



*DEPARTMENT OF COMPUTER SCIENCE*  
**ISLAMIC UNIVERSITY OF SCIENCE AND TECHNOLOGY,  
AWANTIPORA PULWAMA**



*Presented By:*

*Shahid-Ul-Islam (MCA-23-07)*

*Sabreena Jan (MCA-23-20)*

*Supervisor:*

*Dr. Syed Tanzeel Rabani*

**TRANSFER LEARNING FOR  
RESPIRATORY DISEASE  
CLASSIFICATION IN CHEST  
X-RAYS**

# AGENDA

01 Introduction

02 Problem Statement

03 Objectives

04 Dataset Details

05 Class Imbalance Handling , Data Preprocessing  
and Augmentation

06 Model Architecture (VGG16 & ViT)

07 Training Pipeline

08 Evaluation Metrics

09 Comparison VGG16 vs ViT

10 Predictions

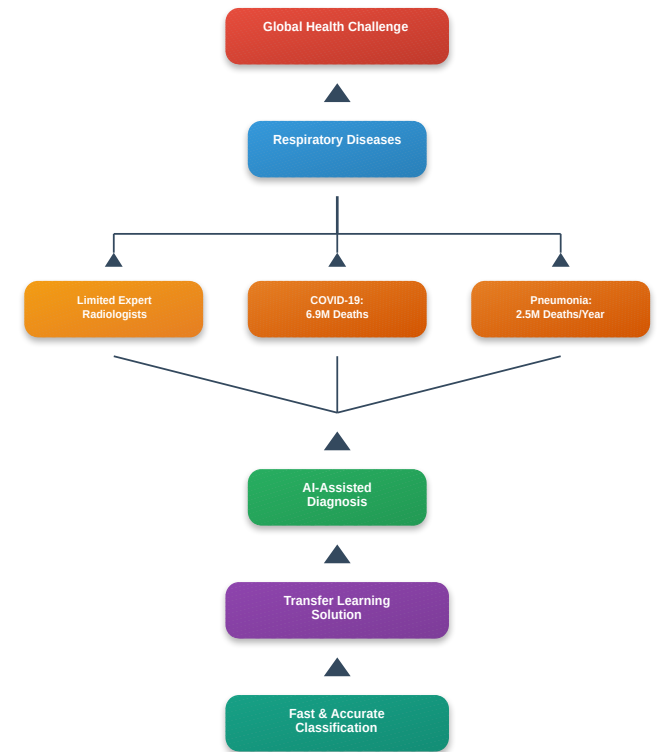
11 Significance & Importance

12 Conclusion

13 References

# INTRODUCTION

- Respiratory diseases like pneumonia, and COVID-19 are major global health concerns.
- Chest X-rays are the most widely used imaging technique for diagnosis.
- Manual interpretation is slow, subjective, and limited by the shortage of expert radiologists.
- Deep learning models offer high diagnostic accuracy but need large labeled datasets.
- Transfer learning enables effective training on limited medical data by leveraging pretrained models.
- This project applies transfer learning to classify respiratory diseases from chest X-ray images efficiently.



Key Impact: Early detection can reduce mortality by 40-60% (WHO, 2023; CDC, 2023; Houck et al., The Lancet, 2004).



# PROBLEM STATEMENT

A CLOSER LOOK AT THE PROBLEM DRIVING THE RESEARCH



## IMPROVE CHEST X-ray QUALITY & STANDARDIZATION

Address data limitations, class imbalance, and visual similarity through preprocessing, augmentation, and class imbalance handling.

01



## DEVELOP & COMPARE DEEP LEARNING MODELS

Build robust classifiers using transfer learning (VGG16, ViT B/16) and evaluate against models trained from scratch.

02



## ENSURE CLINICAL GRADE PERFORMANCE

Rigorously evaluate models using relevant metrics, while optimizing for real-world constraints like inference time and generalizability.

03

# OBJECTIVES

01

## Preprocess the Dataset

- Apply image normalization and standardization
- Enhance image quality
- Use data augmentation to improve generalization

02

## Develop Deep Learning Models

- Implement classification models using transfer learning (VGG16 & ViT B/16)
- Fine-tune pretrained architectures for 4-class chest X-ray classification

03

## Evaluate Model Performance

- Use classification metrics: accuracy, F1-score, ROC, sensitivity, specificity
- Measure computational efficiency: model size, inference time

04

## Compare Transfer Learning vs. Training from Scratch

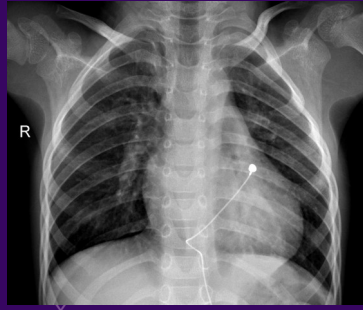
- Train equivalent models without pretrained weights
- Assess performance under different dataset conditions and architectures



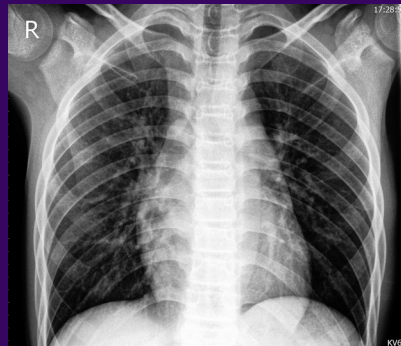
# DATASET DETAILS



**VIRAL PNEUMONIA**



**BACTERIAL PNEUMONIA**



**NORMAL**



**COVID-19**

## DATASET OVERVIEW



- Source: Kaggle Pneumonia & COVID-19 Chest X-ray Dataset
- Total Images: 6,600 chest X-ray images
- Image Format: JPEG, PNG (256×256 to 1024×1024)
- Color: Grayscale medical imaging

## DATA QUALITY CHALLENGES



- Varied image quality from different hospitals
- Inconsistent positioning and exposure levels
- Label noise in some COVID-19 samples
- Equipment differences across institutions

## CLASS DISTRIBUTION

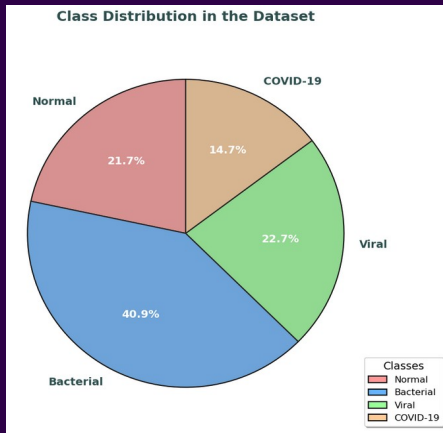


- COVID19 : 980
- BACTERIAL : 2727
- VIRAL : 1512
- NORMAL : 1443

Normal: 21.66% | Bacterial: 40.93%  
Viral: 22.69% | COVID-19: 14.71%

# CLASS IMBALANCE HANDLING

## IMBALANCE STATISTICS



```
class_counts = {  
    'Normal': 1443,  
    'Bacterial': 2727,  
    'Viral': 1512,  
    'COVID-19': 980  
}  
imbalance_severity =  
    max(class_counts.values()) /  
    min(class_counts.values())  
# Result: 8.28 (High imbalance)
```

## WHY WEIGHTED CROSS-ENTROPY LOSS

- Penalizes misclassification of minority classes more heavily
- Mathematically sound approach for imbalanced datasets
- Preserves original data distribution
- Computationally efficient compared to sampling methods

## WEIGHT CALCULATION METHOD

### Inverse Frequency Weighting

- Calculate Total Samples and Classes
- Compute Class Weights
- Assign Higher Weights to Minority Classes

## LOSS FUNCTION IMPLEMENTATION

```
criterion =  
nn.CrossEntropyLoss(  
    weight=torch.tensor([1.68,  
    0.61, 0.98, 5.02])  
)
```

## ALTERNATE METHODS CONSIDERED

- SMOTE: Risk of overfitting in medical images
- Oversampling: Increases training time significantly
- Focal Loss: Complex hyperparameter tuning
- **Weighted CE: Simple, effective, interpretable**

# DATA PREPROCESSING & AUGMENTATION

## PREPROCESSING PIPELINE

Smart Preprocessing for Deep Vision: Optimized for CNNs and Transformers.

### 01

#### Common Steps

- Load image in grayscale
- Apply CLAHE (Contrast Limited Adaptive Histogram Equalization) to enhance local contrast
- Normalize pixel values to range  $[0, 1]$  by dividing by 255
- Convert grayscale to RGB
- Convert NumPy array to PIL Image for compatibility with PyTorch transform

### 02

#### VGG16-Specific Transforms

- Resize:  $224 \times 224$  (ImageNet standard)
- Normalization: ImageNet mean/std
- Data type: Float32

### 03

#### ViT B/16 -Specific Transforms

- Resize:  $384 \times 384$  (ViT-Base optimal)
- Center crop:  $224 \times 224$  patches
- Patch tokenization:  $16 \times 16$  patches



# DATA PREPROCESSING & AUGMENTATION

## AUGMENTATION STRATEGIES

Optimizing Training Inputs: Augmentations Aligned with Architecture.

### 01

#### VGG16 AUGMENTATION

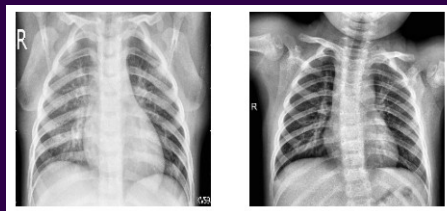
- Random Rotation
- Random Horizontal Flip
- Random Resized Crop
- Color Jitter (Brightness & Contrast)
- Random Affine (Rotation + Translation)



### 02

#### ViT B/16 AUGMENTATION

- Resize
- Center Crop
- Color Jitter (Hue & Saturation)
- Random Erasing



#### REASON FOR DIFFERENT PIPELINES

**VGG16:** Uses aggressive augmentation (rotation, flips, color jitter, affine transforms) to encourage robust feature learning and improve generalization on limited data.

**ViT B/16:** Applies minimal augmentation (resize, center crop, slight color jitter, random erasing) to preserve spatial and structural relationships critical for Vision Transformers, which rely on patch-wise image understanding.

**Medical Imaging (Chest X-rays):** Employs conservative preprocessing and augmentation to maintain diagnostic features without distorting subtle medical details essential for accurate classification.

# MODEL ARCHITECTURE - VGG16

## Architecture Overview

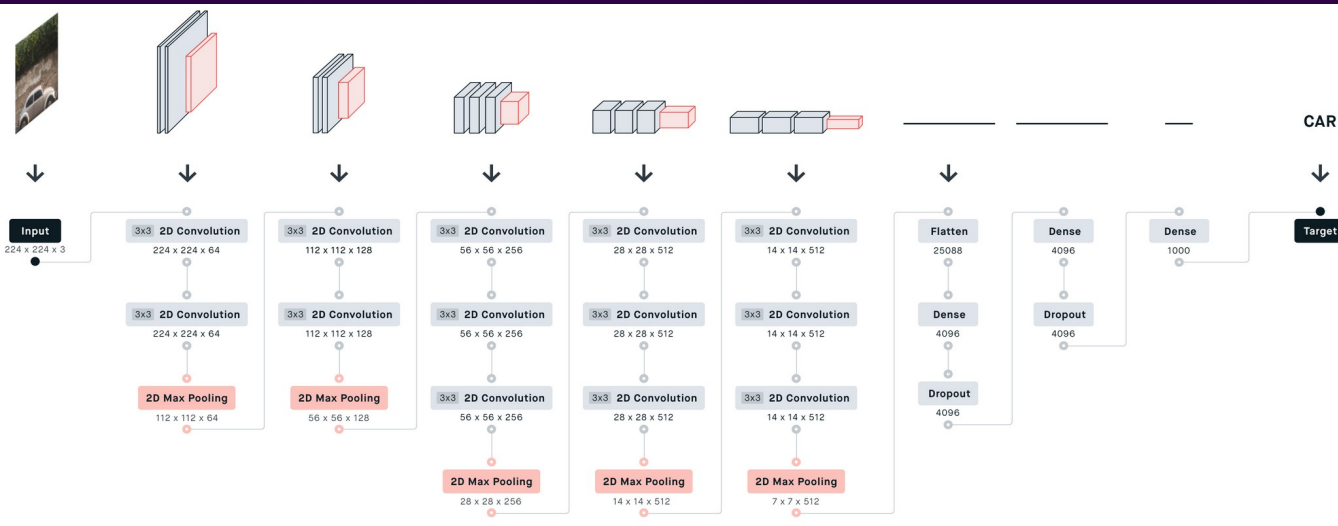
- 16 layers deep convolutional network
- Pretrained on ImageNet (1.2M images)
- Transfer learning via classifier replacement

## Implementation Details

- Uses pretrained VGG16 backbone (ImageNet weights)
- Freezes convolutional layers to avoid retraining feature extractor
- Replaces original classifier with custom 3-layer fully connected head
- Includes ReLU activations and Dropout for regularization
- Outputs logits for 4 classes (COVID-19, viral pneumonia, bacterial pneumonia, normal)

## Transfer Learning Strategy

- Phase 1: Freeze backbone, train classifier head
- Phase 2: Unfreeze last conv block.
- Phase 3: Fine-tune entire network.



# MODEL ARCHITECTURE – ViT B/16

## Architecture Overview

- Attention-based image processing
- Pretrained on ImageNet-21k (14M images)
- 12 transformer blocks, 768 hidden dimensions

## Implementation Details

- Uses pretrained ViT-Base (patch16\_224) model from timm
- Removes original classification head (num\_classes=0) to extract features
- Adds custom classification head:
- LayerNorm → Dropout → Linear (768 → 256) → GELU → Dropout → Linear (256 → num\_classes)
- Forward pass: extracts features via ViT backbone, then classifies with custom head
- Designed for 4-class classification (COVID-19, viral pneumonia, bacterial pneumonia, normal)

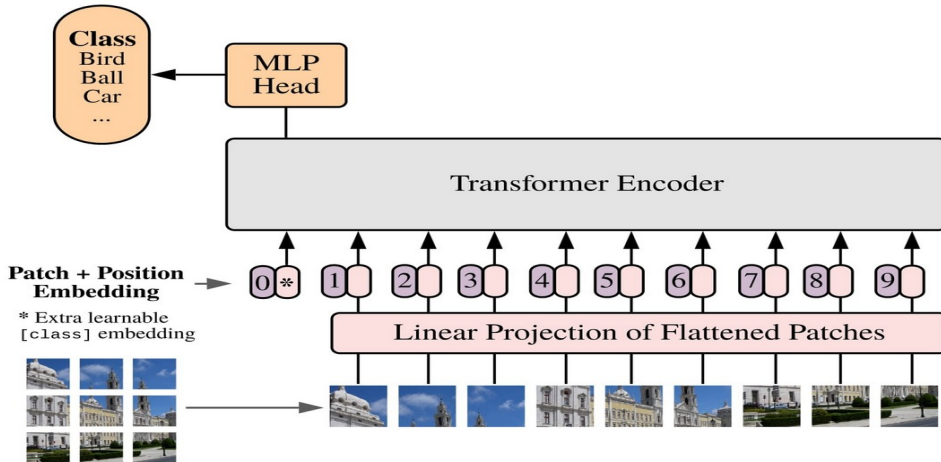
## Patch Embedding

- 16×16 patches → 768-dim embeddings
- Learnable positional encoding
- Class token for classification

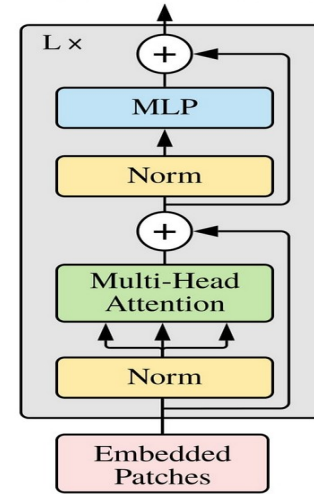
## Self Attention Mechanism

- Each token looks at all other tokens in the input to decide what to focus on.
- It learns contextual relationships by weighing the importance of other tokens dynamically.

Vision Transformer (ViT)

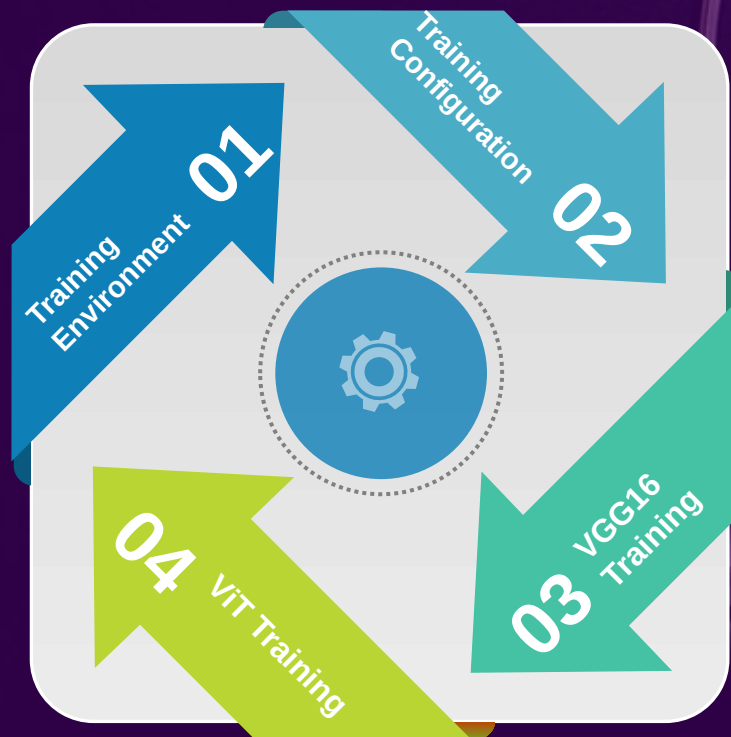


Transformer Encoder



# TRAINING PIPELINE

- Platform: Kaggle
- GPU: NVIDIA Tesla P100 (16GB VRAM)
- RAM: 25GB system memory
- Storage: 100GB persistent disk



Parameter	VGG16	ViT-Base
Batch Size	32	16
Initial LR	1e-3	5e-4
Epochs	15	15
Dropout	0.5	0.1
Weight Decay	1e-4	1e-2

## Optimizer:

- Used AdamW (preferred for transformers)
- Learning rate:  $5e-4$
- Weight decay: 0.01 (to prevent overfitting)

## Learning Rate Scheduler:

- Used ReduceLROnPlateau to reduce LR on validation loss plateau
- Reduces LR by a factor of 0.5
- Waits for 3 epochs (patience)
- Minimum LR capped at  $1e-6$

## Optimizer:

- Used Adam optimizer
- Learning rate:  $1e-3$
- Weight decay:  $1e-4$  (for regularization)
- $\beta$  values: (0.9, 0.999) for momentum

## Learning Rate Scheduler:

- Used ReduceLROnPlateau to reduce LR on validation loss plateau
- Reduces LR by a factor of 0.5
- Waits for 6 epochs (patience)
- Minimum LR capped at  $1e-6$



# EVALUATION METRICS

## Primary Metrics

### ACCURACY

- $\text{accuracy} = (\text{TP} + \text{TN}) / (\text{TP} + \text{TN} + \text{FP} + \text{FN})$
- Overall performance indicator
- Target:  $\geq 90\%$  for clinical acceptance

### F1 SCORE (Macro Avg.)

- $\text{f1\_macro} = \text{mean}([\text{f1\_class\_i} \text{ for all classes}])$
- $\text{precision} = \text{TP} / (\text{TP} + \text{FP})$
- $\text{recall} = \text{TP} / (\text{TP} + \text{FN})$
- $\text{f1} = 2 * (\text{precision} * \text{recall}) / (\text{precision} + \text{recall})$
- Balanced measure considering precision & recall
- Critical for imbalanced datasets

### AREA UNDER CURVE

- Threshold-independent metric
- Multiclass: One-vs-Rest approach
- Clinical significance: Ranking capability

## Clinical Metrics

### SENSITIVITY (Recall)

- $\text{sensitivity} = \text{TP} / (\text{TP} + \text{FN})$
- Critical for medical diagnosis
- COVID-19 target:  $\geq 95\%$  (minimize false negatives)

### SPECIFICITY

- $\text{specificity} = \text{TN} / (\text{TN} + \text{FP})$
- Minimize false positives
- Prevents unnecessary treatments

### INFERENCE SPEED

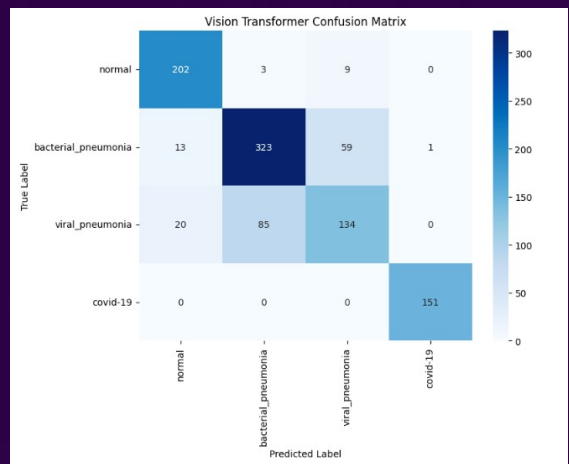
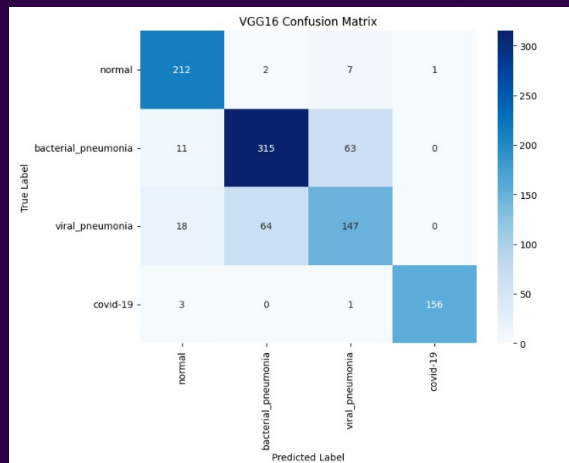
- Target:  $< 100\text{ms}$  per image
- Measured on: CPU (Intel i7) and GPU
- Batch inference: 16, 32 images
- Clinical requirement: Real-time processing

### MODEL EFFICIENCY

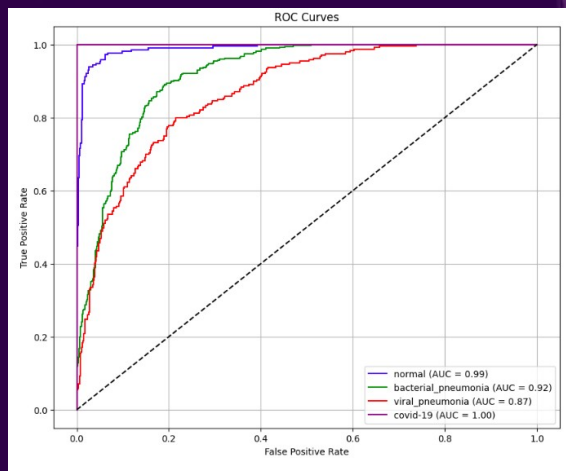
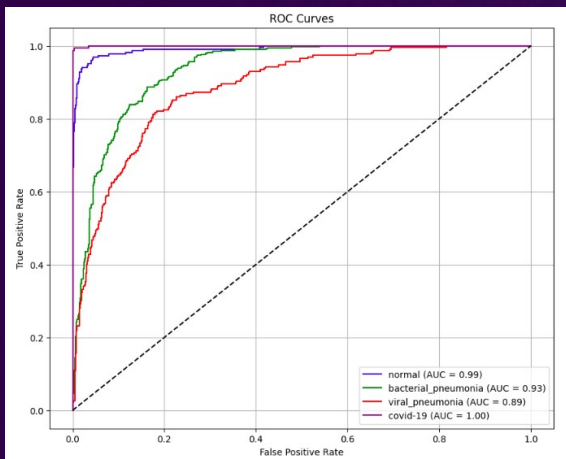
- Parameters: VGG16 (138M), ViT (86M)
- Memory usage: Peak GPU memory during inference
- Model size: Compressed model for deployment

# COMPARISON : VGG16 vs ViT

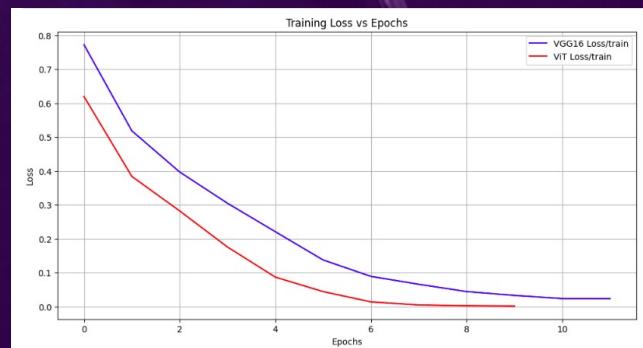
## Confusion Matrix



## ROC Curves



## Training Loss / Epoch

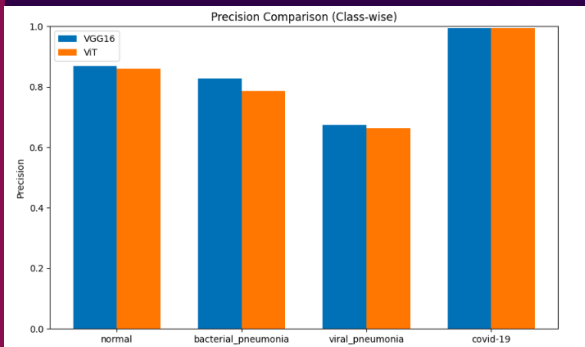


## Training Accuracy / Epoch

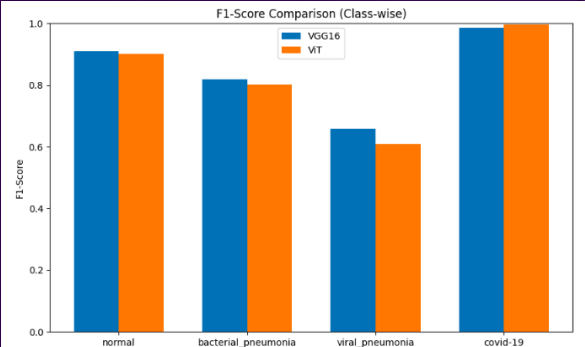


# COMPARISON : VGG16 vs ViT

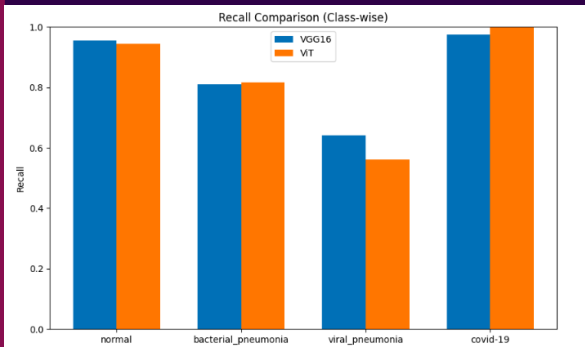
## Precision



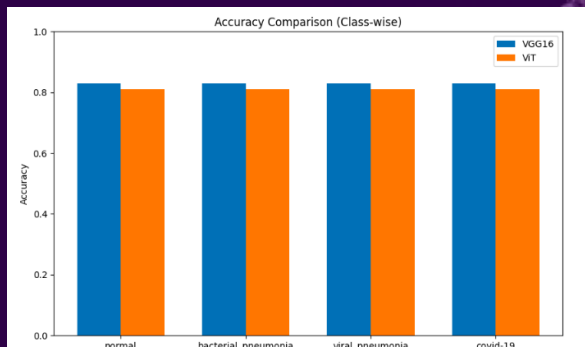
## F1 Score



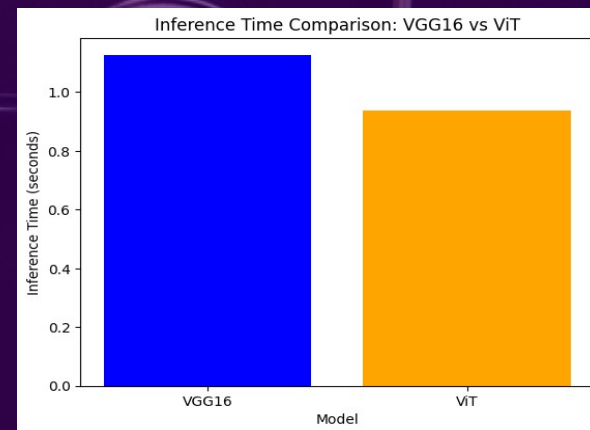
## Recall



## Accuracy

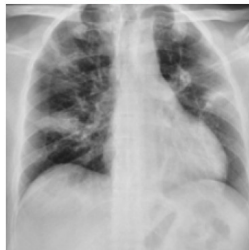


## Inference Time



# PREDICTIONS

True: covid-19  
Pred: covid-19



True: normal  
Pred: normal



True: bacterial\_pneumonia  
Pred: bacterial\_pneumonia



True: normal  
Pred: normal



True: viral\_pneumonia  
Pred: normal



True: bacterial\_pneumonia  
Pred: bacterial\_pneumonia



True: covid-19  
Pred: covid-19



True: viral\_pneumonia  
Pred: viral\_pneumonia



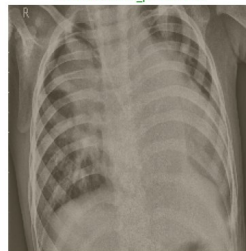
## VGG16 Predictions

## ViT Predictions

True: viral\_pneumonia  
Pred: viral\_pneumonia



True: bacterial\_pneumonia  
Pred: bacterial\_pneumonia



True: bacterial\_pneumonia  
Pred: bacterial\_pneumonia



True: normal  
Pred: normal



True: normal  
Pred: normal



True: covid-19  
Pred: covid-19



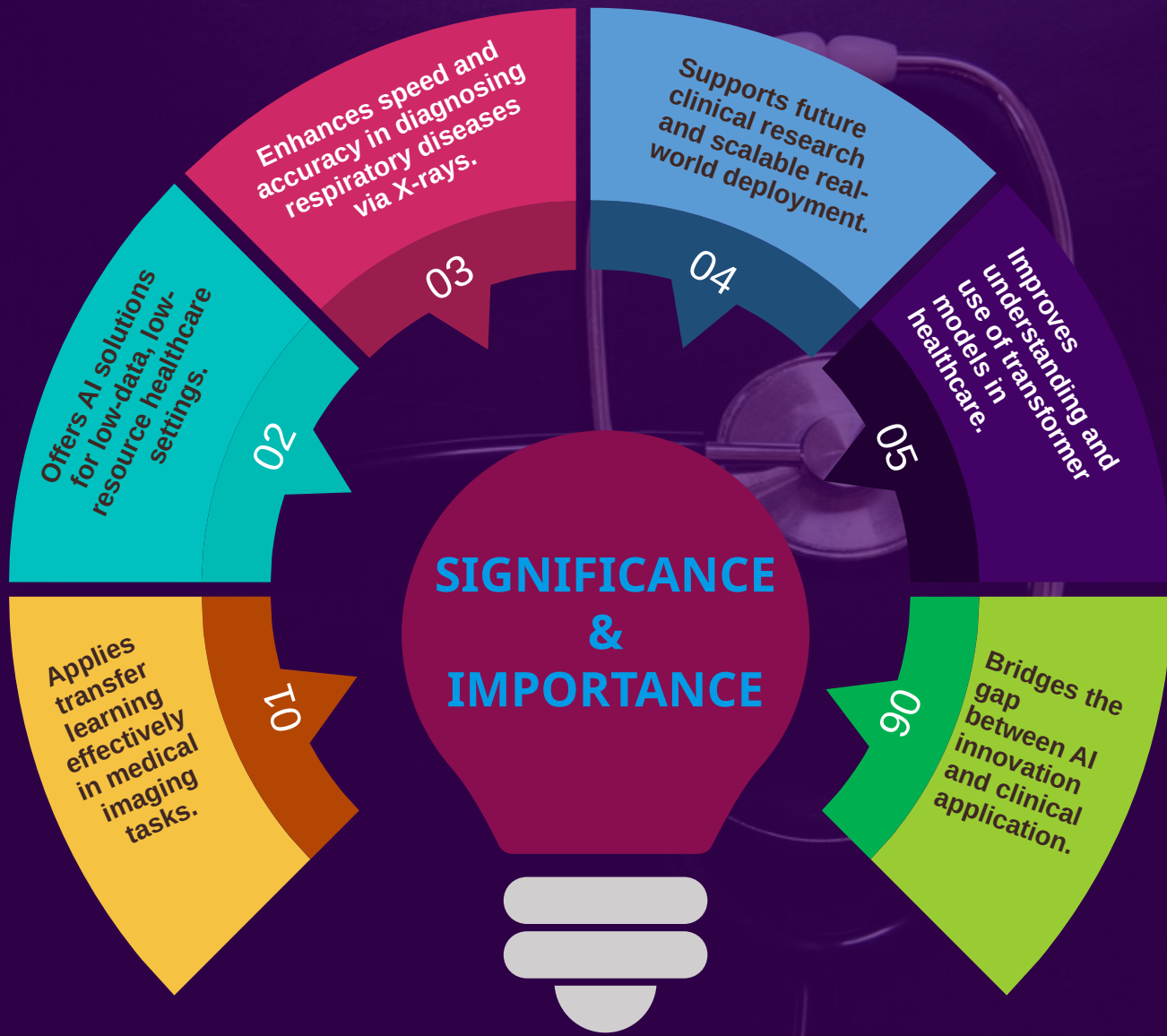
True: normal  
Pred: normal



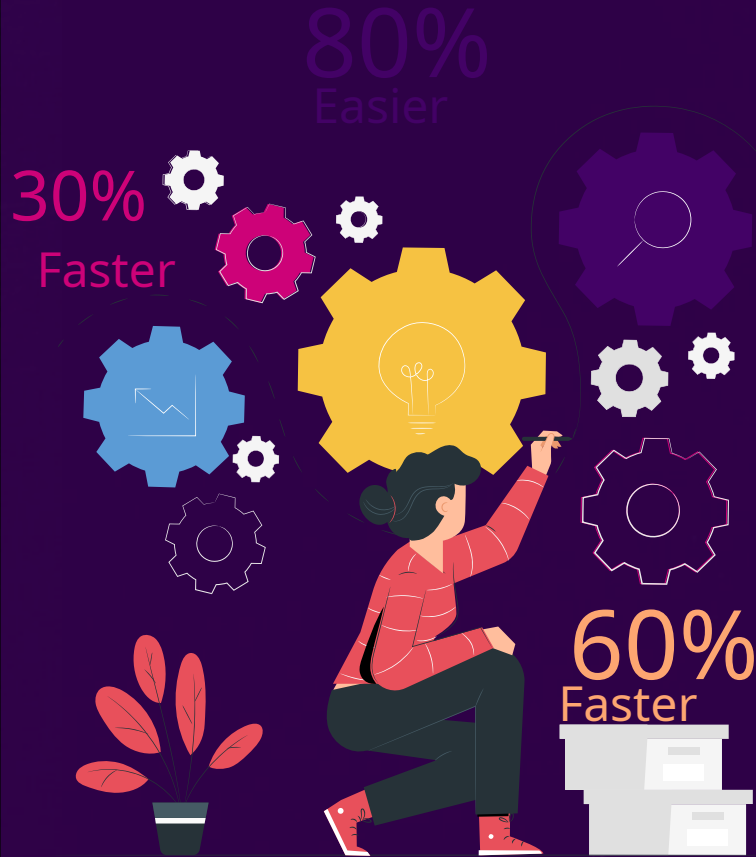
True: bacterial\_pneumonia  
Pred: bacterial\_pneumonia







# CONCLUSION



01

Transfer learning shows strong potential for medical image classification, even with limited data.

03

Both VGG16 and ViT exhibit promise, with trade-offs in complexity and resource demands.

05

This project lays groundwork for practical, efficient AI tools in healthcare diagnostics.

02


Initial results suggest reduced training time and improved baseline accuracy.

04

Ongoing work aims to further optimize accuracy and model performance.



# REFERENCES

- [1] Kim H.E. et al. (2022). \*Transfer Learning for Medical Image Classification: A Literature Review\*. BMC Medical Imaging.
- [2] Salehi M. et al. (2021). \*Automated Detection of Pneumonia using Deep Transfer Learning with Pediatric Chest X-rays\*. British J. Radiology.
- [3] Irvin J. et al. (2019). \*CheXpert: A Large Chest Radiograph Dataset with Uncertainty Labels\*. arXiv:1901.07031.
- [4] GiBi13. \*Pneumonia & COVID-19 Image Dataset\*. Kaggle.  
 <https://www.kaggle.com/datasets/gibi13/pneumonia-covid19-image-dataset>
- [5] Raghu M. et al. (2019). \*Transfusion: Understanding Transfer Learning for Medical Imaging\*. NeurIPS.
- [6] Tajbakhsh N. et al. (2016). \*CNNs for Medical Image Analysis: Full Training or Fine Tuning?\* IEEE Transactions on Medical Imaging.
- [7] Dosovitskiy A. et al. (2021). \*An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale\*. ICLR.
- [8] Khan S.H. et al. (2022). \*Transformers in Vision: A Survey\*. ACM Computing Surveys.
- [9] Wang X. et al. (2017). \*ChestX-ray8: Hospital-scale Chest X-ray Database and Benchmarks\*. CVPR.



# THANKS!

**SHHAHID-UL-ISLAM**  
**shahid9664@gmail.com**  
**+917780858125**

**<https://Khanz9664.github.io/portfolio>**

**<https://github.com/Khanz9664>**

**<https://linkedin.com/in/shahid-ul-islam-13650998>**