

REDUCED DELTA INVARIANT AND KÄHLER–EINSTEIN METRICS

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ABSTRACT. Based on the pluripotential methods developed in [9], we give a simplified prove for a result of Chi Li, which states that a log Fano vatiety admits a Kähler–Einstein metric if it has vanishing Futaki invariant and its reduced delta invariant is bigger than one.

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1. INTRODUCTION

Searching for canonical metrics on compact Kähler manifolds is a long standing problem in the field of geometric analysis. Let X is a Fano manifold of dimension n . In this case, it is a difficult question to determine if X admits Kähler–Einstein (KE) metrics or not. By the solution of the Yau–Tian–Donadlson (YTD) conjecture, we now know that X admits a KE metric if and only if it is K-polystable; see e.g. [25, 18] for proofs using Cheeger–Colding–Tian theory, [3, 19, 16, 9] for variational proofs and also [24, 28] for a proof using Tian’s quantization methods.

In general, it is a highly non-trivial question to determine if a given Fano manifold is K-polystable or not. Thanks to the Fujita–Li criterion [15, 10, 4] and the recent progress of Liu–Xu–Zhuang [21], one can now test K-polystability of a Fano manifold using the so called reduced delta invariant that we now describe.

Let $\mathbb{G} := \text{Aut}_0(X)$ denote the identity component of the biholomorphic automorphism group of X . If \mathbb{G} is not reductive, then X cannot admit KE metrics by [23]. So in this paper we always assume that \mathbb{G} is a reductive group. Let \mathbb{T} be a maximal torus of \mathbb{G} . By group theory, \mathbb{T} is non-trivial if $G \neq \{1\}$. Assume that $\mathbb{T} = (\mathbb{C}^*)^r$, $\mathbb{T}_{\mathbb{R}} := (S^1)^r$, $N_{\mathbb{Z}} := \text{Hom}(\mathbb{C}^*, \mathbb{T})$, $N_{\mathbb{Q}} := N_{\mathbb{Z}} \otimes_{\mathbb{Z}} \mathbb{Q}$, and $N_{\mathbb{R}} := N_{\mathbb{Z}} \otimes_{\mathbb{Z}} \mathbb{R}$.

The reduced delta invariant of X is defined by (following [16, 27])

$$\delta^r(X) := \inf_{v \in X_{\mathbb{T}}^{\text{div}}} \sup_{\xi \in N_{\mathbb{Q}}} \frac{A_X(v^{\xi})}{S_X(v^{\xi})},$$

where the inf is over all \mathbb{T} -invariant divisorial valuations v on X , and v^{ξ} is the twist of v (see (8)). Here $A_X(\cdot)$ denotes the log discrepancy and $S_X(\cdot) := S_{-K_X}(\cdot)$

denotes the expected vanishing order (see [4]). If $\mathbb{G} = \{1\}$, then one simply has $\delta^r(X) = \delta(X)$, which is the usual delta invariant studied in [11, 4].

In this work we give a short proof of the following result going back to Li [16].

Theorem 1.1. *Let X be a Fano manifold. Then X admits a KE metric if X has vanishing Futaki invariant and $\delta^r(X) > 1$.*

See Theorem 4.1 for the more general case of log Fano varieties. Note that by the recent work of Liu–Xu–Zhuang [21], X is K-polystable if and only if X has vanishing Futaki invariant and $\delta^r(X) > 1$. Therefore, modulo [21] (together with [1]), we get a simplified variational proof of the Yau–Tian–Donaldson conjecture for log Fano varieties.

Compared to Li’s work, our proof relies on the pluripotential techniques recently developed in [9], which does not involve the use of K-energy or test configurations. We will show that the Ding functional is proper modulo \mathbb{T} in a more direct way. Another novelty of our proof is that, it is insensitive to singularities, which therefore works equally well for more general Kähler–Einstein metrics on log Fano varieties. This also allows us to avoid the non-trivial perturbation trick used in [18, 19, 16], producing another simplification for the proof of YTD conjectures in the singular setting. To stay focused, we will first treat the case when X is smooth and finally show in §4 how our techniques easily adapt to the singular setting.

But we should emphasize that the KE metric produced by variational approach does not tell much information about its behavior around singularity, e.g., finite diameter and conical structure, so the metric geometry approach in [25, 18] still has advantages, which actually has played crucial roles in the construction of the K-moduli space (cf. [20, 27]).

Compared to the YTD type results proved in our previous work [9], the existence of non-trivial automorphisms will make the argument more involved. Indeed, when \mathbb{G} is trivial, based on the analysis from [9], one can show the existence of Kähler–Einstein metrics from $\delta(X) > 1$ almost immediately (see Theorem 3.1 for a quick proof). However, to deal with automorphisms, we need to exploit the birational geometry of the product space $X \times \mathbb{C}$ as in [3, 16, 13]. To be more precise, we need to show that the crucial non-Archimedean estimate [16, Lemma 3.5] for test configurations actually holds for any finite energy sublinear subgeodesic rays as well. To show the geodesic stability of Ding functional, we also need to sharpen the Lelong number estimate in [9, Proposition 4.5]. Moreover, in the presence of the \mathbb{T} -action, to construct a non-trivial destabilizing ray as in [9, Theorem 5.3], we need some additional careful estimates for the J -functional, which borrows some ideas from [17, Proposition 6.2].

Further directions. To stay brief, we do not treat the following interesting related directions in this paper. First, following [13], it is possible to further extend the scope of our results to the case of twisted soliton metrics on Fano type varieties after replacing E and S_L with their g -weighted versions. Also, our approach seems adaptable to the case of KE metrics with prescribed singularity type, as recently studied in [26]. Lastly, it is desirable to extend our treatment to the cscK problem on general algebraic manifolds.

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2. PRELIMINARIES

2.1. Subgeodesic rays and test curves. Let X be a Fano manifold, as in the introduction. Fix a $\mathbb{T}_{\mathbb{R}}$ -invariant Kähler form $\omega \in c_1(X)$. Denote by PSH_{ω} the set of ω -plurisubharmonic (psh) functions on X , \mathcal{E}_{ω}^1 the set of finite energy ω -psh functions, and let $\mathcal{E}_{\omega}^{1, \mathbb{T}_{\mathbb{R}}}$ be the set of $\mathbb{T}_{\mathbb{R}}$ -invariant elements in \mathcal{E}_{ω}^1 . For any sublinear subgeodesic ray $\{u_t\} \subset \mathcal{E}_{\omega}^1$, let $\{\hat{u}_{\tau}\} \subset \text{PSH}_{\omega}$ denotes the test curve associated with $\{u_t\}$. More precisely, $\{\hat{u}_{\tau}\}$ is given by

$$\hat{u}_{\tau}(x) := \inf_{t > 0} \{u_t(x) - t\tau\}, \quad \tau \in \mathbb{R}, \quad x \in X.$$

That $\{u_t\}$ is sublinear implies the existence of a finite number, denoted by τ_u^+ , such that

$$\tau_u^+ = \inf\{\tau \in \mathbb{R} : \hat{u}_{\tau} \equiv -\infty\}.$$

Equivalently, one has

$$\tau_u^+ = \lim_{t \rightarrow \infty} \frac{\sup u_t}{t}.$$

Note that these relations also hold for any finite energy sublinear subgeodesic rays in transcendental big classes on compact Kähler manifolds. We refer the reader to [9, §3] for a comprehensive treatment of this subject.

2.2. Ding functional and properness. Fix $h \in C^{\infty}(X, \mathbb{R})$ such that

$$\text{Ric}(\omega) = \omega + \text{dd}^c h,$$

with $\text{dd}^c := \sqrt{-1}\partial\bar{\partial}/2\pi$. Set

$$V := \int_X \omega^n = \text{vol}(-K_X).$$

For any $u \in \mathcal{E}_{\omega}^1$ put

$$L(u) := -\log \int_X e^{h-u} \omega^n,$$

and let

$$E(u) := \frac{1}{(n+1)V} \int_X u \sum_{i=0}^n \omega^i \wedge \omega_u^{n-i}$$

be the Monge–Ampère energy. Let

$$D(u) = L(u) - E(u), \quad u \in \mathcal{E}_{\omega}^1$$

denote the Ding functional.

Another function that is important in this work is the J -functional:

$$J(u) := J(\omega, \omega_u) := \frac{1}{V} \int_X u \omega^n - E(u).$$

We put

$$J_{\mathbb{T}}(u) := \inf_{\sigma \in \mathbb{T}} J(\omega, \sigma^* \omega_u).$$

Definition 2.1. *The Ding functional D is said to be proper modulo \mathbb{T} if there exist $\varepsilon, C > 0$ such that*

$$D(u) \geq \varepsilon J_{\mathbb{T}}(u) - C, \quad u \in \mathcal{E}^{1, \mathbb{T}_{\mathbb{R}}}.$$

By the variational principle [6] (and also [14]), the above properness of Ding functional is equivalent to the existence of KE metrics.

It should be well known to experts that J -functional is almost linear along geodesics. We record this fact in the next lemma, which will be needed in the proof of Theorem 1.1.

Lemma 2.2. *There exists C_0 depending only on (X, ω) such that the following holds. Let $[0, T] \ni t \mapsto u_t \in \mathcal{E}^1$ be any finite energy geodesic segment joining 0 and u_T , then for any $t \in [0, T]$ one has*

$$\frac{t}{T}J(u_T) - C_0 \leq J(u_t) \leq \frac{t}{T}J(u_T) + C_0$$

Proof. It is well known that there exists C_0 such that

$$\sup u - C_0 \leq \frac{1}{V} \int_X u \omega^n \leq \sup u, \quad u \in \mathcal{E}_\omega^1.$$

Therefore,

$$(1) \quad \sup u - E(u) - C_0 \leq J(u) \leq \sup u - E(u).$$

Moreover, it is well known that $t \mapsto \sup u_t - E(u_t)$ is linear. So we conclude. \square

For any energy functional F defined on \mathcal{E}_ω^1 and any sublinear subgeodesic ray $\{u_t\}_t \subset \mathcal{E}_\omega^1$, define the radial functional

$$F\{u_t\} := \liminf_{t \rightarrow \infty} \frac{F(u_t)}{t}.$$

When $F \in \{E, J\}$, the liminf is actually a limit. One has the following radial formulas (see [7, 9] for the proof):

$$(2) \quad L\{u_t\} = \sup \left\{ \tau : \int_X e^{-\hat{u}_\tau} \omega^n \right\},$$

$$(3) \quad E\{u_t\} = \frac{1}{V} \int_{-\infty}^{\tau_{\hat{u}}^+} \left(\int_X \omega_{\hat{u}_\tau}^n - \int_X \omega^n \right) d\tau + \tau_{\hat{u}}^+,$$

$$(4) \quad J\{u_t\} = \tau_{\hat{u}}^+ - E\{u_t\}.$$

We say $\{u_t\}$ is non-trivial if $J\{u_t\} > 0$, i.e., $\tau_{\hat{u}}^+ > E\{u_t\}$.

2.3. Divisorial valuations and Gauss extension. Let X^{div} denote the space of divisorial valuations on X , namely, the valuations of the form $c \text{ord}_F$, where $c \in \mathbb{Q}_{>0}$ and $F \subset Y \xrightarrow{\pi} X$ is a prime divisor over X . Let

$$A_X(\text{ord}_F) := A_X(F) := 1 + \text{ord}_F(K_Y - \pi^* K_X)$$

be the log discrepancy of ord_F with respect to X . More generally, for $v = c \text{ord}_F$,

$$A_X(v) := c A_X(\text{ord}_F).$$

Given any model $W \xrightarrow{\mu} X$ over X and $v \in X^{\text{div}}$, one can find $\tilde{v} \in W^{\text{div}}$ satisfying

$$\mu_* \tilde{v} = v.$$

Here the push forward $\mu_* \tilde{v}$ is the valuation on X such that

$$\mu_* \tilde{v}(f) = \tilde{v}(f \circ \mu) \text{ for any } f \in K(X).$$

Then one has

$$(5) \quad A_X(v) = A_W(\tilde{v}) + \tilde{v}(K_W - \mu^* K_X).$$

In the literature people usually write $\tilde{v} = v$ by abuse of notation, when the birational map μ is chosen without ambiguity. But in our discussion below (especially when proving (10) and (16)), we have to be more precise since there will be two different μ 's in question.

Consider

$$X_{\mathbb{C}} := X \times \mathbb{C}.$$

Then the function fields satisfy $K(X_{\mathbb{C}}) = K(X)(z)$, where z denotes the standard coordinate on \mathbb{C} . For any $f \in K(X)[z]$, assume that

$$f = \sum_{\lambda \in \mathbb{N}} f_{\lambda} z^{\lambda}.$$

The Gauss extension $G(v)$ of $v \in X^{\text{div}}$ is the unique \mathbb{C}^* -invariant extension of v such that $G(v)(z) = 1$. Its value on f is explicitly given by

$$G(v)(f) := \min_{\lambda \in \mathbb{N}} \{v(f_{\lambda}) + \lambda\},$$

and for any $h = f/g \in K(X)(z)$ with $f, g \in K(X)[z]$, one has

$$G(v)(h) := G(v)(f) - G(v)(g).$$

A fact we shall need is that $G(v)$ is also a divisorial valuation on $X_{\mathbb{C}}$ for any $v \in X^{\text{div}}$. More precisely, assume that $v = c \text{ord}_F$, with $c = \frac{a}{b}$, where a, b are two coprime positive integers. Then $G(v)$ is of the form $\frac{1}{b} \text{ord}_E$ for some prime divisor E over $X_{\mathbb{C}}$. One can explicitly describe E as follows. Let $X' \xrightarrow{\pi} X$ be a modification such that $F \subset X'$ is a prime divisor. Consider $X'_{\mathbb{C}} := X' \times \mathbb{C}$ and $F_{\mathbb{C}} := F \times \mathbb{C}$. Then E is a prime divisor over $X'_{\mathbb{C}}$ such that

$$\text{ord}_E(X'_0) = b, \quad \text{ord}_E(F_{\mathbb{C}}) = a.$$

And the log discrepancy $A_X(F)$ and $A_{X_{\mathbb{C}}}(E)$ are related by (see [5, Proposition 4.11])

$$A_{X_{\mathbb{C}}}(E) = b + aA_X(F),$$

so that

$$(6) \quad A_{X_{\mathbb{C}}}(G(v)) = 1 + A_X(v).$$

Moreover, E is \mathbb{C}^* -invariant so that its center on $X_{\mathbb{C}}$ is contained in $X_0 := X \times \{0\}$. In particular, if Ψ is a quasi plurisubharmonic (qpsh) function defined in a neighborhood of X_0 , one can make sense of $G(v)(\Phi)$ by

$$G(v)(\Phi) := \frac{1}{b} \nu(\Phi, E),$$

where $\nu(\Phi, E)$ is the Lelong number of Φ along E (see [9, (13)] for our convention for the Lelong number).

We recall the following result [8, Proposition 3.1] (see also [3, §4.3]).

Lemma 2.3. *Let $\{u_t\}_t \subset \mathcal{E}^1(X, \omega)$ be a subgeodesic ray with $u_t \leq 0$ for $t \geq 0$. Let U denote the qpsh function on $X \times \Delta^*$ given by*

$$U(x, z) := u_{-\log |z|^2}(x).$$

Note that U extends to a qpsH function on $X \times \Delta$. One has

$$\sup_{\tau} \{\tau - v(\hat{u}_{\tau})\} = -G(v)(U)$$

for any $v \in X^{\text{div}}$.

Recall that, for $v = \text{lord}_F \in X^{\text{div}}$ and $\phi \in \text{PSH}_{\omega}$, the notation $v(\phi)$ appearing above simply means that

$$v(\phi) := \lambda\nu(\phi, F).$$

As a consequence, we have the following.

Proposition 2.4. *For any subgeodesic ray $\{u_t\}_t \subset \mathcal{E}_{\omega}^{1, \mathbb{T}_{\mathbb{R}}}$ with $u_t \leq 0$, one has*

$$L\{u_t\} = \inf_{v \in X_{\mathbb{T}}^{\text{div}}} \{A_X(v) - G(v)(U)\}.$$

Proof. One has (cf. [8, Theorem 5.7] and [3, (3.2)])

$$(7) \quad L\{u_t\} = \inf_{v \in X_{\mathbb{T}}^{\text{div}}} \sup_{\tau \in \mathbb{R}} \{A_X(v) + \tau - v(\hat{u}_{\tau})\}.$$

In [8] this identity is proved without involving \mathbb{T} -action. The same proof works for the equivariant setting. Thus our assertion follows from the previous lemma. \square

We remark that (7) also holds for general big classes, as considered in [8].

2.4. Valuations on T -varieties. Following [16, §2.4], let \mathbb{T} be an algebraic torus acting faithfully on X . By the structure theory of T -varieties, X is birationally a torus fibration over the Chow quotient of X by \mathbb{T} , which will be denoted by $Z := X//\mathbb{T}$. As a consequence the function field $K(X)$ is the quotient field of the Laurent polynomial algebra:

$$K(Z)[M_{\mathbb{Z}}] = \bigoplus_{\alpha \in M_{\mathbb{Z}}} K(Z) \cdot 1^{\alpha}.$$

Our convention (as in [16]) for the \mathbb{T} -action on $K(X)$ is that

$$\mathbf{t} \cdot f := f \circ \mathbf{t}^{-1}, \quad f \in K(X), \quad \mathbf{t} \in \mathbb{T}.$$

And we put

$$K(X)_{\alpha} := \{f \in K(X) \mid \mathbf{t} \cdot f = \mathbf{t}^{\alpha} f\}, \quad \alpha \in M_{\mathbb{Z}}.$$

We say a valuation v on X is \mathbb{T} -invariant if $v(\mathbf{t} \cdot f) = v(f)$ for any $f \in K(X)$ and $\mathbf{t} \in \mathbb{T}$. Any \mathbb{T} -invariant valuation is of the form $v_{\mu, \zeta}$, where μ is a valuation on Z and $\zeta \in N_{\mathbb{R}}$, and for $f = \sum_{\alpha} f_{\alpha} 1^{\alpha} \in K(X)$ one has

$$v_{\mu, \zeta}(f) = \min_{\alpha} \{\mu(f_{\alpha}) + \langle \alpha, \zeta \rangle\}.$$

Given a \mathbb{T} -invariant valuation $v = v_{\mu, \zeta}$ and $\xi \in N_{\mathbb{R}}$, let

$$(8) \quad v^{\xi} := v_{\mu, \zeta + \xi}$$

be the twist of v by ξ , which is still a \mathbb{T} -invariant valuation. If v is a \mathbb{T} -invariant divisorial valuation and $\xi \in N_{\mathbb{Q}}$, then v^{ξ} is also divisorial (as v^{ξ} is Abhyankar and its rational rank of v^{ξ} is one; see [5, §1.3]). We denote by

$$X_{\mathbb{T}}^{\text{div}}$$

the set of \mathbb{T} -invariant divisorial valuation on X .

Now assume that $\xi \in N_{\mathbb{Z}}$. Then it induces an automorphism η_{ξ} of $X \times \mathbb{C}^*$ as follows:

$$\eta_{\xi} \cdot (x, z) := (\xi(z) \cdot x, z), \quad (x, z) \in X \times \mathbb{C}^*,$$

which yields a birational map from $X_{\mathbb{C}}$ to itself. This further induces an action on the function field $K(X_{\mathbb{C}})$. More precisely, for any $h \in K(X_{\mathbb{C}})$, one has

$$\eta_{\xi} \cdot h := h \circ \eta_{\xi}^{-1} = h \circ \eta_{-\xi}.$$

So in particular, if $f \in K(X)_{\alpha}$, one has

$$\eta_{\xi} \cdot \bar{f} = \sum_{\alpha \in M_{\mathbb{Z}}} z^{\langle \alpha, \xi \rangle} \bar{f},$$

where \bar{f} is the pull-back of f on $X_{\mathbb{C}}$. Then for any $v \in X_{\mathbb{T}}^{\text{div}}$, this implies that

$$G(v)(\bar{f} \circ \eta_{-\xi}) = G(v^{\xi})(\bar{f}), \quad f \in K(X)_{\alpha}, \quad \alpha \in M_{\mathbb{Z}},$$

which further implies that (using the $\mathbb{T} \times \mathbb{C}^*$ -invariance of $(\eta_{-\xi})_* G(v)$ and $G(v^{\xi})$)

$$(9) \quad (\eta_{-\xi})_* G(v) = G(v^{\xi}).$$

Now, following the proof of [16, Proposition 3.3], let \mathcal{W} be a birational model resolving the map $\eta_{-\xi}$:

$$\begin{array}{ccc} & \mathcal{W} & \\ \mu_1 \swarrow & & \searrow \mu_2 \\ X_{\mathbb{C}} & \xrightarrow{\eta_{-\xi}} & X_{\mathbb{C}} \end{array}$$

Let \mathcal{V} be the divisorial valuation on \mathcal{W} such that

$$(\mu_1)_* \mathcal{V} = G(v).$$

From the above commutative diagram we have that

$$(\eta_{-\xi})_* G(v) = (\mu_2)_* \mathcal{V}.$$

Then one can write (cf. (5))

$$A_{X_{\mathbb{C}}}(G(v)) = A_{\mathcal{W}}(\mathcal{V}) + \mathcal{V}(K_{\mathcal{W}} - \mu_1^* K_{X_{\mathbb{C}}}),$$

$$A_{X_{\mathbb{C}}}((\eta_{-\xi})_* G(v)) = A_{\mathcal{W}}(\mathcal{V}) + \mathcal{V}(K_{\mathcal{W}} - \mu_2^* K_{X_{\mathbb{C}}}).$$

So from (9) we infer that

$$A_{X_{\mathbb{C}}}(G(v^{\xi})) - A_{X_{\mathbb{C}}}(G(v)) = \mathcal{V}(\mu_1^* K_{X_{\mathbb{C}}} - \mu_2^* K_{X_{\mathbb{C}}}).$$

Using (6) we further deduce that

$$(10) \quad A_X(v^{\xi}) - A_X(v) = \mathcal{V}(\mu_1^* K_{X_{\mathbb{C}}} - \mu_2^* K_{X_{\mathbb{C}}}).$$

Note that the above argument works for general log pairs as well.

2.5. Twisting subgeodesic rays. Let $\xi \in N_{\mathbb{Z}} = \text{Hom}(\mathbb{C}^*, \mathbb{T})$. It determines a \mathbb{C}^* -action on X , namely, $\xi : \mathbb{C}^* \ni z \mapsto (z^{\xi_1}, \dots, z^{\xi_r}) \in \mathbb{T}$. This in particular gives rise to a holomorphic vector field, say $V_{\xi} \in H^0(X, T_X^{1,0})$. The map $\xi \mapsto V_{\xi}$ can be extended \mathbb{R} -linearly to $N_{\mathbb{R}}$, thus any $\xi \in N_{\mathbb{R}}$ can be identified with a holomorphic vector field V_{ξ} on X . We will be interested in the real part $\text{Re}V_{\xi}$ of V_{ξ} , which generates a one-parameter subgroup

$$\{\sigma_{\xi}(t)\}_{t \in \mathbb{R}}.$$

The next example clarifies the notation chosen above.

Example 2.5. Assume that $\mathbb{T} = (\mathbb{C}^*)^n$ acts on \mathbb{P}^n by

$$(z_1, \dots, z_n) \cdot [W_0, W_1, \dots, W_n] := [W_0, z_1 W_1, \dots, z_n W_n].$$

Then for any $\xi = (\xi_1, \dots, \xi_n) \in N_{\mathbb{Z}}$ and $z \in \mathbb{C}^*$, $\xi(z)$ acts on \mathbb{P}^n by

$$\xi(z) \cdot [W_0, W_1, \dots, W_n] := [W_0, z^{\xi_1} W_1, \dots, z^{\xi_n} W_n].$$

Moreover, for any $\xi = (\xi_1, \dots, \xi_n) \in N_{\mathbb{R}}$, the vector field V_{ξ} can be expressed on the affine chart $\{W_0 \neq 0\}$ as

$$V_{\xi} = \sum_{i=1}^n \xi_i \left(x_i \frac{\partial}{\partial x_i} + y_i \frac{\partial}{\partial y_i} \right) - \sqrt{-1} \sum_{i=1}^n \xi_i \left(x_i \frac{\partial}{\partial y_i} - y_i \frac{\partial}{\partial x_i} \right),$$

where $x_i + \sqrt{-1}y_i = W_i/W_0$ are the coordinates on $\{W_0 \neq 0\}$. And the one-parameter subgroup σ_{ξ} acts on \mathbb{P}^n by

$$\sigma_{\xi}(t) \cdot [W_0, W_1, \dots, W_n] := [W_0, e^{\xi t} W_1, \dots, e^{\xi t} W_n], \quad t \in \mathbb{R}.$$

Now we recap a standard construction going back to Mabuchi [22]. Given $\xi \in N_{\mathbb{R}}$ one can define a smooth geodesic ray in \mathcal{H}_{ω} .

Recall that $h \in C^{\infty}(X, \mathbb{R})$ is chosen such that $\text{Ric}(\omega) = \omega + \text{dd}^c h$. Then put

$$(11) \quad \psi_t^{\xi} := -\log \frac{\sigma_{\xi}(t/2)^*(e^h \omega^n)}{e^h \omega^n}, \quad t \geq 0.$$

One can easily check that $\psi_t^{\xi} \in \mathcal{H}_{\omega}$ and

$$\omega + \text{dd}^c \psi_t^{\xi} = \sigma_{\xi}(t/2)^* \omega.$$

It is well known that $\{\psi_t^{\xi}\}$ thus defined is a geodesic ray. Moreover, direct calculation shows that

$$\begin{aligned} \frac{d}{dt} E(\psi_t^{\xi}) &= \frac{1}{V} \int_X \dot{\psi}_t^{\xi} (\sigma_{\xi}(t/2)^* \omega^n) \\ &= \frac{-1}{V} \int_X \frac{d}{dt} (\sigma_{\xi}(t/2)^* h) (\sigma_{\xi}(t)^* \omega^n) \\ &= \frac{-1}{2V} \int_X \text{Re} V_{\xi}(h) \omega^n = \frac{1}{2} \text{Fut}(\text{Re} V_{\xi}). \end{aligned}$$

Here $\text{Fut}(\cdot)$ denotes the Futaki invariant [12].

The above construction can be extended as follows. For any subgeodesic segment $\{u_t\} \subset \mathcal{E}_{\omega}^1$ with $t \in (a, b)$, $0 \leq a < b \leq \infty$, one can twist the segment using ξ by putting

$$(12) \quad u_t^{\xi} := -\log \frac{\sigma_{\xi}(t/2)^*(e^{h-u_t} \omega^n)}{e^h \omega^n} = \sigma_{\xi}(t/2)^* u_t + \psi_t^{\xi}, \quad t \in (a, b).$$

Then $u_t^\xi \in \mathcal{E}_\omega^1$ and

$$\omega + \text{dd}^c u_t^\xi = \sigma_\xi(t/2)^*(\omega + \text{dd}^c u_t).$$

Note that $\{u_t^\xi\}$ is also a subgeodesic segment, and by the cocycle property of E we have that

$$E(u_t^\xi) - E(u_t) = E(\psi_t^\xi) = \frac{t}{2} \text{Fut}(\text{Re}V_\xi), \quad t \in (a, b).$$

So we have the following.

Lemma 2.6. *Assume that X has vanishing Futaki invariant, then for any subgeodesic segment $\{u_t\} \subset \mathcal{E}_\omega^1$ with $t \in (a, b)$ and $\xi \in N_\mathbb{R}$, one has*

$$E(u_t^\xi) = E(u_t)$$

and

$$L(u_t^\xi) = L(u_t)$$

for any $t \in (a, b)$.

Proof. It remains to show the second identity. Observe that

$$\begin{aligned} L(u_t^\xi) &= -\log \int_X e^{h-u_t^\xi} \omega^n = -\log \int_X \sigma_\xi(t/2)^*(e^{h-u_t} \omega^n) \\ &= -\log \int_X e^{h-u_t} \omega^n = L(u_t), \end{aligned}$$

which completes the proof. \square

For later use, we set

$$(13) \quad J_\mathbb{T}\{u_t\} := \inf_{\xi \in N_\mathbb{Q}} J\{u_t^\xi\}$$

for any sublinear subgeodesic ray $\{u_t\} \subset \mathcal{E}_\omega^1$.

Next, we give a useful geometric interpretation of $\{u_t^\xi\}$, in the case when $\xi \in N_\mathbb{Z}$ and $\{u_t\}$ is $\mathbb{T}_\mathbb{R}$ -invariant with $u_t \leq 0$ for all $t \geq 0$, which will also explain why we added a factor 1/2 in the above construction.

Note that

$$\Omega := p^*(e^h \omega^n) \wedge \sqrt{-1} dz \wedge d\bar{z}$$

is a smooth positive volume form on $X_\mathbb{C}$, where $p : X_\mathbb{C} \rightarrow X$ denotes the projection. Let

$$\Psi^\xi(x, z) := \psi_{-\log|z|^2}^\xi, \quad U(x, z) := u_{-\log|z|^2} \quad \text{and} \quad U^\xi(x, z) := u_{-\log|z|^2}^\xi,$$

for $(x, z) \in X \times \Delta^* \subset X_\mathbb{C}$. Consider the birational map $\eta_{-\xi} : X_\mathbb{C} \dashrightarrow X_\mathbb{C}$. Under the change of coordinate

$$t/2 = -\log|z|,$$

we observe that

$$(14) \quad \Psi^\xi = -\log \frac{\eta_{-\xi}^*(\Omega)}{\Omega} \quad \text{and} \quad U^\xi = \eta_{-\xi}^* U + \Psi^\xi \quad \text{on } X \times \Delta^*.$$

Let \mathcal{W} be a resolution of the birational map $\eta_{-\xi}$:

$$\begin{array}{ccc} & \mathcal{W} & \\ \mu_1 \swarrow & & \searrow \mu_2 \\ X_\mathbb{C} & \overset{\eta_{-\xi}}{\dashrightarrow} & X_\mathbb{C} \end{array}$$

Pulling everything back to \mathcal{W} , we conclude that

$$\mu_1^* \Psi^\xi = -\log \frac{\mu_2^* \Omega}{\mu_1^* \Omega},$$

$$\mu_1^* U^\xi = \mu_2^* U + \mu_1^* \Psi^\xi.$$

Since we assumed $u_t \leq 0$ and $u_t^\xi \leq 0$, so U and U^ξ are well defined qps functions on $X \times \Delta$. However Ψ^ξ might not be so. But the above identity suggests that, when viewed on \mathcal{W} , it is the difference of two qps functions. To be more precise, let us further fix a smooth positive volume form $\Omega_{\mathcal{W}}$ on \mathcal{W} . Put

$$\Psi_i^\xi := \log \frac{\mu_i^* \Omega}{\Omega_{\mathcal{W}}}, \quad i = 1, 2.$$

Then Ψ_1^ξ and Ψ_2^ξ are globally defined qps functions on \mathcal{W} (as μ_i is obtained by a sequence of blow-ups), and we have that

$$\mu_1^* \Psi^\xi = \Psi_1^\xi - \Psi_2^\xi.$$

Thus the equality of qps functions,

$$(15) \quad \mu_1^* U^\xi + \Psi_2^\xi = \mu_2^* U + \Psi_1^\xi,$$

holds wherever these functions are defined. Let \mathcal{V} be the divisorial valuation on \mathcal{W} such that $(\mu_1)_* \mathcal{V} = G(v)$. By the additivity of Lelong numbers, one has

$$\mathcal{V}(\mu_1^* U^\xi) + \mathcal{V}(\Psi_2^\xi) = \mathcal{V}(\mu_2^* U) + \mathcal{V}(\Psi_1^\xi).$$

On the other hand, by the definition of log discrepancy one has

$$\mathcal{V}(\Psi_i^\xi) = \mathcal{V}(K_{\mathcal{W}} - \mu_i^* K_{X_c}), \quad i = 1, 2.$$

So from (9) we obtain that

$$\begin{aligned} G(v^\xi)(U) &= (\eta_{-\xi})_*(\mu_1)_* \mathcal{V}(U) = (\mu_2)_* \mathcal{V}(U) = \mathcal{V}(\mu_2^* U) \\ &= \mathcal{V}(\mu_1^* U^\xi) + \mathcal{V}(\mu_1^* K_{X_c} - \mu_2^* K_{X_c}), \end{aligned}$$

namely,

$$(16) \quad G(v^\xi)(U) = G(v)(U) + \mathcal{V}(\mu_1^* K_{X_c} - \mu_2^* K_{X_c}).$$

Therefore we obtain the following useful identity. As we will see in (24), it also holds for log Fano pairs, generalizing [16, (111)].

Lemma 2.7. *For any subgeodesic ray $\{u_t\} \subset \mathcal{E}_\omega^{1, \mathbb{T}^{\mathbb{R}}}$ with $u_t \leq 0$, $\xi \in N_{\mathbb{Z}}$ and $v \in X_{\mathbb{T}}^{\text{div}}$ one has*

$$A_X(v) - G(v)(U^\xi) = A_X(v^\xi) - G(v^\xi)(U).$$

Proof. This follows directly from (10) and (16). \square

3. THE PROOF FOR THE SMOOTH CASE

Let X be a Fano manifold as in the Introduction. We prove Theorem 1.1 in this section. We first treat the case when $\mathbb{G} = \{1\}$, since the proof is rather short in view of [9].

Theorem 3.1. *Assume that $\delta(X) > 1$, then X admits a KE metric.*

Proof. It suffices to show the properness of D . If D is not proper, then by [9, Theorem 5.3] we have a non-trivial destabilizing geodesic ray $\{u_t\} \subset \mathcal{E}_\omega^1$ satisfying

$$D\{u_t\} \leq 0.$$

By (2), we see that

$$\sup \left\{ \tau : \int_X e^{-\hat{u}_\tau} \omega^n < \infty \right\} \leq E\{u_t\}.$$

This implies that (by [9, Lemma 2.4])

$$c[\hat{u}_{E\{u_t\}}] \leq 1,$$

where $c[\cdot]$ denotes the complex singularity exponent (see [9, §2.2] for the precise definition). So by [9, Theorem 2.3],

$$\inf_F \frac{A_X(F)}{\nu(\hat{u}_{E\{u_t\}}, F)} \leq 1.$$

But $\nu(\hat{u}_{E\{u_t\}}, F) \leq S_X(F)$ by [9, Proposition 4.5], so that

$$\inf_F \frac{A_X(F)}{\nu(\hat{u}_{E\{u_t\}}, F)} \geq \inf_F \frac{A_X(F)}{S_X(F)} = \delta(X) > 1,$$

which is a contradiction. \square

The proof for the case when \mathbb{G} is non-trivial is more involved, which relies on some additional estimates to be shown below. The next result strengthens [9, Proposition 4.5].

Proposition 3.2. *For any sublinear subgeodesic ray $\{u_t\}_t \subset \mathcal{E}_\omega^1$ with $\tau_u^+ > E\{u_t\}$, any prime divisor $F \subset Y \xrightarrow{\pi} X$ over X and any $\lambda \in [0, 1)$, one has*

$$\nu(\hat{u}_{(1-\lambda)E\{u_t\} + \lambda\tau_u^+}, F) \leq (1-\lambda)S_X(F) + \lambda T_X(F).$$

Here $T_X(F)$ denotes the pseudoeffective threshold:

$$(17) \quad T_X(F) := \sup\{x > 0 \mid -\pi^*K_X - xF \text{ is big}\} = \sup\{\nu(u, F) \mid u \in \text{PSH}_\omega\}.$$

As in [9], the above proposition actually holds for any finite energy sublinear subgeodesic ray in transcendental big classes of compact Kähler manifolds.

Proof. When $\lambda = 0$, this is exactly [9, Proposition 4.5]. The general case follows from a similar argument. We give details for the reader's convenience.

Put for simplicity

$$a := (1-\lambda)E\{u_t\} + \lambda\tau_u^+$$

and

$$f(\tau) := \nu(\hat{u}_\tau, F), \quad \tau \in (-\infty, \tau_u^+).$$

Then f is a non-negative, non-decreasing convex function on $(-\infty, \tau_u^+)$. Moreover, by (17) one has

$$(18) \quad f(\tau) \leq T_X(F), \quad \tau \in (-\infty, \tau_u^+),$$

If $f(-\infty) := \lim_{\tau \rightarrow -\infty} f(\tau)$ satisfies $f(-\infty) = f(a)$, then $\nu(\hat{u}_\tau, F) = f(a)$ for all $\tau \in (-\infty, a]$. This implies that $\int_X \omega_{\hat{u}_\tau}^n \leq \text{vol}(\{\pi^* \omega\} - f(a)\{F\})$ for $\tau \in (-\infty, a]$, by [9, (37)]. Thus, by (3) we have that

$$\begin{aligned} a &= \frac{1-\lambda}{V} \int_{-\infty}^{\tau_{\hat{u}}^+} \left(\int_X (\omega_{\hat{u}_\tau}^n - \omega^n) \right) d\tau + \tau_{\hat{u}}^+ \\ &\leq \frac{1-\lambda}{V} \int_{-\infty}^a \left(\text{vol}(-\pi^* K_X - f(a)F) - \text{vol}(-K_X) \right) d\tau + \tau_{\hat{u}}^+ \end{aligned}$$

This implies that $\text{vol}(-\pi^* K_X - f(a)F) = \text{vol}(-K_X)$ since $a > -\infty$. Thus we have that (using (18))

$$\begin{aligned} (1-\lambda)S_X(F) + \lambda T_X(F) &\geq \frac{1-\lambda}{\text{vol}(-K_X)} \int_0^{f(a)} \text{vol}(-K_X) dx + \lambda f(a) \\ &= (1-\lambda)f(a) + \lambda f(a) = f(a), \end{aligned}$$

what we aimed to prove. So in what follows we assume that $f(-\infty) < f(a)$.

Put

$$b := f'_-(a) = \lim_{h \rightarrow 0^+} \frac{f(a) - f(a-h)}{h},$$

which is a positive finite number thanks to the convexity of f . Define

$$g(\tau) := \begin{cases} 0, & \tau \in (-\infty, a - b^{-1}f(a)] \\ b(\tau - a) + f(a), & \tau \in (a - b^{-1}f(a), \tau_{\hat{u}}^+] \end{cases}$$

Using convexity one more time we have that $g(\tau) \leq f(\tau)$ for $\tau \in (-\infty, \tau_{\hat{u}}^+)$. Namely, $v(\hat{u}_\tau, F) \geq g(\tau)$, $\tau \in (-\infty, \tau_{\hat{u}}^+)$. This implies that, by [9, (37)] again,

$$\int_X \omega_{\hat{u}_\tau}^n \leq \text{vol}(-\pi^* K_X - g(\tau)F), \quad \tau \in (-\infty, \tau_{\hat{u}}^+).$$

Thus using (3) we have that

$$\begin{aligned} a &= \frac{1-\lambda}{V} \int_{-\infty}^{\tau_{\hat{u}}^+} \left(\int_X (\omega_{\hat{u}_\tau}^n - \omega^n) \right) d\tau + \tau_{\hat{u}}^+ \\ &\leq \frac{1-\lambda}{V} \int_{a-b^{-1}f(a)}^{\tau_{\hat{u}}^+} \left(\text{vol}(-\pi^* K_X - g(\tau)F) - \text{vol}(-K_X) \right) d\tau + \tau_{\hat{u}}^+ \\ &= \frac{1-\lambda}{\text{vol}(-K_X)b} \int_0^{b(\tau_{\hat{u}}^+ - a) + f(a)} \text{vol}(-\pi^* K_X - xF) dx - (1-\lambda)(\tau_{\hat{u}}^+ - a + b^{-1}f(a)) + \tau_{\hat{u}}^+ \\ &\leq \frac{1-\lambda}{\text{vol}(-K_X)b} \int_0^{T_X(F)} \text{vol}(-\pi^* K_X - xF) dx + \lambda \tau_{\hat{u}}^+ + (1-\lambda)a - (1-\lambda)b^{-1}f(a). \end{aligned}$$

This implies that

$$(1-\lambda)b^{-1}f(a) \leq (1-\lambda)b^{-1}S_X(F) + \lambda(\tau_{\hat{u}}^+ - a).$$

To estimate $\lambda(\tau_{\hat{u}}^+ - a)$, we use that $g(\tau) \leq f(\tau) \leq T_X(F)$ (recall (18)), so that

$$g(\tau_{\hat{u}}^+) = b(\tau_{\hat{u}}^+ - a) + f(a) \leq T_X(F).$$

This implies that

$$\lambda(\tau_{\hat{u}}^+ - a) \leq \lambda b^{-1}(T_X(F) - f(a)).$$

So we finally arrive at

$$(1 - \lambda)b^{-1}f(a) \leq (1 - \lambda)b^{-1}S_X(F) + \lambda b^{-1}(T_X(F) - f(a)),$$

i.e.,

$$f(a) \leq (1 - \lambda)S_X(F) + \lambda T_X(F).$$

This completes the proof. \square

Another important ingredient is the following result. As we shall see in Proposition 4.2, it also holds for log Fano pairs, generalizing [16, Lemma 3.5].

Proposition 3.3. *For any sublinear subgeodesic ray $\{u_t\}_t \subset \mathcal{E}_\omega^{1, \mathbb{T}_\mathbb{R}}$, any $v \in X_\mathbb{T}^{\text{div}}$ and any $\xi \in N_\mathbb{Q}$, one has*

$$A_X(v) + \sup_{\tau \in \mathbb{R}} \{\tau - v(\hat{u}_\tau^\xi)\} = A_X(v^\xi) + \sup_{\tau \in \mathbb{R}} \{\tau - v^\xi(\hat{u}_\tau)\}.$$

Proof. We first assume that $\xi \in N_\mathbb{Z}$. After subtracting Ct from u_t , we can assume that $u_t \leq 0$ and $u_t^\xi \leq 0$ for all $t \geq 0$ so that Lemma 2.3 is applicable. Let U and U^ξ denote the qpsH functions on $X \times \Delta$ corresponding to $\{u_t\}$ and $\{u_t^\xi\}$ respectively. In view of Lemma 2.3, it amounts to showing that

$$A_X(v) - G(v)(U^\xi) = A_X(v^\xi) - G(v^\xi)(U),$$

which is exactly Lemma 2.7. So we conclude when $\xi \in N_\mathbb{Z}$.

The case for $\xi \in N_\mathbb{Q}$ follows from a scaling argument. Indeed, let $k \in \mathbb{N}$ be such that $k\xi \in N_\mathbb{Z}$. Then one has

$$v^\xi = k^{-1}(kv)^{k\xi}.$$

Consider the rescaled ray

$$\phi_t := u_{kt}.$$

Then

$$\hat{\phi}_{k\tau} = \hat{u}_\tau, \quad \phi_t^{k\xi} = u_{kt}^\xi \quad \text{and} \quad \hat{\phi}_{k\tau}^{k\xi} = \hat{u}_\tau^\xi.$$

So we derive that

$$\begin{aligned} A_X(v^\xi) + \sup_{\tau \in \mathbb{R}} \{\tau - v^\xi(\hat{u}_\tau)\} &= k^{-1} \left(A_X((kv)^{k\xi}) + \sup_{\tau \in \mathbb{R}} \{k\tau - (kv)^{k\xi}(\hat{\phi}_{k\tau})\} \right) \\ &= k^{-1} \left(A_X(kv) + \sup_{\tau \in \mathbb{R}} \{k\tau - (kv)(\hat{\phi}_{k\tau}^{k\xi})\} \right) \\ &= A_X(v) + \sup_{\tau \in \mathbb{R}} \{\tau - v(\hat{u}_\tau^\xi)\}. \end{aligned}$$

This completes the proof. \square

We also need the following key result, showing that D is geodesic stable if $\delta^r(X) > 1$. We will see in Theorem 4.3 that the same holds for log Fano pairs, which strengthens [16, Theorem 1.3].

Theorem 3.4. *Assume that X has vanishing Futaki invariant and $\delta^r(X) > 1$, then there exists $\lambda > 0$ such that for any sublinear subgeodesic ray $\{u_t\}_t \subset \mathcal{E}_\omega^{1, \mathbb{T}_\mathbb{R}}$,*

$$D\{u_t\} \geq \lambda J_\mathbb{T}\{u_t\}.$$

Proof. If for some $\xi \in N_\mathbb{Q}$ one has $J\{u_t^\xi\} = 0$, i.e., $\{u_t^\xi\}$ is trivial, then what we aimed to prove holds trivially, as $D\{u_t\} = D\{u_t^\xi\} = J\{u_t^\xi\} = 0$ (by Lemma 2.6 and (2)). So we assume that $J\{u_t^\xi\} = \tau_{\hat{u}^\xi}^+ - E\{u_t^\xi\} > 0$ for any $\xi \in N_\mathbb{Q}$.

Choose $\delta \in (1, \delta^r(X))$. By (7) we can find a sequence $v_k \in X_{\mathbb{T}}^{\text{div}}$ such that

$$L\{u_t\} \geq A_X(v_k) + \sup_{\tau \in \mathbb{R}} \{\tau - v_k(\hat{u}_\tau)\} - 1/k.$$

Moreover, there exists $\xi_k \in N_{\mathbb{Q}}$ such that

$$A_X(v_k^{-\xi_k}) \geq \delta S_X(v_k^{-\xi_k}).$$

Hence

$$\begin{aligned} D\{u_t\} &= L\{u_t\} - E\{u_t\} \geq A_X(v_k) + \sup_{\tau \in \mathbb{R}} \{\tau - v_k(\hat{u}_\tau)\} - E\{u_t\} - 1/k \\ &= A_X(v_k^{-\xi_k}) + \sup_{\tau \in \mathbb{R}} \{\tau - v_k^{-\xi_k}(\hat{u}_\tau^{\xi_k})\} - E\{u_t^{\xi_k}\} - 1/k \\ &\geq \delta S_X(v_k^{-\xi_k}) + \sup_{\tau \in \mathbb{R}} \{\tau - v_k^{-\xi_k}(\hat{u}_\tau^{\xi_k})\} - E\{u_t^{\xi_k}\} - 1/k. \end{aligned}$$

In the second equality we used Proposition 3.3 and Lemma 2.6.

Now set

$$\lambda := \frac{\delta - 1}{n} \text{ and } \tau_0 := (1 - \lambda)E\{u_t^{\xi_k}\} + \lambda\tau_{\hat{u}^{\xi_k}}^+.$$

We can assume $\lambda \in (0, 1)$. Then we deduce that

$$\begin{aligned} D\{u_t\} &\geq \delta S_X(v_k^{-\xi_k}) + \tau_0 - v_k^{-\xi_k}(\hat{u}_{\tau_0}^{\xi_k}) - E\{u_t^{\xi_k}\} - 1/k \\ &= \delta S_X(v_k^{-\xi_k}) - v_k^{-\xi_k}(\hat{u}_{\tau_0}^{\xi_k}) + \lambda(\tau_{\hat{u}^{\xi_k}}^+ - E\{u_t^{\xi_k}\}) - 1/k \\ &\geq \delta S_X(v_k^{-\xi_k}) - (1 - \lambda)S_X(v_k^{-\xi_k}) - \lambda T_X(v_k^{-\xi_k}) + \lambda J\{u_t^{\xi_k}\} - 1/k \\ &= \frac{(\delta - 1)(n + 1)}{n} S_X(v_k^{-\xi_k}) - \frac{\delta - 1}{n} T_X(v_k^{-\xi_k}) + \lambda J\{u_t^{\xi_k}\} - 1/k \\ &\geq \lambda J\{u_t^{\xi_k}\} - 1/k \geq \lambda J_{\mathbb{T}}\{u_t\} - 1/k. \end{aligned}$$

In the second inequality we used Proposition 3.2 and (4). To get the last line we used the fact that $S_X(v) \geq T_X(v)/(n + 1)$ for any $v \in X^{\text{div}}$ (see e.g. [4, (3.1)]) and (13). This completes the proof. \square

Finally, we are in the position to prove our main theorem.

Theorem 3.5. (=Theorem 1.1) *Let X be a Fano manifold with vanishing Futaki invariant. If $\delta^r(X) > 1$, then X admits a KE metric.*

Proof. We only need to show that D is proper modulo \mathbb{T} .

Assume that D is not proper modulo \mathbb{T} , then there exists a sequence $\phi_j \in \mathcal{E}_{\omega}^{1, \mathbb{T}_{\mathbb{R}}}$ such that

$$D(\phi_j) \leq \frac{1}{j} J_{\mathbb{T}}(\phi_j) - j, \quad j \in \mathbb{N}.$$

By [16, Lemma 2.15] we can assume that $\sup \phi_j = 0$ and

$$(19) \quad J(\phi_j) = J_{\mathbb{T}}(\phi_j).$$

Using $J(\phi_j) \leq -E(\phi_j)$ and $D(\phi_j) = L(\phi_j) - E(\phi_j)$ we obtain that

$$L(\phi_j) \leq (1 - \frac{1}{j})E(\phi_j) - j.$$

Let

$$T_j := d_1(0, \phi_j)$$

denote the d_1 -distance from 0 to ϕ_i . We claim that

$$T_j \rightarrow \infty.$$

If not, there would exist $A > 0$ and a subsequence of $\{\phi_j\}$ such that $D(\phi_j) \leq \frac{1}{j}d_1(0, \phi_j) - j$ and $d_1(0, \phi_j) \leq A$. Up to further passing to a subsequence, we get an L^1 -limit $\phi_\infty \in \mathcal{E}_\omega^1$ of ϕ_j with $-\infty < D(\phi_\infty) \leq \liminf_j D(\phi_j) = -\infty$, which is a contradiction.

Next, let $[0, T_j] \ni t \mapsto u_t^j \in \mathcal{E}_\omega^{1, \mathbb{T}\mathbb{R}}$ be the unit speed finite energy $\mathbb{T}\mathbb{R}$ -invariant geodesic segment joining 0 and ϕ_j , so we have that

$$\sup u_t^j = 0, \quad u_{T_j}^j = \phi_j, \quad E(u_t^j) = -t, \quad t \in [0, T_j].$$

By the convexity of L we also have that

$$\frac{L(u_t^j)}{t} \leq -1 + \frac{1}{j}, \quad t \in [0, T_j].$$

Then arguing as in the proof of [9, Theorem 5.3] we obtain a subgeodesic ray $\{u_t\} \subset \mathcal{E}_\omega^{1, \mathbb{T}\mathbb{R}}$ from the L^1 -compactness of $\{u_t^j\}$, which satisfies

$$\sup u_t = 0, \quad L\{u_t\} \leq -1, \quad E\{u_t\} \geq -1, \quad D\{u_t\} \leq 0.$$

Moreover, as shown in *loc. cit.*, the ray $\{u_t\}$ is non-trivial, in the sense that

$$a := J\{u_t\} = \tau_a^+ - E\{u_t\} = -E\{u_t\} > 0.$$

Note that $(0, \infty) \ni t \mapsto E(u_t)$ is convex, so we derive that

$$E(u_t) \leq -at, \quad t \geq 0.$$

Next, for any $\xi \in N_{\mathbb{Q}}$, we claim that

$$(20) \quad J\{u_t^\xi\} \geq a.$$

This will imply that $J_{\mathbb{T}}\{u_t\} \geq a > 0$ with $D\{u_t\} \leq 0$, contradicting Theorem 3.4. Thus we complete the proof.

So it remains to show (20). Recall that from the proof of [9, Theorem 5.3], for any $t \in (0, \infty) \setminus F$, one has

$$u_t^j \xrightarrow{L^1(\omega^n)} u_t,$$

where $F \subset (0, \infty)$ is a set of Lebesgue measure zero. This also implies that

$$u_t^{j, \xi} \xrightarrow{L^1(\omega^n)} u_t^\xi, \quad t \in (0, \infty) \setminus F,$$

thanks to the definition (12). Also note that, by Lemma 2.6,

$$E(u_t^{j, \xi}) = E(u_t^j) = -t, \quad E(u_t^\xi) = E(u_t).$$

So we deduce that

$$\begin{aligned} J(u_t^\xi) &= \frac{1}{V} \int_X u_t^\xi \omega^n - E(u_t^\xi) = \lim_j \frac{1}{V} \int_X u_t^{j, \xi} \omega^n - E(u_t) \\ &= \lim_j \left(\frac{1}{V} \int_X u_t^{j, \xi} \omega^n - E(u_t^{j, \xi}) \right) - t - E(u_t) \\ &= \lim_j J(u_t^{j, \xi}) - t - E(u_t) \geq \lim_j J(u_t^{j, \xi}) - t + at. \end{aligned}$$

This holds for any $t \in (0, \infty) \setminus F$. Now applying Lemma 2.2, we further deduce that

$$\begin{aligned} J(u_t^\xi) &\geq \liminf_j \frac{t}{T_j} J(u_{T_j}^{j,\xi}) - t + at - C_0 \\ &\geq \liminf_j \frac{t}{T_j} J(\phi_j) - t + at - C_0 \\ &\geq \liminf_j \frac{t}{T_j} (-E(\phi_j) - C_0) - t + at - C_0. \end{aligned}$$

In the second inequality we used (19) and in the last inequality we used (1). So we obtain that

$$J(u_t^\xi) \geq \liminf_j \frac{t}{T_j} (T_j - C_0) - t + at - C_0 = at - C_0, \quad t \in (0, \infty) \setminus F.$$

This implies that $J\{u_t^\xi\} \geq a$, as claimed. So we complete the proof. \square

4. THE SINGULAR CASE

In this part we show that our proof naturally extends to the setting of log Fano varieties. In [9, §6.1], we have already shown how to treat the case of discrete automorphism groups. To deal with continuous automorphisms, we need to extend the arguments in the previous section to the singular setting.

To be more precise, let (Z, Δ) be a log Fano variety. Namely, Z is a normal projective variety and Δ an effective Weil \mathbb{Q} -divisor on Z such that

$$L := -K_Z - \Delta$$

is an ample \mathbb{Q} -Cartier divisor and that (Z, Δ) has klt singularities.

Let $\mathbb{G} := \text{Aut}(Z, \Delta)$ be the group of automorphisms of Z that preserve Δ . We assume in what follows that \mathbb{G} is reductive. Let \mathbb{T} be a maximal torus of \mathbb{G} . As in the smooth case, assume that $\mathbb{T} = (\mathbb{C}^*)^r$, $\mathbb{T}_{\mathbb{R}} := (S^1)^r$, $N_{\mathbb{Z}} := \text{Hom}(\mathbb{C}^*, \mathbb{T})$, $N_{\mathbb{Q}} := N_{\mathbb{Z}} \otimes_{\mathbb{Z}} \mathbb{Q}$, and $N_{\mathbb{R}} := N_{\mathbb{Z}} \otimes_{\mathbb{Z}} \mathbb{R}$.

The reduced delta invariant of (Z, Δ) is defined by (following [16, 27])

$$\delta^r(Z, \Delta) := \inf_{v \in Z_{\mathbb{T}}^{\text{div}}} \sup_{\xi \in N_{\mathbb{Q}}} \frac{A_{Z, \Delta}(v^\xi)}{S_L(v^\xi)},$$

where the inf is over all \mathbb{T} -invariant divisorial valuations v on Z , and v^ξ is the twist of v (defined as in (8)). Here $A_{Z, \Delta}(\cdot)$ denotes the log discrepancy with respect to the pair (Z, Δ) and $S_L(\cdot)$ denotes the expected vanishing order (cf. [4]).

For the log Fano pair (Z, Δ) one can also define Futaki invariant as in [12]; see e.g. [13, §4.1] for a general definition.

We will give a simplified proof of the following result of Li [16].

Theorem 4.1. *Let (Z, Δ) be a log Fano variety with vanishing Futaki invariant and $\delta^r(Z, \Delta) > 1$, then (Z, Δ) admits a KE metric.*

The proof follows the exactly same strategy as we did for Theorem 3.5. To give more details, first fix a smooth $\mathbb{T}_{\mathbb{R}}$ -invariant Hermitian metric H on L whose Chern curvature form is a smooth Kähler form ω on Z .

Such a Hermitian metric can be explicitly constructed as follows. Choose $l_0 > 0$ sufficiently divisible such that $l_0 L$ is a very ample line bundle on Z . Note that the \mathbb{T} -action on Z lifts to L , and hence \mathbb{T} acts on $H^0(X, l_0 L)$ as well. Then we can pick

a basis $\{s_i\}$ of $H^0(X, l_0 L)$ such that each s_i spans a one-dimensional \mathbb{T} -invariant subspace. Let

$$(21) \quad H := \left(\sum_i |s_i|^2 \right)^{-1/l_0},$$

which is clearly a $\mathbb{T}_{\mathbb{R}}$ -invariant Hermitian metric on L , whose Chern curvature form is $1/l_0$ times the pull back of the Fubini–Study metric induced by the embedding of the basis $\{s_i\}$.

Following [2, Definition 3.1], H induces an *adapted* measure μ_H on Z as follows. Choose $r > 0$ such that $r(K_X + \Delta)$ is Cartier. Let σ be a local non-vanishing section of $r(K + D)$. Then

$$\mu_H := \left(\sqrt{-1}^{rn^2} \sigma \wedge \bar{\sigma} \right)^{1/r} \left/ \left(H^{-r}(\sigma, \sigma) \right)^{1/r} \right.$$

Note that μ_H does not depend on the choice of σ and hence is a globally defined measure on Z . And (Z, Δ) being klt means exactly that μ_H has finite total measure on Z . If Z is a smooth Fano manifold and $\Delta = 0$, then μ_H is (up to a constant multiple) simply equal to the volume form $e^h \omega^n$ in (11).

Next, choose a \mathbb{T} -equivariant log resolution of (Z, Δ) , $\pi : X \rightarrow Z$, such that

$$K_X + \sum_i a_i E_i = \pi^*(K_Z + \Delta).$$

Here $a_i < 1$ as (Z, Δ) is klt and each E_i is \mathbb{T} -invariant. We put

$$Q := \sum_i a_i E_i.$$

The measure μ_H lifts to a measure on X via the birational map π , which we denote by μ for short, and we can write (see [2, Lemma 3.2])

$$\mu = e^{\chi - \psi} dV,$$

where χ, ψ are qpsH functions on X with analytic singularities that are determined by the divisor Q and dV is a smooth positive volume form on X . Let

$$\theta := \pi^* \omega,$$

which is a smooth non-negative closed $(1, 1)$ -form on X , representing the big class $c_1(\pi^* L)$. So we are now in the situation investigated in [9].

Note that the reduced delta invariant $\delta^r(Z, \Delta)$ satisfies

$$\delta^r(Z, \Delta) = \delta^r(X, Q) := \inf_{v \in X_{\mathbb{T}}^{\text{div}}} \sup_{\xi \in N_{\mathbb{Q}}} \frac{A_{\chi, \psi}(v^{\xi})}{S_{\pi^* L}(v^{\xi})},$$

where $A_{\chi, \psi}(\cdot) = A_{X, Q}(\cdot)$ is the log discrepancy defined with respect to the pair (X, Q) . More precisely, for any prime divisor F over X , we let

$$A_{\chi, \psi}(F) := A_X(F) + \nu(\chi, F) - \nu(\psi, F) = A_X(F) - \text{ord}_F(Q).$$

For a general divisorial valuation $v = \lambda \text{ord}_F$, let

$$A_{\chi, \psi}(v) := \lambda A_{\chi, \psi}(F).$$

As in [9], consider the Ding functional

$$D_{\mu}(u) := L_{\mu}(u) - E_{\theta}(u), \quad u \in \mathcal{E}^1(X, \theta),$$

where

$$L_\mu(u) := -\log \int_X e^{-u} d\mu,$$

$$E_\theta(u) := \frac{1}{(n+1)V} \int_X u \sum_{i=0}^n \theta^i \wedge \theta_u^{n-i}.$$

Here $\mathcal{E}^1(X, \theta)$ denotes the set of finite energy θ -psh functions and $V := \int_X \theta^n = \text{vol}(-K_X - \Delta)$. Also put

$$J(u) := J(\theta, \theta_u) := \frac{1}{V} \int_X u \theta^n - E_\theta(u),$$

and

$$J_{\mathbb{T}}(u) := \inf_{\sigma \in \mathbb{T}} J(\theta, \sigma^* \theta_u).$$

By the variational principle [2, 6, 14, 16], our goal is to show that D_μ is proper modulo \mathbb{T} , i.e.,

$$(22) \quad D_\mu(u) \geq \varepsilon J_{\mathbb{T}}(u) - C, \quad u \in \mathcal{E}^1(X, \theta)^{\mathbb{T}_{\mathbb{R}}}$$

for some constants $\varepsilon > 0, C > 0$, under the assumption that (Z, Δ) has vanishing Futaki invariant and $\delta^r(Z, \Delta) > 1$. Here $\mathcal{E}^1(X, \theta)^{\mathbb{T}_{\mathbb{R}}}$ denotes the set of $\mathbb{T}_{\mathbb{R}}$ -invariant elements in $\mathcal{E}^1(X, \theta)$.

To achieve (22) we will use subgeodesic rays and their twists, as in the smooth case. More precisely, for any $\xi \in N_{\mathbb{R}}$, it determines a holomorphic vector field V_ξ on X , let $\{\sigma_\xi(t)\}_{t \in \mathbb{R}}$ be the one-parameter subgroup of \mathbb{T} generated by $\text{Re} V_\xi$. For any subgeodesic segment $\{u_t\} \subset \mathcal{E}^1(X, \theta)$ with $t \in (a, b)$, $0 \leq a < b \leq \infty$, one can twist the segment using ξ by putting

$$u_t^\xi := -\log \frac{\sigma_\xi(t/2)^*(e^{-u_t} \mu)}{\mu} = \sigma_\xi(t/2)^* u_t + \psi_t^\xi, \quad t \in (a, b),$$

where

$$\psi_t^\xi := -\log \frac{\sigma_\xi(t/2)^* \mu}{\mu}.$$

Observe that ψ_t^ξ is a smooth function globally defined on X . Indeed, letting $\hat{s}_i := \pi^* s_i \in H^0(X, \pi^*(l_0 L))$, where $\{s_i\}$ is the basis chosen in (21), then from the definition of μ (and μ_H) it is clear that

$$(23) \quad \psi_t^\xi = \frac{1}{l_0} \log \frac{\sum_i |\sigma_\xi(t/2)^* \hat{s}_i|^2}{\sum_i |\hat{s}_i|^2}.$$

From here it also follows that

$$\theta + \text{dd}^c \psi_t^\xi = \sigma_\xi(t/2)^* \theta, \quad \theta + \text{dd}^c u_t^\xi = \sigma_\xi(t/2)^* \theta_{u_t}.$$

So $\{u_t^\xi\}$ is also a subgeodesic segment in $\mathcal{E}^1(X, \theta)$. Since we assumed that (Z, Δ) has vanishing Futaki invariant, then as in Lemma 2.6, one has

$$E_\theta(u_t^\xi) - E_\theta(u_t) = E_\theta(\psi_t^\xi) = \frac{1}{2} \text{Fut}(\text{Re} V_\xi) = 0 \quad \text{and} \quad L_\mu(u_t^\xi) = L_\mu(u_t).$$

Moreover, one has the following generalization of Proposition 3.3.

Proposition 4.2. *For any sublinear subgeodesic ray $\{u_t\}_t \subset \mathcal{E}_\theta^{1, \mathbb{T}_{\mathbb{R}}}$, any $v \in X_{\mathbb{T}}^{\text{div}}$ and any $\xi \in N_{\mathbb{Q}}$, one has*

$$A_{X, \psi}(v) + \sup_{\tau \in \mathbb{R}} \{\tau - v(\hat{u}_\tau^\xi)\} = A_{X, \psi}(v^\xi) + \sup_{\tau \in \mathbb{R}} \{\tau - v^\xi(\hat{u}_\tau)\}.$$

Proof. As in the proof of Proposition 3.3, it suffices to treat the case when $\xi \in N_{\mathbb{Z}}$, $u_t \leq 0$, $u_t^\xi \leq 0$, and argue that

$$(24) \quad A_{\chi, \psi}(v) - G(v)(U^\xi) = A_{\chi, \psi}(v^\xi) - G(v^\xi)(U),$$

where U and U^ξ are qpsH functions on $X \times \Delta$ that are associated with $\{u_t\}$ and $\{u_t^\xi\}$ respectively.

Similarly as in §2.4, let \mathcal{W} be a resolution of the birational map $\eta_{-\xi}$:

$$\begin{array}{ccc} & \mathcal{W} & \\ \mu_1 \swarrow & & \searrow \mu_2 \\ X_{\mathbb{C}} & \xrightarrow{\eta_{-\xi}} & X_{\mathbb{C}} \\ \pi_{\mathbb{C}} \downarrow & & \downarrow \pi_{\mathbb{C}} \\ Z_{\mathbb{C}} & \xrightarrow{\eta_{-\xi}} & Z_{\mathbb{C}} \end{array}$$

where $X_{\mathbb{C}} := X \times \mathbb{C}$, $Z_{\mathbb{C}} := Z \times \mathbb{C}$ and $\pi_{\mathbb{C}} := \pi \times \text{id}$. Let \mathcal{V} be the divisorial valuation on \mathcal{W} such that

$$(\mu_1)_* \mathcal{V} = G(v).$$

To prove (24), it suffices to show the following two identities:

$$(25) \quad A_{\chi, \psi}(v^\xi) - A_{\chi, \psi}(v) = \mathcal{V}(\mu_1^*(K_{X_{\mathbb{C}}} + Q_{\mathbb{C}}) - \mu_2^*(K_{X_{\mathbb{C}}} + Q_{\mathbb{C}})),$$

and

$$(26) \quad G(v^\xi)(U) - G(v)(U^\xi) = \mathcal{V}(\mu_1^*(K_{X_{\mathbb{C}}} + Q_{\mathbb{C}}) - \mu_2^*(K_{X_{\mathbb{C}}} + Q_{\mathbb{C}})),$$

which generalize (10) and (16) respectively. Here $Q_{\mathbb{C}} := Q \times \mathbb{C}$.

Note that

$$A_{\chi, \psi}(v^\xi) - A_{\chi, \psi}(v) = A_{Z, \Delta}(\underline{v}^\xi) - A_{Z, \Delta}(\underline{v}),$$

where $\underline{v}^\xi := \pi_*(v^\xi) = (\pi_* v)^\xi$ and $\underline{v} := \pi_* v$, so (25) follows from the following identity (letting $\Delta_{\mathbb{C}} := \Delta \times \mathbb{C}$):

$$A_{Z, \Delta}(\underline{v}^\xi) - A_{Z, \Delta}(\underline{v}) = \mathcal{V}((\pi_{\mathbb{C}} \circ \mu_1)^*(K_{Z_{\mathbb{C}}} + \Delta_{\mathbb{C}}) - (\pi_{\mathbb{C}} \circ \mu_2)^*(K_{Z_{\mathbb{C}}} + \Delta_{\mathbb{C}})),$$

whose proof is exactly the same as the one for (10); see also the proof of [16, Proposition 3.3]. So we omit the details.

The identity (26) can be argued in a similar way as we did for (16). Indeed, let

$$\Omega := p^* \mu \wedge \sqrt{-1} dz \wedge d\bar{z} = e^{X \circ p - \psi \circ p} \cdot p^*(dV) \wedge \sqrt{-1} dz \wedge d\bar{z},$$

where $p : X_{\mathbb{C}} \rightarrow X$ denotes the projection. Note that Ω is a singular volume form on $X_{\mathbb{C}}$. Away from the divisor $Q_{\mathbb{C}}$, Ω is a smooth positive volume form, but it could have both zeros and poles along $Q_{\mathbb{C}}$ with orders given by the coefficients of $Q_{\mathbb{C}}$.

We also have that (as in (14))

$$\Psi^\xi = -\log \frac{\eta_{-\xi}^* \Omega}{\Omega} \text{ and } U^\xi = \eta_{-\xi}^* U + \Psi^\xi \text{ on } X \times \Delta^*.$$

After pulling these functions to \mathcal{W} , we obtain that

$$\mu_1^* \Psi^\xi = -\log \frac{\mu_2^* \Omega}{\mu_1^* \Omega} \text{ and } \mu_1^* U^\xi = \mu_2^* U + \mu_1^* \Psi^\xi.$$

Here U and U^ξ are qpsH functions defined on $X \times \Delta$. While Ψ^ξ is a priori a smooth function (recall (23)) defined on $X \times \mathbb{C}^*$, which could be singular at $X \times \{0\}$. We

now show that $\mu_1^* \Psi^\xi$ is actually the difference of two qpsH functions defined on \mathcal{W} . Indeed, fix a smooth positive volume form $\Omega_{\mathcal{W}}$ on \mathcal{W} . Put

$$F_i := \log \frac{\mu_i^* (p^*(dV) \wedge \sqrt{-1} dz \wedge d\bar{z})}{\Omega_{\mathcal{W}}}, \quad i = 1, 2.$$

Then F_1 and F_2 are two globally defined qpsH functions on \mathcal{W} , with

$$\mathcal{V}(F_i) = \mathcal{V}(K_{\mathcal{W}} - \mu_i^* K_{X_{\mathbb{C}}}), \quad i = 1, 2.$$

Moreover, we can write

$$\mu_1^* \Psi^\xi = (F_1 + \psi \circ p \circ \mu_2 + \chi \circ p \circ \mu_1) - (F_2 + \psi \circ p \circ \mu_1 + \chi \circ p \circ \mu_2),$$

which is the difference of two qpsH functions on \mathcal{W} as claimed. So the identity of qpsH functions,

$$\mu_1^* U^\xi + (F_2 + \psi \circ p \circ \mu_1 + \chi \circ p \circ \mu_2) = \mu_2^* U + (F_1 + \psi \circ p \circ \mu_2 + \chi \circ p \circ \mu_1),$$

holds wherever these functions are defined.

By the additivity of Lelong numbers, we obtain that

$$\mathcal{V}(\mu_2^* U) - \mathcal{V}(\mu_1^* U^\xi) = \mathcal{V}(F_2 + \psi \circ p \circ \mu_1 + \chi \circ p \circ \mu_2) - \mathcal{V}(F_1 + \psi \circ p \circ \mu_2 + \chi \circ p \circ \mu_1).$$

And also, by our choice of χ and ψ ,

$$\mathcal{V}(\psi \circ p \circ \mu_i) - \mathcal{V}(\chi \circ p \circ \mu_i) = \mathcal{V}(\mu_i^* Q_{\mathbb{C}}), \quad i = 1, 2.$$

So by (9) we finally arrive at

$$G(v^\xi)(U) - G(v)(U^\xi) = \mathcal{V}(\mu_1^*(K_{X_{\mathbb{C}}} + Q_{\mathbb{C}}) - \mu_2^*(K_{X_{\mathbb{C}}} + Q_{\mathbb{C}})),$$

as claimed. Thus we complete the proof. \square

With these preparations in hand, now we can proceed verbatim, following the lines for Theorem 3.4 and Theorem 3.5. We record the following generalization of Theorem 3.4, without giving the proof. In each step of the argument, we just need to replace A_X with $A_{\chi, \psi}$, S_X with $S_{\pi^* L}$, D with D_μ , L with L_μ and E with E_θ

Theorem 4.3. *Assume that (Z, Δ) has vanishing Futaki invariant and $\delta^r(Z, \Delta) > 1$, then there exists $\lambda > 0$ such that for any sublinear subgeodesic ray $\{u_t\}_t \subset \mathcal{E}_\theta^{1, \mathbb{T}_{\mathbb{R}}}$,*

$$D_\mu \{u_t\} \geq \lambda J_{\mathbb{T}} \{u_t\}.$$

Then we can prove Theorem 4.1 by arguing in the same way as we did for Theorem 3.5. In each step of the argument, we just need to replace ω with θ , D with D_μ , L with L_μ and E with E_θ . We need to remark that, the preliminary results needed in the above proof, such as Lemma 2.2, Proposition 2.4 and Proposition 3.2, hold in the log Fano setting as well (thanks to [16, Lemma 2.4], [8, Theorem 5.7] and [9, §3]). This completes the proof of Theorem 4.1.

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