INFERNO.

El mezzo del camin di nostra uita

n Mi ritronai per una selua oscura;

Che la diritta nia era smarrita:

E t quanto a dir qual era, è cosa dura

Esta selua seluaggia et aspra et sorte;

Che nel pensier rinuona la paura.

Natural Language Processing

Info 159/259

Lecture 14: Phrase-structure parsing (Mar 5, 2020)

David Bamman, UC Berkeley

Context-free grammar

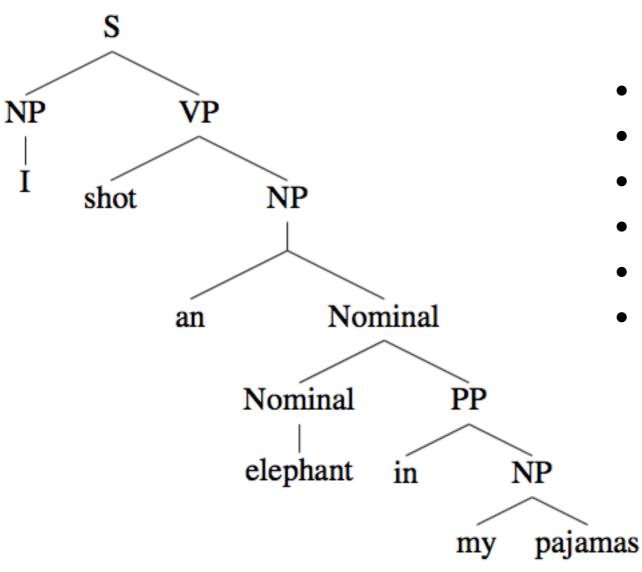
A context-free grammar defines how symbols in a language combine to form valid structures

NP	→	Det Nominal
NP	\rightarrow	ProperNoun
Nominal	\rightarrow	Noun Nominal Noun
Det	→	a the
Noun	\rightarrow	flight

non-terminals

lexicon/ terminals

Constituents



Every internal node is a phrase

- my pajamas
- in my pajamas
- elephant in my pajamas
- an elephant in my pajamas
- shot an elephant in my pajamas
- I shot an elephant in my pajamas

Each phrase could be replaced by another of the same type of constituent

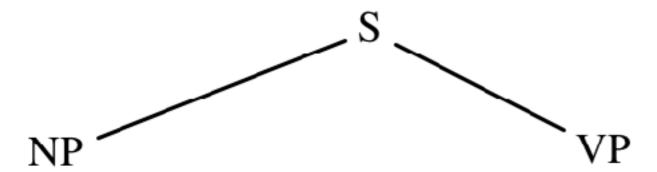
PCFG

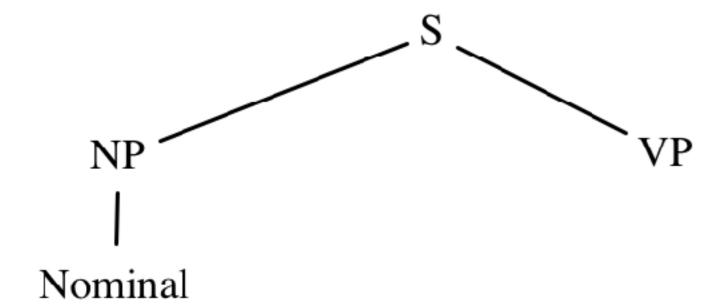
- Probabilistic context-free grammar: each production is also associated with a probability.
- This lets us calculate the probability of a parse for a given sentence; for a given parse tree T for sentence S comprised of n rules from R (each A → β):

$$P(T,S) = \prod_{i}^{m} P(\beta \mid A)$$

PCFG

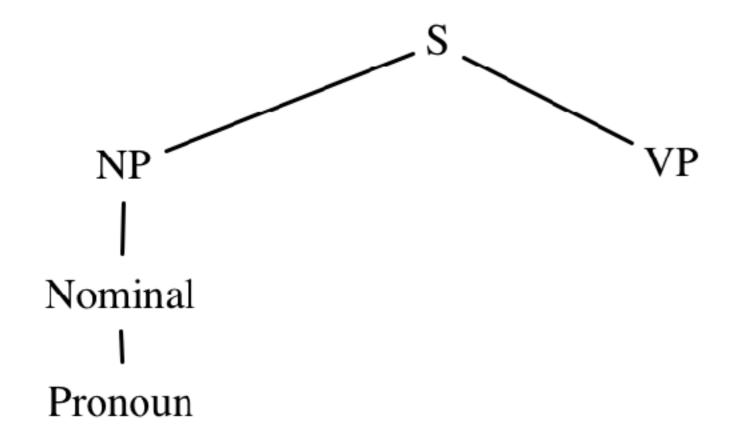
N	Finite set of non-terminal symbols	NP, VP, S
Σ	Finite alphabet of terminal symbols	the, dog, a
R	Set of production rules, each $A \rightarrow \beta [p]$ $p = P(\beta \mid A)$	S → NP VP Noun → dog
S	Start symbol	





 $P(NP VP \mid S)$

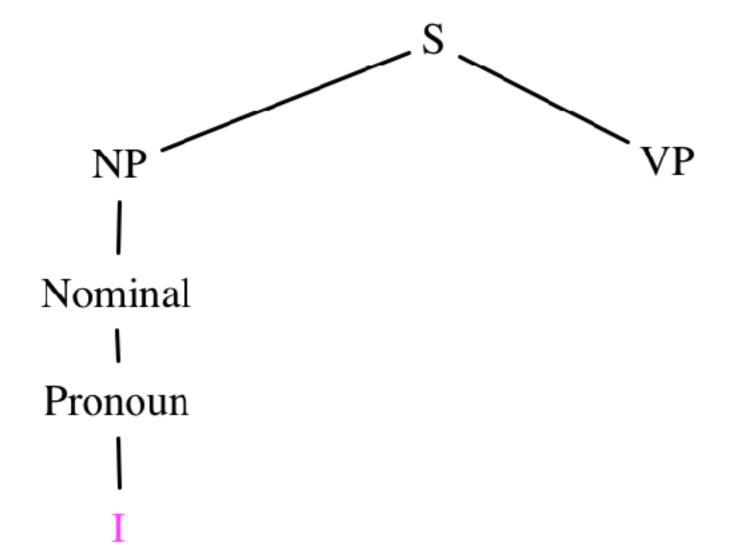
 $\times P(Nominal \mid NP)$



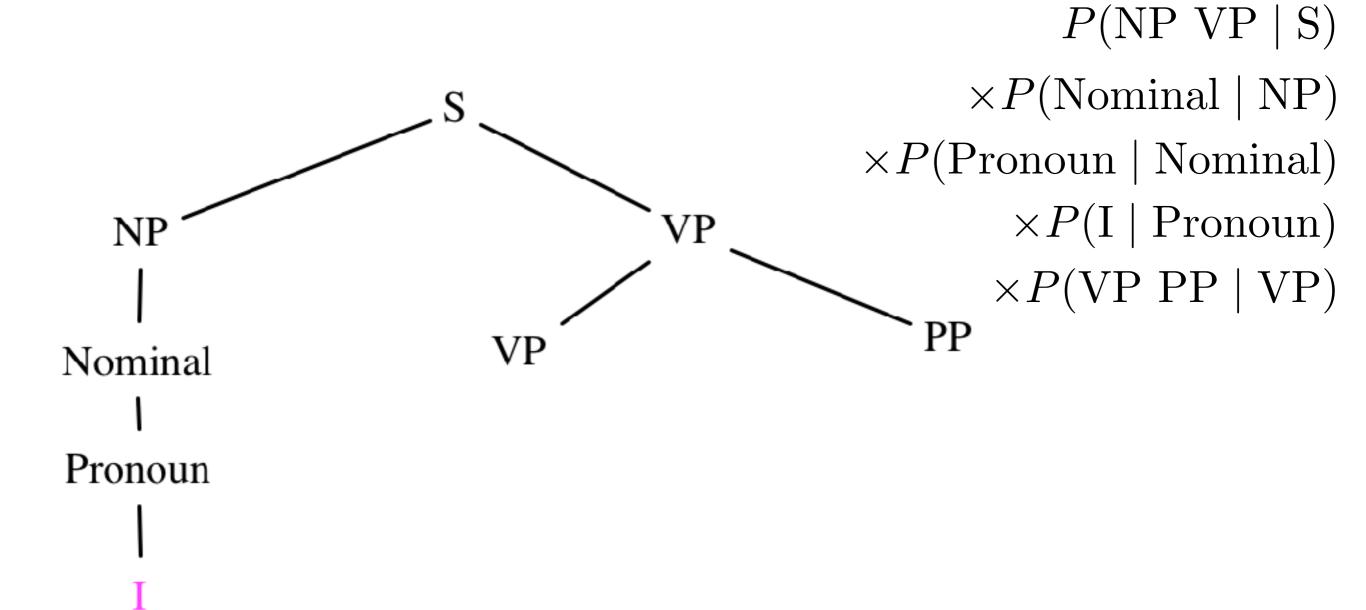
 $P(NP VP \mid S)$

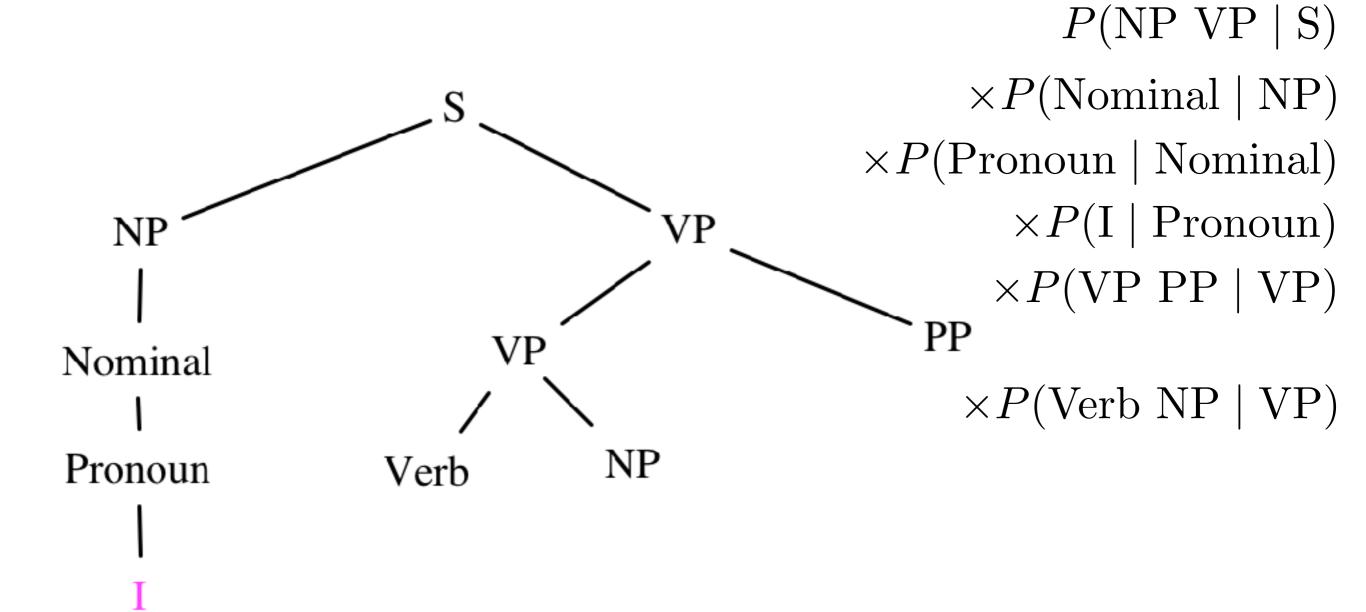
 $\times P(Nominal \mid NP)$

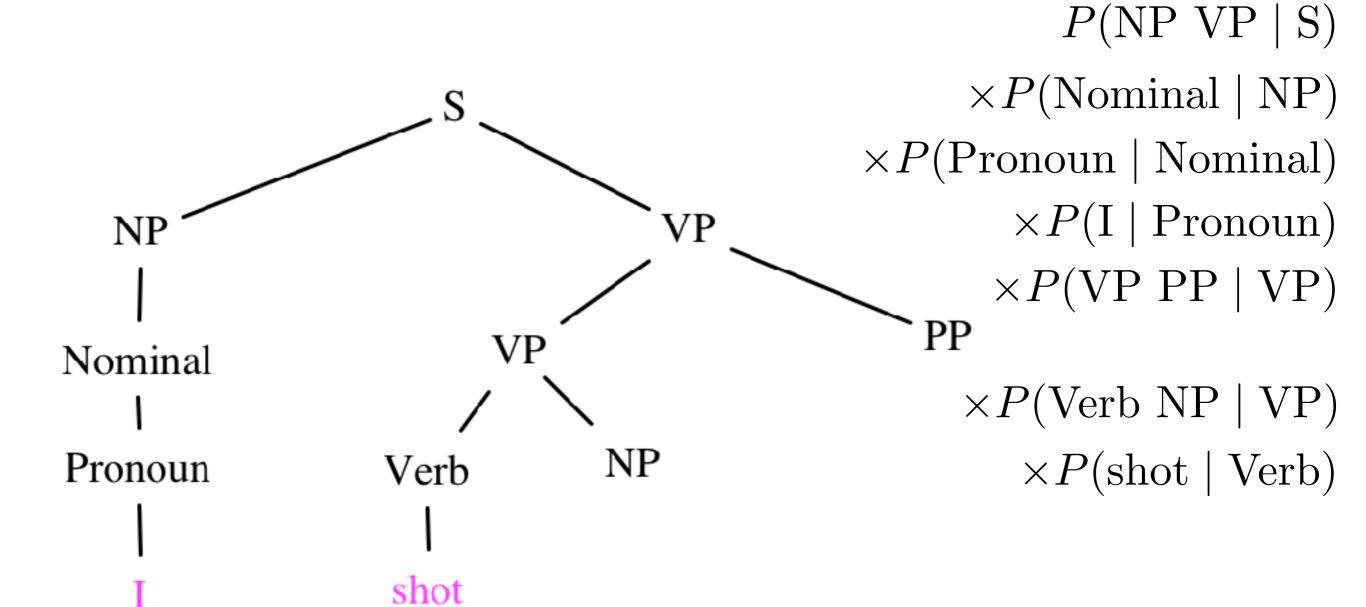
 $\times P(Pronoun \mid Nominal)$

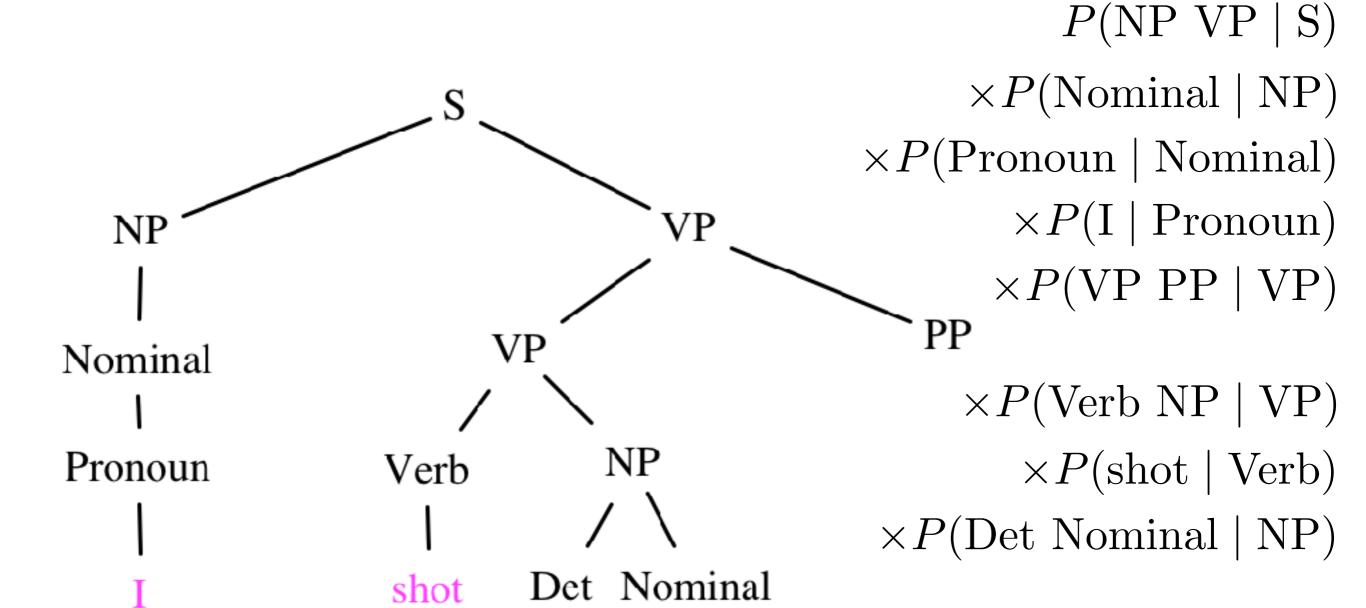


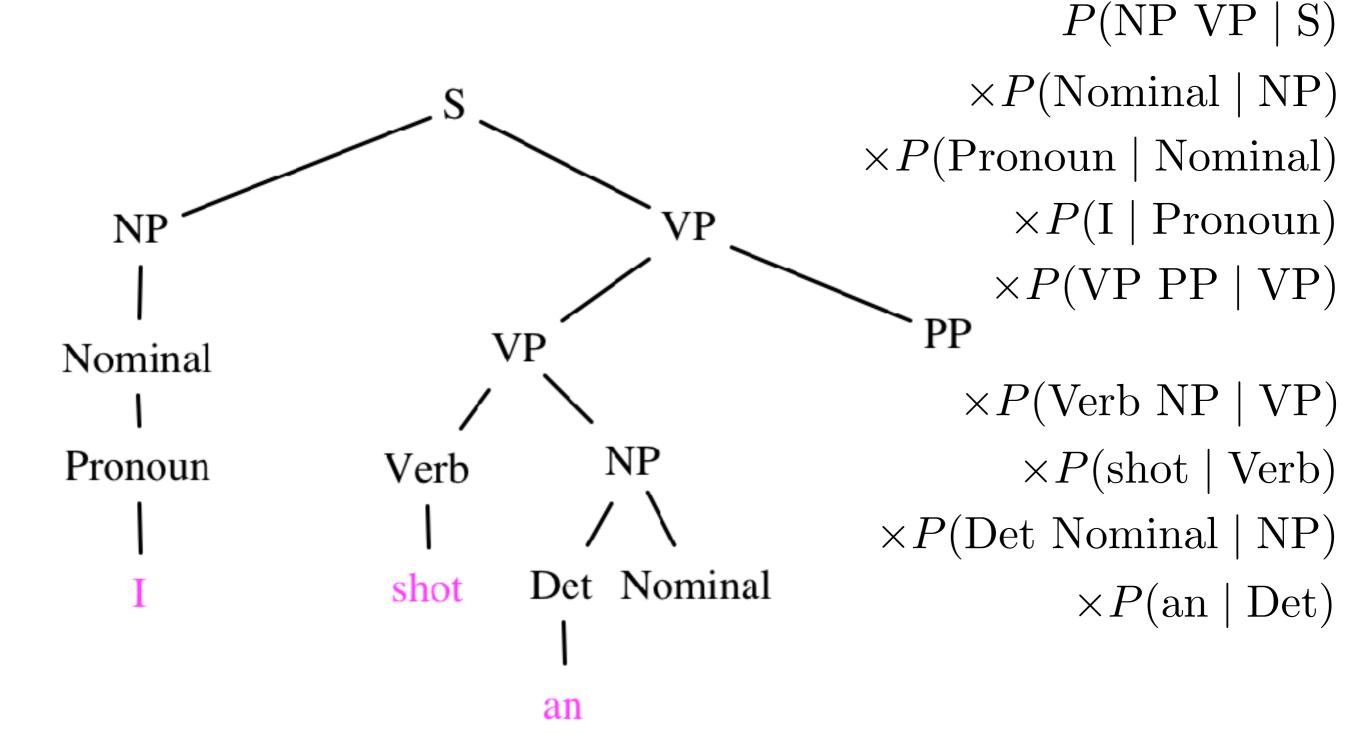
P(NP VP | S) $\times P(\text{Nominal } | \text{NP})$ $\times P(\text{Pronoun } | \text{Nominal})$ $\times P(\text{I } | \text{Pronoun})$

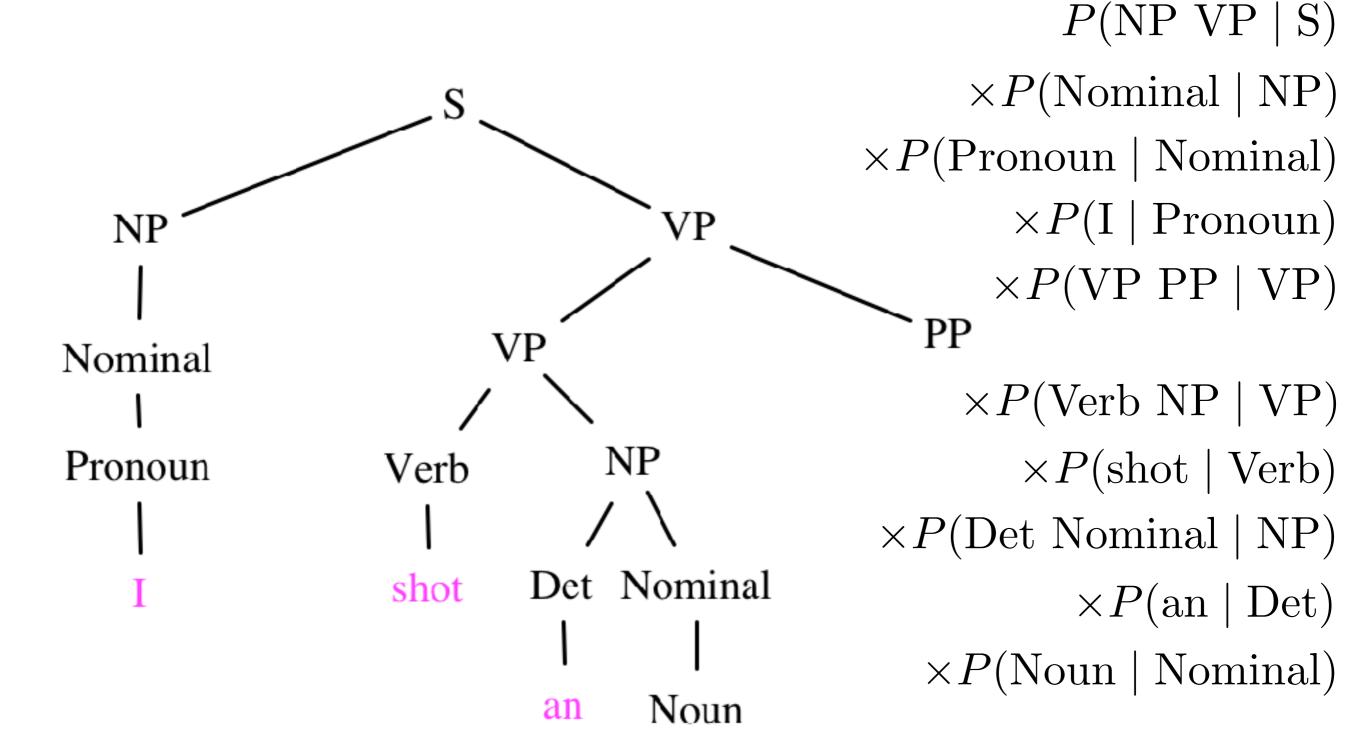


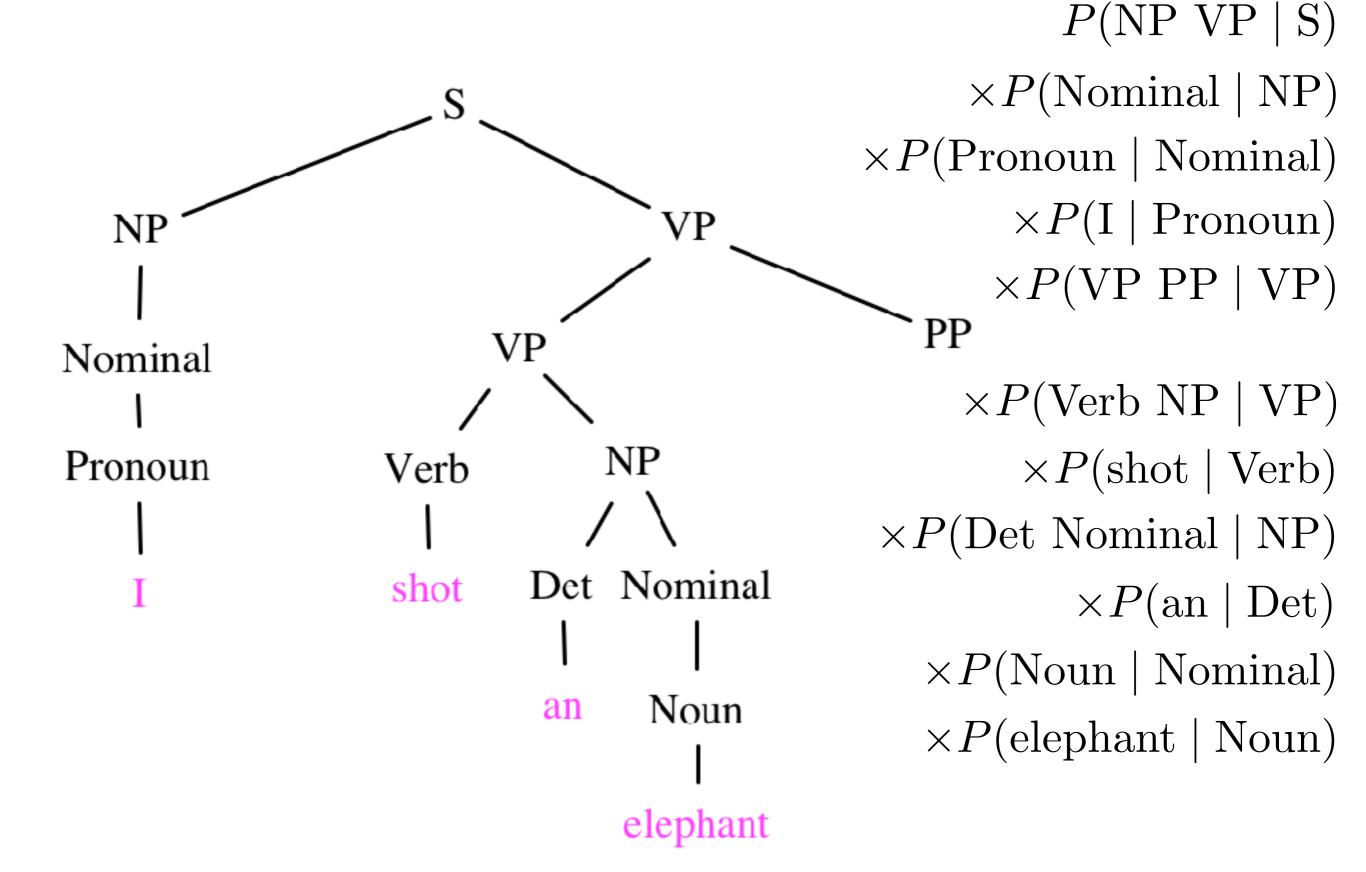


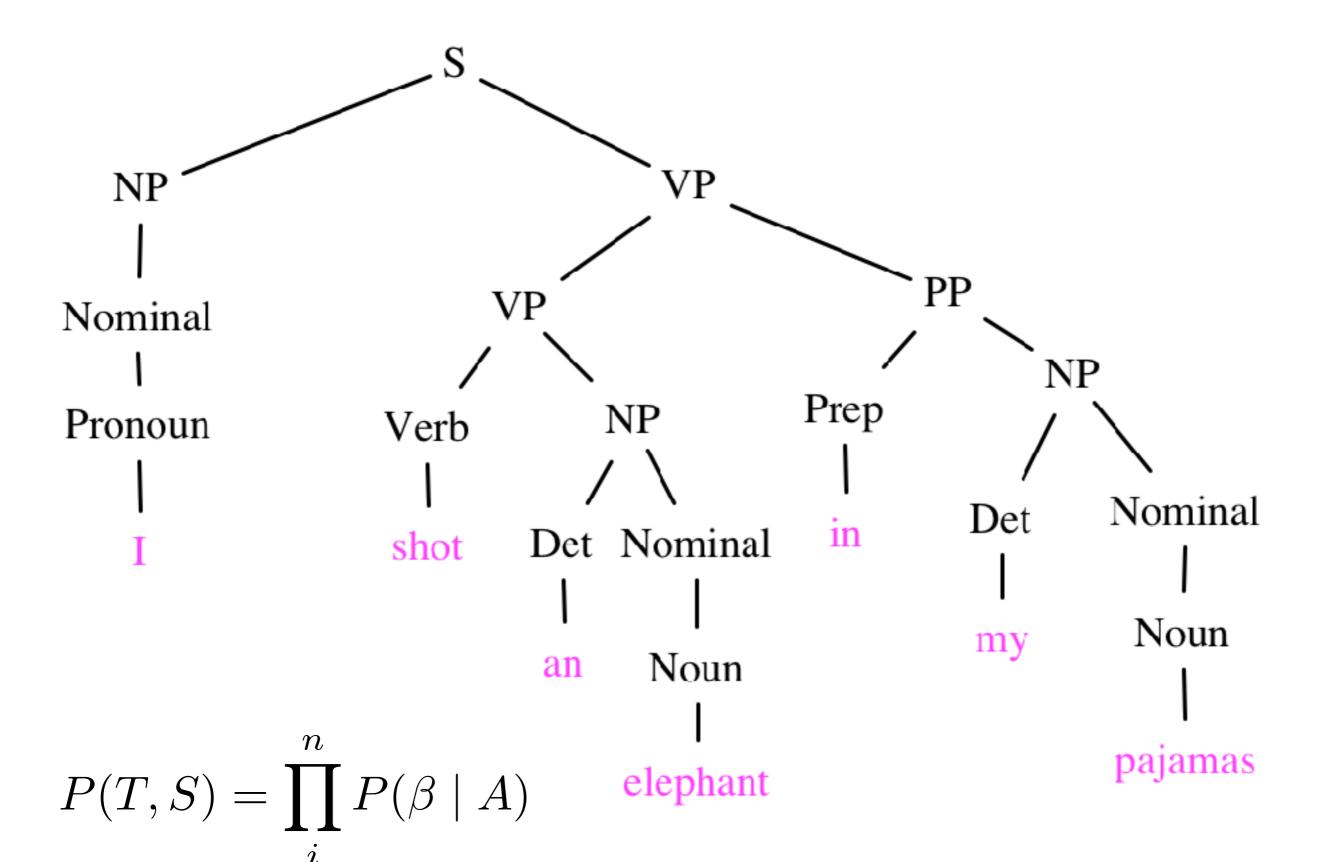












PCFGs

- A PCFG gives us a mechanism for assigning scores (here, probabilities) to different parses for the same sentence.
- But we often care about is finding the single best parse with the highest probability.

Context-free grammar

N	Finite set of non-terminal symbols	NP, VP, S
Σ	Finite alphabet of terminal symbols	the, dog, a
R	Set of production rules, each $A \rightarrow \beta$ $\beta \in (\Sigma, N)$	NP → DT JJ NN Noun → dog
S	Start symbol	

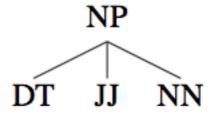
Chomsky Normal Form (CNF)

N	Finite set of non-terminal symbols	NP, VP, S
Σ	Finite alphabet of terminal symbols	the, dog, a
R	Set of production rules, each $A \rightarrow \beta$ $\beta = \text{single terminal (from } \Sigma) \text{ or two non-terminals (from } N)$	S → NP VP Noun → dog
S	Start symbol	

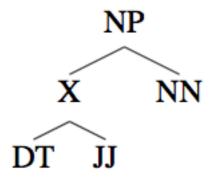
Chomsky Normal Form (CNF)

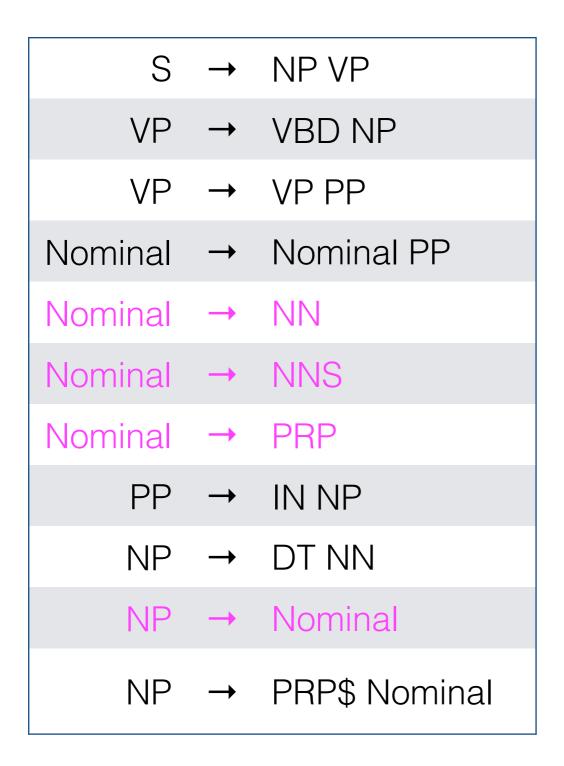
 Any CFG can be converted into weakly equivalent CNF (recognizing the same set of sentences as existing in the grammar but differing in their derivation).

NP → DT JJ NN



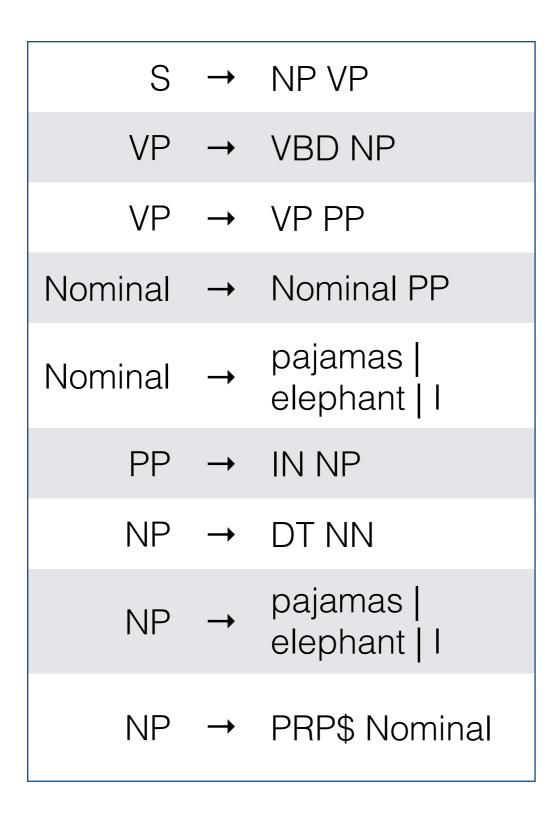
 $NP \rightarrow X NN$ $X \rightarrow DT JJ$

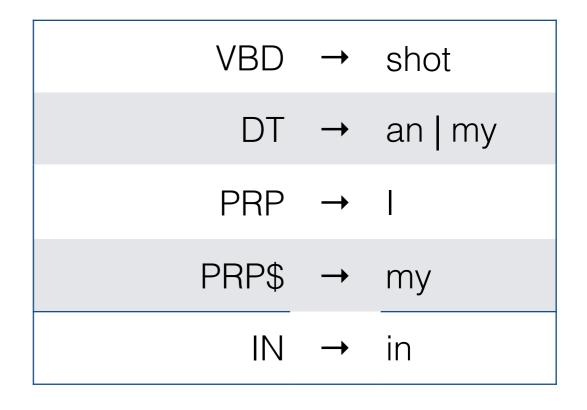




VBD	→	shot
DT	\rightarrow	an my
NN	\rightarrow	elephant
NNS	\rightarrow	pajamas
PRP	\rightarrow	I
PRP\$	\rightarrow	my
IN	\rightarrow	in

I shot an elephant in my pajamas

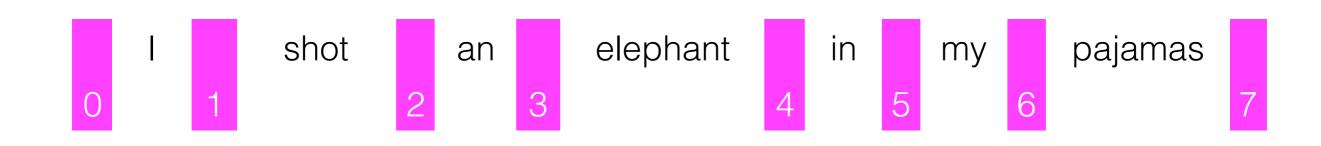




I shot an elephant in my pajamas

CKY

- Cocke-Kasami-Younger algorithm (also CYK) for parsing from a grammar expressed in CNF.
 - Kasami (1965)
 - Younger (1967)
 - Cocke and Schwartz (1970)
- Bottom-up dynamic programming: once we discover a constituent, we can make it available for any rule that needs it.



	shot	an	elephant	in	my	pajamas
NP, PRP [0,1]						
	VBD [1,2]					
		DT [2,3]				
			NP, NN [3,4]			
Fach cell i i	Each cell i,j keeps track of all					
phrase types that can be formed from <i>all</i> words from position i through position j						
						NNS [6,7]

	shot	an	elephant	in	my	pajamas
NP, PRP [0,1]						
	VBD [1,2]					
		DT [2,3]				
			NP, NN [3,4]			
				IN [4,5]		
What phrases can be formed from "shot an elephant in"					PRP\$ [5,6]	
						NNS [6,7]

l	shot	an	elephant	in	my	pajamas
NP, PRP [0,1]						
	VBD [1,2]					
		DT [2,3]				
			NP, NN [3,4]			
				IN [4,5]		
What phrases can be formed from "I shot an elephant in my pajamas"					PRP\$ [5,6]	
рајаттаѕ						NNS [6,7]

CNF

 In CNF, each non-terminal generates two nonterminals

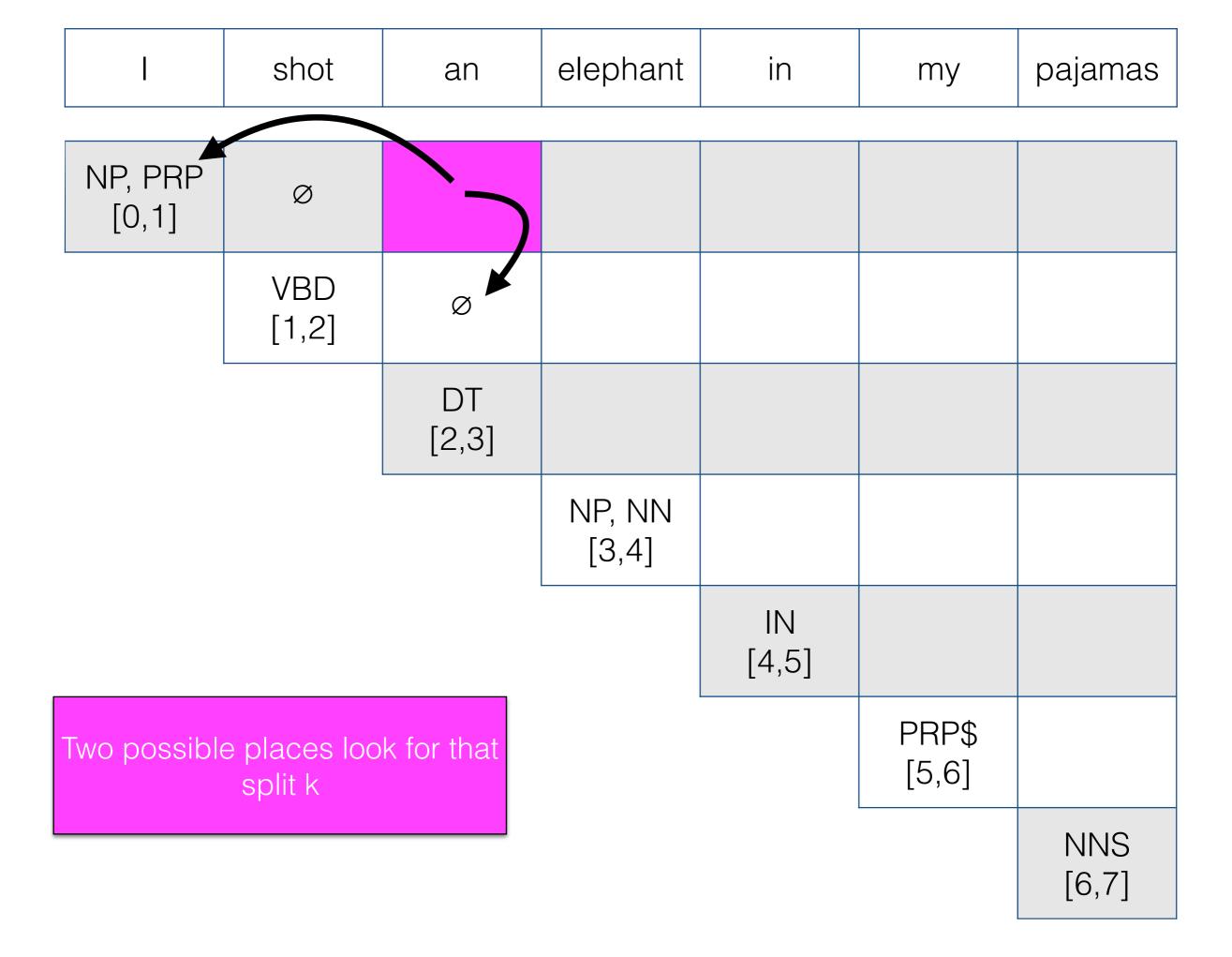
 $S \rightarrow NP VP$

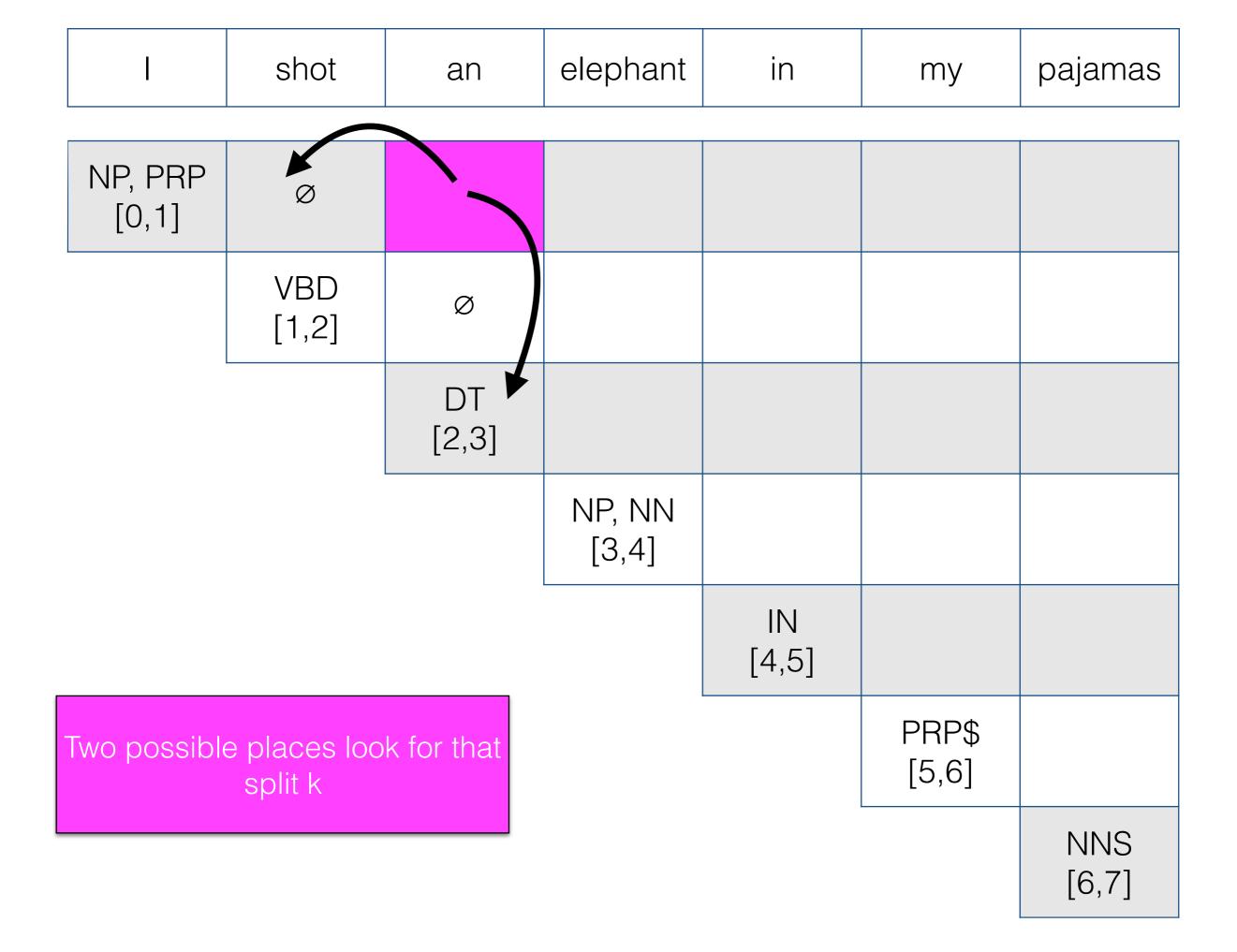
[S [NP I] [VP shot an elephant in my pajamas]]

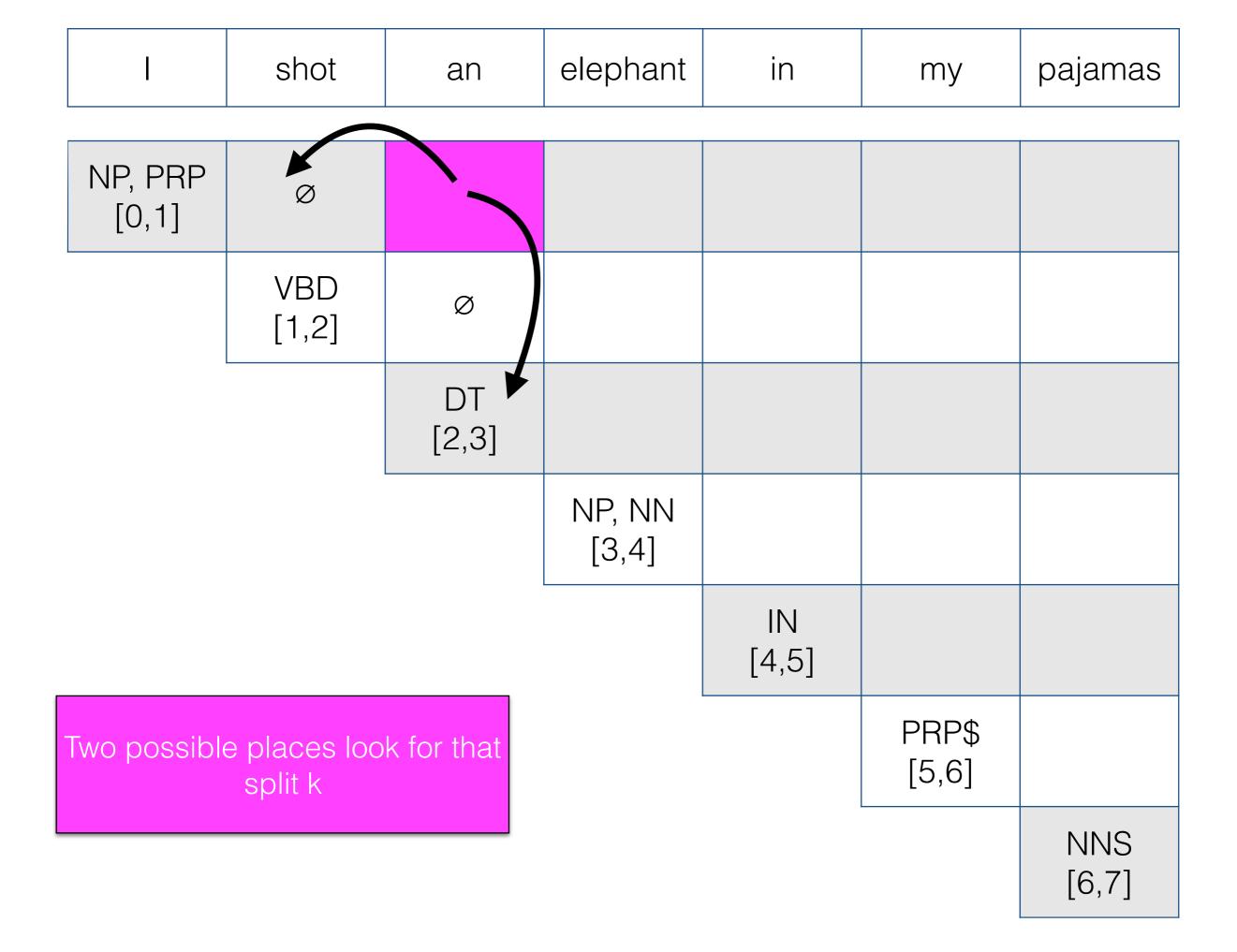
 If the left-side non-terminal (S) spans tokens i-j, the right side (NP VP) must also span i-j, and there must be a single position k that separates them.

l	shot	an	elephant	in	my	pajamas
NP, PRP [0,1]						
	VBD [1,2]					
		DT [2,3]				
			NP, NN [3,4]			
				IN [4,5]		
Does any rule generate PRP VBD?		te PRP			PRP\$ [5,6]	
						NNS [6,7]

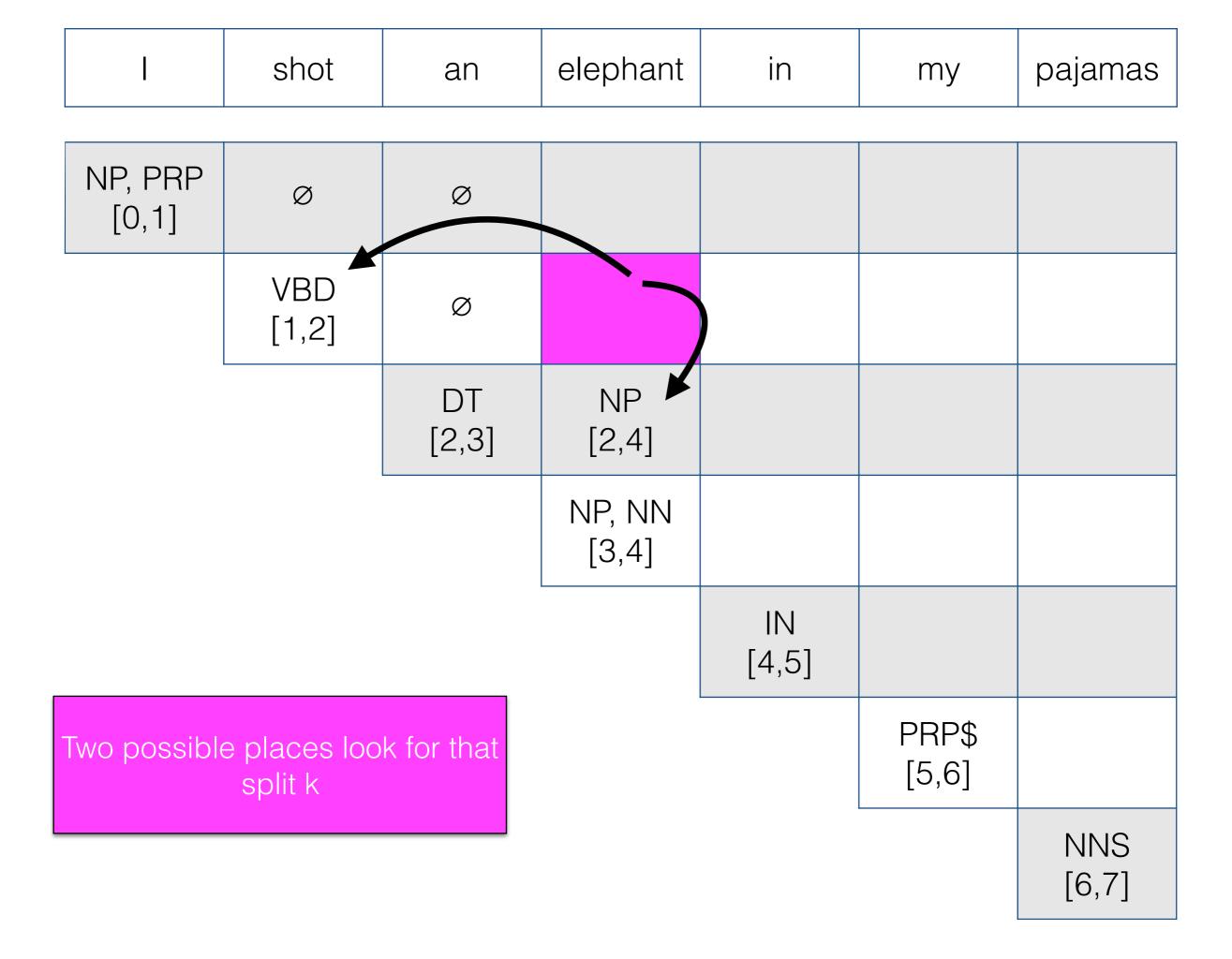
	shot	an	elephant	in	my	pajamas
NP, PRP [0,1]	Ø					
	VBD [1,2]					
		DT [2,3]				
			NP, NN [3,4]			
				IN [4,5]		
Does any rule generate VBD DT?		erate			PRP\$ [5,6]	
						NNS [6,7]

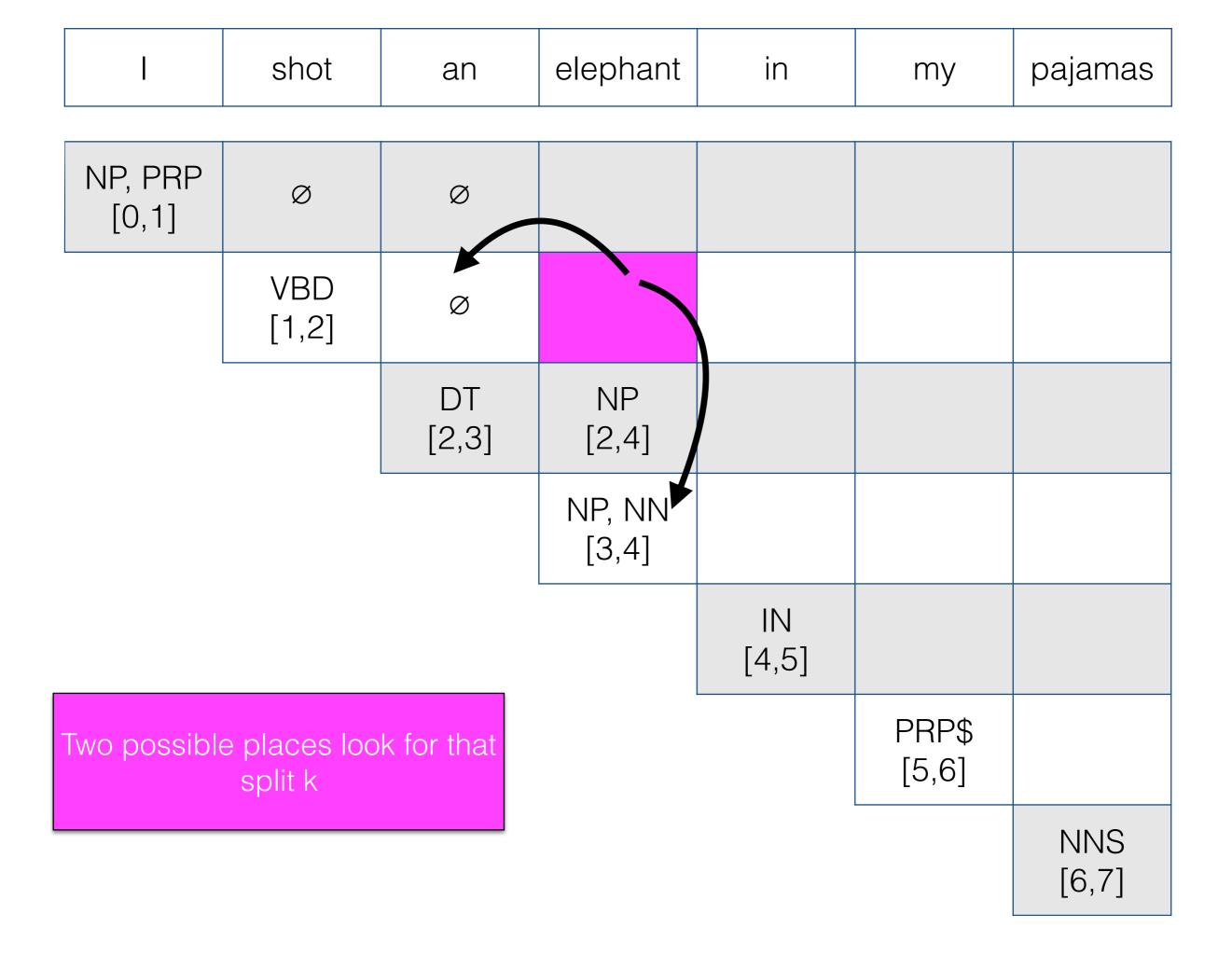




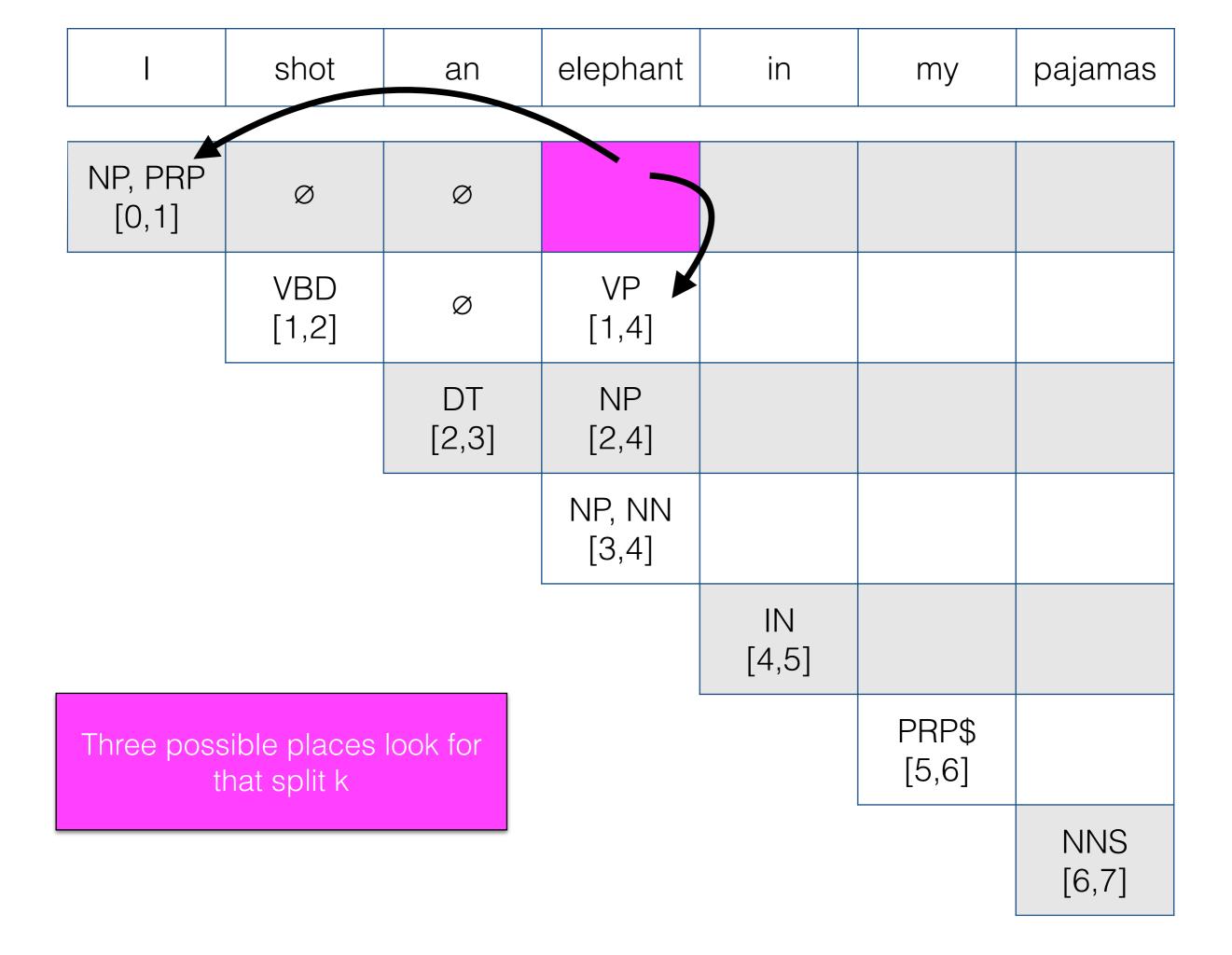


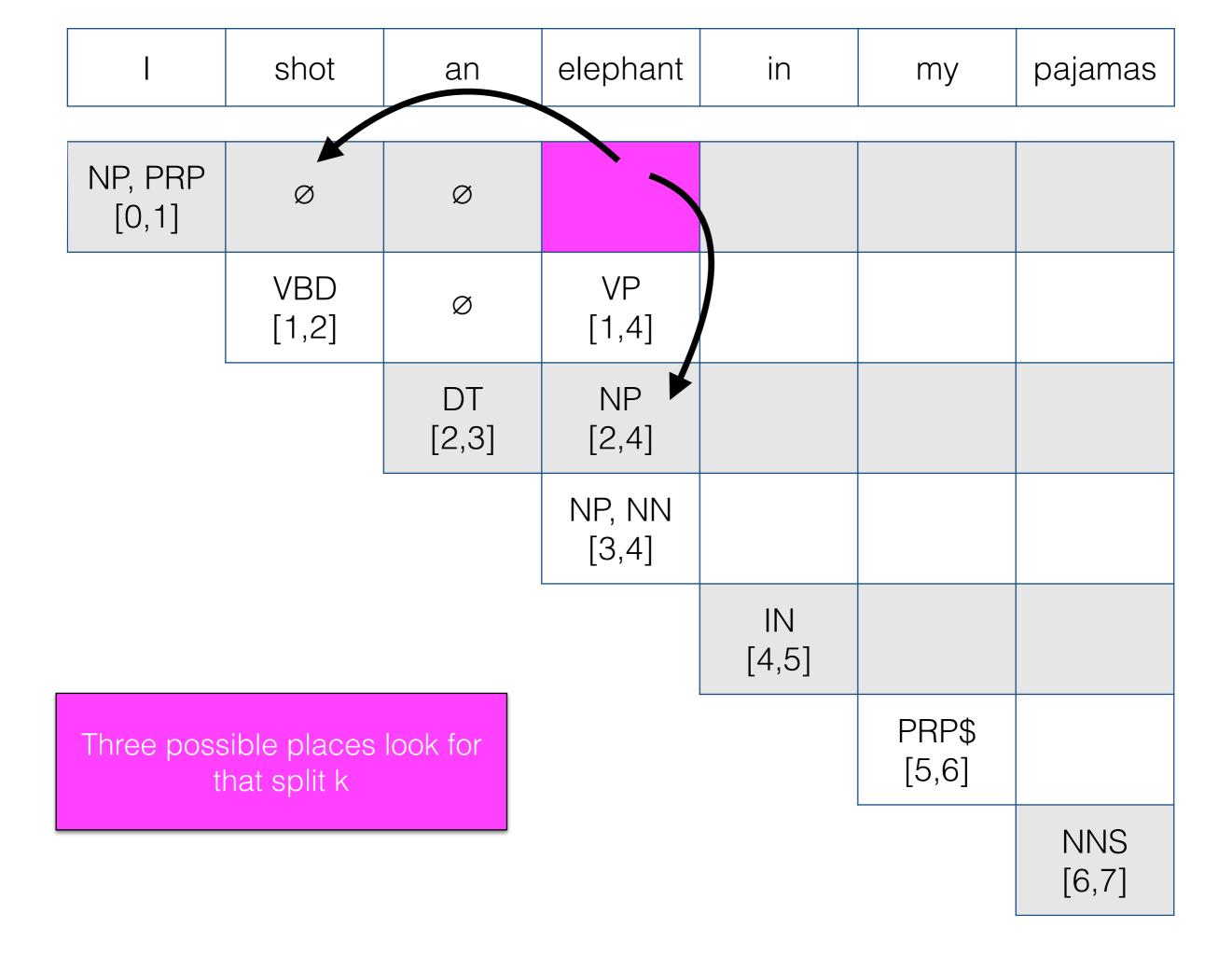
l	shot	an	elephant	in	my	pajamas
NP, PRP [0,1]	Ø	Ø				
	VBD [1,2]	Ø				
		DT [2,3]				
			NP, NN [3,4]			
				IN [4,5]		
Does any rule generate DT NN?			,		PRP\$ [5,6]	
						NNS [6,7]

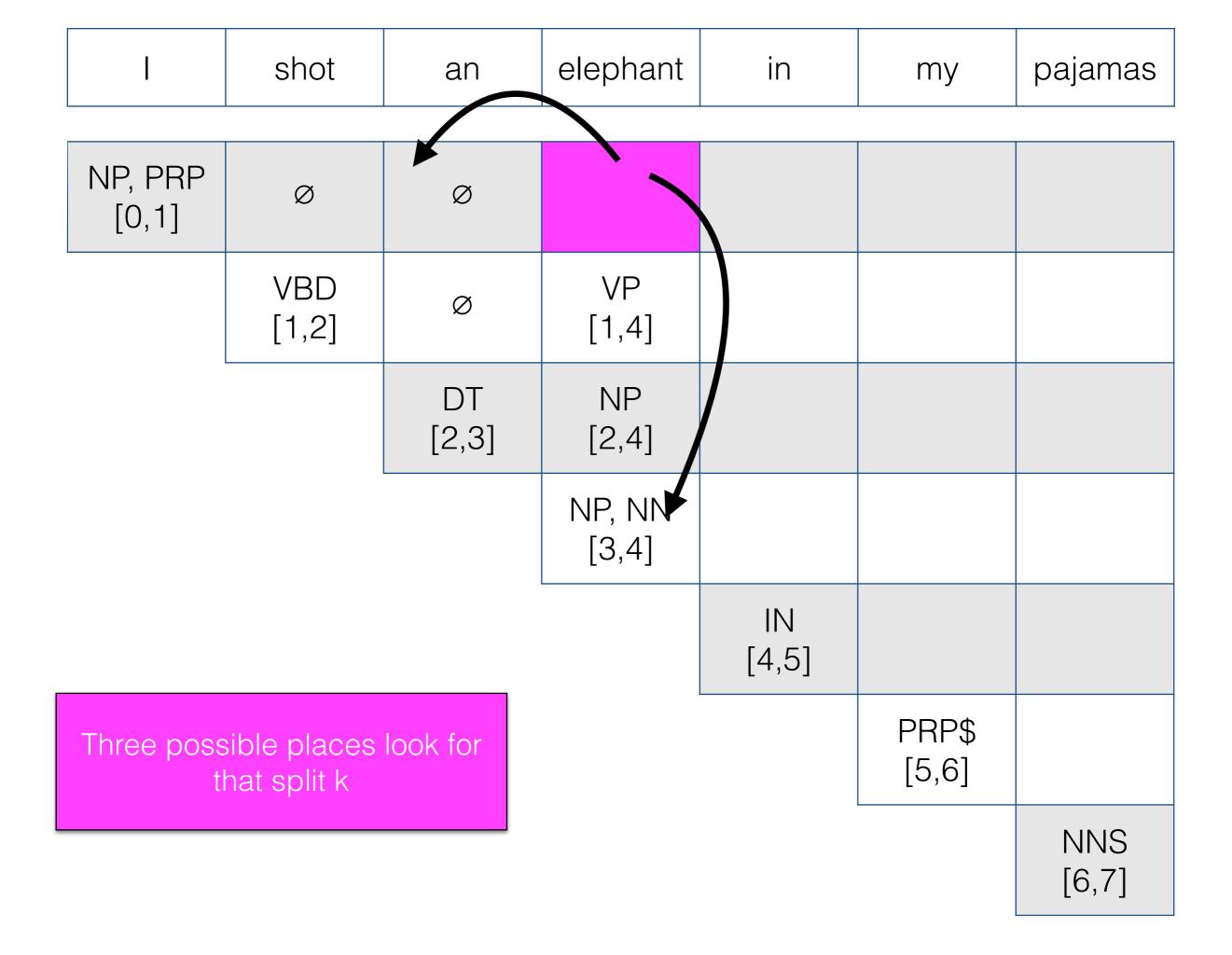




l	shot	an	elephant	in	my	pajamas
NP, PRP [0,1]	Ø	Ø				
	VBD [1,2]	Ø	VP [1,4]			
		DT [2,3]	NP [2,4]			
			NP, NN [3,4]			
				IN [4,5]		
	sible places nat split k	look for			PRP\$ [5,6]	
						NNS [6,7]







	shot	an	elephant	in	my	pajamas
NP, PRP [0,1]	Ø	Ø	S [0,4]			
	VBD [1,2]	Ø	VP [1,4]			
		DT [2,3]	NP [2,4]			
			NP, NN [3,4]			
				IN [4,5]		
					PRP\$ [5,6]	
						NNS [6,7]

	l	shot	an	elephant	in	my	pajamas
	NP, PRP [0,1]	Ø	Ø	S [0,4]	Ø	Ø	
		VBD [1,2]	Ø	VP [1,4]	Ø	Ø	
			DT [2,3]	NP [2,4]	Ø	Ø	
				NP, NN [3,4]	Ø	Ø	
*ele	ephant in	*in r	nγ		IN [4,5]	Ø	
*an *sh	elephant in ot an elephant hot an elepha	*ele ant in *an	phant in my elephant in o ot an elepha	•	PRP\$ [5,6]		
. 0		14111	not an elepha	_			NNS [6,7]

	shot	an	elephant	in	my	pajamas
NP, PRP [0,1]	Ø	Ø	S [0,4]	Ø	Ø	
	VBD [1,2]	Ø	VP [1,4]	Ø	Ø	
		DT [2,3]	NP [2,4]	Ø	Ø	
			NP, NN [3,4]	Ø	Ø	
				IN [4,5]	Ø	
					PRP\$ [5,6]	NP [5,7]
						NNS [6,7]

	shot	an	elephant	in	my	pajamas
NP, PRP [0,1]	Ø	Ø	S [0,4]	Ø	Ø	
	VBD [1,2]	Ø	VP [1,4]	Ø	Ø	
		DT [2,3]	NP [2,4]	Ø	Ø	
			NP, NN [3,4]	Ø	Ø	
				IN [4,5]	Ø	PP [4,7]
					PRP\$ [5,6]	NP [5,7]
						NNS [6,7]

	shot	an	elephant	in	my	pajamas
NP, PRP [0,1]	Ø	Ø	S [0,4]	Ø	Ø	
	VBD [1,2]	Ø	VP [1,4]	Ø	Ø	
		DT [2,3]	NP [2,4]	Ø	Ø	
			NP, NN [3,4]	Ø	Ø	NP [3,7]
				IN [4,5]	Ø	PP [4,7]
					PRP\$ [5,6]	NP [5,7]
						NNS [6,7]

	shot	an	elephant	in	my	pajamas
NP, PRP [0,1]	Ø	Ø	S [0,4]	Ø	Ø	
	VBD [1,2]	Ø	VP [1,4]	Ø	Ø	
		DT [2,3]	NP [2,4]	Ø	Ø	NP [3,7]
			NP, NN [3,4]	Ø	Ø	NP [3,7]
				IN [4,5]	Ø	PP [4,7]
					PRP\$ [5,6]	NP [5,7]
						NNS [6,7]

	shot	an	elephant	in	my	pajamas
NP, PRP [0,1]	Ø	0	S [0,4]	Ø		
	VBD [1,2]	Ø	VP [1,4]	Ø	Ø	
		DT [2,3]	NP [2,4]	Ø	Ø	NP ⊭ [3,7]
			NP, NN [3,4]	Ø	Ø	NP [3,7]
				IN [4,5]	Ø	PP [4,7]
					PRP\$ [5,6]	NP [5,7]
						NNS [6,7]

I	shot	an	elephant	in	my	pajamas
			_			
NP, PRP [0,1]	Ø	Ø	S [0,4]	Ø	Ø	
	VBD [1,2]	Ø	VP [1,4]	Ø	Ø	
		DT [2,3]	NP [2,4]	Ø	Ø	NP [3,7]
			NP, NN [3,4]	Ø	Ø	NP (3,7)
				IN [4,5]	Ø	PP [4,7]
					PRP\$ [5,6]	NP [5,7]
						NNS [6,7]

	shot	an	elephant	in	my	pajamas
NP, PRP [0,1]	Ø	Ø	S [0,4]		Ø	
	VBD [1,2]	Ø	VP [1,4]	Ø	Ø	
		DT [2,3]	NP [2,4]	Ø	Ø	NP [3,7]
			NP, NN [3,4]	Ø	Ø	NP [3,7]
				IN [4,5]	Ø	PP • [4,7]
					PRP\$ [5,6]	NP [5,7]
						NNS [6,7]

[shot	an	elephant	in	my	pajamas
NP, PRP [0,1]	Ø	Ø	S [0,4]	Ø	Ø	
	VBD [1,2]	Ø	VP [1,4]	Ø	Ø	
		DT [2,3]	NP [2,4]	Ø	Ø	NP [3,7]
			NP, NN [3,4]	Ø	Ø	NP [3,7]
				IN [4,5]	Ø	PP [4,7]
					PRP\$ [5,6]	NP 1 [5,7]
						NNS [6,7]

		I	T.	<u> </u>	<u> </u>	1
l	shot	an	elephant	in	my	pajamas
NP, PRP [0,1]	Ø	Ø	S [0,4]	Ø	Ø	
	VBD [1,2]	Ø	VP [1,4]	Ø	Ø	
		DT [2,3]	NP [2,4]	Ø	Ø	NP [3,7]
			NP, NN [3,4]	Ø	Ø	NP [3,7]
				IN [4,5]	Ø	PP [4,7]
					PRP\$ [5,6]	NP [5,7]
						NNS ▶ [6,7]

	shot	an	elephant	in	my	pajamas
			· ·			' '
NP, PRP [0,1]	Ø	Ø	S [0,4]		Ø	
	VBD [1,2]	Ø	VP [1,4]	Ø	Ø	
		DT [2,3]	NP [2,4]	Ø	Ø	NP [3,7]
			NP, NN [3,4]	Ø	Ø	NP [3,7]
				IN [4,5]	Ø	PP ► [4,7]
					PRP\$ [5,6]	NP [5,7]
						NNS [6,7]

	shot	an	elephant	in	my	pajamas
NP, PRP [0,1]	Ø	Ø	S [0,4]	Ø	Ø	
	VBD [1,2]	Ø	VP [1,4]	Ø	Ø	VP ₁ , VP ₂ [1,7]
		DT [2,3]	NP [2,4]	Ø	Ø	NP [2,7]
			NP, NN [3,4]	Ø	Ø	NP [3,7]
				IN [4,5]	Ø	PP [4,7]
					PRP\$ [5,6]	NP [5,7]
						NNS [6,7]

nas
/P ₂
]
]
S []
,] ,]

	shot	an	elephant	in	my	pajamas
NP, PRP [0,1]	Ø	Ø	S [0,4]	Ø	Ø	S _{1,} S ₂ [0,7]
	VBD [1,2]	Ø	VP [1,4]	Ø	Ø	VP ₁ , VP ₂ [1,7]
		DT [2,3]	NP [2,4]	Ø	Ø	NP [2,7]
			NP, NN [3,4]	Ø	Ø	NP [3,7]
				IN [4,5]	Ø	PP [4,7]
Success! We've recognized a total of two valid parses PRP\$ [5,6]						

CKY algorithm

```
function CKY-PARSE(words, grammar) returns table  \begin{aligned} & \textbf{for } j \leftarrow \textbf{from 1 to Length}(words) \, \textbf{do} \\ & \textbf{for all } \{A \mid A \rightarrow words[j] \in grammar\} \\ & table[j-1,j] \leftarrow table[j-1,j] \cup A \\ & \textbf{for } i \leftarrow \textbf{from } j-2 \, \textbf{downto 0 do} \\ & \textbf{for } k \leftarrow i+1 \, \textbf{to } j-1 \, \textbf{do} \\ & \textbf{for all } \{A \mid A \rightarrow BC \in grammar \, \textbf{and} \, B \in table[i,k] \, \textbf{and} \, C \in table[k,j]\} \\ & table[i,j] \leftarrow table[i,j] \cup A \end{aligned}
```

Figure 12.5 The CKY algorithm.

	shot	an	elephant	in	my	pajamas
NP, PRP [0,1]	Ø	Ø	S [0,4]	Ø	Ø	S _{1,} S ₂ [0,7]
	VBD [1,2]	Ø	VP [1,4]	Ø	Ø	VP ₁ , VP ₂ [1,7]
		DT [2,3]	NP [2,4]	Ø	Ø	NP [2,7]
			NP, NN [3,4]	Ø	Ø	NP [3,7]
				IN [4,5]	Ø	PP [4,7]
Runtim	e complexit	y?			PRP\$ [5,6]	NP [5,7]

CFG

- This use of CKY allows us to:
 - check whether a sentence in grammatical in the language defined by the CFG
 - enumerate all possible parses for a sentence
- But it doesn't tell us on its one which of those possible parses is most likely.

PCFGs

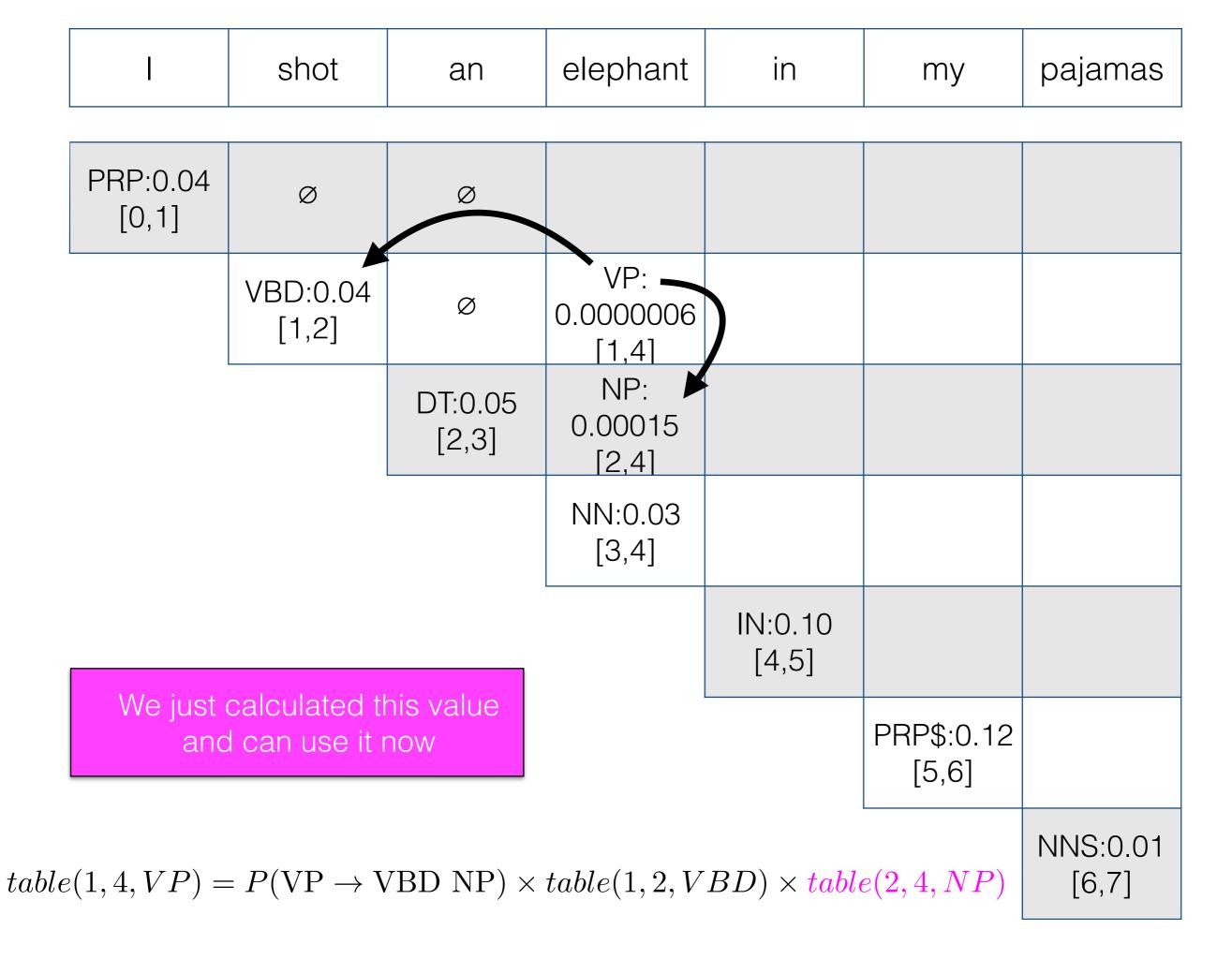
- A PCFG gives us a mechanism for assigning scores (here, probabilities) to different parses for the same sentence.
- But we often care about is finding the single best parse with the highest probability.
- We calculate the max probability parse using CKY by storing the probability of each phrase within each cell as we build it up.

 $table(i, j, A) = P(A \rightarrow BC) \times table(i, k, B) \times table(k, j, C)$

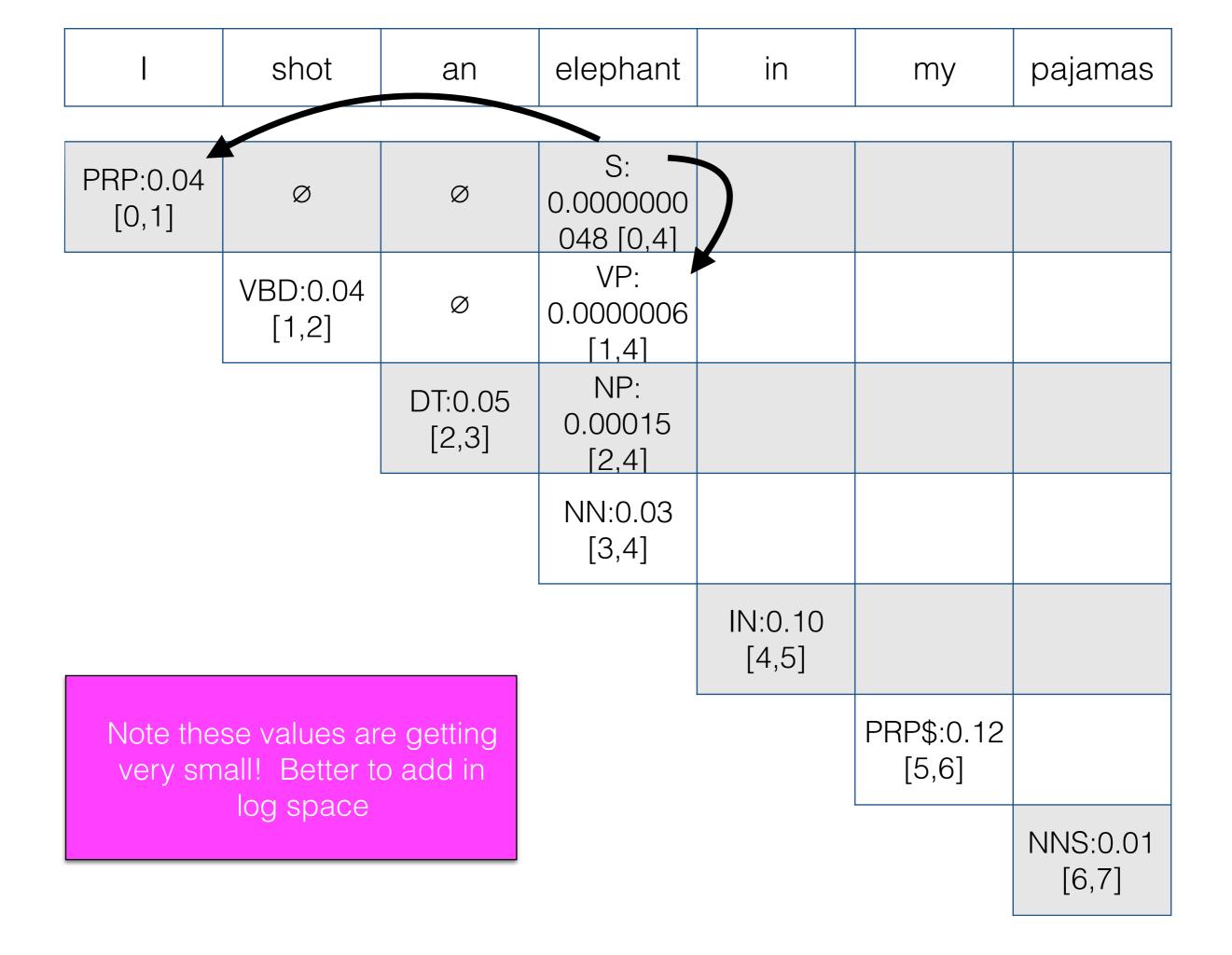
I	shot	an	elephant	in	my	pajamas
PRP:0.04 [0,1]						
	VBD:0.04 [1,2]					
		DT:0.05 [2,3]				
			NN:0.03 [3,4]			
Probability of a terminal (word) given its tag IN:0.10 [4,5] PRP\$:						
P(A ightarrow eta) [5.6]					0.12	NNS:0.01

[6,7]

	I	shot	an	elephant	in	my	pajamas	
	PRP:0.04 [0,1]	Ø	Ø					
		VBD:0.04 [1,2]	Ø					
			DT:0.05 [2,3]	NP: - 0.00015 [2,4]				
				NN:0.03 [3,4]				
					IN:0.10 [4,5]			
						PRP\$:0.12 [5,6]		
tab	$table(2,4,NP) = P(\text{NP} \rightarrow \text{DT NN}) \times table(2,3,DT) \times table(3,4,NN)$							



	I	shot	an	elephant	in	my	pajamas
	PRP:0.04 [0,1]	Ø	Ø	S: 0.0000000 048 [0,4]			
		VBD:0.04 [1,2]	Ø	VP: 0.0000006 [1,4]			
			DT:0.05 [2,3]	NP: 0.00015 [2,4]			
				NN:0.03 [3,4]			
ı					IN:0.10 [4,5]		
	We just calculated this value and can use it now					PRP\$:0.12 [5,6]	
table	$table(0,4,S) = P(S \rightarrow NP \ VP) \times table(0,1,NP) \times table(1,4,VP)$						



	shot	an	elephant	in	my	pajamas
PRP: -3.21 [0,1]	Ø	Ø	S: -19.2 [0,4]			
	VBD: -3.21 [1,2]	Ø	VP: -14.3 [1,4]			
		DT: -3.0 [2,3]	NP: -8.8 [2,4]			
			NN: -3.5 [3,4]			
				IN: -2.3 [4,5]		
Note these values are getting very small! Better to add in log space					PRP\$: -2.12 [5,6]	
						NNS: -4.6 [6,7]

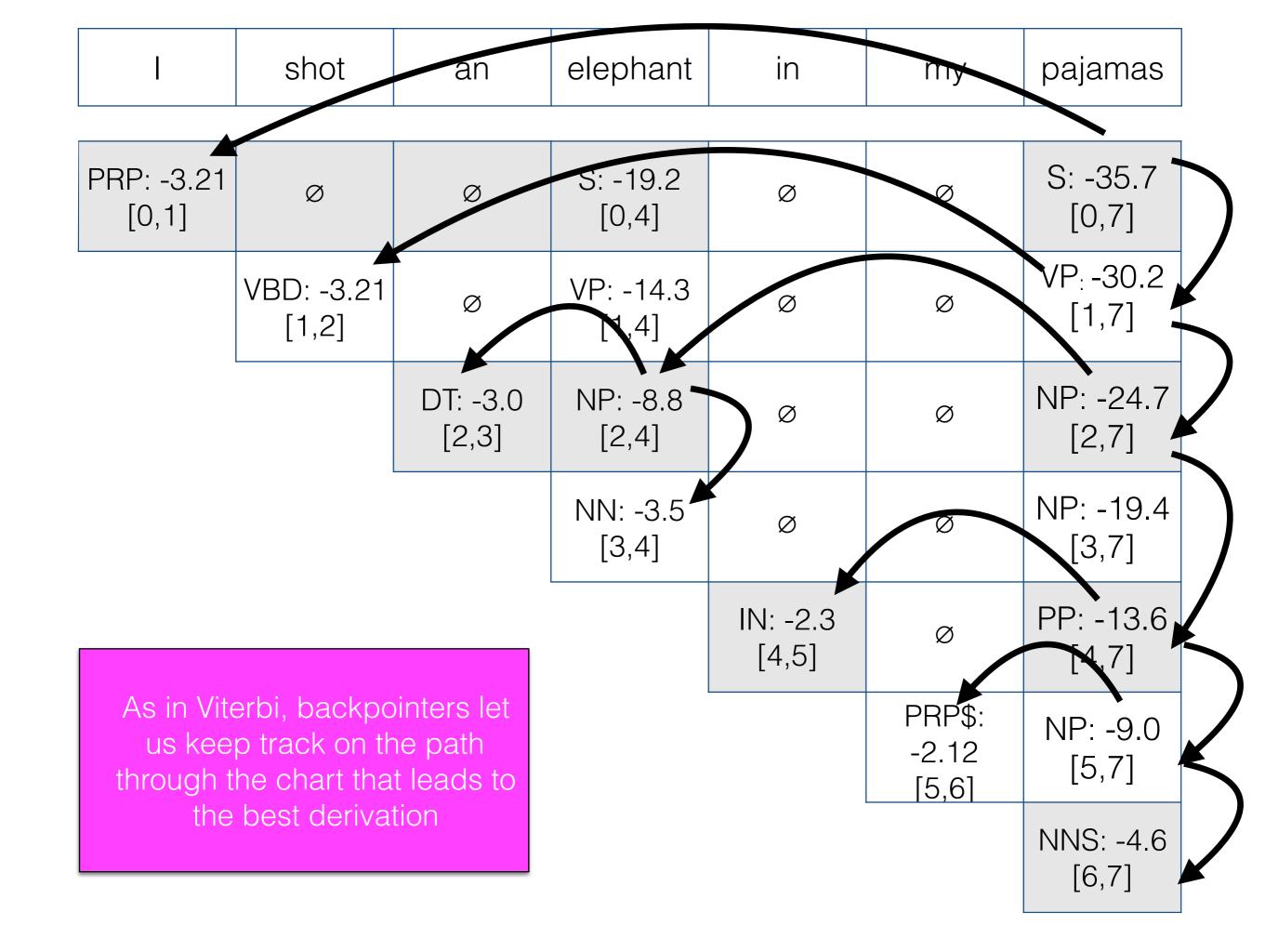
	chot	an	olophont	in	mv	naiamae
I	shot	an	elephant		mγ	pajamas
PRP: -3.21 [0,1]	Ø	Ø	S: -19.2 [0,4]	Ø	Ø	
	VBD: -3.21 [1,2]	Ø	VP: -14.3 [1,4]	Ø	Ø	VP ₁ , VP ₂
		DT: -3.0 [2,3]	NP: -8.8 [2,4]	Ø	Ø	NP: -24.7 [2,7]
			NN: -3.5 [3,4]	Ø	Ø	NP: -19.4 [3,7]
				IN: -2.3 [4,5]	Ø	PP: -13.
[i,j], we commax position	ohrase type only need to robability given	keep the ven the			PRP\$: -2.12 [5,6]	NP: -9.0 [5,7]
assun	nptions of a	PCFG				NNS: -4.6 [6,7]

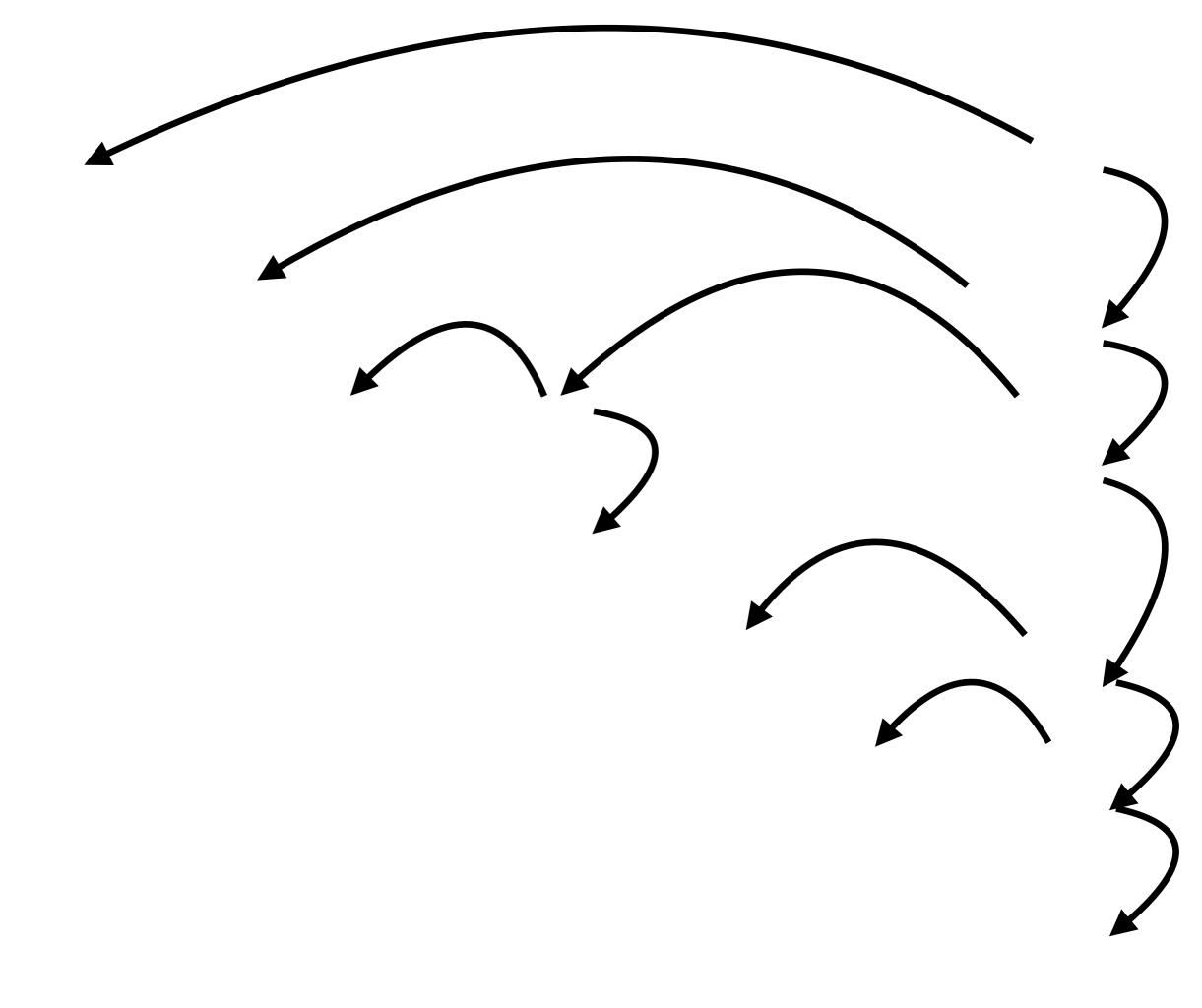
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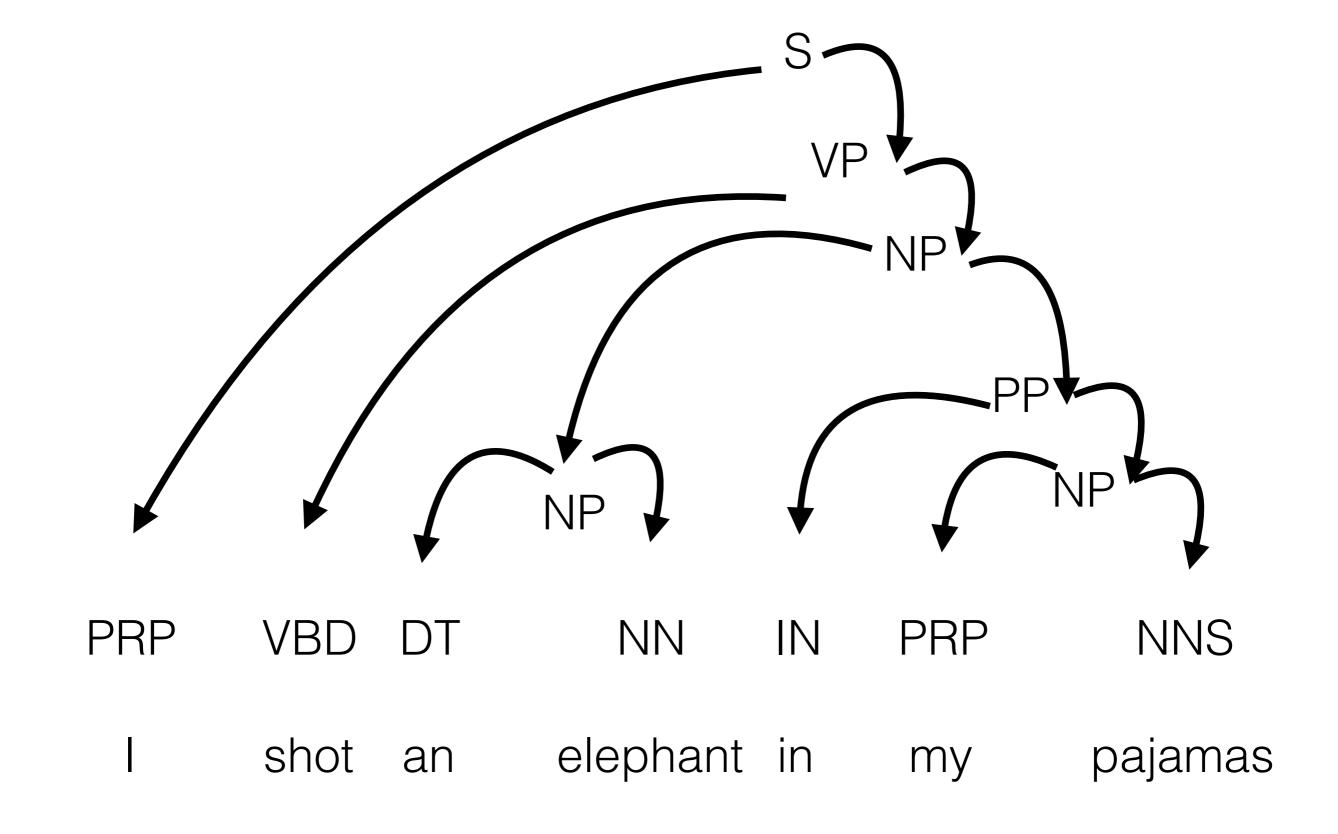
I	shot	an	elephant	in	my	pajamas
PRP: -3.21 [0,1]	Ø	Ø	S: -19.2 [0,4]	Ø	Ø	
	VBD: -3.21 [1,2]	Ø	VP: -14.3 [1,4]	Ø	Ø	VP: -30.2 [1,7]
		DT: -3.0 [2,3]	NP: -8.8 [2,4]	Ø	Ø	NP: -24.7 [2,7]
			NN: -3.5 [3,4]	Ø	Ø	NP: -19.4 [3,7]
				IN: -2.3 [4,5]	Ø	PP: -13.6 [4,7]
For any phrase type spanning [i,j], we only need to keep the max probability given the			'		PRP\$: -2.12 [5,6]	NP: -9.0 [5,7]
assun	nptions of a	PCFG				NNS: -4.6 [6,7]

[0, I]

	shot	an	elephant	in	my	pajamas
			·			. ,
PRP: -3.21 [0,1]	Ø	Ø	S: -19.2 [0,4]	Ø	Ø	S: -35.7 [0,7]
	VBD: -3.21 [1,2]	Ø	VP: -14.3 [1,4]	Ø	Ø	VP: -30.2 [1,7]
		DT: -3.0 [2,3]	NP: -8.8 [2,4]	Ø	Ø	NP: -24.7 [2,7]
			NN: -3.5 [3,4]	Ø	Ø	NP: -19.4 [3,7]
				IN: -2.3 [4,5]	Ø	PP: -13.6 [4,7]
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assun	nptions of a	PCFG				NNS: -4.6 [6,7]





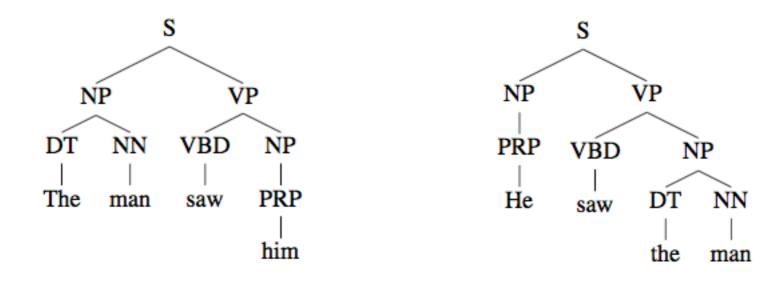


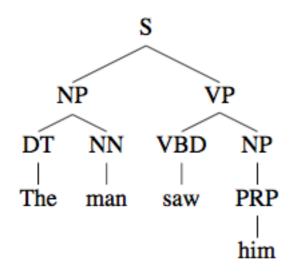
PCFG

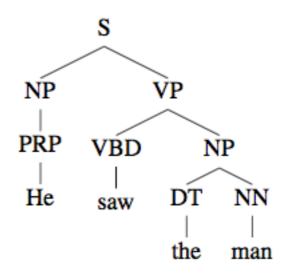
- Probabilistic context-free grammar: each production is also associated with a probability.
- This lets us calculate the probability of a parse for a given sentence; for a given parse tree T for sentence S comprised of n rules from R (each A → β):

$$P(T,S) = \prod_{i}^{m} P(\beta \mid A)$$

- Strong independence assumptions
 - Each production (e.g., NP → DT NN) is independent of the rest of tree.
 - In real use, productions are strongly dependent on their place in the tree.







	Pronoun	Non-Pronoun
Subject	91%	9%
Object	34%	66%

	Pronoun	Non-Pronoun
Subject	91%	9%
Object	34%	66%

Maximum likelihood estimates from Switchboard:

•
$$P(NP \rightarrow DT NN) = 0.28$$

•
$$P(NP \rightarrow PRP) = 0.25$$

Splitting non-terminals

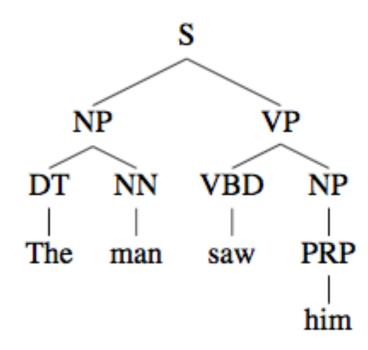
Rather than having a single rule for each non-terminal P(NP → DT NN), we can condition on some context (Johnson 1998)

- $P_{subject}(NP \rightarrow DT NN)$
- $P_{object}(NP \rightarrow DT NN)$

Splitting non-terminals

 Subjects/objects are structural relations in phrase structure trees

- Subject = NP child of S
- Object = NP child of VP child of S



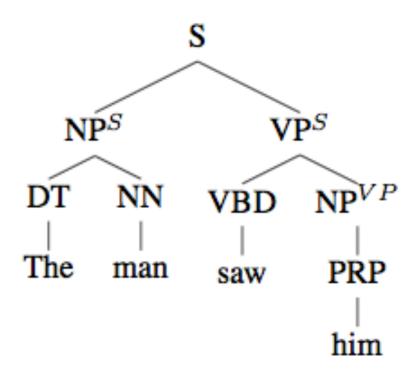
(For some subjects/objects; other rules for embedded structures)

Parent annotation

We can encode context in a general way by annotating each node in a tree with its parent

This lets us learn different probabilities for:

- NPs (subjects)
- NPVP (objects)



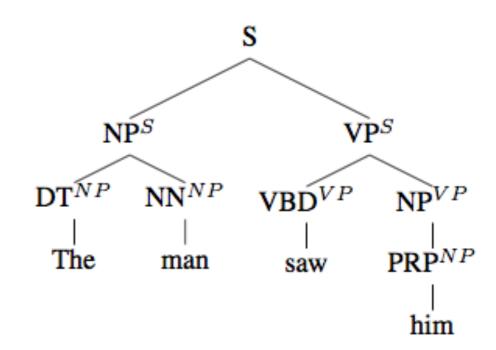
Parent annotation

We can also split the pre-terminal POS tags too

(Klein and Manning 2003)

This lets us learn different probabilities

- $P(RB^{VP} \rightarrow not)$
- $P(RB^{NP} \rightarrow not)$

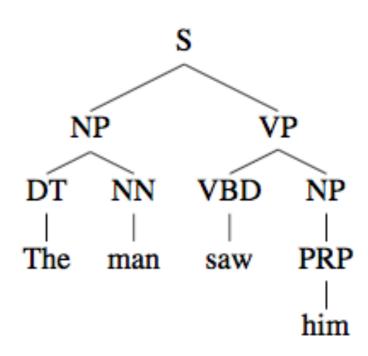


Splitting non-terminals

- Dramatically increases the size of the grammar → less training data for each production
- Modern approaches search for best splits that maximize the training data likelihood (Petrov et al 2006)

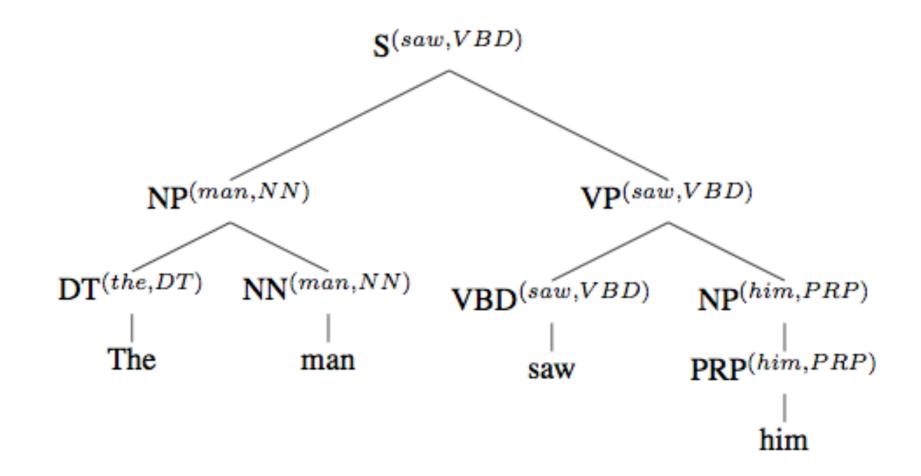
 Lexicon information in a PCFG has little influence on the overall parse structure

 P(VBD → saw) — "saw" itself doesn't influence the structure above it except through that preterminal.



Lexicalized PCFGs

 Annotate each node with its head + POS tag of head



Lexicalized PCFGs

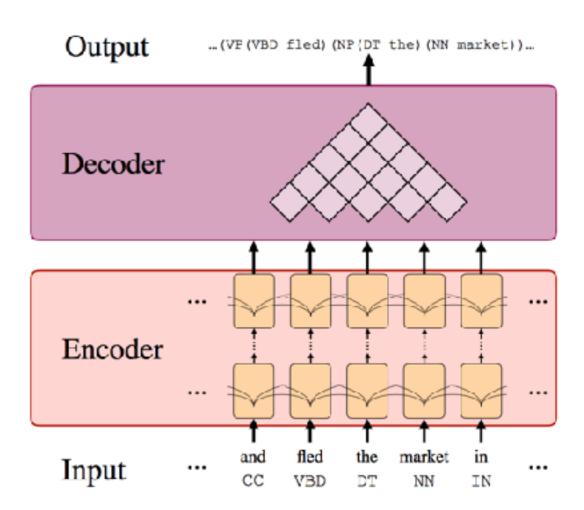
- Annotate each node with its head + POS tag of head
- We can't have a rule for each fine-grained production — e.g. P(S^(saw, VBD) → NP^(man, NN) VP^(saw, VBD))
- Different models make different independent assumptions to make this quantity tractable (Collins 1999, Charniak 1997)

Phrase structure parsing

- Discriminative re-ranking (Charniak and Johnson 2005; McClosky et al. 2006)
- Parsing with compositional vector grammars (Socher et al. 2013)
- Parsing as sequence-to-sequence (Vinyals et al. 2015)
- Parsing with recurrent neural network grammars (Dyer et al. 2016)

Neural parsing

- Kitaev and Klein (2018),
 "Constituency Parsing with a Self-Attentive Encoder"
- Neural model (attention encoder) generates representations of each token in a sentence)
- Learned scoring s(i,j,k) function for each span from token i to token j with label k
- CKY for decoding to find the best tree through this space.



Neural parsing

Method	F score
Petrov et al. 2006	89.6
Charniak et al. 2005	91.0
Stern et al. 2017	91.7
Kitaev and Klein 2018	93.6
+ELMO	95.1

