

Simplistic ZFC Formalization

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```

theory ZFC
imports HOL
begin

```

1 ZFC

```

typedecl set

```

axiomatization

```

  member :: set  $\Rightarrow$  set  $\Rightarrow$  bool

```

notation

```

  member (op :) and
  member ((-/ : -) [51, 51] 50)

```

abbreviation *not-member* **where**

```

  not-member x A  $\equiv$   $\sim$  (x : A) — non-membership

```

notation

```

  not-member (op  $\sim$ :) and
  not-member ((-/  $\sim$ : -) [51, 51] 50)

```

notation (*xsymbols*)

```

  member (op  $\in$ ) and
  member ((-/  $\in$  -) [51, 51] 50) and
  not-member (op  $\notin$ ) and
  not-member ((-/  $\notin$  -) [51, 51] 50)

```

1.1 Zermelo-Fraenkel Axiom System

axiomatization **where**

```

  extensionality:  $\forall z. (z \in x \longleftrightarrow z \in y) \implies x = y$  and
  foundation:  $\exists y. y \in x \implies \exists y. y \in x \wedge (\forall z. \neg(z \in x \wedge z \in y))$  and
  subset-set:  $\exists y. \forall z. z \in y \longleftrightarrow z \in x \wedge P\ z$  and
  empty-set:  $\exists y. \forall x. x \notin y$  and
  pair-set:  $\exists y. \forall x. x \in y \longleftrightarrow x = z_1 \vee x = z_2$  and
  power-set:  $\exists y. \forall z. z \in y \longleftrightarrow (\forall u. u \in z \longrightarrow u \in x)$  and
  sum-set:  $\exists y. \forall z. z \in y \longleftrightarrow (\exists u. z \in u \wedge u \in x)$ 

```

definition *empty* :: set ($\{\}$) **where**

```

  empty  $\equiv$  THE y.  $\forall x. x \notin y$ 

```

axiomatization **where**

```

  infinity:  $\exists w. \{\} \in w \wedge (\forall x. x \in w \longrightarrow (\exists z. z \in w \wedge (\forall u. u \in z \longleftrightarrow u \in x \vee u = x)))$  and
  replacement:  $P\ x\ y \wedge P\ x\ z \longrightarrow y = z \implies \exists u. (\forall w_1. w_1 \in u \longleftrightarrow (\exists w_2. w_2 \in a \wedge P\ w_2\ w_1))$ 

```

```

lemma empty[simp]:  $x \notin \text{empty}$ 
proof –
  have  $\exists! y. \forall x. x \notin y$ 
  proof (rule ex-ex1I)
    fix  $y\ y'$ 
    assume  $\forall x. x \notin y \ \forall x. x \notin y'$ 
    thus  $y = y'$  by  $-(\text{rule extensionality, simp})$ 
  qed (rule empty-set)
  hence  $\forall x. x \notin \{\}$ 
  unfolding empty-def
  by (rule theI')
  thus ?thesis ..
qed

```

Let's try to generalize that for the other introduction axioms.

```

lemma exAxiomD1:
  assumes  $\exists y. \forall x. x \in y \longleftrightarrow P\ x$ 
  shows  $\exists! y. \forall x. x \in y \longleftrightarrow P\ x$ 
using assms
by (auto intro:extensionality)

```

```

lemma exAxiomD2:
  assumes  $\exists y. \forall x. x \in y \longleftrightarrow P\ x$ 
  shows  $x \in (THE\ y. \forall x. x \in y \longleftrightarrow P\ x) \longleftrightarrow P\ x$ 
apply (rule spec[of - x])
by (rule theI'[OF assms[THEN exAxiomD1]])

```

```

lemma exAxiomD3:
  assumes  $\exists y. \forall x. x \in y \longleftrightarrow P\ x \ \ x \in (THE\ y. \forall x. x \in y \longleftrightarrow P\ x)$ 
  shows  $P\ x$ 
using assms exAxiomD2
by auto

```

```

lemma empty':  $x \notin \text{empty}$ 
apply (rule exAxiomD2[of  $\lambda-. False$ , simplified, folded empty-def])
by (rule empty-set)

```

```

lemma[simp]:  $(\forall x. x \notin y) \longleftrightarrow y = \{\}$ 
by (auto intro:extensionality)

```

```

definition pair ::  $set \Rightarrow set \Rightarrow set$  ( $\{-, -\}$ ) where
  pair  $z_1\ z_2 \equiv THE\ y. \forall x. x \in y \longleftrightarrow x = z_1 \vee x = z_2$ 

```

```

definition singleton ::  $set \Rightarrow set$  ( $\{-\}$ ) where
  singleton  $x \equiv \{x, x\}$ 

```

```

definition sum ::  $set \Rightarrow set$  where
  sum  $x \equiv THE\ y. \forall z. z \in y \longleftrightarrow (\exists u. z \in u \wedge u \in x)$ 

```

definition *subset* :: (set \Rightarrow bool) \Rightarrow set \Rightarrow set **where**

subset *P* *x* \equiv *THE* *y*. $\forall z. z \in y \longleftrightarrow z \in x \wedge P\ z$

syntax

subset :: *pttrn* \Rightarrow set \Rightarrow bool \Rightarrow set ((1{- \in -./ -}))

translations

$\{z \in x. P\} == \text{subset } (\%z. P)\ x$

definition *Pow* :: set \Rightarrow set **where**

Pow *x* \equiv *THE* *y*. $\forall z. z \in y \longleftrightarrow (\forall u. u \in z \longrightarrow u \in x)$

lemma *pair[simp]*: $x \in \{z_1, z_2\} \longleftrightarrow x = z_1 \vee x = z_2$

by (rule *exAxiomD2*[of $\lambda x. x = z_1 \vee x = z_2$, *simplified*, *folded pair-def*]) (rule *pair-set*)

lemma *singleton[simp]*: $x \in \{y\} \longleftrightarrow x = y$

by $-(\text{unfold singleton-def, simp})$

lemma *sum[simp]*: $z \in \text{sum } x \longleftrightarrow (\exists u. z \in u \wedge u \in x)$

by (rule *exAxiomD2*[of $\lambda z. \exists u. z \in u \wedge u \in x$, *simplified*, *folded sum-def*]) (rule *sum-set*)

lemma *subset[simp]*: $z \in \{z \in x. P\ z\} \longleftrightarrow z \in x \wedge P\ z$

by (rule *exAxiomD2*[of $\lambda z. z \in x \wedge P\ z$, *simplified*, *folded subset-def*]) (rule *subset-set*)

lemma *Pow[simp]*: $z \in \text{Pow } x \longleftrightarrow (\forall u. u \in z \longrightarrow u \in x)$

by (rule *exAxiomD2*[of $\lambda z. \forall u. u \in z \longrightarrow u \in x$, *simplified*, *folded Pow-def*]) (rule *power-set*)

1.2 Lemmas on Unions and Intersubsections

definition *union* :: set \Rightarrow set \Rightarrow set (**infixl** \cup 65) **where**

union *x* *y* \equiv *sum* (*pair* *x* *y*)

lemma *union[simp]*: $z \in a \cup b \longleftrightarrow z \in a \vee z \in b$

by (auto *simp:union-def*)

lemma *union-script*: $\exists y. \forall z. z \in y \longleftrightarrow z \in a \vee z \in b$

by (rule *exI*[of $a \cup b$]) *simp*

definition *intersect* :: set \Rightarrow set \Rightarrow set (**infixl** \cap 70) **where**

a \cap *b* $\equiv \{x \in a. x \in b\}$

lemma *intersect[simp]*: $z \in a \cap b \longleftrightarrow z \in a \wedge z \in b$

by (*simp add:intersect-def*)

lemma *intersect-script*: $\exists y. \forall z. z \in y \longleftrightarrow z \in a \wedge z \in b$

by (rule subset-set)

definition *Intersect* :: (set \Rightarrow bool) \Rightarrow set (\bigcap - [1000] 999) **where**
 $\bigcap P \equiv \text{THE } y. \forall z. z \in y \longleftrightarrow (\forall u. P u \longrightarrow z \in u)$

lemma *Intersect[simp]*: $\exists z. P z \implies z \in \bigcap P \longleftrightarrow (\forall u. P u \longrightarrow z \in u)$
proof (rule exAxiomD2[of $\lambda z. \forall u. P u \longrightarrow z \in u$, simplified, folded *Intersect-def*])
 assume $\exists z. P z$
 then obtain z where[simp]: $P z$..
 let $?y = \{x \in z. \forall u. P u \longrightarrow x \in u\}$
 have $\forall x. x \in ?y \longleftrightarrow (\forall u. P u \longrightarrow x \in u)$ **by** auto
 thus $\exists y. \forall x. x \in y \longleftrightarrow (\forall u. P u \longrightarrow x \in u)$..
qed

definition *Union* :: set \Rightarrow set (\bigcup - [1000] 999) **where**
 $\bigcup a \equiv \text{THE } y. \forall z. z \in y \longleftrightarrow (\exists u. z \in u \wedge u \in a)$

lemma *Union[simp]*: $z \in \bigcup a \longleftrightarrow (\exists u. z \in u \wedge u \in a)$
by (rule exAxiomD2[of $\lambda z. \exists u. z \in u \wedge u \in a$, simplified, folded *Union-def*]) (rule sum-set)

1.3 Ordered Pairs

definition *ordered-pair* :: set \Rightarrow set \Rightarrow set ($\langle -, - \rangle$) **where**
 $\langle a, b \rangle \equiv \{\{a\}, \{a, b\}\}$

lemma *intersect-singleton[simp]*: $x \cap \{y\} = (\text{if } y \in x \text{ then } \{y\} \text{ else } \{\})$
by (auto intro:extensionality)

lemma *empty-singleton-neq[simp]*: $\{x\} \neq \{\}$

proof
 assume *assm*: $\{x\} = \{\}$
 have $x \notin \{\}$ **by** simp
 with *assm* have $x \notin \{x\}$ **by** simp
 thus *False* **by** simp
qed

lemma *singleton-eqD[dest!]*: $\{x\} = \{y\} \implies x = y$
by (drule arg-cong[of - - $\lambda z. y \in z$]) simp

lemma *singleton-pair-eqD[dest!]*:

assumes $\{x\} = \{y, z\}$
 shows $x = y \wedge y = z$

proof—
 from *assms* have $y \in \{x\} \longleftrightarrow y \in \{y, z\}$ **by** simp
 hence $x = y$ **by** simp

from *assms* have $z \in \{x\} \longleftrightarrow z \in \{y, z\}$ by *simp*
 hence $x = z$ by *simp*
 with $\langle x = y \rangle$ show *?thesis* by *simp*
 qed

lemma *singleton-pair-eqD* [*dest!*]:
 assumes $\{y, z\} = \{x\}$
 shows $x = y \wedge y = z$
 using *assms*[*symmetric*] by (rule *singleton-pair-eqD*)

lemma *pair-singleton*[*simp*]: $\{x, x\} = \{x\}$
 unfolding *singleton-def* ..

lemma *pair-eq-fstD* [*dest!*]:
 assumes $\{x, y\} = \{x, z\}$
 shows $y = z$
 using *assms*
proof (cases $x = y$)
 case *False*
 from *assms* have $y \in \{x, y\} \longleftrightarrow y \in \{x, z\}$ by *simp*
 with *False* show *?thesis* by *simp*
 qed *auto*

lemma *ordered-pair-eq*[*simp*]: $\langle x_1, x_2 \rangle = \langle y_1, y_2 \rangle \longleftrightarrow x_1 = y_1 \wedge x_2 = y_2$
proof
 assume *assm*: $\langle x_1, x_2 \rangle = \langle y_1, y_2 \rangle$
 hence $\{x_1\} \in \langle x_1, x_2 \rangle \longleftrightarrow \{x_1\} \in \langle y_1, y_2 \rangle$ by *simp*
 hence[*simp*]: $x_1 = y_1$ by (auto *simp:ordered-pair-def*)
 show $x_1 = y_1 \wedge x_2 = y_2$ using *assm*
proof (cases $x_2 = x_1$)
 case *False*
 from *assm* have $\{x_1, x_2\} \in \langle x_1, x_2 \rangle \longleftrightarrow \{x_1, x_2\} \in \langle y_1, y_2 \rangle$ by *simp*
 with *False* show *?thesis* by (auto *simp:ordered-pair-def*)
 qed (auto *simp:ordered-pair-def*)
 qed *simp*

1.4 Relations and Functions

definition *rel* $r \equiv \forall x. x \in r \longrightarrow (\exists x_1 x_2. x = \langle x_1, x_2 \rangle)$
definition *rel''* $r \ a \ b \equiv \text{rel } r \wedge (\forall x_1 x_2. \langle x_1, x_2 \rangle \in r \longrightarrow x_1 \in a \wedge x_2 \in b)$
definition *rel'* $r \ s \equiv \text{rel'' } r \ s \ s$
definition *func* $r \equiv \text{rel } r \wedge (\forall x \ y_1 \ y_2. \langle x, y_1 \rangle \in r \wedge \langle x, y_2 \rangle \in r \longrightarrow y_1 = y_2)$
definition *func'* $f \ a \ b \equiv \text{func } f \wedge \text{rel'' } f \ a \ b$

1.4.1 Existence Proofs

definition *singletons* $a \equiv \{b \in \text{Pow } a. \exists x. b = \{x\}\}$

lemma *singletons*[*simp*]: $b \in \text{singletons } a \longleftrightarrow (\exists x. b = \{x\} \wedge x \in a)$
 by (auto *simp:singletons-def*)

definition *pairs* $a\ b \equiv \{c \in Pow\ (a \cup b). \exists x\ y. c = \{x, y\} \wedge x \in a \wedge y \in b\}$

lemma *pairs-correct*[simp]: $c \in pairs\ a\ b \longleftrightarrow (\exists x\ y. c = \{x, y\} \wedge x \in a \wedge y \in b)$
by (*auto simp:pairs-def*)

definition *ordered-pairs* $a\ b \equiv \{c \in Pow\ (Pow\ a \cup Pow\ (a \cup b)). \exists x\ y. c = \langle x, y \rangle \wedge x \in a \wedge y \in b\}$

lemma *ordered-pairs*[simp]: $c \in ordered-pairs\ a\ b \longleftrightarrow (\exists x\ y. c = \langle x, y \rangle \wedge x \in a \wedge y \in b)$
by (*auto simp add:ordered-pairs-def ordered-pair-def*)

definition *rels* $a\ b \equiv \{r \in Pow\ (ordered-pairs\ a\ b). rel\ r\}$

lemma *rels*[simp]: $r \in rels\ a\ b \longleftrightarrow rel''\ r\ a\ b$
by (*auto simp:rels-def rel-def rel''-def*)

definition *funcs* $a\ b \equiv \{f \in rels\ a\ b. func\ f\}$

lemma *funcs*[simp]: $f \in funcs\ a\ b \longleftrightarrow func'\ f\ a\ b$
by (*auto simp:funcs-def func'-def func-def*)

1.5 Natural Numbers

definition *succ* :: $set \Rightarrow set\ (-^+ [1000]\ 999)$ **where**
 $a^+ \equiv a \cup \{a\}$

definition *zero* :: $set\ (0)$ **where** $0 \equiv \{\}$

definition *Ded* $a \equiv 0 \in a \wedge (\forall x. x \in a \longrightarrow x^+ \in a)$

lemma *icanhazded*: $\exists a. Ded\ a$

proof–

from *infinity* **obtain** a **where** $inf: \{\} \in a$
 $\forall x. x \in a \longrightarrow (\exists z. z \in a \wedge (\forall u. (u \in z) = (u \in x \vee u = x)))$ **by** *auto*
have $\forall x. x \in a \longrightarrow x^+ \in a$

proof (*rule, rule*)

fix x

assume $x \in a$

with *inf* **obtain** z **where** $z: z \in a \wedge \forall u. u \in z \longleftrightarrow u \in x \vee u = x$ **by** *auto*

from *this*(2) **have**[simp]: $z = x \cup \{x\}$

by (*auto intro:extensionality*)

with *z*(1) **show** $x^+ \in a$ **by** (*auto simp:succ-def*)

qed

with *inf*(1) **show** *?thesis* **by** (*auto simp add:Ded-def zero-def*)

qed

definition *nats* :: set (\mathbb{N}) **where** $\mathbb{N} \equiv \bigcap Ded$

lemma *nats*: $n \in \mathbb{N} \longleftrightarrow (\forall a. Ded\ a \longrightarrow n \in a)$

unfolding *nats-def*

by (rule *Intersect*) (rule *icanhazded*)

1.5.1 Peano's Axioms

lemma *ax-zero[simp]*: $0 \in \mathbb{N}$

by (simp add:*nats Ded-def*)

lemma *ax-succ[simp]*: $n \in \mathbb{N} \implies n^+ \in \mathbb{N}$

by (simp add:*nats Ded-def*)

lemma *nonempty-member[simp]*: $x \neq \{\} \longleftrightarrow (\exists y. y \in x)$

by (rule *ccontr*) *simp*

lemma *union-nonempty[simp]*: $x \neq \{\} \vee y \neq \{\} \implies x \cup y \neq \{\}$

by *auto*

lemma *ax-succ-neq-zero[simp]*: $n \in \mathbb{N} \implies n^+ \neq 0$

by (simp add:*succ-def zero-def*)

lemma *ax-succ-inj*:

assumes $n \in \mathbb{N}\ m \in \mathbb{N}\ n^+ = m^+$

shows $n = m$

proof—

from *assms*(3) **have** $m \in n \cup \{n\}$ **by** (simp add:*succ-def*)

hence $m: n = m \vee m \in n$ **by** *auto*

from *assms*(3)[*symmetric*] **have** $n \in m \cup \{m\}$ **by** (simp add:*succ-def*)

hence $n: n = m \vee n \in m$ **by** *auto*

from $n\ m$ *foundation*[of $\{n, m\}$]

show *?thesis* **by** *auto*

qed

definition *subsetq* :: set \Rightarrow set \Rightarrow bool ((- \subseteq -) [51,51] 50) **where**

$x \subseteq y \equiv \forall z. z \in x \longrightarrow z \in y$

lemma *empty-subsetq[simp]*: $\{\} \subseteq x$

by (simp add:*subsetq-def*)

lemma *singleton-subsetq[simp]*: $\{x\} \subseteq y \longleftrightarrow x \in y$

by (simp add:*subsetq-def*)

lemma *subsetq-trans*[*trans*]: $\llbracket x \subseteq y; y \subseteq z \rrbracket \implies x \subseteq z$

by (simp add:*subsetq-def*)

lemma *subteq-member*[*trans*]: $\llbracket x \in y; y \subseteq z \rrbracket \implies x \in z$
by (*simp add:subteq-def*)

lemma *subteqI*[*intro*]: $(\bigwedge z. z \in x \implies z \in y) \implies x \subseteq y$
by (*simp add:subteq-def*)

lemma *subteq-unionI*[*intro!*]: $x \subseteq y \vee x \subseteq z \implies x \subseteq y \cup z$
by (*auto simp add:subteq-def*)

lemma *union-subteqI*[*simp*]: $x \cup y \subseteq z \longleftrightarrow x \subseteq z \wedge y \subseteq z$
by (*auto simp add:subteq-def*)

lemma *ax-induct*: $\llbracket 0 \in x; \bigwedge y. y \in x \implies y^+ \in x \rrbracket \implies \mathbb{N} \subseteq x$
by (*simp add:subteq-def nats Ded-def*)

1.5.2 Set Properties of \mathbb{N}

lemma[*simp*]: $0 \in 0^+$
by (*simp add:succ-def*)

lemma[*simp*]: $0 \in y \implies 0 \in y^+$
by (*simp add:succ-def*)

lemma
 assumes $n \in \mathbb{N} \ n \neq 0$
 shows $0 \in n$
proof–
 let $?x = \{n \in \mathbb{N}. 0 \in n\} \cup \{0\}$
 note *assms*(1)
 also
 have $\mathbb{N} \subseteq ?x$ **by** (*rule ax-induct*) *auto*
 finally have $n \in ?x$.
 with *assms*(2) **show** *?thesis* **by** *auto*
qed

lemma *nat-induct*[*case-names Zero Succ*[*hyps IH*], *consumes 1*]:
 assumes $n \in \mathbb{N}$
 and $P \ 0 \ \bigwedge n. \llbracket n \in \mathbb{N}; P \ n \rrbracket \implies P \ (n^+)$
 shows $P \ n$
proof–
 let $?x = \{n \in \mathbb{N}. P \ n\}$
 note $\langle n \in \mathbb{N} \rangle$
 also have $\mathbb{N} \subseteq ?x$ **by** (*rule ax-induct, simp-all add:assms*(2,3))
 finally have $n \in ?x$.
 thus $P \ n$ **by** *simp*
qed

1.5.3 Transitive Sets

definition $\text{trans } a \equiv \forall x. x \in a \longrightarrow x \subseteq a$

lemma trans' : $\text{trans } a \longleftrightarrow (\forall x y. x \in a \wedge y \in x \longrightarrow y \in a)$
by (*auto simp add:trans-def subseteq-member*)

lemma $\text{succ-subseteq}[simp]$: $n \subseteq n^+$
by (*auto simp add:succ-def*)

lemma succE :
assumes $n \in m^+$
obtains $n \in m \mid n = m$
proof (*cases* $n \in m$)
case *False*
assume $n = m \implies \text{thesis}$
with *False* **assms**(1) **show** *thesis* **by** (*simp add:succ-def*)
qed *simp*

lemma $[simp]$: $n \notin 0$
by (*simp add:zero-def*)

lemma $n \in \mathbb{N} \implies \text{trans } n$
proof (*induct rule:nat-induct*)
case *Zero*
show *?case* **by** (*simp add:trans-def*)
next
case (*Succ* n)
show *?case*
unfolding *trans-def*
proof (*rule, rule*)
fix x
assume $x \in n^+$
thus $x \subseteq n^+$
proof (*cases* $x \ n$ *rule:succE*)
case 1
with $\langle \text{trans } n \rangle$ **have** $x \subseteq n$ **by** (*simp add:trans-def*)
also **have** $n \subseteq n^+$ **by** *simp*
finally **show** *?thesis* .
next
case 2
thus *?thesis* **by** (*auto simp add:succ-def*)
qed
qed
qed

lemma $\text{trans } \mathbb{N}$
unfolding *trans-def*
proof (*rule, rule*)
fix n

```

  assume  $n \in \mathbb{N}$ 
  thus  $n \subseteq \mathbb{N}$ 
  by (rule nat-induct) (simp-all add:zero-def succ-def)
qed

```

1.5.4 The order relation on \mathbb{N}

definition $\text{trans-rel } r \equiv \forall x y z. \langle x, y \rangle \in r \wedge \langle y, z \rangle \in r \longrightarrow \langle x, z \rangle \in r$

lemma trans-relD :

```

  assumes  $\text{trans-rel } r \langle x, y \rangle \in r \langle y, z \rangle \in r$ 
  shows  $\langle x, z \rangle \in r$ 
proof-
  from  $\text{assms}(1)$  have  $\langle x, y \rangle \in r \wedge \langle y, z \rangle \in r \longrightarrow \langle x, z \rangle \in r$ 
  unfolding  $\text{trans-rel-def}$ 
  by  $-(\text{erule allE})+$ 
  with  $\text{assms}(2,3)$  show ?thesis by simp
qed

```

lemma

```

  assumes  $\text{trans-rel } r \bigwedge n. \langle n, n^+ \rangle \in r \ m \in \mathbb{N}$ 
  shows  $n \in m \longrightarrow \langle n, m \rangle \in r$ 
using  $\text{assms}(3)$  proof (induct  $m$  rule:nat-induct)
  case (Succ  $m$ )
  show ?case
  proof
    assume  $n \in m^+$ 
    thus  $\langle n, m^+ \rangle \in r$ 
  proof (cases  $n \ m$  rule:succE)
    case 1
    show ?thesis proof (rule  $\text{trans-relD}[OF \ \text{assms}(1)]$ )
      from 1 show  $\langle n, m \rangle \in r$  by (rule  $\text{Succ.IH}[THEN \ mp]$ )
      show  $\langle m, m^+ \rangle \in r$  by (rule  $\text{assms}(2)$ )
    qed
  qed (simp add: $\text{assms}(2)$ )
qed

```

1.5.5 Set Properties of \mathbb{N} (II)

definition $\text{less } (- < - [51, 51] 50)$ **where** $n < m \equiv n \in m$

lemma trans-nat : $\llbracket n \in \mathbb{N}; m \in n \rrbracket \implies m \in \mathbb{N}$

```

proof (induct rule:nat-induct)
  case (Succ  $n$ )
  from  $\text{this}(3)$  show ?case by (cases  $m \ n$  rule:succE) (auto intro:Succ)
qed simp

```

lemma $n \in \mathbb{N} \implies n = \{m \in \mathbb{N} . m < n\}$
 unfolding less-def

by (rule extensionality) (auto intro:trans-nat)

end

theory ModalLogic
imports Main
begin

2 Modal Logic

2.1 Definition

datatype 'a PModFml
= V 'a
| Not 'a PModFml (\neg_M - [140] 140)
| And 'a PModFml 'a PModFml (**infixr** \wedge_M 125)
| Box 'a PModFml (\Box - [140] 140)

definition Or :: 'a PModFml \Rightarrow 'a PModFml \Rightarrow 'a PModFml (**infixr** \vee_M 130)
where

$$x \vee_M y \equiv \neg_M(\neg_M x \wedge_M \neg_M y)$$

definition Implies :: 'a PModFml \Rightarrow 'a PModFml \Rightarrow 'a PModFml (**infixr** \longrightarrow_M 125) **where**

$$x \longrightarrow_M y \equiv \neg_M x \vee_M y$$

definition Iff :: 'a PModFml \Rightarrow 'a PModFml \Rightarrow 'a PModFml (**infixr** \longleftrightarrow_M 110)
where

$$x \longleftrightarrow_M y \equiv (x \longrightarrow_M y) \wedge_M (y \longrightarrow_M x)$$

definition Diamond :: 'a PModFml \Rightarrow 'a PModFml (\Diamond - [140] 140) **where**
 $\Diamond x \equiv \neg_M \Box \neg_M x$

definition Mod-True :: 'a PModFml ($True_M$) **where**
 $True_M \equiv V \text{ undefined } \vee_M \neg_M V \text{ undefined}$

typedef 'g KripkeFrame = {(G :: 'g set, R :: 'g rel). Field R \subseteq G} **by** auto

abbreviation G Fr \equiv fst (Rep-KripkeFrame Fr)

abbreviation R Fr \equiv snd (Rep-KripkeFrame Fr)

lemma Frame-wf: Field (R Fr) \subseteq G Fr
using Rep-KripkeFrame[of Fr] **by** auto

lemma[simp]:
assumes (g,h) \in R Fr

shows $g \in G \text{ Fr } h \in G \text{ Fr}$
proof –
from *assms* **have** $g \in \text{Domain } (R \text{ Fr})$ **by** *auto*
also from *Frame-wf[of Fr]* **have** $\text{Domain } (R \text{ Fr}) \subseteq G \text{ Fr}$ **by** (*simp add:Field-def*)
finally show $g \in G \text{ Fr}$.
from *assms* **have** $h \in \text{Range } (R \text{ Fr})$ **by** *auto*
also from *Frame-wf[of Fr]* **have** $\text{Range } (R \text{ Fr}) \subseteq G \text{ Fr}$ **by** (*simp add:Field-def*)
finally show $h \in G \text{ Fr}$.
qed

type-synonym $(g, 'a) \text{ KripkeStruct} = 'g \text{ KripkeFrame} \times ([g, 'a] \Rightarrow \text{bool})$

abbreviation $\text{Frame } \mathcal{K} \equiv \text{fst } \mathcal{K}$
abbreviation $v \mathcal{K} \equiv \text{snd } \mathcal{K}$
abbreviation $G' \mathcal{K} \equiv G (\text{Frame } \mathcal{K})$
abbreviation $R' \mathcal{K} \equiv R (\text{Frame } \mathcal{K})$

fun *eval* :: $[(g, 'a) \text{ KripkeStruct}, 'g, 'a \text{ PModFml}] \Rightarrow \text{bool } (\langle -, - \rangle \models - [0, 0, 51] \ 50)$
where

$\langle \mathcal{K}, g \rangle \models (V \text{ var}) = (v \mathcal{K}) \ g \ \text{var}$
 $\langle \mathcal{K}, g \rangle \models \neg_M f = (\neg \langle \mathcal{K}, g \rangle \models f)$
 $\langle \mathcal{K}, g \rangle \models f1 \wedge_M f2 = (\langle \mathcal{K}, g \rangle \models f1 \wedge \langle \mathcal{K}, g \rangle \models f2)$
 $\langle \mathcal{K}, g \rangle \models \Box f = (\forall h. (g, h) \in R' \mathcal{K} \longrightarrow \langle \mathcal{K}, h \rangle \models f)$

lemma *eval-or[simp]*: $\langle \mathcal{K}, g \rangle \models f1 \vee_M f2 \longleftrightarrow \langle \mathcal{K}, g \rangle \models f1 \vee \langle \mathcal{K}, g \rangle \models f2$ **by** (*simp add:Or-def*)
lemma *eval-implies[simp]*: $\langle \mathcal{K}, g \rangle \models f1 \longrightarrow_M f2 \longleftrightarrow \langle \mathcal{K}, g \rangle \models f1 \longrightarrow \langle \mathcal{K}, g \rangle \models f2$
by (*simp add:Implies-def*)
lemma *eval-iff[simp]*: $\langle \mathcal{K}, g \rangle \models f1 \longleftrightarrow_M f2 \longleftrightarrow (\langle \mathcal{K}, g \rangle \models f1) = (\langle \mathcal{K}, g \rangle \models f2)$ **by**
(auto simp add:Iff-def)
lemma *eval-diamond[simp]*: $\langle \mathcal{K}, g \rangle \models \Diamond f \longleftrightarrow (\exists h. (g, h) \in R' \mathcal{K} \wedge \langle \mathcal{K}, h \rangle \models f)$ **by**
(simp add:Diamond-def)
lemma *eval-true[simp]*: $\langle \mathcal{K}, g \rangle \models \text{True}_M$ **by** (*simp add:Mod-True-def*)

lemmas *eval-impliesI* = *eval-implies[THEN iffD2, rule-format]*
lemmas *eval-boxD* = *eval.simps(4)[THEN iffD1, rule-format]*

abbreviation *global-eval* :: $[(g, 'a) \text{ KripkeStruct}, 'a \text{ PModFml}] \Rightarrow \text{bool } (- \models - [51, 51] \ 50)$ **where**
 $\mathcal{K} \models F \equiv (\forall g \in G' \mathcal{K}. \langle \mathcal{K}, g \rangle \models F)$

2.2 Tautologies

abbreviation *tautology* $F \equiv \forall (\mathcal{K} :: (\text{nat}, -) \text{ KripkeStruct}). \mathcal{K} \models F$

lemma *taut1*: *tautology* $(\Box F \longleftrightarrow_M \neg_M \Diamond \neg_M F)$ **by** *auto*
lemma *taut2*: *tautology* $(\Box(P \longrightarrow_M Q) \longrightarrow_M (\Box P \longrightarrow_M \Box Q))$ **by** *simp*
lemma *taut3*: *tautology* $(\Box(P \wedge_M Q) \longleftrightarrow_M (\Box P \wedge_M \Box Q))$ **by** *auto*
lemma *taut4*: *tautology* $(\Diamond(P \vee_M Q) \longleftrightarrow_M (\Diamond P \vee_M \Diamond Q))$ **by** *auto*

lemma *taut5*: *tautology* $((\Box P \vee_M \Box Q) \longrightarrow_M \Box(P \vee_M Q))$ **by** *simp*
lemma *taut6*: *tautology* $(\Diamond(P \wedge_M Q) \longrightarrow_M (\Diamond P \wedge_M \Diamond Q))$ **by** *auto*

2.3 Classes of Kripke Frames

type-synonym *'g KripkeClass* = *'g KripkeFrame set*
abbreviation *K* :: *'g KripkeClass* **where** *K* \equiv *UNIV*
abbreviation *T* \equiv $\{Fr \in K. \text{refl-on } (G \text{ Fr}) (R \text{ Fr})\}$

lemma *T[simp]*: $Fr \in T \longleftrightarrow (\forall g \in G \text{ Fr}. (g, g) \in R \text{ Fr})$ **using** *Frame-wf[of Fr]*
by (*auto simp:refl-on-def*)

2.4 Relative Tautologies

definition *CTaut C F* $\equiv \forall Fr \in C. \forall v. \forall g \in G \text{ Fr}. \langle (Fr, v), g \rangle \models F$

lemma *pred-def-rewrite*: $P \equiv Q \implies Q \implies P$ **by** *simp*
lemmas *CTautI* = *CTaut-def[THEN pred-def-rewrite, rule-format]*

lemma *ttaut1*: *CTaut* (*T* :: *'g KripkeClass*) $(\Box p \longrightarrow_M p)$
proof (*rule CTautI*)
 fix *Fr* :: *'g KripkeFrame*
 fix *v* :: *'g* \Rightarrow *'a* \Rightarrow *bool*
 fix *g*
 let *?K* = (*Fr, v*)
 assume $Fr \in T \ g \in G \text{ Fr}$
 from *this*(1) **have** *refl-on* (*G Fr*) (*R Fr*) **by** *simp*
 hence $(g, g) \in R \text{ Fr}$ **using** $\langle g \in G \text{ Fr} \rangle$ **by** (*rule refl-onD*)
 hence $(g, g) \in R' \text{ ?K}$ **by** *simp*
 show $\langle ?K, g \rangle \models (\Box p \longrightarrow_M p)$
 proof (*rule eval-impliesI*)
 assume $\langle ?K, g \rangle \models (\Box p)$
 thus $\langle ?K, g \rangle \models p$ **using** $\langle (g, g) \in R' \text{ ?K} \rangle$ **by** (*rule eval-boxD*)
 qed
qed

lemma *ttaut2*: *CTaut T* $(p \longrightarrow_M \Diamond p)$
by (*auto simp:CTaut-def intro:refl-onD*)

lemma *ttaut3*: *CTaut T* $(\Box \Box p \longrightarrow_M \Box p)$
by (*rule ttaut1*)

lemma *ttaut4*: *CTaut T* $(\Box \Diamond p \longrightarrow_M \Diamond p)$
by (*rule ttaut1*)

lemma *ttaut5*: *CTaut T* $(\Box p \longrightarrow_M \Diamond \Box p)$
by (*rule ttaut2*)

lemma *ttaut6*: $CTaut\ T\ (\Diamond p \longrightarrow_M \Diamond \Diamond p)$
by (*rule ttaut2*)

2.5 Modal Logical Consequence

definition *conseq* :: $['a\ PModFml, 'a\ PModFml] \Rightarrow bool\ (- \models_L - [31,31]\ 30)$ **where**
 $M \models_L F \equiv \forall \mathcal{K} :: (nat, -)\ KripkeStruct. \forall g \in G'\ \mathcal{K}. \langle \mathcal{K}, g \rangle \models M \longrightarrow \langle \mathcal{K}, g \rangle \models F$

definition *global-conseq* :: $['a\ PModFml, 'a\ PModFml] \Rightarrow bool\ (- \models_G - [31,31]\ 30)$ **where**
 $M \models_G F \equiv \forall \mathcal{K} :: (nat, -)\ KripkeStruct. \mathcal{K} \models M \longrightarrow \mathcal{K} \models F$

definition *rel-conseq* :: $['a\ PModFml, 'g\ KripkeClass, 'a\ PModFml] \Rightarrow bool\ (- \models_L^- - [31,0,31]\ 30)$ **where**
 $M \models_L^C F \equiv \forall \mathcal{K}. Frame\ \mathcal{K} \in C \longrightarrow (\forall g \in G'\ \mathcal{K}. \langle \mathcal{K}, g \rangle \models M \longrightarrow \langle \mathcal{K}, g \rangle \models F)$

definition *global-rel-conseq* :: $['a\ PModFml, 'g\ KripkeClass, 'a\ PModFml] \Rightarrow bool\ (- \models_G^- - [31,0,31]\ 30)$ **where**
 $M \models_G^C F \equiv \forall \mathcal{K}. Frame\ \mathcal{K} \in C \longrightarrow \mathcal{K} \models M \longrightarrow \mathcal{K} \models F$

2.6 Model Deduction Theorem

theorem *modal-deduction*: $F_1 \models_L F_2 \longleftrightarrow True_M \models_L (F_1 \longrightarrow_M F_2)$ **by** (*simp add:conseq-def*)

end

theory *ModalCharacterization*
imports *ModalLogic*
begin

3 Correspondence Theory

3.1 What Is It About?

abbreviation *char* $\mathcal{G}\ F \equiv \forall Fr. Fr \in \mathcal{G} \longleftrightarrow (\forall v. (Fr, v) \models F)$

lemma *char* ($T :: 'g\ KripkeClass$) $(\Box V\ p \longrightarrow_M V\ p)$

proof (*rule, rule*)

fix $Fr :: 'g\ KripkeFrame$

show $Fr \in T \implies \forall v. (Fr, v) \models \Box V\ p \longrightarrow_M V\ p$ **by** (*auto intro:refl-onD*)

next

fix $Fr :: 'g\ KripkeFrame$

assume *asm*: $\forall v. (Fr, v) \models \Box V\ p \longrightarrow_M V\ p$

show $Fr \in T$

proof (*rule ccontr*)

assume $Fr \notin T$

then obtain g_0 **where** $g_0 \in G\ Fr\ (g_0, g_0) \notin R\ Fr$ **by** (*auto simp:refl-on-def*)

let $?v_0 = \lambda g\ p. (g_0, g) \in R\ Fr$

```

      have  $\neg \langle (Fr, ?v0), g0 \rangle \models \Box V p \longrightarrow_M V p$  using  $g0(2)$  by simp
      with asm[THEN spec[where  $x=?v0$ ], THEN bspec[where  $x=g0$ ]]  $g0(1)$  show
False by simp
    qed
  qed
end

```