

# CS 6041

# Theory of Computation

## Decidability

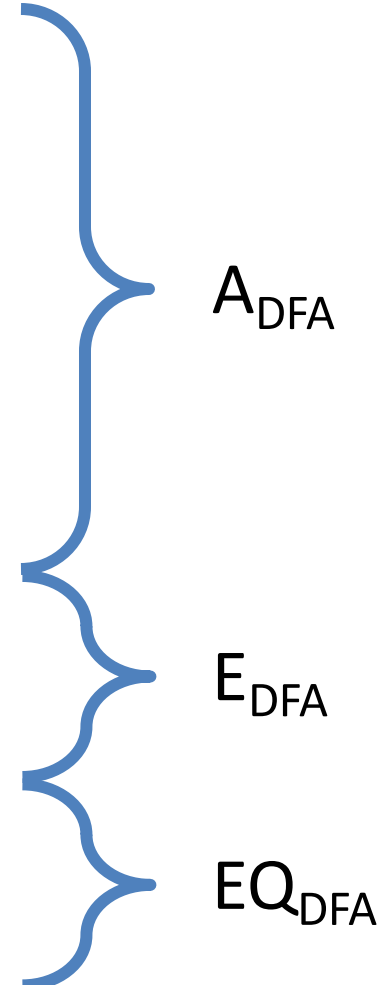
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<https://kevinsuo.github.io/>

# Decidable problems concerning regular languages

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- Acceptance problem for DFAs
    - whether a DFA accepts a string
  - Acceptance problem for NFAs
    - whether a NFA accepts a string
  - Regular expression decidability
    - Whether a regular expression generates a string
  - Emptiness testing for DFAs
    - Whether a DFA is empty
  - Equivalence of DFAs
    - Whether two DFAs recognize the same language
- 
- $A_{\text{DFA}}$
- $E_{\text{DFA}}$
- $EQ_{\text{DFA}}$

# Decidability

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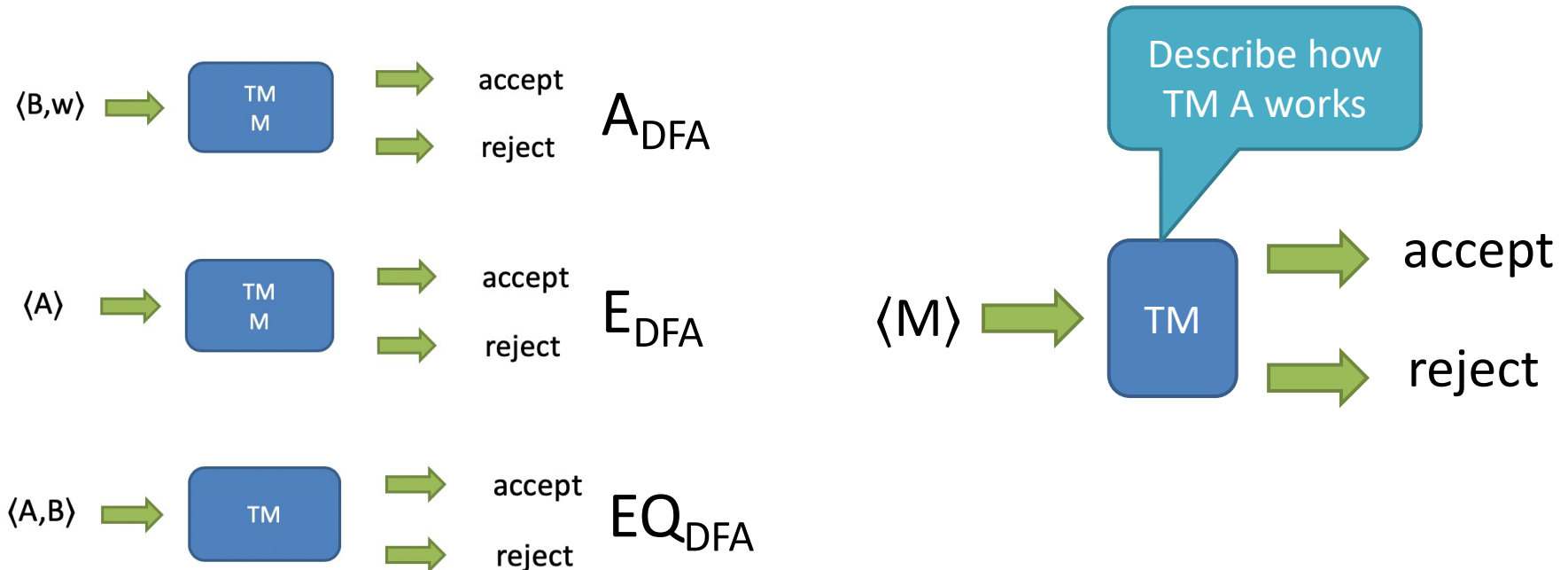
- Decidable?

	DFA/NFA/RE	CFG	TM
Acceptance (A)	√		
Emptiness (E)	√		
Equivalence (EQ)	√		

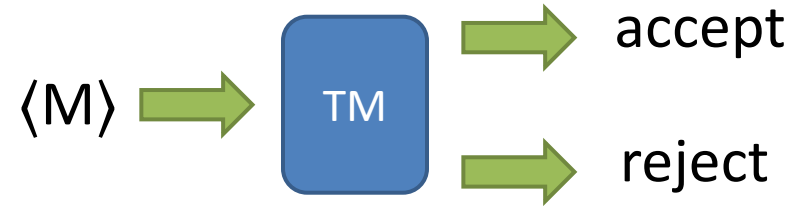


# Question

- Prove:  $A = \{ \langle M \rangle \mid M \text{ is a DFA that } \underline{\text{doesn't}} \text{ accept any string containing an odd number of 1s} \}$ . Show that  $A$  is decidable.



# Question



- Prove:  $A = \{ \langle M \rangle \mid M \text{ is a DFA that doesn't accept any string containing an odd number of 1s} \}$ . Show that  $A$  is decidable.

M is any DFA

$X =$  "On input  $\langle M \rangle$  where  $M$  is a DFA:

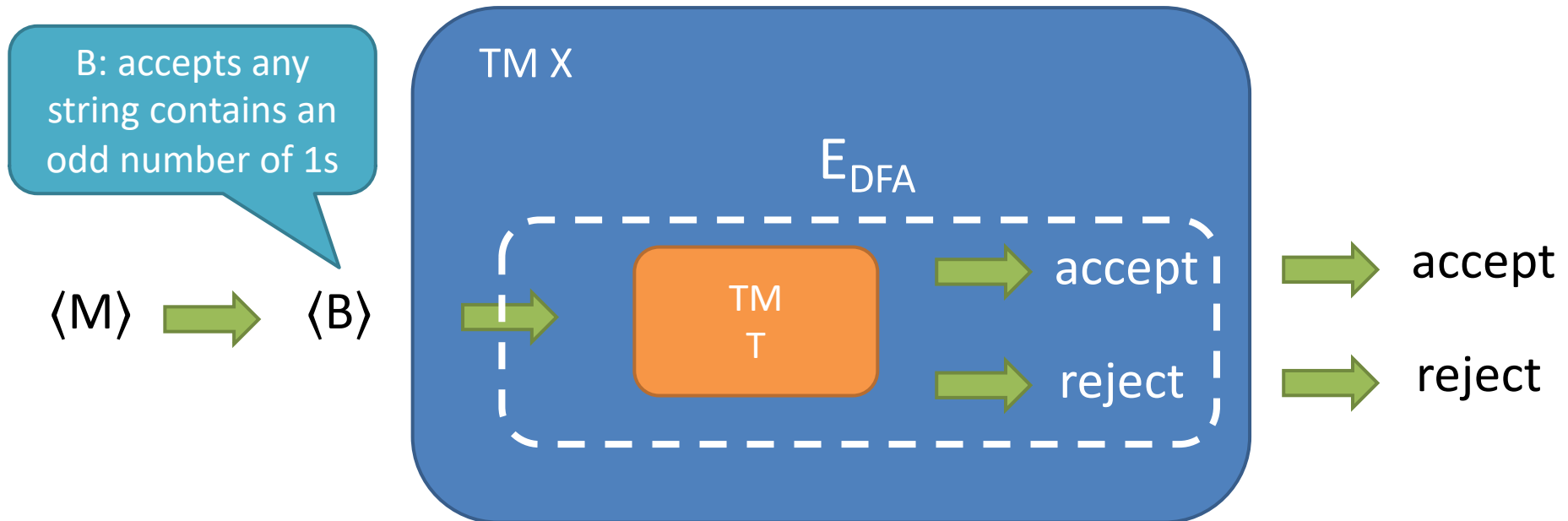
- 1, construct a DFA  $O$  that accepts any string contains an odd number of 1s
- 2, construct a DFA  $B$  such that  $L(B) = L(M) \cap L(O)$
- 3, run TM  $T$  from  $E_{\text{DFA}}$  on input  $\langle B \rangle$
- 4, if  $T$  accepts,  $X$  accepts; if  $T$  rejects,  $X$  rejects.

$B$  is a DFA accepts strings with odd 1s

"

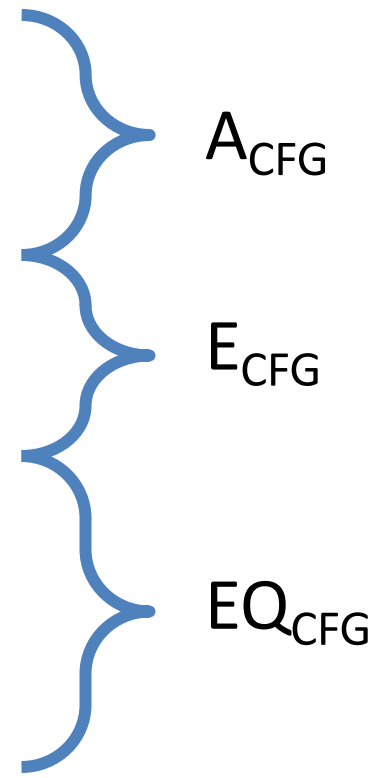
# Question

- Prove:  $A = \{\langle M \rangle \mid M \text{ is a DFA that doesn't accept any string containing an odd number of 1s}\}$ . Show that  $A$  is decidable.



# Decidable problems concerning CFL/CFGs

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- CFG generation decidability
    - Whether a CFG generates a particular string
  - Emptiness testing for CFGs
    - Whether a CFG is empty
  - Equivalence of CFGs
    - Whether two CFGs recognize the same language
  - CFL decidability
    - Whether a CFL is decidable
- 
- $A_{CFG}$
- $E_{CFG}$
- $EQ_{CFG}$

# Decidability

---

- Decidable?

	<b>DFA/NFA/RE</b>	<b>CFG</b>	<b>TM</b>
<b>Acceptance (A)</b>	✓	✓	
<b>Emptiness (E)</b>	✓	✓	
<b>Equivalence (EQ)</b>	✓	×	





# Decidability

---

- Decidable?

	DFA/NFA/RE	CFG	TM
Acceptance (A)	✓	✓	?
Emptiness (E)	✓	✓	
Equivalence (EQ)	✓	×	



# Decidable problems for Turing Machine

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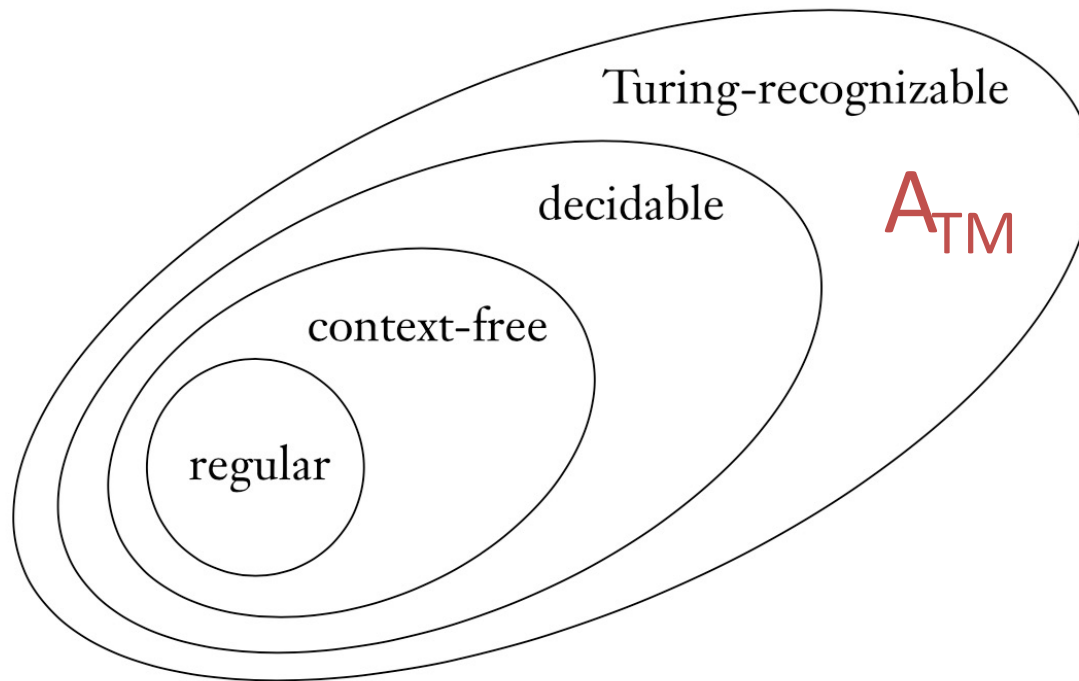
- Acceptance problem for Turing Machine
  - Whether a Turing machine accepts a given input string
- Language:
  - $A_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w\}$



# Theorem 4.11

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- $A_{TM}$  is undecidable



# Theorem 4.11 proof

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- $A_{TM}$  is undecidable

- Proof idea:

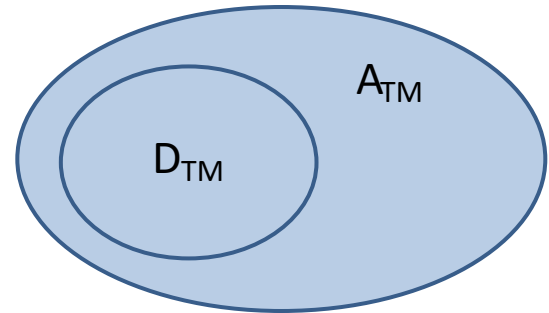
Use M as the  
input string

$$A_{TM} = \{ \langle M, w \rangle \mid \text{TM } M \text{ accepts string } w \}$$

$$D_{TM} = \{ \langle M, \langle M \rangle \rangle \mid \text{TM } M \text{ accepts string } \langle M \rangle \}$$

$D_{TM}$  is a special case of  $A_{TM}$

If  $D_{TM}$  is undecidable, then  $A_{TM}$  must be undecidable



# Theorem 4.11 proof details

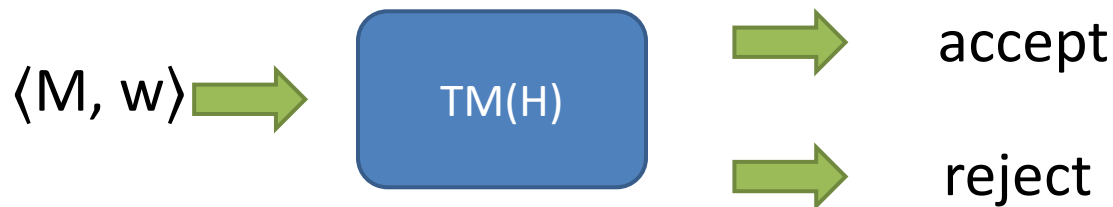
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- Proof by contradiction:

Suppose language  $A_{TM}$  is decidable, then

There exists a TM  $H$  can decide  $A_{TM}$

$$H(\langle M, w \rangle) = \begin{cases} \text{accept,} & \text{if } M \text{ accepts } w \\ \text{reject,} & \text{if } M \text{ does not accept } w \end{cases}$$



# Theorem 4.11 proof details

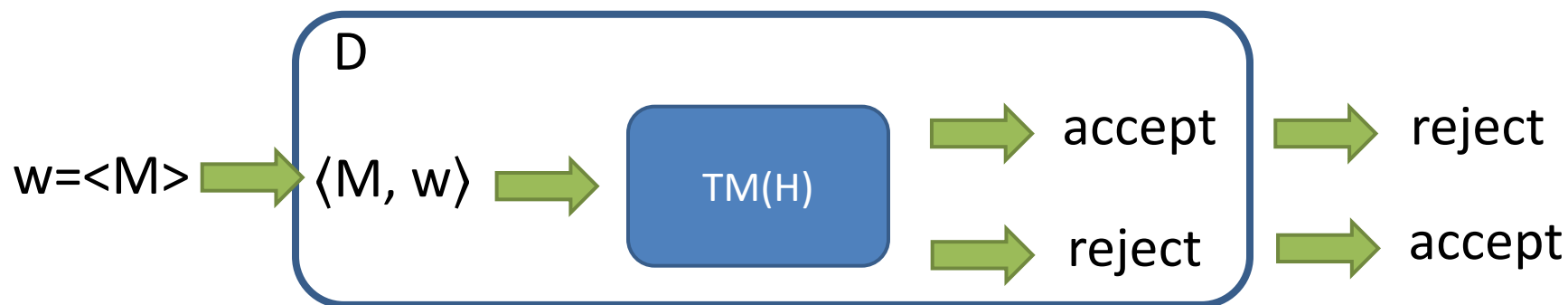
Create TM D, D="On input  $\langle M \rangle$ , where M is a TM:

(1) Run H on input  $\langle M, \langle M \rangle \rangle$

(2) If H accepts, D reject;

if H rejects, D accept."

$$D(\langle M \rangle) = \begin{cases} \text{accept,} & \text{if M does not accept } \langle M \rangle \\ \text{reject,} & \text{if M accepts } \langle M \rangle \end{cases}$$



# Theorem 4.11 proof details

---

$$D(<M>) = \begin{cases} \text{accept,} & \text{if } M \text{ does not accept } <M> \\ \text{reject,} & \text{if } M \text{ accepts } <M> \end{cases}$$

For TM D, what will happen when input is  $<D>$ ?



# Theorem 4.11 proof details

---

$$D(\langle M \rangle) = \begin{cases} \text{accept,} & \text{if } M \text{ does not accept } \langle M \rangle \\ \text{reject,} & \text{if } M \text{ accepts } \langle M \rangle \end{cases}$$

For TM  $D$ , what will happen when input is  $\langle D \rangle$ ?

$$D(\langle D \rangle) = \begin{cases} \text{accept,} & \text{if } D \text{ does not accept } \langle D \rangle \\ \text{reject,} & \text{if } D \text{ accepts } \langle D \rangle \end{cases}$$

Then we have  $D(\langle D \rangle) = \text{accept}$  and  $D(\langle D \rangle) = \text{reject}$  at the same time. Contradiction!





# Theorem 4.11 proof details

---

- Proof by contradiction:

**Suppose language  $A_{TM}$  is decidable**, then

There exists a TM  $H$  can decide  $A_{TM}$

- Suppose is wrong, thus  $A_{TM}$  is undecidable

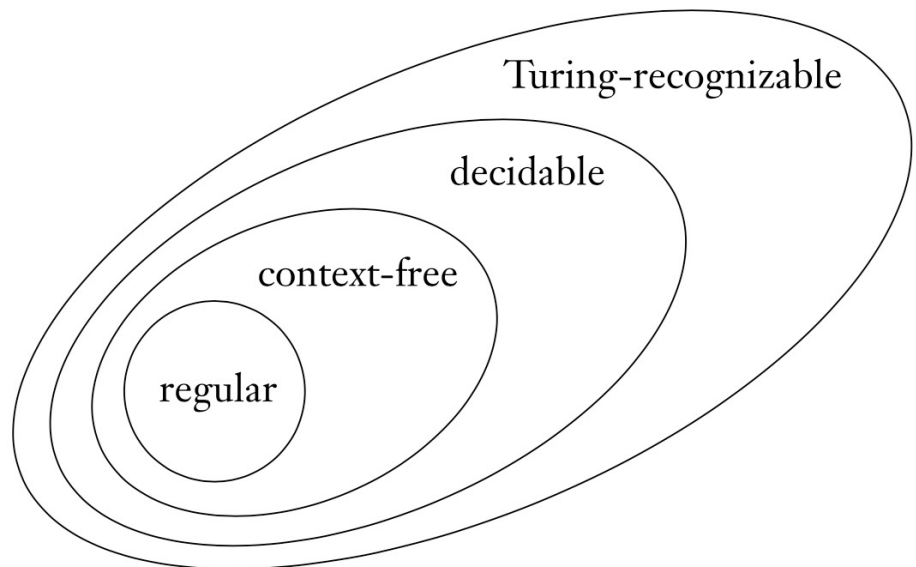


# Theorem 4.11

---

- $A_{TM}$  is undecidable
- In other words, we do not know whether a Turing machine accepts a given input string

Accept }  
Reject } Halt  
Loop = Never Halt



# Explanation

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- $A_{TM}$  is undecidable
- Explanation by using *diagonalization method*

Suppose language  $A_{TM}$  is decidable, then

There exists a TM  $H$  can decide  $A_{TM}$



# Results of $H(\langle M, w \rangle)$

---

Because TM  $H$  can decide  $A_{TM}$ , so the result of  $H(M, w)$  is either accept or reject

$M_1$							
$M_2$							
$M_3$							
$M_4$							
$M_5$							
$M_6$							
$\vdots$							



# Results of $H(\langle M, w \rangle)$

Because TM  $H$  can decide  $A_{TM}$ , so the result of  $H(M, w)$  is either accept or reject

	$\langle w_1 \rangle$	$\langle w_2 \rangle$	$\langle w_3 \rangle$	$\langle w_4 \rangle$	$\langle w_5 \rangle$	$\langle w_6 \rangle$	$\dots$
$M_1$							
$M_2$							
$M_3$							
$M_4$							
$M_5$							
$M_6$							
$\vdots$							



# Results of $H(\langle M, w \rangle)$

Because TM  $H$  can decide  $A_{TM}$ , so the result of  $H(M, w)$  is either accept or reject

	$\langle w_1 \rangle$	$\langle w_2 \rangle$	$\langle w_3 \rangle$	$\langle w_4 \rangle$	$\langle w_5 \rangle$	$\langle w_6 \rangle$	$\dots$
$M_1$	accept	reject	accept	reject	accept	accept	$\dots$
$M_2$	reject	accept	reject	reject	accept	reject	$\dots$
$M_3$	reject	reject	reject	reject	reject	reject	$\dots$
$M_4$	accept	reject	accept	reject	accept	reject	$\dots$
$M_5$	accept	accept	accept	accept	accept	accept	$\dots$
$M_6$	reject	accept	reject	reject	reject	accept	$\dots$
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\ddots$



# Results of $H(\langle M, \langle M \rangle \rangle)$

Just set  $w$   
as  $\langle M \rangle$

Because TM  $H$  can decide  $A_{TM}$ , so the result of  $H(M, w)$  is either accept or reject

This is  $H(\langle M, \langle M \rangle \rangle)$

The result does not change

	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	$\langle M_6 \rangle$	...
$M_1$	accept	reject	accept	reject	accept	accept	...
$M_2$	reject	accept	reject	reject	accept	reject	...
$M_3$	reject	reject	reject	reject	reject	reject	...
$M_4$	accept	reject	accept	reject	accept	reject	...
$M_5$	accept	accept	accept	accept	accept	accept	...
$M_6$	reject	accept	reject	reject	reject	accept	...
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\ddots$

# Results of $D(\langle M \rangle) = \text{opposite of } H(\langle M, \langle M \rangle \rangle)$

Because TM H can decide  $A_{TM}$ , so the result of  $H(M, w)$  is either accept or reject

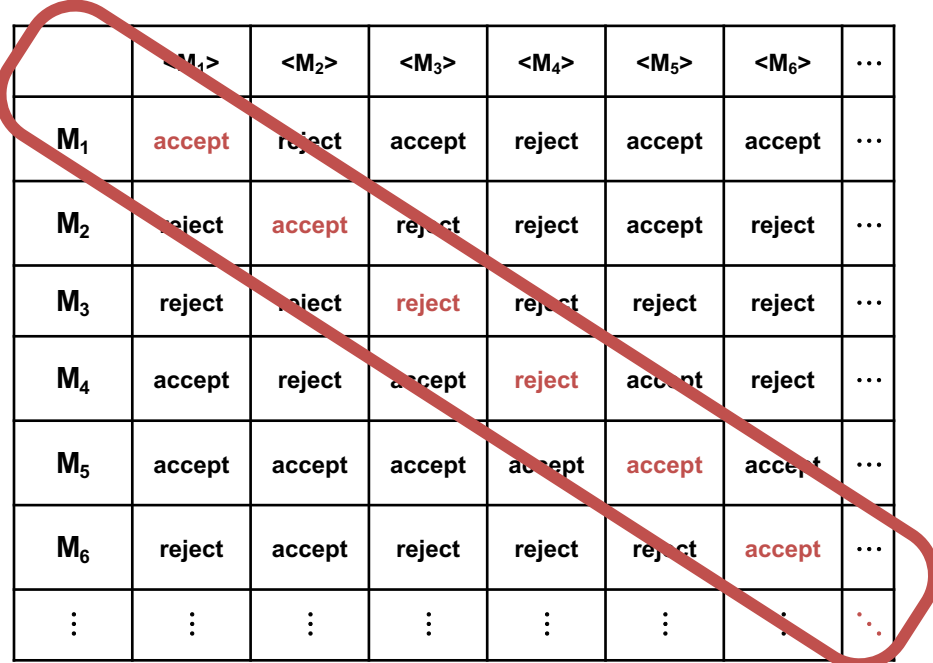
This is the opposite of  $H(\langle M, \langle M \rangle \rangle)$

	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	$\langle M_6 \rangle$	...
$M_1$	reject	reject	accept	reject	accept	accept	...
$M_2$	reject	reject	reject	reject	accept	reject	...
$M_3$	reject	reject	accept	reject	reject	reject	...
$M_4$	accept	reject	accept	accept	accept	reject	...
$M_5$	accept	accept	accept	accept	reject	accept	...
$M_6$	reject	accept	reject	reject	reject	reject	...
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮



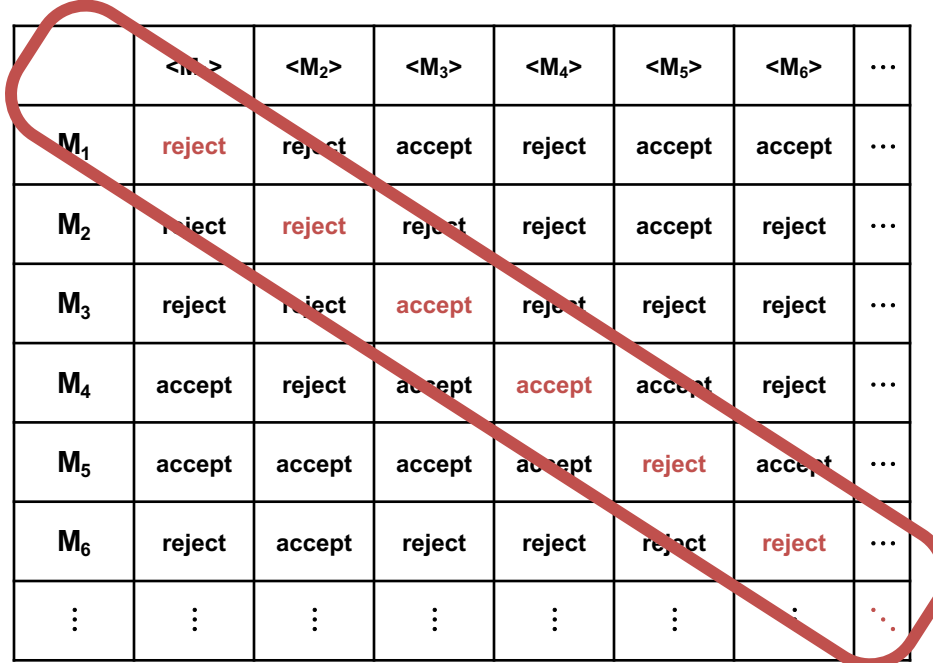
# Results of $D(<M>) = \text{opposite of } H(<M, <M>>)$

$H(<M, <M>>)$



	$<M_1>$	$<M_2>$	$<M_3>$	$<M_4>$	$<M_5>$	$<M_6>$	...
$M_1$	accept	reject	accept	reject	accept	accept	...
$M_2$	reject	accept	reject	reject	accept	reject	...
$M_3$	reject	reject	reject	reject	reject	reject	...
$M_4$	accept	reject	accept	reject	accept	reject	...
$M_5$	accept	accept	accept	accept	accept	accept	...
$M_6$	reject	accept	reject	reject	reject	accept	...
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\ddots$

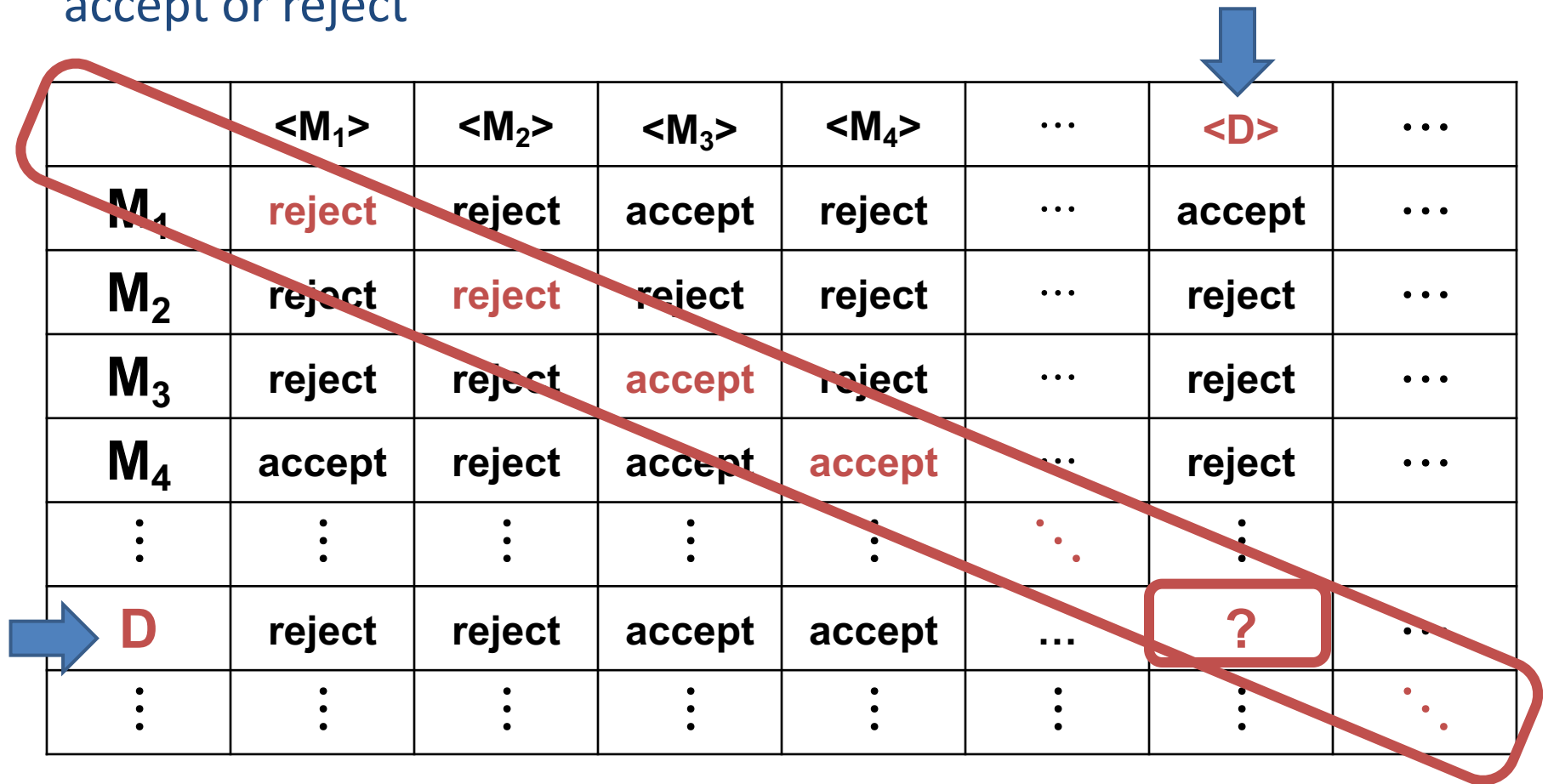
$D(<M>) = \text{opposite of } H(<M, <M>>)$



	$<M_1>$	$<M_2>$	$<M_3>$	$<M_4>$	$<M_5>$	$<M_6>$	...
$M_1$	reject	reject	accept	reject	accept	accept	...
$M_2$	reject	reject	reject	reject	accept	reject	...
$M_3$	reject	reject	accept	reject	reject	reject	...
$M_4$	accept	reject	accept	accept	accept	reject	...
$M_5$	accept	accept	accept	accept	reject	accept	...
$M_6$	reject	accept	reject	reject	reject	reject	...
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\ddots$

## Results of $D(<D>)$ ?

Because TM H can decide  $A_{TM}$ , so the result of  $H(M,w)$  is either accept or reject

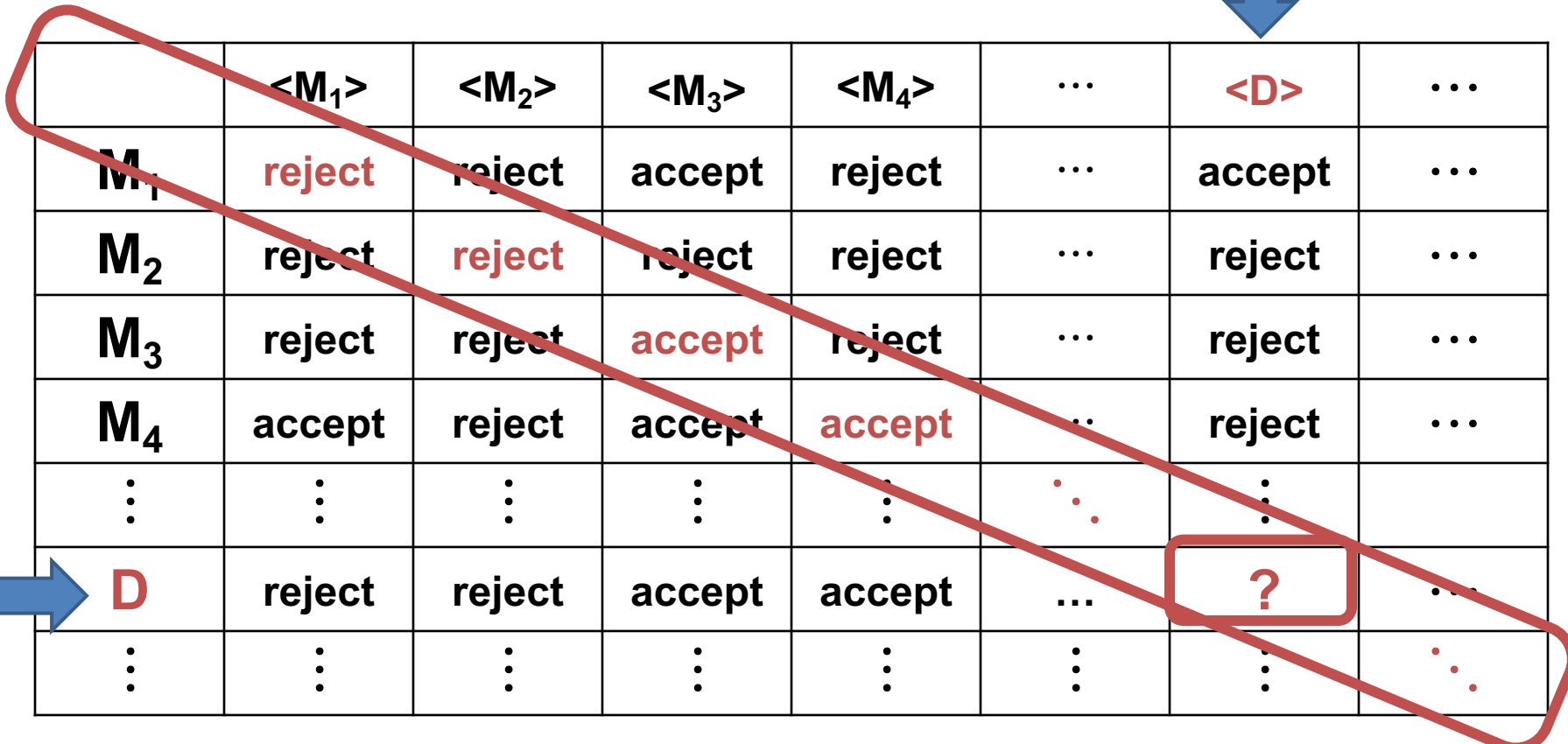



	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	...	$\langle D \rangle$	...
$M_1$	reject	reject	accept	reject	...	accept	...
$M_2$	reject	reject	reject	reject	...	reject	...
$M_3$	reject	reject	accept	reject	...	reject	...
$M_4$	accept	reject	accept	accept	...	reject	...
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\ddots$	$\vdots$	
$D$	reject	reject	accept	accept	...	?	...
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\ddots$

# Results of $D(\langle D \rangle)$ ?

## Diagonalization method

Then we have  $D(\langle D \rangle) = \text{accept}$  and  $D(\langle D \rangle) = \text{reject}$  at the same time. Contradiction!



	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	...	$\langle D \rangle$	...
$M_1$	reject	reject	accept	reject	...	accept	...
$M_2$	reject	reject	reject	reject	...	reject	...
$M_3$	reject	reject	accept	reject	...	reject	...
$M_4$	accept	reject	accept	accept	...	reject	...
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\ddots$	$\vdots$	
$D$	reject	reject	accept	accept	...	?	...
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\ddots$

# Decidability

---

- Decidable?

	<b>DFA/NFA/RE</b>	<b>CFG</b>	<b>TM</b>
<b>Acceptance (A)</b>	✓	✓	×
<b>Emptiness (E)</b>	✓	✓	
<b>Equivalence (EQ)</b>	✓	×	



# Countable

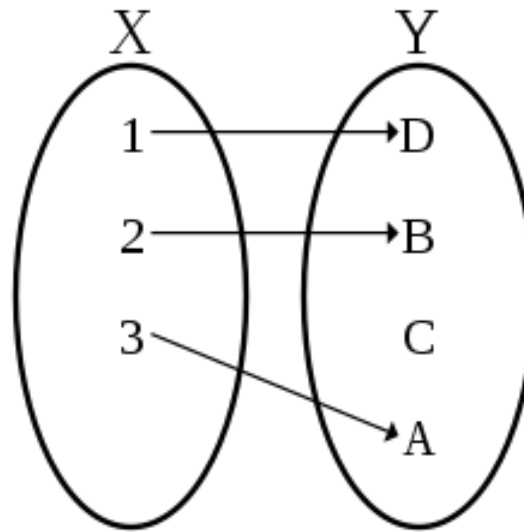
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- A set is *countable* if either it is finite, or it has the same size as  $\mathbb{N}$ .
- $A = \{1, 2, 3\}$

# Set Element Relationship

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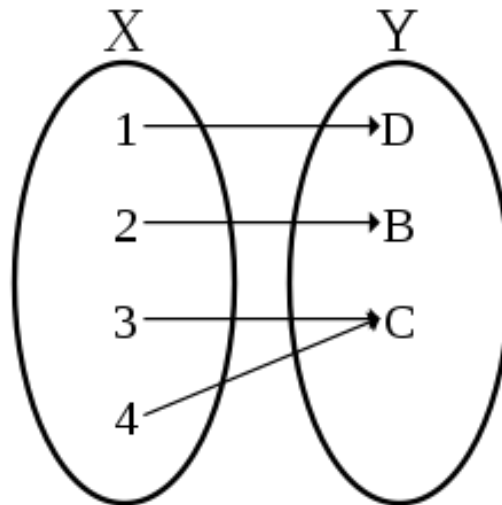
- **One-to-one:** if different elements of source set is mapped to different elements of destination set.



# Set Element Relationship

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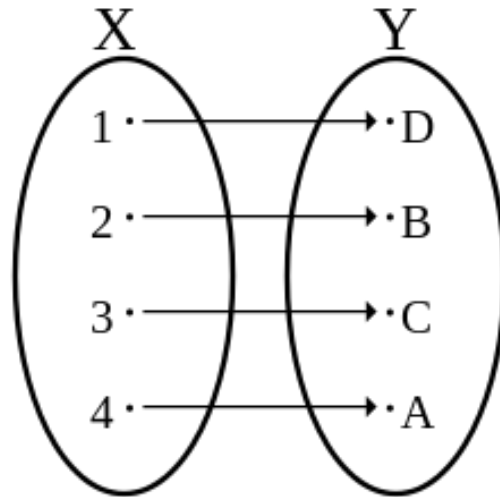
- **Onto:** if different elements of destination set has at least one element mapped to it from the source set.



# Set Element Relationship

---

- **correspondence:** Every element in the source set is mapped to a single element in the destination set; and vice verse.
- **Correspondence = one-to-one & onto**





# Question: True or False

- Let  $X$  be the set  $\{1,2,3,4,5\}$  and  $Y$  be the set  $\{6,7,8,9,10\}$ . We describe the functions  $f: X \rightarrow Y$  and  $g: X \rightarrow Y$  in the following tables.
- $f()$  is one-to-one

$n$	$f(n)$
1	6
2	7
3	6
4	7
5	6

$n$	$g(n)$
1	10
2	9
3	8
4	7
5	6

False. Because  $f(1) = f(3)$



# Question: True or False

- Let  $X$  be the set  $\{1,2,3,4,5\}$  and  $Y$  be the set  $\{6,7,8,9,10\}$ . We describe the functions  $f: X \rightarrow Y$  and  $g: X \rightarrow Y$  in the following tables.
- $f()$  is onto

$n$	$f(n)$
1	6
2	7
3	6
4	7
5	6

$n$	$g(n)$
1	10
2	9
3	8
4	7
5	6

False. Not exist  $x$  in  $X$  letting  $f(x) = 10$



# Question: True or False

- Let  $X$  be the set  $\{1,2,3,4,5\}$  and  $Y$  be the set  $\{6,7,8,9,10\}$ . We describe the functions  $f: X \rightarrow Y$  and  $g: X \rightarrow Y$  in the following tables.
- $g()$  is one-to-one

$n$	$f(n)$
1	6
2	7
3	6
4	7
5	6

$n$	$g(n)$
1	10
2	9
3	8
4	7
5	6

True.



# Question: True or False

- Let  $X$  be the set  $\{1,2,3,4,5\}$  and  $Y$  be the set  $\{6,7,8,9,10\}$ . We describe the functions  $f: X \rightarrow Y$  and  $g: X \rightarrow Y$  in the following tables.
- $g()$  is onto

$n$	$f(n)$
1	6
2	7
3	6
4	7
5	6

$n$	$g(n)$
1	10
2	9
3	8
4	7
5	6

True.



# Question: True or False

---

- Let  $X$  be the set  $\{1,2,3,4,5\}$  and  $Y$  be the set  $\{6,7,8,9,10\}$ . We describe the functions  $f: X \rightarrow Y$  and  $g: X \rightarrow Y$  in the following tables.
- $g()$  is correspondence

$n$	$f(n)$
1	6
2	7
3	6
4	7
5	6

$n$	$g(n)$
1	10
2	9
3	8
4	7
5	6

True. Because  $g$  is both one-to-one and onto.



# Countable

- A set is *countable* if either it is finite, or it has the same size as  $\mathbb{N}$  or subset of  $\mathbb{N}$  (correspondence relationship).

- Mapping  $\rightarrow$  Size of infinite set

- $f(n) = n$

- $A = \{1, 2, 3, \dots\}$

n	f(n)
1	1
2	2
3	3
...	...
n	n



# Countable

- A set is *countable* if either it is finite, or it has the same size as  $\mathbb{N}$  or subset of  $\mathbb{N}$  (correspondence relationship).

- Mapping  $\rightarrow$  Size of infinite set

- $f(n) = 2n$

- $B = \{2, 4, 6, \dots\}$

n	f(n)
1	2
2	4
3	6
...	...
n	2n

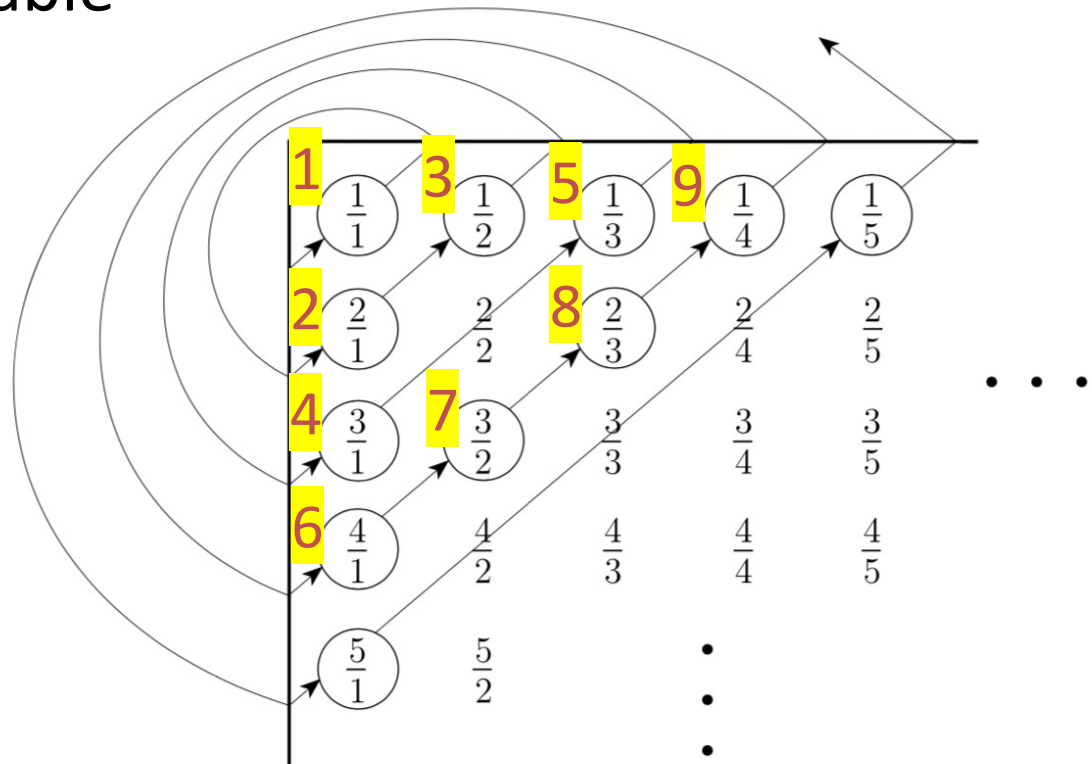


# Countable and Diagonalization method

- $Q = \{ \frac{m}{n} \mid m, n \in \mathbb{N} \}$  be the set of positive rational numbers,  $Q$  is countable

- A mapping between of  $\mathbb{N}$  and  $Q$  (prove by construction)

$$k \mapsto \frac{m}{n}$$





# Uncountable

---

- Theorem:  $\mathbb{R}$  is uncountable

- Proof by construction:

Suppose  $\mathbb{R}$  is countable, then there exist a mapping  $f$  between  $\mathbb{N}$  and  $\mathbb{R}$

Let  $f(1) = 3.14159\dots$ ,  $f(2) = 55.55555\dots$ ,  $f(3) = \dots$ ,

$n$	$f(n)$
1	3.14159...
2	55.55555...
3	0.12345...
4	0.50000...
$\vdots$	$\vdots$



# Uncountable

- Proof:

Then we construct a value  $x$ :

the  $i$ th digit of  $x$  is different than that in  $f(n)$

$x = 0.4641\dots$

for each  $n$ , and  $x$ ,

$$x \notin f(n)$$

$n$	$f(n)$
1	3.14159...
2	55.55555...
3	0.12345...
4	0.50000...
$\vdots$	$\vdots$

$n$	$f(n)$
1	3. <u>1</u> 4159...
2	55.5 <u>5</u> 555...
3	0.12 <u>3</u> 45...
4	0.500 <u>0</u> ...
$\vdots$	$\vdots$

So there is no mapping between  $\mathbb{N}$  and  $\mathbb{R}$



# Countable vs. Uncountable

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- To prove a set is countable
  - Finite or find a  $f(n)$
- To prove a set is uncountable
  - Prove by construction that no  $f(n)$  exists



# Question: True or False?

---

- Odd number set (e.g.,  $\{1, 3, 5, \dots\}$ ) is countable.

True.

Mapping  $\rightarrow$  Size of infinite set

$$f(n) = 2n-1$$

n	f(n)
1	1
2	3
3	5
...	...
n	$2n-1$



# Question: True or False?

- Integer number set  $Z$  (e.g.,  $\{\dots, -2, -1, 0, 1, 2 \dots\}$ ) is countable.

True.

Mapping  $Z \leftrightarrow N$

$$f(n) = 2n, \text{ if } n \geq 0$$

$$f(n) = -1-2n, \text{ if } n < 0$$

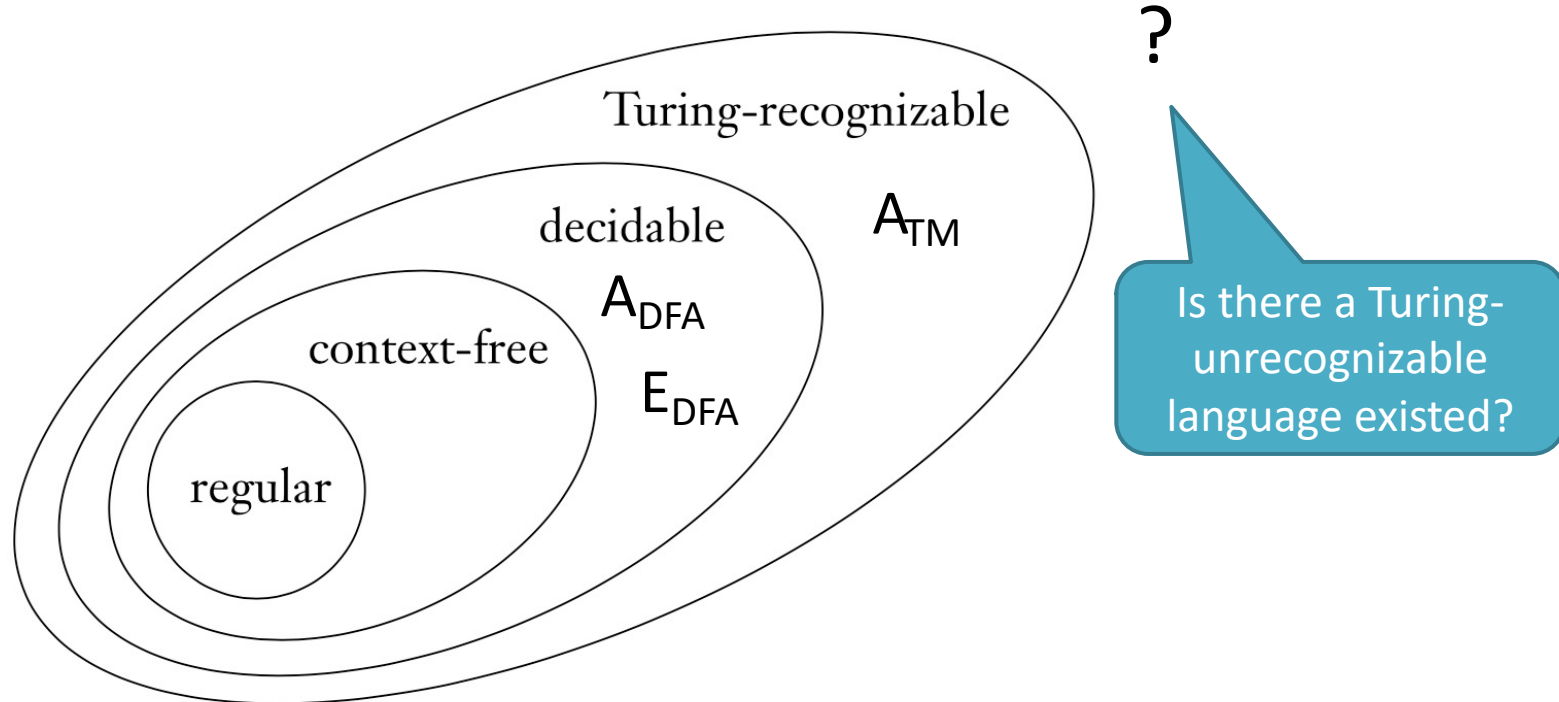
Z	N
-k	$2k-1$
...	...
-2	3
-1	1
0	0
1	2
...	...
k	$2k$



# Review of Theorem 4.11

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- $A_{TM}$  is undecidable



# Theorem 4.22

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- Complement of A:  $\bar{A}$ 
  - $\bar{A} = \Sigma^* - A$
- Theorem 4.22
  - A is decidable  $\iff$  A and  $\bar{A}$  are Turing-recognizable



# Operation on languages

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	RL: DFA/NFA/RE	CFL: CFG/PDA	TM-decidable
<b>Union</b>	close	close	close
<b>Concatenation</b>	close	close	close
<b>Intersection</b>	close	not close	close
<b>Star</b>	close	close	close
<b>Complement</b>	close	not close	close
<b>Boolean operation</b>	close	/	close





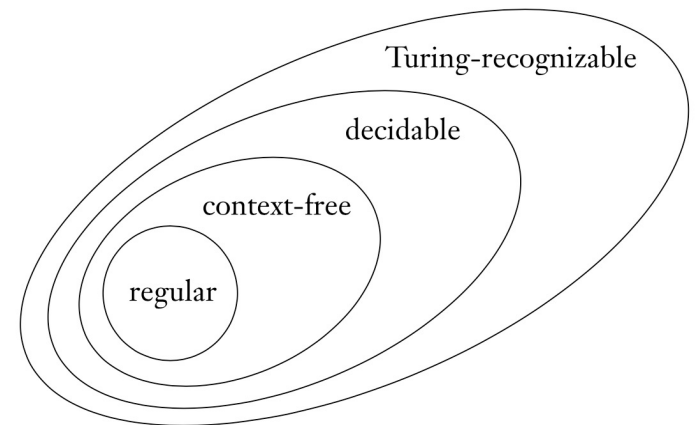
# $A$ is decidable $\Rightarrow A$ and $\bar{A}$ are Turing-recognizable

---

## Proof:

If  $A$  is decidable, as the operation on decidable language is close, thus  $\bar{A}$  is also decidable

Because all Turing-decidable languages are Turing-recognizable, therefore,  $A$  and  $\bar{A}$  are Turing-recognizable



# $A$ is decidable $\Leftrightarrow A$ and $\bar{A}$ are Turing-recognizable

Proof:

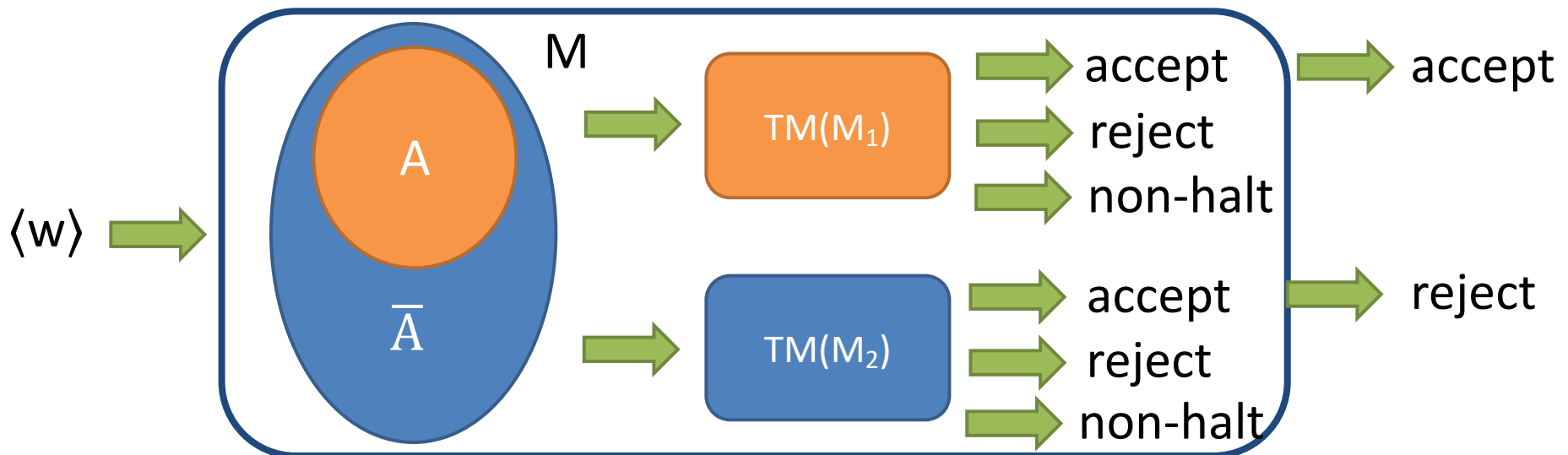
If  $A$  and  $\bar{A}$  are Turing-recognizable. Let  $M_1$  is recognizer TM of  $A$  and  $M_2$  is recognizer TM of  $\bar{A}$ . Create a TM  $M$  as a decider for  $A$ ,

$M =$  "On input  $w$ :

Run both  $M_1$  and  $M_2$  on input  $w$  in parallel.

If  $M_1$  accepts, accept;

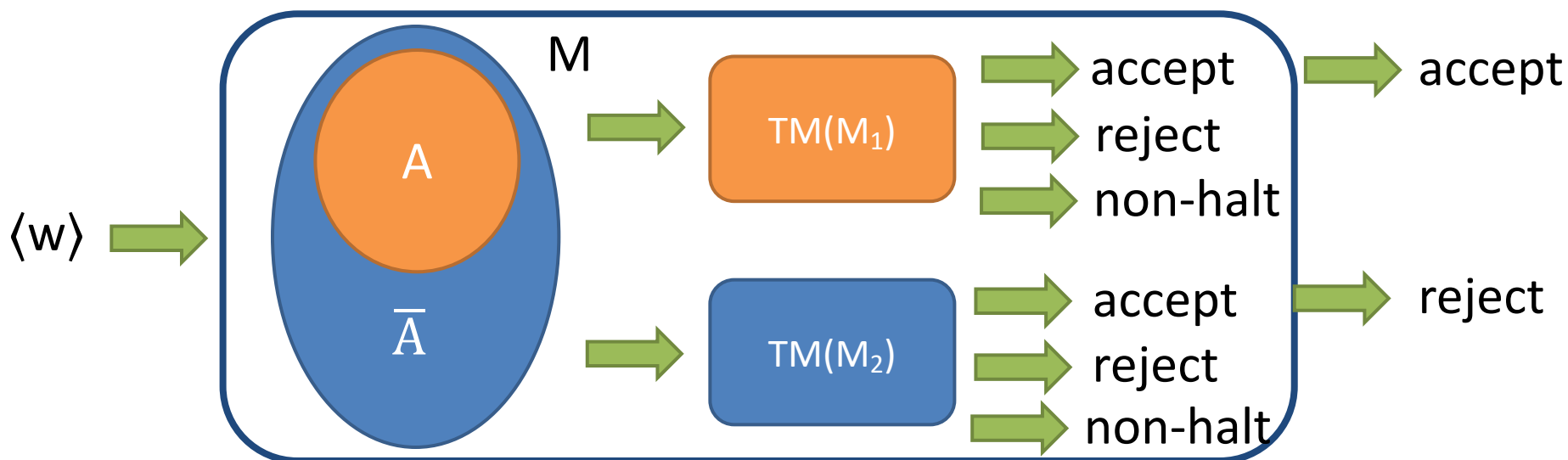
if  $M_2$  accepts, reject."



# Theorem 4.22 proof

Because for each string  $w$ , it is either in  $A$  or  $\bar{A}$ . Thus for  $M_1$  and  $M_2$ , one TM must accept  $w$ . When  $M_1$  or  $M_2$  accepts  $w$ ,  $M$  will halt

Also, because  $M$  accepts all strings in  $A$  (for  $M_1$ ) and reject all strings not in  $A$  ( $\bar{A}$  for  $M_2$ ). Thus,  $A$  is decidable

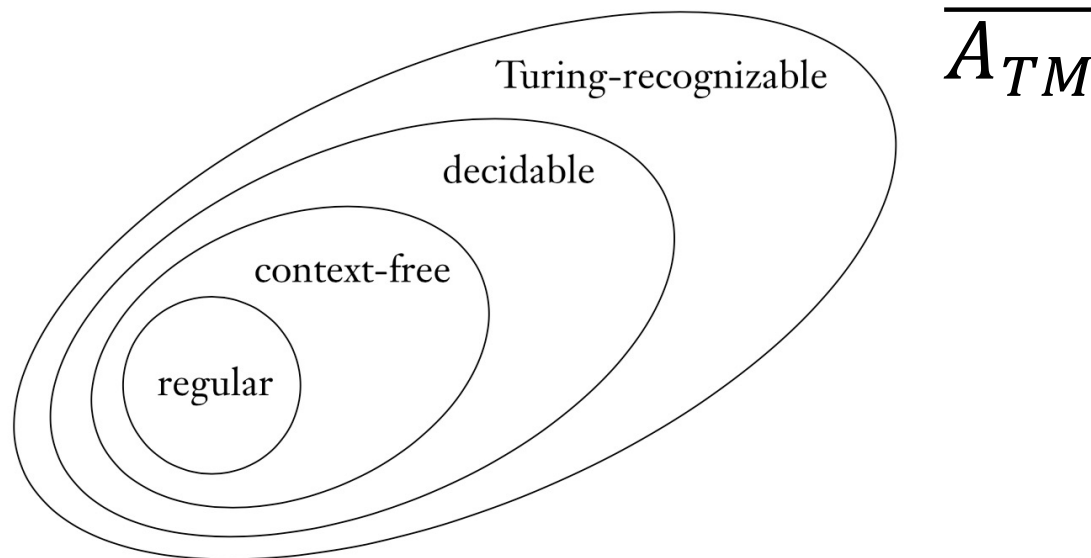


# Corollary 4.23

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- Corollary 4.23

- $\overline{A_{TM}}$  is not Turing-recognizable
- In other words, is there a language that TM cannot recognize?



# Corollary 4.23 proof

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- Corollary 4.23:  $\overline{A_{TM}}$  is not Turing-recognizable
  - $A_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w\}$  is not decidable

## Proof by contradiction:

Suppose  $\overline{A_{TM}}$  is Turing-recognizable

because  $A_{TM}$  is Turing-recognizable (based on definition)

So  $A_{TM}$  is Turing-decidable (theorem 4.22)

However,  $A_{TM}$  is undecidable (theorem 4.11)

Contradiction.



# Conclusion on decidability

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- Decidable?

	DFA/NFA/RE	CFG	TM
Acceptance (A)	✓	✓	×
Emptiness (E)	✓	✓	×
Equivalence (EQ)	✓	×	×

- Diagonalization method to prove a language is undecidable
- Non Turing-recognizable language  $\overline{A_{TM}}$  exists

