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# DATA STRUCTURES AND ALGORITHMS - CO2003

Assignment 2

# N-ARY HUFFMAN TREE AND THE INVENTORY DATA COMPRESSOR



## ASSIGNMENT'S SPECIFICATION

#### Version 1.1

## 1 Introduction

## 1.1 Objectives and Tasks

The second assignment for the Data Structures and Algorithms course consists of the following two parts:

- 1. **Task-1** (accounts for 60% of the total score): This part requires students to develop the following two data structures:
  - (a) **Hash Table (HashMap)**. The file to implement for this part is ./include/hash/xMap.h. Students should read the implementation guidelines for this part in Section 2.
  - (b) **Heap**. The file to implement for this part is ./include/heap/Heap.h. Students should refer to the implementation guidelines for this part in Section 3.
- 2. **Task-2** (accounts for 40% of the total score): This part requires students to use the developed data structures to implement a multi-way Huffman tree and its practical application.

## 1.2 Methodology

- 1. **Preparation: Download** and **review** the provided source code. **Note:** Students must compile the source code using C++17. The compiler has been tested with g++; it is recommended that students set up their environment to use g++.
- 2. **Development of lists:** Implement the classes **XArrayList** and **DLinkedList** in the directory /include/list. Students may reuse the implementations from Assignment 1.
- 3. **Utilization:** Use the above lists to develop the application classes **List1D**, **List2D**, and **InventoryManager**. Students may reuse the implementations from Assignment 1.
- 4. **Development of the Hash Table and Heap:** Implement the classes **xMap** and **Heap** in the directories /**include/hash** and /**include/heap**, respectively.
- 5. **Application:** Use the Hash Table and Heap structures to implement the application classes **HuffmanTree** and **InventoryCompressor**.
- 6. **Testing:** The program must pass the sample test cases provided.
- 7. **Submission:** The assignment must be submitted on the system before the deadline.



## 1.3 Expected Outcomes of Assignment-2

Upon completing this assignment, students will be able to:

- Proficiently use advanced features of the C/C++ programming languages.
- Develop data structures such as the Hash Table and Heap.
- Select and utilize the above data structures to implement the classes **HuffmanTree** and **InventoryCompressor**.

## 1.4 Grading Criteria and Method

- 1. Implementation (60% of the total score): Includes the following files:
  - /include/hash/xMap.h (40%)
  - /include/heap/Heap.h (20%)
- 2. Implementation of the Huffman Tree and InventoryCompressor classes (40% of the total score): Includes the following files in the /include/app directory:
  - inventory compressor.h

Students will be evaluated using sample test cases announced at submission time. After submission, additional hidden test cases will be used for grading.

# 2 Hash Map Structure

# 2.1 Design Principles

Similar to other data structures, the implementation of the hash map in this library consists of two classes: (a) the IMap class (see Figure 1), used to define the APIs for the hash map; and (b) the xMap class (a subclass of IMap) that contains the concrete implementation of the hash map.

- The IMap Class, see Figure 1: This class defines a set of methods (APIs) supported by the hash map.
  - IMap uses templates to parameterize the element data types; specifically, the type
    of key and the type of value. Therefore, the hash map can work with any types
    for key and value.



- All APIs in IMap are declared as pure virtual methods; that is, any class inheriting from IMap must override all of these methods. Because they are virtual, the APIs will support dynamic binding (i.e., polymorphism).
- The xMap Class: This class is inherited from IMap. It contains the concrete implementation for all the APIs defined in IMap by using a doubly linked list (DoublyLinkedList) to hold all key-value pairs that experience collisions at each index in the hash table. In other words, the hash map in this library is a table of doubly linked lists.

```
template < class K, class V>
class IMap {
3 public:
      virtual ∼IMap(){};
      virtual V put(K key, V value)=0;
      virtual V& get(K key)=0;
6
      virtual V remove(K key, void (*deleteKeyInMap)(K)=0)=0;
     virtual bool remove(K key, V value, void (*deleteKeyInMap)(K)=0, void (*
     deleteValueInMap)(V)=0)=0;
      virtual bool containsKey(K key)=0;
9
      virtual bool containsValue(V value)=0;
10
     virtual bool empty()=0;
11
     virtual int size()=0;
12
     virtual void clear() = 0;
13
     virtual string toString(string (*key2str)(K&)=0, string (*value2str)(V&)
14
     =0 )=0;
      virtual DLinkedList <K> keys() = 0;
15
      virtual DLinkedList < V > values() = 0;
16
      virtual DLinkedList < int > clashes() = 0;
17
18 };
```

Figure 1: IMap<T>: Abstract class defining APIs for the hash map.

# 2.2 Explanation of the APIs

Below is a description for each pure virtual method of IMap:

- virtual ~IMap() {};
  - Virtual destructor, ensuring that the destructors of derived classes are called when an object is deleted through a pointer to an IMap object.
- virtual V put(K key, V value) = 0;
  - Parameters:
    - \* K key the key to be added or updated.



\* V value — the value to be added or replaced.

#### - Return:

\* If the key is already present in the map, it returns the old value (currently in the map); otherwise, it returns the new value provided.

## - Purpose:

- \* To add a key-value pair to the hash map. If the key already exists, update it with the new value and return the old value.
- virtual V& get(K key) = 0;
  - **Purpose:** Returns the value mapped by the given key.
  - Parameter: K key the key for which the value is requested.
  - **Return:** A reference to the value corresponding to the key.
  - Exception: Throws the KeyNotFound exception if the key does not exist.
- virtual V remove(K key, void (\*deleteKeyInMap)(K) = 0) = 0;
  - Purpose: Removes the entry containing key from the map and returns its associated value.
  - Parameters:
    - \* K key the key to be removed.
    - \* void (\*deleteKeyInMap)(K) a function pointer (default is NULL) called to delete the key if K is a pointer.
  - **Return:** The value corresponding to the key.
  - Exception: Throws a KeyNotFound exception if the key is not found.
- virtual bool remove(K key, V value, void (\*deleteKeyInMap)(K) = 0, void (\*deleteVal
   = 0) = 0;
  - Purpose: Removes the <key, value> pair if it exists.
  - Parameters:
    - \* K key the key to be removed.
    - \* V value the value to be removed.
    - \* void (\*deleteKeyInMap)(K) function pointer to delete the key (default is NULL).
    - \* void (\*deleteValueInMap)(V) function pointer to delete the value (default is NULL).
  - Return: true if the <key, value> pair was removed, false otherwise.
- virtual bool containsKey(K key) = 0;



- **Purpose:** Checks whether the map contains the given key.
- Parameter: K key the key to check.
- Return: true if the key exists, otherwise false.
- virtual bool containsValue(V value) = 0;
  - Purpose: Checks whether the hash map contains the given value at any index.
  - Parameter: V value the value to check.
  - Return: true if the value exists, otherwise false.
- virtual bool empty() = 0;
  - **Purpose:** Checks if the map is empty.
  - Return: true if the map is empty, otherwise false.
- virtual int size() = 0;
  - **Purpose:** Returns the number of key-value pairs in the map.
  - Return: The number of elements in the map. A return of 0 indicates an empty map.
    Note: An empty map consists of an array of linked lists with capacity (default value of capacity is 10), with each linked list being empty.
- virtual void clear() = 0;
  - Purpose: Removes all key-value pairs from the hash map and resets it to an empty state. Note: An empty map is one that contains capacity (default value of capacity is 10) linked lists, with each list being empty.
- virtual string toString(string (\*key2str)(K&) = 0, string (\*value2str)(V&) = 0) = 0;
  - **Purpose:** Returns a string that represents the map.
  - Parameters:
    - \* string (\*key2str)(K&) a function pointer to convert a key to a string. If this pointer is NULL, then K must support the output operator («) to convert the key to a string.
    - \* string (\*value2str)(V&) a function pointer to convert a value to a string. If this pointer is NULL, then V must support the output operator (\*) to convert the value to a string.
  - **Return:** A string describing the hash map.
- virtual DLinkedList<K> keys() = 0;
  - Purpose: Returns a doubly linked list containing all the keys in the map.
  - Return: A DLinkedList<K> containing the keys.



- virtual DLinkedList<V> values() = 0;
  - Purpose: Returns a doubly linked list containing all the values in the map.
  - Return: A DLinkedList<V> containing the values.
- virtual DLinkedList<int> clashes() = 0;
  - Purpose: Returns a doubly linked list containing the number of collisions at each index in the map.
  - Return: A DLinkedList<int> with the collision counts.

## 2.3 Hash Map (xMap<K, V>)

xMap<K, V> is a concrete implementation of the hash map, where elements are stored as key-value pairs (K, V) in a pre-determined sized array, called the *table*. The operation of xMap<K, V> is based on using a hash function to determine the storage location of each element in the array.

To ensure efficient performance, xMap<K, V> must maintain an array large enough to hold the key-value pairs and must properly manage the load factor, that is, the ratio between the current number of elements and the size of the array. If this ratio exceeds the specified loadFactor, the hash map will automatically perform rehashing; that is, increase the array size and redistribute the elements.

In addition to the methods inherited from IMap for basic operations such as put, get, remove, containsKey, and clear, xMap<K, V> also supports additional utility methods such as rehash for expanding the hash table, and ensureLoadFactor to maintain the load factor. These methods can be found in the file xMap.h in the directory /include/hash.

#### 1. **Properties:** See Figure 2.

- int capacity: The current capacity of the hash map.
- int count: The number of elements currently in the hash map.
- DLinkedList<Entry\* >\* table: The hash map is a dynamic array of doubly linked list objects, each element of which is a **pointer** to an Entry (where Entry is the class containing the <key, value> pair). One may visualize the hash map as an array of list objects, where each list contains all the colliding pairs at that particular index.
- float loadFactor: The load factor of the hash map that indicates the level of space usage before rehashing is necessary. At any time, the number of elements in the map



```
1 template < class K, class V>
class xMap: public IMap<K,V>{
3 public:
      class Entry; //forward declaration
5 protected:
      DLinkedList<Entry* >* table; // array of DLinkedList objects
                                     // size of table
      int capacity;
                                     // number of entries stored in the hash map
      int count;
      float loadFactor;
                                    // defines the maximum number of entries to
9
      be stored (< (loadFactor * capacity))</pre>
                                  // hashCode(K key, int tableSize):
     int (*hashCode)(K&,int);
11
     tableSize means capacity
                                    // keyEqual(K& lhs, K& rhs): tests if lhs
      bool (*keyEqual)(K&,K&);
12
     == rhs
                                    // valueEqual(V& lhs, V& rhs): tests if
13
      bool (*valueEqual)(V&,V&);
     lhs == rhs
      void (*deleteKeys)(xMap<K,V>*);
                                         // deleteKeys(xMap<K,V>* pMap):
14
     deletes all keys stored in pMap
     void (*deleteValues)(xMap<K,V>*); // deleteValues(xMap<K,V>* pMap):
     deletes all values stored in pMap
      // HIDDEN CODE
17
18 public:
      // Entry: BEGIN
19
      class Entry {
20
      private:
          K key;
22
          V value;
23
          friend class xMap < K, V >;
24
      public:
26
          Entry(K key, V value) {
27
              this ->key = key;
              this->value = value;
          }
30
      };
31
      // Entry: END
32
33 };
```

Figure 2: xMap<T>: Hash map structure; declaration of member variables.

(count) must not exceed loadFactor  $\times$  capacity. For example, if capacity = 10 and loadFactor = 0.75, the maximum number of elements is  $int(0.75 \times 10) = 7$ ; when the 8th element is inserted, ensureLoadFactor must be called to maintain the load factor.

- Function pointers: These properties are initialized via the constructor. **Note:** Only hashCode must always be passed into the constructor (and hence must not be NULL); the other function pointers may be NULL depending on the needs of the user.
  - int (\*hashCode) (K&,int): This function receives a key (passed by reference)



and the hash table size (an integer) and computes the index of the key in the hash map. Note: If the table size passed into the function is m, then the return value of hashCode must lie within the range  $[0, 1, \dots, m-1]$ ; to ensure this, hashCode must use the modulo operator (%). See also the functions intKeyHash and stringKeyHash provided in the source code.

- bool (\*keyEqual)(K&,K&): In case the key data type (K) does not support the equality operator (==) for comparing two keys, the user must provide a pointer to a function that can compare two keys for equality. This function takes two keys of type K (by reference) and returns true if they are equal, and false otherwise. Note: Within the xMap class, when comparing two keys, the keyEQ method should be used.
- bool (\*valueEqual)(V&,V&): In case the value data type (V) does not support comparison using the equality operator (==), the user must supply a pointer to a function that compares two values for equality. This function takes two values of type V (by reference) and returns true if they are equal, and false otherwise. Note: Within the xMap class, when comparing two values, the valueEQ method should be used.
- void (\*deleteKeys) (xMap<K,V>\* pMap): In case K is a pointer and the user needs xMap to actively manage (release) the memory for keys, the user MUST pass a function pointer via deleteKeys. The user does not need to define a new function; it is sufficient to pass xMap<K,V>::freeKey to deleteKeys. Refer to the source code of xMap<K,V>::freeKey for details.
- void (\*deleteValues) (xMap<K,V>\* pMap): Similarly, if V is a pointer and the user requires xMap to manage (release) the memory for values, the user MUST pass a function pointer via deleteValues. The user does not need to define a new function; simply pass xMap<K,V>::freeValue as deleteValues. See the source code of xMap<K,V>::freeValue for details.

#### 2. Constructor and Destructor:

```
• xMap(
  int (*hashCode)(K&,int),
  float loadFactor,
  bool (*valueEqual)(V&,V&),
  void (*deleteValues)(xMap<K,V>*),
  bool (*keyEqual)(K&,K&),
  void (*deleteKeys)(xMap<K,V)*));</pre>
```



- Purpose: The constructor creates an empty hash map with capacity linked lists in the table. The default value of capacity is 10. This constructor also initializes the function pointer member variables with the passed-in values.
- **Parameters**: See the property descriptions above.
- xMap(const xMap<K,V>& map): This constructor copies data from another hash map object.
- ~xMap(): The destructor frees all memory and resources allocated for the hash map, including all keys, values and entries if present or if required by the user.

#### 3. Methods:

## • V put(K key, V value)

- Purpose: Inserts a key-value pair into the hash map. If key already exists, its old value is updated with value. If key does not exist, a new key-value pair is added to the hash map. The function may also automatically expand the size of the hash map when necessary (if the load factor exceeds the allowable threshold).
- Implementation Guidelines: The main points are as follows:
  - (a) Use the hashCode function to calculate the index of the key.
  - (b) Retrieve the linked list from the table at the computed index.
  - (c) Check whether the key already exists in the list.
    - \* If it does, update the existing value with the new value (remember to back up the old value to return it).
    - \* If not (i.e., the hash map does not contain key), create a new Entry for the <key, value> pair and insert it into the list. Increase the element count and call the ensureLoadFactor function to maintain the load factor.
  - (d) **Exception**: None.

#### • V get(K key)

- Purpose: Returns the value associated with the given key. If the key does not exist in the hash map, it throws the KeyNotFound exception, defined in IMap.h.
- Implementation Guidelines: The main points are as follows:
  - (a) Use the hashCode function to calculate the index of the key and retrieve the linked list at that index.
  - (b) Search for the key in the list:
    - \* If found, return the corresponding value (stored in the same Entry).



- \* If not found, throw an exception.
- (c) **Exception**: Throw the **KeyNotFound** exception if the **key** is not found.
- V remove(K key, void (\*deleteKeyInMap)(K) = 0)
  - Purpose: Removes the Entry containing the key from the hash map, if found.
     This function also calls deleteKeyInMap to free the memory of key if deleteKeyInMap is not nullptr.
  - Implementation Guidelines: The main points are as follows:
    - (a) Use the hashCode function to compute the index of the key and retrieve the list at that index.
    - (b) Check whether the key exists in the list.
      - \* If found: (a) back up the corresponding value to return it; (b) free the key if deleteKeyInMap is not NULL; (c) remove the Entry (containing the <key, value> pair) from the list and free its memory. (Hint: use the removeItem function on the list, passing a pointer to the function xMap<K,V>::deleteEntry to both remove the Entry and free its memory.)
      - \* If not found: throw an exception.
    - (c) **Exception**: Throws a **KeyNotFound** exception if the key does not exist in the map.
- bool remove(K key, V value, void (\*deleteKeyInMap)(K), void (\*delete-ValueInMap)(V))
  - Purpose: Removes the Entry containing the <key, value> pair if it exists. This function compares both the key and value to determine which Entry to remove. It also frees the memory of key and value as required (i.e., when deleteKeyInMap or deleteValueInMap or both are not NULL). It returns true only if the <key, value> pair is found and removed; otherwise, it returns false.
  - Implementation Guidelines: The main idea is similar to the remove(K key, void (\*deleteKeyInMap)(K)) function. The difference is that both key and value must match.
  - Exception: None.
- bool containsKey(K key)
  - **Purpose**: Checks whether the hash map contains the given key.
  - Implementation Guidelines:
    - (a) Use the hashCode function to compute the index of the key and retrieve the list at that index.



- (b) Search for the key in that list and return the corresponding result.
- Exception: None.
- bool containsValue(V value)
  - **Purpose**: Checks whether the hash map contains the given value.
  - Implementation Guidelines:
    - (a) Search for the **value** in all the lists of the hash map and return the corresponding result.
  - Exception: None.
- bool empty()
  - **Purpose**: Checks if the map is empty.
  - Exception: None.
- int size()
  - Purpose: Returns the number of key-value pairs currently in the map.
  - Exception: None.
- void clear()
  - Purpose: Removes all key-value pairs from the hash map and resets it to its initial state.
  - Implementation Guidelines:
    - (a) Call the **removeInternalData** function to free all memory.
    - (b) Reinitialize the hash map as empty, with **capacity** equal to 10.
  - Exception: None.
- string toString(string (\*key2str)(K&) = 0, string (\*value2str)(V&) = 0)
  - Purpose: Returns a string representation of the hash map.
  - Exception: None.
- DLinkedList<K> keys()
  - Purpose: Returns a doubly linked list containing all the keys in the map.
  - Exception: None.
- DLinkedList<V> values()
  - Purpose: Returns a doubly linked list containing all the values in the hash map.
  - Exception: None.
- DLinkedList<int> clashes()
  - Purpose: Returns a doubly linked list containing the number of elements in the list at each index.



- ${\bf Implementation}$   ${\bf Guidelines}:$  Follow the main ideas described.
- Exception: None.



# 3 Heap Data Structure

## 3.1 Design Principles

Similar to other data structures, the implementation of the **Heap** in this library consists of two classes:

- 1. The class IHeap (see Figure 3), which is used to define the APIs for the Heap; and
- 2. The class Heap (a subclass of IHeap) that contains the concrete implementation for the Heap.

#### • The IHeap Class, see Figure 3:

This class defines a set of methods (APIs) supported by the Heap. A few important notes about IHeap are as follows:

- IHeap uses a template to parameterize the data type of the elements. Therefore, the Heap can store elements of any type T, provided that the type supports the necessary comparison operations to determine (a) equality and (b) ordering.
- All APIs in IHeap are declared as pure virtual methods; meaning that any class inheriting from IHeap must override all these methods. Due to their virtual nature, the APIs will support dynamic binding (i.e., polymorphism).

#### • The Heap Class:

This class is derived from IHeap and contains the concrete implementation of all the APIs defined in IHeap.

# 3.2 Explanation of the APIs

This section describes each pure virtual method of IHeap:

- virtual ~IHeap() {};
  - Virtual destructor, ensuring that the destructors of derived classes are called when a heap object is deleted via a base-class pointer.
- virtual void push(T item) = 0;
  - Function: Inserts an element item into the heap.
  - Parameter:



```
1 template < class T>
class IHeap {
3 public:
     virtual \simIHeap(){};
      virtual void push(T item)=0;
5
     virtual T pop()=0;
6
     virtual const T peek()=0;
      virtual void remove(T item, void (*removeItemData)(T)=0)=0;
      virtual bool contains(T item)=0;
9
     virtual int size()=0;
10
     virtual void heapify(T array[], int size)=0; //build heap from array
11
     having size items
     virtual void clear()=0;
12
      virtual bool empty()=0;
13
      virtual string toString(string (*item2str)(T&) =0)=0;
14
15 };
```

Figure 3: IHeap<T>: Abstract class defining APIs for Heap.

- \* T item the element to be added to the heap.
- virtual T pop() = 0;
  - Function: Removes and returns the largest or smallest element from the heap. In a max-heap, it returns the largest element; otherwise, in a min-heap, it returns the smallest element.
- virtual const T peek() = 0;
  - Function: Returns the largest/smallest element from the heap without removing it.
  - Return Value: The largest (or smallest) element in the heap.
- virtual void remove(T item, void (\*removeItemData)(T) = 0) = 0;
  - Function: Removes the element item from the heap.
  - Parameter:
    - \* T item the element to be removed.
    - \* void (\*removeItemData)(T) a function pointer (default is NULL) to process the data of the element to be removed. Typically, if the element type T is a pointer and the user needs the heap to free the memory, the user must supply a function pointer for deallocating the memory.
- virtual bool contains(T item) = 0;
  - Function: Checks whether the heap contains the element item.
  - Parameter: T item the element to check.
  - Return Value: true if the element exists, otherwise false.



- virtual int size() = 0;
  - Function: Returns the number of elements currently in the heap.
  - Return Value: The number of elements in the heap.
- virtual void heapify(T array[], int size) = 0;
  - Function: Builds a heap from an array array with size elements.
  - Parameters:
    - \* T array[] the array that contains the elements.
    - \* int size the number of elements in the array.
- virtual void clear() = 0;
  - Function: Removes all elements from the heap and resets the heap to its initial state. **Note:** In its initial state, the heap is an array of size capacity (default capacity is 10) but contains no elements, i.e. it is **empty**.
- virtual bool empty() = 0;
  - Function: Checks whether the heap is empty.
  - Return Value: true if the heap is empty, otherwise false.
- virtual string toString(string (\*item2str)(T&) = 0) = 0;
  - Function: Returns a string representation of the heap.
  - Parameter:
    - \* string (\*item2str)(T&) a function pointer to convert an element to a string.
  - Return Value: A string describing the heap.

## 3.3 Heap

The Heap has an important characteristic: physically, it is stored as an array of elements (i.e., the storage level of the heap); however, when working with the heap at the logical level, it should be viewed as a nearly complete or complete binary tree.

Heap<T> is a heap data structure where elements of type T are stored in a dynamic array whose size changes depending on the number of current elements. The operation of Heap<T> is based on maintaining the heap property, meaning that every parent node must have a value that is less than or equal to the values of its children in the case of a min-heap (or greater in the case of a max-heap).



To ensure this property, Heap<T> uses two main procedures: reheapUp and reheapDown, which rebalance the heap when elements are added (push) or removed (pop). When a new element is added, the push method inserts the element at the end of the array and then calls reheapUp to move the element to its correct position, ensuring the heap property. Similarly, when the root element is removed, the pop method moves the last element to the root position and calls reheapDown to maintain the heap property.

In addition to the methods inherited from IHeap, such as push, pop, peek, contains, and clear, Heap<T> also provides additional utility methods such as heapify to convert an array into a heap, ensureCapacity to automatically expand the array when necessary, and free to release user data if the type T is a pointer. These methods can be found in the file Heap.h in the directory /include/heap.

A former student from school B has implemented the Heap structure in the initial code. This code file is for reference only; students are required to modify the sample code to ensure it meets the descriptions below.

```
1 template < class T >
2 class Heap: public IHeap<T>{
      class Iterator; // forward declaration
      T *elements; // a dynamic array to contain user's data
                     // size of the dynamic array
      int capacity;
                      // current count of elements stored in this heap
      int count;
9
     int (*comparator)(T& lhs, T& rhs); // comparator function pointer
     void (*deleteUserData)(Heap<T>* pHeap); // function pointer to delete
11
     user data
     // HIDDEN CODE
12
13 };
14
```

Figure 4: Heap<T>: Heap structure; declaration of member variables.

#### 1. **Properties:** (See Figure 4)

- int capacity: The current capacity of the heap, initially set to 10 by default.
- int count: The number of elements currently stored in the heap.
- T\* elements: A dynamic array that stores the elements of the heap.
- int (\*comparator)(T& lhs, T& rhs): A function pointer used to compare two elements of type T to determine their order in the heap.
  - If comparator is NULL: then (a) the type T must support the two comparison operators > and <; and (b) Heap<T> behaves as a min-heap.



- If comparator is not NULL and a max-heap is desired, then comparator returns:
  - \* +1 if lhs < rhs
  - \* -1 if lhs > rhs
  - \* 0 otherwise.
- If comparator is not NULL and a min-heap is desired, then comparator returns:
  - \* -1 if lhs < rhs
  - \* +1 if lhs > rhs
  - \* 0 otherwise.
- void (\*deleteUserData) (Heap<T>\* pHeap): A function pointer used to free user data when the heap is no longer used. In cases where T is a pointer and the user requires the heap to automatically free the memory for the elements, the user must supply a function for deleteUserData through the constructor. The user does not need to define a new function, simply pass the function Heap<T>::free into the constructor of Heap<T>.

#### 2. Constructors and Destructor:

- Heap(int (\*comparator)(T&, T&)=0,
   void (\*deleteUserData)(Heap<T>\* )=0 ):
  - Purpose: This constructor creates an empty heap, represented as an array of capacity (default value is 10) elements of type T, with count = 0. It initializes the member function pointers comparator and deleteUserData with the values provided.
  - **Parameters**: See the property descriptions above.
- Heap(const Heap& heap): Copy constructor that duplicates the data from another heap object.
- ~Heap(): Destructor that frees the memory and resources allocated for the heap.

#### 3. Methods:

- void push(T item)
  - **Functionality**: This function inserts an element item into the heap and maintains the heap property (min or max).
  - Complexity:  $O(\log(n))$
  - Exception: None.
- T pop()



- **Functionality**: This function returns and removes the root element (the element at index 0 in **elements**, and also the largest or smallest element depending on the type of heap).
- Complexity:  $O(\log(n))$
- Exception: If the heap is empty, throw an exception std::underflow\_error("Calling to peek with the empty heap.").

#### • T peek()

- Functionality: Returns the root element without removing it from the heap.
- Complexity: O(1)
- Exception: Throws an exception if the heap is empty: throw std::underflow\_error("Cato peek with the empty heap.");.

## • void remove(T item, void (\*removeItemData)(T))

- Functionality: This method removes an element item from the heap. If the function removeItemData is provided, it will be called to free memory or perform any custom operations after the element is removed.
- Complexity:  $O(\log(n))$
- Exception: None.

#### • bool contains(T item)

- Functionality: Checks whether the heap contains the element value.
- Exception: None.
- int size()
  - Functionality: Returns the number of elements currently in the heap.
  - Exception: None.
- void heapify(T array[], int size)
  - Functionality: This method builds a heap from an array array of size size.
  - Complexity: O(n)
  - Exception: None.
- bool empty()
  - Functionality: Checks whether the heap is empty.
  - Exception: None.
- void clear()
  - Functionality: Removes all elements in the heap and resets it to the initial empty state.
  - Exception: None.



## • void heapsort(XArrayList<T>& arrayList)

Functionality: Sorts the arrayList using the Heap structure. If the Heap already contains data, replace its current data with the data from arrayList.
 After each Heap Up, students should print the current elements in the Heap.



# 4 N-ary Huffman Tree and Inventory Compressor

This assignment requires students to implement an N-ary Huffman tree and apply it in compressing and decompressing inventory data. Students may consult theoretical resources and algorithms about Huffman trees from the following sources before continuing: [1], [2].

## 4.1 Theory of N-ary Huffman Trees

An N-ary Huffman tree is a generalization of the traditional binary Huffman tree, allowing each internal node to have up to n children instead of just 2. An important property of the N-ary Huffman tree is that if the number of initial characters is insufficient to build a complete tree in groups of n, we must add dummy characters (character '\0') with frequency zero to ensure the number of leaf nodes L satisfies the condition:

$$(L-1) \mod (n-1) = 0.$$

These dummy characters do not carry any data significance and are only used to ensure that the encoding generated from the tree is optimal.

#### 4.1.1 Steps to construct an N-ary Huffman Tree:

- 1. **Frequency count:** Determine the frequency of each character in the data to be encoded.
- 2. Create leaf nodes: For each real character, create a leaf node containing the character and its corresponding frequency.
- 3. Add dummy characters (if needed): If the initial number of leaf nodes does not satisfy  $(L-1) \mod (n-1) = 0$ , add dummy characters with frequency 0 until the condition is met.
- 4. Build the tree: Repeat the following process until only one node remains in the heap:
  - (a) Remove the n nodes with the smallest frequencies from the heap.
  - (b) Compute the total frequency of these nodes.
  - (c) Create a new internal node with the computed frequency and assign the nodes as its children.
  - (d) Push the new internal node back into the heap.
- 5. **Determine root node:** The only remaining node in the heap is the root of the Huffman tree.



Once the tree is built, we can assign codes to the characters by traversing from the root to the leaf nodes. In practice, these codes are bit strings to minimize text storage size. However, for simplicity in this classroom assignment, we represent codes as a sequence of characters from '0' to 'f' (base 16). For example, if the Huffman tree has 3 branches, we assign codes as follows:

• First child node: code '0'

• Second child node: code '1'

• Third child node: code '2'

The Huffman code for each character is formed by concatenating the numeric codes of the nodes along the path from the root to the corresponding character node. Refer to the illustrative example for better understanding.

#### 4.1.2 Illustrative Example

Assume we have 4 characters with the following frequencies:

- A: 5
- B: 9
- C: 12
- D: 13

With a 3-ary Huffman tree (each internal node has at most 3 children), we need the number of leaf nodes such that:

$$(L-1) \mod (3-1) = 0.$$

With L=4, we have  $(4-1) \mod 2=3 \mod 2=1 \neq 0$ . Hence, we need to add  $d=(2-(3 \mod 2))=2-1=1$  dummy character with frequency 0. After adding, the number of leaf nodes becomes L=4+1=5, and  $(5-1) \mod 2=4 \mod 2=0$ .

Initial list of leaf nodes:

- $\setminus 0$  (dummy character): 0
- A: 5
- B: 9
- C: 12
- D: 13

Build the 3-ary Huffman tree as follows:



- 1. **Step 1:** Remove the 3 nodes with the lowest frequencies:
  - Dummy: 0
  - A: 5
  - B: 9

Total frequency = 0 + 5 + 9 = 14.

Create a new internal node with frequency 14 and assign Dummy, A, and B as its children.

- 2. **Step 2:** After removing the 3 processed nodes, we are left with:
  - Internal node with frequency 14
  - C: 12
  - D: 13

Take these 3 nodes, total frequency = 14 + 12 + 13 = 39.

Create a new internal node with frequency 39 and assign the nodes with frequencies 14, 12, and 13 as its children.

The internal node with frequency 39 is the only one left in the heap and thus becomes the root of the Huffman tree. Figure 5 shows the 3-ary Huffman tree constructed for the example above.

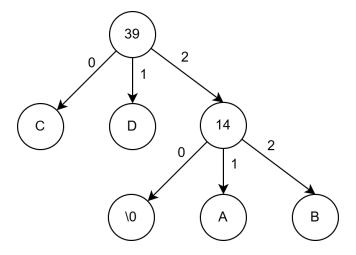


Figure 5: Constructed 3-ary Huffman Tree

Using the 3-ary Huffman tree, we get the encoded result for each character as follows:

- A: "21"
- B: "22"
- C: "0"
- D: "1"



#### 4.2 HuffmanTree

HuffmanTree<treeOrder> is a template class that manages the N-ary Huffman tree used to encode and decode characters based on their frequencies.

```
template < int treeOrder >
 class HuffmanTree {
  public:
      struct HuffmanNode {
          char symbol;
          int freq;
          XArrayList<HuffmanNode*> children;
          HuffmanNode(char s, int f); //Leaf node
9
          HuffmanNode(int f, const XArrayList<HuffmanNode*>& childs); //
     Internal node
      };
11
12
      HuffmanTree();
13
      \simHuffmanTree();
14
      void build(XArrayList<pair<char, int>>& symbolsFreqs);
16
      void generateCodes(xMap < char, std::string > & table);
17
      std::string decode(const std::string& huffmanCode);
18
19
 private:
      HuffmanNode* root;
22 };
```

#### **Attributes:**

- HuffmanNode\* root: Pointer to the root node of the Huffman tree.
- struct HuffmanNode: Inner structure representing a node in the Huffman tree.
  - char symbol: Stores the character (for leaf nodes).
  - int freq: Frequency of the character or the sum frequency of its child nodes (for internal nodes).
  - XArrayList<HuffmanNode\*> children: List containing child nodes; for internal nodes, this list contains merged nodes from the heap.

#### Methods:

1. void build(XArrayList<pair<char, int>& symbolsFreqs)



- Functionality: Builds the N-ary Huffman tree from a list of character-frequency pairs.
- Implementation Requirements:
  - (a) Create a heap from the given list.
  - (b) While the heap has more than one node, select up to treeOrder nodes with the lowest frequencies.
  - (c) Compute the total frequency and group selected nodes into a list.
  - (d) Create a new internal node with the computed frequency and list of children.
  - (e) Push the new internal node into the heap.
  - (f) After the loop, the last remaining node becomes the root of the tree.
- **Note**: To ensure consistency, when two nodes in the heap have the same frequency, the one added earlier has higher priority.
- 2. void generateCodes(xMap<char, std::string>& table)
  - Functionality: Generates Huffman codes for each character in the tree. The accumulated codes are stored in table, with the character as the key.
- 3. std::string decode(const std::string& huffmanCode)
  - Functionality: Decodes a Huffman code string back to the original text.

## 4.3 InventoryCompressor<treeOrder>

InventoryCompressor<treeOrder> is a template class that uses the Huffman tree to compress and decompress inventory data. The number of branches treeOrder is used consistently during Huffman tree construction.



```
private:
    xMap < char, std::string > huffmanTable;
    InventoryManager* invManager;
    HuffmanTree < treeOrder > * tree;
};
```

#### **Attributes:**

- InventoryManager\* invManager: Pointer to the InventoryManager object providing product names and inventory attributes.
- xMap<char, std::string> huffmanTable: Hash table containing Huffman codes for each character.
- HuffmanTree<treeOrder>\* tree: Pointer to the constructed Huffman tree used for encoding and decoding.

#### Constructor and Destructor:

- InventoryCompressor(InventoryManager\* manager): Initializes the compressor with the InventoryManager object.
- ~InventoryCompressor(): Destroys the object and frees the memory allocated for the Huffman tree.

#### Methods:

- 1. void buildHuffman()
  - Functionality: Builds the Huffman tree and generates Huffman codes based on character frequencies in the inventory data.
  - Implementation Requirements:
    - (a) Traverse all products to build a frequency table for each character in the string representation of a product using productToString.
    - (b) Create the huffmanTable by building a Huffman tree using the frequency table.
- 2. std::string productToString(const List1D<InventoryAttribute>& attributes, const std::string& name)
  - Functionality: Converts product data to a string with the format: "product name:(attribute1:ve(attribute2:value2), ...".
- 3. std::string encodeHuffman(const List1D<InventoryAttribute>& attributes, const std::string& name)



- Functionality: Encodes the product string into a Huffman code.
- Implementation Requirements: Convert product data to a string using productToString, then generate the code using huffmanTable.
- 4. std::string decodeHuffman(const std::string& huffmanCode, List1D<InventoryAttribute attributesOutput, std::string& nameOutput)
  - Functionality: Decodes the Huffman code to recover the original product data.
  - Implementation Requirements:
    - (a) Decode the string using the Huffman tree.
    - (b) Parse the result string to extract the product name and attribute list. The expected format is: "product name: (attribute1: value1), (attribute2: value2), ...".
    - (c) The method returns the decoded string. At the same time, the product name and attribute list are assigned to nameOutput and attributesOutput, respectively.

# 5 Requirements

Students must complete the above classes according to the listed interfaces, ensuring:

- Implement the methods marked with //TODO.
- Students are allowed to add additional methods, member variables, and functions to support the above classes.
- Students are responsible for modifying the original source code for behaviors not covered in the two guidelines above.
- Students are not permitted to include any other libraries. If detected, the student will receive a zero grade for the project.

# 5.1 Compilation

Students **should** integrate the code into an IDE of their choice for convenience and use the interface for compilation.

If you need to compile via the command line, you may refer to the following command: g++-g-I include -I src -std=c++17 src/test/\* src/main.cpp -o main



#### 5.2 Submission

Detailed submission instructions will be provided later.

# 6 Other Regulations

- Students must complete this project independently and prevent others from copying their results. Failure to do so will result in disciplinary action for academic misconduct.
- All decisions made by the project supervisor are final.
- Students are not allowed to provide test cases after grading, though they may provide information on test case design strategy and the distribution of student numbers per test case.
- The content of the project will be synchronized with a similar question in the exam.

# 7 Tracking Changes Across Versions

(v1.1)

- Updated the description for the Heap data structure.
- Updated the initial code for the Heap data structure.
- Updated the grading criteria.

