

CS 6041

Theory of Computation

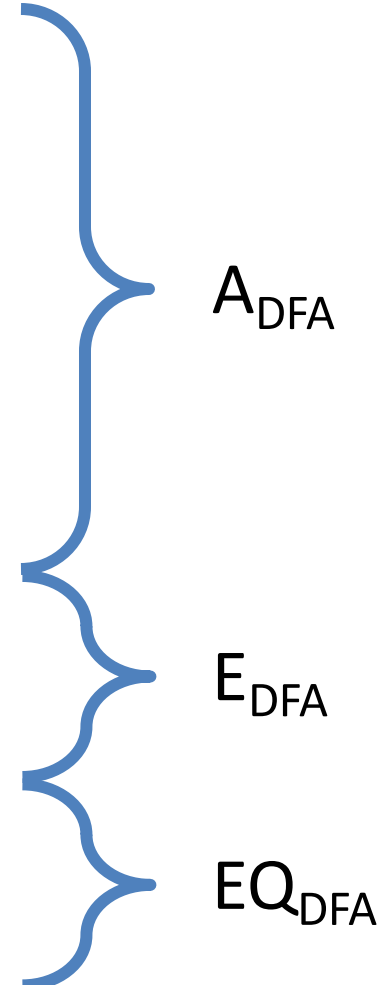
Decidability

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Decidable problems concerning regular languages

- Acceptance problem for DFAs
 - whether a DFA accepts a string
 - Acceptance problem for NFAs
 - whether a NFA accepts a string
 - Regular expression decidability
 - Whether a regular expression generates a string
 - Emptiness testing for DFAs
 - Whether a DFA is empty
 - Equivalence of DFAs
 - Whether two DFAs recognize the same language
- 
- A_{DFA}
- E_{DFA}
- EQ_{DFA}

Decidability

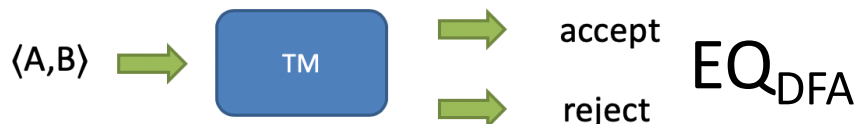
- Decidable?

| | DFA/NFA/RE | CFG | TM |
|-------------------------|-------------------|------------|-----------|
| Acceptance (A) | ✓ | | |
| Emptiness (E) | ✓ | | |
| Equivalence (EQ) | ✓ | | |

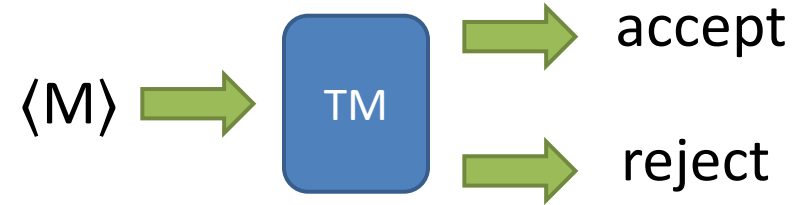


Question

- Prove: $A = \{ \langle M \rangle \mid M \text{ is a DFA that doesn't accept any string containing an odd number of 1s} \}$. Show that A is decidable.



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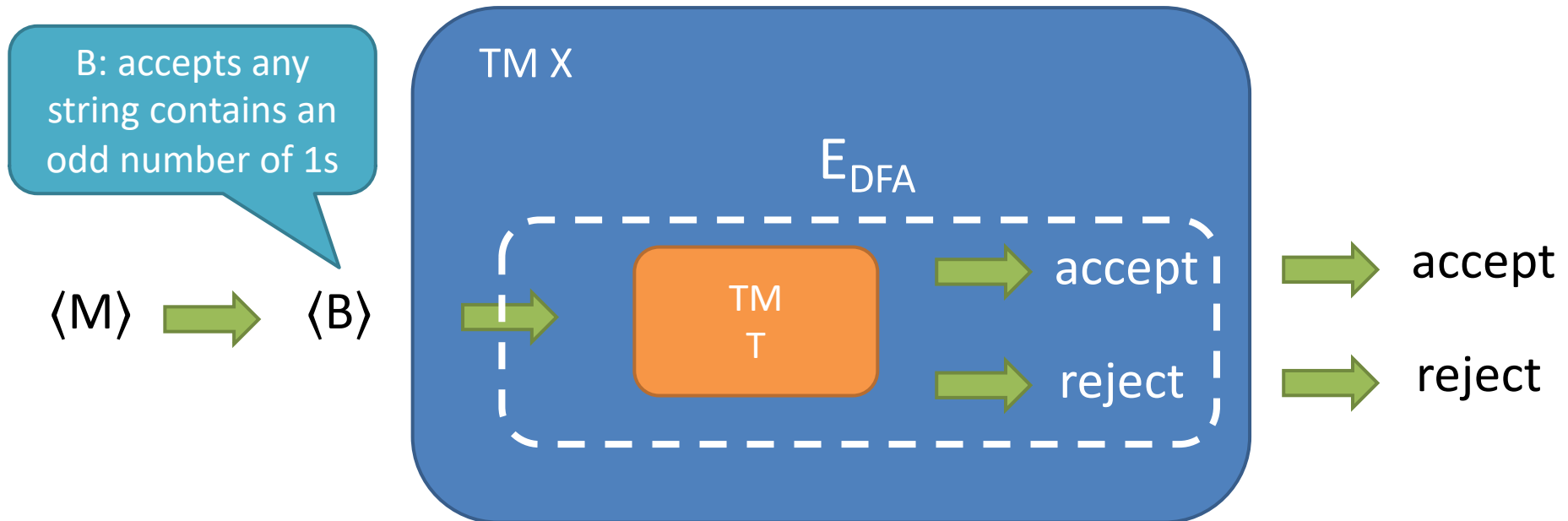
$X =$ "On input $\langle M \rangle$ where M is a DFA:

- 1, construct a DFA O that accepts any string contains an odd number of 1s
- 2, construct a DFA B such that $L(B) = L(M) \cap L(O)$
- 3, run TM T from E_{DFA} on input $\langle B \rangle$
- 4, if T accepts, X accepts; if T rejects, X accepts.

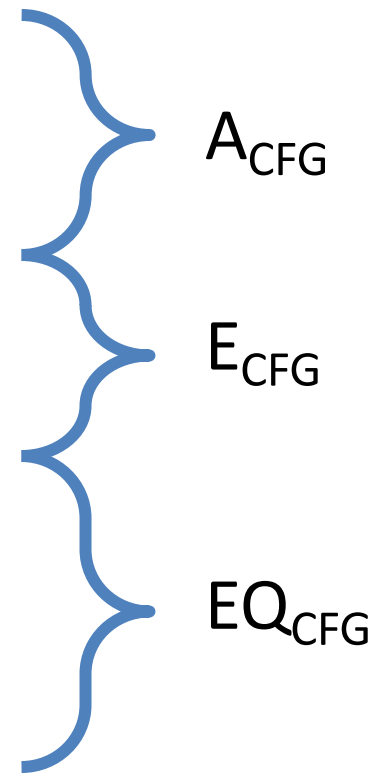
"

Question

- Prove: $A = \{\langle M \rangle \mid M \text{ is a DFA that doesn't accept any string containing an odd number of 1s}\}$. Show that A is decidable.



Decidable problems concerning CFL/CFGs

- CFG generation decidability
 - Whether a CFG generates a particular string
 - Emptiness testing for CFGs
 - Whether a CFG is empty
 - Equivalence of CFGs
 - Whether two CFGs recognize the same language
 - CFL decidability
 - Whether a CFL is decidable
- 
- A_{CFG}
- E_{CFG}
- EQ_{CFG}

Decidability

- Decidable?

| | DFA/NFA/RE | CFG | TM |
|------------------|------------|-----|----|
| Acceptance (A) | ✓ | ✓ | |
| Emptiness (E) | ✓ | ✓ | |
| Equivalence (EQ) | ✓ | × | |



Decidability

- Decidable?

| | DFA/NFA/RE | CFG | TM |
|------------------|------------|-----|----|
| Acceptance (A) | ✓ | ✓ | ? |
| Emptiness (E) | ✓ | ✓ | |
| Equivalence (EQ) | ✓ | × | |



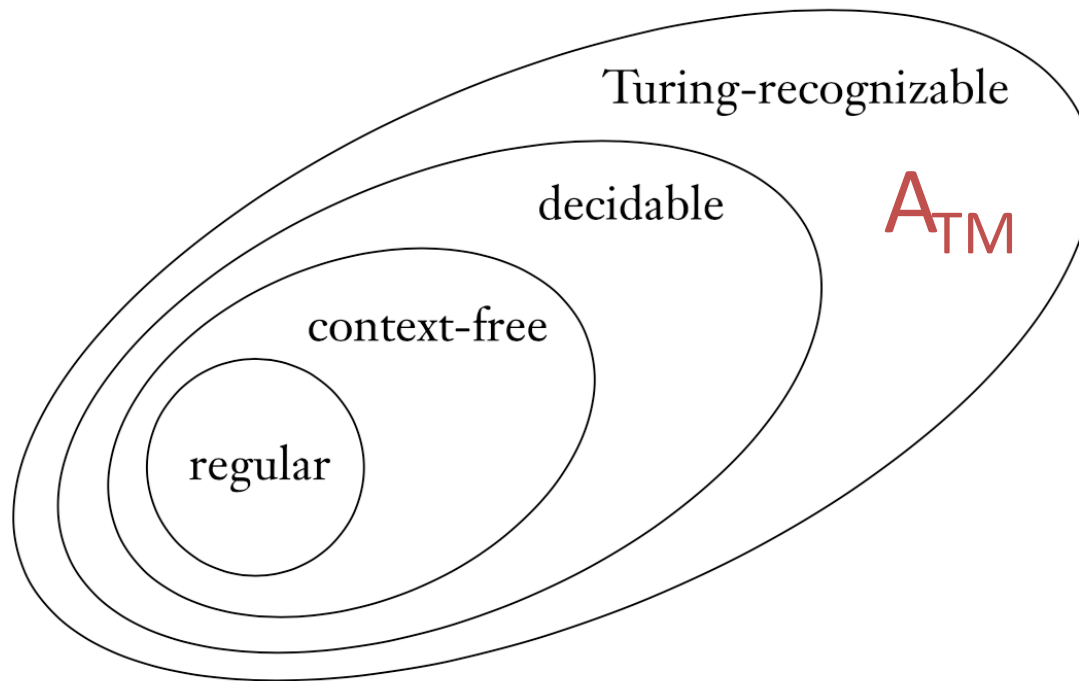
Decidable problems for Turing Machine

- Acceptance problem for Turing Machine
 - Whether a Turing machine accepts a given input string
- Language:
 - $A_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w\}$



Theorem 4.11

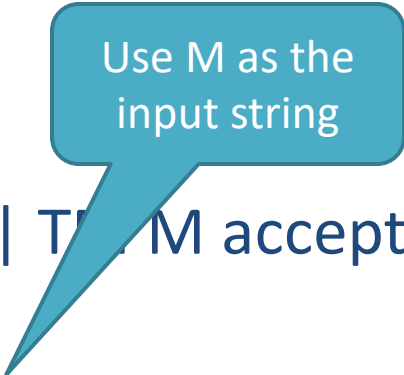
- A_{TM} is undecidable



Theorem 4.11 proof

- A_{TM} is undecidable

- Proof idea:



Use M as the
input string

$$A_{TM} = \{ \langle M, w \rangle \mid \text{TM } M \text{ accept string } w \}$$

$$D_{TM} = \{ \langle M, \langle M \rangle \rangle \mid \text{TM } M \text{ accept string } \langle M \rangle \}$$

D_{TM} is a special case of A_{TM}

If D_{TM} is undecidable, then A_{TM} must be undecidable

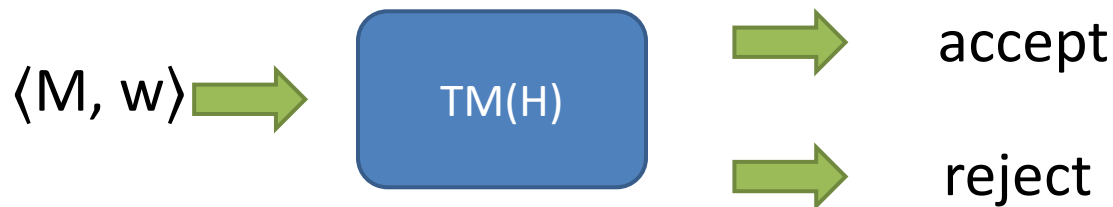
Theorem 4.11 proof details

- Proof by contradiction:

Suppose language A_{TM} is decidable, then

There exists a TM H can decide A_{TM}

$$H(\langle M, w \rangle) = \begin{cases} \text{accept,} & \text{if } M \text{ accepts } w \\ \text{reject,} & \text{if } M \text{ does not accept } w \end{cases}$$



Theorem 4.11 proof details

Create TM D, D="On input $\langle M \rangle$, where M is a TM:

(1) Run H on input $\langle M, \langle M \rangle \rangle$

(2) If H accepts, D reject;

if H rejects, D accept."

$$D(\langle M \rangle) = \begin{cases} \text{accept,} & \text{if M does not accept } \langle M \rangle \\ \text{reject,} & \text{if M accepts } \langle M \rangle \end{cases}$$



Theorem 4.11 proof details

$$D(\langle M \rangle) = \begin{cases} \text{accept,} & \text{if } M \text{ does not accept } \langle M \rangle \\ \text{reject,} & \text{if } M \text{ accepts } \langle M \rangle \end{cases}$$

For TM D , what will happen when input is $\langle D \rangle$?



Theorem 4.11 proof details

$$D(\langle M \rangle) = \begin{cases} \text{accept,} & \text{if } M \text{ does not accept } \langle M \rangle \\ \text{reject,} & \text{if } M \text{ accepts } \langle M \rangle \end{cases}$$

For TM D , what will happen when input is $\langle D \rangle$?

$$D(\langle D \rangle) = \begin{cases} \text{accept,} & \text{if } D \text{ does not accept } \langle D \rangle \\ \text{reject,} & \text{if } D \text{ accepts } \langle D \rangle \end{cases}$$

Then we have $D(\langle D \rangle) = \text{accept}$ and $D(\langle D \rangle) = \text{reject}$ at the same time. Contradiction!



Theorem 4.11 proof details

- Proof by contradiction:

Suppose language A_{TM} is decidable, then

There exists a TM H can decide A_{TM}

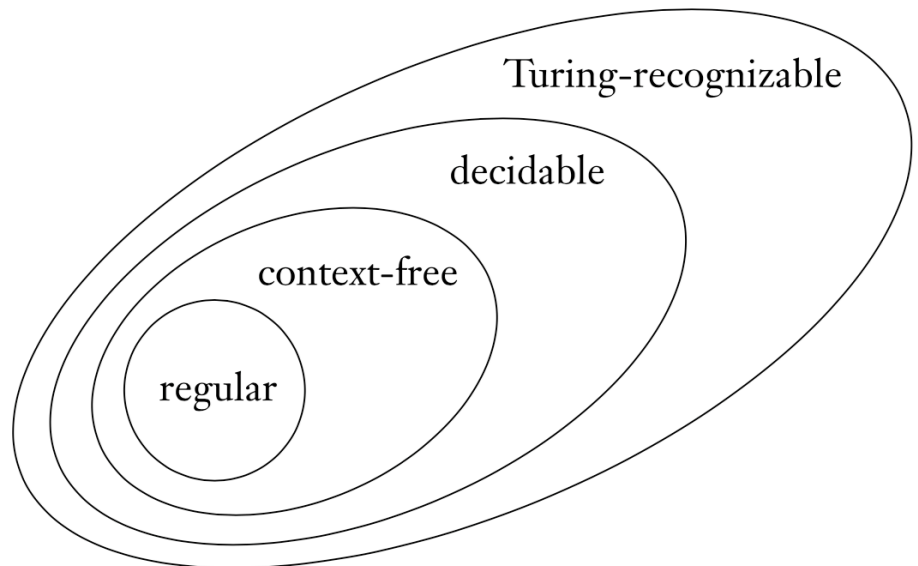
- Suppose is wrong, thus A_{TM} is undecidable



Theorem 4.11

- A_{TM} is undecidable
- In other words, we do not know whether a Turing machine accepts a given input string

Accept }
Reject } Halt
Loop = Never Halt



Explanation

- A_{TM} is undecidable
- Explanation by using *diagonalization method*

Suppose language A_{TM} is decidable, then

There exists a TM H can decide A_{TM}



Results of $H(\langle M, w \rangle)$

Because TM H can decide A_{TM} , so the result of $H(M, w)$ is either accept or reject

| | | | | | | | |
|----------|--|--|--|--|--|--|--|
| | | | | | | | |
| M_1 | | | | | | | |
| M_2 | | | | | | | |
| M_3 | | | | | | | |
| M_4 | | | | | | | |
| M_5 | | | | | | | |
| M_6 | | | | | | | |
| \vdots | | | | | | | |



Results of $H(\langle M, w \rangle)$

Because TM H can decide A_{TM} , so the result of $H(M, w)$ is either accept or reject

| | $\langle w_1 \rangle$ | $\langle w_2 \rangle$ | $\langle w_3 \rangle$ | $\langle w_4 \rangle$ | $\langle w_5 \rangle$ | $\langle w_6 \rangle$ | \dots |
|----------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|---------|
| M_1 | | | | | | | |
| M_2 | | | | | | | |
| M_3 | | | | | | | |
| M_4 | | | | | | | |
| M_5 | | | | | | | |
| M_6 | | | | | | | |
| \vdots | | | | | | | |



Results of $H(\langle M, w \rangle)$

Because TM H can decide A_{TM} , so the result of $H(M, w)$ is either accept or reject

| | $\langle w_1 \rangle$ | $\langle w_2 \rangle$ | $\langle w_3 \rangle$ | $\langle w_4 \rangle$ | $\langle w_5 \rangle$ | $\langle w_6 \rangle$ | \dots |
|----------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|----------|
| M_1 | accept | reject | accept | reject | accept | accept | \dots |
| M_2 | reject | accept | reject | reject | accept | reject | \dots |
| M_3 | reject | reject | reject | reject | reject | reject | \dots |
| M_4 | accept | reject | accept | reject | accept | reject | \dots |
| M_5 | accept | accept | accept | accept | accept | accept | \dots |
| M_6 | reject | accept | reject | reject | reject | accept | \dots |
| \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \ddots |



Results of $H(\langle M, \langle M \rangle \rangle)$

Just set w
as $\langle M \rangle$

Because TM H can decide A_{TM} , so the result of $H(M, w)$ is either accept or reject

This is $H(\langle M, \langle M \rangle \rangle)$

The result does not change

| | $\langle M_1 \rangle$ | $\langle M_2 \rangle$ | $\langle M_3 \rangle$ | $\langle M_4 \rangle$ | $\langle M_5 \rangle$ | $\langle M_6 \rangle$ | ... |
|-------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----|
| M_1 | accept | reject | accept | reject | accept | accept | ... |
| M_2 | reject | accept | reject | reject | accept | reject | ... |
| M_3 | reject | reject | reject | reject | reject | reject | ... |
| M_4 | accept | reject | accept | reject | accept | reject | ... |
| M_5 | accept | accept | accept | accept | accept | accept | ... |
| M_6 | reject | accept | reject | reject | reject | accept | ... |
| ... | ... | ... | ... | ... | ... | ... | ... |

Results of $D(\langle M \rangle) = \text{opposite of } H(\langle M, \langle M \rangle \rangle)$

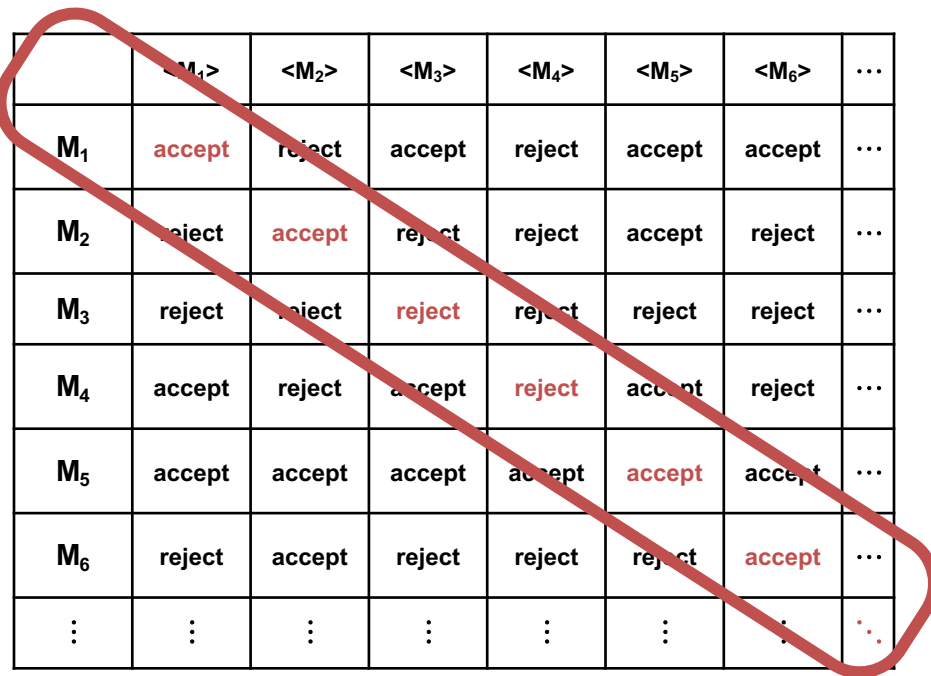
Because TM H can decide A_{TM} , so the result of $H(M, w)$ is either accept or reject

This is the opposite of $H(\langle M, \langle M \rangle \rangle)$

| | $\langle M_1 \rangle$ | $\langle M_2 \rangle$ | $\langle M_3 \rangle$ | $\langle M_4 \rangle$ | $\langle M_5 \rangle$ | $\langle M_6 \rangle$ | ... |
|-------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----|
| M_1 | reject | reject | accept | reject | accept | accept | ... |
| M_2 | reject | reject | reject | reject | accept | reject | ... |
| M_3 | reject | reject | accept | reject | reject | reject | ... |
| M_4 | accept | reject | accept | accept | accept | reject | ... |
| M_5 | accept | accept | accept | accept | reject | accept | ... |
| M_6 | reject | accept | reject | reject | reject | reject | ... |
| ... | ... | ... | ... | ... | ... | ... | ... |

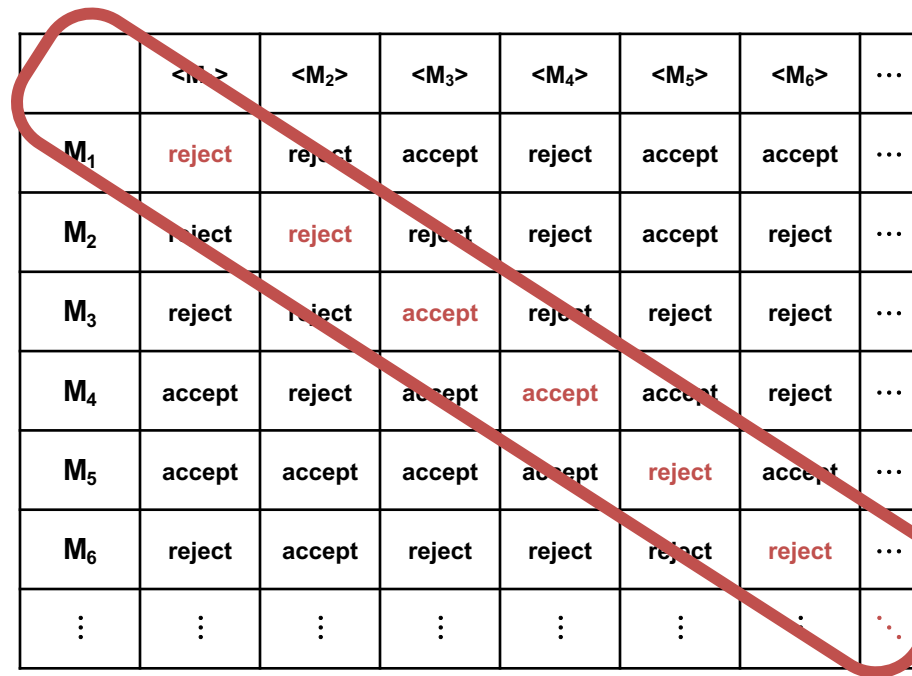
Results of $D(<M>) = \text{opposite of } H(<M, <M>>)$

$H(<M, <M>>)$



| | $<M_1>$ | $<M_2>$ | $<M_3>$ | $<M_4>$ | $<M_5>$ | $<M_6>$ | ... |
|----------|----------|----------|----------|----------|----------|----------|----------|
| M_1 | accept | reject | accept | reject | accept | accept | ... |
| M_2 | reject | accept | reject | reject | accept | reject | ... |
| M_3 | reject | reject | reject | reject | reject | reject | ... |
| M_4 | accept | reject | accept | reject | accept | reject | ... |
| M_5 | accept | accept | accept | accept | accept | accept | ... |
| M_6 | reject | accept | reject | reject | reject | accept | ... |
| \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \ddots |

$D(<M>) = \text{opposite of } H(<M, <M>>)$



| | $<M_1>$ | $<M_2>$ | $<M_3>$ | $<M_4>$ | $<M_5>$ | $<M_6>$ | ... |
|----------|----------|----------|----------|----------|----------|----------|----------|
| M_1 | reject | reject | accept | reject | accept | accept | ... |
| M_2 | reject | reject | reject | reject | accept | reject | ... |
| M_3 | reject | reject | accept | reject | reject | reject | ... |
| M_4 | accept | reject | accept | accept | accept | reject | ... |
| M_5 | accept | accept | accept | accept | reject | accept | ... |
| M_6 | reject | accept | reject | reject | reject | reject | ... |
| \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \ddots |



Results of $D(<D>)$?

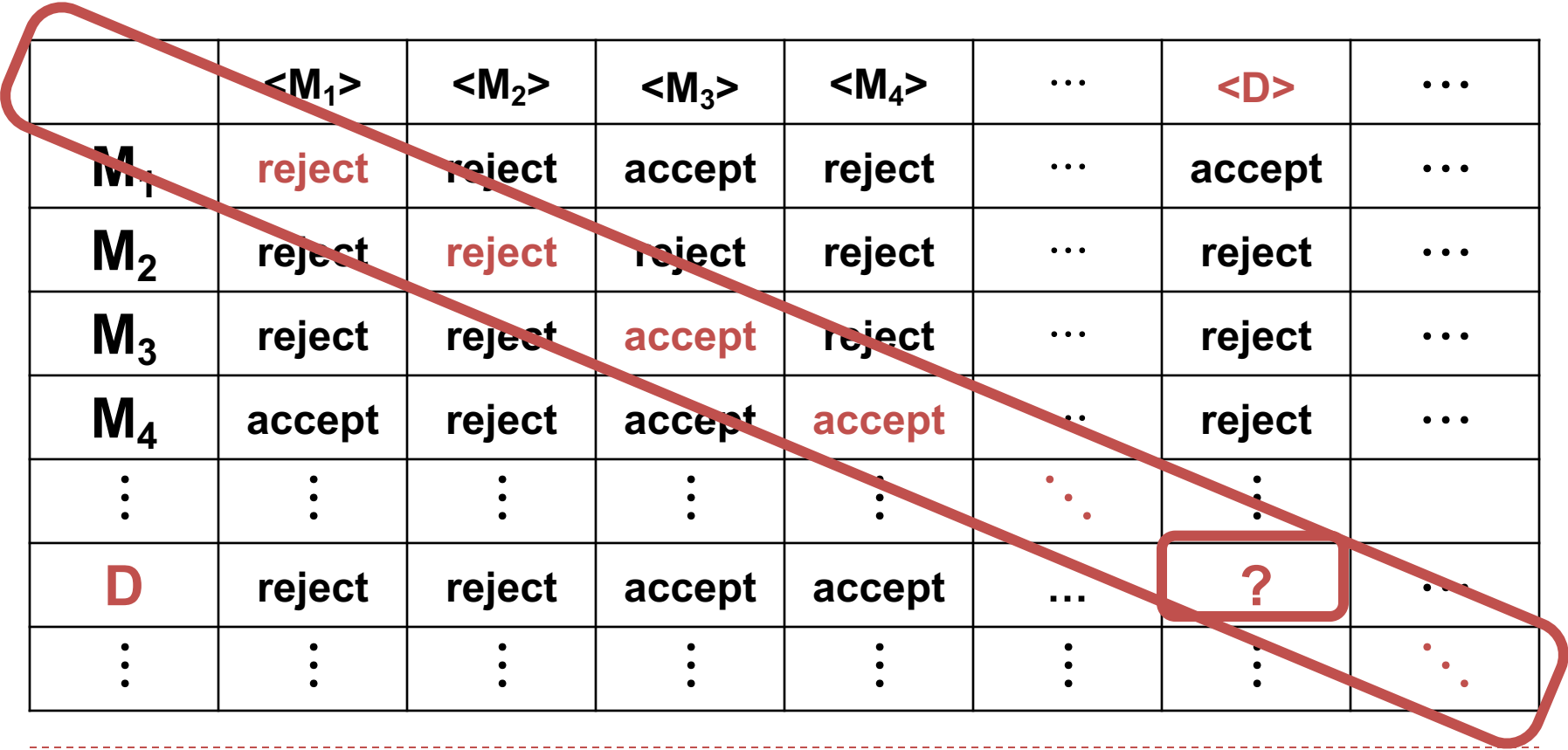
Because TM H can decide A_{TM} , so the result of $H(M,w)$ is either accept or reject

| | $\langle M_1 \rangle$ | $\langle M_2 \rangle$ | $\langle M_3 \rangle$ | $\langle M_4 \rangle$ | ... | $\langle D \rangle$ | ... |
|----------|-----------------------|-----------------------|-----------------------|-----------------------|----------|---------------------|----------|
| M_1 | reject | reject | accept | reject | ... | accept | ... |
| M_2 | reject | reject | reject | reject | ... | reject | ... |
| M_3 | reject | reject | accept | reject | ... | reject | ... |
| M_4 | accept | reject | accept | accept | ... | reject | ... |
| \vdots | \vdots | \vdots | \vdots | \vdots | \ddots | \vdots | |
| D | reject | reject | accept | accept | ... | ? | ... |
| \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \ddots |

Results of $D(\langle D \rangle)$?

Diagonalization method

Then we have $D(\langle D \rangle) = \text{accept}$ and $D(\langle D \rangle) = \text{reject}$ at the same time. Contradiction!



| | $\langle M_1 \rangle$ | $\langle M_2 \rangle$ | $\langle M_3 \rangle$ | $\langle M_4 \rangle$ | ... | $\langle D \rangle$ | ... |
|----------|-----------------------|-----------------------|-----------------------|-----------------------|----------|---------------------|----------|
| M_1 | reject | reject | accept | reject | ... | accept | ... |
| M_2 | reject | reject | reject | reject | ... | reject | ... |
| M_3 | reject | reject | accept | reject | ... | reject | ... |
| M_4 | accept | reject | accept | accept | ... | reject | ... |
| \vdots | \vdots | \vdots | \vdots | \vdots | \ddots | \vdots | |
| D | reject | reject | accept | accept | ... | ? | ... |
| \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \ddots |

Decidability

- Decidable?

| | DFA/NFA/RE | CFG | TM |
|------------------|------------|-----|----|
| Acceptance (A) | ✓ | ✓ | × |
| Emptiness (E) | ✓ | ✓ | |
| Equivalence (EQ) | ✓ | × | |



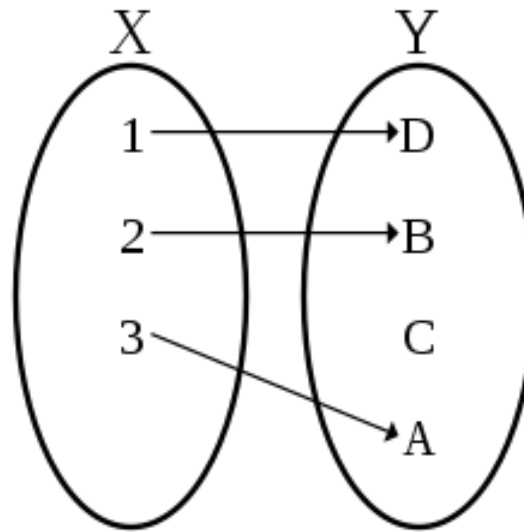
Countable

- A set is *countable* if either it is finite, or it has the same size as \mathbb{N} .
- $A = \{1, 2, 3\}$



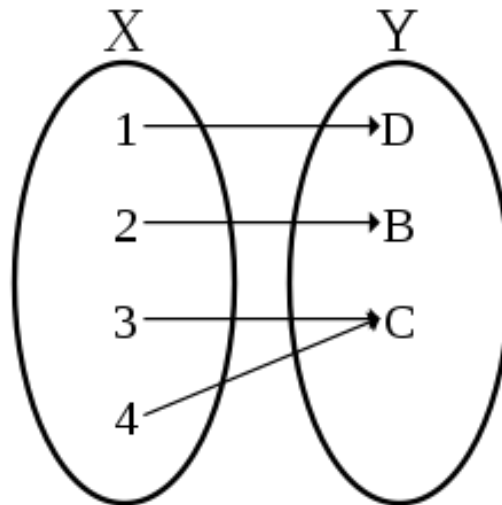
Set Element Relationship

- **One-to-one:** if different elements of source set is mapped to different elements of destination set.



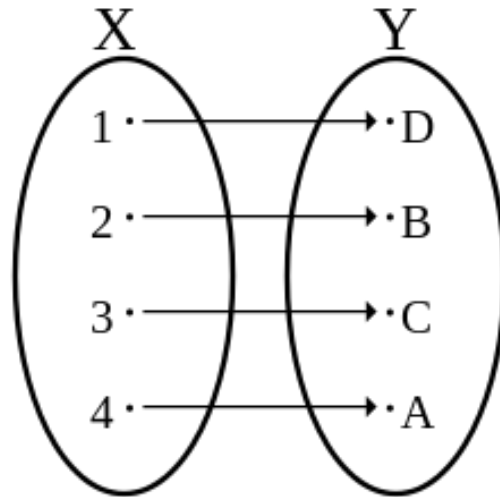
Set Element Relationship

- **Onto:** if different elements of destination set has at least one element mapped to it from the source set.



Set Element Relationship

- **correspondence:** Every element in the source set is mapped to a single element in the destination set; and vice verse.
- **Correspondence = one-to-one & onto**



Question: True or False

- Let X be the set $\{1,2,3,4,5\}$ and Y be the set $\{6,7,8,9,10\}$. We describe the functions $f: X \rightarrow Y$ and $g: X \rightarrow Y$ in the following tables.
- $f()$ is one-to-one

| n | $f(n)$ |
|-----|--------|
| 1 | 6 |
| 2 | 7 |
| 3 | 6 |
| 4 | 7 |
| 5 | 6 |

| n | $g(n)$ |
|-----|--------|
| 1 | 10 |
| 2 | 9 |
| 3 | 8 |
| 4 | 7 |
| 5 | 6 |

False. Because $f(1) = f(3)$



Question: True or False

- Let X be the set $\{1,2,3,4,5\}$ and Y be the set $\{6,7,8,9,10\}$. We describe the functions $f: X \rightarrow Y$ and $g: X \rightarrow Y$ in the following tables.
- $f()$ is onto

| n | $f(n)$ |
|-----|--------|
| 1 | 6 |
| 2 | 7 |
| 3 | 6 |
| 4 | 7 |
| 5 | 6 |

| n | $g(n)$ |
|-----|--------|
| 1 | 10 |
| 2 | 9 |
| 3 | 8 |
| 4 | 7 |
| 5 | 6 |

False. Not exist x in X letting $f(x) = 10$



Question: True or False

- Let X be the set $\{1,2,3,4,5\}$ and Y be the set $\{6,7,8,9,10\}$. We describe the functions $f: X \rightarrow Y$ and $g: X \rightarrow Y$ in the following tables.
- $g()$ is one-to-one

| n | $f(n)$ |
|-----|--------|
| 1 | 6 |
| 2 | 7 |
| 3 | 6 |
| 4 | 7 |
| 5 | 6 |

| n | $g(n)$ |
|-----|--------|
| 1 | 10 |
| 2 | 9 |
| 3 | 8 |
| 4 | 7 |
| 5 | 6 |

True.



Question: True or False

- Let X be the set $\{1,2,3,4,5\}$ and Y be the set $\{6,7,8,9,10\}$. We describe the functions $f: X \rightarrow Y$ and $g: X \rightarrow Y$ in the following tables.
- $g()$ is onto

| n | $f(n)$ |
|-----|--------|
| 1 | 6 |
| 2 | 7 |
| 3 | 6 |
| 4 | 7 |
| 5 | 6 |

| n | $g(n)$ |
|-----|--------|
| 1 | 10 |
| 2 | 9 |
| 3 | 8 |
| 4 | 7 |
| 5 | 6 |

True.



Question: True or False

- Let X be the set $\{1,2,3,4,5\}$ and Y be the set $\{6,7,8,9,10\}$. We describe the functions $f: X \rightarrow Y$ and $g: X \rightarrow Y$ in the following tables.
- $g()$ is correspondence

| n | $f(n)$ |
|-----|--------|
| 1 | 6 |
| 2 | 7 |
| 3 | 6 |
| 4 | 7 |
| 5 | 6 |

| n | $g(n)$ |
|-----|--------|
| 1 | 10 |
| 2 | 9 |
| 3 | 8 |
| 4 | 7 |
| 5 | 6 |

True. Because g is both one-to-one and onto.



Countable

- A set is *countable* if either it is finite, or it has the same size as \mathbb{N} or subset of \mathbb{N} (correspondence relationship).

- Mapping \rightarrow Size of infinite set

- $f(n) = n$

- $A = \{1, 2, 3, \dots\}$

| n | f(n) |
|-----|------|
| 1 | 1 |
| 2 | 2 |
| 3 | 3 |
| ... | ... |
| n | n |



Countable

- A set is *countable* if either it is finite, or it has the same size as \mathbb{N} or subset of \mathbb{N} (correspondence relationship).

- Mapping \rightarrow Size of infinite set

- $f(n) = 2n$

- $B = \{2, 4, 6, \dots\}$

| n | f(n) |
|-----|------|
| 1 | 2 |
| 2 | 4 |
| 3 | 6 |
| ... | ... |
| n | 2n |

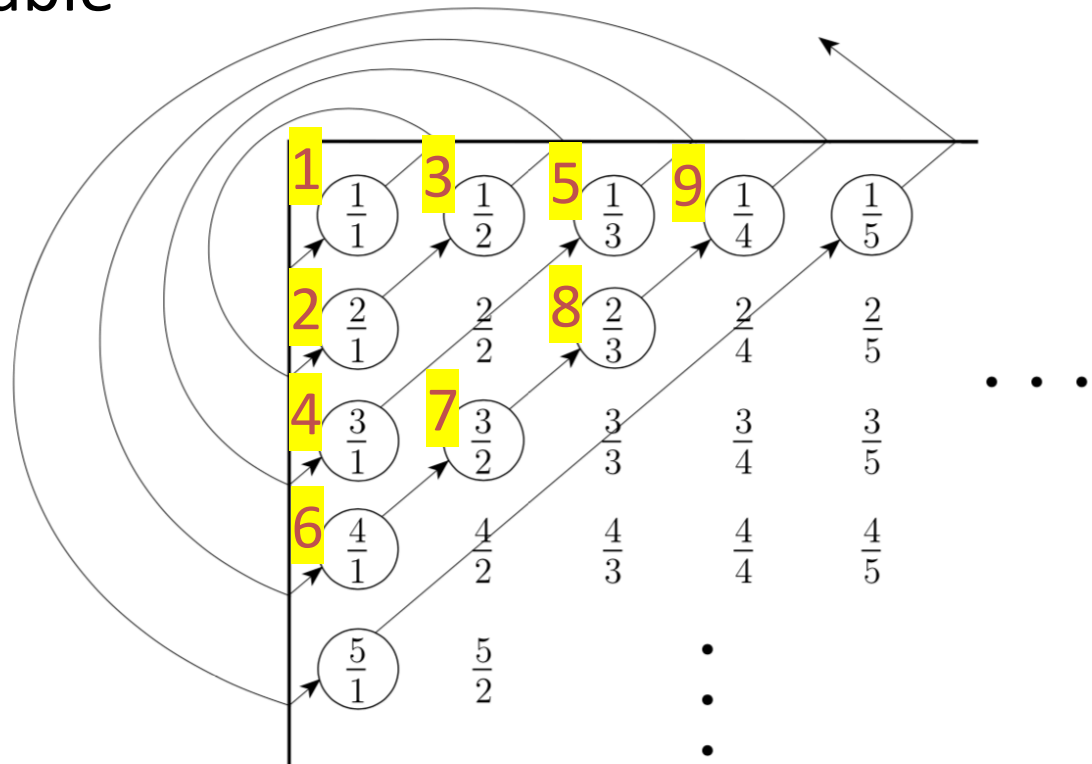


Countable and Diagonalization method

- $Q = \{ \frac{m}{n} \mid m, n \in \mathbb{N} \}$ be the set of positive rational numbers, Q is countable

- A mapping between of \mathbb{N} and Q (prove by construction)

$$k \mapsto \frac{m}{n}$$



Uncountable

- Theorem: \mathbb{R} is uncountable

- Proof by construction:

Suppose \mathbb{R} is countable, then there exist a mapping f between \mathbb{N} and \mathbb{R}

Let $f(1) = 3.14159\dots$, $f(2) = 55.55555\dots$, $f(3) = \dots$,

| n | $f(n)$ |
|----------|-------------|
| 1 | 3.14159... |
| 2 | 55.55555... |
| 3 | 0.12345... |
| 4 | 0.50000... |
| \vdots | \vdots |



Uncountable

- Proof:

Then we construct a value x :

the i th digit of x is different than that in $f(n)$

$x = 0.4641\dots$

for each n , and x ,

$$x \notin f(n)$$

| n | $f(n)$ |
|----------|-------------|
| 1 | 3.14159... |
| 2 | 55.55555... |
| 3 | 0.12345... |
| 4 | 0.50000... |
| \vdots | \vdots |

| n | $f(n)$ |
|----------|----------------------|
| 1 | 3. <u>1</u> 4159... |
| 2 | 55.5 <u>5</u> 555... |
| 3 | 0.12 <u>3</u> 45... |
| 4 | 0.500 <u>0</u> ... |
| \vdots | \vdots |

So there is no mapping between \mathbb{N} and \mathbb{R}



Countable vs. Uncountable

- To prove a set is countable
 - Finite or find a $f(n)$
- To prove a set is uncountable
 - Prove by construction that no $f(n)$ exists



Question: True or False?

- Odd number set (e.g., $\{1, 3, 5, \dots\}$) is countable.

True.

Mapping \rightarrow Size of infinite set

$$f(n) = 2n-1$$

| n | f(n) |
|-----|--------|
| 1 | 1 |
| 2 | 3 |
| 3 | 5 |
| ... | ... |
| n | $2n-1$ |



Question: True or False?

- Integer number set Z (e.g., $\{\dots, -2, -1, 0, 1, 2 \dots\}$) is countable.

True.

Mapping $Z \rightarrow N$

$$f(n) = 2n, \text{ if } n \geq 0$$

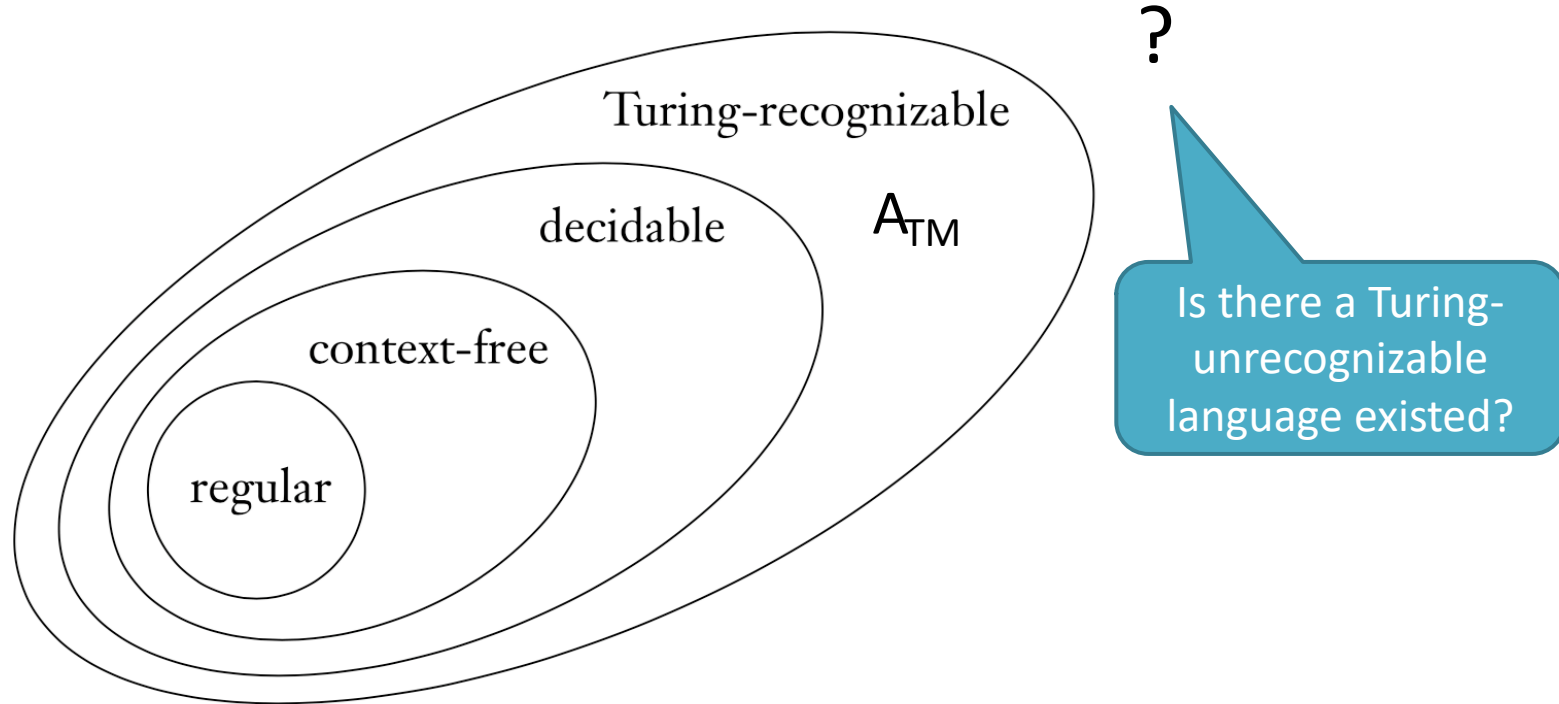
$$f(n) = -1 - 2n, \text{ if } n < 0$$

| Z | N |
|-----|--------|
| -k | $2k-1$ |
| ... | ... |
| -2 | 3 |
| -1 | 1 |
| 0 | 0 |
| 1 | 2 |
| ... | ... |
| k | $2k$ |



Review of Theorem 4.11

- A_{TM} is undecidable



Theorem 4.22

- Complement of A: \bar{A}
 - $\bar{A} = \Sigma^* - A$
- Theorem 4.22
 - A is decidable \iff A and \bar{A} are Turing-recognizable



Operation on languages

| | RL: DFA/NFA/RE | CFL: CFG/PDA | TM-decidable |
|--------------------------|----------------|--------------|--------------|
| Union | close | close | close |
| Concatenation | close | close | close |
| Intersection | close | not close | close |
| Star | close | close | close |
| Complement | close | not close | close |
| Boolean operation | close | / | close |

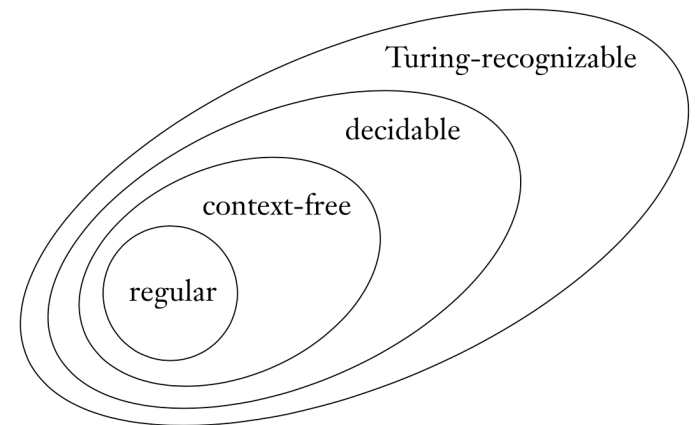


A is decidable $\Rightarrow A$ and \bar{A} are Turing-recognizable

Proof:

If A is decidable, as the operation on decidable language is close, thus \bar{A} is also decidable

Because all Turing-decidable languages are Turing-recognizable, therefore, A and \bar{A} are Turing-recognizable



A is decidable $\Leftrightarrow A$ and \bar{A} are Turing-recognizable

Proof:

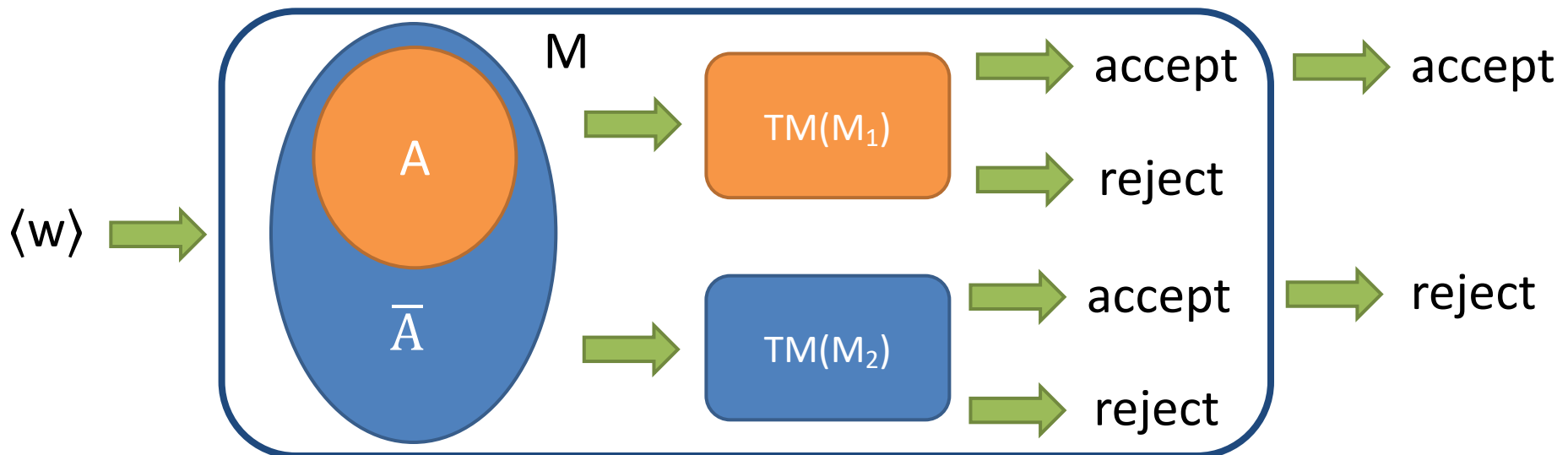
If A and \bar{A} are Turing-recognizable. Let M_1 is recognizer TM of A and M_2 is recognizer TM of \bar{A} . Create a TM M as a decider for A ,

M = "On input w :

Run both M_1 and M_2 on input w in parallel.

If M_1 accepts, accept;

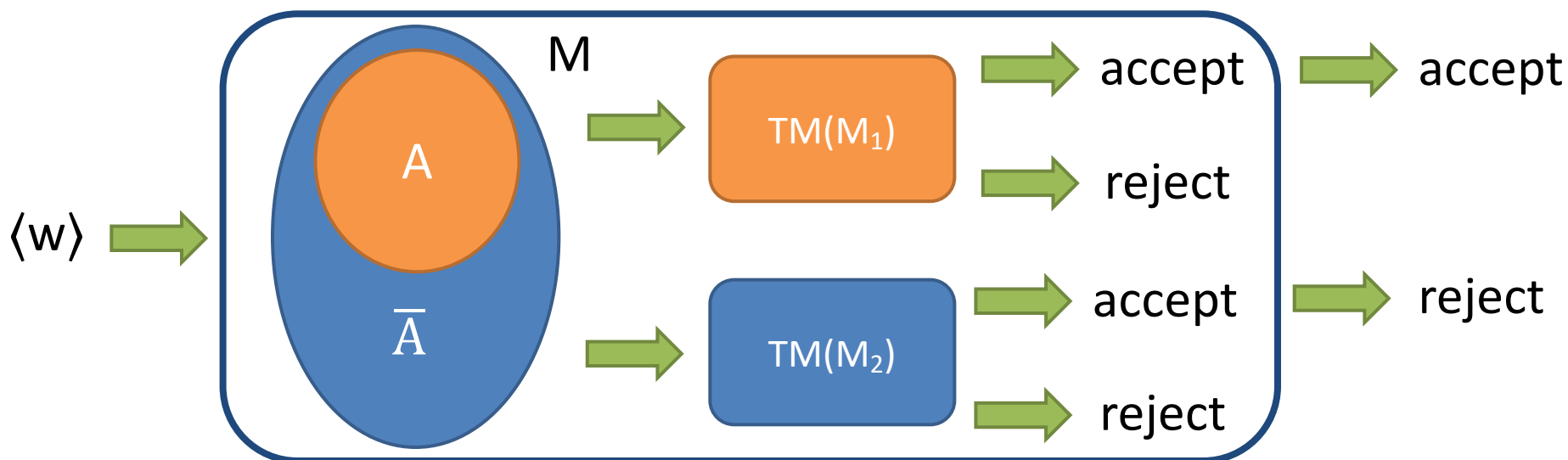
if M_2 accepts, reject."



Theorem 4.22 proof

Because for each string w , it is either in A or \bar{A} . Thus for M_1 and M_2 , one TM must accept w . When M_1 or M_2 accepts w , M will halt

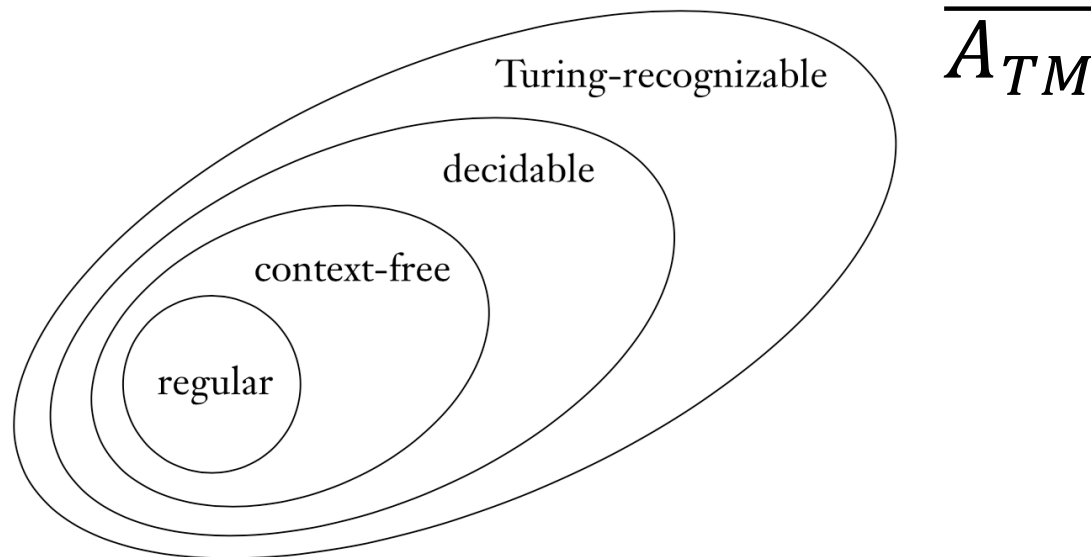
Also, because M accepts all strings in A (for M_1) and reject all strings not in A (\bar{A} for M_2). Thus, A is decidable



Corollary 4.23

- Corollary 4.23

- $\overline{A_{TM}}$ is not Turing-recognizable
- In other words, is there a language that TM cannot recognize?



Corollary 4.23 proof

- Corollary 4.23: $\overline{A_{TM}}$ is not Turing-recognizable
 - $A_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w\}$ is not decidable

Proof:

Suppose $\overline{A_{TM}}$ is Turing-recognizable

because A_{TM} is Turing-recognizable (based on definition)

So A_{TM} is Turing-decidable (theorem 4.22)

However, A_{TM} is undecidable (theorem 4.11)

Contradiction.



Conclusion on decidability

- Decidable?

| | DFA/NFA/RE | CFG | TM |
|------------------|------------|-----|----|
| Acceptance (A) | ✓ | ✓ | × |
| Emptiness (E) | ✓ | ✓ | × |
| Equivalence (EQ) | ✓ | × | × |

- Diagonalization method to prove a language is undecidable
- Non Turing-recognizable language $\overline{A_{TM}}$ exists

