### **Chapter 7 - Tree**

- ➤ Basic tree concepts
- ➤ Binary trees
- ➤ Binary Search Tree (BST)

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# Basic Tree Concepts

#### A tree consists of:

- nodes: finite set of elements
- branches: directed lines connecting the nodes

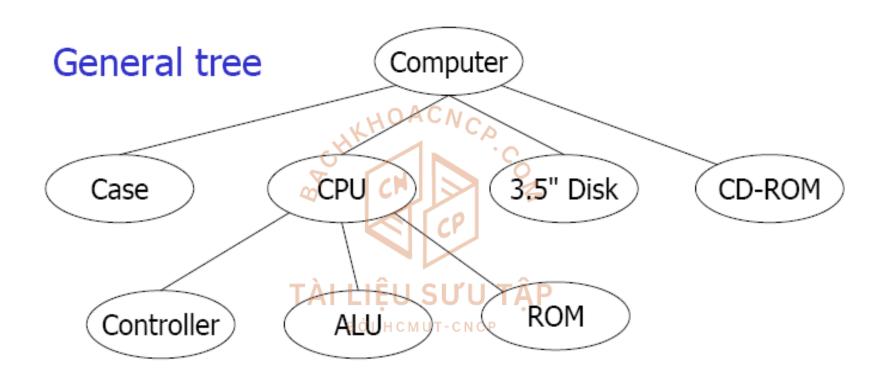
### For a node:

- degree: number of branches associated with the node
- indegree: number of branches towards the node
- outdegree: number of branches away from the node

#### For a tree:

- root: node with indegree 0
- nodes different from the root must have indegree 1

# Tree Representation



# Terminology

- Leaf: node with outdegree 0
- Internal node: not a root or a leaf
- Parent: node with outdegree greater than 0
- Child: node with indegree greater than 0
- Siblings: nodes with the same parent
- Path: sequence of adjacent nodes

# Terminology

- Ancestor: node in the path from the root to the node
- Descendent: node in a path from the node to a leaf
- Level: the node's distance from the root (at level 0)
- Height (Depth): the level of the leaf in the longest path from the root plus 1<sub>SUTUTÂP</sub>
- Sub-tree: connected structure below the root

## Tree Representation

#### Indented list

```
Case
CPU

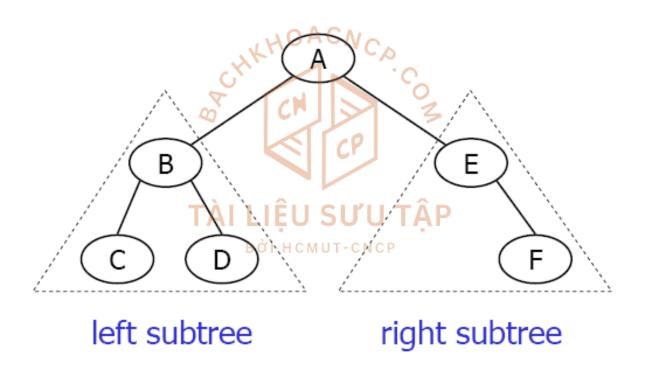
Controller
ALU
ROM
...
3.5" Disk
CD-ROM
```

#### Parenthetical listing

Computer (Case CPU (Controller ALU ROM ...) 3.5" Disk CD-ROM)

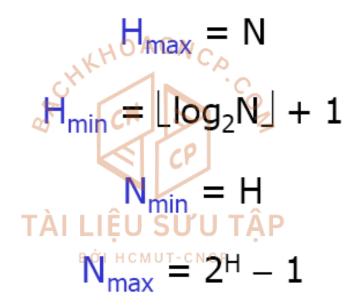
# Binary Trees

A node cannot have more than two sub-trees:



# Binary Tree Properties

Height of binary trees:



## Binary Tree Properties

#### Balance:

```
- Balance factor: B = H_L - H_R
```

- Balanced tree: balance factor is 0, -1, or 1

sub-trees are balanced



# Binary Tree Properties

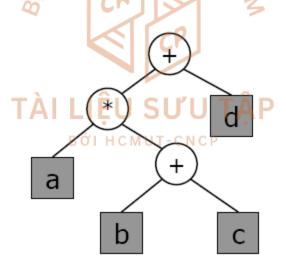
### Completeness:



- Nearly complete tree: SUU TÂP  $H = H_{min} = \lfloor log_2 N \rfloor^{B) + 1}$ nodes in the last level are on the left

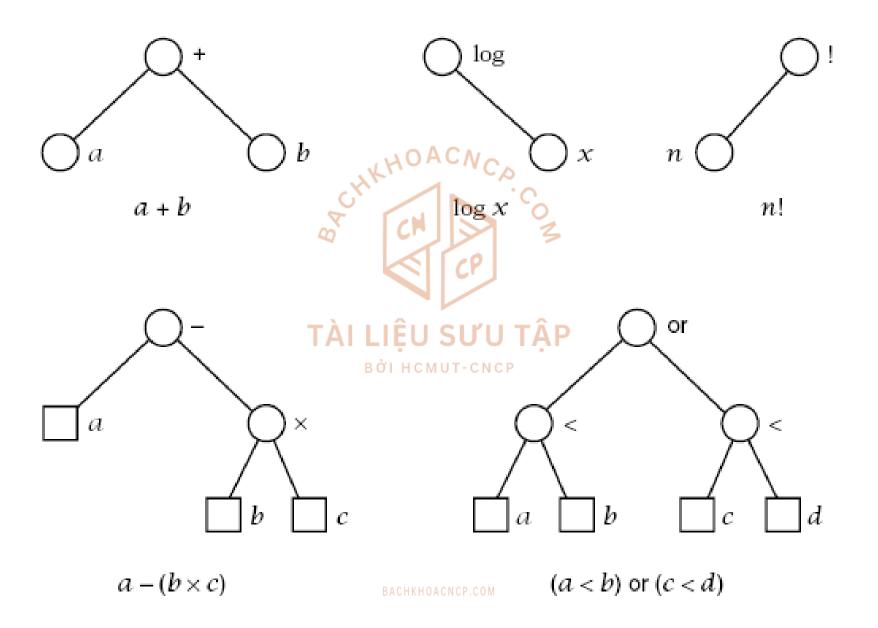
## **Expression Trees**

- Each leaf is an operand
- The root and internal nodes are operators
- Sub-trees are sub-expressions



$$a * (b + c) + d$$

## **Expression Trees**



## **Binary Tree ADT**

**DEFINITION**: A binary tree ADT is either empty, or it consists of a node called root together with two binary trees called the left and the right subtree of the root.

#### **Basic operations:**

- Construct a tree, leaving it empty.
- Insert an element. TÀI LIỆU SƯU TẬP
  - BOI HCMUT-CN
- Remove an element.
- Search an element.
- Retrieve an element.
- Traverse the tree, performing a given operation on each element.

## **Binary Tree ADT**

#### **Extended operations:**

- Determine whether the tree is empty or not.
- Find the size of the tree.
- Clear the tree to make it empty.



### **Specifications for Binary Tree**

```
<void> Create()
<boolean> isFull()
<boolean> isEmpty()
<integer> Size()
<void> Clear()
<ErrorCode> Search (ref DataOut < DataType>)
<ErrorCode> Insert (val DataIn <DataType>)
<ErrorCode> Remove (val key <KeyType>)
<ErrorCode> Retrieve (ref DataOut <DataType>)
```

Depend on various types of binary trees (BST, AVL, 2d-tree)

## **Specifications for Binary Tree**

 Binary Tree Traversal: Each node is processed once and only once in a predetermined sequence.

```
• Depth-First Traverse:

<void> preOrderTraverse (ref<void>Operation(ref Data <DataType>))

<void> inOrderTraverse (ref<void>Operation(ref Data <DataType>))

<void> postOrderTraverse (ref<void>Operation(ref Data <DataType>))
```

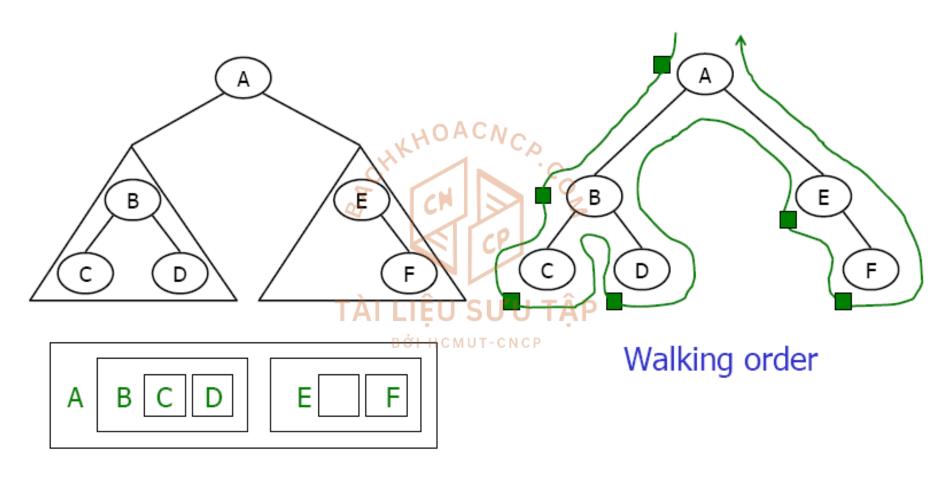
Breadth-First Traverse:

<void> BreadthFirstTraverse (ref<void>Operation(ref Data <DataType>))

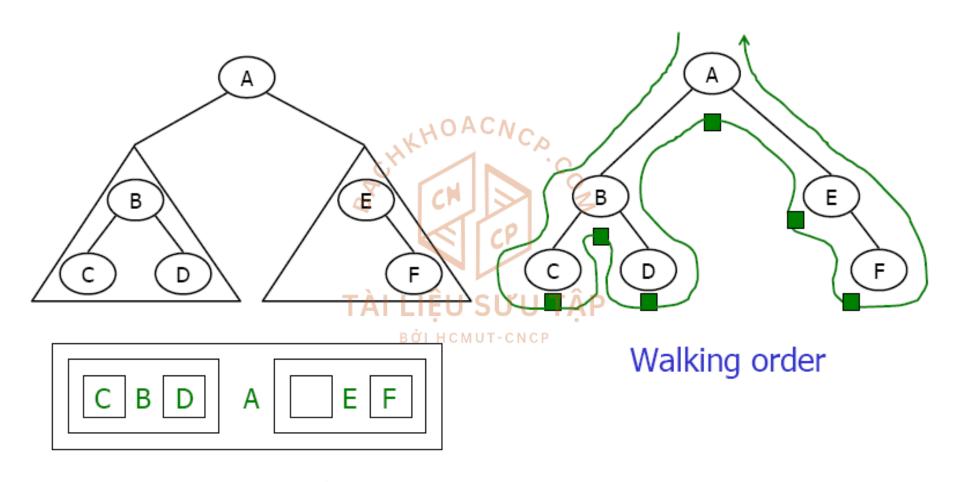
# Depth-First Traversal



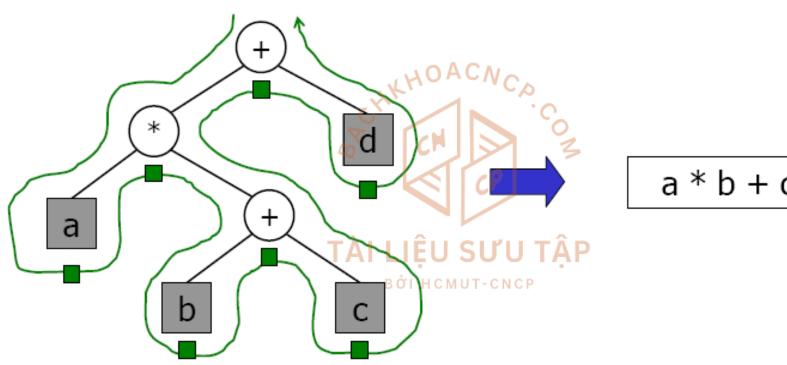
## PreOrder Traversal



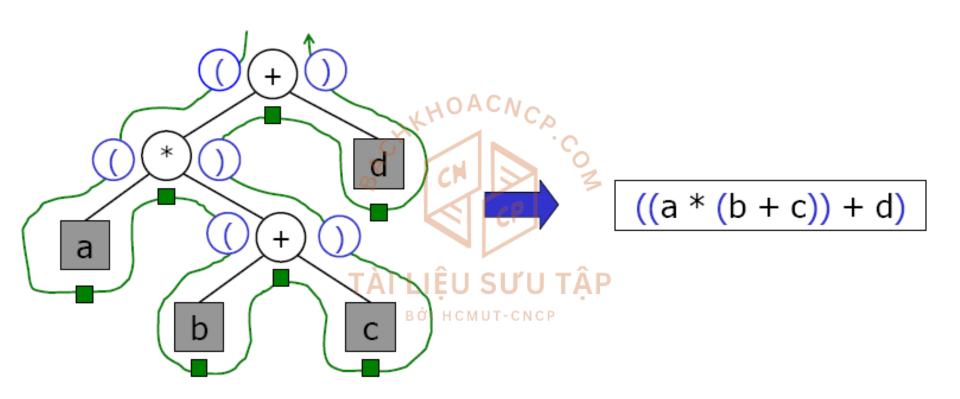
Processing order



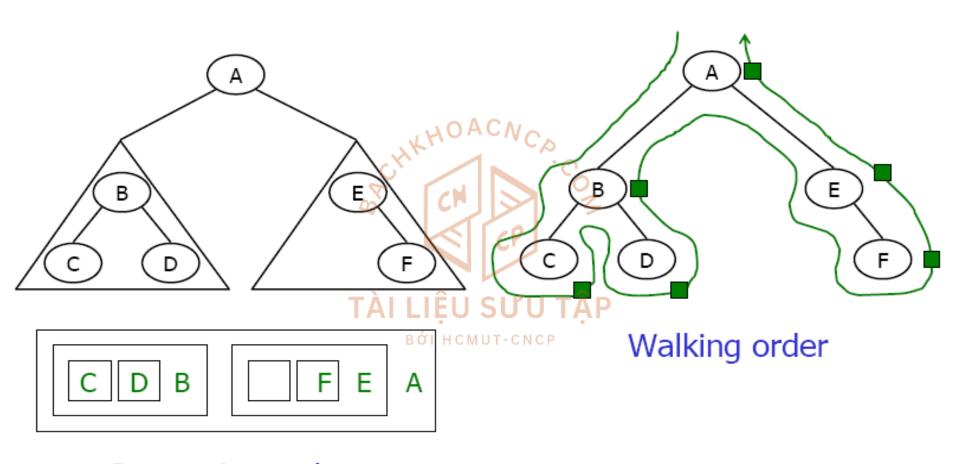
Processing order



a\*b+c+d

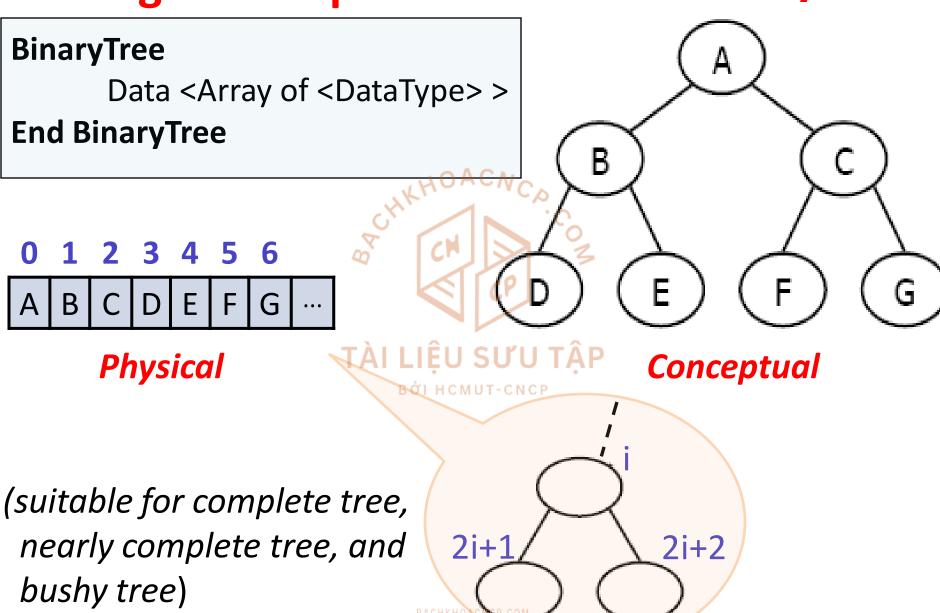


### PostOrder Traversal

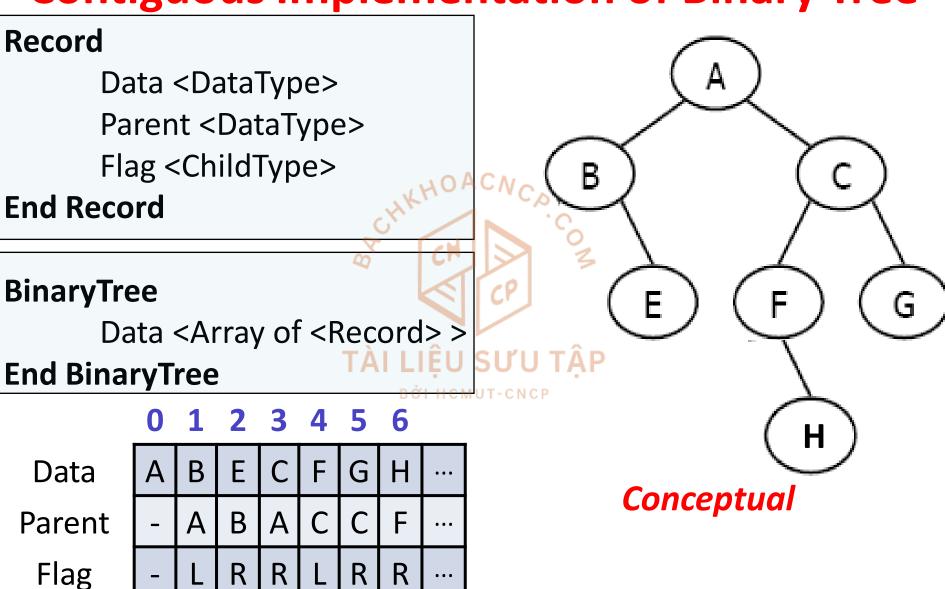


Processing order

### **Contiguous Implementation of Binary Tree**

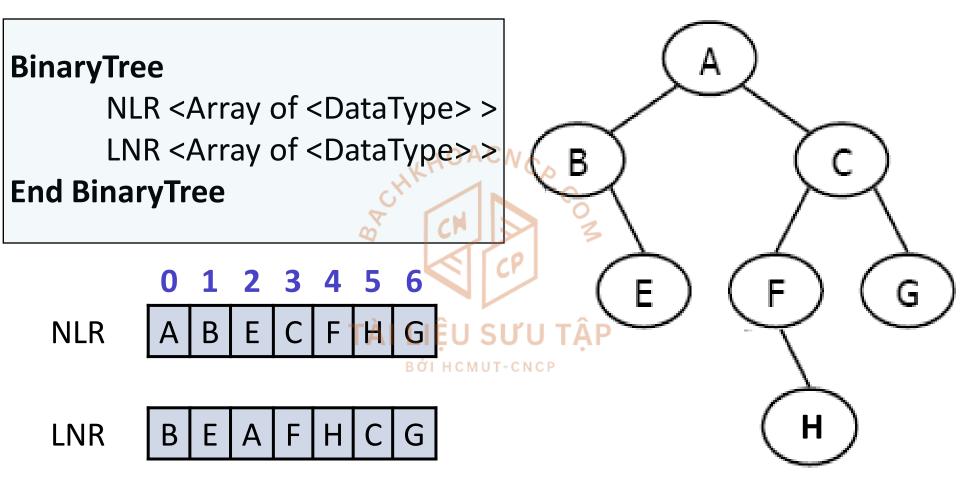


### **Contiguous Implementation of Binary Tree**



Physical (suitable for sparse tree)

### **Contiguous Implementation of Binary Tree**



#### **Physical**

Conceptual

(A binary tree can be restored from two array of LNR and NLR traverse)

## **Linked Implementation of Binary Tree**

#### **BinaryNode**

data < Data Type >

left <pointer>

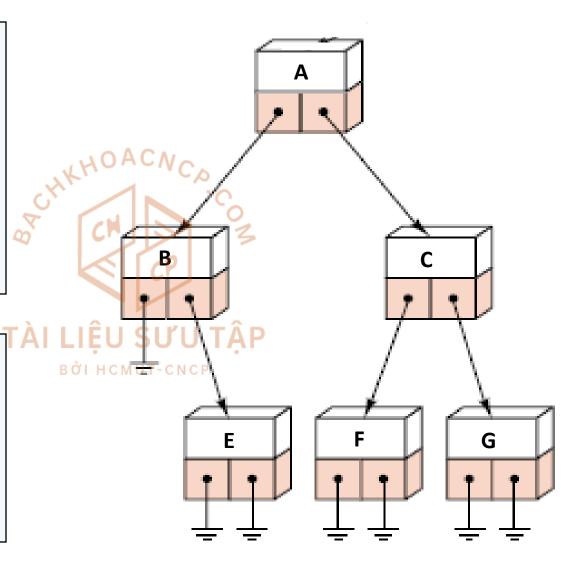
right <pointer>

**End BinaryNode** 

#### **BinaryTree**

root <pointer>

**End BinaryTree** 



Physical

### **Depth-First Traversal**

Auxiliary functions for Depth\_First Traversal:

```
recursive_preOrder
recursive_inOrder

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recursive_postOrder
```

#### **PreOrder Traversal**

Algorithm recursive\_preOrder (val subroot <pointer>,
ref<void>Operation(ref Data <DataType>))

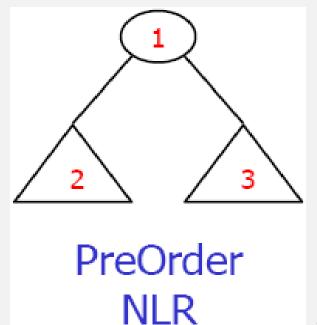
Traverses a binary tree in *node-left-right* sequence.

Pre subroot points to the root of a tree/ subtree.

Post each node has been processed in order.

- 1. if (subroot is not NULL) ÀI LIỆU SƯU TẬP
  - Operation(subroot->data)
  - 2. recursive\_preOrder(subroot->left)
  - recursive\_preOrder(subroot->right)

End recursive\_preOrder



Algorithm recursive\_inOrder (val subroot <pointer>,
ref<void>Operation(ref Data <DataType>))

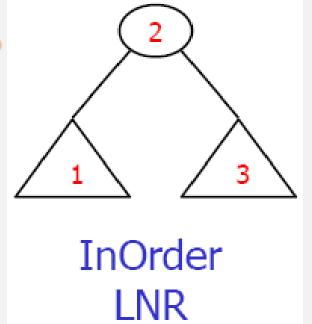
Traverses a binary tree in *left-node-right* sequence

Pre subroot points to the root of a tree/subtree

Post each node has been processed in order

- 1. if (subroot is not NULL) ÀI LIỆU SƯU TẬP
  - recursive\_inOrder(subroot->left)
  - Operation(subroot->data)
  - recursive\_inOrder(subroot->right)

End recursive\_inOrder



#### **PostOrder Traversal**

Algorithm recursive\_postOrder (val subroot <pointer>,
ref<void>Operation(ref Data <DataType>))

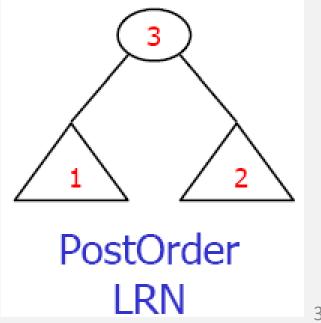
Traverses a binary tree in *left-right-node* sequence

Pre subroot points to the root of a tree/subtree

Post each node has been processed in order

- 1. if (subroot is not NULL) ÀI LIỆU SƯU TẬP
  - recursive\_postOrder(subroot->left)
  - recursive\_postOrder(subroot->right)
  - Operation(subroot->data)

End recursive\_postOrder



### **Depth-First Traversal**

```
<void> preOrderTraverse (ref<void>Operation(ref Data <DataType>))
```

recursive\_preOrder(root, Operation)

End preOrderTraverse

```
<void> inOrderTraverse (ref<void>Operation(ref Data <DataType>))
```

recursive\_inOrder(root, Operation)\_\_\_\_\_

End inOrderTraverse

```
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```

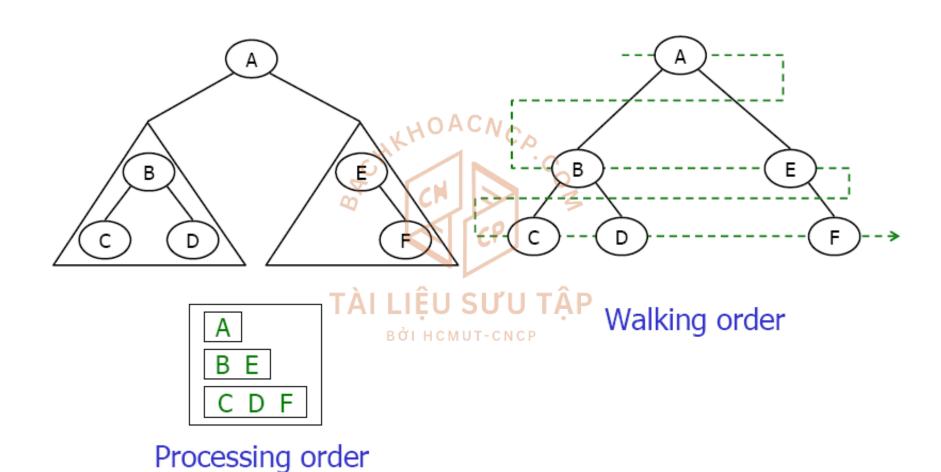
```
<void> postOrderTraverse (ref<void>Operation(ref Data <DataType>))
```

recursive postOrder(root, Operation)

End postOrderTraverse

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## **Breadth-First Traversal**



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#### **Breadth-First Traversal**

#### Algorithm BreadthFirstTraverse

(ref<void>Operation(ref Data < DataType>))

Traverses a binary tree in sequence from lowest level to highest level, in each level traverses from left to right.

**Post** each node has been processed in order

**Uses** Queue ADT

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#### **Breadth-First Traversal**

#### Algorithm BreadthFirstTraverse

(ref<void>Operation(ref Data < DataType>))

- 1. if (root is not NULL)
  - queueObj <Queue>
  - queueObj.EnQueue(root)
  - loop (not queueObj.isEmpty())
    - queueObj.QueueFront(pNode)
    - 2. queueObj.DeQueue() FU SUU TAF
    - Operation(pNode->data)
    - 4. if (pNode->left is not NULL)
      - queueObj.EnQueue(pNode->left)
    - if (pNode->right is not NULL)
      - queueObj.EnQueue(pNode->right)

## **Binary Search Tree (BST)**

- All items in the left subtree < the root.</li>
- All items in the right subtree >= the root.
- Each subtree is itself a binary search tree.



## **Binary Search Tree (BST)**

- BST is one of implementations for ordered list.
- In BST we can search quickly (as with binary search on a contiguous list).
- In BST we can make insertions and deletions quickly (as with a linked list).

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- When a BST is traversed in *inorder*, the keys will come out in sorted order.

## **Binary Search Tree (BST)**

Auxiliary functions for Search:



Searches target in the subtree.

**Pre** subroot points to the root of a tree/ subtree.

**Post** If target is not in the subtree, NULL is returned. Otherwise, a pointer to the node containing the target is returned.

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1. if (subroot is NULL) OR (subroot->data = target) return subroot else if (target < subroot->data) return recursive Search(subroot->left, target) else return recursive Search(subroot->right, target) End recursive Search subroot **B**ổI HCMUT-CNCP Target = 22

1. if (subroot is NULL) OR (subroot->data = target) return subroot else if (target < subroot->data) return recursive Search(subroot->left, target) else return recursive Search(subroot->right, target) End recursive Search TÀI LIÊU SƯU TẬP BởI HCM STID POOT Target = 22

1. if (subroot is NULL) OR (subroot->data = target) return subroot 2. else if (target < subroot->data) return recursive Search(subroot->left, target) else return recursive Search(subroot->right, target) End recursive Search TÀI LIÊU SƯU TẬP **B**ổI HCMUT-CNCP subroot Target = 22

1. if (subroot is NULL) OR (subroot->data = target) return subroot else if (target < subroot->data) return recursive Search(subroot->left, target) else return recursive Search(subroot->right, target) End recursive Search TÀI LIÊU SƯU TẬP **B**ỞI HCMUT-CNCP subroot Target = 22

Searches target in the subtree.

Pre subroot points to the root of a tree/ subtree.

**Post** If target is not in the subtree, NULL is returned. Otherwise, a pointer to the node containing the target is returned.

#### TÀI LIỆU SƯU TẬP

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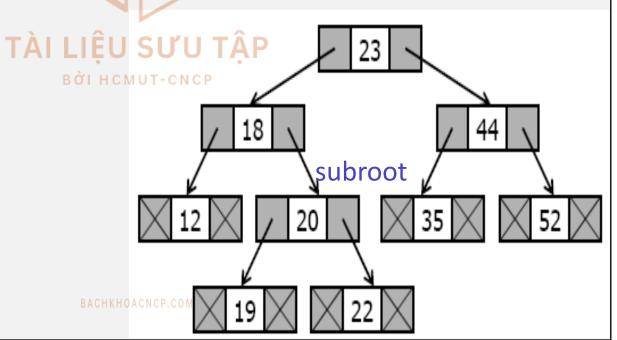
while (subroot is not NULL) AND (subroot->data.key <> target) if (target < subroot->data.key) subroot = subroot->left 2. else 1. subroot = subroot->right return subroot End iterative Search subroot TÀI LIÊU SƯU TẬP BỞI HCMUT-CNCP Target = 22

while (subroot is not NULL) AND (subroot->data.key <> target) if (target < subroot->data.key) subroot = subroot->left 2. else 1. subroot = subroot->right return subroot End iterative Search TÀI LIÊU SƯU TẬP BởI HCMU SƯ Broot

Target = 22

- while (subroot is not NULL) AND (subroot->data.key <> target)
   if (target < subroot->data.key)
  - 1. subroot = subroot->left
  - 2. else
    - subroot = subroot->right
- return subroot

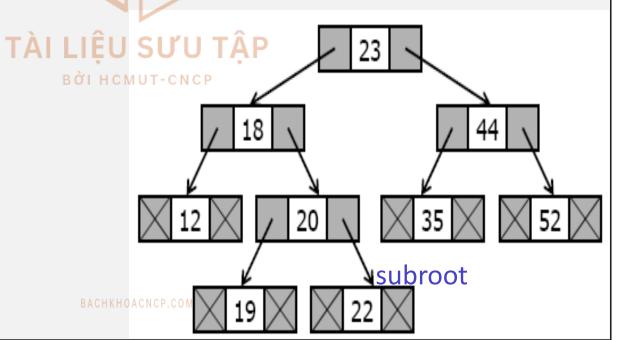
End iterative\_Search



Target = 22

- while (subroot is not NULL) AND (subroot->data.key <> target)
  - 1. if (target < subroot->data.key)
    - 1. subroot = subroot->left
  - 2. else
    - 1. subroot = subroot->right
- return subroot

End iterative\_Search



Target = 22

### **Search node in BST**

<ErrorCode> Search (ref DataOut <DataType>)

Searches target in the subtree.

Pre DataOut contains value needs to be found in key field.

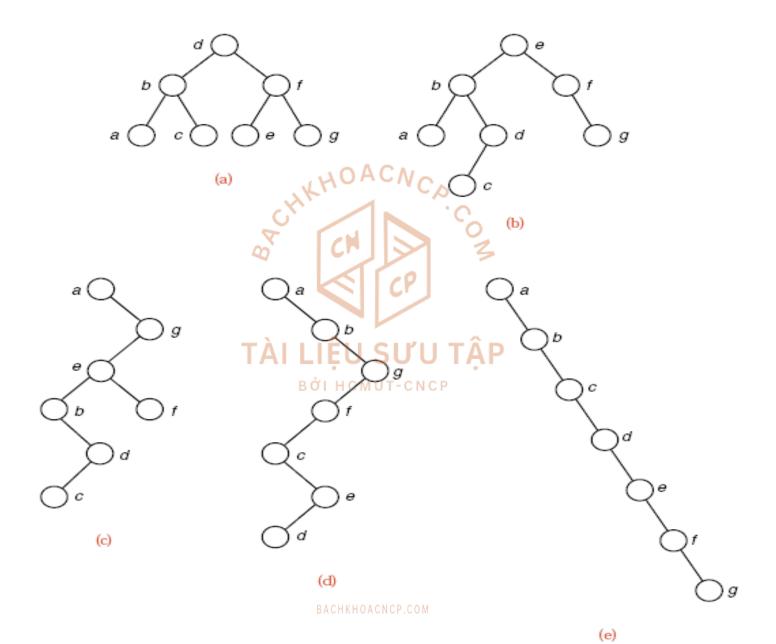
Post DataOut will reveive all other values in other fields if that key is found.

Return success or notPresent

Uses Auxiliary function recursive\_Search or iterative\_Search

- pNode = recursive\_Search(root, DataOut.key)
- 2. if (pNode is NULL)
  - 1. return *notPresent*
- 3. dataOut = pNode->data
- 4. return success

#### Binary Search Trees with the Same Keys



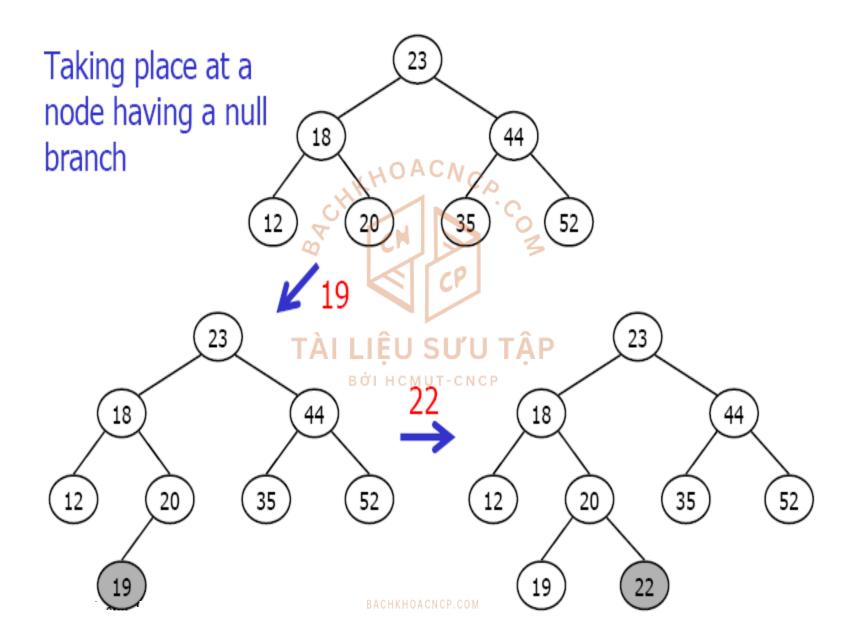
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### **Search node in BST**

The same keys may be built into BST of many different shapes.

 Search in bushy BST with n nodes will do O(log n) comparisons of keys

- If the tree degenerates into a long chain, search will do ⊖(n) comparisons on n vertices.
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- The bushier the tree, the smaller the number of comparisons of keys need to be done.





#### **Question**:

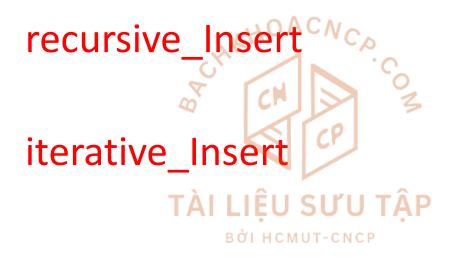
Can Insert method use recursive\_Search or iterative\_Search instead of recursive\_Insert like that:

ErrorCode Insert (val DataIn < DataType>)

- pNode = recursive\_Search (root, Dataln.key)
- 2. if (pNode is NULL) TÀI LIÊU SƯU TÂP
  - Allocate pNode
- BỞI HCMUT-CNCP
- 2. pNode->data = DataIn
- 3. return success
- 3. else
  - 1. return *duplicate\_error*

**End Insert** 

Auxiliary functions for Insert:



#### **Recursive Insert**

Inserts a new node into a BST.

Pre subroot points to the root of a tree/ subtree.

DataIn contains data to be inserted into the subtree.

Post If the key of DataIn already belongs to the subtree, duplicate\_error is returned. Otherwise, DataIn is inserted into the subtree in such a way that the properties of a BST are preserved.

Return duplicate\_error or success.

Uses recursive\_Insert function.

ErrorCode recursive\_Insert (ref subroot <pointer>, val DataIn <DataType>) subroot if (subroot is NULL) Allocate subroot 20 subroot->data = DataIn

- else if (DataIn.key < subroot->data.key)
  - return recursive\_Insert(subroot->left, DataIn)
- else if (DataIn.key > subroot->data.key)
  - return recursive\_Insert(subroot->right, DataIn)
- else
  - return *duplicate error*

return *success* 

End recursive Insert

DataIn.key = 22

ErrorCode recursive\_Insert (ref subroot <pointer>,
val DataIn <DataType>)

1. if (subroot is NULL)

- 1. Allocate subroot
- 2. subroot->data = DataIn
- 3. return *success*
- else if (DataIn.key < subroot->data.key)
- return recursive\_Insert(subroot->left, DataIn)
- 3. else if (DataIn.key > subroot->data.key)
  - return recursive\_Insert(subroot->right, DataIn)
- 4. else
  - return duplicate\_error

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5. End recursive Insert

DataIn.key = 22

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ErrorCode recursive\_Insert (ref subroot <pointer>, val DataIn <DataType>) if (subroot is NULL) subroot Allocate subroot 20

- else if (DataIn.key < subroot->data.key)
- return recursive\_Insert(subroot->left, DataIn)
- else if (DataIn.key > subroot->data.key)

subroot->data = DataIn

return *success* 

- return recursive\_Insert(subroot->right, DataIn)
- else
  - return *duplicate error*

End recursive Insert

DataIn.key = 22

ErrorCode recursive\_Insert (ref subroot <pointer>,
val DataIn <DataType>)

- 1. if (subroot is NULL)
  - Allocate subroot
  - 2. subroot->data = DataIn
  - 3. return *success*
- 2. else if (DataIn.key < subroot->data.key)
  - return recursive\_Insert(subroot->left, DataIn)
- 3. else if (DataIn.key > subroot->data.key)
  - return recursive\_Insert(subroot->right, DataIn)
- 4. else
  - return duplicate\_error

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5. End recursive Insert

subroot

DataIn.key = 22

20

ErrorCode recursive\_Insert (ref subroot <pointer>,
val DataIn <DataType>)

1. if (subroot is NULL)
1. Allocate subroot
2. subroot->data = DataIn

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- 2. else if (DataIn.key < subroot->data.key)
  - return recursive\_Insert(subroot->left, DataIn)
- 3. else if (DataIn.key > subroot->data.key)
  - return recursive\_Insert(subroot->right, DataIn)
- 4. else
  - return duplicate\_error

return *success* 

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End recursive Insert

DataIn.key = 22

### **Iterative Insert**

ErrorCode iterative\_Insert (ref subroot <pointer>, val DataIn <DataType>)

Inserts a new node into a BST.

**Pre** subroot is NULL or points to the root of a subtree. DataIn contains data to be inserted into the subtree.

Post If the key of DataIn already belongs to the subtree,

duplicate\_error is returned. Otherwise, DataIn is inserted into
the subtree in such a way that the properties of a BST are
preserved.

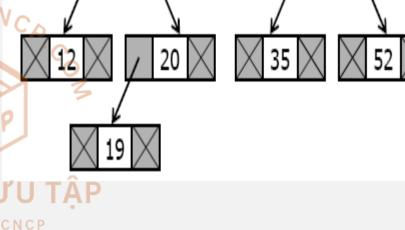
**Return** *duplicate\_error* or *success*.

```
ErrorCode iterative_Insert (ref subroot <pointer>,
                               val DataIn <DataType>)
   if (subroot is NULL)
      Allocate subroot
                                         subroot
      subroot->data = DataIn
      return success
   else
                        TÀI LIÊU SƯU TẬP
                             BổI HCMUT-CNCP
                                                  DataIn.key = 22
```

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ErrorCode iterative\_Insert (ref subroot <pointer>, val DataIn <DataType>) if (subroot is NULL) Allocate subroot subroot subroot->data = DataIn return *success* else TÀI LIÊU SƯU TẬP **B** Ø I H C M U T - C N C P DataIn.key = 22

- 2. else
  - pCurr = subroot
  - 2. **loop** (pCurr is not NULL)
    - 1. if (pCurr->data.key = DataIn.key)
      - 1. return duplicate\_error
    - 2. parent = pCurr
    - 3. if (DataIn.key < parent->data.key)
      - 1. pCurr = parent -> left
    - 4. else
      - 1. pCurr = parent -> right
  - 3. if (DataIn.key < parent->data.key) MUT-CNCP
    - 1. Allocate parent->left
    - 2. parent->left.data = DataIn
  - 4. else
    - 1. Allocate parent->right
    - 2. parent->right.data = DataIn
  - 5. return *success*



DataIn.key = 22

subroot

pCurr

# **Iterative Insert (cont.)** parent subroot

pCurr

- else
  - pCurr = subroot
  - **loop** (pCurr is not NULL)
    - if (pCurr->data.key = DataIn.key)
      - return duplicate\_error
    - parent = pCurr 2.
    - if (DataIn.key < parent->data.key)
      - pCurr = parent -> left
    - else
      - pCurr = parent -> right
  - if (DataIn.key < parent->data.key)
    - Allocate parent->left
    - parent->left.data = DataIn
  - 4. else
    - Allocate parent->right
    - parent->right.data = DataIn
  - return *success*

DataIn.key = 22

parent

- 2. else
  - pCurr = subroot
  - 2. **loop** (pCurr is not NULL)
    - 1. if (pCurr->data.key = DataIn.key)
      - 1. return duplicate\_error
    - 2. parent = pCurr
    - 3. if (DataIn.key < parent->data.key)
      - 1. pCurr = parent -> left
    - 4. else
      - 1. pCurr = parent -> right
  - 3. if (DataIn.key < parent->data.key) MUT-CNCP
    - 1. Allocate parent->left
    - 2. parent->left.data = DataIn
  - 4. else
    - 1. Allocate parent->right
    - 2. parent->right.data = DataIn
  - 5. return *success*

DataIn.key = 22

subroot

pCurr

- 2. else
  - pCurr = subroot
  - 2. loop (pCurr is not NULL)
    - 1. if (pCurr->data.key = DataIn.key)
      - 1. return duplicate\_error
    - 2. parent = pCurr
    - 3. if (DataIn.key < parent->data.key)
      - 1. pCurr = parent -> left
    - 4. else
      - 1. pCurr = parent -> right
  - 3. if (DataIn.key < parent->data.key) MUT-CNCP
    - 1. Allocate parent->left
    - 2. parent->left.data = DataIn
  - 4. else
    - 1. Allocate parent->right
    - 2. parent->right.data = DataIn
  - 5. return *success*



subroot

parent

**√** pCurr

- 2. else
  - 1. pCurr = subroot
  - 2. **loop** (pCurr is not NULL)
    - 1. if (pCurr->data.key = DataIn.key)
      - 1. return duplicate\_error
    - 2. parent = pCurr
    - 3. if (DataIn.key < parent->data.key)
      - 1. pCurr = parent -> left
    - 4. else
      - 1. pCurr = parent -> right
  - if (DataIn.key < parent->data.key)<sub>MUT-CNCP</sub>
    - 1. Allocate parent->left
    - 2. parent->left.data = DataIn
  - 4. else
    - 1. Allocate parent->right
    - 2. parent->right.data = DataIn
  - 5. return *success*



subroot

parent

<sub>u</sub> pCurr

```
ErrorCode Insert (val DataIn < DataType>)
```

Inserts a new node into a BST.

Post If the key of DataIn already belongs to the BST, <u>duplicate\_error</u> is returned. Otherwise, DataIn is inserted into the tree in such a way that the properties of a BST are preserved.

**Return** *duplicate\_error* or *success*.

**Uses** recursive\_Insert or iterative\_Insert function.

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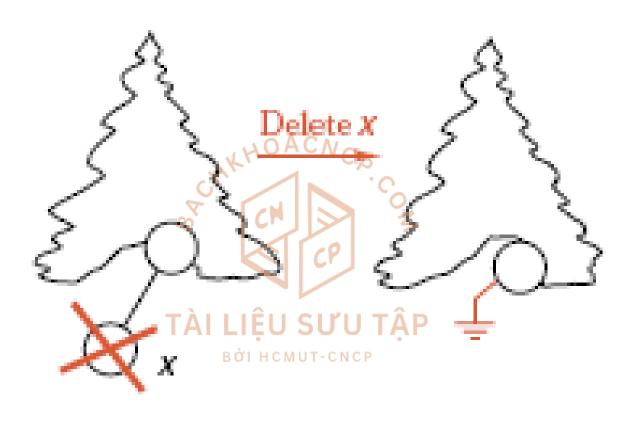
return recursive\_Insert (root, DataIn)

**End Insert** 

- Insertion a new node into a random BST with n nodes takes O(log n) steps.
- Insertion may take n steps when BST degenerates to a chain.
- If the keys are inserted in sorted order into an empty tree,

  BST becomes a chain.

### **Delete node from BST**



Deletion of a leaf:

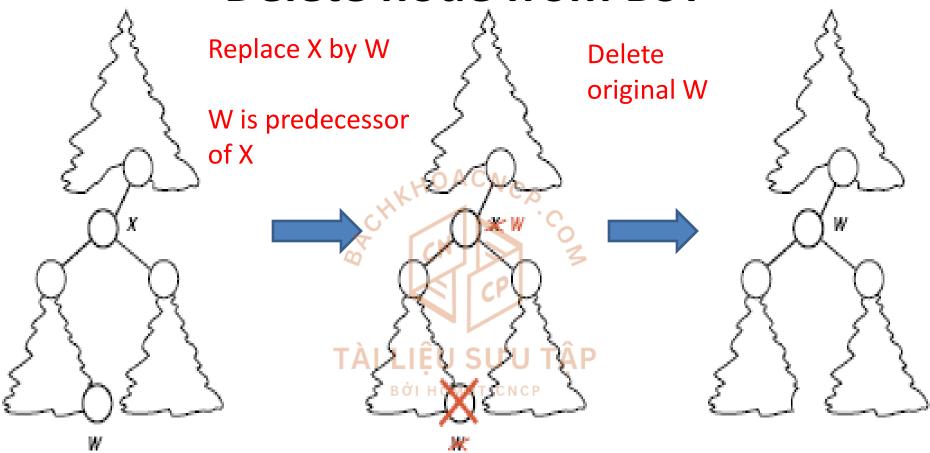
Set the deleted node's parent link to NULL.

### **Delete node from BST**



Deletion of a node having only right subtree or left subtree:
 Attach the subtree to the deleted node's parent.

### **Delete node from BST**

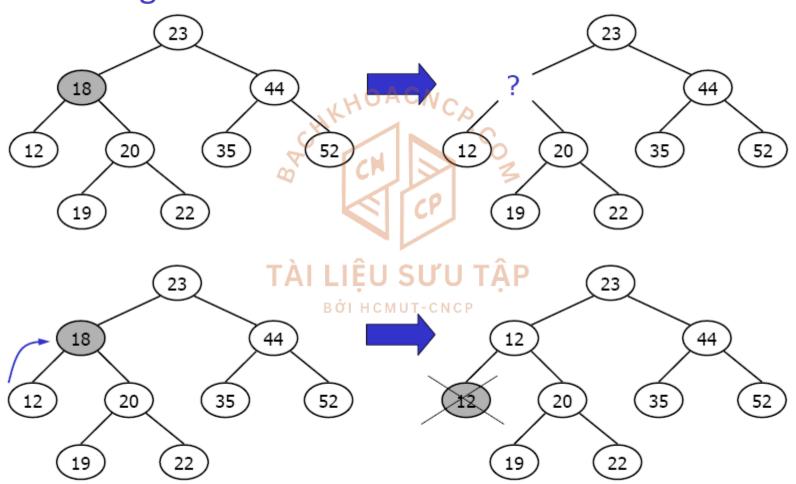


Deletion of a node having both subtrees:

Replace the deleted node by its predecessor or by its successor, recycle this node instead.

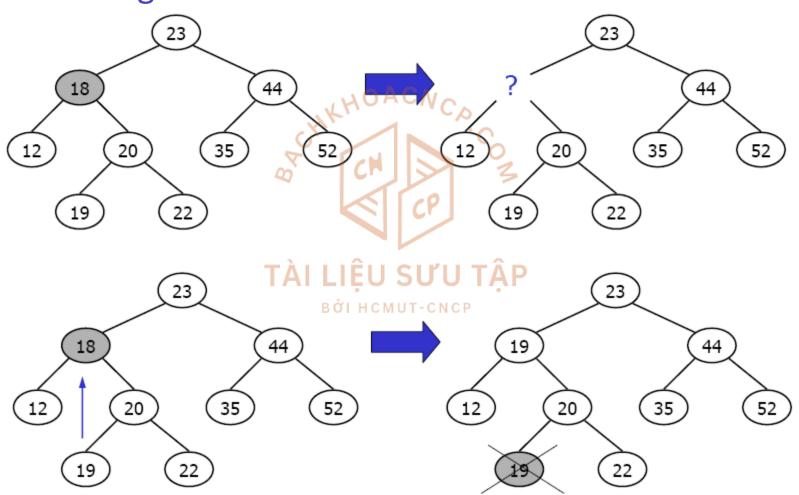
#### **Delete node from BST**

Node having both subtrees



#### **Delete node from BST**

Node having both subtrees



#### **Delete node from BST**

Auxiliary functions for Insert:



#### **Recursive Delete**

Deletes a node from a BST.

Pre subroot is NULL or points to the root of a subtree. Key contains value needs to be removed from BST.

**Post** If key is found, it will be removed from BST.

Return notFound or success LIÊU SƯU TẬP

Uses recursive\_Delete and RemoveNode functions.

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## Recursive Delete (cont.)

```
<ErrorCode> recursive Delete (ref subroot <pointer>,
                                   val key <KeyType>)
   if (subroot is NULL)
   1. return notFound
  else if (key < subroot->data.key)

    return recursive_Delete(subroot->left, key)

  else if (key > subroot->data.key)
   1. return recursive Delete(subroot->right, key)
  else
      RemoveNode(subroot)
       return success
End recursive Delete
```

#### **Delete Node from BST**

<ErrorCode> Delete (val key <KeyType>)

Deletes a node from a BST.

**Pre** subroot is NULL or points to the root of a subtree. Key contains value

needs to be removed from BST.

**Post** If key is found, it will be removed from BST.

Return notFound or success.

**Uses** recursive\_Delete and RemoveNode functions.

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return recursive\_Delete (root, key)

**End Delete** 

```
<void> RemoveNode (ref subroot <pointer>, val key <KeyType>)
                   // remember node to delete at end.
    pDel = subroot
   if (subroot ->left is NULL) // leaf node or node having only right subtree.
   1. subroot = subroot->right
                               \sim \langle (a) and (b)
  else if (subroot->right is NULL) // node having only left subtree.
   1. subroot = subroot->left
                                      subroo
 subroot
pDel
                                     pDel
                                                 20
               (a)
key needs to be deleted = 18
                                                                (b)
```

```
<void> RemoveNode (ref subroot <pointer>, val key <KeyType>)
                   // remember node to delete at end.
    pDel = subroot
   if (subroot ->left is NULL) // leaf node or node having only right subtree.
   1. subroot = subroot->right
                               \mathcal{A}(A(a)) and (b)
  else if (subroot->right is NULL) // node having only left subtree.
   1. subroot = subroot->left
 subroot
                                     subroot
                                     pDel
pDe
                                                 20
               (a)
key needs to be deleted = 18
                                                                (b)
```

```
<void> RemoveNode (ref subroot <pointer>, val key <KeyType>)
    pDel = subroot
                  // remember node to delete at end.
   if (subroot ->left is NULL) // leaf node or node having only right subtree.
   1. subroot = subroot->right
   else if (subroot->right is NULL) //
                                    node having only left subtree.
   1. subroot = subroot->left
                          TÀI LIỆU SƯU TẬP
                                                               subroot
                               BỞI HCMUT-CNCP
                                                                    pDel
                                                20
key needs to be deleted = 44 H HOACNCP.C
                                                                   (c)
```

```
<void> RemoveNode (ref subroot <pointer>, val key <KeyType>)
    pDel = subroot
                  // remember node to delete at end.
   if (subroot ->left is NULL) // leaf node or node having only right subtree.
   1. subroot = subroot->right
   else if (subroot->right is NULL) // node having only left subtree.
   1. subroot = subroot->left
                          TÀI LIÊU SƯU TẬP
                                                                subroot
                               BỞI HCMUT-CNCP
                                                20
key needs to be deleted = 44 H HOACNCP.C
                                                                   (c)
```

