

# Timing and Efficiency

# **ALGORITHM EFFICIENCY**

# Comparing Algorithms

- You can compare algorithms based on storage or execution time.
- Storage
  - Less memory is better
  - But memory is cheap these days!
  - Unless you are working in a low-memory environment, you can often safely ignore storage when comparing algorithms.
- Timing is key!

# Measuring Empirically

- Empirical measurement is based on observation and data
  - This means actually using a timer to time your program!
- To compare two methods, you would implement them, select random inputs, then time both. You could do this multiple times and find the average for comparison.
- This isn't common and often isn't practical, but keep in mind that it's always a possibility!

# Running Time

- Let's start with discussing running time.
- This is a fine-grained approach to figuring out the exact number of operations required by an algorithm.
- This is **not** how we will compare algorithms, but it is a helpful first step in learning about efficiency.

# Running Time Example

- Algorithm A:

```
int sum = 0;
int i=0;
while(i < n) {
    sum += i;
    i++;
}
```

# Running Time Example

- Algorithm A Rewritten:

```
int sum = 0;  
int i=0;  
while(i < n) {  
    sum = sum + i;  
    i = i + 1;  
}
```

# Running Time Example

```
int sum = 0; 1 assignment
int i=0; 1 assignment
while(i < n) { loop runs n times
    sum = sum + i; 1 addition, 1 assignment
    i = i + 1; 1 addition, 1 assignment
}
```



# Running Time Example

```
int sum = 0; 1 assignment
```

```
int i=0; 1 assignment
```

```
n+1 comparisons
```

```
while(i < n) { loop runs n times
```

```
    sum = sum + i; 1 addition, 1 assignment
```

```
    i = i + 1; 1 addition, 1 assignment
```

```
}
```

# Running Time Example

```
int sum = 0; 1 assignment
int i=0; 1 assignment
while(i < n) { loop runs n times
    n+1 comparisons
    sum = sum + i; 1 addition, 1 assignment
    i = i + 1; 1 addition, 1 assignment
}
```

- 2 assignments +
- (n+1) comparisons +
- n (2 addition + 2 assignments)

# Running Time Example

2 assignments +  $(n+1)$  comparisons +  
 $n$  (2 addition + 2 assignments)

2 assignments +  $(n+1)$  comparisons +  $2n$  additions +  $2n$   
assignments

$(2n + 2)$  assignments +  $(n+1)$  comparisons +  $2n$  additions

# Running Time Example

$T(n) = (2n + 2)$  assignments +  $(n+1)$  comparisons +  $2n$  additions

- Let's assume that all simple statements like assignment, addition, and comparison require the same amount of time.

$$T(n) = (2n + 2) * \text{TIME} + (n+1) * \text{TIME} + 2n * \text{TIME}$$

# Running Time Example

$$T(n) = (2n + 2) * \text{TIME} + (n+1) * \text{TIME} + 2n * \text{TIME}$$

- Let's assume that TIME is one *unit of time* (using whatever arbitrary *unit* we want!)

$$T(n) = (2n + 2) + (n+1) + 2n$$

$$T(n) = 5n + 3$$

# Running Time Example

```
statement1  
int i = 1;  
while( i <= n) {  
    if(condition1) {  
        statement2  
    }  
    statement3;  
    i++;  
}
```

# Running Time Example

```
statement1 1
int i = 1; 1
n+1 conditional
while( i <= n) { n times
    if(condition1) { 1
        statement2 1 (worst case)
    }
    statement3; 1
    i++; 2
}
```

$$T(n) = 2 + (n+1) + n(5) = 6n + 3$$

# Formulas used in Running Time

- for  $i = 1$  to  $n$ ,  $\sum 1$ 
  - $1 + 1 + 1 + \dots + 1$  ( $n$  times)
  - equal to  $n$
- for  $i = 1$  to  $n$ ,  $\sum i$ 
  - $1 + 2 + 3 + \dots + n$
  - equal to  $\frac{n(n+1)}{2}$
  - Gauss Formula



# Comparing Running Times

- We could calculate and compare running times for algorithms.
- But it's clear that figuring out the running time for a complex algorithm will get very complex and tedious!
- Do we really need this?

# Order of Magnitude

- When thinking about time and efficiency, we often only care about order of magnitude.
- Based on powers of 10: 1, 10, 100, 1000, etc.

# Example: Comparing Running Times

- Let's pretend we were comparing Algorithm A to some Algorithm B that had a running time of  $T(n) = 4n + 12$ . We want to know which is more efficient.

# Example

| n         | A: $5n + 3$ | B: $4n + 12$ |
|-----------|-------------|--------------|
| 1         | 8           | 16           |
| 10        | 53          | 52           |
| 100       | 503         | 412          |
| 1000      | 5003        | 4012         |
| 10,000    | 50,003      | 40,012       |
| 100,000   | 500,003     | 400,012      |
| 1,000,000 | 5,000,003   | 4,000,012    |

- These are within the same order of magnitude.
- They are equally efficient.

# Example: Comparing Running Times

- Let's now compare Algorithm C with a running time of  $T(n) = n + 10,000$  to Algorithm D with a running time of  $T(n) = n^2$

# Example

| n         | C: $n + 10,000$ | D: $n^2$          |
|-----------|-----------------|-------------------|
| 1         | 10,001          | 1                 |
| 10        | 10,010          | 10,100            |
| 100       | 10,100          | 20,000            |
| 1000      | 11,000          | 1,010,000         |
| 10,000    | 20,000          | 100,010,000       |
| 100,000   | 110,000         | 10,000,010,000    |
| 1,000,000 | 1,010,000       | 1,000,000,010,000 |

- These quickly stop being the same order of magnitude.
- Algorithm C is more efficient.

# What's going on?

- What is driving which algorithm is more efficient?

**n**

- **n** is the size of the problem data: the number of inputs, the size of the data set, the size of the array, the number of elements in a list, etc.

# What's going on?

- It's all about  $n$ !
- It does matter what  $n$ 's coefficient is.
- It doesn't matter what other values are added to  $n$ .
- $n$  drives everything.



**BIG-O**

# Measuring Efficiency

- We can calculate the actual running time... but we don't really need it.
- All we really care about is the order of magnitude.
- We don't need the complete running time to get that!
- We can use *order of growth* instead.

# Big O (Order of Growth)

- The efficiency of an algorithm can be described by Big O, which stands for the *order of growth*.
- Big O is described as a function of  $n$ , which is the size of the data set.

# Big O

- Big O doesn't measure how long an algorithm takes.
- Big O is a measure of how the time required **changes** as the size of the data set **changes**.
  - The *rate of increase*
  - How the running time **changes** as the problem size **changes**
- It's not: "How long does the problem take to execute on size n?" It's: If I increase the size of n, how much longer will the problem take now?"

# Big O

- The order of growth (Big O) is based on the dominant factor in the running time.
  - The highest power of  $n$ .
  - We ignore coefficients.
  - We ignore other parts of the running time.

# Big O

- Drop the constants!
  - There is no  $O(2n)$ . This is  $O(n)$ .
- Drop the lower-order terms!
  - There is no  $O(n^2 + n)$ . This is  $O(n^2)$ .
  - When combining growth functions, higher order wins.
- Examples
  - $T(n) = 999n + n^2 \rightarrow O(n^2)$
  - $T(n) = 6n^3 + 45n \rightarrow O(n^3)$

# Common Orders of Growth

- $O(1)$  constant
- $O(\log n)$  log
- $O(n)$  linear
- $O(n^2)$  quadratic
- $O(2^n)$  exponential



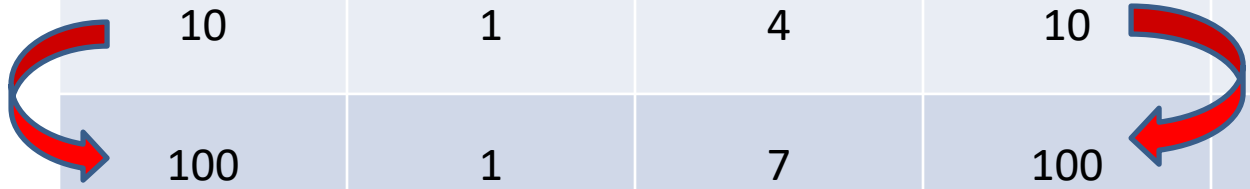
# Common Orders of Growth

| Order of Growth | 1 | $\log n$ | $n$  | $n^2$     | $2^n$      |
|-----------------|---|----------|------|-----------|------------|
| Data Size       |   |          |      |           |            |
| 10              | 1 | 4        | 10   | 100       | 1024       |
| 100             | 1 | 7        | 100  | 10,000    | $10^{30}$  |
| 1000            | 1 | 10       | 1000 | 1,000,000 | $10^{301}$ |



# Common Orders of Growth

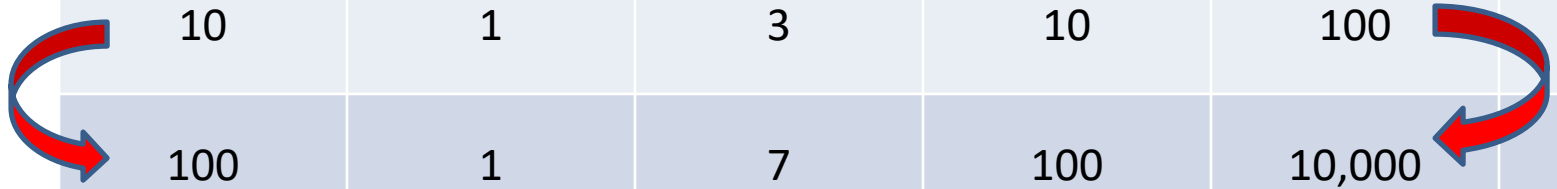
| Order of Growth | 1 | $\log n$ | $n$  | $n^2$   | $2^n$      |
|-----------------|---|----------|------|---------|------------|
| Data Size       |   |          |      |         |            |
| 10              | 1 | 4        | 10   | 100     | 1024       |
| 100             | 1 | 7        | 100  | 10000   | $10^{30}$  |
| 1000            | 1 | 10       | 1000 | 1000000 | $10^{301}$ |



Problem size multiplied by 10... Running time multiplied by 10.

# Common Orders of Growth

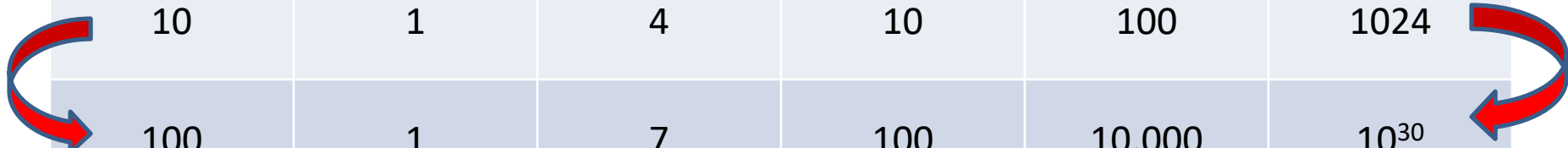
| Order of Growth | 1 | $\log n$ | $n$  | $n^2$     | $2^n$      |
|-----------------|---|----------|------|-----------|------------|
| Data Size       |   |          |      |           |            |
| 10              | 1 | 3        | 10   | 100       | 1024       |
| 100             | 1 | 7        | 100  | 10,000    | $10^{30}$  |
| 1000            | 1 | 10       | 1000 | 1,000,000 | $10^{301}$ |



Problem size multiplied by 10... Running time multiplied by 100.

# Common Orders of Growth


| Order of Growth | 1 | $\log n$ | $n$  | $n^2$     | $2^n$      |
|-----------------|---|----------|------|-----------|------------|
| Data Size       |   |          |      |           |            |
| 10              | 1 | 4        | 10   | 100       | 1024       |
| 100             | 1 | 7        | 100  | 10,000    | $10^{30}$  |
| 1000            | 1 | 10       | 1000 | 1,000,000 | $10^{301}$ |



Problem size multiplied by 10... Running time multiplied by... A LOT.

# Common Orders of Growth

| Order of Growth | 1 | $\log n$ | $n$  | $n^2$     | $2^n$      |
|-----------------|---|----------|------|-----------|------------|
| Data Size       |   |          |      |           |            |
| 10              | 1 | 4        | 10   | 100       | 1024       |
| 100             | 1 | 7        | 100  | 10,000    | $10^{30}$  |
| 1000            | 1 | 10       | 1000 | 1,000,000 | $10^{301}$ |



Still growth! Just less growth! The growth is *slower*.

# Example: $O(1)$ Constant

- Problem: print the capacity of an array.
- Solution:

```
System.out.println(arr.length);
```
- For an array of size 10 ( $n=10$ ), this problem requires a single statement.
  - For  $n=100$ , same thing.
  - For  $n = 1,000$ , same thing.
- As the problem size changes, the solution time remains the same.
- $O(1)$  solutions are *constant*.
  - As the data set grows, the time required to solve the problem does not change.
- Excellent execution time! But you can't do anything too exciting...

# Example: $O(n)$ Linear

- Problem: print all elements in an array.
- Solution:

```
for(int i=0; i<arr.length; i++)  
    System.out.println(arr[i]);
```
- For an array of size 10 ( $n=10$ ), this problem requires looping through the array and printing each element. This is *essentially* 10 statements.
  - For  $n=100$ , this requires 100 statements.
  - For  $n = 1,000$ , this requires 1,000 statements.
- As the problem size is  $\times 10$ , the solution time is  $\times 10$ .
- $O(n)$  solutions are *linear*.
  - As the data set grows, the time required to solve the problem grows *at the same rate*.
- Linear is considered very good efficiency!

# Example: $O(n^2)$ Quadratic

- Problem: print all elements in a square two-dimensional array (a matrix). There are  $n$  rows and  $n$  columns.
- Solution:

```
for(int i=0; i<arr.length; i++)  
    for(int j=0; j<arr[0].length; j++)  
        System.out.println(arr[i][j]);
```
- For a matrix of size  $2 \times 2$ , this problem requires a nested loop that will invoke 4 print statements.
  - For a  $4 \times 4$  matrix, this requires 16 statements.
  - For an  $8 \times 8$  matrix, this requires 64 statements.
- As the problem doubles, the solution time is multiplied by 4.
- $O(n^2)$  solutions are *quadratic*
  - As the data set grows, the time required to solve the problem grows **faster**.
- Quadratic is not a good efficiency for large data sets.

# Determining Big O

- The order of growth (Big O) is based on the dominant factor in the running time.
- But wait... we just said we're not going to calculate running time. So how can we know what the dominant factor is in the running time?
- We can examine code to look for certain constructs that affect running time.
- The biggest culprit: LOOPS!



# Determining Big O

- Consecutive blocks of code (blocks that follow each other) are considered separately.
  - Evaluate each block on its own and added.
  - Then the highest order will “win out”
  - Do one thing, finish, then do something else. → in these cases, add together the run times.

# Determining Big O

- Loops
  - Loops are often the driving factor in growth rate
  - Loops are often dependent on the size of the dataset (meaning based on `n`, or `dataArray.length`, or `dataList.size()`)
- Nested Loops
  1. Figure out how many times the inside loop runs.
  2. Figure out how many times the outside loop runs.
  3. Total is inside \* outside
  - Do something one full time for every single time you do something else → In these cases, multiple together the run times.

# Determining Big O

- Methods Inside of Loops
  - Same rules apply as nested loops: inside \* outside
  - If a method is  $O(n)$  and it is called inside of an  $O(n)$  loop, the whole loop is  $O(n^2)$ !
- Carefully consider the efficiency of methods you didn't write!
  - Good documentation will describe the efficiency of non-constant methods.
  - Example: The [ArrayList API](#) page.

# Big O- The Worst Case

- We can evaluate the best, worst, or average/expected case for efficiency.
  - The efficiency can be different depending on the input.
- We usually use the worst case.
  - This is often the same as the average/expected case.
  - If they are different, that will usually be specified.

# Examples

```
for(int i=0; i<array.length; i++) {  
    for(int j=0; j<array.length; j++) {  
        // code independent of n (such as:)  
        System.out.println(array[i]);  
        System.out.println("something else");  
        constantMethodCall();  
    }  
}
```

# Examples

```
for(int i=0; i<array.length; i++) {  
    for(int j=0; j<array.length; j++) {  
        // code independent of n (such as:)  
        System.out.println(array[i]);  
        System.out.println("something else");  
        constantMethodCall();  
    }  
}
```

$O(n^2)$

# Examples

```
for(int i=0; i<n; i++) {  
    // code independent of n  
}  
  
for(int i=0; i<n; i++) {  
    // code independent of n  
}
```

# Examples

```
for(int i=0; i<n; i++) {  
    // code independent of n  
}  
for(int i=0; i<n; i++) {  
    // code independent of n  
}
```

$O(n)$



# Examples

```
for(int i=0; i<array.length; i++)  
    for(int j=0; j<array.length; j++)  
        for(int k=0; k<100; k++)  
            // code independent of n
```

# Examples

```
for(int i=0; i<array.length; i++)  
    for(int j=0; j<array.length; j++)  
        for(int k=0; k<100; k++)  
            // code independent of n
```

$O(n^2)$

# Examples

```
for(int i=0; i<array.length; i++)  
    for(int j=0; j<array.length; j++)  
        for(int k=0; k<100; k++)  
            // code that is O(n)
```

# Examples

```
for(int i=0; i<array.length; i++)  
    for(int j=0; j<array.length; j++)  
        for(int k=0; k<100; k++)  
            // code that is O(n)
```

$O(n^3)$

# Examples

```
// myList is type ArrayList  
  
for(int i=0; i<myList.size(); i++) {  
    System.out.println(myList.get(i));  
}
```

# Examples

```
// myList is type ArrayList  
  
for(int i=0; i<myList.size(); i++) {  
    System.out.println(myList.get(i));  
}
```

$O(n)$

# Examples

```
// myList is type ArrayList  
  
for(int i=0; i<myList.size(); i++) {  
    boolean contains =  
        myList.contains(Integer.valueOf(i));  
  
}
```

# Examples

```
// myList is type ArrayList  
  
for(int i=0; i<myList.size(); i++) {  
    boolean contains =  
        myList.contains(Integer.valueOf(i));  
  
}
```

$O(n^2)$



# Big O in the Real World

- Small data sets
  - Inefficient algorithms are not a problem with small data sets (but are a problem with large data sets)
  - For some data sets, an  $O(n)$  algorithm can be faster than an  $O(1)$  algorithm!
- Constants matter
  - Although the same order of growth, if you can make your code run in  $5n$  this is realistically better than  $100n$ !

# **ARRAY AND NODE EFFICIENCIES**

# Arrays and Linked Nodes

| Algorithm   | Array | Linked Nodes<br>(with head<br>pointer only) |
|---|-------|---|
| Traversal<br>(e.g., find frequency, contains method, create<br>and fill a list, etc.) |       |   |
| Retrieve an element at a specific position  |       |   |
| Insert at the beginning   |       |   |
| Insert at the end   |       |   |
| Insert in the middle  |       |   |
| Delete from the beginning   |       |   |
| Delete from end   |       |   |
| Delete from the middle  |       |   |

# Arrays and Linked Nodes

| Algorithm   | Array  | Linked Nodes<br>(with head<br>pointer only) |
|---|--------|---|
| Traversal<br>(e.g., find frequency, contains method, create<br>and fill a list, etc.) | $O(n)$ | $O(n)$                                      |
| Retrieve an element at a specific position  | $O(1)$ | $O(n)$                                      |
| Insert at the beginning   | $O(n)$ | $O(1)$                                      |
| Insert at the end   | $O(1)$ | $O(n)$                                      |
| Insert in the middle  | $O(n)$ | $O(n)$                                      |
| Delete from the beginning   | $O(n)$ | $O(1)$                                      |
| Delete from end   | $O(1)$ | $O(n)$                                      |
| Delete from the middle  | $O(n)$ | $O(n)$                                      |

# Linked Nodes with Tail

- How does this change efficiency?

# BagInterface Implementations

| Method           | ArrayBag | LinkedBag |
|------------------|----------|-----------|
| add(T)           |          |           |
| remove()         |          |           |
| remove(T)        |          |           |
| clear()          |          |           |
| getFrequencyOf() |          |           |
| contains(T)      |          |           |
| toArray()        |          |           |
| getCurrentSize() |          |           |
| isEmpty()        |          |           |

# BagInterface Implementations

| Method           | ArrayBag | LinkedBag |
|------------------|----------|-----------|
| add(T)           | $O(1)$   | $O(1)$    |
| remove()         | $O(1)$   | $O(1)$    |
| remove(T)        | $O(n)$   | $O(n)$    |
| clear()          | $O(n)$   | $O(n)$    |
| getFrequencyOf() | $O(n)$   | $O(n)$    |
| contains(T)      | $O(n)$   | $O(n)$    |
| toArray()        | $O(n)$   | $O(n)$    |
| getCurrentSize() | $O(1)$   | $O(1)$    |
| isEmpty()        | $O(1)$   | $O(1)$    |

# ListInterface Implementations

| Method        | AList | LList |
|---------------|-------|-------|
| add(T)        |       |       |
| add(int, T)   |       |       |
| remove(int)   |       |       |
| getEntry(int) |       |       |
| replace(T,T)  |       |       |
| contains(T)   |       |       |
| toArray()     |       |       |
| getLength()   |       |       |
| isEmpty()     |       |       |
| clear()       |       |       |



# ListInterface Implementations

| Method         | AList  | LList  |
|----------------|--------|--------|
| add(T)         | $O(1)$ | $O(n)$ |
| add(int, T)    | $O(n)$ | $O(n)$ |
| remove(int)    | $O(n)$ | $O(n)$ |
| getEntry(int)  | $O(1)$ | $O(n)$ |
| replace(int,T) | $O(1)$ | $O(n)$ |
| contains(T)    | $O(n)$ | $O(n)$ |
| toArray()      | $O(n)$ | $O(n)$ |
| getLength()    | $O(1)$ | $O(1)$ |
| isEmpty()      | $O(1)$ | $O(1)$ |
| clear()        | $O(n)$ | $O(1)$ |

# List Implementations

| Action                    | ArrayList | LinkedList                     |
|---------------------------|-----------|--------------------------------|
| Direct access- get(i)     | $O(1)$    | $O(n)$                         |
| Adding/removing beginning | $O(n)$    | $O(1)$                         |
| Adding/removing middle    | $O(n)$    | $O(n)$<br>$O(1)$ with iterator |
| Adding/removing end       | $O(1)$    | $O(1)$                         |

**ESTIMATION**

# Orders of Magnitude

- Measuring efficiency requires you to think about orders of magnitude.
  - $O(n)$ ,  $O(n^2)$ , etc.
- *Estimating* also relates to this idea.
- When you are asked to estimate a value, think about getting it right *within an order of magnitude*.
  - This often means within a power of 10.

# Estimating Tasks

- These are classic interview questions.
  - How many piano tuners are in Chicago?
  - How much should you charge to wash all the windows in Seattle?
  - How much does the Empire State Building weight?
- No one really wants to know the answer!
  - They want to see your thought process!
  - How do you break down and solve a problem?

# How to Estimate

- Break the problem into smaller parts.
  - Often you can estimate to some other quantity to help you along the way.
- Make (and state) your assumptions.
  - Be clear about what you are assuming but don't know!
- Use your general knowledge of the world.

# Example

- How many piano tuners are there in Chicago?

# What do I need to know?

- How many pianos are there in Chicago?
- How often do people tune their piano?
- How long does it take to tune a piano?
- This would answer how many are needed.  
Then we can estimate how many there are.



# Example

- How many pianos are there in Chicago ?
  - How many people are there in Chicago?
  - What percentage of people have Pianos?
- How often do people tune their piano?
- How long does it take to tune a piano?

# Example

- How many pianos are there in Chicago ?
  - How many people are there in Chicago?
    - Keep in mind orders of magnitude!
    - 100,000? 1,000,000? 10,000,000?
    - Think about the word population, then the US, then consider that Chicago is a large city in the US.
  - What percentage of people have Pianos?
- How often do people tune their piano?
- How long does it take to tune a piano?

# Example

- How many pianos are there in Chicago ?
  - How many people are there in Chicago?
  - What percentage of people have Pianos?
    - Orders of magnitude: 1%, 10%, 100%?
- How often do people tune their piano?
- How long does it take to tune a piano?

# Example

- How many pianos are there in Chicago ?
  - How many people are there in Chicago?
  - What percentage of people have Pianos?
- How often do people tune their piano?
  - Orders of magnitude: Hourly? Daily? Weekly? Monthly? Yearly?
- How long does it take to tune a piano?

# Example

- How many pianos are there in Chicago ?
  - How many people are there in Chicago?
  - What percentage of people have Pianos?
- How often do people tune their piano?
- How long does it take to tune a piano?
  - Orders of magnitude: An hour? A day? A week?

# Example

- How many pianos are there in Chicago?
  - How many people are there in Chicago?
  - What percentage of people have Pianos?
- How often do people tune their piano?
- How long does it take to tune a piano?
- Once we have answers to these, we can just do the math as to how many are needed.
  - How many are there?
  - Orders of magnitude: would there be 1%, 10% fewer tuners than needed? would there be 1%, 10% more tuners than needed?

# Example

- How many piano tuners are there in Chicago?
- The answer doesn't matter!
  - If you've estimated well and used good assumptions, your estimate would likely be within an order of magnitude.
  - But, again, this doesn't really matter.
- What matters is reasonableness of your assumptions you made, how you reasoned and broke down the process.