#include <stdlib.h>
#include <pthread.h>
static pthread_mutex_t mlock;
void *compute()
    pthread_mutex_lock(&mlock);
    while (i < 10000) {
   printf("Counter value: %d\n", counter);
    pthread_mutex_unlock(&mlock);
int main()
    pthread_t thread1, thread2, thread3, thread4, thread5;
    pthread_create(&thread1, NULL, compute, (void *)&thread1);
    pthread_create(&thread2, NULL, compute, (void *)&thread2);
    pthread_create(&thread3, NULL, compute, (void *)&thread3);
    pthread_create(&thread4, NULL, compute, (void *)&thread4);
    pthread_create(&thread5, NULL, compute, (void *)&thread5);
    pthread_exit(NULL);
```

```
pi@raspberrypi ~/Downloads> ./mutexlock.o
Counter value: 10000
Counter value: 20000
Counter value: 30000
Counter value: 40000
Counter value: 50000
```



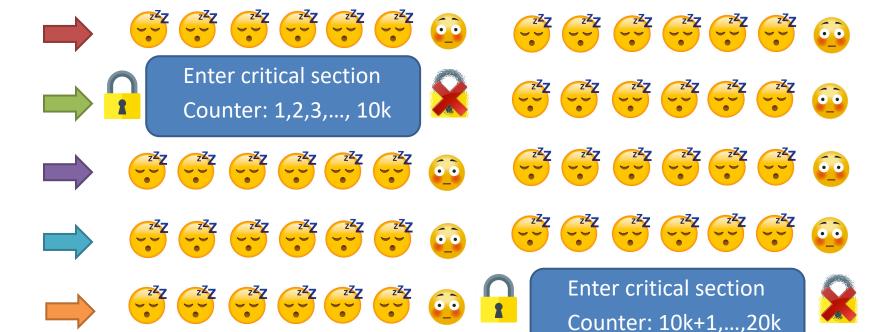
## Mutex example



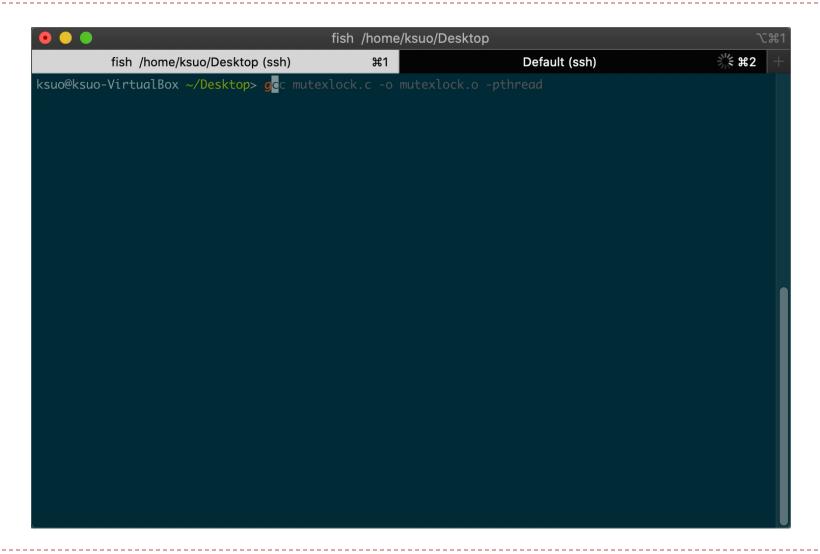
Counter is 0

Counter is 10k

Counter is 20k



#### Mutex lock CPU utlization

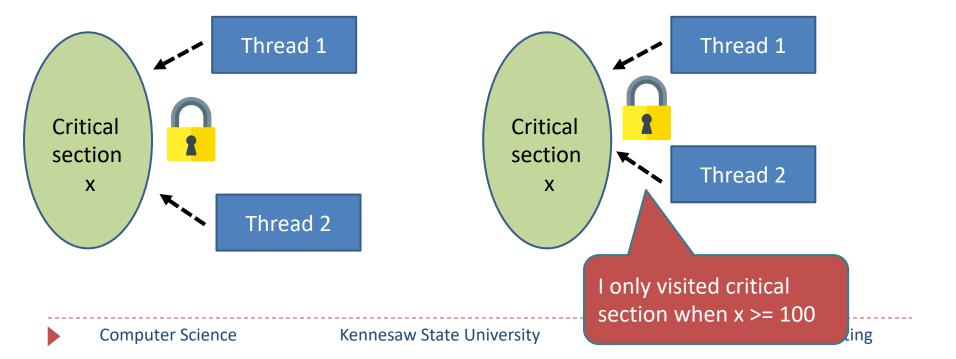


## Busy waiting lock vs Sleep wake up lock

	Mechanism	Use case	Implementation	Other examples
Busy waiting lock	constantly poll the lock for availability	When the waiting time is short	Spin lock	Disabling interrupts;  Lock variables;  Peterson's algorithm
Sleep wake up lock	Sleep if lock not available; wake up if available	When the waiting time is long	Mutex lock	Semaphore

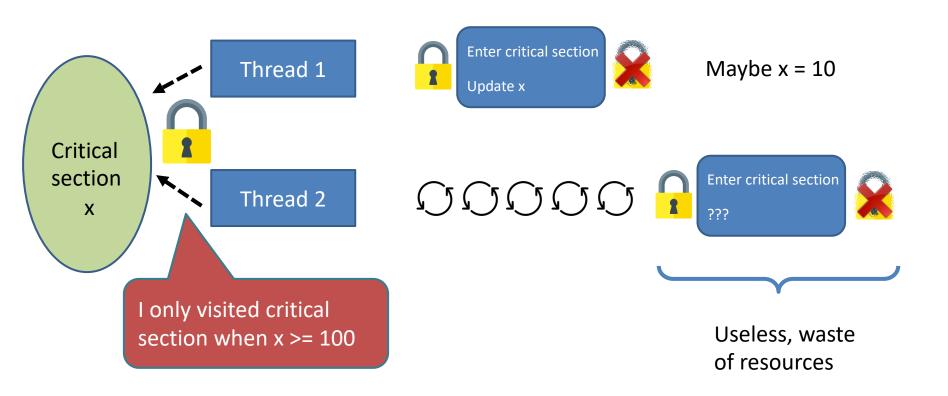
### 3. Mutex lock with conditions

- Mutex locks solve the competition problem of multiple threads accessing the same global variable under the shared memory space. (without conditions)
- How about competition with condition variables?



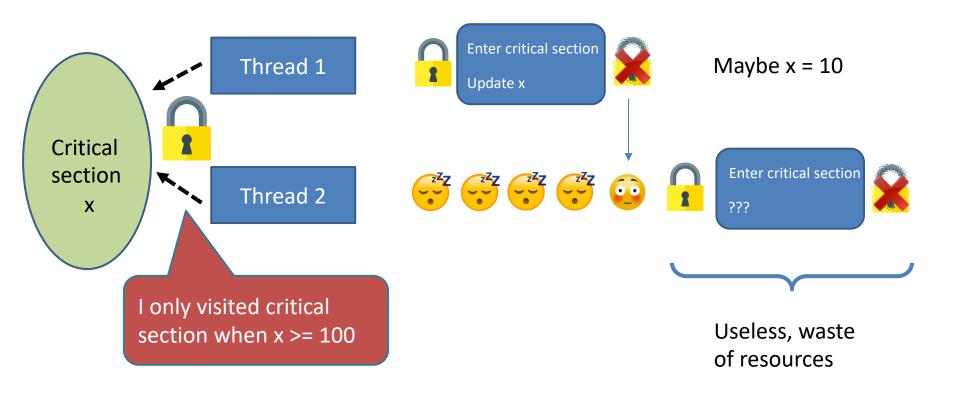
#### 3. Mutex lock with conditions

Can we use busy-waiting lock?



#### 3. Mutex lock with conditions

Can we use sleep-and-wakeup lock?



## Mutex lock with conditions example

- How about competition with condition variables?
  - Example: T1: increase x every time;
  - T2: when x is larger than 99, then set x to 0;

T1 and T2 compete for variable iCount!

```
//thread 1:
while(true)
{
   iCount++;
}
```

```
//thread 2:
while(true)
{
    if(iCount >= 100)
    {
        iCount = 0;
    }
}
```

# Mutex lock with conditions example

```
//thread 1:
while(true)
{
    iCount++;
}
```

```
//thread 2:
while(true)
{
    if(iCount >= 100)
    {
        iCount = 0;
    }
}
```

Thread 1

iCount

Thread 2



#### Mutex lock with conditions

- How about competition with condition variables?
  - Example: T1: increase x every time;
  - T2: when x is larger than 99, then set x to 0;

```
//thread 1:
while(true)
{
    pthread_mutex_lock(&mutex);
    iCount++;
    pthread_mutex_unlock(&mutex);
}
```

```
determine;
unlock;
every time to check

while(true)
{
    pthread_mutex_lock(&mutex);
    if(iCount >= 100)
    {
        iCount = 0;
    }
    pthread_mutex_unlock(&mutex);
}
```

T2 needs to:

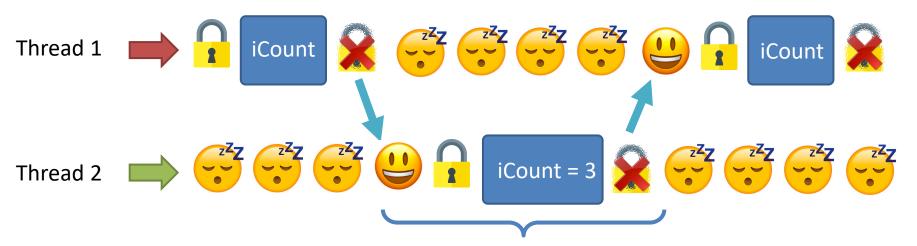
lock;

## Mutex lock with conditions

```
//thread 1:
while(true)
{
    pthread_mutex_lock(&mutex);
    iCount++;
    pthread_mutex_unlock(&mutex);
}
```

//thread 2:
while(true)
{
 pthread\_mutex\_lock(&mutex);
 if(iCount >= 100)
 {
 iCount = 0;
 }
 pthread\_mutex\_unlock(&mutex);
}

Critical section



Useless, waste of resources

```
static pthread_mutex_t mlock;
                                                        Examples
void *thread1_work(void *id) {
       long tid = (long)id;
       while (1) {
               pthread_mutex_lock(&mlock);
               iCount++;
               printf("thread: %ld iCount: %d\n", tid, iCount);
               pthread_mutex_unlock(&mlock);
               sleep(1);
void *thread2_work(void *id) {
       long tid = (long)id;
       while (1) {
               pthread_mutex_lock(&mlock);
               if (iCount >= 100)
                       iCount = 0;
               printf("thread: %ld iCount: %d\n", tid, iCount);
               pthread_mutex_unlock(&mlock);
               sleep(1);
int main() {
       pthread_t thread1, thread2;
       int id1=1, id2=2;
       if (pthread_mutex_init(&mlock, NULL) != 0) {
               printf("mutex init failed\n");
               return 1;
       pthread_create(&thread1, NULL, thread1_work, (void *)(intptr_t)id1);
       pthread_create(&thread2, NULL, thread2_work, (void *)(intptr_t)id2);
       pthread_exit(NULL);
       pthread_mutex_destroy(&mlock);
       exit(0);
```

int iCount = 0;

https://github.com/kevinsuo/CS3502/ blob/master/lock\_wo\_condition.c

```
//thread 1:
while(true)
{
    pthread_mutex_lock(&mutex);
    iCount++;
    pthread_mutex_unlock(&mutex);
}
```

```
//thread 2:
while(true)
{
    pthread_mutex_lock(&mutex);
    if(iCount >= 100)
    {
        iCount = 0;
    }
    pthread_mutex_unlock(&mutex);
}
```

#### Pthread\_cond\_signal and Pthread\_cond\_wait

Release the lock Sleep here until condition is reached

Pthread\_cond\_wait(&condition, &lock)



Pthread\_cond\_signal(&condition)



When condition is reached, notify all threads waiting for it

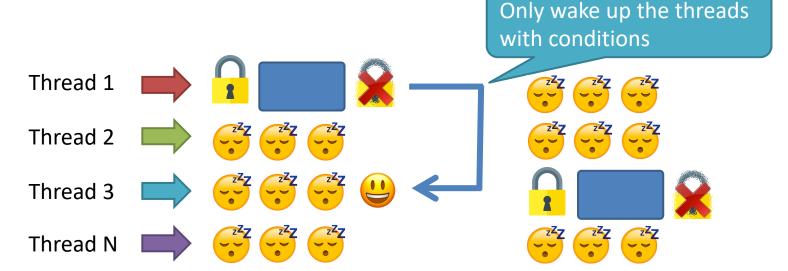
# Pthread\_cond\_signal/Pthread\_cond\_wait v.s. Pthread\_mutex\_lock All threads sleeping will be waked up when lock is released nlock

Thread 1

Thread 2

Thread 3

Thread N



#### **Condition variable**

- How about competition with condition variables?
  - Example: T1: increase x every time;
  - T2: when x is larger than 99, then set x to 0;

```
//thread1 :
while(true)
{
    pthread_mutex_lock(&mutex);
    iCount++;
    pthread_mutex_unlock(&mutex);

    pthread_mutex_lock(&mutex);
    if(iCount >= 100)
    {
        pthread_cond_signal(&cond);
    }
    pthread_mutex_unlock(&mutex);
}
```

```
//thread2:
while(1)
{
    pthread_mutex_lock(&mutex);
    while(iCount < 100)
    {
         pthread_cond_wait(&cond, &mutex);
    }
    printf("iCount >= 100\r\n");
    iCount = 0;
    pthread_mutex_unlock(&mutex);
}
```

When T2 executes here:

1: release mutex

```
//thread1 :
                                                  //thread2:
                                        1. Get the while(1)
while(true)
                                                                                                  1. Get the
    pthread_mutex_lock(&mutex);
                                                      pthread_mutex_lock(&mutex);
    iCount++;
                                                      while(iCount < 100)
                                                                                                  2. release
                                        2. release
    pthread_mutex_unlock(&mutex);
                                                                                                  the 4
                                        the 🖳
                                                           pthread cond_wait(&cond, &mutex);
    pthread_mutex_lock(&mutex);
                                        3. Get the
    if(iCount >= 100)
                                                                                                      3. Get
                                                      printf("iCount >= 100\r\n");
                                                      iCount = 0;
        pthread_cond_signal(&cond);
                                                      pthread_mutex_unlock(&mutex);
                                                                                                    4. release
                                        4. release
    pthread_mutex_unlock(&mutex);
                                                                                                    the 🛚
                                        the 🗐
```



3. Wake up

```
determine;
                                                                              unlock:
                                                                         every time to check
                                                         chread 2:
//thread 1:
                                                        while(true)
while(true)
                                                            pthread_mutex_lock(&mutex);
                                                            if(iCount >= 100)
    pthread_mutex_lock(&mutex);
    iCount++;
                                                                iCount = 0;
   pthread_mutex_unlock(&mutex);
                                     2. Release
                                     the
                                                            pthread_mutex_unlock(&mutex);
                                                                                             mputing
```

## Condition variable example

https://github.com/kevinsuo/CS3502/blob/master/lock w condition.c

```
int iCount = 0;
static pthread_mutex_t mlock;
static pthread_cond_t cond = PTHREAD_COND_INITIALIZER;
void *thread1_work(void *id) {
        long tid = (long)id;
        while (1) {
                pthread_mutex_lock(&mlock);
                iCount++;
                printf("thread: %ld iCount: %d\n", tid, iCount);
                pthread_mutex_unlock(&mlock);
                pthread_mutex_lock(&mlock);
                if (iCount >= 100) {
                        pthread_cond_signal(&cond);
                        printf("thread: %Id iCount: %d\n", tid, iCount);
                pthread_mutex_unlock(&mlock);
                sleep(1);
void *thread2_work(void *id) {
        long tid = (long)id;
        while (1) {
                pthread_mutex_lock(&mlock);
                if (iCount < 100) {___
                        pthread_cond_wait(&cond, &mlock);
                iCount = 0;
                printf("thread: %ld iCount: %d\n", tid, iCount);
                pthread_mutex_unlock(&mlock);
                sleep(1);
```

```
//thread1 :
while(true)
{
    pthread_mutex_lock(&mutex);
    iCount++;
    pthread_mutex_unlock(&mutex);

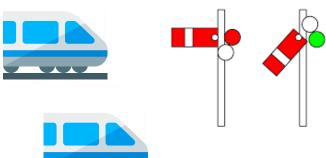
pthread_mutex_lock(&mutex);
    if(iCount >= 100)
    {
        pthread_cond_signal(&cond);
    }
    pthread_mutex_unlock(&mutex);
}
```

```
//thread2:
while(1)
{
    pthread_mutex_lock(&mutex);
    while(iCount < 100)
    {
        pthread_cond_wait(&cond, &mutex);
    }
    printf("iCount >= 100\r\n");
    iCount = 0;
    pthread_mutex_unlock(&mutex);
}
```

## 4. Semaphore

a system of sending messages by holding the arms or two flags in certain positions

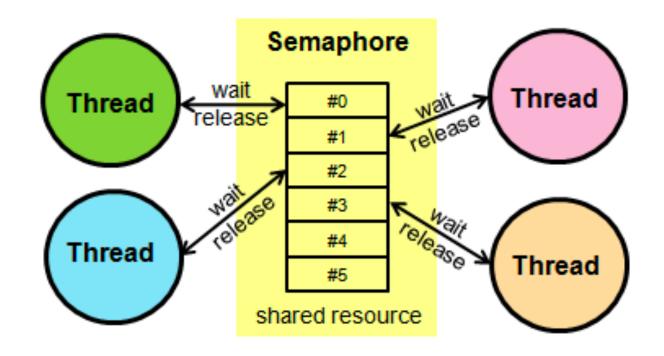






## 4. Semaphore

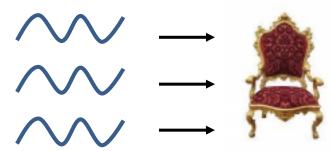
 Semaphore is a variable used to control access to shared resources by multiple processes/threads



## **Mutex lock and Semaphore**

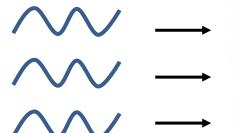
threads

Mutex = 0 or 1



threads

$$Sem = 0/1/2/3$$

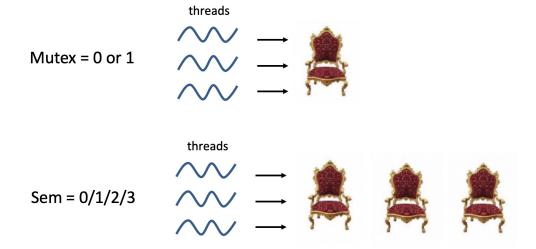


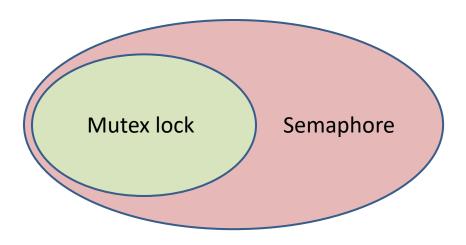






## **Mutex lock and Semaphore**

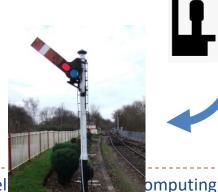




## Semaphore

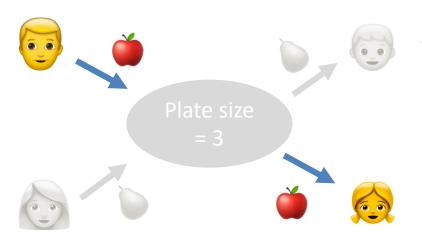
- A semaphore "sem" is a special integer on which only two operations can be performed.
  - DOWN(sem)
  - UP(sem)
- Down operation (P; request):
  - Checks if a semaphore is > 0, sem--
  - Request one-unit resource and one process enters
  - if a semaphore <= 0, wait and sleep</li>
- Up operation (V; release)
  - sem++
    - Release one-unit resource and one process leaves







What is the shared resource in this case? Plate size = 3

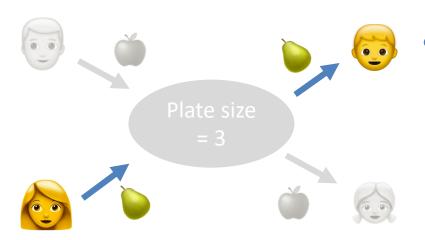


#### Semaphore of apple (s2):

- Daughter: request apple
- Father: release the apple

```
Father thread:
peel apple
put apple
V(s2)
```

Daughter thread:
P(s2)
get apple
eat apple

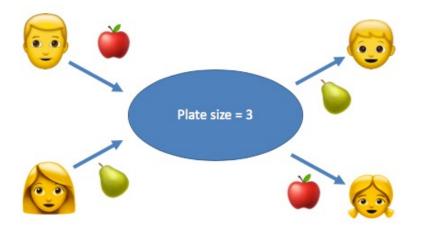


#### Semaphore of pear (s1):

Son: request pear

Mother: release the pear

Mother thread: Son thread: peel pear P(s1) put pear get pear V(s1) eat pear



#### Semaphore of plate (s3):

- Son/Daughter: release the space
- Father/Mother: request the space

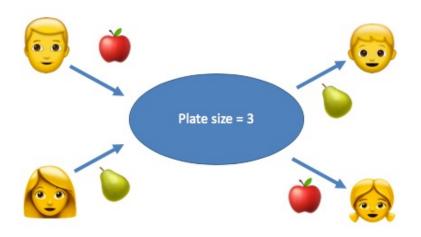
Father thread:

peel apple
P(s3)

put apple

Mother thread: peel pear P(<u>s3</u>) put pear

Son thread: get pear V(<u>s3</u>) eat pear Daughter thread: get apple V(<u>s3</u>) eat apple



#### • Semaphore:

- Son: whether there is pear, s1
- Daughter: whether there is apple, s2
- Father/Mother: whether there is space, <u>s3</u>

Father thread: Mother thread: peel apple peel pear  $P(\underline{s3})$   $P(\underline{s3})$  put apple  $V(\underline{s2})$   $V(\underline{s1})$ 

Son thread:

P(s1)

get pear

V(s3)

eat pear

P(s2)
get apple
V(s3)
eat apple

#### Semaphore:

- Son: whether there is pear, pear
- Daughter: whether there is apple, apple
- Father/Mother: whether there is space, <u>remain</u>

```
Father thread:

peel apple

P(remain)

put apple

V(apple)

V(apple)

Daughter thread:

P(apple)

get apple

V(remain)

eat apple
```

```
void *daughter(void *arg) {
void *father(void *arg) {
                                                           while(1)
       while(1) {
                sleep(5); //simulate peel apple
                                                                   sem_wait(&apple);
                                                                    sem_wait(&mutex);
               sem_wait(&remain);
                                                                    nremain++;
                sem_wait(&mutex);
                                                                    napple--;
                nremain--;
                                                                    sem_post(&mutex);
                napple++:
                                                                   sem_post(&remain);
                sem_post(&mutex);
                                                                    sleep(10); //simulate eat apple
```

daughter@ after eat apple, remain=1, apple =1, pear ==1

```
pi@raspberrypi ~/Downloads> ./semaphore.o
 father 😨 before put apple, remain=3, apple 🍎 0, pear 🌭 0
 gcc -pthread semaphore.c
daughter before eat apple, remain=2, apple =1, pear =0
                                                                                                                                                                                                                                                                                                                                           -o semaphore.o
daughter@ after eat apple, remain=3, apple =0, pear =0
                          ♠ before put pear , remain=3, apple♠=0, pear┣=0
                           ♠ after put pear , remain=2, apple =0, pear =1
 mother
                            🤢 before eat pear , remain=2, apple🌦=0, pear>=1
 son
                            son

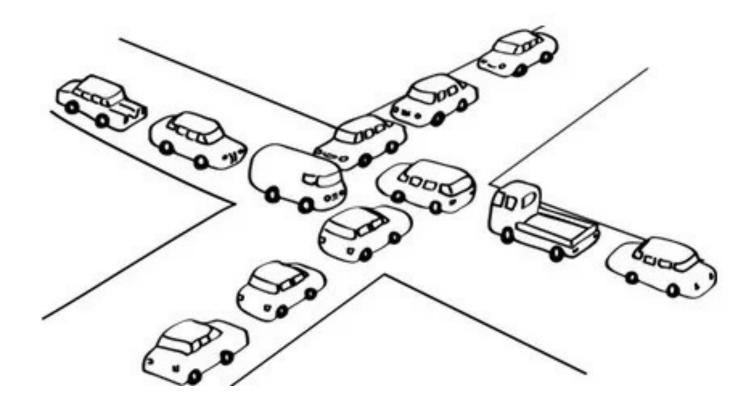
    after put apple, remain=2, apple
    apple

 father
                         ♠ before put pear , remain=2, apple =1, pear =0
                                                                                                                                                                                                                                                                                                                                              https://youtu.be/ZIW

    after put pear , remain=1, apple
    apple

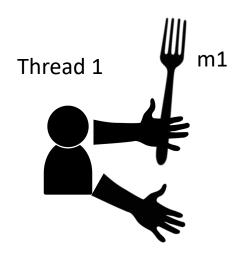
                                                                                                                                                                                                                                                                                                                                              wvcuROME
daughter before eat apple, remain=1, apple 1, pear =1
daughter@ after eat apple, remain=2, apple =0, pear ==1
                         👽 after put apple, remain=1, apple●=1, pear ≥=1
 father
                            🦁 before eat pear , remain=1, apple笋=1, pear🌭=1
 son
                            son
                          ♠ before put pear , remain=2, apple =1, pear =0
                           ♠ after put pear , remain=1, apple =1, pear ==1
 mother
                        before put apple, remain=1, apple =1, pear ==1
 nd Distributed Computing
daughter@ before eat apple, remain=0, apple =2, pear ==1
```

#### **Deadlocks**



# Deadlocks: philosopher dining

- Six people
- Three folks
- Three knives



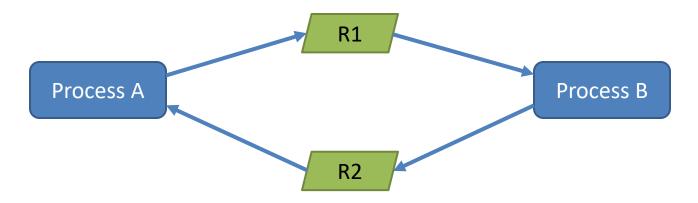




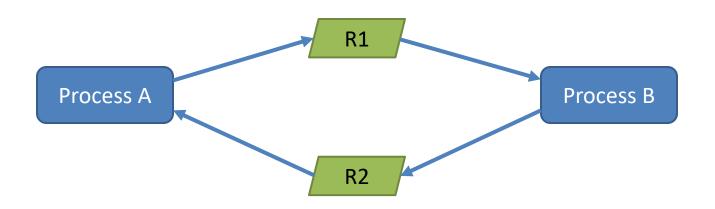


#### **Deadlocks**

- When two or more threads stop making progress indefinitely because they are all waiting for each other to do something.
  - If process A waits for process B to release a resource, and
  - Process B is waiting for process A to release another resource at the same time.
  - In this case, neither A not B can proceed because both are waiting for the other to proceed.



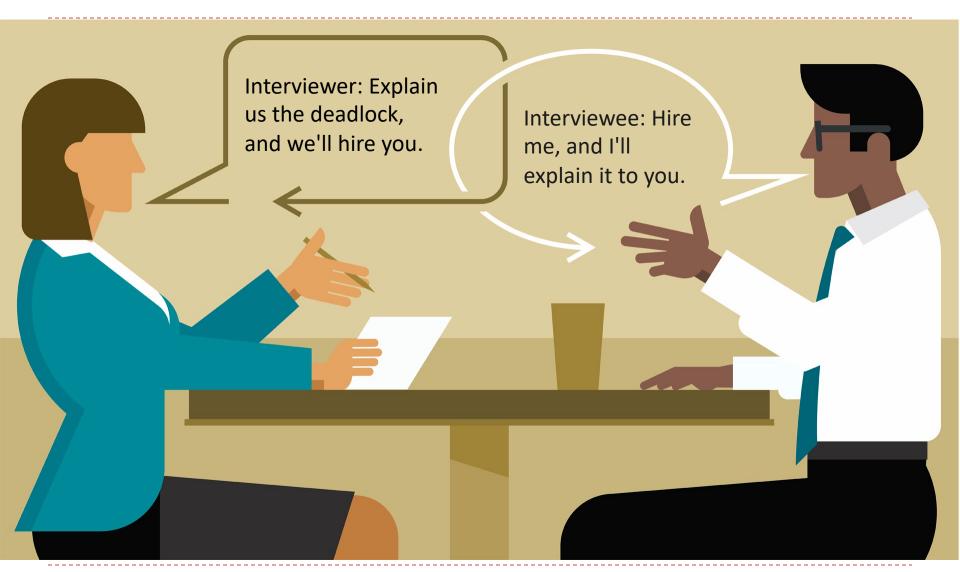
## Deadlock example



#### Thread 1 Thread 2

```
pthread mutex lock(&R2);
pthread mutex lock(&R1);
                                                        /* use resource 2 */
/* use resource 1 */
                                    Stuck right
                                                        pthread mutex lock(&R1);
pthread mutex lock(&R2);
                                      here
                                                        /* use resources 1 and 2 */
/* use resources 1 and 2 */
                                                        do something();
do something();
                                                        pthread mutex unlock(&R1);
pthread mutex unlock(&R2);
                                                        pthread mutex unlock(&R2);
pthread mutex unlock(&R1);
```

#### A Joke about Deadlock



# Deadlock example: Priority Inversion

1997/07/04 Pathfinder
—> Mars



https://www.youtube.com/watch?v=lyx7kARrGeM https://www.youtube.com/watch?v=t9RM5xcNUak https://www.rapitasystems.com/blog/whatreally-happened-to-the-software-on-the-marspathfinder-spacecraft

