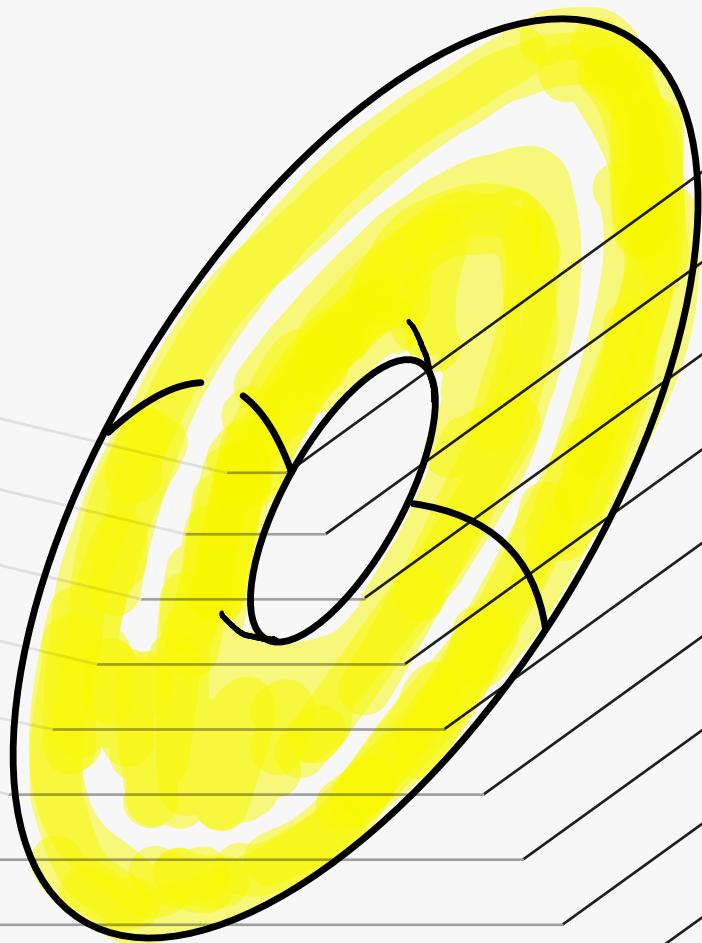


Lecture 4.

# Complex Manifold.



# Outline

- Definition of complex manifold
- Sheaves on a complex manifold
- (Almost) complex structure
- $(p, q)$ -forms
- $\partial$  &  $\bar{\partial}$ -operator

## • Def (Complex manifold)

Let  $X$  be a differentiable manifold. A holomorphic atlas on  $X$  is an atlas  $\{(U_i, \varphi_i)\}$  of the form  $\varphi_i: U_i \simeq \varphi_i(U_i) \subseteq \mathbb{C}^n$  such that the transition functions

$$\varphi_{ij} := \varphi_i \circ \varphi_j^{-1}: \varphi_j(U_i \cap U_j) \rightarrow \varphi_i(U_i \cap U_j)$$

are holomorphic. The pair is  $(U_i, \varphi_i)$  is called a holomorphic chart. Two holomorphic atlases  $\{(U_i, \varphi_i)\}$  &  $\{(U'_j, \varphi'_j)\}$  are called equivalent if all maps

$$\varphi_i \circ \varphi'_j: \varphi'_j(U_i \cap U'_j) \rightarrow \varphi_i(U_i \cap U'_j)$$
 are holomorphic.

Such a manifold is called a complex manifold of dimension  $n$ .

It is automatically a differentiable manifold of (real) dim  $2n$  even dim!

A complex mfd is called connected / compact / simply connected, etc. if the underlying differentiable mfd has this property.

• Def. A 1-dim cplx mfd is called a curve

A 2-dim cplx mfd is called a surface

A 3-dim cplx mfd is called a threefold (3-fold)

## • Examples

①  $X = \mathbb{C}^n$

② An open subset of a cplx mfd is a cplx mfd itself.

③ Riemann Sphere  $\mathbb{C} \cup \{+\infty\} \cong S^2 \cong \mathbb{CP}^1$   
 (rational curve)

④ Riemann surface  
 (Algebraic curve)



elliptic curve.

⑤ Complex Surface.

Product :  $\mathbb{CP}^1 \times \mathbb{CP}^1$   
 $S^2 \times S^2$

Hopf surface  $S^1 \times S^3 \cong \mathbb{C}^2 / \mathbb{Z}_2$

$\mathbb{Z}_2$  action is defined by  $(z_1, z_2) \mapsto (\bar{z}_1, \bar{z}_2)$

$\mathbb{C}/\Gamma$   $\Gamma = z_1\mathbb{Z} + z_2\mathbb{Z}$   
 lattice

⑥ Complex projective space  $\mathbb{CP}^n = \mathbb{C}^{n+1} \setminus \{0\} / \sim$ .

$$U_i = \left( \mathbb{C}, \frac{z_1}{\bar{z}_i}, \dots, \frac{\hat{z}_i}{\bar{z}_i}, \dots, \frac{z_n}{\bar{z}_i} \right)$$

⑦ Grassmannian mfd. Let  $V$  be a complex vector space of dim  $n+1$ . Then the set

$$\text{Gr}_k(V) := \{ W \subseteq V \mid \dim(W) = k \}$$

can be endowed w/ a structure of cplx mfd s.t.  $\dim \text{Gr}_k(V) = k(n+1-k)$

• Def. Let  $X$  be a cplx mfd of dim  $n$ . Let  $Y \subseteq X$  be a differentiable submfd of real dim  $2k$ . Then  $Y$  is called a cplx submfd if  $\exists$  holomorphic atlases  $\{(U_i, \varphi_i)\}$  of  $X$  such that

$$\varphi_i : U_i \cap Y \cong \varphi_i(U_i) \cap \mathbb{C}^k,$$

where  $\mathbb{C}^k$  is embedded in  $\mathbb{C}^n$  via  $(z_1, \dots, z_n) \rightarrow (z_1, \dots, z_{k-1}, 0, \dots, 0)$

Namely, locally  $\exists$  holo. coord.  $(z_1, \dots, z_n)$  s.t.

$Y$  is cut out by  $z_{k+1} = \dots = z_n = 0$ .

- A complex mfd  $X$  is called projective if it is isomorphic to a closed complex submfd of some complex projective space  $\mathbb{C}P^n$ .
- Def. A function  $f: X \rightarrow \mathbb{C}$  on a cplx mfd is called holomorphic if  $f \circ \varphi_i^{-1}: \varphi_i(U_i) \rightarrow \mathbb{C}$  is a holomorphic function for  $\forall$  chart  $(U_i, \varphi_i)$ .
- Thm. A holomorphic function on a cpt cplx mfd must be a constant function.

Pf: By max principle we know that  $f \equiv c_0$  for some  $c_0 \in \mathbb{C}$  around the max point of  $|f|$ . Then let  $\Omega := \{x \mid D^k f(x) = 0 \text{ for } \forall k \geq 1\}$ . Then clearly  $\Omega$  is closed & non-empty.  $\Omega$  is also open by power series expansion. So  $\Omega = X$  as  $X$  is connected.  $\square$

- There is no compact complex submfd in  $\mathbb{C}^n$  with positive dimension.

Pf: Let  $X \subseteq \mathbb{C}^n$  be a cpt cplx mfd. Then the restrictions of  $z_1, \dots, z_n$  on  $X$  must be constants so in particular  $X$  is a pt.  $\square$

- Some sheaves on cplx mfd.

1.  $\mathcal{O}_X$  Structure sheaf

$$\mathcal{O}_X(U) = \{ f: U \rightarrow \mathbb{C} \text{ holomorphic} \}.$$

2.  $\mathcal{M}$  sheaf of meromorphic functions.

$$\mathcal{M}(U) = \{ f: U \text{ meromorphic} \}$$

$\exists$  open cover  $\{U_i\}$  of  $U$  s.t.  $f|_{U_i} = \frac{g_i}{h_i}$  for some  $g_i, h_i \in \mathcal{O}(U_i)$ .

3.  $\mathcal{O}_X^*$  sheaf of holomorphic functions vanishing nowhere.

4.  $Y \subseteq X$  cplx submanifold.

$\mathcal{I}_Y$ : ideal sheaf of  $Y$ .

$$\mathcal{I}_Y(U) = \{ f \in \mathcal{O}(U) \mid f \equiv 0 \text{ on } U \cap Y \}.$$

We have an exact sequence

$$0 \rightarrow \mathcal{I}_Y \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_Y \rightarrow 0.$$

- If  $X$  is cpt cplx mfd, then

$$\Gamma(X, \mathcal{O}_X) = H^0(X, \mathcal{O}_X) = \mathbb{C}.$$

- However,  $\Gamma(X, \mathcal{M}) =: K(X)$ , the space of meromorphic functions on  $X$  could be really large. In fact  $K(X)$  is a field extension over  $\mathbb{C}$ .

The transcendence degree  $\text{trdeg}_{\mathbb{C}} K(X)$  is called the algebraic dimension of  $X$ .

► (Non-trivial fact) One has  $\text{trdeg}_{\mathbb{C}} K(X) \leq \dim X$ .

Also note that it's possible that  $\text{trdeg}_{\mathbb{C}} K(X) = 0$ , namely there is no non-trivial meromorphic function.

e.g.  $X$  surface of class VII.

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When  $\text{trdeg}_{\mathbb{C}} K(X) = \dim X$ ,  $X$  is called Moishezon.  
which is equivalently to saying that  $X$  is bimeromorphic  
to a projective mfd. ( iff  $X$  carries a big line bundle)  
In fact after a sequence of blowups w/ smooth centers  
 $X$  can become a smooth proj. mfd )  
 $X$  is Moishezon iff it carries an integral Kähler current.  
A Kähler mfd is Moishezon iff it is projective.

- The biggest difference between differentiable mfd & cplx mfd  
is the complex structure, which plays the role of " $J_1$ ".
  - For a cplx vector space  $V$  of dim  $n$ , one can think of  
it as a real vector space of dim  $2n$ . Namely, if  
 $\{e_1, \dots, e_n\}$  is a  $\mathbb{C}$ -basis of  $V$  then  
 $\{e_1, \dots, e_n, J_1 e_1, \dots, J_1 e_n\}$  is an  $\mathbb{R}$ -basis of  $V$ .  
One can then think of " $J_1$ " as an  $\mathbb{R}$ -linear transformation.  
And we have  $J_1 \circ J_1 = -\text{id}$ .
  - If  $V$  is a real vector space w/ an  $\mathbb{R}$ -linear transformation  
 $J: V \rightarrow V$  satisfying  $J^2 = -\text{id}$ . Then  $J$  is called  
a cplx structure of  $V$ . We can then equip  $V$  w/  
a structure of cplx vector space by putting  
 $(a + J_1 b) \cdot v := av + bJv$  for  $v \in V$   $a + J_1 b \in \mathbb{C}$ .  
Note that  $V$  must have even real dimension.  
In fact, let  $\{e_1, \dots, e_n\}$  be a  $\mathbb{C}$ -basis of the above cplx space  
then  $\{e_1, \dots, e_n, J_1 e_1, \dots, J_1 e_n\}$  is an  $\mathbb{R}$ -basis of the original  
real vector space. So in particular it's even dimensional.

- If  $V$  is a real vector space then we can make it complex by putting  $V^C := V \otimes_{\mathbb{R}} \mathbb{C}$ . Namely if  $\{e_1, \dots, e_k\}$  is an  $\mathbb{R}$ -basis of  $V$  then  $\{e_1, \dots, e_k, \bar{i}e_1, \dots, \bar{i}e_k\}$  is a  $\mathbb{C}$ -basis for  $V^C$
  - Now let  $V$  be a real vector space of dim  $2n$  w/ a cplx structure  $\bar{J}$ . Then one has

$$V^{\mathbb{C}} = V^{1,0} \oplus V^{0,1}, \text{ where}$$

$$V^{1,0} = \{ v \in V^1 \text{ s.t. } Jv = \bar{J}v \}$$

$$V'' = \{ v \in V^C \text{ s.t. } Jv = -J_1 v \}.$$

If  $\{e_1, \dots, e_n, f_1, \dots, f_m\}$  is an  $R$ -basis of  $V$   
then  $\{e_i - \sum_j f_j e_i\}_{i=1}^n$  is a  $\mathbb{C}$ -basis of  $V^{(0)}$   
 $\{e_i + \sum_j f_j e_i\}_{i=1}^n$  is a  $\mathbb{C}$ -basis of  $V^{(1)}$ .

More generally for the  $p$ -th wedge  $\wedge^p V^*$

$$\text{one has } \Lambda^p V^C = \bigoplus_{r+s=p} V^{r,s}$$

where  $V^{r,s}$  is generated by elements of the form  $w \wedge v$  w/  $w \in \Lambda^r V^{1,0}$  &  $v \in \Lambda^s V^{0,1}$ .

## Example

- Applying the above argument to differential forms on  $\mathbb{C}^n$ , we let  $V = \text{Span}_{\mathbb{R}} \{ dx^1, \dots, dx^n, dy^1, \dots, dy^n \}$  then  $V^{\mathbb{C}} = \text{Span}_{\mathbb{C}} \{ dx^1, \dots, dx^n, dy^1, \dots, dy^n \}$  where

$$J \text{ is defined by } \begin{cases} J dx^i = -dy^i \\ J dy^i = dx^i \end{cases}$$

$$\text{Then } V^{1,0} = \text{Span}_{\mathbb{C}} \{ dx^i + J_i dy^i \} = \text{Span}_{\mathbb{C}} \{ dz^i, \dots, d\bar{z}^i \}$$

$$V^{0,1} = \text{Span}_{\mathbb{C}} \{ dx^i - J_i dy^i \} = \text{Span}_{\mathbb{C}} \{ d\bar{z}^i, \dots, d\bar{z}^n \}$$

So in particular  $\forall$  complex 1-form  $\alpha = a_i dx^i + b_j dy^j$  can be uniquely decomposed as  $\alpha = \alpha^{1,0} + \alpha^{0,1}$

w/  $\alpha^{1,0} = f_i dz^i + g_j d\bar{z}^j$  for some  $f_i, g_j$  complex valued function

More generally,  $\forall$  complex p-form  $\alpha$  can be decomposed by  $\alpha = \sum_{r+s=p} \alpha^{r,s}$  w/  $\alpha^{r,s} = \sum_{I, J} \alpha_{I, J} dz^I d\bar{z}^J$ .

$$\begin{aligned} I &= (i_1, \dots, i_r) \\ J &= (j_1, \dots, j_s) \end{aligned}$$

- Let  $X$  be a differentiable mfd of dim  $2n$ . Assume that there is a morphism  $J: TX \rightarrow TX$  satisfying  $J^2 = -\text{id}$ . Then  $J$  is called an **almost complex structure** of  $X$ .

This  $J$  also induces a morphism on  $T^*X$ .

So  $\forall$  complex valued p-form  $\alpha$  on  $X$  can be decomposed (w.r.t.  $J$ ) into  $\alpha = \sum_{r+s=p} \alpha^{r,s}$ .

In other words

$$\Omega^p = \bigoplus_{r+s=p} \Omega_J^{r,s}$$

Rank. Such  $X$  must be orientable.  
prove this using

Hence  $\Omega^P$  denote the sheaf of complex valued  $P$ -forms on  $X$  &  $\Omega_J^{r,s}$  is the sheaf of  $(r,s)$  forms w.r.t.  $J$ .

- We define the operator  $\partial$  &  $\bar{\partial}$  (again w.r.t.  $J$ ) by letting  $\partial := (d)^{r+1,s} : \Omega_J^{r,s} \rightarrow \Omega_J^{r+1,s}$   
 $\bar{\partial} := (d)^{r,s+1} : \Omega_J^{r,s} \rightarrow \Omega_J^{r,s+1}$ .  
This is defined for  $r, s \geq 0$ .
- Def. We say  $J$  is integrable if  $d = \partial + \bar{\partial}$ .
- $\forall$  complex mfd has an integrable complex structure given as follows: locally  $(z^1, \dots, z^n)$ , using the complex structure in the previous example.  
So  $J = -\frac{\partial}{\partial x^i} \otimes dy^i + \frac{\partial}{\partial y^j} \otimes dx^j$   
This gives rise to a global section of  $T^*M \otimes TM$
- e.x. Check that  $J$  such defined is integrable.  
Namely, for  $\forall (r,s)$  form  $\alpha$ ,  $d\alpha = \alpha^{r+1,s} + \alpha^{r,s+1}$ .
- Conversely (Deep result due to Newlander-Nirenberg)  
An integrable cplx structure  $J$  determines a unique structure of complex mfd for  $X$  whose associated cplx structure is  $J$  itself.
- $J$  is integrable iff the Nijenhuis tensor  
 $N_J(U, V) := [U, V] + J([JV, V] + [U, JV]) - [JV, JV]$  vanishes identically.

- Big Conjecture: Is there an integrable complex structure on  $S^6$ ?

述証

Let  $X$  be a cpt cplx surface ( $\text{so } \dim X = 2$ )

Assume that  $\alpha \in H^0(X, \Omega_X^{1,0})$  is a holomorphic  $(1,0)$  form.

Then  $d\alpha = 0$ . i.e.  $\bar{\partial}\alpha = 0$

Pf: Observe that  $d\alpha$  is a holomorphic  $(2,0)$  form ( $\bar{\partial}d\alpha = 0$ )

Consider  $d\alpha \wedge \bar{\alpha}$ , which is  $(2,1)$  form.

So Stokes' formula shows that

$$0 = \int_X d(d\alpha \wedge \bar{\alpha}) = \int_X \bar{\partial}(d\alpha \wedge \bar{\alpha}) = - \int_X d\alpha \wedge \bar{\partial}\bar{\alpha}.$$

Using  $\bar{\partial}\bar{\alpha} = \bar{\alpha}$ , we find that  $\int_X \underbrace{d\alpha \wedge \bar{\alpha}}_{\text{e.g. show non-negative volume form}} = 0$ .

Then  $d\alpha = 0$ , as desired.

$\uparrow$   
e.g. show non-negative volume form