

# CS 7172

## Parallel and Distributed Computation

**CAP**

**Kun Suo**

Computer Science, Kennesaw State University

<https://kevinsuo.github.io/>

# Outline

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- Data in Distributed System
- CAP theory
  - Consistency
  - Availability
  - Partition tolerance
- Example of CAP theory



# Data in Distributed System

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- The key object of distributed system processing is data
- How to process data in distributed system?



# Data in Distributed System

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- How to ensure that the data queried by different regions accessing different servers is consistent



# CAP

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- What is CAP?



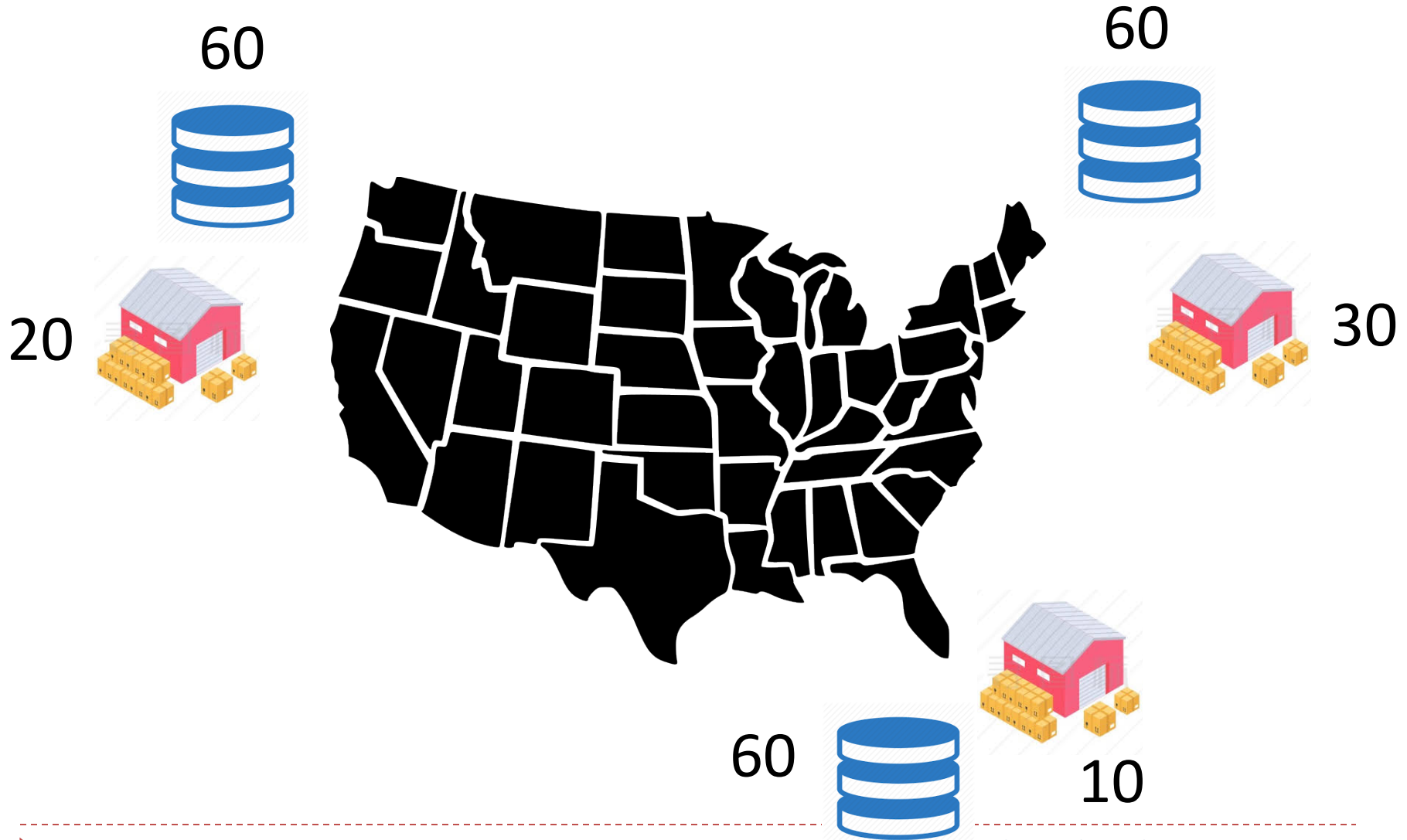
# CAP: consistency, availability, partition tolerance

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- Consistency: the data of all nodes at the same time is the same
- After the response is completed and the update operation finishes, the data stored by all nodes must remain the same



# Consistency



# Consistency



60->59



19



60->59



30



60->59



10





# Availability

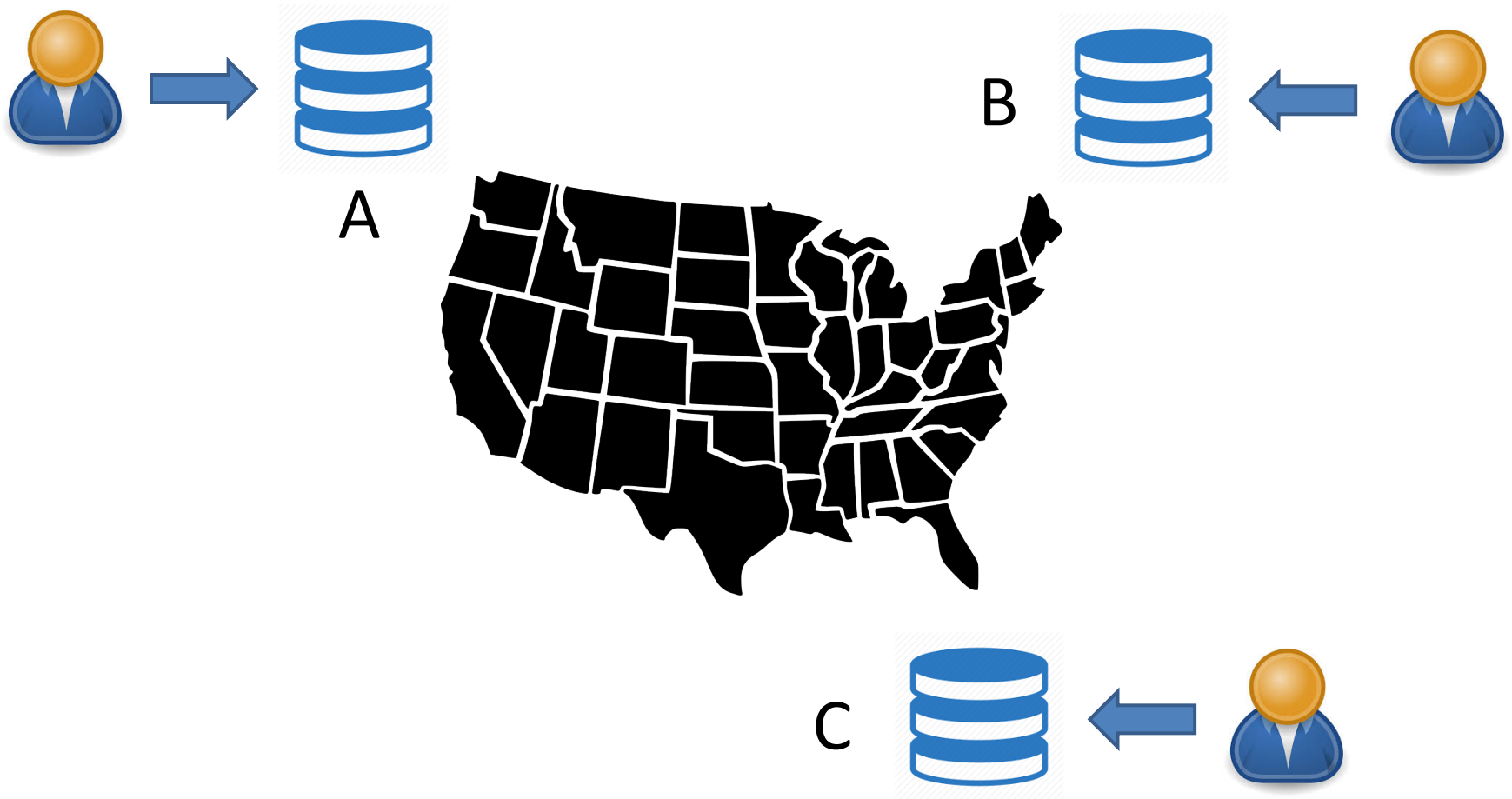
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- Availability means that the services provided by the system are always available and can respond to user requests immediately
- E.g., server can response to user whenever the user sends requests to server A, or B, or C.



# Availability: when a user sends a query request

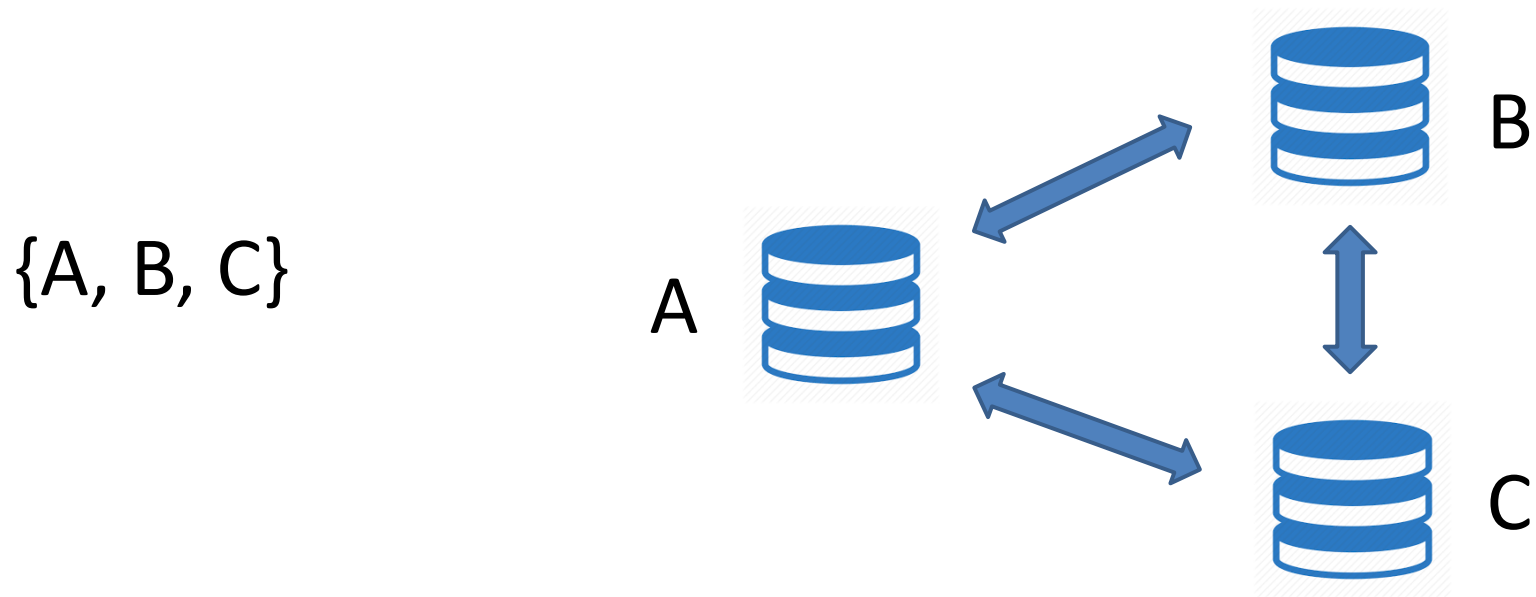
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# Partition tolerance

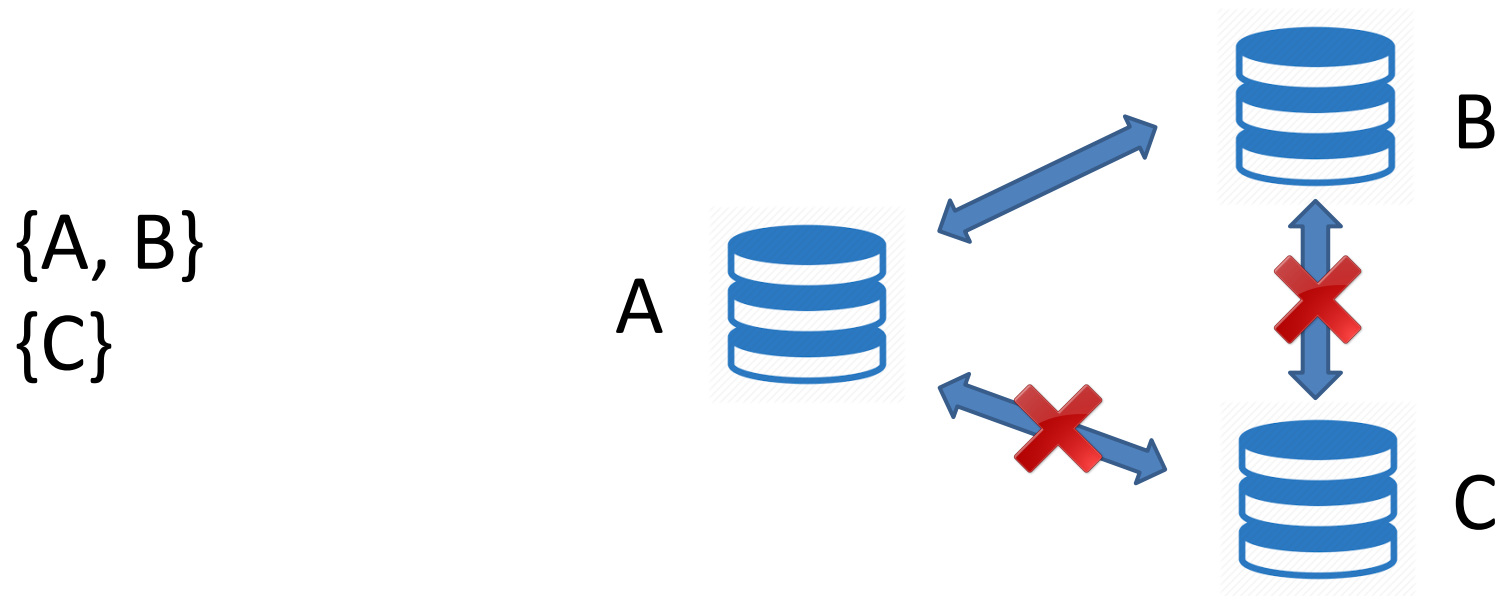
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- **Network partitioning:** the network is disconnected due to a network failure. Different nodes are distributed in different subnetworks, and the network within each subnetwork is normal.



# Partition tolerance

- **Network partitioning:** the network is disconnected due to a network failure. Different nodes are distributed in different subnetworks, and the network within each subnetwork is normal.



# Network partition



broken optical fiber

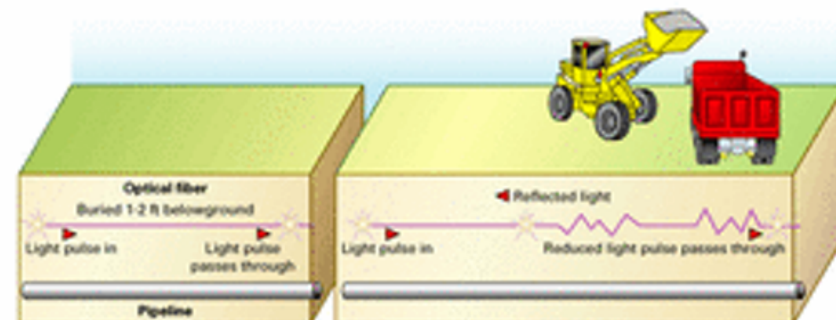
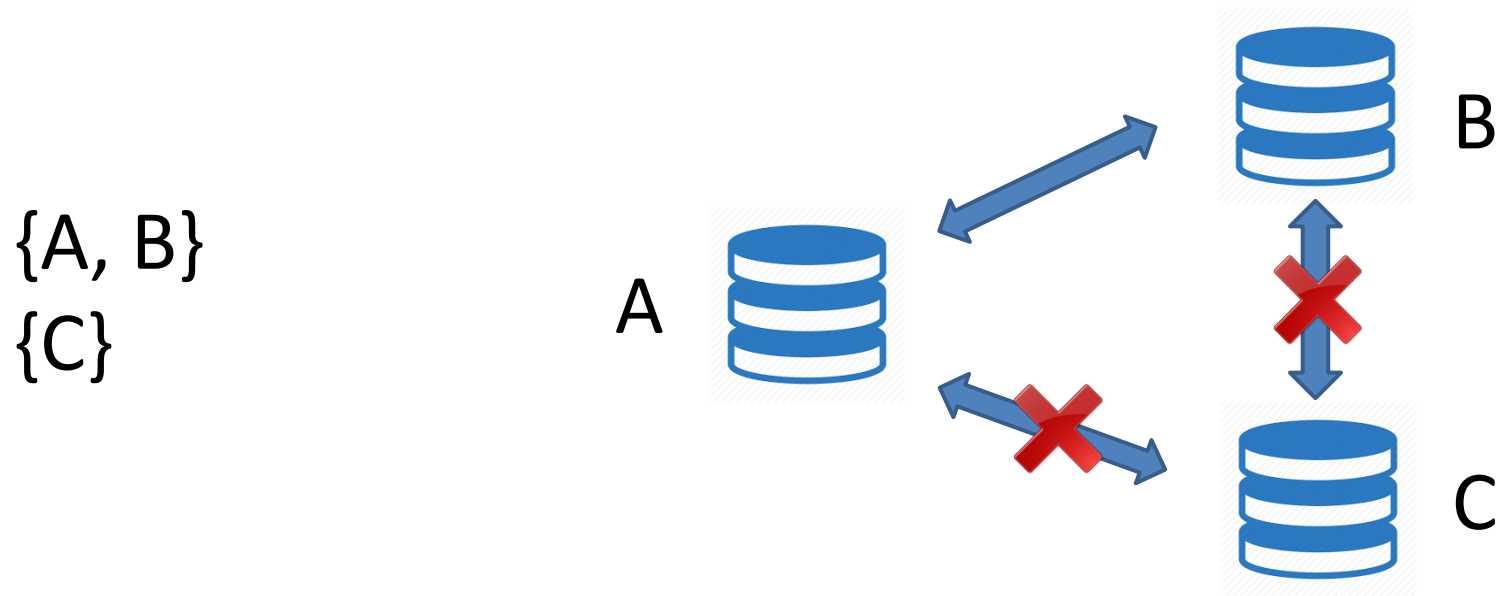


Fig. 1

# Partition tolerance

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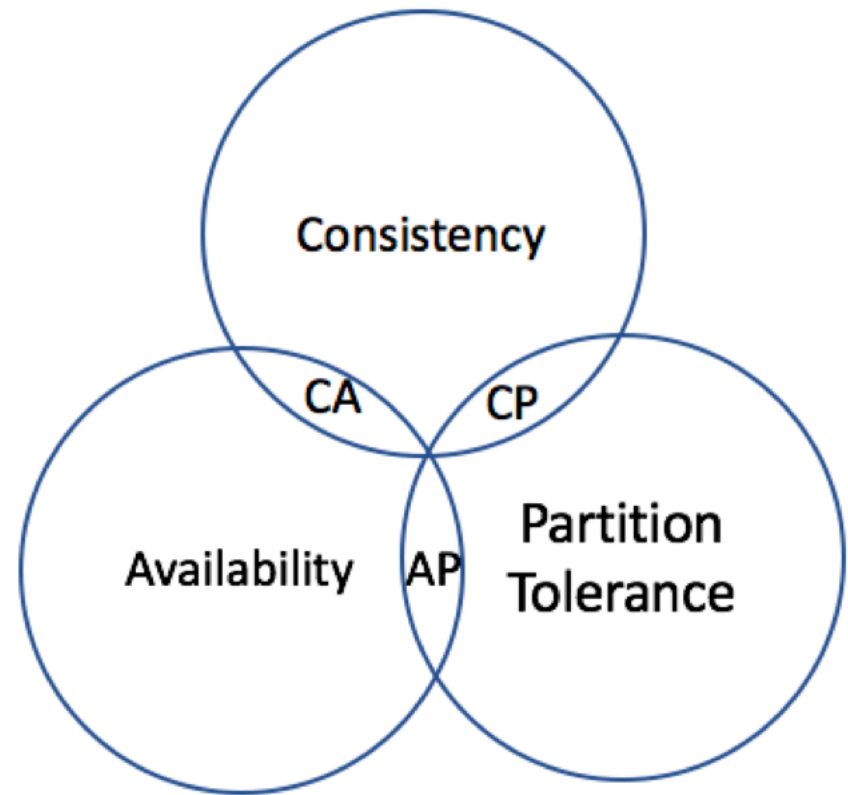
- Distributed systems can still respond to user requests when they encounter network partitions



# CAP theorem

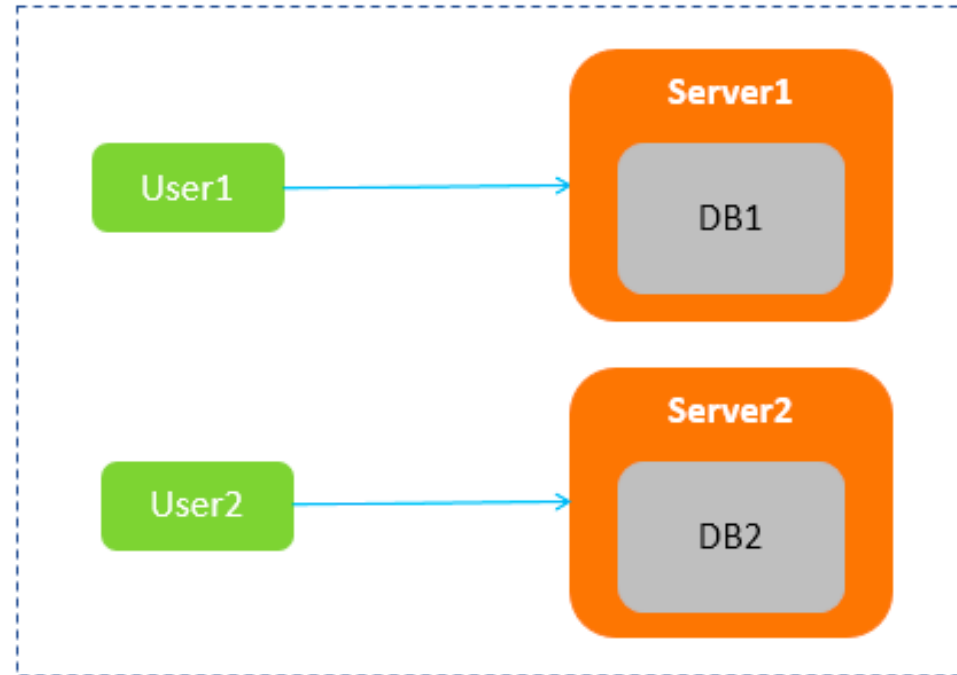
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- You cannot realize C, A and P at the same time
- One time you can only have *at most two* of them



# Example: what is CAP and why at most two of CAP exist

- A distributed system has two servers
- $DB_{\{i\}}$  runs on  $server_{\{i\}}$
- User1 sends requests to Server1
- User2 sends requests to Server2

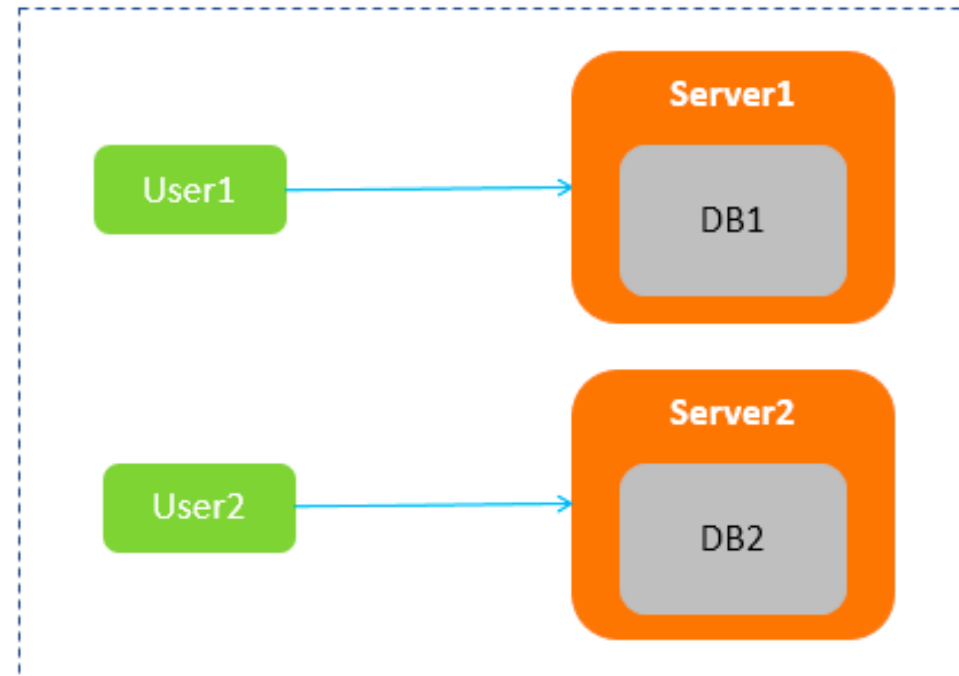




# Example: What is CAP and why at most two of CAP exist

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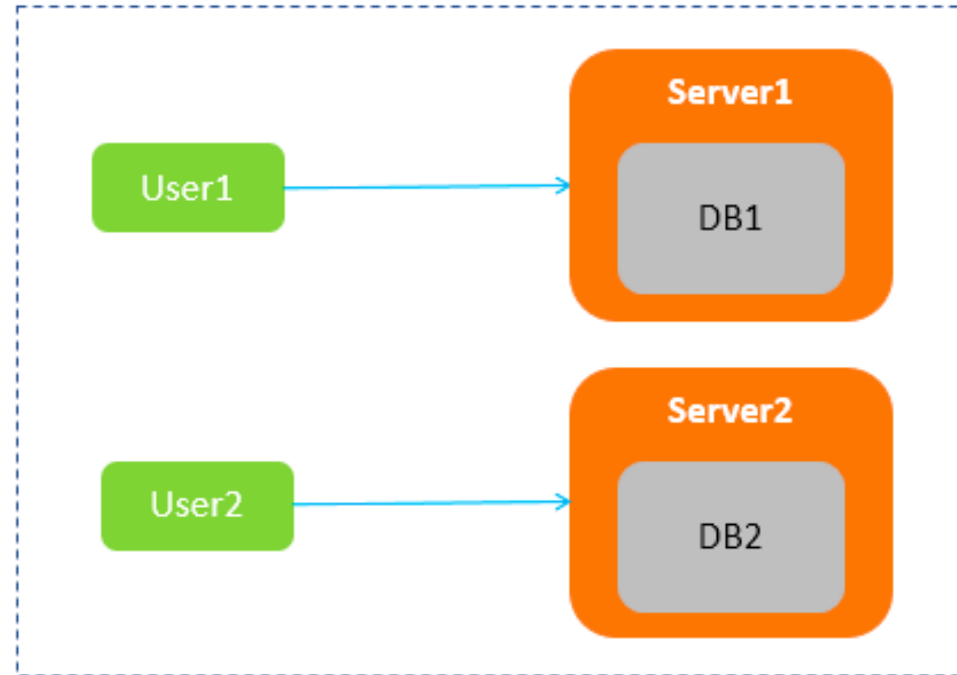
- What is C (consistency) in this system?
  - The databases in Server1 and Server2 are always consistent --> the contents of DB1 and DB2 must always be the same



# Example: What is CAP and why at most two of CAP exist

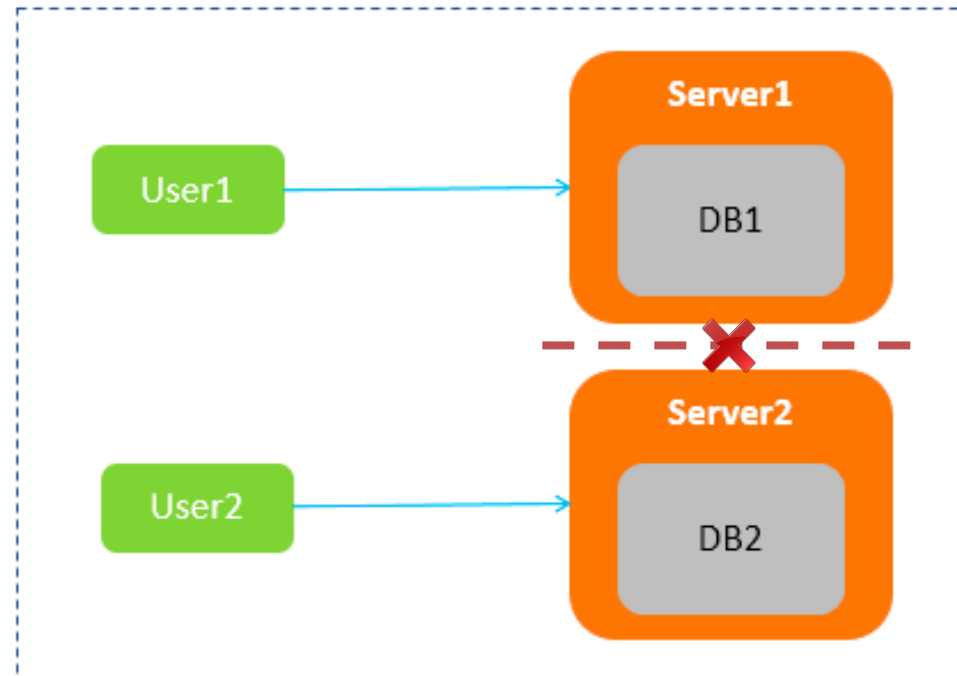
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- What is A (availability) in this system?
  - Users get instant response no matter they access Server1 or Server2



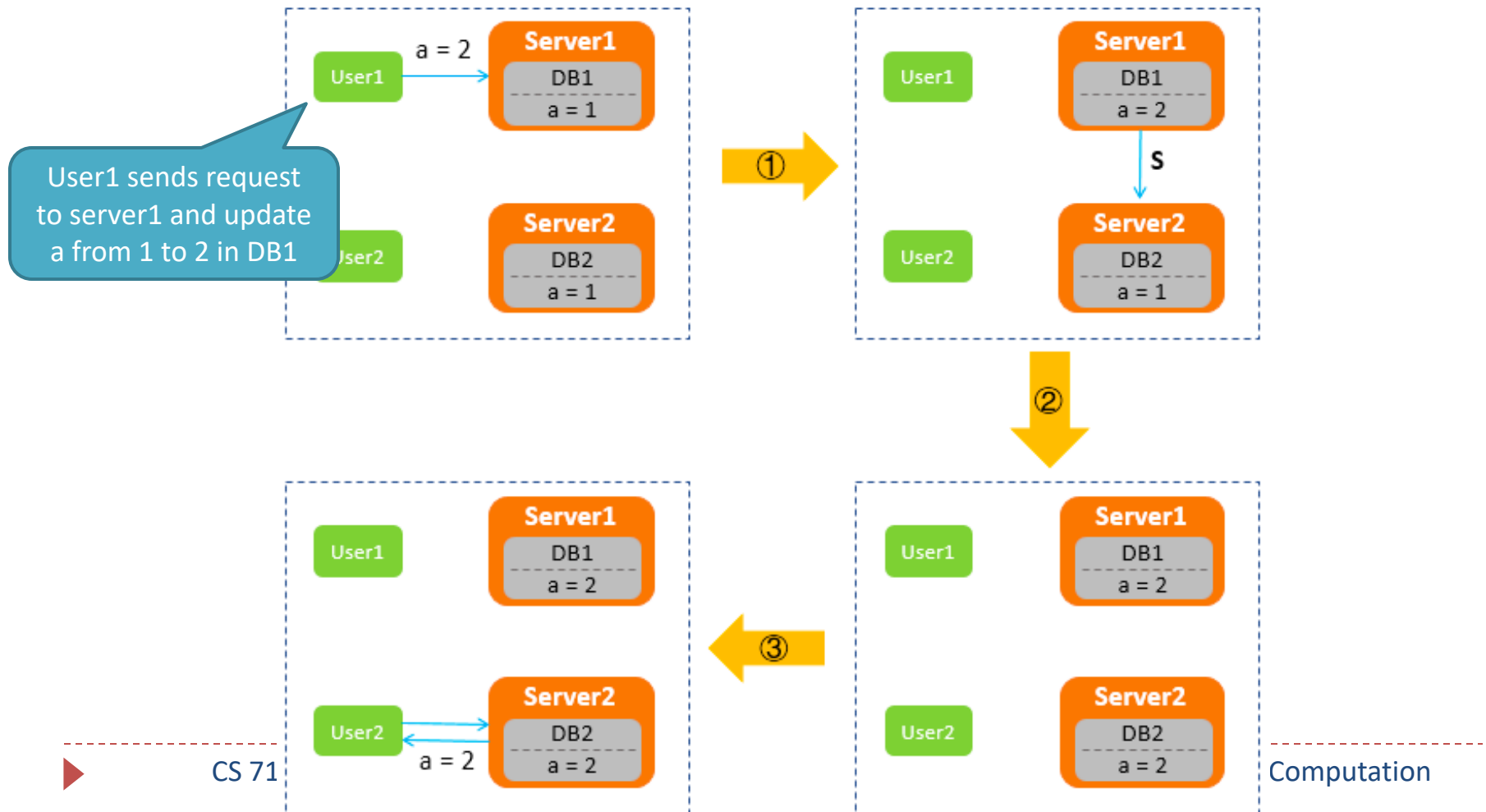
# Example: What is CAP and why at most two of CAP exist

- What is P (partition tolerance) in this system?
  - Even if a network failure occurs between Server1 and Server2, it will not affect Server1 and Server2 to process user requests



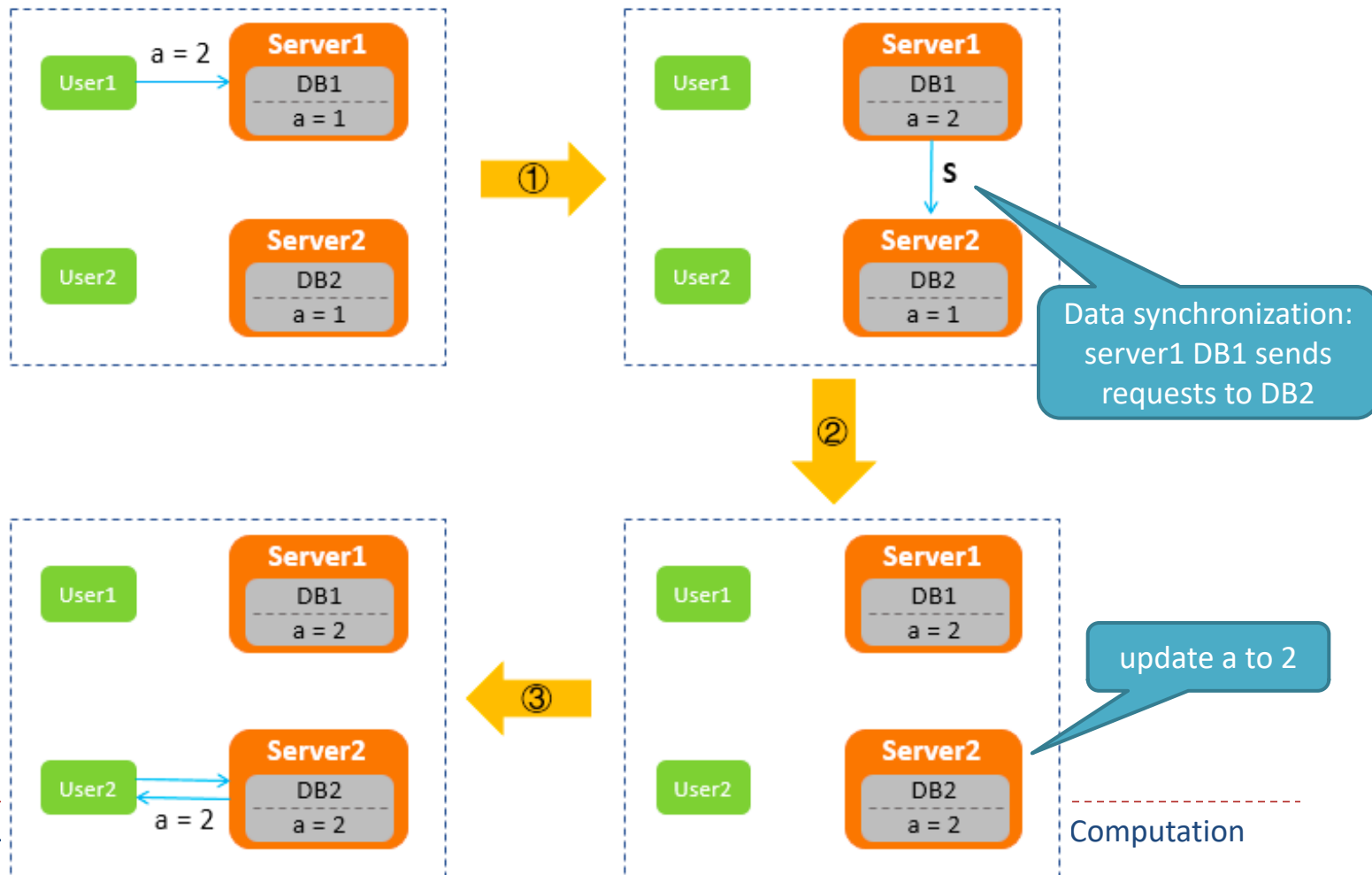
# Example: Why only at most two of CAP exist

- A normal case: everything works well



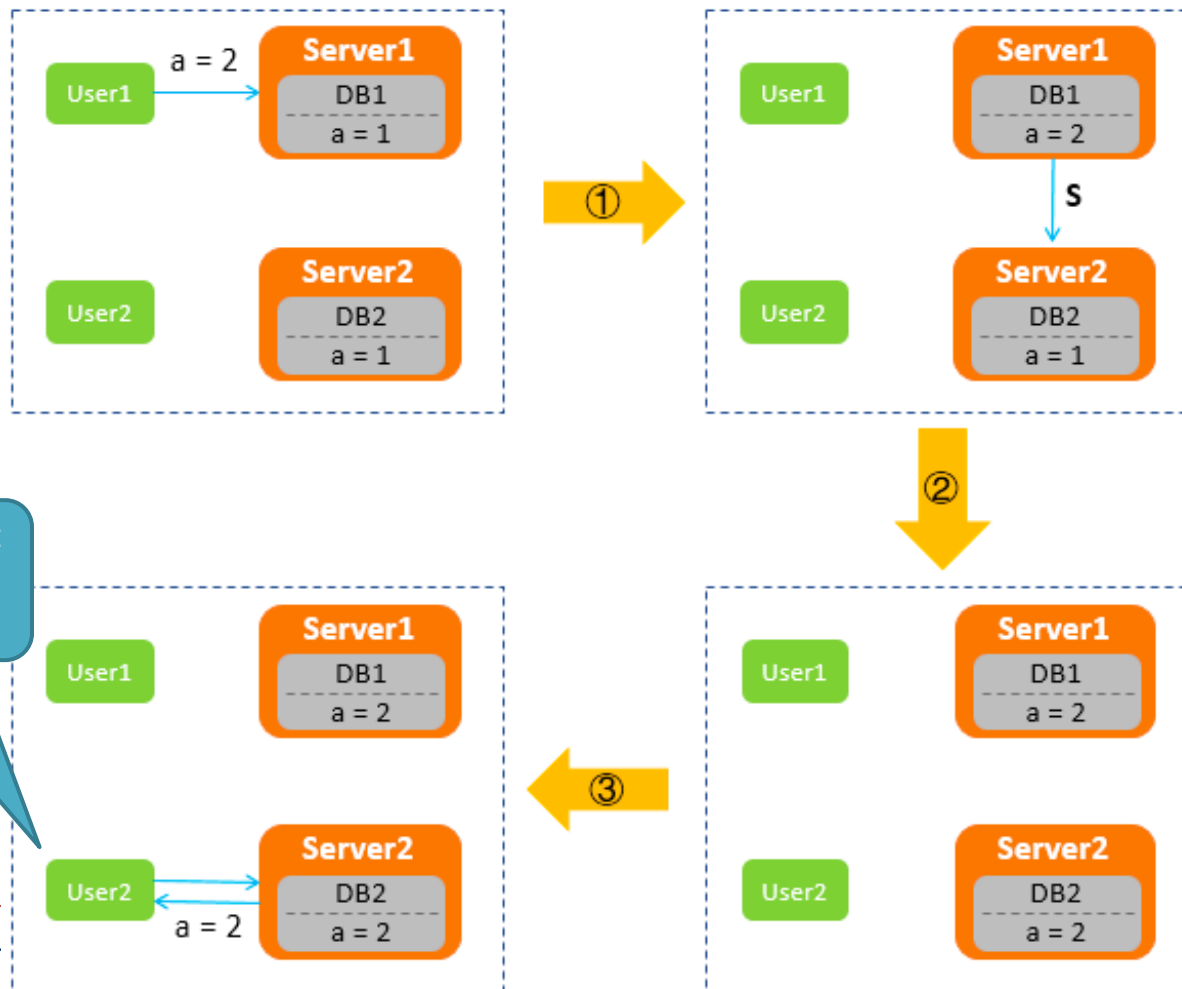
# Example: Why only at most two of CAP exist

- A normal case: everything works well



# Example: Why only at most two of CAP exist

- A normal case: everything works well



User2 sends request to server2 and gets  $a$  which is 2

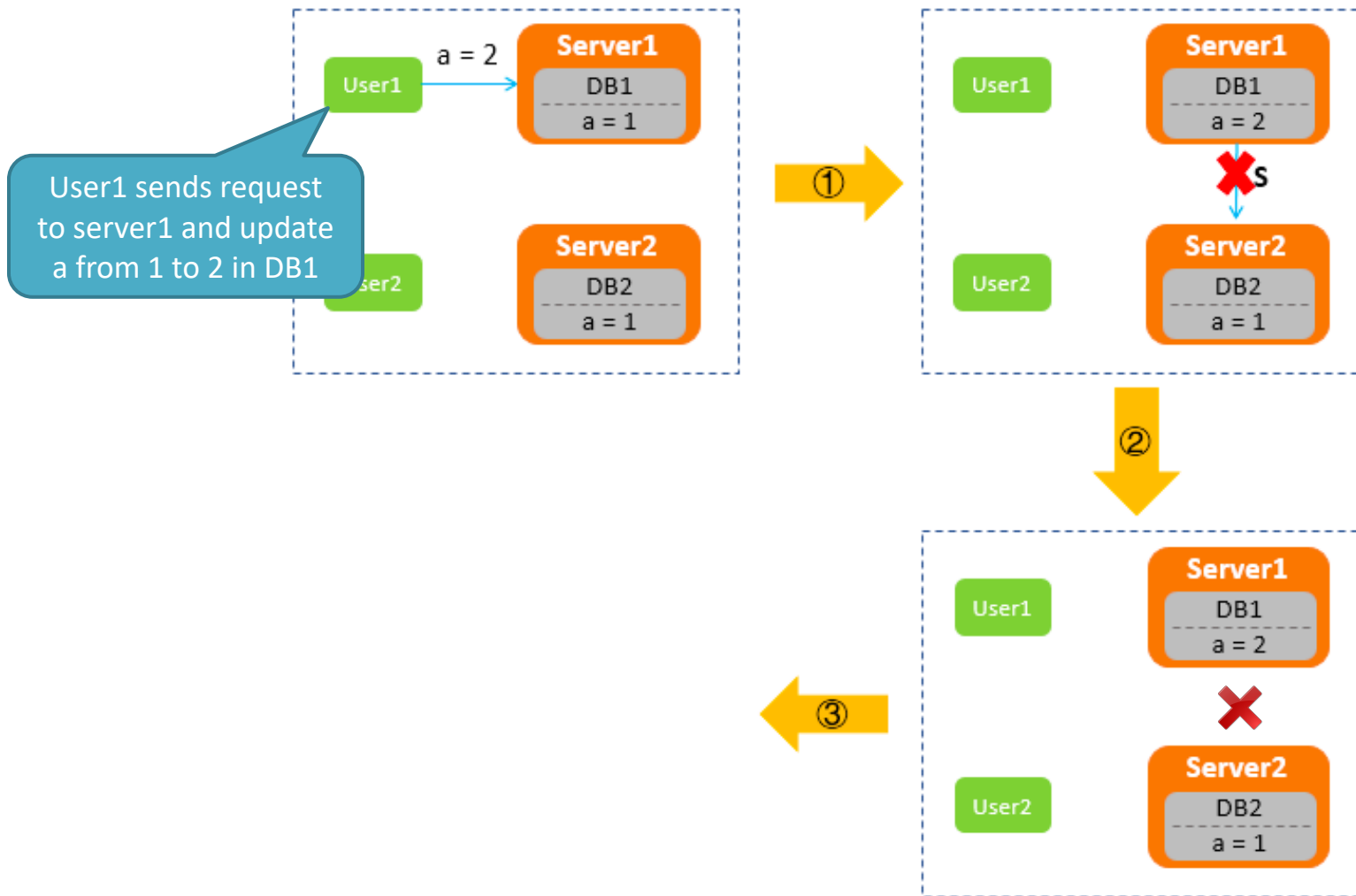
## Example: Why only at most two of CAP exist

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- A normal case: everything works well --> Workflow in a stable network environment and no system failure
- Reality: network failure always happens, such as network congestion, network hardware broken, etc.
  - network partitions in distributed system is inevitable
  - P(partition tolerance) must be guaranteed
  - Question: Can we satisfy C and A at the same time?

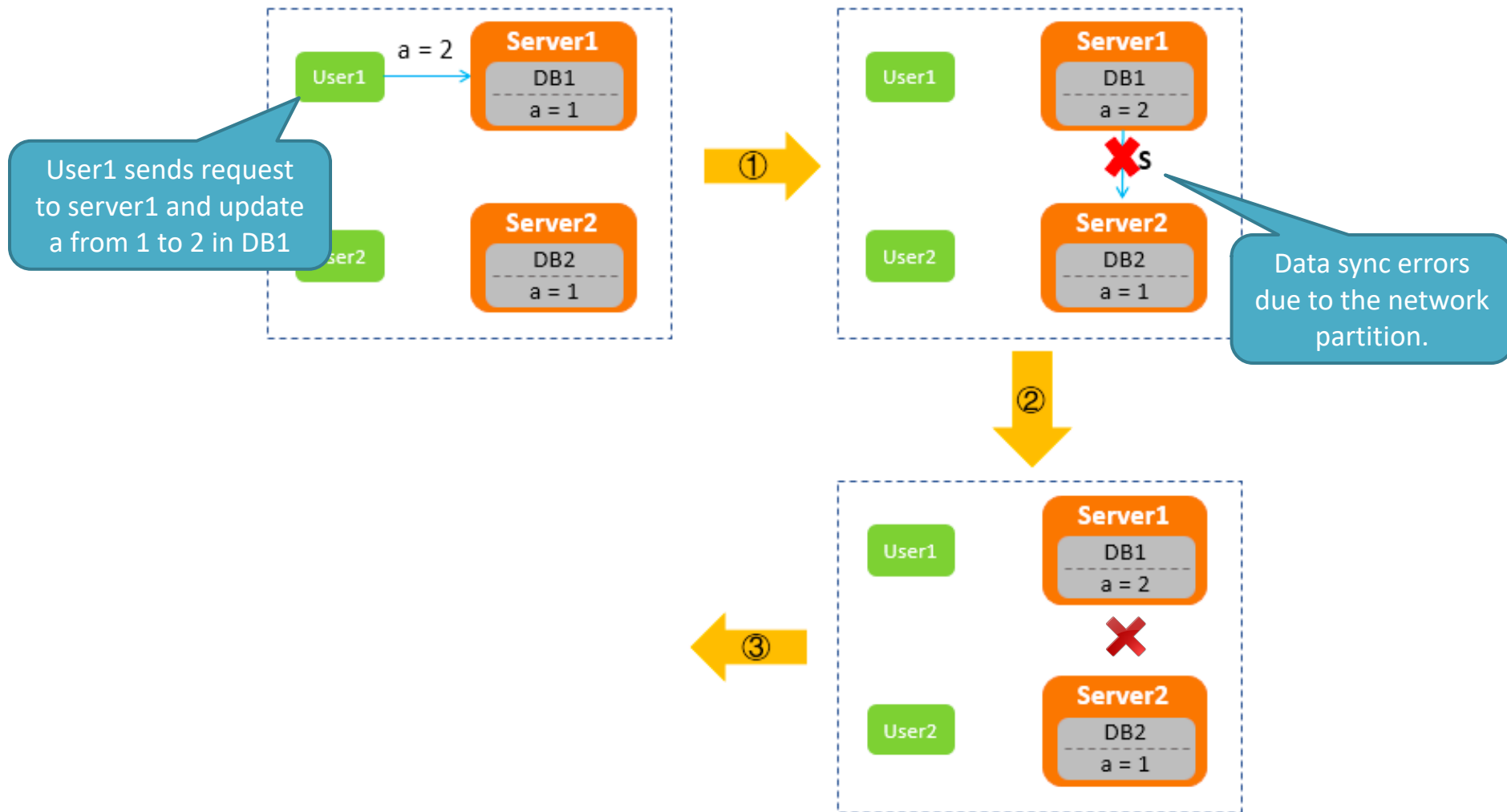


# Example: Why only at most two of CAP exist

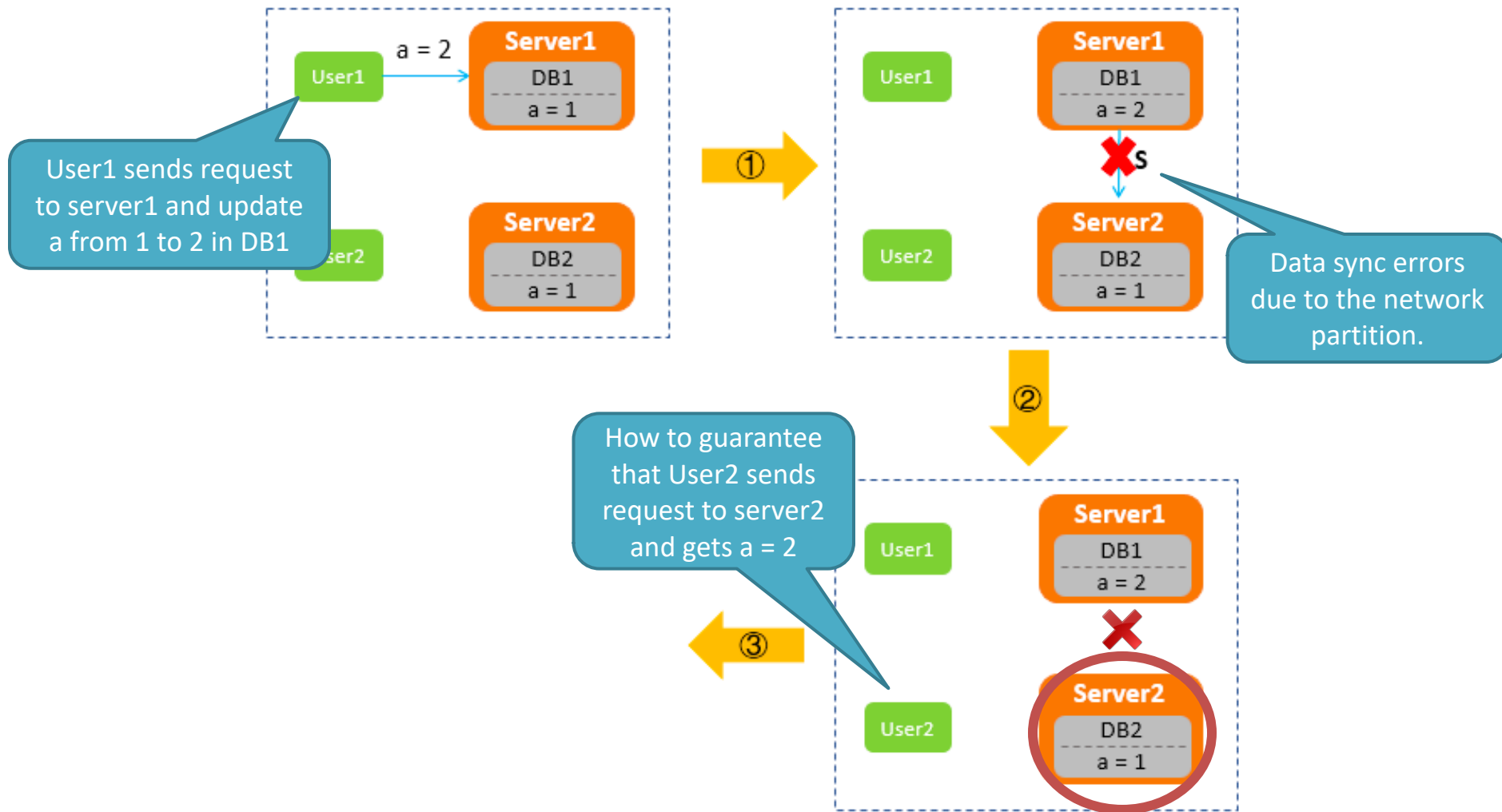




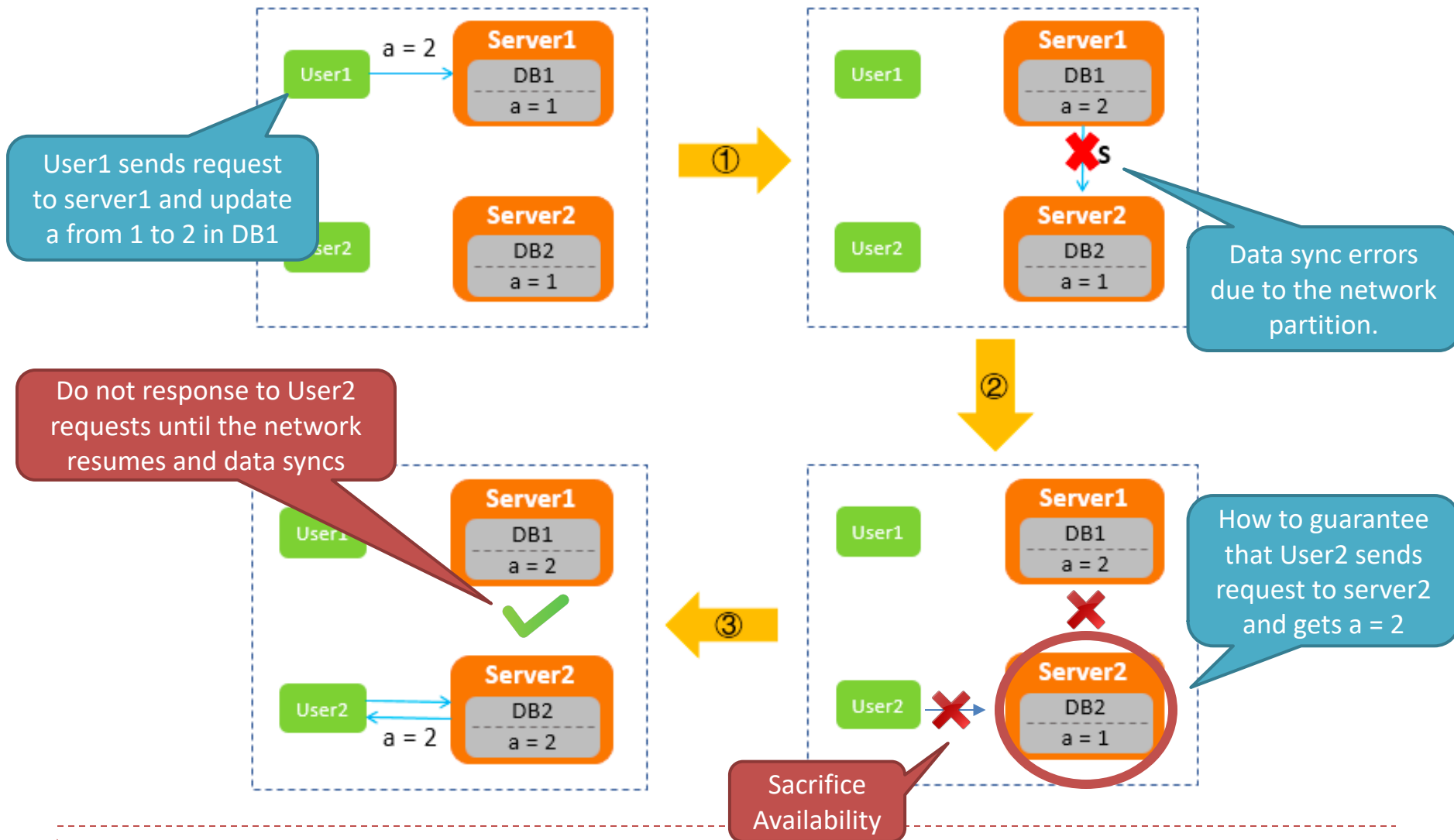
# Example: Why only at most two of CAP exist



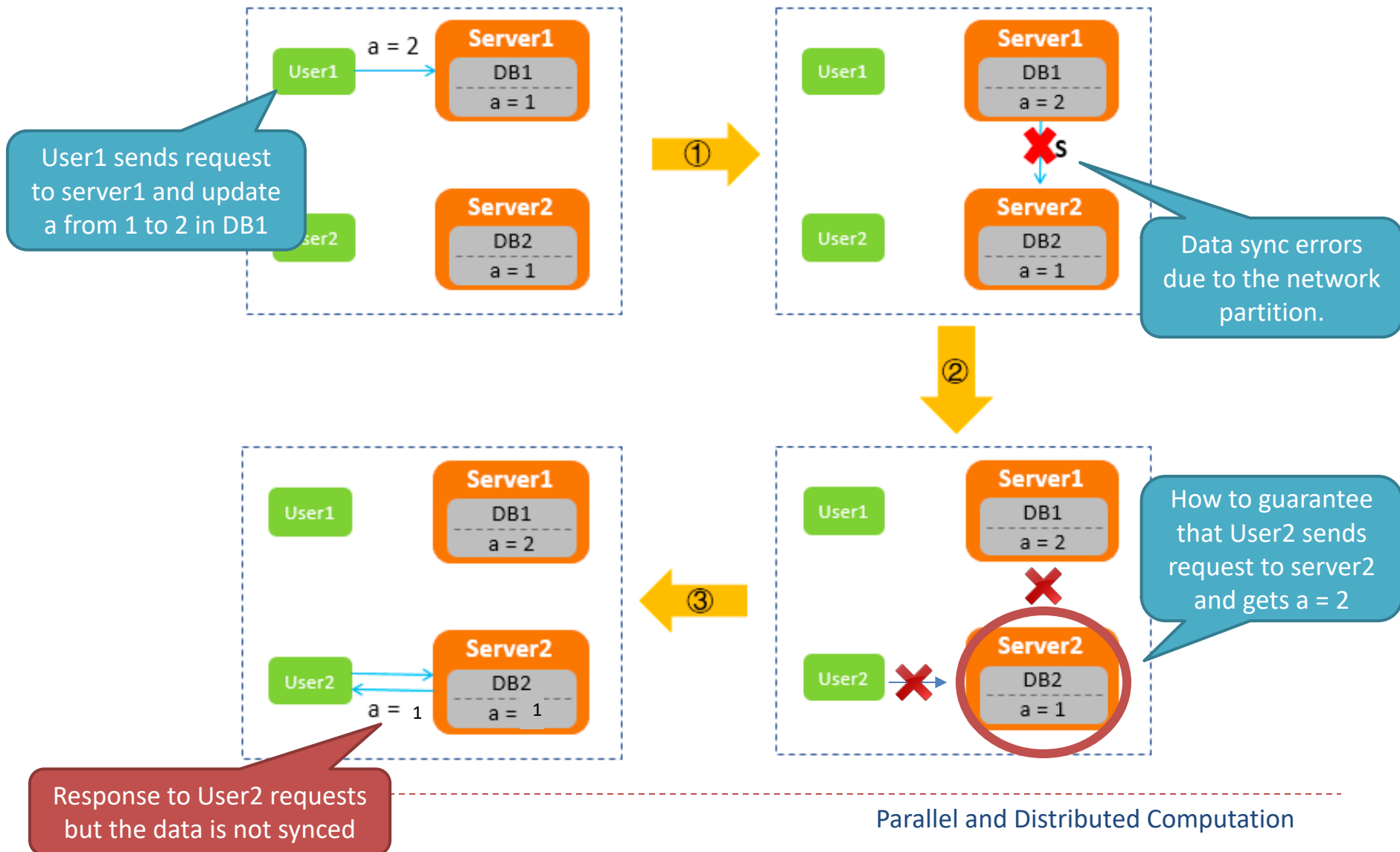
# Example: Why only at most two of CAP exist



## Option 1: guarantee Consistency and sacrifice Availability



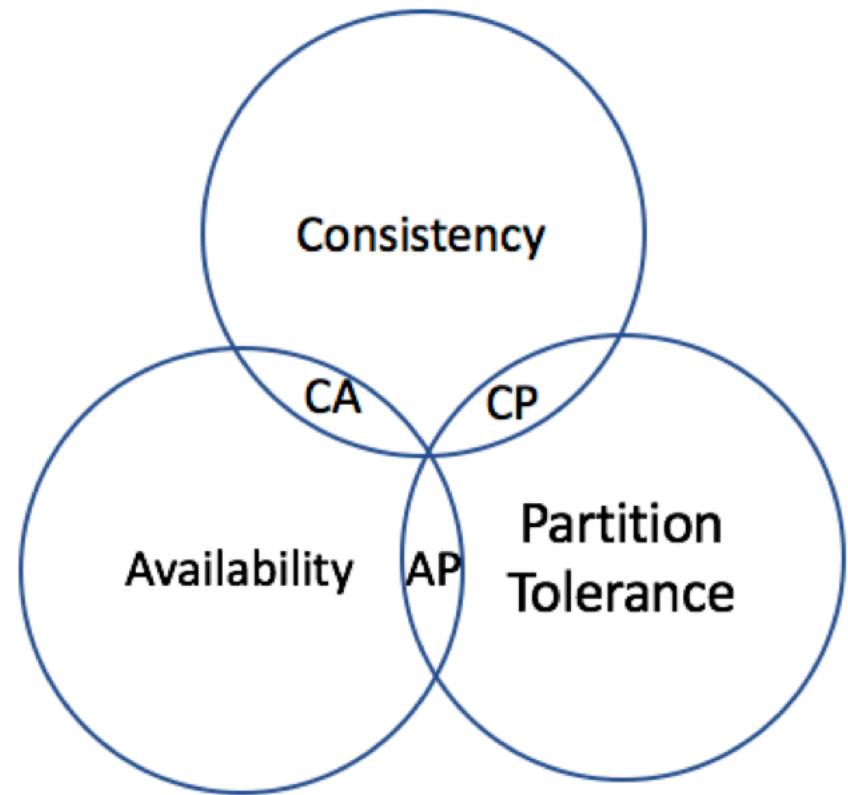
# Option 2: guarantee Availability and sacrifice Consistency and



# CAP theorem

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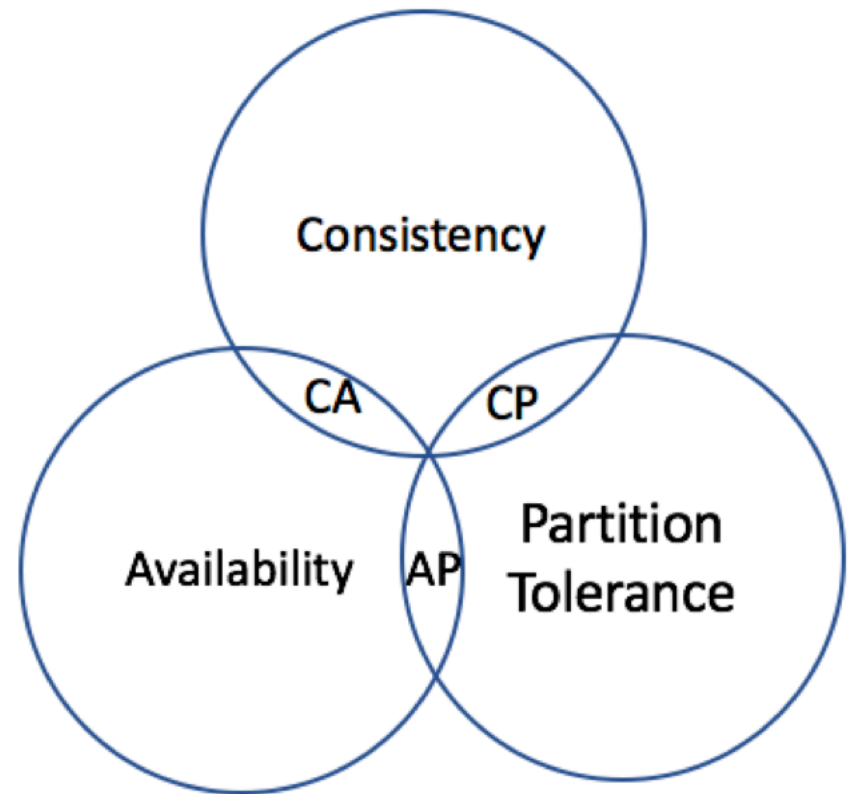
- You cannot realize C, A and P at the same time
- One time you can only have *at most two* of them



# CAP theorem

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- When to choose CA?
- When to choose AP?
- When to choose CP?
- CA/AP/CP works for different scenarios and no one is better than others



# Guarantee CA, Sacrifice P

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- In distributed systems, the current network infrastructure cannot always be stable, and network partitions (network disconnection) are inevitable
- We cannot sacrifice partition tolerance in distributed systems
- If all services are in a single machine, such as MySQL/Oracle on one server, we do not need to consider partition tolerance and can guarantee consistency and availability



# Guarantee CP, Sacrifice A

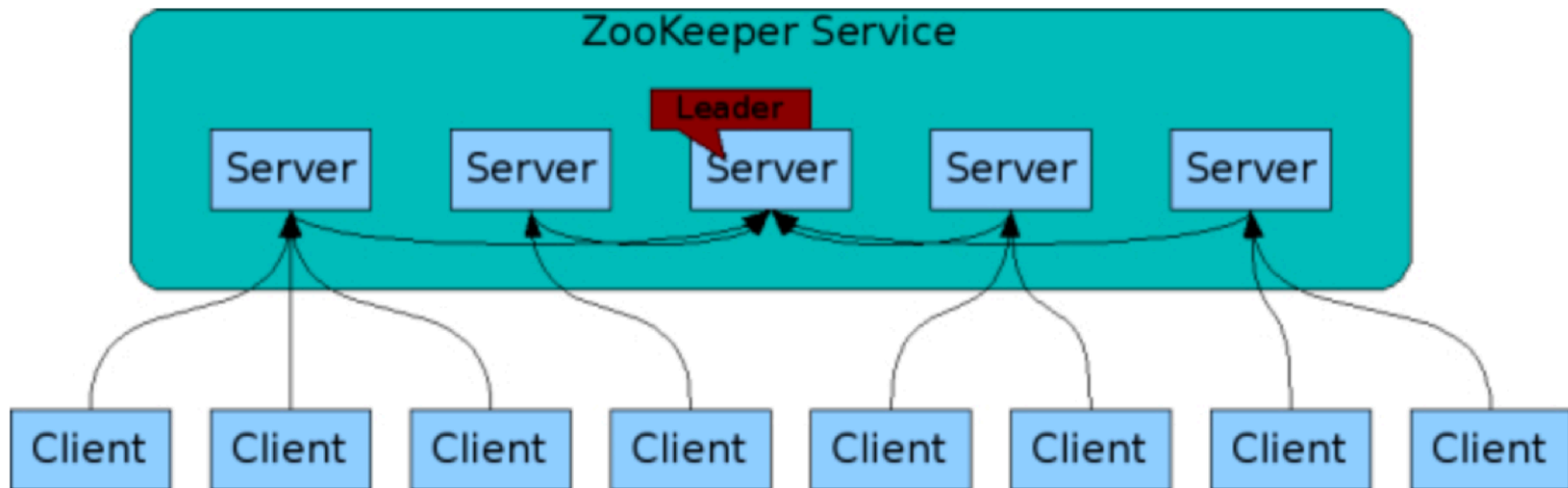
- If a distributed scenario requires *strong data consistency*, or the scenario *can tolerate* long periods of system non-response
- Usually it is used in distributed scenarios involving financial transactions, because it does not allow data inconsistencies at any time, otherwise it will cause losses to users





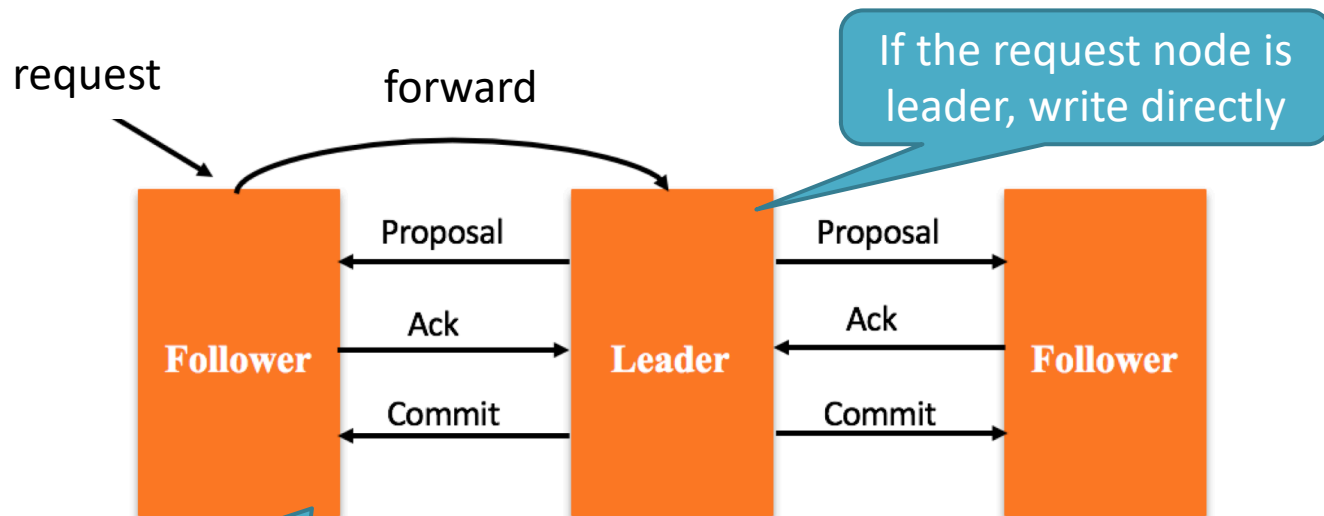
# Systems to guarantee CP

- Redis, Hbase, ZooKeeper
- ZooKeeper architecture: one leader, multiple follower servers



# Systems to guarantee CP

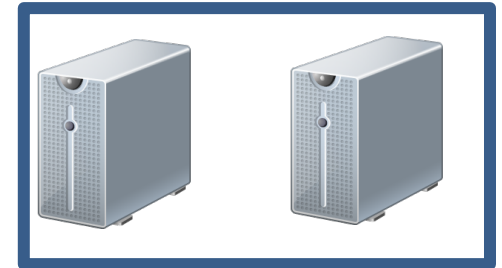
- Let's consider write request



# Systems to guarantee CP



If network partition happens



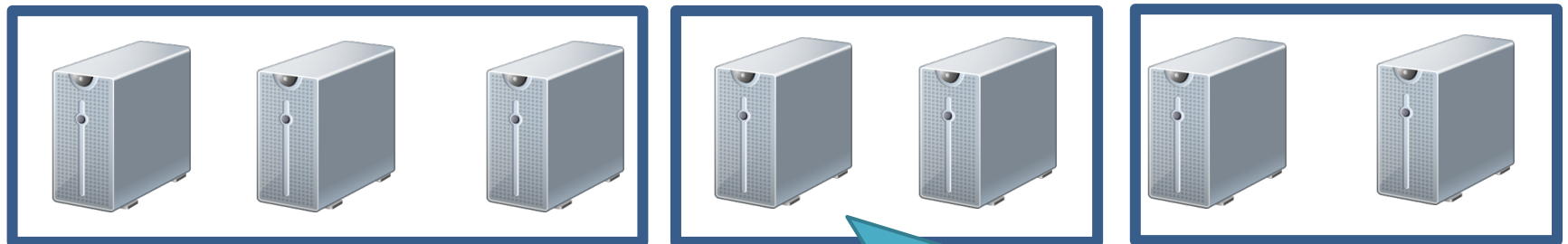
If one partition has more than half of the servers, it can select a new leader to provide service outside.



# Systems to guarantee CP



If network partition happens



If no partition has more than half of the servers, sacrifice the availability until the network connection resumes.



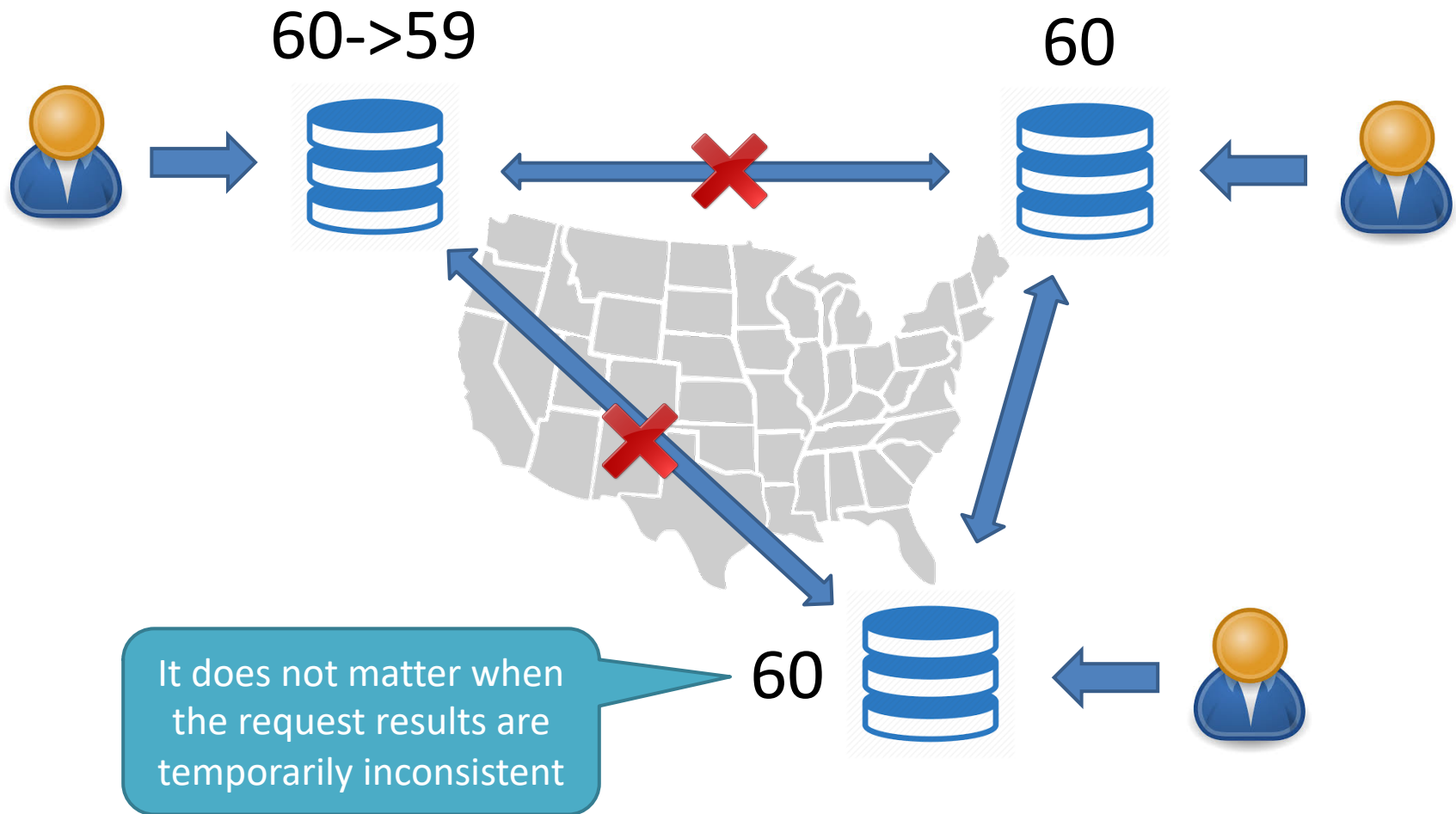
# Guarantee AP, Sacrifice C

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- If a distributed scenario requires high availability, it allows data to be *temporarily inconsistent* to provide better user experience
- Examples: many product queries in e-commerce systems, etc., the user experience is very important, so most of them will ensure the availability and sacrifice certain data consistency



# Guarantee AP, Sacrifice C



# Comparison

	Guarantee CA, Sacrifice P	Guarantee CP, Sacrifice A	Guarantee AP, Sacrifice C
Feature	Consistent Availability	Consistent Partition tolerance	Availability Partition tolerance
Scenario	Single server	Strong consistent requirement such as banks	High requirements to low user request latency
Example	MySQL Oracle	Redis Hbase ZooKeeper	Cassandra DynamoDB Eureka CoachDB

