

GloVe: Global Vectors for Word Representation

Jeffrey Pennington, Richard Socher, Christopher D. Manning

Presenters:

Sohan Patnaik and Yatindra Indoria

Reading Session XII
Kharagpur Data Analytics Group
IIT Kharagpur

September 19, 2021

Important Notes

- We expect you all to have certain queries regarding the presentation, certain intricate doubts maybe... **Please put them in the chat of this meeting (if you feel shy) else unmute and speak.**
- Finally, a **Google Form** [\[Link\]](#) will be released for feedback but most importantly, we ask you to put up name of any AI-related paper to be presented in the upcoming sessions!

Link to GitHub Repo : [Click Here](#)

Link to join Slack Workspace : [Click Here](#)

Link to KDAG YouTube Channel : [Click Here](#)

Link to doc on good AI Blogs/Resources/Topics : [Click Here](#)

Outline

- Introduction
- The GloVe Model
 - Notations
 - Complexity of the model
- Experiments
 - Evaluation Methods
 - Corpora and Training Details
 - Results
 - Model Analysis
- Conclusion

Introduction

- 1) Previous models succeeded in capturing fine-grained semantic and syntactic regularities, but the origin had remained opaque.
- 2) LSA efficiently leverage statistical information, while perform poorly on the word analogy task, indicating a sub-optimal vector space structure.
- 3) Skip-gram does better on the analogy task, but it poorly utilizes the statistics of the corpus.
- 4) **GloVe Model:** A log bilinear model that combines global matrix factorization and local context window methods.

The GloVe Model: Notations

- X - Matrix of word-word co-occurrence where X_{ij} is the number of times word j occurs in the context of word i .
- X_i - Number of times any word appears in the context of word i .
- $P_{ij} = P(j | i) = X_{ij} / X_i$ - Probability that word j appear in context of i

Probability and Ratio	$k = solid$	$k = gas$	$k = water$	$k = fashion$
$P(k ice)$	1.9×10^{-4}	6.6×10^{-5}	3.0×10^{-3}	1.7×10^{-5}
$P(k steam)$	2.2×10^{-5}	7.8×10^{-4}	2.2×10^{-3}	1.8×10^{-5}
$P(k ice)/P(k steam)$	8.9	8.5×10^{-2}	1.36	0.96

- The appropriate starting point for word vector learning should be with ratios of co-occurrence probabilities rather than the probabilities themselves.

The GloVe Model: Model Description

$$F(w_i, w_j, \tilde{w}_k) = \frac{P_{ik}}{P_{jk}}$$

- w_i, w_j are the d -dimensional word vectors and \tilde{w} are separate context word vectors and F is some function.
- The most natural way to encode function F is to take vector differences since vector spaces are inherently linear structures.

$$F(w_i - w_j, \tilde{w}_k) = \frac{P_{ik}}{P_{jk}}$$

- The right hand side is a scalar, so intuitively taking dot product makes sense!

$$F((w_i - w_j)^T \tilde{w}_k) = \frac{P_{ik}}{P_{jk}}$$

The GloVe Model: Model Description

- For word-word co-occurrence matrices, the distinction between a word and a context word is arbitrary and we are free to exchange the two roles. We must not only exchange $w \leftrightarrow \tilde{w}$ but also $X \leftrightarrow X^T$.
- For this, F should be homomorphism between two groups $(R, +)$ and $(R_{>0}, \times)$.

$$F\left((w_i - w_j)^T \tilde{w}_k\right) = \frac{F(w_i^T \tilde{w}_k)}{F(w_j^T \tilde{w}_k)}$$

So we get

$$F(w_i^T \tilde{w}_k) = P_{ik} = \frac{X_{ik}}{X_i}$$

The GloVe Model

The solution to F from the previous equation is intuitively exponential.

$$w_i^T \tilde{w}_k = \log(P_{ik}) = \log(X_{ik}) - \log(X_i)$$

Consider $\log X_i$ as bias b_i and to keep symmetry with respect to i and k , introduce another bias b_j .

$$w_i^T \tilde{w}_k + b_i + \tilde{b}_k = \log(X_{ik})$$

A main drawback to this model is that it weighs all co-occurrences equally, even those that happen rarely or never.

The GloVe Model: Model Description

Solution to the previous problem is a weighted least squares regression.

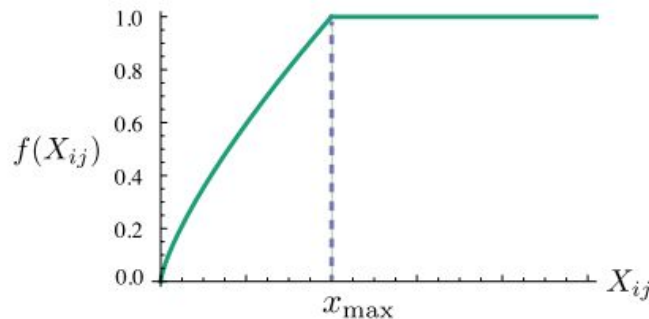
$$J = \sum_{i,j=1}^V f(X_{ij}) \left(w_i^T \tilde{w}_j + b_i + \tilde{b}_j - \log X_{ij} \right)^2$$

Some properties of $f(x)$ are

$f(0) = 0$.

$f(x)$ should be non-decreasing.

$f(x)$ should be relatively small for large values of x .



The GloVe Model: Complexity of the model

- The computational complexity of the model depends on the number of nonzero elements in the matrix X .
- This number is always less than the total number of entries of the matrix, so it scales it has quadratic complexity to the size of vocabulary.
- Assumptions to estimate count of non-zero elements in X .
- X_{ij} can be modelled using the power law.

$$X_{ij} = \frac{k}{(r_{ij})^\alpha}$$

Where, r_{ij} is the frequency rank of word pair

The GloVe Model: Complexity of the model

- The total number of words in the corpus is proportional to the sum over all elements of the co-occurrence matrix X .

$$|C| \sim \sum_{ij} X_{ij} = \sum_{r=1}^{|X|} \frac{k}{r^\alpha} = k H_{|X|, \alpha}$$

Where the last sum is written in terms of the generalized harmonic number $H_{n,m}$.

- The upper limit of the sum, is the maximum frequency rank, which coincides with the number of nonzero elements in the matrix X , equal to the maximum value of r such that $X_{ij} \geq 1$, i.e., $|X| = k^{1/\alpha}$.

$$|C| \sim |X|^\alpha H_{|X|, \alpha}$$

- Using harmonic function approximation and reimann zeta function, $|X|$ is approximated as follows:

$$|X| = \begin{cases} O(|C|) & \text{if } \alpha < 1 \\ O(|C|^{1/\alpha}) & \text{if } \alpha > 1 \end{cases}$$

Experiments: Evaluation Methods

- 1st experiment is conducted on the Mikolov word-analogy task.
- Secondly, there are word similarity tasks like WorldSim-353, MC, RG, SCWS, RW
- Model is also Evaluated on NER (Named Entity Recognition).

Model	Dim.	Size	Sem.	Syn.	Tot.
ivLBL	100	1.5B	55.9	50.1	53.2
HPCA	100	1.6B	4.2	16.4	10.8
GloVe	100	1.6B	<u>67.5</u>	<u>54.3</u>	<u>60.3</u>
SG	300	1B	61	61	61
CBOW	300	1.6B	16.1	52.6	36.1
vLBL	300	1.5B	54.2	<u>64.8</u>	60.0
ivLBL	300	1.5B	65.2	63.0	64.0
GloVe	300	1.6B	<u>80.8</u>	61.5	<u>70.3</u>
SVD	300	6B	6.3	8.1	7.3
SVD-S	300	6B	36.7	46.6	42.1
SVD-L	300	6B	56.6	63.0	60.1
CBOW [†]	300	6B	63.6	<u>67.4</u>	65.7
SG [†]	300	6B	73.0	66.0	69.1
GloVe	300	6B	<u>77.4</u>	67.0	<u>71.7</u>
CBOW	1000	6B	57.3	68.9	63.7
SG	1000	6B	66.1	65.1	65.6
SVD-L	300	42B	38.4	58.2	49.2
GloVe	300	42B	<u>81.9</u>	<u>69.3</u>	<u>75.0</u>

Word Analogy

Model	Size	WS353	MC	RG	SCWS	RW
SVD	6B	35.3	35.1	42.5	38.3	25.6
SVD-S	6B	56.5	71.5	71.0	53.6	34.7
SVD-L	6B	65.7	<u>72.7</u>	75.1	56.5	37.0
CBOW [†]	6B	57.2	65.6	68.2	57.0	32.5
SG [†]	6B	62.8	65.2	69.7	<u>58.1</u>	37.2
GloVe	6B	<u>65.8</u>	<u>72.7</u>	<u>77.8</u>	53.9	<u>38.1</u>
SVD-L	42B	74.0	76.4	74.1	58.3	39.9
GloVe	42B	<u>75.9</u>	<u>83.6</u>	<u>82.9</u>	<u>59.6</u>	<u>47.8</u>
CBOW*	100B	68.4	79.6	75.4	59.4	45.5

Word Similarity

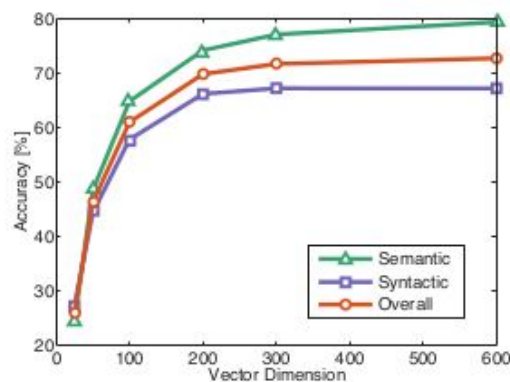
Model	Dev	Test	ACE	MUC7
Discrete	91.0	85.4	77.4	73.4
SVD	90.8	85.7	77.3	73.7
SVD-S	91.0	85.5	77.6	74.3
SVD-L	90.5	84.8	73.6	71.5
HPCA	92.6	88.7	81.7	80.7
HSMN	90.5	85.7	78.7	74.7
CW	92.2	87.4	81.7	80.2
CBOW	93.1	88.2	82.2	81.1
GloVe	93.2	88.3	82.9	82.2

Named Entity Recognition

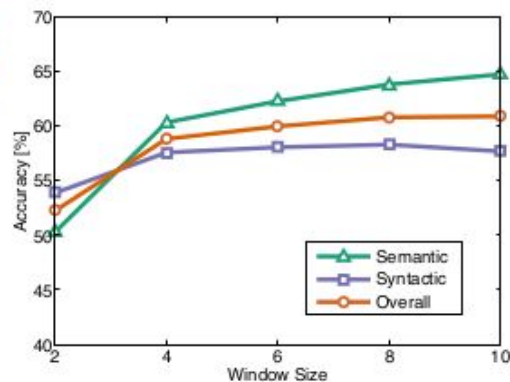
Experiments: Corpora and Training Details

- The model is trained on 5 different corpora of varying sizes.
- The corpus is tokenized using the Stanford tokenizer, to build a vocabulary of the 400,000 most frequent words.
- A weighting function is used in all cases such that words that are 'd' apart, contribute $1/d$ to the count.
- The model generates two sets of word vectors, W and \tilde{W} . When X is symmetric, W and \tilde{W} are equivalent and differ only as a result of their random initializations; the two sets of vectors should perform equivalently. Thus we use $W + \tilde{W}$ in the model.

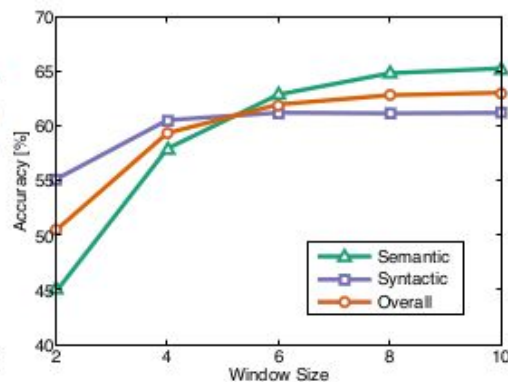
Experiments: Model Analysis



(a) Symmetric context



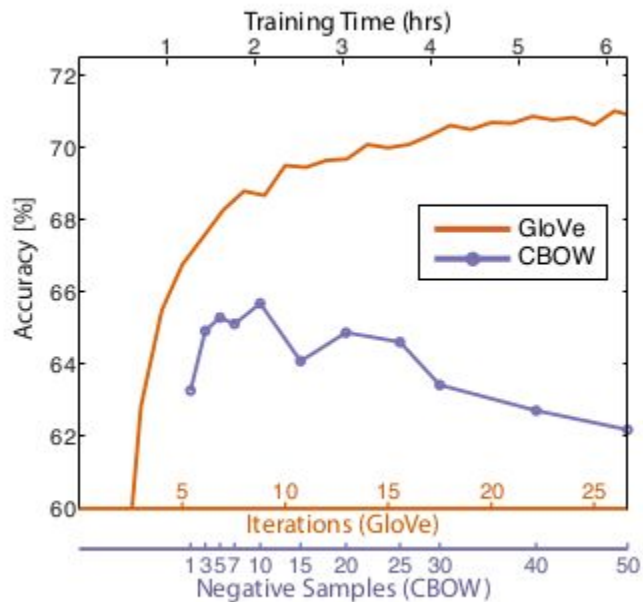
(b) Symmetric context



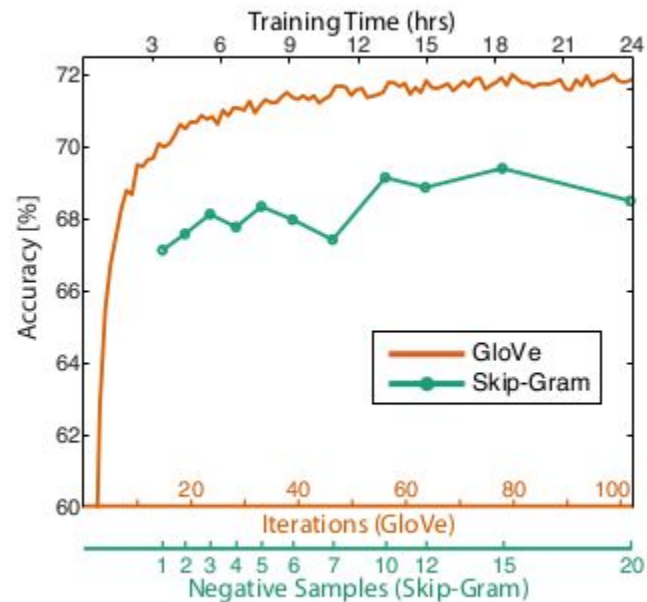
(c) Asymmetric context

Figure 2: Accuracy on the analogy task as function of vector size and window size/type. All models are trained on the 6 billion token corpus. In (a), the window size is 10. In (b) and (c), the vector size is 100.

Experiments: Model Analysis



(a) GloVe vs CBOW



(b) GloVe vs Skip-Gram

Conclusion

- The two classes of methods (contextual and statistical) are not completely different at fundamental level as they both probe the underlying co-occurrence statistics of the corpus.
- Combining the two approaches, they proposed a global log bilinear model which leverages the advantages of both the approaches.

Thank You