#### **UNIVERSITY OF WATERLOO**

### **Faculty of Mathematics**

Machine Learning for Characterizing the Biomedical Features of Diabetic Retinopathy in Patients with Type 2 Diabetes Mellitus

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# **Abstract**

### **Background**

Diabetic retinopathy is the major cause of blindness among patients with diabetes mellitus. A considerable amount of studies on the risk factors of diabetic retinopathy show that the long duration of diabetes is the most significant risk factors of diabetic retinopathy. However, other risk factors have been identified with varying importance in past studies. The purpose of this study is to identify the risk factors of diabetic retinopathy in type 2 diabetes mellitus by machine learning algorithms. Machine learning is a new and rapidly evolving method to analyze the interaction among significant features, which has been widely applied in the medical field. Correspondingly, machine learning can be used to build prediction models to characterize the risk of diabetes mellitus. (Zhang et al., 2020). The purpose of this report is to apply the machine learning algorithms to predict the presence of diabetic retinopathy in patients with type 2 diabetes mellitus (T2DM) and determine the discriminative features.

Risk assessment models for diabetic retinopathy in patients with T2DM were developed using three machine learning algorithms, including random forest (RF), light gradient boosting machine (LightGBM), and logistic regression (LR). The model performance was measured in an area under the receiver

operating characteristic curve (AUC), sensitivity, specificity, F1 score, and area under the precision-recall curve.

# **Participants and Data set**

503 southern Chinese patients with type 2 diabetes mellitus.

(Zhuang et al., 2019)

# 1.0 Introduction

Diabetic retinopathy is a retinal complication of diabetes and it is prevalent among patients with type 2 diabetes. DR is the major cause of blindness in type 2 diabetes patients. According to the WHO, 4.8% of all blindness cases globally are attributed to DR (Resnikoff et al., 2004). Diabetes is growing faster than population growth and people are getting it at younger ages nowadays, so there is enough and emergent reason for society and medical experts to find effective prevention and treatment of diabetic retinopathy, since

Diabetic retinopathy is diagnosed by clinical ophthalmic examination and image evaluation. Non-proliferative diabetic retinopathy (NPDR) and proliferative diabetic retinopathy (PDR) are two forms of diabetic retinopathy (PDR). History diabetic retinopathy is another name of NPDR (BDR). As DR progresses, it moves from the less severe Non-proliferative form to the sever proliferative stage. The test targets of diagnosis in the ophthalmic examination of diabetic retinopathy are listed below:

- Abnormal blood vessels
- Swelling, blood, or fatty deposits in the retina
- Growth of new blood vessels and scar tissue
- Bleeding in the clear, jelly-like substance that fills the center of the eye (vitreous)

- Retinal detachment
- Abnormalities in your optic nerve

To the suggestions from Taiwan diabetic association, T2D patients need to perform screening of fundus examination annually and perform more frequently if they already have diabetic retinopathy. However, the screening rate is low since many patients do not realize they have diabetic retinopathy in the early stage. Once they develop proliferative diabetic retinopathy, they lost vision suddenly. Also, the accuracy of the diagnosis of DR especially for the stage of DR by the fundus photograph is not high enough and many people are building deep learning models to help assist the diagnosis of DR. Thus, identifying the interpretable biomedical features is beneficial for the medical practitioner.

In this study, patient characteristic and laboratory data were included to build the prediction model of diabetic retinopathy, and the methods applied in this study to identify the risk factors was by the similar way of a study to characterizing the risk factors of type 2 diabetic mellitus.

This project is carried to identify the important biomedical features which show correlation with diabetic retinopathy in patients with type 2 diabetes mellitus.

In this way, the clinician is able to early detect early diabetic retinopathy for patients with T2D by their blood tests. Through the results in the risk

assessment models, machine learning algorithms can be used to assist the diagnosis and treatment of diabetic retinopathy.

# 2.0 Method

### Study population

The raw data set consists of 503 patients with diabetes in southern China. The patients had undergone ophthalmic consultation between December 2017 and November 2018 at the Guangdong Provincial People's Hospital's Department of Endocrinology. This study included patients with T2DM (by the WHO criteria (Alberti et al., 1998)) and reports from the Early Treatment Diabetic Retinopathy Study (ETDRS) 35-degree 7-standard fields color retinal photographs (Topcon TRC; Topcon, Tokyo, Japan) on them. Any other ocular condition that could impair ocular circulation (e.g., glaucoma, endophthalmitis, retinal vascular occlusion, age-related macular degeneration, refractive error >3 diopters, eye trauma), any serious systemic disorders (e.g., myocardial infarction, cerebral infarction, connective tissue disorder), or a history of prior intravitreal injection or dialysis were omitted. (Zhuang et al., 2019). Patients' medical records were used to obtain all of the medical information. Sex, age, diabetes mellitus (DM) length, height, weight, and blood pressure were among the demographic and physical data collected. BMI was determined by weight divided by height squared. A systolic blood pressure of 140 mm Hg or diastolic blood pressure of 90 mm Hg is considered hypertension. (Zhuang et al., 2019).

### Assessment of DR

According to the description of the diagnosis of diabetic retinopathy on the source of data set used in this study (Zhuang et al., 2019), DR and DME (diabetic macular edema) were diagnosed both on the clinical ophthalmic examination and image evaluation by two trained graders. And the examination will be further confirmed by a fundus expert if the graders have a different diagnosis on the same patient. However, the data set also includes some patients with undiagnosed patients.

### Machine Learning Algorithm

#### **Random Forests**

Random forest (RF) is an ensemble learning theory. At training time, RF generates a large number of decision trees for randomly splitting data. A subset containing K attributes is randomly selected from the attribute set of each node in the base decision tree, and then an optimal attribute is selected from the subset for partitioning. (Svetnik, V. et al. 2003)

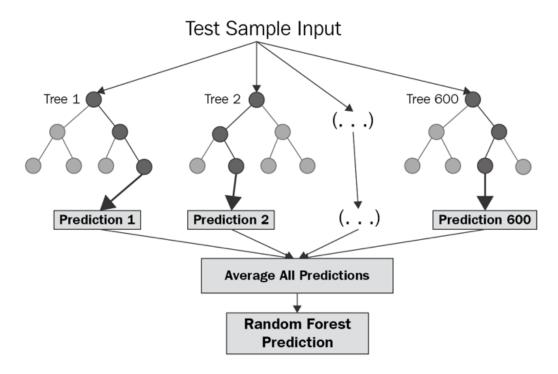


Figure 1. Random Forest Structure https://medium.com/swlh/random-forest-and-its-implementation-71824ced454f

#### **Light Gradient Boosting Machine**

Light GBM is a gradient boosting framework that uses a tree-based learning algorithm. Gradient boosting machine (GBM) is an iterative algorithm in which different classifiers are trained for the same training set, and then these weak classifiers are combined to form a stronger final classifier. Each iteration is implemented into a weak classifier to solve the established shortcomings of weak classifier combinations through a sequence of iterations to improve the classification performance. When training each weak classifier, GBM uses the residual of training data fitted by the previous weak classifier to improve the model. (Zhang et al., 2020)

#### **Logistic Regression**

Logistic regression (LR) is a type of generalized linear regression analysis that aims to find the best model for describing the relationship between dependent and independent predictors. (Bagley et al., 2001) The probability of an individual developing diabetic retinopathy is p(Y=1|X) = p(X)p(Y=1|X) = p(X). Then, the formula of the LR model is defined as follows. (Zhang et al., 2020)

$$logit(p) = ln \left[ \frac{p(X)}{1 - p(X)} \right] = \beta_0 + \beta_1 X_1 + \beta_2 X_2 + \dots + \beta_k X_k$$
 (1)

and equivalently, after exponentiating both sides:

$$\frac{p(X)}{1 - p(X)} = e^{\beta_0 + \beta_1 X_1 + \beta_2 X_2 + \dots + \beta_k X_k}$$
 (2)

The probability of an individual developing T2DM is

$$p(X) = \frac{e^{\beta_0 + \beta_1 X_1 + \beta_2 X_2 + \dots + \beta_k X_k}}{1 + e^{\beta_0 + \beta_1 X_1 + \beta_2 X_2 + \dots + \beta_k X_k}}$$
(3)

Where  $X=(X_1,X_2\cdots X_k)$  represents the risk factors,  $\beta=(\beta_1,\beta_2\cdots\beta_k)$  are the coefficients estimated by using the method of maximum likelihood.

Figure 2- Mathematical Derivation of Logistic Regression https://www.nature.com/articles/s41598-020-61123-x#ref-CR39

### Feature Importance Measurements

#### Mean decrease in impurity (Gini) importance

Random forests are treated as "black box" prediction model, but the importance Metrics associated with each feature can be measured as output. Mean decrease in impurity matric is used to show the improvement in the "Gini gain" for the classification problem. It incorporates a weighted mean of individual tress' improvement in the splitting criterion by each feature.

The Gini impurity index:

$$G = \sum_{i=1}^{n_c} p_i (1 - p_i) = 1 - \sum_{i=1}^{n_c} p_i^2$$

Where  $n_c$  is the number of classes in the target variable and  $p_i$  is the ratio of this class.

#### **Permutation Feature Importance**

This method will randomly shuffle each feature and compute the change in the model's performance. The features which impact the performance the most are the most important ones.

#### **Odds Ratio**

the odds ratio represents the constant effect of a predictor X, on the likelihood that one outcome will occur. Which is used in the coefficient effect size for logistic regression.

#### **Shapley Additive Explanations**

It is a game theoretic method which uses the Shapley values for local data point from game theory to estimate how each feature contributes to the prediction.

Mathematical explanation:

$$\phi_i = \sum_{S \subseteq M \setminus i} \frac{|S|!(|M| - |S| - 1)!}{|M|!} [f(S \cup i) - f(S)]$$

A key part of this is the difference between the model's prediction with the feature i, and the model's prediction without feature i.

S refers to a subset of features that doesn't include the feature for which we're calculating  $\phi_i$ .

 $S \cup i$  is the subset that includes features in S plus feature i.

 $S \subseteq M \setminus i$  in the  $\Sigma$  symbol is saying, all sets S that are subsets of the full set of features M, excluding feature i.

Shapley, Lloyd S. "A value for n-person games."

Figure 3

Project Procedures and Model Performance Measurements

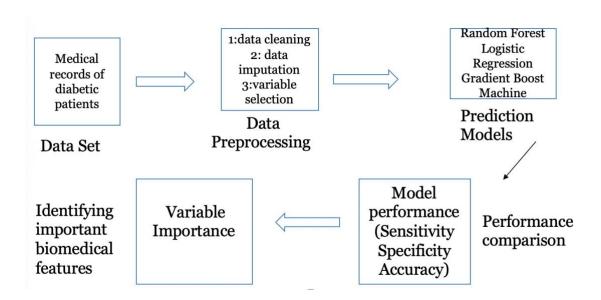


Figure 4

The models were trained by stratified 10-fold cross-validation. This is an extensive version of regular k-fold cross validation which splits the training set with the same ratio of the target classes in the full dataset. This method avoids the overfitting and the unbalanced distribution for training the predictive model. For the comparison between the models, accuracy, F1-score, and area under the receiver operating characteristics (ROC) curve were applied as the measurements. The accuracy refers to the accuracy of the model prediction performance F1-score calculated on the test set. is from the precision and recall of the test, where the precision is the number of true positive results divided by the number of all positive results, including those not identified correctly, and the recall is the number of true positive results divided by the number of all samples that should have been identified as positive. Precision is also known as positive predictive value, and recall is also known as sensitivity in diagnostic binary classification. The Area under curve (AUC) is used to evaluate discrimination which refers to the model's ability to identify who is at risk of developing diabetic retinopathy and who is not.

# 3.0 Results

# Descriptive statistical analysis

The data set contains 503 patients. Removing 2 patients under 18 to avoid bias. For the rest data set 424 patients have a final diagnosis of the presence of diabetic retinopathy and 77 patients have an uncertain presence of diabetic retinopathy. The 424 patients (257 no DR and 167 DR) were included first in the statistical analysis and model training. For this study, the explanations and the abbreviation of features:

#### General data:

sex: 0 for female; 1 for male

age(years): range from 14 to 92

duration(years): duration of diabetes mellitus (years)

hbp: whether or not the patients have hypertension 1 for the existence of hypertension, 0 is not. Hypertension is defined as

systolic blood pressure ≥140 mm Hg or diastolic blood pressure ≥90 mm Hg

sbp: systolic blood pressure | dbp: diastolic blood pressure ( mm Hg)

HbA1c(%): Hemoglobin A1C normal: Below 6.0%; prediabetes:6.0% to

6.4% Diabetes:6.5% or over

#### Renal data:

bun(mmol/L): Blood urea nitrogen. The normal range is 2.1-7.1 mmol/L

urea (Serum urea)

utp (mg/L):Urinary total protein.

ualb (mg/L): Urinary albumin

Ucr (µmol/L): urinary creatinine

UACR (mg/g): urine albumin-to-creatinine ratio

UPCR (mg/g): urinary protein/Ucr

eGFR (mL/min/1.73 m²): estimated glomerular filtration rate

Blood liqid:

NEFA (mmol/L): non-esterified fatty acid

HDL(mmol/L): high-density lipoprotein

LDL (mmol/L): low-density lipoprotein

TRIG (mmol/L): triglycerides

CHOL(mmol/L): total cholesterol

Lpa (mg/L) : lipoprotein a

APOA (g/L): apolipoprotein A

APOB (g/L): apolipoprotein B

Others:

Uric (µmol/L) : uric acid

ALT (U/L): alanine aminotransferase

AST (U/L): aspartate transaminase

Che (U/L): acetylcholinesterase

ALB (g/L): serum albumin

TP (g/L): total protein

ddimer (µg/L): a fibrin degradation product

VitB12 (µmol/L): vitamin B12

### The distribution of categorical features:

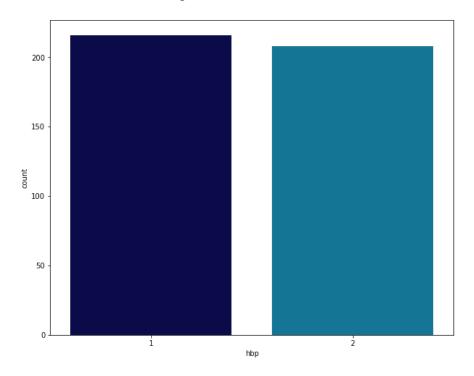


Figure 5

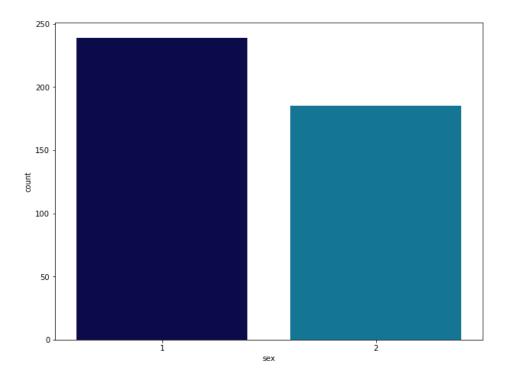


Figure 6

### 239 males and 185 females

208 patients have hypertension and 216 patients do not have hypertension.

### **Data imputation**

0	sex	424	non-null
1	age	424	non-null
2	duration	424	non-null
3	hbp	424	non-null
4	sbp	424	non-null
5	dbp	424	non-null
6	hb1ac	419	non-null
7	uric	416	non-null
8	bun	424	non-null
9	urea	424	non-null
10	NEFA	406	non-null
11	HDL	423	non-null
12	LDL	423	non-null
13	TRIG	423	non-null
14	CHOL	422	non-null
15	Lpa	389	non-null
16	APOA	389	non-null
17	APOB	389	non-null
18	ALT	424	non-null
19	AST	424	non-null
20	ChE	423	non-null
21	ALB	423	non-null
22	TP	423	non-null
23	ddimer	421	non-null
24	VitB12	356	non-null
25	utp	413	non-null
26	ualb	417	non-null
27	ucr	417	non-null
28	UACR	417	non-null
29	UPCR	413	non-null
30	eGFR	424	non-null

Figure 7

In the sample data, there are a decent number of missing values and abnormal zero values which are likely to cause the bias of machine learning prediction. Thus, there are some methods to impute the data and the random-forest-based imputation was chosen for this research (Sam Wilson 2020).

### Statistical significance table

pvalue	mean(dr=1)	std(dr=1)	mean(dr=0)	std(dr=0)	
ChE	4.144804e-01	8276.796407	2135.138100	8443.859922	2005.921719
age	5.390473e-02	60.413174	12.897766	58.081712	13.701802
duration	1.455421e-10	12.383234	7.274925	8.066148	7.635207
sbp	3.816561e-04	143.281437	23.305433	135.287938	19.058780
dbp	4.355434e-01	80.149701	12.471958	80.198444	11.636567
hblac	4.940133e-01	9.709880	2.276049	9.739689	2.398010
uric	4.789568e-02	381.798263	119.832225	361.466926	105.492668
bun	6.594827e-06	9.857246	27.159325	5.900506	2.409572
urea	5.566713e-04	111.158683	92.421082	77.735953	29.533253
NEFA	7.993300e-06	0.340120	0.190304	0.423191	0.206009
HDL	1.473066e-02	1.066347	0.316731	1.003891	0.333413
LDL	1.010015e-02	3.369880	1.110072	3.105447	0.900924
TRIG	4.933668e-01	2.215928	2.429350	2.529494	5.940556
CHOL	1.089077e-02	5.269701	1.852956	4.853696	1.389414
Lpa	4.868849e-03	237.730539	252.094036	188.225681	201.008054
APOA	1.594781e-01	1.172575	0.248133	1.142607	0.238235
APOB	9.814339e-02	0.967485	0.305166	0.925603	0.257518
ALT	2.055497e-05	19.580838	13.527451	27.501946	35.834703
AST	3.908673e-04	19.874251	9.254878	25.031128	27.784589

pvalue	mean(dr=1)	std(dr=1)	mean(dr=0)	std(dr=0)	
ALB	4.936936e-06	35.699401	5.688940	38.108171	3.930228
TP	3.048509e-02	64.461677	6.526745	65.643969	5.714323
ddimer	1.163047e-04	840.598802	1812.616993	472.412451	364.705062
VitB12	2.167953e-02	462.281437	279.635712	396.101167	227.126766
utp	2.679788e-07	835.771737	1449.621427	228.873696	707.072739
ualb	1.155685e-14	377.559940	708.663960	57.723268	228.339899
ucr	7.702465e-09	7.391737	9.876842	9.890973	5.967271
UACR	1.055061e-20	623.075903	1162.528063	97.978890	455.044625
UPCR	5.352715e-17	1409.395587	2521.524228	358.791371	1757.746437
eGFR	8.393216e-07	75.566909	40.727489	90.028899	27.863894

Table 1

Shapiro-Wilk test was used to test the normality for the continuous features and independent t-test and Mann-Whitney U test were used to compare the distribution of the features in group of patients with DR and without DR.

Features show statistical significance: 'duration', 'sbp', 'uric', 'bun', 'urea', 'NEFA', 'HDL', 'LDL', 'CHOL', 'Lpa', 'ALT', 'AST', 'ALB', 'TP', 'ddimer', 'VitB12', 'utp', 'ualb', 'ucr', 'UACR', 'UPCR', 'eGFR'. The threshold value for statistic tests are 0.05 of p-value.

# Comparison of model performance

Three Model performance on the stratified 10-fold cross-validation. The

hyperparameters of models were tuned by 400 trails.

Models	Random Forest	Light Gradient	Logistic
		Boosting	Regression
		Machine	
Accuracy out-of-	0.761	0.788	0.7286
fold			
F1 score out-of-	0.637	0.710	0.607
fold			
Accuracy on the	0.788	0.777	0.741
test set			
F1 score	0.678	0.655	0.607
ĺ			
Hyperparameter	{'n_estimators ': 39,	{'colsample_by tree': 0.79930	{'C': 5.533253 688880515,
Hyperparameter after tuning	<pre>{'n_estimators ': 39,   'max_depth': 28,   'min_samples_ split': 6,   'min_samples_ leaf': 4}</pre>	<pre>{'colsample_by tree': 0.79930 39578263481,   'learning_rat e': 0.32277549 340076406,   'max_depth': 24,   'min_child_sa mples': 42,   'min_child_we ight': 0.19969 898786372456,   'n_estimators ': 138,</pre>	{'C': 5.533253 688880515, 'intercept_sc aling': 1.4588 59796107597, 'max_iter': 9 35}

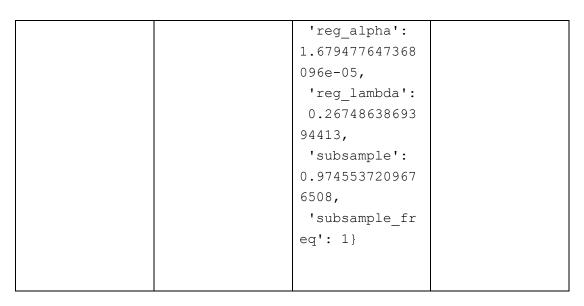


Table 2

From the table above, the light Gradient Boosting Machine achieved the highest accuracy and F1 score (Accuracy:0.788 F1 score: 0.710) on the cross-validation. Random Forest achieved the highest accuracy and F1 score on the test set (Accuracy: 0.788 F1 score 0.678).

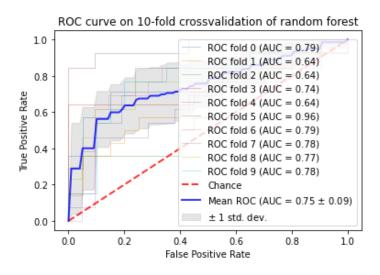


Figure 8

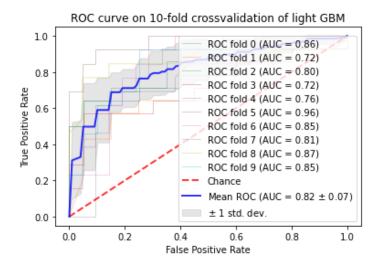


Figure 9

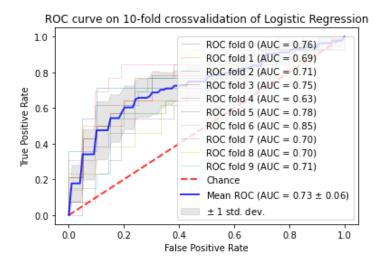


Figure 10

From the ROC curves, light GBM achieved the best AUC by the stratified 10-fold cross-validation (AUC: 0.82).

# Feature Importance Analysis

# The top-10 ranked variables by the variable importance for each algorithm

Rank	RF	RF	LightGBM	LightGBM	LR	LR
IXAIIK			LightObivi	LightObivi	LIX	LIX
	(Gini	(Permutation	(Gini	(Permutation	(Coefficient	(Permutation
	importance)	Importance)	importance)	Importance)	Effect Size)	Importance)
1	Urinary albumin	urinary creatinine	urine	urinary creatinine	Urinary	Urinary albumin
			albumin-to-		albumin	
			creatinine			
			ratio			
2	urine albumin-	non-esterified	duration of	urine albumin-	total	D-dimer
	to-creatinine	fatty acid	diabetes	to-creatinine	cholesterol	
	ratio		mellitus	ratio		
3	urinary	duration of	urinary creatinine	duration of	serum	total cholesterol
	protein/Ucr	diabetes mellitus		diabetes mellitus	albumin	
4	Urinary total	alanine	low-density	non-esterified	non-	Sex
	protein	aminotransferase	lipoprotein	fatty acid	esterified	
					fatty acid	

5	urinary creatinine	aspartate	non-	low-density	low-density	Serum urea
		transaminase	esterified	lipoprotein	lipoprotein	
			fatty acid			
6	duration of	D-dimer	Age	serum albumin	Sex	duration of
	diabetes mellitus					diabetes
						mellitus
7	non-esterified	systolic blood	urinary protein/Ucr	Blood urea	Age	vitamin B12
	fatty acid	pressure		nitrogen		
8	alanine	Age	aspartate transaminase	alanine	vitamin B12	total protein
	aminotransferase			aminotransferase		
9	estimated	high-density lipoprotein	serum	urinary protein/Ucr	duration of	uric acid
	glomerular		albumin		diabetes	
	filtration rate				mellitus	
10	systolic blood	urine albumin-	lipoprotein A	lipoprotein A	aspartate	apolipoprotein
	pressure	to-creatinine			transaminase	В
		ratio				

Table 3

The features rank of the frequency in top-11 are duration of diabetes mellitus(6), urine albumin-to-creatinine ratio(4), urinary creatinine(4), non-esterified fatty acid(4), Urinary albumin(3), urinary protein/ucr(3), alanine aminotransferase(3), low-density lipoprotein(3), age(3), aspartate transaminase(3), serum albumin(3). And the top 10 features for each

algorithm are also listed above. Notice that some features are linear dependent, but they were important in improving the performance of prediction models and can be used to demonstrate different body functions. The long duration of diabetes mellitus has been identified to be the risk factor of diabetic retinopathy in both statistical analysis and clinical researches. The longer the patients suffer from diabetes mellitus, the more likely they are to have diabetic retinopathy. UACR (urine albumin-to-creatinine ratio) was calculated by urinary albumin and urinary creatinine and it can be clinically used to evaluate renal function. This group of features shows that there is a correlation between diabetic retinopathy and renal function. This result is corresponding with the result from "Association of diabetic retinopathy and diabetic macular edema with renal function in southern Chinese patients with type 2 diabetes mellitus" (Zhuang et al., 2019). Moreover, low-density lipoprotein is also tested to be the risk factor of DR in Zhuang's study by statistical analysis. In Tan's research conducted in 2019 (Tan et al., 2019) about the risk factors of an early stage of diabetic retinopathy, age was tested to be the risk factor for patients with type 2 diabetes mellitus. For the serum albumin, Moctezuma MY et al showed that less than 3 g/dL serum albumin in Mexican patients with type 2 diabetes mellitus is associated with retinopathy. However, whether or not aspartate transaminase, alanine aminotransferase, and non-esterified fatty acid correlate with diabetic retinopathy and whether or not they will directly or indirectly cause the progression of diabetic retinopathy requires further research.

# Variable ranking based on the mean rank of all models based on the shapley additive explanations approach.

Model		RF	LightGBM	LR	Mean Rank
Feature Importance Rank	Urinary albumin	1	11	1	4.33
	duration of diabetes mellitus	6	2	7	5
	urinary creatinine	4	3	10	5.67
	non- esterified fatty acid	10	5	3	6
	urinary protein/Ucr	5	4	13	7.33
	sex	13	10	2	8.33
	low- density lipoprotein	15	6	4	8.33
	urine albumin- to- creatinine ratio	3	1	22	8.67
	urinary total protein.	2	19	8	9.67

total cholesterol	9	22	5	12

Table 4

Among the top-10 features across all methods were Urinary albumin, duration of diabetes mellitus, urinary creatinine, non-esterified fatty acid, urinary protein/Ucr, sex, low-density lipoprotein, urine albumin-to-creatinine ratio, urinary total protein. total cholesterol. For new noticeable features, whether or not gender is a risk factor of diabetic retinopathy in T2DM patients has not reached an agreement. The relationship between total cholesterol and diabetic retinopathy also requires more research.

# 4.0 Conclusions

According to the model performance and feature rankings, on the one hand, the performance of the prediction model shows that the prediction models are valid and credible in a research study, on the other hand, multiple papers are showing that the top-ranked features play an important role in the development of diabetic retinopathy. By the results, there is a correlation between diabetic retinopathy and renal functions. Chen et al and Zhuang et al showed that UACR is associated with the development of diabetic retinopathy. the duration of diabetes has already been identified as the risk factors of diabetes retinopathy (Sekioka R et al., 2015). When diabetic retinopathy occurs in type 2 diabetes mellitus patients, systemic conditions including renal function and blood lipids should be enhanced as much as possible while ocular conditions are treated. (Zhuang et al., 2020) The study also provides the source of the data set used in this research. Low-density lipoprotein (LDL) is the risk factor in the study by the statistical analysis conducted in the study for finding the association between renal function and diabetic retinopathy. (Zhuang et al., 2020). Non-esterified fatty acids were the important feature in machine learning models but there is not enough evidence to show there is any biological or clinical

association between it and diabetic retinopathy. In this project, the features importance ranking is a valid method to identify the highly relevant biomedical features with diabetic retinopathy. In the prediction features, random forest and light gradient boosting machine were all promising classifiers for diabetic retinopathy. The result can also relieve the burden of DR screening caused by a large number of diabetic patients and a shortage of ophthalmologists in China.

# 5.0 Discussion

#### Limitation

First, the data set was from a cross-sectional study, so I was not able to analyze the follow-up data of patients. Second, the models included were limited and it might be able to have a more accurate result with more prediction models. Third, the machine learning algorithms are "black box", the results generated by machine learning algorithms require clinical and biological validation and explanation from prospective studies and randomized controlled trials. Fifth, the number of data set is relatively small, I need to have access to a larger data set. Sixth, all the results require clinical and biological proofs.

#### Next steps

1. There were 77 patients with an uncertain diagnosis of DR classified by three algorithms. And each algorithm had 10 models trained by 10-fold cross-validation. Thus, there were different results for the data set. The data set was used to do the statistical significance tests with the 424 patients. However, the size of the data set is still small. And bootstrap sampling is a good way to compare them.

- 2. The diabetic macula edema requires further analysis
- It is more important and complex to analyze the progression of diabetic retinopathy based on the stages of diabetic retinopathy.
- 4. Conducting a study on the fundus images to predict the stages of diabetic retinopathy is also doable.

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