

# PA1 : Super Resolution

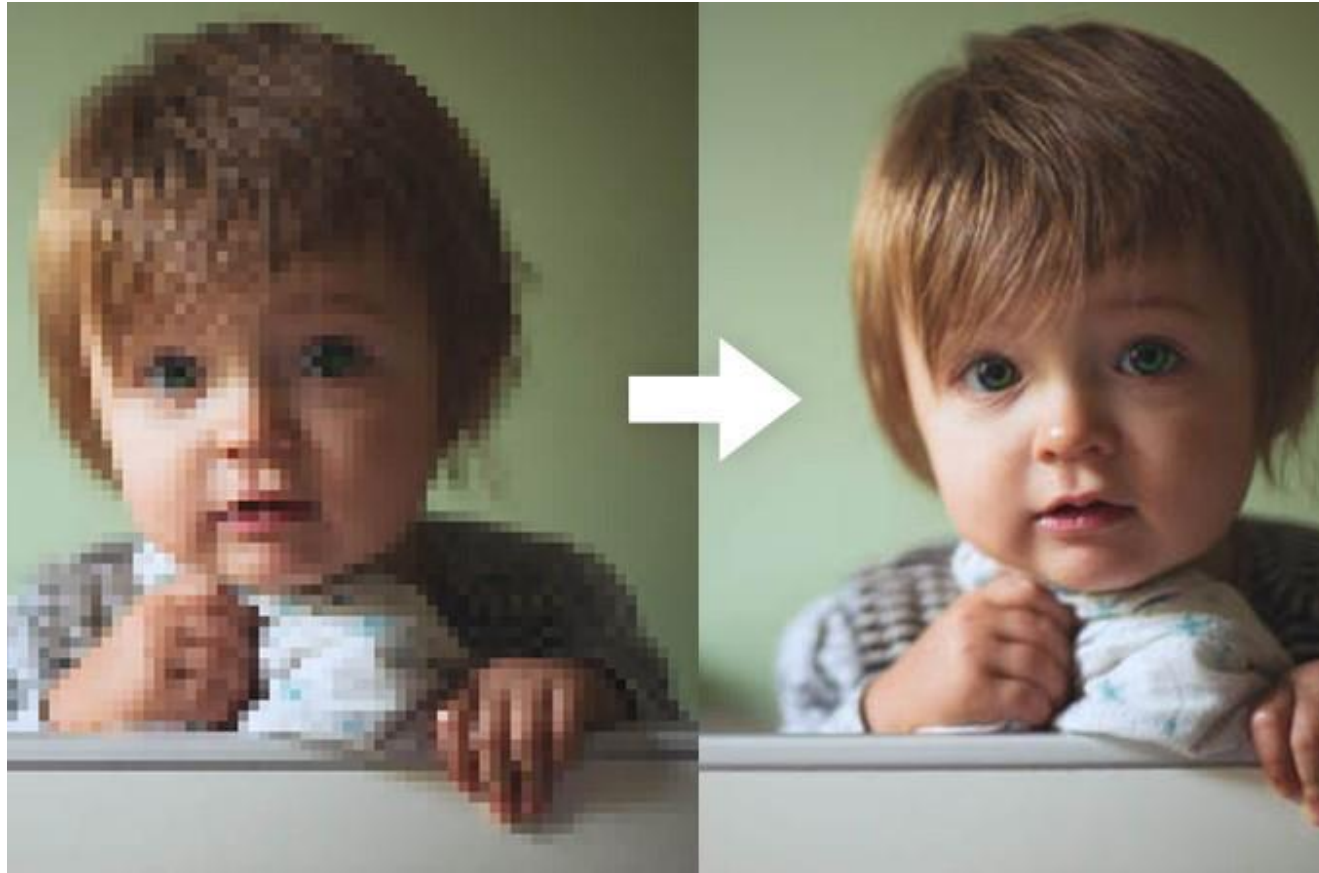
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09.15.2022

# What is Super Resolution?

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High-resolution image reconstruction from Low-resolution image



# What is Super Resolution?

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$$\uparrow I^l = (I^h * k)$$

We only have  $I^l$ . (we do not know  $M$  and  $I^h$ )

There can be many pairs of  $I^h$  and  $K$  that make same  $I^l$ .

This problem is called the “Ill-posed Problem”

$I^l$  : Low Resolution Image

$I^h$ : High Resolution Image

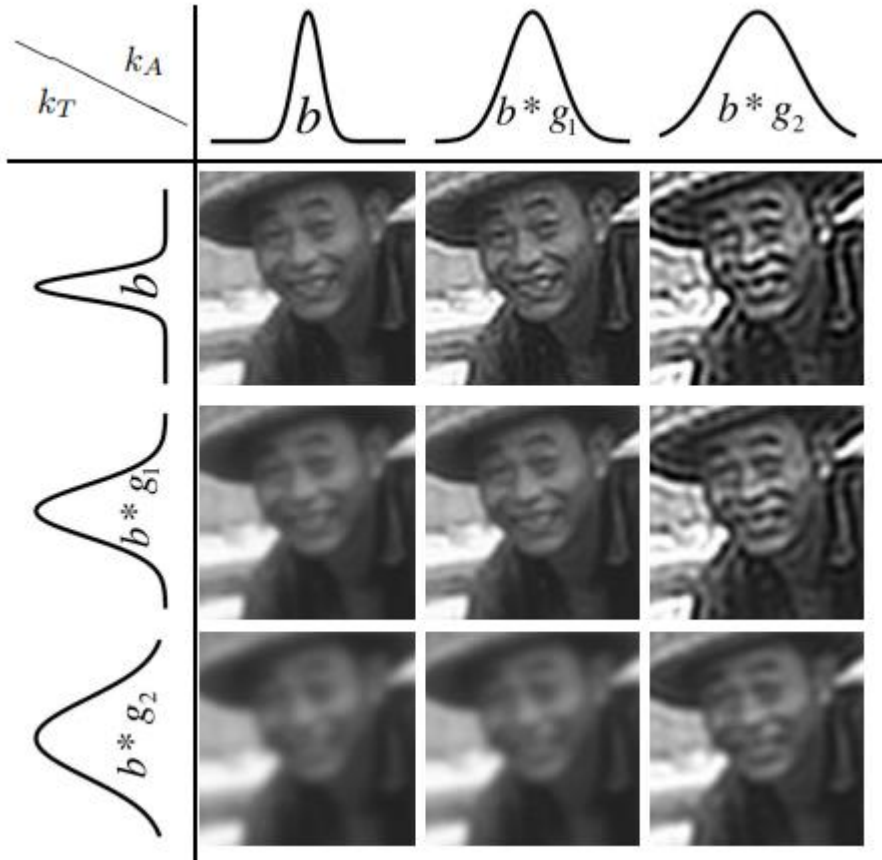
$k$  : Kernel

$\uparrow$ : Upsampling

$*$ : Convolution

# What is Super Resolution?

## Inverse problem



$$I^h = (\uparrow I^l *^{-1} k) \quad (\text{from } \uparrow I^l = (I^h * k))$$

where  $*^{-1}$  is deconvolution

We can reconstruct  $I^h$  by applying deconvolution on  $\uparrow I^l$ .

$k_T$  : kernel which synthesizes the low-resolution image

$k_A$ : kernel used in deconvolution

$b$  : bicubic interpolation

$g_s$ : gaussian kernel whose standard deviation is  $s$

If  $k_T$  and  $k_A$  are not equal, then result of deconvolution will be degraded.

So, if we know kernel, then we can get sharp image.

# Preliminary : Gradient Descent

Minimum of convex function (e.g.  $f = x^2 + 4x + 4$ ) is when  $x$  satisfies  $\frac{\partial f}{\partial x} = 0$  ( e.g.  $2x + 4 = 0$  ).

But computers are difficult to solve the equation ( $\frac{\partial f}{\partial x} = 0$ ) directly. Instead, computer can solve this problem through **Gradient Descent**.

## Gradient Descent Algorithm

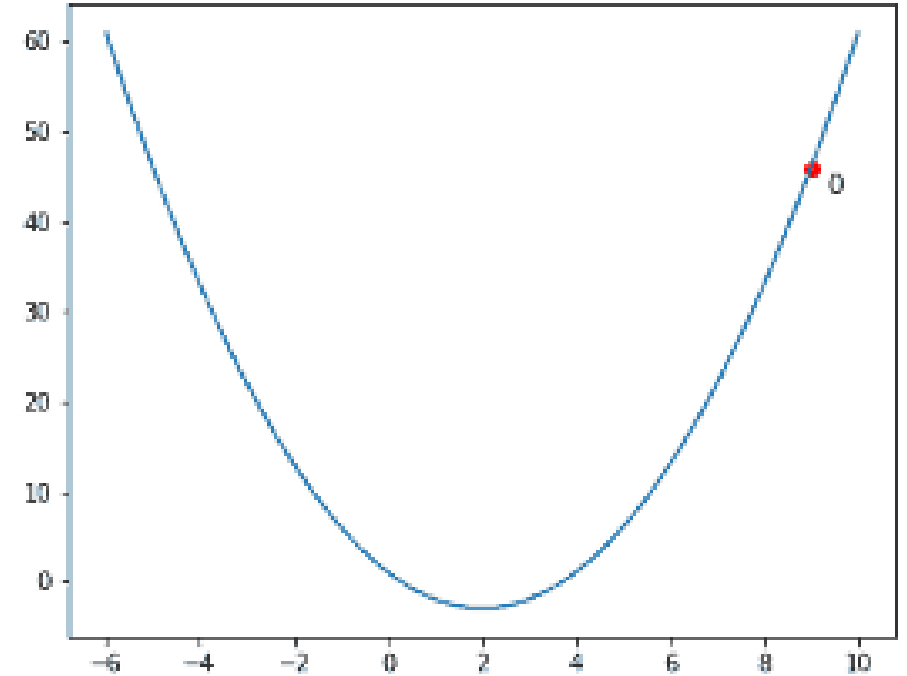
$x_{t+1} = x_t - \text{lr} * \frac{\partial f}{\partial x}(x_t)$  where  $x_t$ : value of  $x$  at iteration  $t$   
lr: step size(hyper-parameter)

**Step1.** Choose initial point (initial value of  $x$ )

**Step2.** Calculate gradient at the point  $x_t$  ( $\frac{\partial f}{\partial x}(x_t)$ , e.g.  $2x_t + 4$  )

**Step3.** Update position ( $x_{t+1}$ ) by adjusting it in the opposite direction to gradient( $\text{lr} * \frac{\partial f}{\partial x}(x_t)$ ). Step size(lr) is a scale factor of gradient.

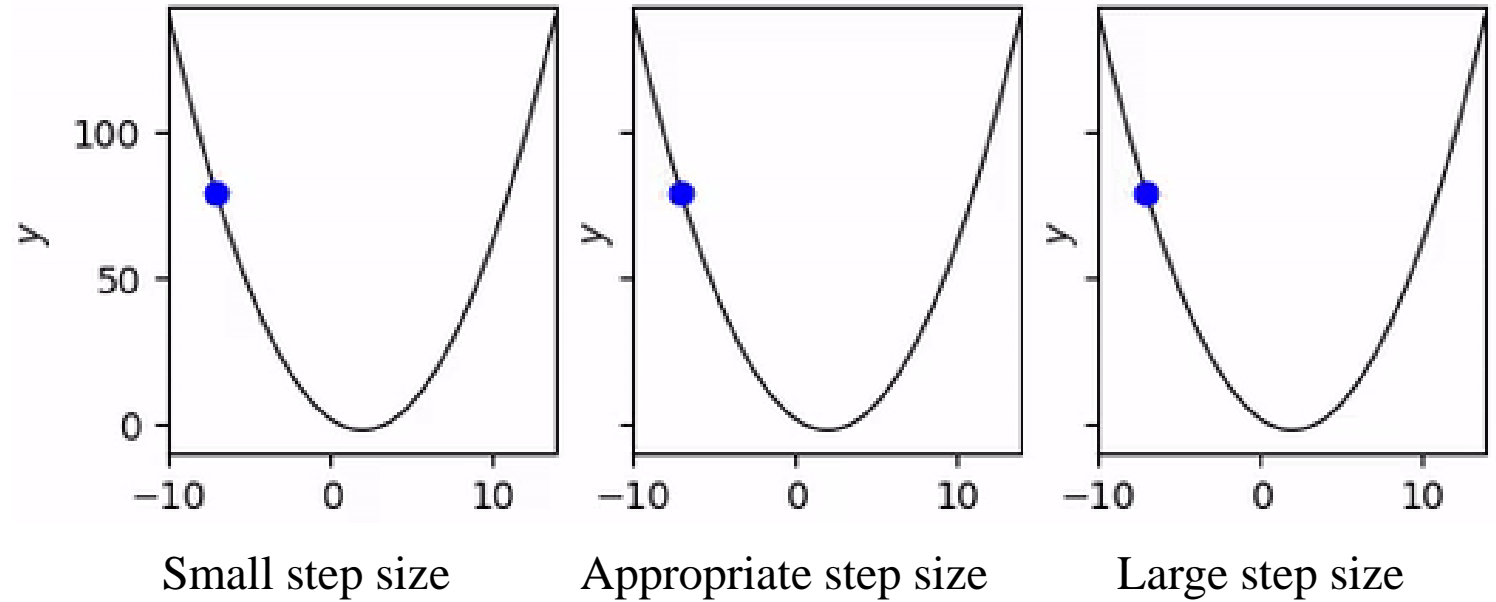
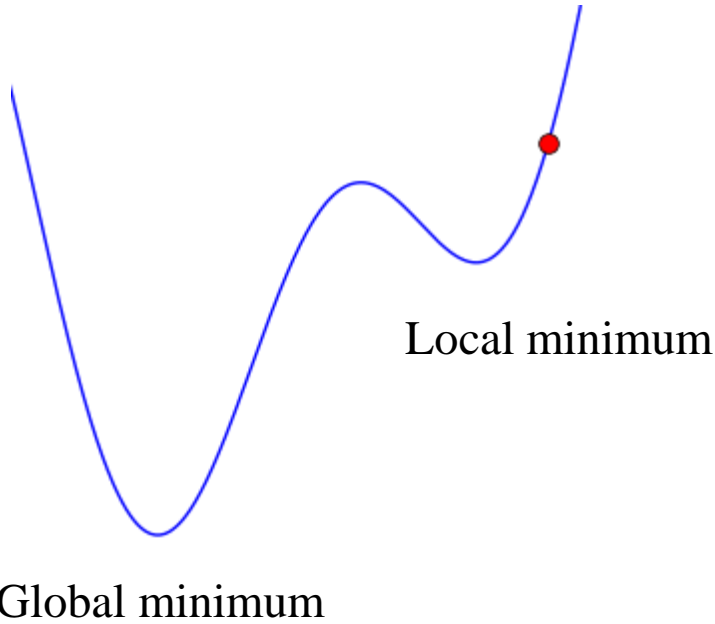
**Step4.** Repeat Step2 and 3 until gradient become tiny.



Blue line represents energy function we want to optimize.  
The red line indicates the progression of gradient descent.

# Preliminary : Gradient Descent

## Impact of step size



If step size is too large, it can diverge.

If step size is too small, convergence takes long time.

If step size is small, then

It may converge to the local minimum instead of the global minimum.

Reference

<https://medium.com/x8-the-ai-community/gradient-descent-intuition-how-machines-learn-d29ad7464453>

<https://www.kaggle.com/code/ohseokkim/bird-species-standing-on-the-shoulders-of-giant>

# Overview of PA1

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Minimize this loss function(  $E$  ) using Gradient Descent that you have to implement

$$E = \left( I^l - D(I^h) \right)^2 + Prior$$

$$I_{t+1}^h = I_t^h - \alpha \frac{\partial E}{\partial I_t^h}$$

$D$  : Downsampling

$t$  : iteration of Gradient descent

$\alpha$  : step size

$I_t^h$  : High-resolution image at iteration  $t$

Prior will be discussed in method 2

# Files/Libraries

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Language : Python

Libraies : numpy, openCV

In openCV, only the following functions are available:

cv2.imread, cv2.imwrite, cv2.cvtColor, cv2.Laplacian, cv2.Sobel, cv2.resize

Files:

Upsampled.png : Initial of gradient descent ( $I_0^h$ ) (Unsampled image from low-resolution image)

HR.png: High resolution image ( Ground Truth) ( $I_{gt}$ )



Upsampled.png



HR.png



# Method 1 : Gradient Descent (6pts)

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Optimize this loss function without prior using Gradient Descent algorithm that you have to implement

$$\text{Loss : } E = ((I^l - D(I^h))^2$$

$t$  : iteration of Gradient descent

$\alpha$  : step size

$I_t^h$  : High resolution image candidate at iteration

$I^l$  : Low resolution image(input)

$$\text{Update: } I_{t+1}^h = I_t^h - \alpha \frac{\partial E}{\partial I_t^h}$$

$U$ : Upsampling (Bilinear)

$D$ : Downsampling (Bilinear)

$$\text{Gradient : } \frac{\partial E}{\partial I_t^h} = U(D(I^h) - I^l)$$

Please use “**Upsampled.png**” as initial high resolution( $I_0^h$ )

Please get low resolution image ( $I^l$ ) by applying  $D$  on “**HR.png**” ( $I^{gt}$ )

# Method 1 : Gradient Descent (6pts)

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## Pseudo Code

```
 $I^h$  = load( Upsampled.png )  
 $I^h$  = Grayscale( $I^h$ )  
height, width =  $I_0^h$ .shape  
 $I^{gt}$  = load( HR.png ) #Ground Truth  
 $I^{gt}$  = Grayscale( $I^{gt}$ )  
 $I^l$  = bilinear( $I^{gt}$ , (h//4,w//4)) # Input of the algorithm (low resolution image) #1pt  
Max_iteration = 1000  
#Gradient Descent  
Iterate Max_iteration times:  
     $I_t^d$  = bilinear( $I^h$ , (height//4,width//4) )  
    Calculate gradient :  $\frac{\partial E}{\partial I_t^h}$  # 2 pts  
    Update  $I_t^d$  # 1 pt  
Write  $I^h$  #2pts
```

You can tune step size and Max\_iteration.

# Method 2 : Super Resolution with prior (9pts)

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Equation of Prior

$$\text{Loss : } E = \underbrace{\left(I^l - D(I_t^h)\right)^2}_{\text{Loss from Method 1}} + \underbrace{\beta (\nabla I_t^h - \nabla I^T)^2}_{\text{Prior}}$$

$$\text{Update: } I_{t+1}^h = I_t^h - \alpha \frac{\partial E}{\partial I_t^h}$$

$$\text{Gradient : } \frac{\partial E}{\partial I_t^h} = \underbrace{U(D(I_t^h) - I^l)}_{\text{Gradient from Method 1}} - \underbrace{\beta (\nabla^2 I_t^h - \nabla^2 I^T)}_{\text{Gradient of Prior}}$$

$\alpha, \beta$ : hyper parameters

$\nabla I^T$ : Gradient we are aiming for

$\nabla$  : Sobel operator(Use cv2.Sobel)

$\nabla^2$ : Laplacian operator(Use cv2.Laplacian)

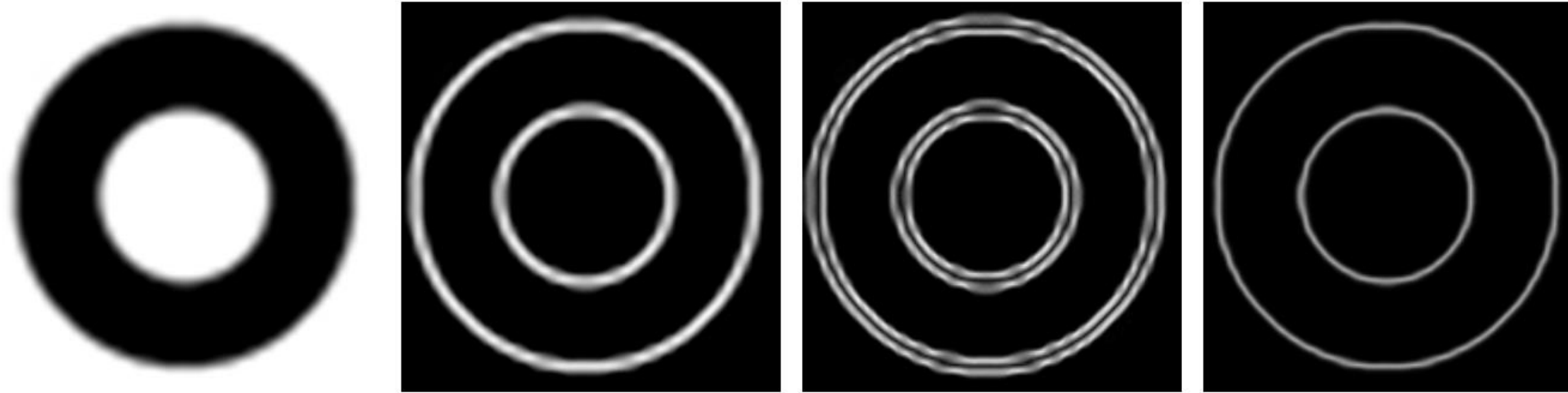
$I_t^h$  : High-resolution image at iteration t



Please see next slide

# Method 2 : Super Resolution with prior (9pts)

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(a) Low Resolution

(b) Gradient of (a)

(c) Second  
Derivatives of (a)

(d) Results of (b)-(c)

The low-resolution image has thick edges due to lack of high-frequency components (Fig. (b)).

To recover high-frequency component, we use an additional loss term as a prior which make edges sharper.

## Reference

Yan, Xing, and Jianbing Shen. "Fast gradient-aware upsampling for cartoon video." *2010 International Conference on Image Analysis and Signal Processing*. IEEE, 2010.

Sun, Jian, Zongben Xu, and Heung-Yeung Shum. "Image super-resolution using gradient profile prior." *2008 IEEE Conference on Computer Vision and Pattern Recognition*. IEEE, 2008.

# Method 2 : Super Resolution with prior (9pts)

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Implementation details of Gradient of Prior( $\nabla^2 I^T$ )

$$\nabla^2 I^T = \gamma \cdot \nabla^2 I_0^h \cdot \frac{G^T}{G_0^h} \quad \frac{G^T}{G_0^h} \text{ gives weight to be sharper on } \nabla^2 I_0^h$$

$$G^T = G_0^h - |\nabla^2 I_0^h| \text{ (Sharp edge : Figure(d) in 12}^{\text{nd}} \text{ Slide)}$$

$G_0^h$ : Gradient of  $I_0^h$  (Use cv2.Sobel)

$\nabla^2 I_0^h$  : Laplacian of  $I_0^h$  (Use cv2.Laplacian)

$\gamma$ : hyper-parameter ( 4 or 6 is the best)

\*  $|\nabla^2 I_0^h|$  ,  $G_0^h$  should be normalized! And,  $G^T$  should be in  $[0, 1]$

\*  $I_0^h$  : initial high resolution( $I_0^h$ ) ( “Upsampled.png” )

# Method 2 : Gradient Descent (9pts)

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## Pseudo Code

```
 $I^h$  = load( Upsampled.png )
 $I^h$  = Grayscale( $I^h$ )
height, width =  $I_0^h$ .shape
 $I^{gt}$  = load( HR.png ) #Ground Truth
 $I^{gt}$  = Grayscale( $I^{gt}$ )
 $I^l$  = bilinear( $I^{gt}$ , (h//4,w//4)) # Input of the algorithm
Gamma = 6
 $G_0^h$  = normalize(abs(Sobel( $I_h$ , x_direction)) + abs(Sobel( $I_h$ , y_direction))) + 1e-10 # 1pt
 $\nabla^2 I_0^h$  = Laplacian(  $I^h$  )
 $G^T$  =  $G_0^h$  - normalize( $\nabla^2 I_0^h$  ) #1pt
 $G^T$  = clip( $G^T$ , min = 0, max = 1.0 )
 $\nabla^2 I^T$  = Gamma *  $\nabla^2 I_0^h$  *  $\frac{G^T}{G_0^h}$  # 1pts
 $\nabla^2 I^T$  = clip( $\nabla^2 I^T$  , min=0.0, max=255.0)
Beta=0.0001

Max_iteration = 600
Iterate Max_iteration times:
     $\nabla^2 I_t^h$  = Laplacian(  $I^h$  )
     $I_t^d$  = bilinear( $I^h$  , (height//4,width//4) )
    Gradient = Gradient from method 1 - Beta * ( $\nabla^2 I_t^h$  -  $\nabla^2 I^T$ ) # 3pts
    Update  $I_t^h$ 

Write  $I^h$  # 3pt
```

**You can tune step size, Gamma, Max\_iteration and Beta yourself.**

**(e.g. Max\_iteration about 100 iters,  $\alpha$ (step size) = 2, Beta = 0.001, Gamma=6 )**

**Method 2 can diverge, so you need to stop iterations well.**

# Metric (2pts)

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Please show the evaluation of each method using both metrics. (2pts)

1. MSE (**M**ean **S**quare **E**rror) = 
$$\sum \frac{(I_{gt} - I^h)^2}{H \cdot W}$$

where H: height, W: width,  $I_{gt}$ :Ground Truth HR,  $I^h$ :Estimated HR

2. PSNR (**P**eak **S**ignal to **N**oise **R**atio) = 
$$10 \log_{10} \left( \frac{R^2}{MSE} \right)$$

Where R: maximum value of pixel of images

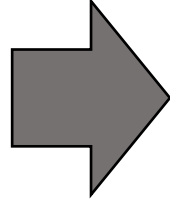
(e.g. uint8 type image: R=255, float type image: R=1.0)

# Result Example

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Upsampled.png ( $I_0^h$ )  
PSNR:23.05



Method 1  
PSNR:26.20



Method 2  
PSNR:26.45

Method 2 shows similar or better results than Method 1.



# Information

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**Due Date: Sep.30 11:55pm**

**NEVER COPY!!! NO MERCY!**

**Please submit codes, results and reports.**

**Additional credits: 5pts ( Report 2pts + Results/codes 3pts )**

Additional credit can be earned if you submit notable reports, code or results.

**If you have any questions about PA1, please contact TA**

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