



VIETNAM NATIONAL UNIVERSITY  
HO CHI MINH CITY UNIVERSITY OF TECHNOLOGY  
FACULTY OF COMPUTER SCIENCE AND ENGINEERING

---



GRADUATION THESIS PROPOSAL

**USING MACHINE LEARNING METHODS  
IN TRANSLATING SIGN LANGUAGE  
INTO VIETNAMESE**

Council: Software Engineering

Instructor: Assoc. Prof. Quan Thanh Tho

—o0o—

Student: Võ Tuấn Khanh (1810220)

Nguyễn Trí Nhân (1810390)

Ho Chi Minh City, December 2021

## Declaration Of Authenticity

TODO: Viết sơ sơ về việc nội dung báo cáo không phải là false, ăn cắp này kia nọ ví dụ:

Nhận diện hướng nhìn trong ảnh (Nhận diện vật thể trong ảnh) không phải là một đề tài mới nhưng vẫn là một thách thức bởi: trong các ứng dụng: việc nhận diện hướng nhìn của con người qua hình ảnh đòi hỏi kết quả chính xác cao, ở Việt Nam, hiện tại không thực sự có nhiều nghiên cứu chuyên sâu về đề tài. Trong quá trình nghiên cứu đề tài có rất nhiều kiến thức không nằm trong chương trình giảng dạy ở bậc Đại học tuy vậy chúng tôi xin cam đoan đây là công trình nghiên cứu của riêng tôi dưới sự hướng dẫn của tiến sĩ Nguyễn Đức Dũng. Nội dung nghiên cứu và các kết quả đều là trung thực và chưa từng được công bố trước đây. Các số liệu được sử dụng cho quá trình phân tích, nhận xét được chính tôi thu thập từ nhiều nguồn khác nhau và sẽ được ghi rõ trong phần tài liệu tham khảo.

Ngoài ra, tôi cũng có sử dụng một số nhận xét, đánh giá và số liệu của các tác giả khác, cơ quan tổ chức khác. Tất cả đều có trích dẫn và chú thích nguồn gốc.

Nếu phát hiện có bất kỳ sự gian lận nào, tôi xin hoàn toàn chịu trách nhiệm về nội dung luận văn của mình. Trường đại học Bách Khoa thành phố Hồ Chí Minh không liên quan đến những vi phạm tác quyền, bản quyền do tôi gây ra trong quá trình thực hiện.

### **Acknowledgment**

TODO: Viết sau cùng -> về việc cảm ơn này kia

ví dụ:

Để hoàn thành kì đề cương luận văn này, tôi tỏ lòng biết ơn sâu sắc đến tiến sĩ Nguyễn Đức Dũng đã hướng dẫn tận tình trong suốt quá trình nghiên cứu.

Chúng tôi chân thành cảm ơn quý thầy, cô trong khoa Khoa Học Và Kỹ Thuật Máy Tính, trường đại học Bách Khoa thành phố Hồ Chí Minh đã tận tình truyền đạt kiến thức trong những năm chúng tôi học tập ở trường. Với vốn kiến thức tích lũy được trong suốt quá trình học tập không chỉ là nền tảng cho quá trình nghiên cứu mà còn là hành trang để bước vào đời một cách tự tin.

Cuối cùng, tôi xin chúc quý thầy, cô dồi dào sức khỏe và thành công trong sự nghiệp cao quý.

## **Abstract**

TODO: Viết sau cùng

ví dụ:

Nội dung chính của luận văn nhằm tìm hiểu, nghiên cứu xây dựng hệ thống nhận diện hướng nhìn thông qua ảnh chụp dựa trên những công trình, công nghệ mới được nghiên cứu và phát triển trong những năm gần đây của lĩnh vực Deep Learning. Trong quá trình nghiên cứu, tôi đã tiến hành tổng hợp, đánh giá ưu và nhược điểm của cách phương pháp, công nghệ đã và đang được nghiên cứu, sử dụng. Tiếp cận vấn đề theo nhiều hướng khác nhau, tôi thực hiện một số phương pháp sử dụng học sâu (CNN) để phát hiện hướng nhìn của con người qua hình ảnh. Bên cạnh việc hoàn thành nội dung của đề tài, nhóm chúng tôi đã nghiên cứu thêm một số phần để từ đó đặt nền móng cho các nghiên cứu sau này. Phần còn lại của luận văn tập trung vào việc đánh giá mô hình, kết quả đạt được, đồng thời phân tích ưu nhược điểm của mô hình thực hiện và thảo luận những vấn đề mà mô hình còn gặp phải. Cuối cùng, nhóm chúng tôi đề xuất hướng phát triển tiếp theo của đề tài trong tương lai.

# Contents

<b>1</b>	<b>Introduction</b>	<b>1</b>
1.1	Problem statement . . . . .	1
1.2	Goals of this thesis . . . . .	2
1.3	Scopes of this thesis . . . . .	3
1.4	Structure of this thesis proposal . . . . .	3
<b>2</b>	<b>Related Works</b>	<b>4</b>
<b>3</b>	<b>Theoretical Background</b>	<b>6</b>
3.1	Convolution Neural Network - CNN . . . . .	6
3.1.1	Architecture . . . . .	7
3.1.2	Feature extraction part . . . . .	8
3.1.3	Classification part . . . . .	10
3.2	Media Pipe . . . . .	11
3.2.1	Introduction to Media Pipe Hands . . . . .	11
3.2.2	Palm detection model . . . . .	13
3.2.3	Hand landmark model . . . . .	13
3.3	Distance Matrix . . . . .	14
3.3.1	Create Distance Matrix . . . . .	15

3.4	Beam search and Connectionist Temporal Classification . . . . .	15
3.4.1	Connectionist Temporal Classification . . . . .	15
3.4.2	Why we want to use CTC . . . . .	16
3.4.3	Beam Search with CTC decoder . . . . .	17
<b>4</b>	<b>Design and Solution</b>	<b>22</b>
4.1	System Structure . . . . .	22
4.2	Detail Implementation . . . . .	23
4.2.1	Hand pattern recognition . . . . .	23
4.2.2	Direction determination . . . . .	24
4.2.3	Location detection . . . . .	25
4.2.4	Design . . . . .	26
4.2.5	Word decoder . . . . .	27
4.2.6	Text to speech . . . . .	30
<b>5</b>	<b>Upcoming plan</b>	<b>31</b>
<b>6</b>	<b>Proposed Thesis Chapters</b>	<b>32</b>
<b>7</b>	<b>Summary</b>	<b>33</b>

# List of Figures

1	Convolution Neural Network . . . . .	6
2	Different between Normal Neural Network and Convolution Neural Network . .	7
3	Convolution Neural Network Architecture . . . . .	7
4	Convolution Neural Network Layer . . . . .	8
5	Using padding for strike one in Convolution Layer . . . . .	9
6	Max Pooling and Average Pooling . . . . .	9
7	Some Active Function common used in CNN . . . . .	10
8	Fully connected Layer . . . . .	11
9	Media Pipe real time tracking 3D hand landmarks . . . . .	12
10	21 Hand Landmarks . . . . .	14
11	Distance Matrix . . . . .	14
12	Calculating distance between A and B . . . . .	15
13	The Distance Matrix is constructed from Raw Data . . . . .	15
14	Overview of a Neural Network for handwriting recognition . . . . .	16
15	Annotation for each horizontal position of the image . . . . .	16
16	Basic version of Beam Search . . . . .	18
17	NN output and tree of beams with alphabet = "a", "b" and BW = 2 . . . . .	19
18	The effect of appending a character to paths ending with blank and non-blank .	19



19	CTC beam search . . . . .	21
20	Overview of the system modules . . . . .	23
21	Structure of convolutional neural network . . . . .	23
22	Word "You" (bạn) in sign language . . . . .	24
23	Word "I" (tôi) in sign language . . . . .	25
24	View from the camera module . . . . .	26
25	Map one to one data from four component with word in database and get result	27

# List of Tables

# Chapter 1

## Introduction

### 1.1 Problem statement

TODO: Recheck problem statement

TLDR: It is hard for the deaf and mute to communicate with normal people. And there is not many ways for them to express their thought.

"Each deaf person is a separate world, and they feel more self-deprecating and alone when they do not interact and share with others. They still have the desire to contribute to society", said Mr. Do Hoang Thai Anh, Vice Chairman of the Hanoi Deaf Association.

Language is a universal key that not only connects people but also builds up our society. Any disability that affects the ability to communicate is a significant disadvantage, especially for people with disabilities. They cannot integrate, have fun, learn, and communicate like ordinary people because they cannot express their thoughts, ideas, and desires to develop society as we do. That burden usually makes them fall into poverty, live a dependent life, and be exploited, apart from society. Hence, it is challenging for them to have beautiful lives.

In 2020, Vietnam had more than 2.5 million people who are deaf and mute, yet, only a tiny portion of them took part in education, had the chance to be understood, and integrated with society.

According to UNICEF, "Households with members with disabilities are often poorer, children with disabilities are at risk of having less education than their peers, and employment opportunities for people with disabilities are also lower than those without disabilities. Even though people with disabilities are beneficiaries of the policy, and poverty is not a burden to accessing health facilities, very few people with disabilities (2.3%) have access to functional

---

rehabilitation services when being sick or injured. Besides, there still exist inequalities in living standards and social participation for people with disabilities [6]. Many organizations are founded to support, help, and create better living conditions for people with disabilities to develop. However, this work still has many difficulties and inadequacies as there is no formal school or class. Moreover, there is no specific profession for this group of people, and the number of translators who know sign language is insufficient, while they take an essential role in helping the people with disabilities connect with society.

A quote from Cavett Robert, "Life is a grindstone, and whether it grinds you down or polishes you up is for you and you alone to decide." However, it is challenging for these people to go to school and have an excellent education. They have their desires and dreams, but our resources and efforts are not enough to make them a polished grindstone. Furthermore, sign language shares the same property as any other spoken language; each different region and territory has a different way of expressing sign language. These unseen differences make communication, self-expression, and information exchange even more complex and challenging for humanity.

In short, we must admit that understanding and breaking the language barrier is extremely necessary and urgent because the deaf and mute, like many other ordinary people, deserve to be assisted, understood, and acknowledged. Furthermore, we believe our system is the resolve to problems of the deaf and hard of hearing.

## 1.2 Goals of this thesis

TODO: Write Goals

TLDR: It is crucial to find out a way that help we connect more easily, the deaf and mute can convey their thoughts much comfortably.

Mục tiêu của đề tài là nghiên cứu, hiểu và hiện thực một số phương pháp học sâu để phát hiện hướng nhìn của con người qua hình ảnh.

Một số vấn đề đặt ra:

- Làm thế nào để giải quyết bài toán trên?
- Cách tiếp cận như thế nào?
- Những công nghệ nào đã và hiện đang được sử dụng?
- Hướng cải tiến?...

Như vậy để thực hiện theo đúng mục tiêu của đề tài cần xác định một số công việc phải giải

---

quyết như sau:

- Tìm kiếm và thu thập dữ liệu phù hợp với nội dung đề tài.
- Tìm hiểu các phương pháp tiếp cận đã được hiện thực
- Lựa chọn mô hình phù hợp
- Lên kế hoạch hiện thực, phát triển hệ thống nhận diện huấn luyện và kiểm thử.

## 1.3 Scopes of this thesis

TODO: Write Scopes

TLDR: In this case study, we will build a system including an app and camera module to translate at least 100 words from sign language into Vietnamese.

## 1.4 Structure of this thesis proposal

This proposal includes four sections and each will convey the related works and output when doing this thesis.

Chapter	Content
1	A brief introduction about plan and objectives of thesis
2	Related works that had been done and how they help us in doing this thesis
3	Introduction of theoretical background as foundation knowledge that are applied in the thesis
4	Solution and design approach for problem statement of this thesis
5	Plan to finish this thesis in the upcoming time
6	Proposed chapters of the thesis
7	Summary of this thesis proposal

# Chapter 2

## Related Works

CheckList: [X] Sơ lược ý chính [X] Điều chỉnh [...] Translate [ ] Complete

Nowadays, research works related to the problem of converting sign language into text have been proposed by many researchers from all over the world, from many different approaches and perspectives. In which, two main approaches can be mentioned as follows: - Glove based approaches: With this approach, it requires deaf and mute people to wearing a sensor glove. When user has any different action or gesture, these sensor will be recorded. After that, data from sensor will analyze by analyzer component and return the output for user. - Vision based approaches: With this approach, image processing algorithms will be applied to be able to determine hand position, gestures and movements of the hand. The user will not have to wear necessary equipment like glove based approaches, which is convenient for user. However, with using library or algorithms of image processing, we need to deal with worst quality output, which is greatly affected by this algorithms. With both approaches above, there is has some problems, that is, they can only recognize a very small number of words. These words are mostly words with different hand shapes that can be classified like that. However, in sign language, there will be many words that use the same hand shape but will differ in many characteristics, such as position and orientation. To our knowledge, there is currently no model that can handle the conversion of sign language flexibly and conveniently for the deaf-mute, helping them to communicate effectively. natural to the common man. Therefore, by applying appropriate technologies, the authors carry out this graduation thesis with the goal of breaking down the barriers between deaf-mute people and normal people, helping them to become self-sufficient. more confident in daily communication.

Ngày nay, các công trình nghiên cứu liên quan đến vấn đề chuyển đổi ngôn ngữ ký hiệu thành văn bản đã được nhiều nhà nghiên cứu từ khắp nơi trên thế giới đề xuất , theo nhiều hướng tiếp cận và góc nhìn khác nhau. Trong đó có thể kể đến 2 hướng tiếp cận chính như sau: - Hướng tiếp cận sử dụng găng tay cảm biến: Đây là hướng tiếp cận mà người sử dụng sẽ đeo 1

---

chiếc găng tay được trang bị các cảm biến chuyển động chuyên dùng. Khi người sử dụng có các hành động hay cử chỉ khác nhau sẽ được các cảm biến này ghi nhận, sau đó qua một bộ phân tích và sẽ trả về kết quả cho người dùng -Hướng tiếp cận sử dụng xử lý hình ảnh: Trong hướng tiếp cận này, các thuật toán về xử lý hình ảnh sẽ được áp dụng để có thể xác định được vị trí bàn tay, các cử chỉ, chuyển động của bàn tay như thế nào . Người sử dụng sẽ không phải mang các trang bị cần thiết như hướng tiếp cận sử dụng găng tay, thuận tiện cho người sử dụng. Tuy nhiên, độ hiệu quả của các thuật toán xử lý ảnh hưởng rất nhiều đến chất lượng đầu ra.

Với cả hai cách tiếp cận trên đều có một đặc điểm chung, đó là đều chỉ có thể nhận diện được một số lượng rất ít từ vựng. Các từ vựng này hầu hết là các từ có sự khác nhau về hình dạng bàn tay thì mới có thể phân loại được như thế. Tuy nhiên, trong ngôn ngữ ký hiệu , sẽ có rất nhiều từ sử dụng chung một hình dạng bàn tay nhưng sẽ khác nhau về nhiều đặc điểm , ví dụ như vị trí và hướng. Theo hiểu biết của chúng em thì hiện nay vẫn chưa có một mô hình nào có thể xử lý được việc chuyển đổi ngôn ngữ ký hiệu một cách linh hoạt và thuận tiện cho người câm-điếc, giúp họ có thể giao tiếp được một cách tự nhiên với người bình thường. Chính vì thế, bằng cách vận dụng những công nghệ phù hợp, nhóm tác giả tiến hành thực hiện đề tài luận văn tốt nghiệp này hướng đến mục tiêu phá bỏ các rào cản giữa người câm-điếc và người bình thường, giúp họ tự tin hơn trong việc giao tiếp hằng ngày.

In this category requires signers to wear a sensor glove or a colored glove. The task will be simplified during segmentation process by wearing glove. The drawback of this approach is that the signer has to wear the sensor hardware along with the glove during the operation of the system.

+ Hướng tiếp cận sử dụng xử lý hình ảnh

# Chapter 3

## Theoretical Background

### 3.1 Convolution Neural Network - CNN

Convolution Neural Networks are a special class of Neural Networks. They are made up of neurons that have learnable weights and biases. Each neuron receives some inputs, performs a dot product and optionally follows it with a non-linearity. CNN mainly consist of Convolution Layers, Pooling Layers, Activation Layers and Fully Connected Layers. ConvNet architectures make the explicit assumption that the inputs are images, which allows us to encode certain properties into the architecture. These then make the forward function more efficient to implement and vastly reduce the amount of parameters in the network. Some of the main uses of CNN can be mentioned as: image classification, object detection, semantic segmentation, face recognition, etc.

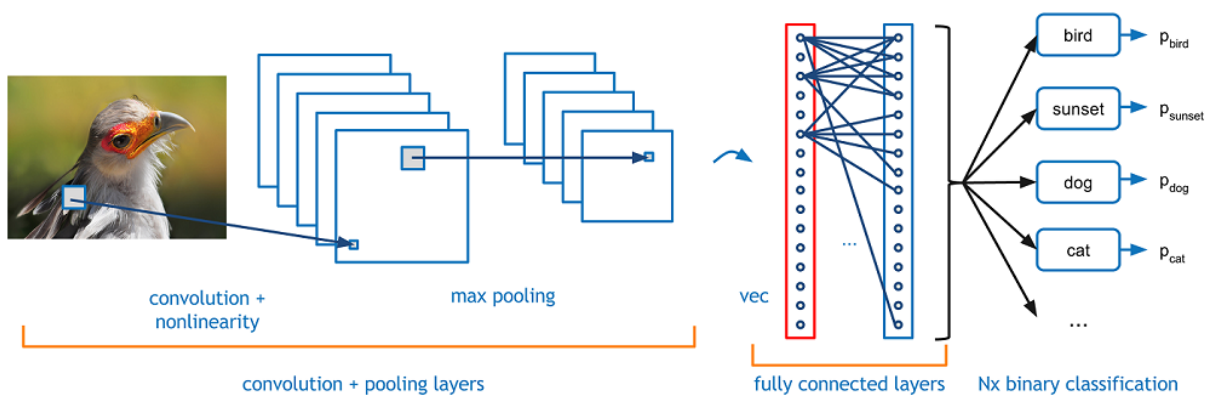


Figure 1: Convolution Neural Network

The figure 1 above shows an example of convolution neural network, which is taking an image as input and then extracting features from it through various layers and then finally pre-



---

dicting the class of the object in the given image.

### 3.1.1 Architecture

Convolution Neural Networks have a different architecture than regular Neural Networks, we can see this different in figure 2 below. Regular Neural Networks transform an input by putting it through a series of hidden layers. Every layer is made up of a set of neurons, where each layer is fully connected to all neurons in the layer before. Finally, there is a last fully-connected layer (the output layer) that represent the predictions. With CNN architecture. First of all, the layers are organized in 3 dimensions: width, height and depth. Further, the neurons in one layer do not connect to all the neurons in the next layer but only to a small region of it. Lastly, the final output will be reduced to a single vector of probability scores, organized along the depth dimension.



Figure 2: Different between Normal Neural Network and Convolution Neural Network

As we can see in figure 3. CNN can be divided into two parts:

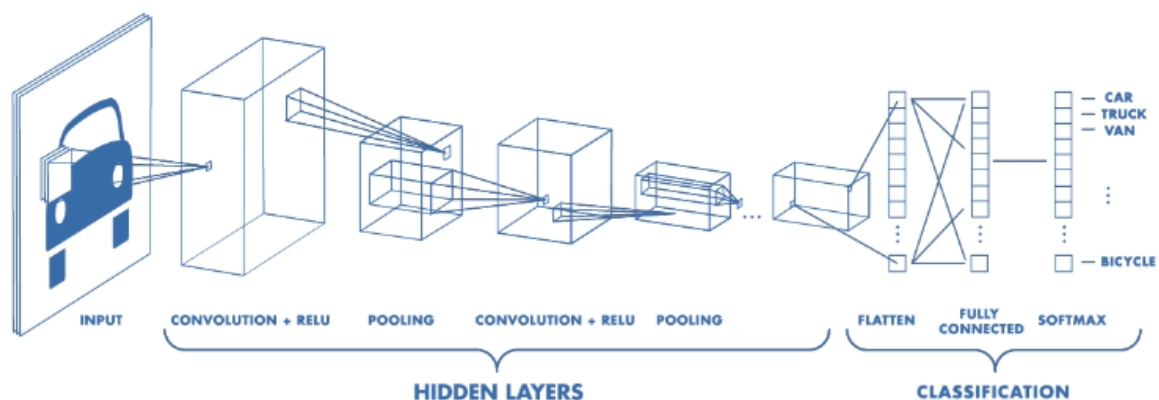


Figure 3: Convolution Neural Network Architecture

---

1. The hidden layers/ Feature extraction part

- In this part, the network will perform a series of convolutions and pooling operations during which the features are detected. If you had a picture of a zebra, this is the part where the network would recognise its stripes, two ears, and four legs.

2. The Classification part

- Here, the fully connected layers will serve as a classifier on top of these extracted features. They will assign a probability for the object on the image being what the algorithm predicts it is.

### 3.1.2 Feature extraction part

#### Convolutional Layer

Convolution Layer is the core building block of a Convolutional Network that does most the computational heavy lifting. A convolution is executed by sliding the filter over the input. At every location, a matrix multiplication is performed and sums the result onto the feature map. This process of extracting features from image happens throughout the CNN's convolutional layers. This process is illustrated in figure 4

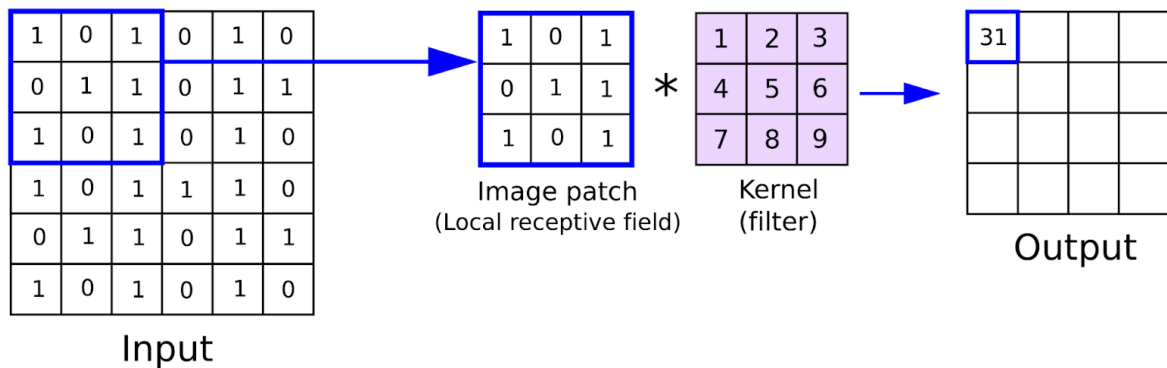


Figure 4: Convolution Neural Network Layer

When the feature map is made, we can pass each value in the feature map through a non-linearity function, such as ReLU, sigmoid, etc. Before it becomes the input of the next convolution layer.

Because the size of the feature map is always smaller than the input, we have to do something to prevent our feature map from shrinking. This is where we use padding (5). A layer of zero-value pixels is added to surround the input with zeros, so that our feature map will not

shrink. In addition to keeping the spatial size constant after performing convolution, padding also improves performance and makes sure the kernel and stride size will fit in the input.

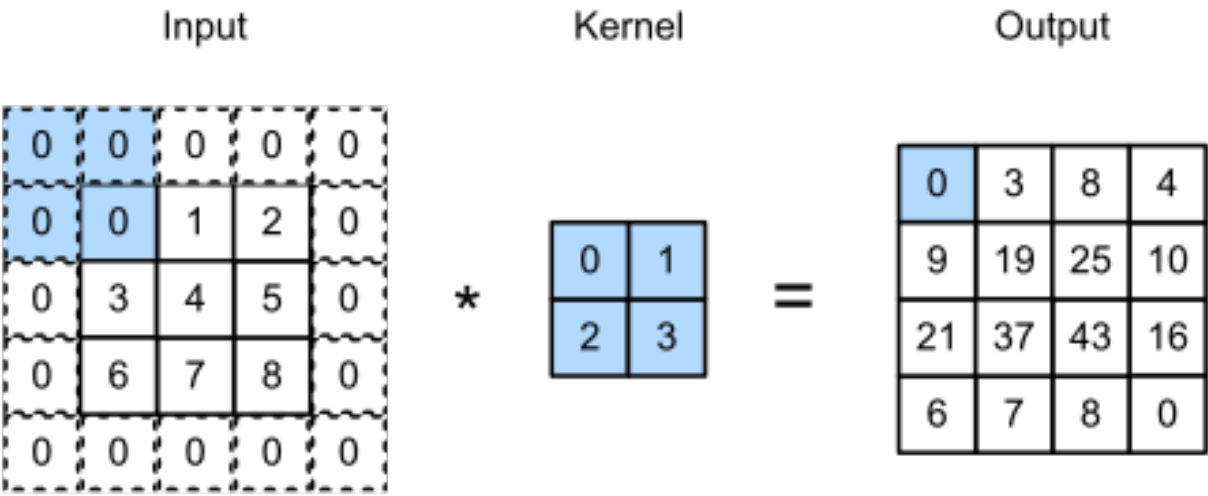


Figure 5: Using padding for strike one in Convolution Layer

### Pooling Layers

After a convolution layer, it is common to add a pooling layer in between CNN layers. The function of pooling is to continuously reduce the dimensionality to reduce the number of parameters and computation in the network. This shortens the training time and controls overfitting.

There are mainly two types of Pooling Layers in a CNN: Max Pooling and Average Pooling. The functionality of these two types of layers are demonstrated in figure 6 . Max Pooling restores the maximum value from the segment of the picture covered by the Kernel. Whereas, Average Pooling restores the average of the multitude of values from the bit of the picture covered by the Kernel.

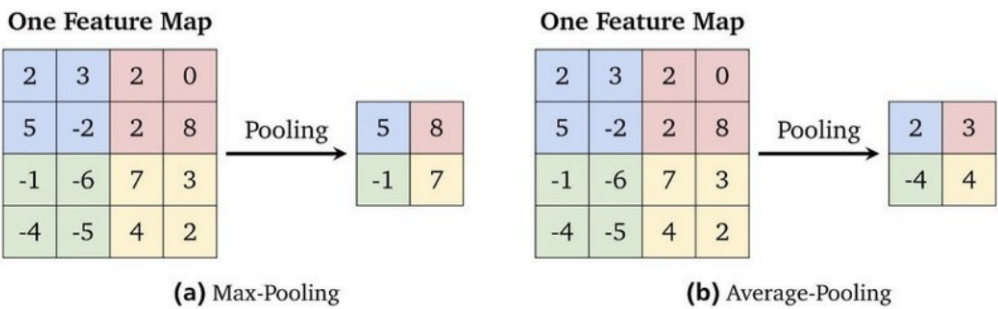


Figure 6: Max Pooling and Average Pooling

---

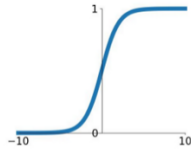
## Activation Layers

Neural networks in general and CNNs in particular rely on a non-linear "trigger" function to signal distinct identification of likely features on each hidden layer. CNNs may use a variety of specific functions (figure 7), such as rectified linear units (ReLU) and continuous trigger (non-linear) functions—to efficiently implement this non-linear triggering.

## Activation Functions

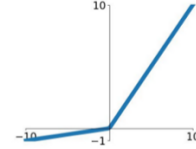
### Sigmoid

$$\sigma(x) = \frac{1}{1+e^{-x}}$$



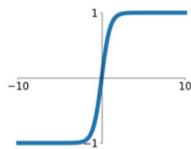
### Leaky ReLU

$$\max(0.1x, x)$$



### tanh

$$\tanh(x)$$

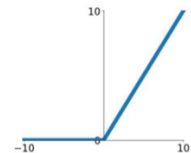


### Maxout

$$\max(w_1^T x + b_1, w_2^T x + b_2)$$

### ReLU

$$\max(0, x)$$



### ELU

$$\begin{cases} x & x \geq 0 \\ \alpha(e^x - 1) & x < 0 \end{cases}$$

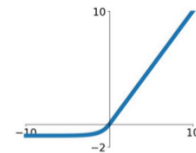


Figure 7: Some Active Function common used in CNN

### 3.1.3 Classification part

#### Fully connected layers

The last layers of a CNN are fully connected layers. Neurons in a fully connected layer have full connections to all the activations in the previous layer. This part is in principle the same as a regular Neural Network.

Figure 8 illustrates the way of input value stream into the fully connected layer. Because these fully connected layer can only accept one dimensional data. So, we need convert our 3D data to 1D data. After pass through some FC, we will get the result is the data classification.



Figure 8: Fully connected Layer

## 3.2 Media Pipe

### 3.2.1 Introduction to Media Pipe Hands

MediaPipe Hands (9) is a high-resolution tracking system for hands and fingers. It uses machine learning to infer 21 3D hand landmarks from a single frame. This solution delivers real-time performance on a cell phone and even scales to many hands, whereas current state-of-the-art systems rely primarily on powerful desktop environments for inference.



Figure 9: Media Pipe real time tracking 3D hand landmarks

MediaPipe Hands makes use of a machine learning pipeline that consists of several models that work together: A palm detection model, which acts on the entire image, will return an orientated hand bounding box. A hand landmark model that returns high-fidelity 3D hand key points from the cropped image region determined by the palm detector.

However, providing the hand landmark model with a correctly cropped hand image minimizes the requirement for data augmentation drastically (such as rotations, translations, and scaling) and instead allows the network to focus on coordinate prediction accuracy. Furthermore, in this ML pipeline, crops can be created based on the hand landmarks recognized in the previous frame, and palm detection is only used to localize the hand when the landmark model can no longer detect its presence.

---

### 3.2.2 Palm detection model

The Media Pipe team provides the palm detection model to detect initial hand locations and distinguish whether the hand recognized is left or right, which is very useful as each sign goes along with a different side will result in different meanings. They created a single-shot detector model, comparable to the face detection model in MediaPipe Face Mesh, tailored for mobile real-time applications. Hand detection is difficult: our model must detect occluded and self-occluded hands and work across many hand sizes with a significant scale span relative to the image frame.

According to their statement, the methods they use to address the above challenges vary in many strategies. First, instead of training a hand detector, they train a palm detector because estimating bounding boxes of inflexible objects like palms and fists is much easier than recognizing hands with articulated fingers. Furthermore, the non-maximum suppression method performs effectively even in two-hand self-occlusion situations such as handshakes because palms are small objects. Furthermore, palms can be simulated using square bounding boxes (anchors in ML language) that ignore other aspect ratios, reducing 3-5 anchors. Second, even for tiny objects, an encoder-decoder feature extractor is used for more extensive picture context awareness (similar to the Retina Net approach). Finally, the significant scale variance limits focus loss during training to support many anchors.

Using the strategies described above gives an average precision of 95.7 percent in palm detection. With no decoder and a regular cross-entropy loss, the baseline is just 86.22 percent.

### 3.2.3 Hand landmark model

Following palm detection over the entire image, our next hand landmark model uses regression to accomplish exact key point localization of 21 3D hand-knuckle coordinates (see figure 10) within the detected hand regions, i.e., direct, coordinate prediction. Even with partially visible hands and self-occlusions, the model develops a consistent internal hand posture representation.

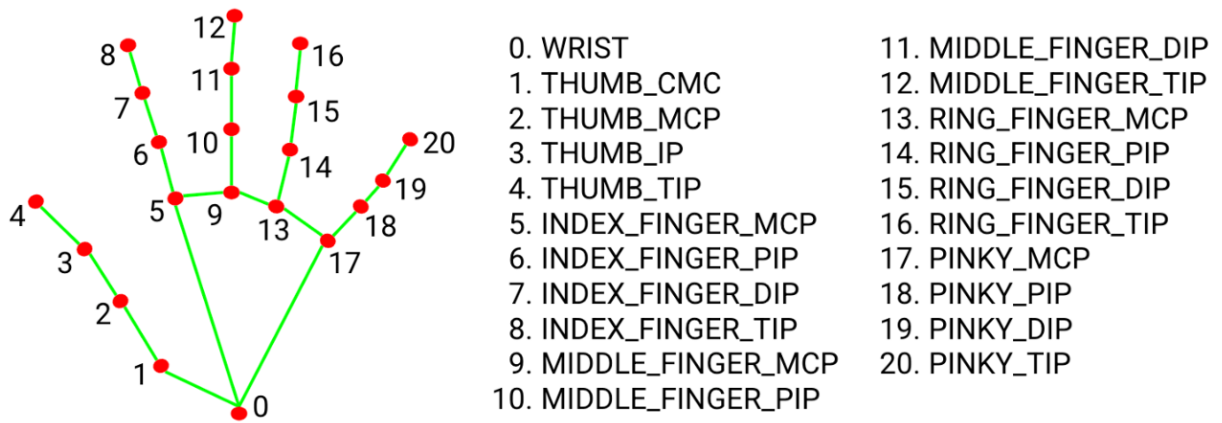


Figure 10: 21 Hand Landmarks

### 3.3 Distance Matrix

A distance matrix is a table that shows the distance between pairs of objects. For example, in the figure 11., we can see the distance of A and B is 16, B and C is 37 and so on. In the diagonal of table is the distance of object from itself, so the value as we can see is 0. Distance matrices are sometimes called dissimilarity matrices.

Distance Matrix						
	A	B	C	D	E	F
A	0	16	47	72	77	79
B	16	0	37	57	65	66
C	47	37	0	40	30	35
D	72	57	40	0	31	23
E	77	65	30	31	0	10
F	79	66	35	23	10	0

Figure 11: Distance Matrix



---

### 3.3.1 Create Distance Matrix

A distance matrix is computed from a raw data table. In the example below (12 ), we can use high school math (Pythagoras) to work out that distance between A and B.

$$\sqrt{(24 - 9)^2 + (54 - 49)^2} = 15.81 \approx 16$$

Figure 12: Calculating distance between A and B

We can use same formula with more than two variables, and this is known as the Euclidean distance. In result, we have the distance matrix represented like figure 13

Raw Data			Distance Matrix						
	X	Y		A	B	C	D	E	F
A	9	49	A	0	16	47	72	77	79
B	24	54	B	16	0	37	57	65	66
C	51	28	C	47	37	0	40	30	35
D	81	54	D	72	57	40	0	31	23
E	81	23	E	77	65	30	31	0	10
F	86	32	F	79	66	35	23	10	0

Figure 13: The Distance Matrix is constructed from Raw Data

## 3.4 Beam search and Connectionist Temporal Classification

CheckList: [x] BeamSearch [x] CTC recap [x] Combination [x] Pseudo code

### 3.4.1 Connectionist Temporal Classification

Connectionist Temporal Classification (CTC) is a type of Neural Network output helpful in tackling sequence problems like handwriting (figure 14) and speech recognition where the timing varies. Using CTC ensures that one does not need an aligned dataset, which makes the training process more straightforward.



Figure 14: Overview of a Neural Network for handwriting recognition

### 3.4.2 Why we want to use CTC

In context of hand written recognition, we could create a data-set with images of text-lines, and then specify for each horizontal position of the image the corresponding character as shown in figure 15. Then, we could train a model to output a character-score for each horizontal position. However, there are two problem with this solution.

- It takes a lot of time, annotating dataset at the character level is a boring task.
- What if the character takes up more than one time-step ?. We could get "tooo" because the "o" is a wide character as shown in Fig..... We have to remove all duplicate character like "t" and "o".

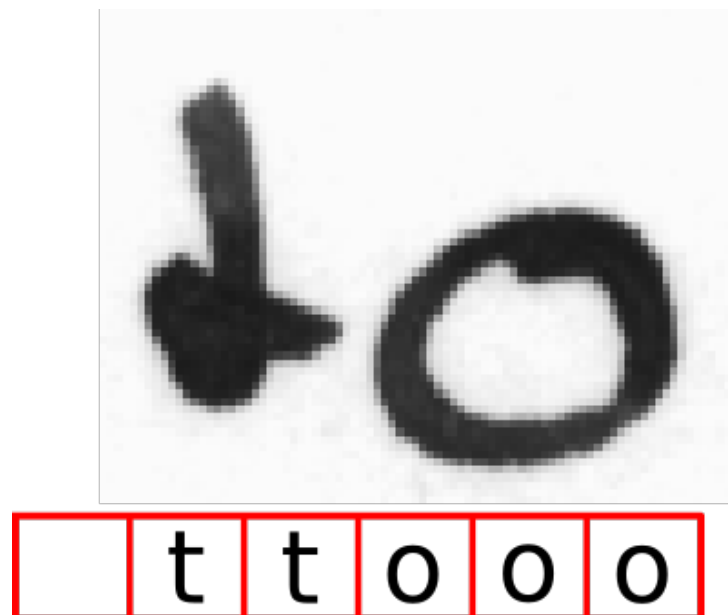


Figure 15: Annotation for each horizontal position of the image

---

CTC can solve both problems for us:

- We can ignore both the position and width of the character in the image and only require the text that occurs in the image.
- Using decoding techniques, we can directly get the result of the network and no further post-processing of the recognized text is needed.

### **3.4.3 Beam Search with CTC decoder**

CTC has more than a Decoding phase, it can have the Encoding, Loss calculation, but in this graduation thesis scope, we don't need it anymore. So, in here, we only mention the CTC decoder but in the way of it combines with Beam Search. Because CTC in decoding context, it can combine with another algorithm like best-path decoding, etc...

#### **Beam search**

In computer science, beam search is a heuristic search algorithm that explores a graph by expanding the most promising node in a limited set. Beam search is an optimization of best-first search that reduces its memory requirements. Best-first search is a graph search which orders all partial solutions (states) according to some heuristic. But in beam search, only a predetermined number of best partial solutions are kept as candidates. Pseudo-code for basic version of beam-search is shown in figure 16

---

```

Data: NN output matrix  $mat$ ,  $BW$ 
Result: decoded text
1  $beams = \{\emptyset\};$ 
2  $scores(\emptyset, 0) = 1;$ 
3 for  $t = 1 \dots T$  do
4    $bestBeams = bestBeams(beams, BW);$ 
5    $beams = \{\};$ 
6   for  $b \in bestBeams$  do
7      $beams = beams \cup b;$ 
8      $scores(b, t) = calcScore(mat, b, t);$ 
9     for  $c \in alphabet$  do
10       $b' = b + c;$ 
11       $scores(b', t) = calcScore(mat, b', t);$ 
12       $beams = beams \cup b';$ 
13    end
14  end
15 end
16 return  $bestBeams(beams, 1);$ 

```

Figure 16: Basic version of Beam Search

Beam search algorithm will be implemented through the following steps, with two parameter will be included: output matrix and beam width (BW) which specifies the number of beams to keep. First of all, the list of beam and corresponding score is initialized (line 1 and 2). After that, from 3-15, the algorithm will loop over all time-steps of the matrix output. At this point, only the best scoring beams (equal BW) from the previous time-step are kept (line 4). Each of beam, we calculate the score and get result (line 8), we will cover this step in more detail later. Further, each beam is extended by all possible characters from the alphabet (line 10) and again, a score is calculated (line 11). After the last time-step, the best beams is returned as a result (line 16).



Figure 17: NN output and tree of beams with alphabet = "a", "b" and BW = 2

As we can see, in figure 17, both output matrix to be decoded and the tree of beams are shown. Beam search algorithm extended as possible and keep exactly BW candidates. Finally, we finished the last iteration and the final step of the algorithm is to return the beam with the highest score, which is "a" in this example.

### Calculating the score

As we just discuss above, in this part, we will talk about how to scoring the beam. We will split the beam-score into the score of paths ending with a blank(e.g.. 'aa-') and paths ending with non-blank (e.g. 'aaa').

- We denote the probability of all paths ending with a blank and corresponding to a beam b at time-step t by  $P_b(b,t)$  and by  $P_{nb}(b,t)$  for the non-blank case.
- The probability  $P_{tot}(b,t)$  of a beam b at time-step t is simply the sum of  $P_b$  and  $P_{nb}$ , for example:  $P_{tot}(b,t) = P_b(b,t) + P_{nb}(b,t)$

$$\begin{aligned}
 \text{blank: 'aa-'} + \begin{cases} \text{'-' = 'aa--'} \rightarrow \text{"a" (copy)} \\ \text{'a' = 'aa-a' } \rightarrow \text{"aa" (extend)} \\ \text{'b' = 'aa-b' } \rightarrow \text{"ab" (extend)} \end{cases} \\
 \text{non-blank: 'aaa' } + \begin{cases} \text{'-' = 'aaa-'} \rightarrow \text{"a" (copy)} \\ \text{'a' = 'aaaa' } \rightarrow \text{"a" (copy)} \\ \text{'b' = 'aaab' } \rightarrow \text{"ab" (extend)} \end{cases}
 \end{aligned}$$

Figure 18: The effect of appending a character to paths ending with blank and non-blank

---

In figure 18, we will see what happens when we extend a path. Three main case we can mention is:

- Extend by blank ('a' + '-' = 'a-')
- Extend by repeating last character ( 'aa' + 'a' = 'aaa' or 'aa-' + 'a' = 'aa-a')
- Extend by some other character ('aa' + 'b' = 'aab')

FIXME: Viết lại các công thức bên dưới And when we collapse the extended paths, two result we will get and some case we needed to handle:

- The unchanged (copied) beam ('a' -> 'a'):
  - To copy a beam, we can extend corresponding paths by a blank and get paths ending with a blank:  $P_b(n, t) += P_{tot}(b, t-1) * mat(blank, t)$
  - Beside, with non-blank ending paths case, if we extend it by the last character (the beam is not empty):  $P_{nb}(b, t) += P_{nb}(b, t-1) * mat(b[-1], t)$  with -1 indexes the last character in the beam
- An extended beam ('a' -> 'aa' or 'ab'):
  - To extend a beam. With the last character is different from the character we need to extend, then there is no need for separating blanks ('-') in the paths:  $P_{nb}(b+c, t) += P_{tot}(b, t-1) * mat(c, t)$
  - Or the last character of beam is repeated, we must ensure that the paths end with a blank:  $P_{nb}(b+c, t) += P_b(b, t-1) * mat(c, t)$
  - We don't need t care about  $P_b(b+c, t)$  because we added a non-blank character

### Putting it all together

Figure 19 depicts the CTC beam search algorithm. It is similar to the basic version previously displayed. However, it includes the code to score the beams: copied beams (lines 7-10) and extended beams(line 15-19). Finally, when we looking for the best scoring beams, the programs ranks them according to  $P_{tot}$  (line 4) and then take the BW best ones.

---

**Data:** NN output matrix  $mat$ ,  $BW$  and  $LM$   
**Result:** decoded text

```

1  $beams = \{\emptyset\}$ ;
2  $P_b(\emptyset, 0) = 1$ ;
3 for  $t = 1 \dots T$  do
4    $bestBeams = bestBeams(beams, BW)$ ;
5    $beams = \{\}$ ;
6   for  $b \in bestBeams$  do
7     if  $b \neq \emptyset$  then
8        $P_{nb}(b, t) += P_{nb}(b, t - 1) \cdot mat(b(-1), t)$ ;
9     end
10     $P_b(b, t) += P_{tot}(b, t - 1) \cdot mat(blank, t)$ ;
11     $beams = beams \cup b$ ;
12    for  $c \in alphabet$  do
13       $b' = b + c$ ;
14       $P_{txt}(b') = applyLM(LM, b, c)$ ;
15      if  $b(t) == c$  then
16         $P_{nb}(b', t) += P_b(b, t - 1) \cdot mat(c, t)$ ;
17      else
18         $P_{nb}(b', t) += P_{tot}(b, t - 1) \cdot mat(c, t)$ ;
19      end
20       $beams = beams \cup b'$ ;
21    end
22  end
23 end
24 return  $bestBeams(beams, 1)$ ;

```

Figure 19: CTC beam search

# Chapter 4

## Design and Solution

### 4.1 System Structure

Overall, the system includes three parts of hardware modules: a camera module, the user's smartphone, and the server.

Our sign language translating AI system includes six main modules: hand pattern recognition, direction determination, location detection, action detection, word decoder, and text to speech (Figure TK). Firstly, the system continuously captures the hand's motion, processes it with the hand landmark model, and then puts it into those modules. Each of them has a unique role, and after combining the first four modules' results (hand pattern, direction, location, and action detection), the word decoder module will take the output data and bring out the corresponding outcome. Then, the result will show up on the main screen (Figure TK); meanwhile, the phone will speak out that word. In the below sections, we will discuss each module's role and how it works.

TODO: Replace with new structure TODO: Trình bày cả 2 mô hình, giới thiệu luôn action detection và nói là do không khả thi nên đề xuất mô hình mới, khi đó sẽ có sự thay đổi như thế nào



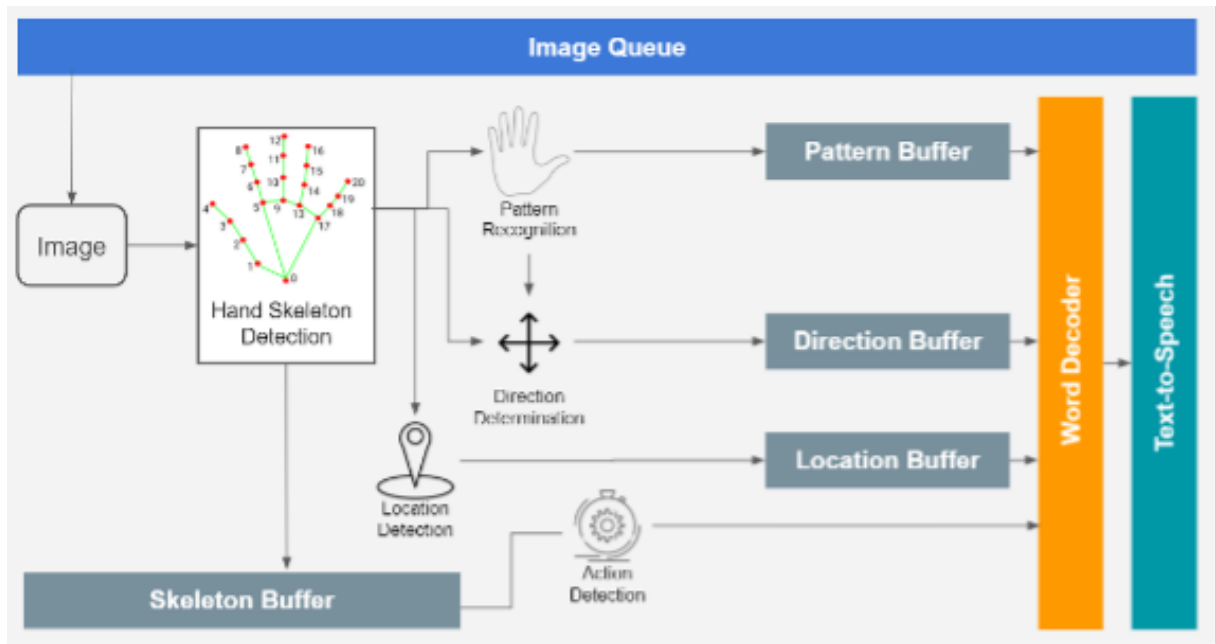


Figure 20: Overview of the system modules

## 4.2 Detail Implementation

### 4.2.1 Hand pattern recognition

Hand pattern recognition is the first and basic module of this system. While a person with disabilities does signs of sign language, his hands perform a series of different movements, where their hand may be spread out, clenched, or his fingers pointing out at something. Therefore, the role of this module is to recognize the pattern of the hands. Then combining the outcome with other modules, the system can give out the final result.

This module uses the output of the hand landmark model, which is a matrix size of 21. After calculating all the values in that matrix, we get a new matrix representing the distance between those 21 coordinates. Using the distance matrix as the input of CNN [TK] with the designed structure (see Figure 21), as seen in Figure 20, will tell us the pattern of the hand at the moment it is captured.



Figure 21: Structure of convolutional neural network

---

### 4.2.2 Direction determination

FIXME: Thêm các hình ảnh về cách xác định hướng, lấy từ ppt

The directions of the hand include four directions, i.e., right, left, up, down, front, and back. Each hand's pattern combined with different directions leads to a different meaning. For example, the pattern that points at someone means the word "you"; on the other hand, when we point at ourselves, it means the word I (see Figure 22 and Figure 23).



Figure 22: Word "You" (bạn) in sign language



Figure 23: Word "I" (tôi) in sign language

To determine the hand's direction, we use the hand landmark model provided in MediaPipe (see section 2 TK). The inception here is that we calculate the distance between the tip of the index finger and the wrist (called TK), then project it to the axis  $Ox$ ,  $Oy$ ,  $Oz$ , respectively. After that, we take each of those coordinates and compare them with the others. Finally, the one with the immense value will tell which axis the hand is on; besides, with the direction from the wrist to the tip of the index finger projected on that corresponding axis, we will know which direction the hand is.

For instance, a hand is known to be pointing toward the left direction. The value of the distance, when projected on the axis  $Ox$ , will be the biggest one among the three projected values. Then, calculate the vector drawn from the wrist to the tip of the index finger; we will know the direction of the hand itself.

### 4.2.3 Location detection

FIXME: Giới thiệu previous approach về sử dụng độ zoom, các khó khăn  
FIXME: Thêm các hình ảnh về cảm biến sóng âm, các thông số, tại sao lại sử dụng, sử dụng như thế nào

Locations of hand vary, is the hand put at forehead, mouth or the chest level, and so on. Every hand pattern that goes with every location will result in different words. Nevertheless, it is hard for the AI to know the hand's coordinates with only one camera, and its view is from above (see Figure 24). However, we came up with some solutions to this issue.

---

Firstly, we will take pictures of the hand and calculate the size of the hand in every frame in order to know whether that hand is getting bigger or smaller. Hence, if that hand is smaller than before, it means the hand is getting far away from the camera, and its location is somewhere at the chest level or the stomach level.



Figure 24: View from the camera module

Nonetheless, the above solution still has an issue: every man's hand has a different size, and the system does not know the correct position of the hand. Therefore, another solution is to use a wide-angle camera and set it away from the forehead. With this solution, the camera can have a much broader view. However, since we only have a normal-angle camera, we could not try out this solution and confirm its suitability.

#### 4.2.4 Design

TODO: Trình bày các thiết kế hiện có và các chức năng phụ

## 4.2.5 Word decoder

TODO: Previous approach [x] How to map word ? TODO: New approach [x] Punish function [x] Using beam search [x] CTC decode [x] Flow [x] Expected result [...] Difficult and proposed solution [...] Dịch sang tiếng anh [...] Thêm các hình ảnh

As discussed above, there are considerable technical difficulties in implementing the action detection module. We did some research and proposed a new model to resolve these problems. As a result, this change affects the word decoder module, which needs some adjustments.

The previous model decodes a word into four factors: pattern, location, direction, and action. After getting the outputs from the four modules, it will search the database to find the corresponding word. Figure 25 illustrates how an input containing four factors is mapped to the correct word in the database. Applying a basic searching algorithm, we have the system find the most appropriate word. If it can not find any, it will replace or deprecate some parts of the input and try again to find another word. After decoding and finding the suitable word, the application will display that word on the screen.

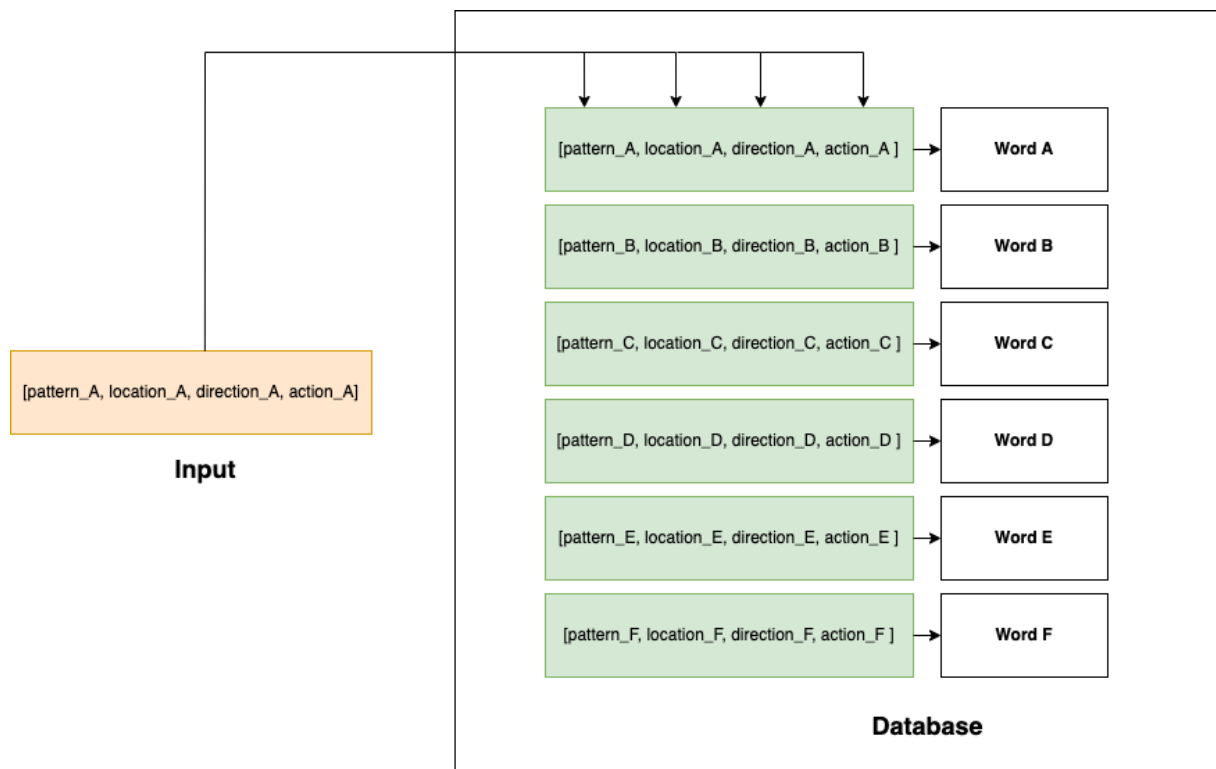


Figure 25: Map one to one data from four component with word in database and get result

---

## Introduction to handstate

Right after the deprecation of the action detection module, the question that comes up is how we can find the correct word without that module. Therefore, we propose a different model for a word that is not decoded into four factors like the previous model. It only contains three elements left: pattern, direction, and location. Consequently, each set of those three elements is called a hand state, and a word is decoded into many different hand states.

This concept of hand state comes from the research of natural language processing, in which a word is composed of many characters. Accordingly, a word is concatenated from many hand states in this thesis. Then, we will get the desired word when going through the processing steps that we will discuss later in this proposal.

FIXME: Insert image from ppt about hand state

## Using beam search and CTC decode to map word

Sau khi chúng ta đã nắm được khái niệm về hand state, chúng ta sẽ đi đến phần quan trọng nhất của mô hình: chuyển đổi các hand state đã nhận được thành từ vựng.

FIXME: Insert image about : mô hình như thế nào (lấy từ ppt)

Fig ... là mô hình mà nhóm tác giả đề xuất cho phần này. Input đầu vào sẽ là một hàng đợi các hand state được lấy từ 3 component trước đó. Ở đây nhóm tác giả quy ước chiều dài của hàng đợi là 5, tuy nhiên cần có sự tính toán và thử nghiệm để tìm được độ dài hàng đợi phù hợp. Mô hình này gồm ... bước: + Vectorization: Đây là bước chuyển đổi từ một hàng đợi gồm nhiều hand state thành một ma trận làm input đầu vào cho beam search + Beam search : Ở bước này, chúng ta sẽ thực hiện giải thuật beam search để chọn ra từ cơ sở dữ liệu các hand state nào là phù hợp với input đưa vào. Bên cạnh đó, ở bước này, nhóm tác giả đề xuất sử dụng mô hình CTC decode để có thể loại bỏ những hand state bị sai hoặc những hand state bị trùng lặp trước đó, tăng tính hiệu quả cho mô hình. + Map to dictionary: Và cuối cùng, sau khi qua 2 bước trên, từ hàng đợi ban đầu, ta sẽ thu được các hand state có khả năng nhất. Việc cần làm của chúng ta là map các hand state này vào cơ sở dữ liệu và tìm ra từ vựng phù hợp.

## Vectorization

TODO: Why we need punish TODO: how to perform -> Trình bày cách đánh giá như thế nào, cách trừ điểm và các phương châm đánh giá TODO: Sau khi punish dùng hàm softmax để chuyển các giá trị về dạng xác suất

---

Khi đến bước này, những gì chúng ta nhận được sẽ là: một hàng đợi của các hand state. Do trước khi vào module beam search, ta cần một matrix biểu diễn sự tương quan giữa các output nhận được từ các component và các dữ liệu trong database FIXME: Insert image about hand state queue Từ hàng đợi này, ta sẽ lấy lần lượt từng hand state, so sánh nó với cơ sở dữ liệu về hand state có sẵn và đánh giá điểm số cho nó dựa trên các nguyên tắc sau: + Các hand state được lấy ra từ hàng đợi nếu có điểm tương đồng càng lớn với một hand state trong cơ sở dữ liệu thì sẽ được đánh giá điểm số càng cao. Ví dụ trong cơ sở dữ liệu chúng ta có một hand state như sau : [p 1, l 1, d 1] và hand state ta nhận được từ input là [p 1, l 1, d 1] thì hand state này sẽ được đánh giá điểm số cao hơn hand state [p 1, l 1, d 2]. Và cứ như thế, ta sẽ lần lượt cho điểm các hand state lấy ra từ hàng đợi + Khi đánh giá các hand state, đối với trường hợp so trùng 2 pattern. Do các pattern này được nhận diện từ module hand pattern recognition (vision approach), do đó, sẽ có khả năng bị nhận diện bị sai, hoặc bị nhầm. Vì lẽ đó, để có thể đánh giá một cách chính xác và công bằng nhất có thể thì ở đây, đối với những pattern hay bị nhận diện sai, ta sẽ trừ điểm thấp và ngược lại , với những pattern đơn giản mà hệ thống lại nhận diện sai thì sẽ bị trừ điểm nhiều hơn

Sau khi hoàn thành bước đánh giá và cho điểm như trên, ta sẽ dùng một hàm để normalize lại dữ liệu (ở đây nhóm tác giả sử dụng softmax function) và trả về cho chúng ta một bộ xác suất của các hand state trong hàng đợi. Ta sẽ sử dụng bộ xác suất này làm input đầu vào cho bước beam search.

### Using beamsearch with CTC decode

TODO: Trình bày cách sử dụng beamsearch để tìm các cặp bộ 3 TODO: Image beamsearch (get from ppt) TODO: Example TODO: Áp dụng CTC để handle một số trường hợp TODO: Các khó khăn gặp phải và hướng giải quyết

Sau khi qua bước vectorization, các hand state trong hàng đợi của chúng ta đã được chuyển đổi thành một ma trận  $M \times N$  với  $M$  là độ dài của cơ sở dữ liệu về các hand state và  $N$  là độ dài của hàng đợi.

Bằng việc sử dụng beam search, từ sẽ thu được  $k$  hand state có khả năng nhất từ cơ sở dữ liệu. Từ hình ảnh bên dưới, ta có thể hình dung được những gì đã diễn ra sau trong quá trình thực hiện beam search FIXME: Insert image from ppt about matrix with beam search

Tuy nhiên, có thể thấy được rằng, sau khi thực hiện xong bước beamsearch, ta sẽ thu được một dãy các hand state có độ dài tương ứng với độ dài của hàng đợi , các hand state này có thể bao gồm những hand state bị trùng nhau, hoặc cũng có thể là những hand state bị sai. Do đó, ta cần áp dụng thêm CTC decode để loại bỏ các hand state bị trùng này. Đối với những hand state bị nhận sai từ những module trước thì ở bước Vectorization, ta sẽ đặt một threshold để loại bỏ những hand state này và xem như hand state đó là một ký tự rỗng (" ") và cuối cùng, sau khi áp

---

dùng CTC decode vào, ta sẽ thu được kết quả mong muốn

FIXME: Insert image about the result (get from ppt)

### **Map to dictionary**

TODO: Cách map như thế nào Sau khi nhận được một tập các hand state có khả năng nhất, việc còn lại là chúng ta sẽ map vào cơ sở dữ liệu, như cách mà chúng ta đã làm trong mục ..., nhưng thay vì map với bộ 4 thành phần thì ở đây, ta sẽ map với input các hand state thu được từ bước ... . Và như thế, ta sẽ thu được từ vựng mà không cần phải dùng tới module action detection.

### **4.2.6 Text to speech**

Besides displaying the translated sign language in text form, we included a text-to-speech module to know the result without looking into the screen. This module makes use of a free API provided by Google, named Text-to-Speech [4]. It converts arbitrary strings, words, and sentences into the sound of a person speaking the same things.



# **Chapter 5**

## **Upcoming plan**

Lorem ipsum dolor sit amet, consectetur

# Chapter 6

## Proposed Thesis Chapters

**Chapter 1. Overview.** In the chapter, we will present the reason for choosing this topic, **Using machine learning methods to translate sign language into Vietnamese**; Introducing the related works, raising the challenges when doing the research and building the system; then presenting the aim, scope, and structure of the thesis.

**Chapter 2. Related works.** This chapter contains the associated works that we have referenced when doing the thesis and how they help us build the system.

**Chapter 3. Theoretical background.** This chapter show all the foundation knowledge that are applied in the thesis, and their assistance in completing the thesis.

**Chapter 4. Proposed system.** We will analyze the main problem and break it down into pieces to develop the solution for the sub-problems; We also present the design of the system structure, the application, and how they are connected.

**Chapter 5. Implementation.** We will present the steps to build the system, including setting up the environment, programming the server, application, the physical module, and connecting them so that they can work precisely.

**Chapter 6. Result and evaluation.** This chapter contains how to use the system, convey the outcome when using it; And evaluate the accuracy rate to ensure it is ready to be used.

**Chapter 7. Summary.** In the last chapter, we will summarize the whole system, its implementation, result and evaluate them to emphasize the good and bad of the system; Moreover, we will suggest the plan to develop this system in the future.

# Chapter 7

## Summary

This thesis applies image processing and artificial intelligence, whose purposes are to research and build a system that can translate sign language into Vietnamese using only a camera module and a smartphone.

So far, a sign language translating system has been a massive challenge to many scientists and engineers because of the complexity of sign language and the diversity of the way people use it around the world. Moreover, when we researched and built the system, there were a few similar systems, but they only translated the sign language alphabet.

In addition, talking about human values, this system can resolve the lack of sign-language translators in Vietnam. It, indeed, means that people having disabilities will have the chance to live, work and communicate like those who do not. They can have a better education as the teacher can understand their thoughts and connect more efficiently. They can have better health as the health force has the chance to know more about how they are, what they feel, which means we can provide them a better treatment for their problem. Their life will be easier as the surrounding people can get them and talk to them more clearly.

The deaf and mute are also a part of this world, a part of us, not apart from us. Therefore, we firmly believe the deaf and mute deserve to have the chance to speak up, be heard, be seen, and be acknowledged. With this application, we people can know each other and communicate fluently regardless of our level of knowledge of sign language. Ultimately, our bonds will grow more vital and more profound, which will lead to a better world for the entire human race.

Those human values emphasize the importance of this project in our world. Besides, the promising solution we presented throughout this proposal means it is possible to translate sign language with the current technologies. In the upcoming time, we have more resources to dedicate our time to completing our algorithm, which results in the higher accuracy of the translation process and completing the thesis thoroughly.