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FACULTY OF INFORMATION TECHNOLOGY

VNUHCM – University of Science
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CSC10004 – Data Structures and Algorithms

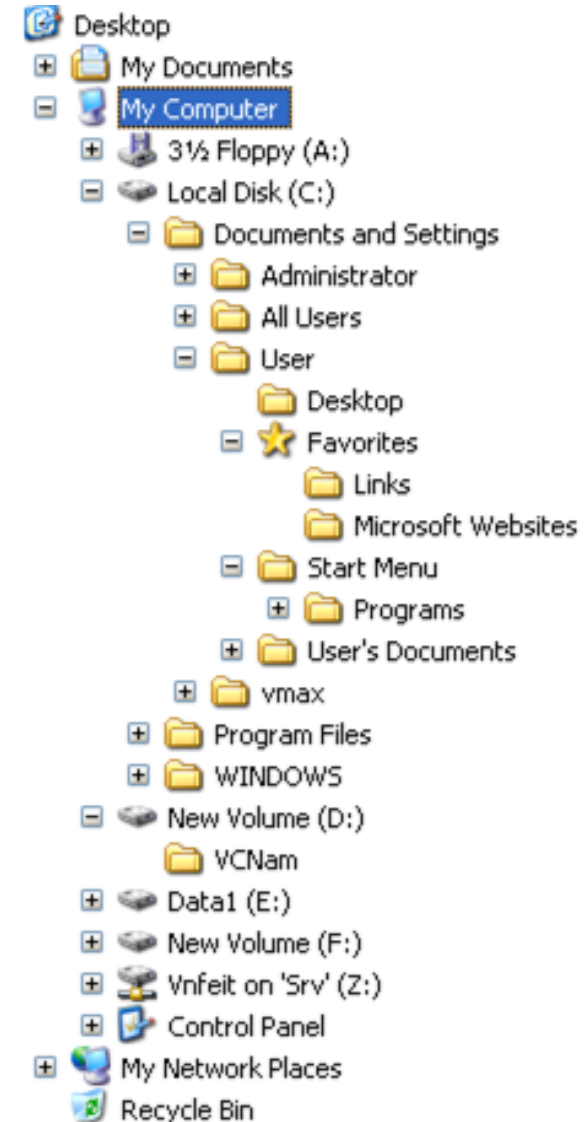
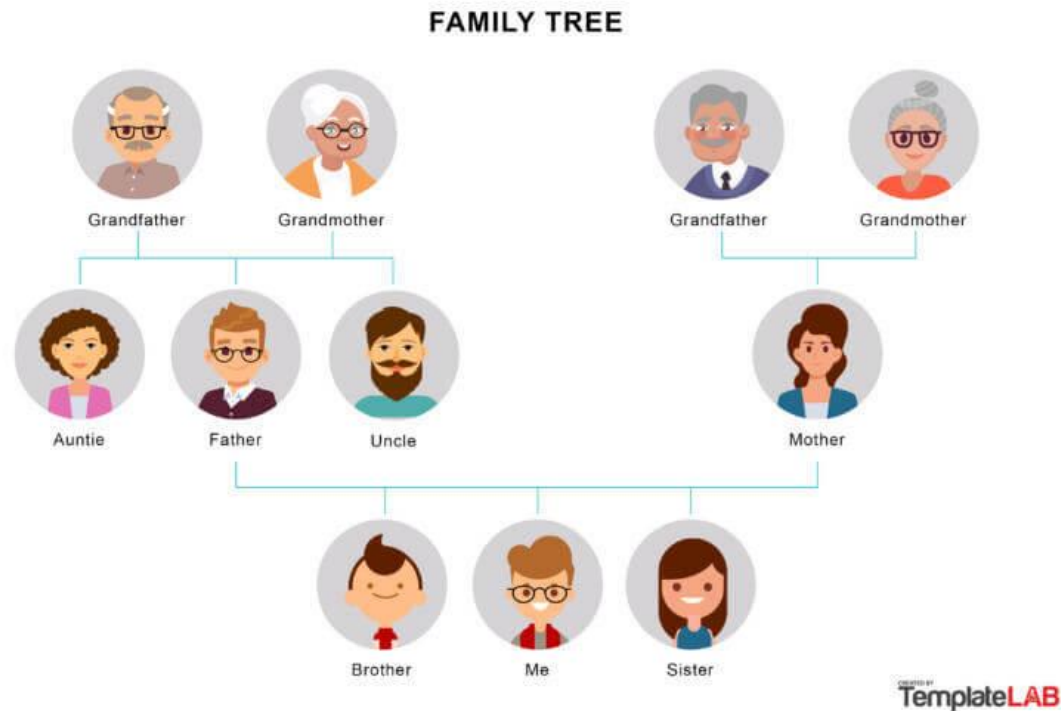
Session 05 - Tree Structure

Instructor:

Dr. LE Thanh Tung

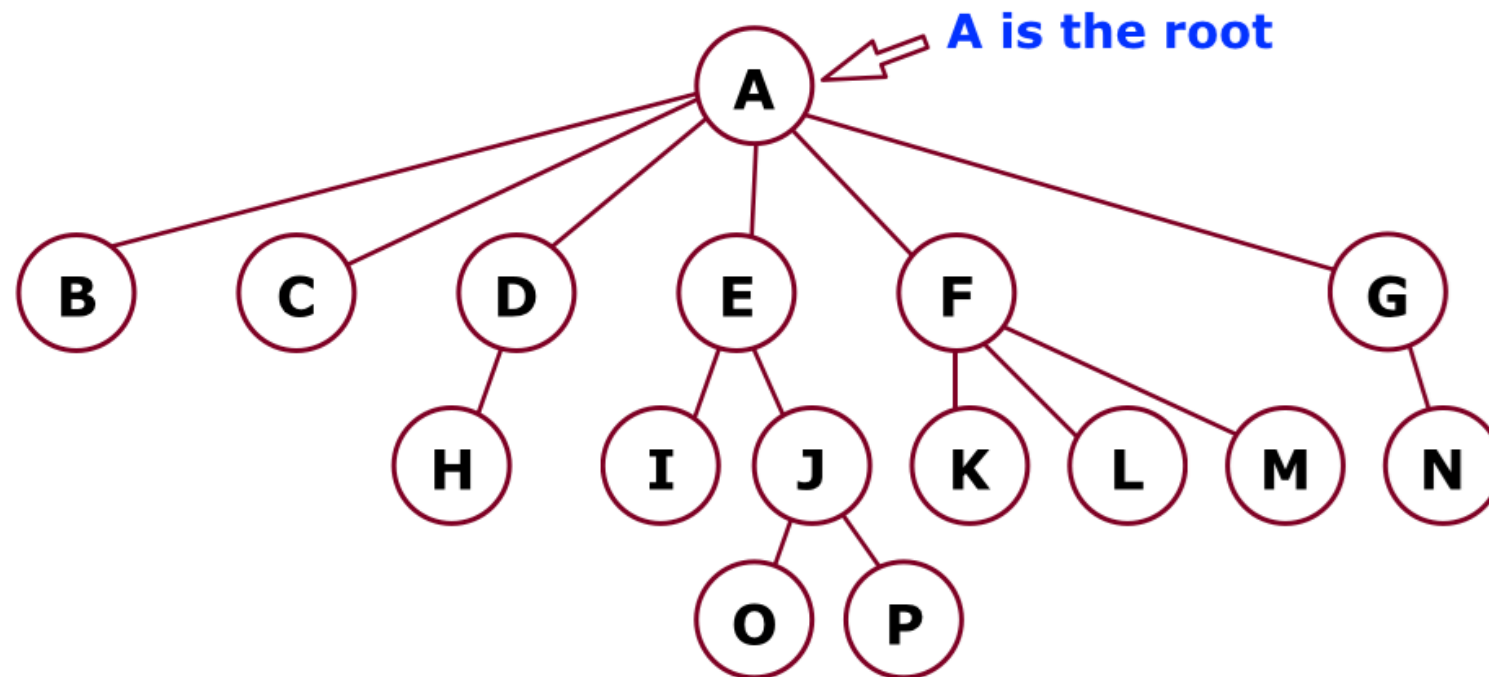
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- 2 Tree Traversals
- 3 Tree Representation
- 4 Binary Tree
- 5 Binary Search Tree

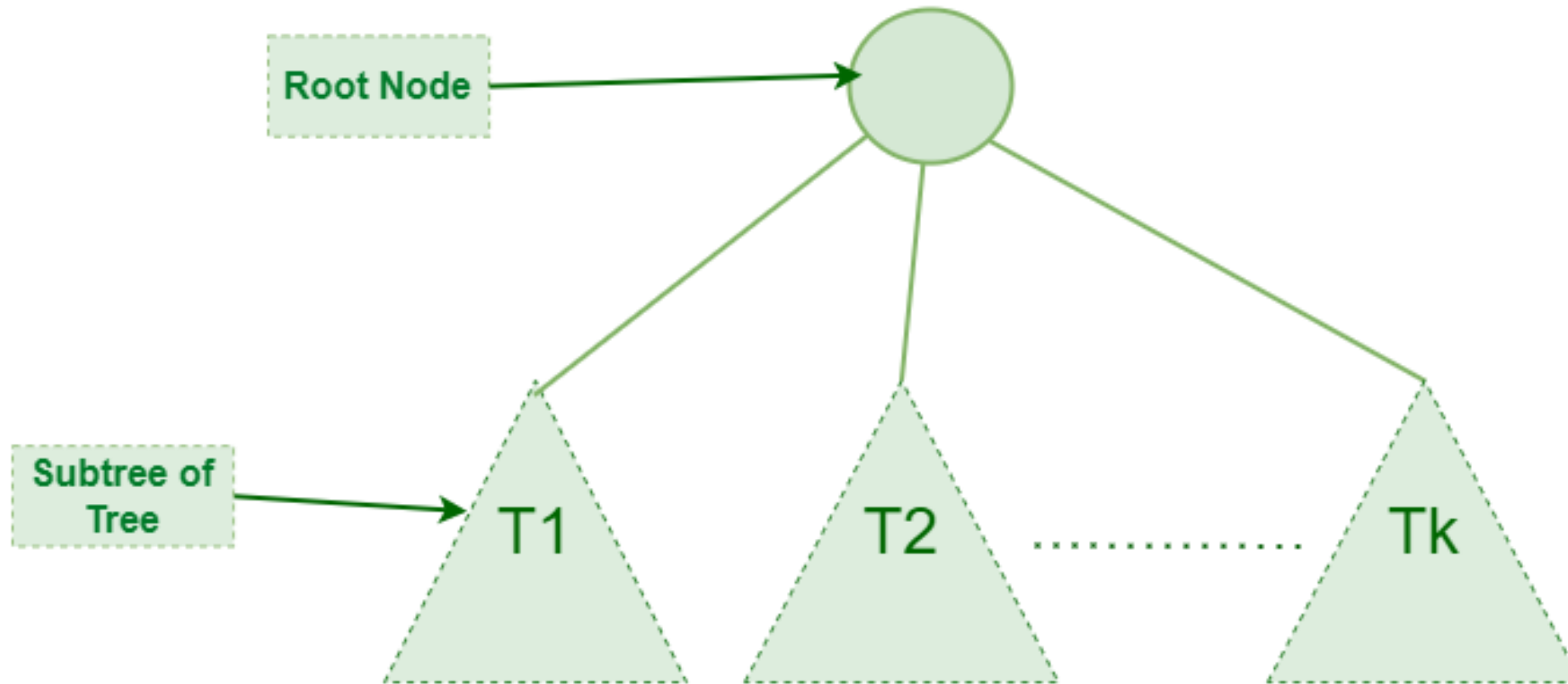
Terminologies



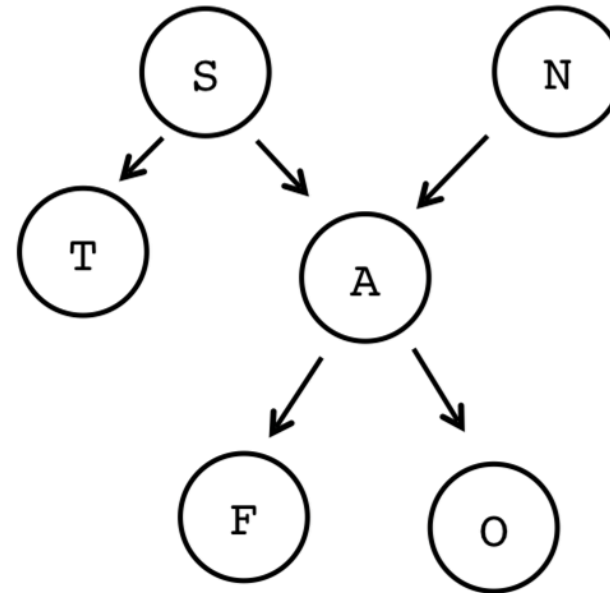
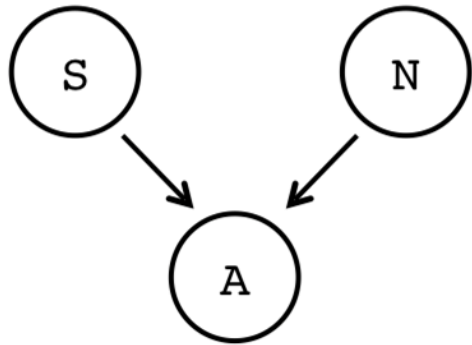
- Used to represent **relationships**
- **Hierarchical** in nature
 - “Parent-child” relationship exists between nodes in tree.
 - Generalized to ancestor and descendant
 - Lines between the nodes are called edges
- A **subtree** in a tree is any node in the tree together with all of its descendants

- A tree is
 - a collection of **nodes**, which can be empty.
 - If it is not empty, there is a root node, r , and zero or more non-empty subtrees, T_1, T_2, \dots, T_k , whose roots are connected by a directed **edge** from r .

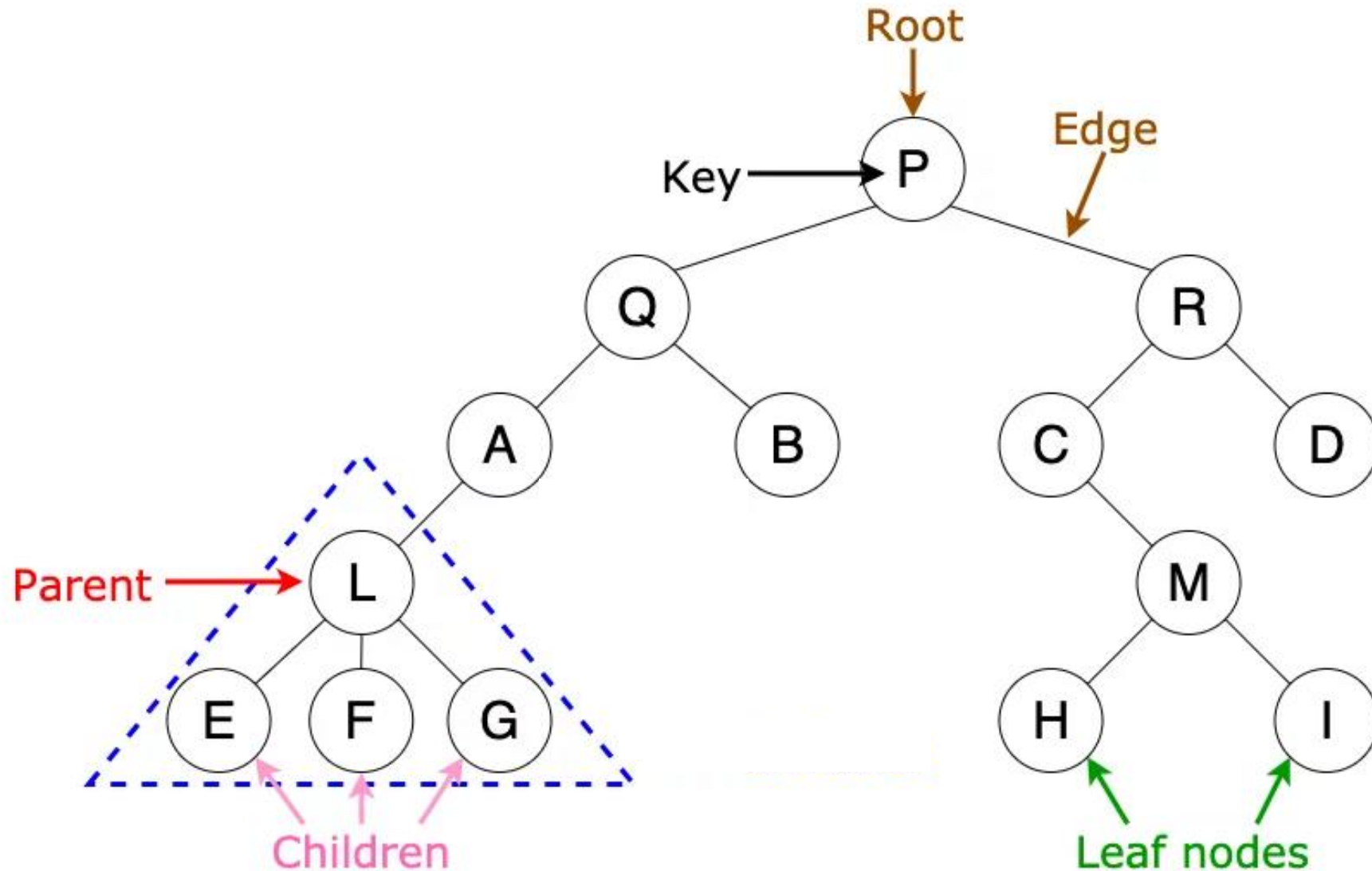




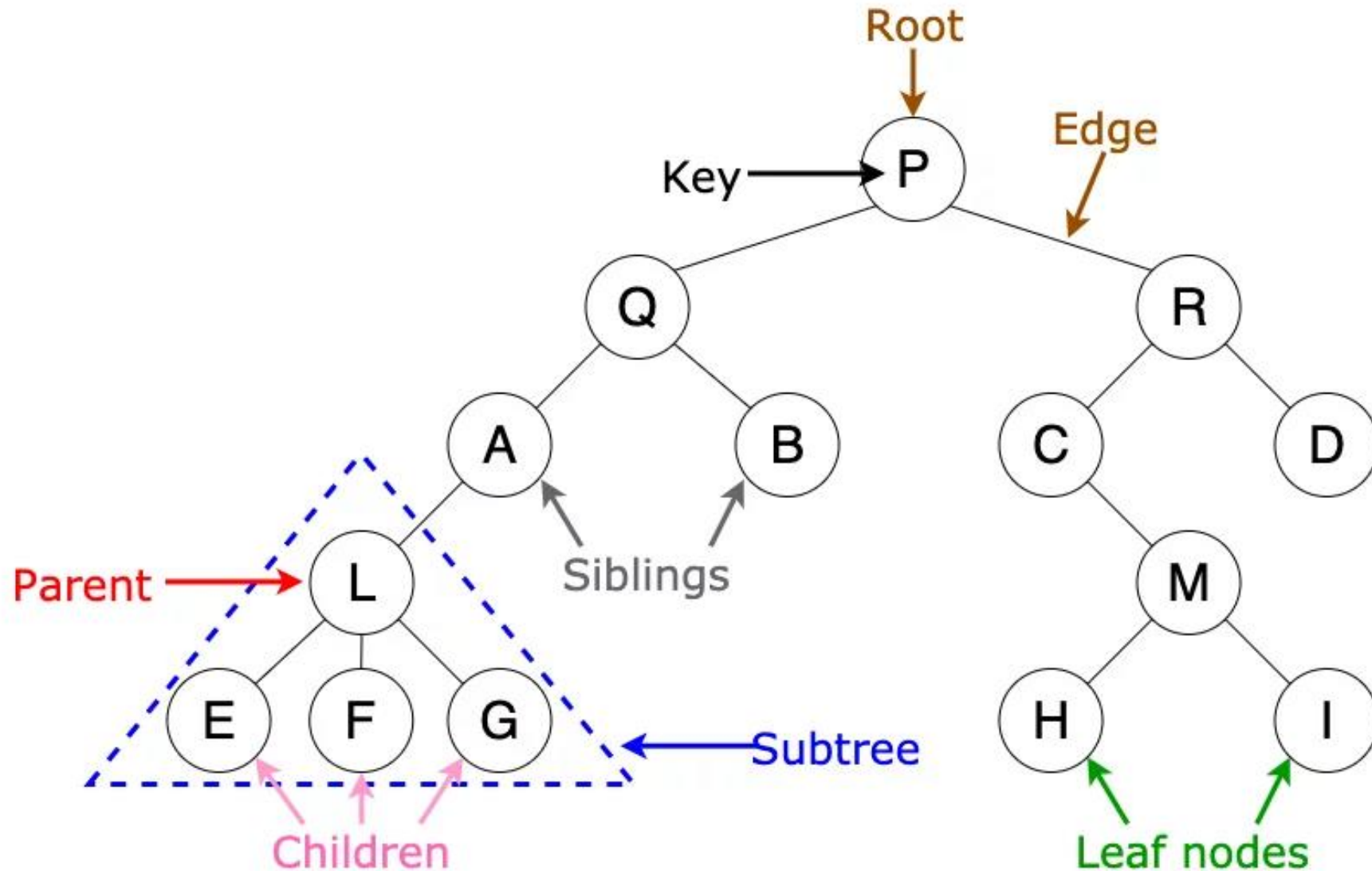
- Tree nodes can only have **one** parent
- They **cannot** have cycles
- There are **N** nodes and **N-1** edges in a tree
- A tree is a naturally **recursive** data structure



- **node**: an item/element in a tree.
- **parent** (of node n): The node directly above node n in the tree.
- **child** (of node n): The node directly below node n in the tree.
- **root**: The only node in the tree with no parent.
- **Leaf / external node/Terminal**: A node with no children.
- **Internal node**: the node which has at least one child
- **path**: A sequence of nodes and edges connecting a nodes with the nodes below it.



- **siblings**: Nodes with common parent.
- **ancestor** (of node n): a node on the path from the root to n .
- **descendant** (of node n): a node on the path from node n to a leaf.
- **subtree** (of node n): A tree that consists of a child (if any) of n and the child's descendants.



- **Degree/order**
 - Order of node n : number of children of node n .
 - Order of a tree: the maximum order of nodes in that tree.
- **Level** (of node n)
 - If n is the root of T , it is at level 1.
 - If n is not the root of T , its level is 1 greater than the level of its parent.
 - if node n is root:
$$\text{level}(n) = 1$$
 - Otherwise:
$$\text{level}(n) = 1 + \text{level}(\text{parent}(n))$$

- **Depth** (of node n)
 - is the number of edges from the root to the node
 - If n is the root of T , it has depth of 1.
 - If n is not the root of T , its depth is 1 greater than the depth of its parent.
 - if node n is root:
$$\text{depth}(n) = 1$$
 - Otherwise:
$$\text{depth}(n) = 1 + \text{depth}(\text{parent}(n))$$

- **Height of tree:** number of nodes in the longest path from the root to a leaf.
- Height of a tree T in terms of the levels of its nodes
 - If T is empty, its height is 0.
 - If T is not empty, its height is equal to the maximum level of its nodes.

- Height of tree T :

if T is empty:

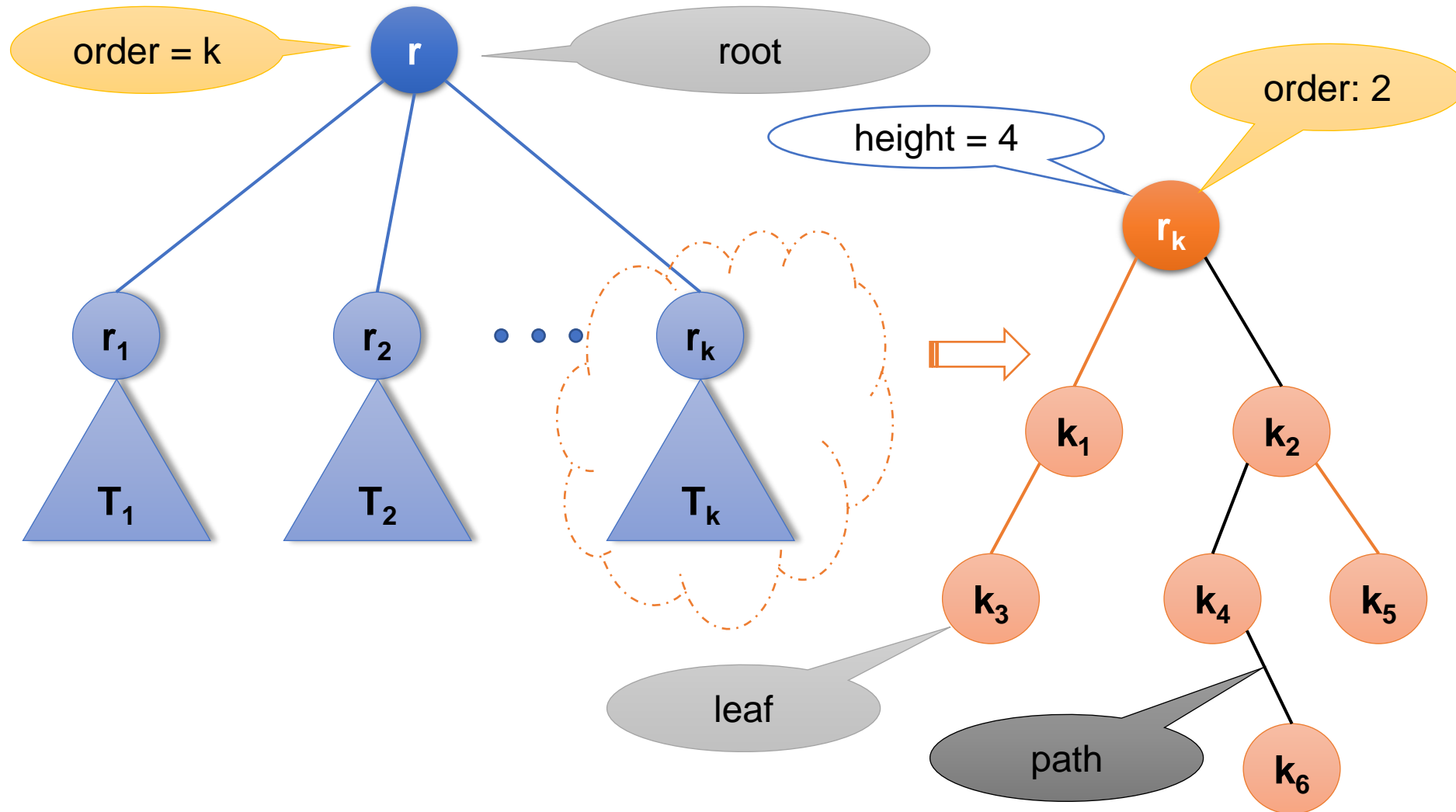
$$\text{height}(T) = 0$$

Otherwise:

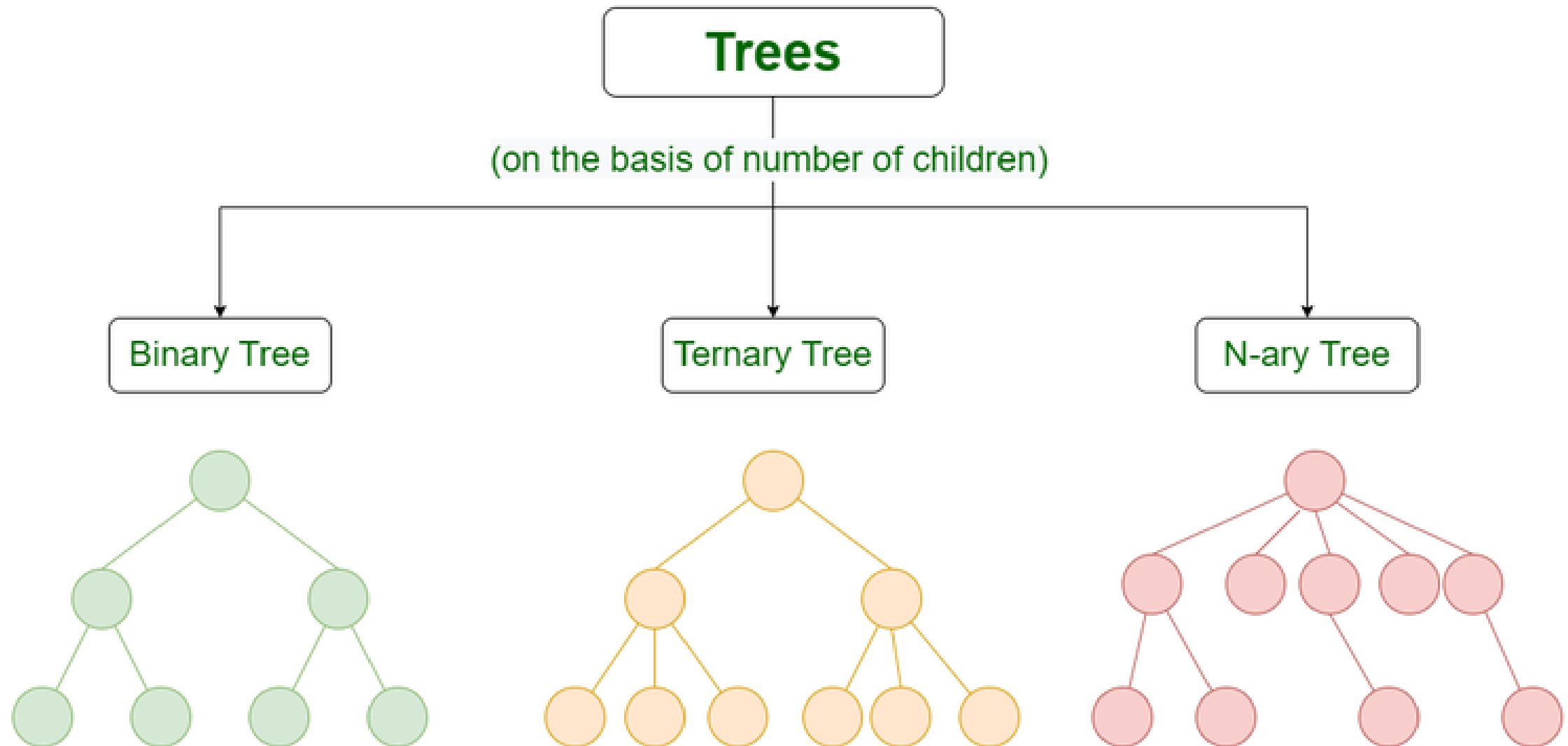
$$\text{height}(T) = \max\{\text{level}(N_i)\}, \quad N_i \in T$$

$$= 1 + \max\{\text{height}(T_i)\},$$

T_i is a subtree of T



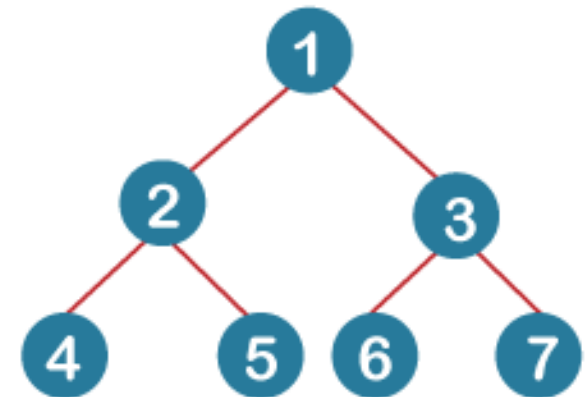
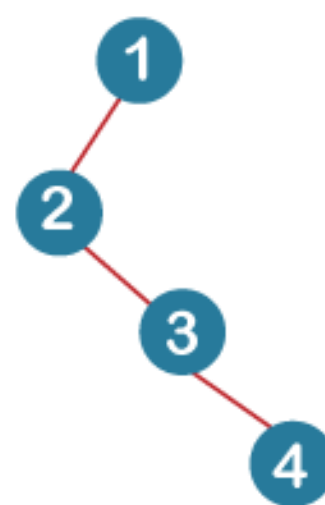
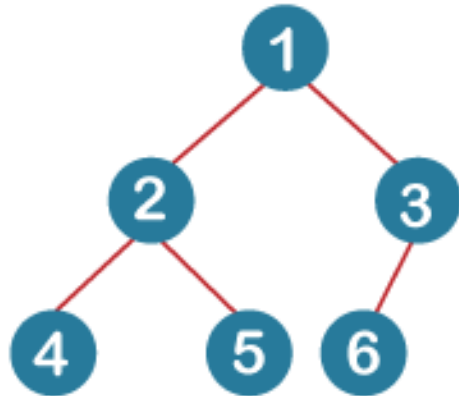
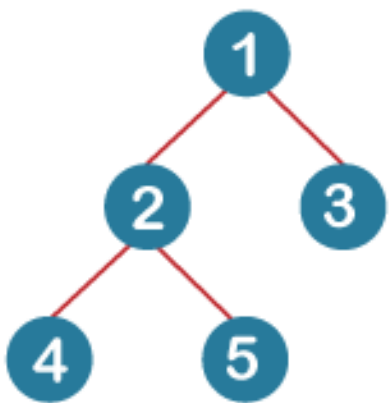
Kinds of Tree



- Set T of one or more nodes such that T is partitioned into disjoint subsets
 - A single node r , the root
 - Sets that are general trees, called subtrees of r

- set T of nodes that is either empty or partitioned into disjoint subsets:
 - A single node r , the root
 - n possibly empty sets that are n -ary subtrees of r

- Set T of nodes that is either empty or partitioned into disjoint subsets
 - Single node r , the root
 - Two possibly empty sets that are binary trees, called left and right subtrees of r



Traversals

- Visit each node in a tree exactly once.
- Many operations need using tree traversals.
- The basic tree traversals:
 - Pre-order
 - In-order
 - Post-order


```
PreOrder (root)
```

```
{
```

```
    if root is empty
```

```
        Do_nothing;
```

```
    Visit root; //Print, Add, ...
```

```
    //Traverse every  $\text{Child}_i$ .
```

```
    PreOrder ( $\text{Child}_0$ ) ;
```

```
    PreOrder ( $\text{Child}_1$ ) ;
```

```
    ...
```

```
    PreOrder ( $\text{Child}_{k-1}$ ) ;
```

```
}
```

```
PostOrder (root)
{
    if root is empty
        Do_nothing;
    //Traverse every Childi
    PostOrder (Child0) ;
    PostOrder (Child1) ;
    ...
    PostOrder (Childk-1) ;
    Visit at root; //Print, Add, ...
}
```

```
InOrder (root)
```

```
{
```

```
    if root is empty
```

```
        Do_nothing;
```

```
    //Traverse the child at the first position
```

```
    InOrder (Child0) ;
```

```
    Visit at root;
```

```
    //Traverse other children
```

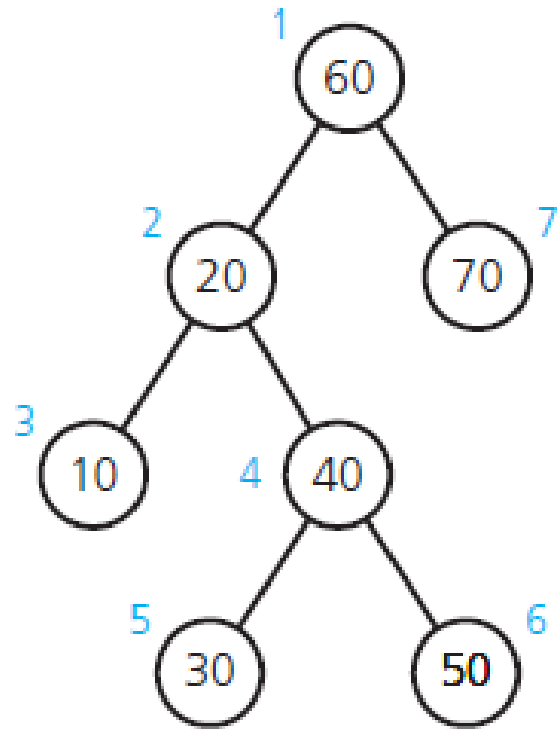
```
    InOrder (Child1) ;
```

```
    InOrder (Child2) ;
```

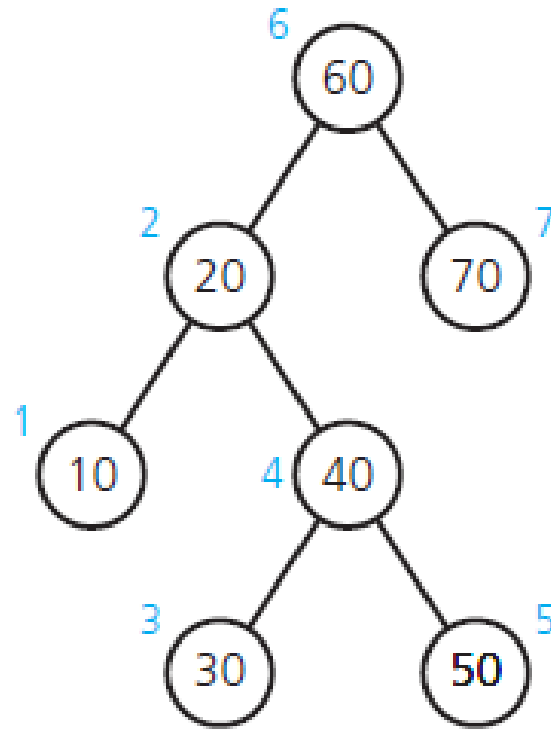
```
    ...
```

```
    InOrder (Childk-1) ;
```

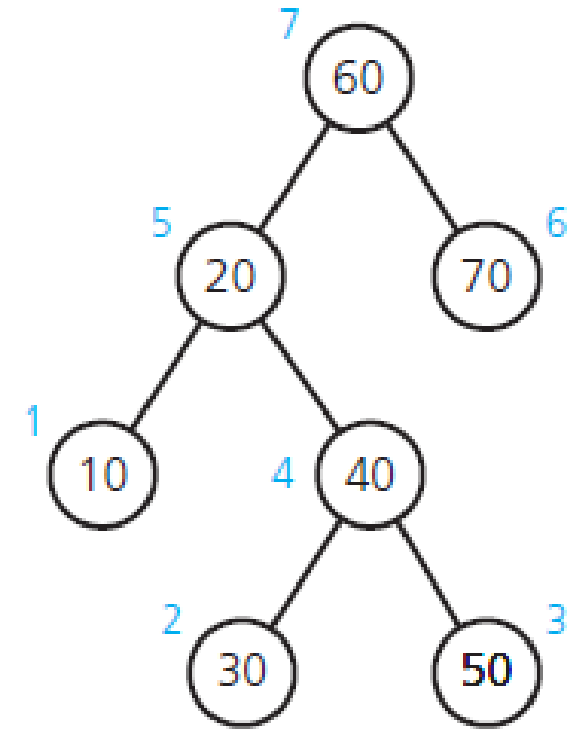
```
}
```



(a) Preorder: 60, 20, 10, 40, 30, 50, 70



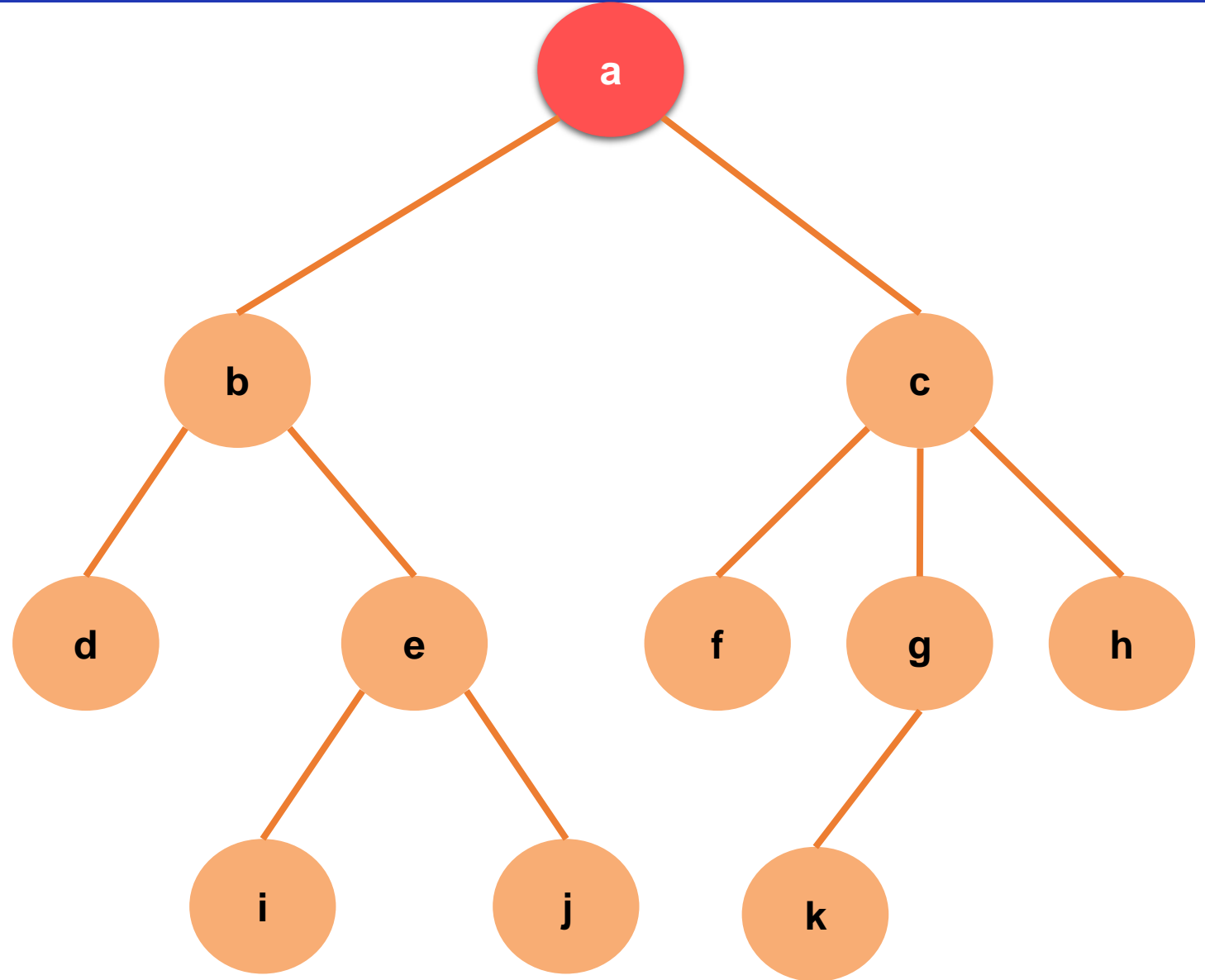
(b) Inorder: 10, 20, 30, 40, 50, 60, 70



(c) Postorder: 10, 30, 50, 40, 20, 70, 60

(Numbers beside nodes indicate traversal order.)

- **Pre-order ?**
- **In-order ?**
- **Post-order ?**



- **Pre-order ?**

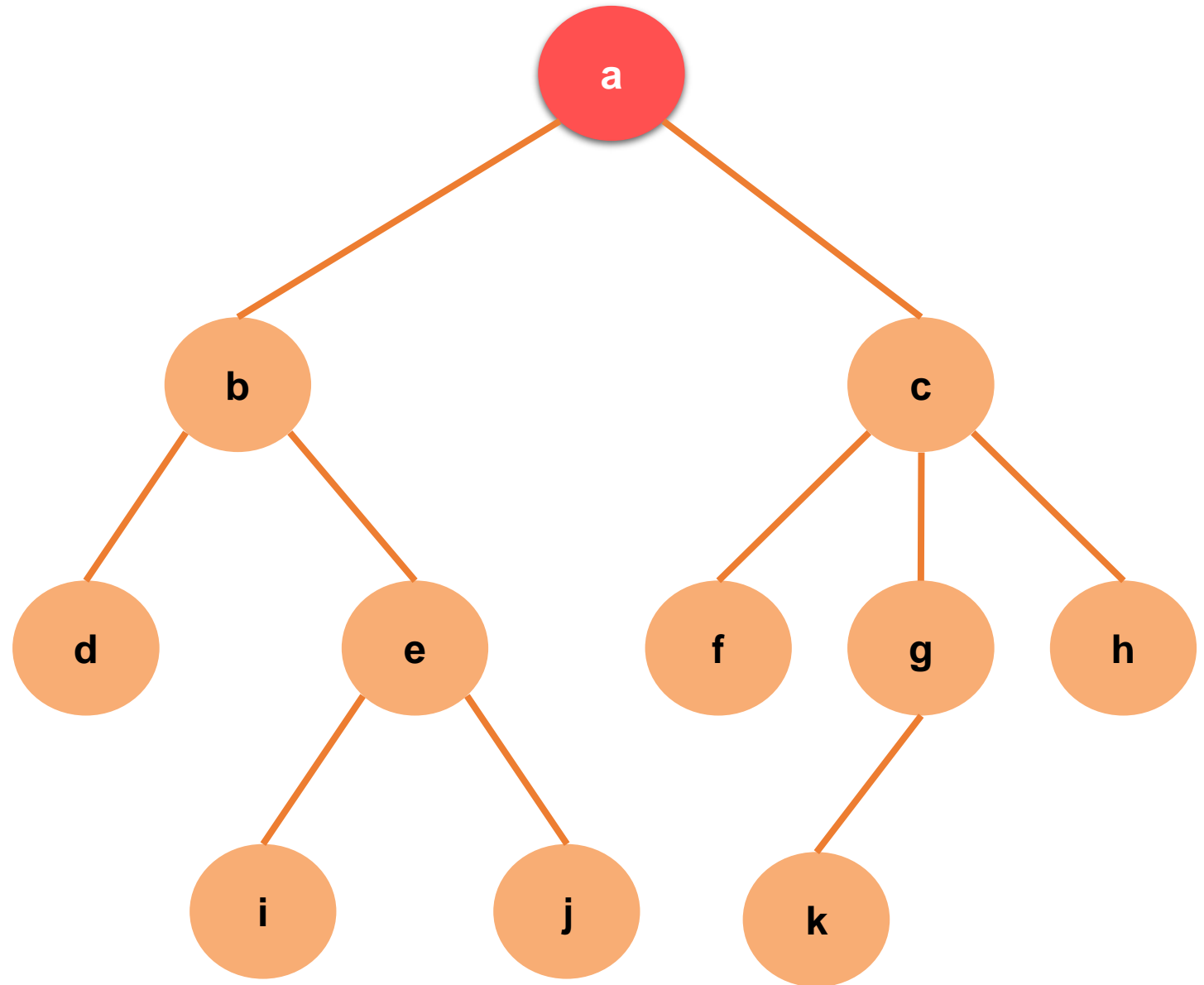
a b d e i j c f g k h

- **In-order ?**

d b i e j a f c k g h

- **Post-order ?**

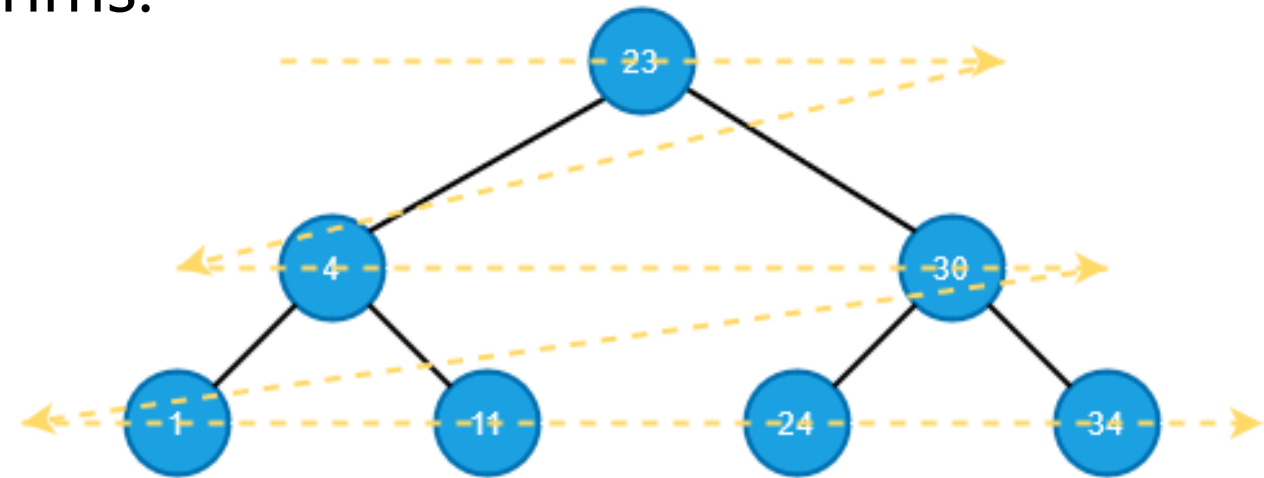
d i j e b f k g h c a



- Tree traversal algorithms can be classified broadly in two categories:

- Depth-first search (DFS) algorithms:

- Pre-order
- In-order
- Post-order

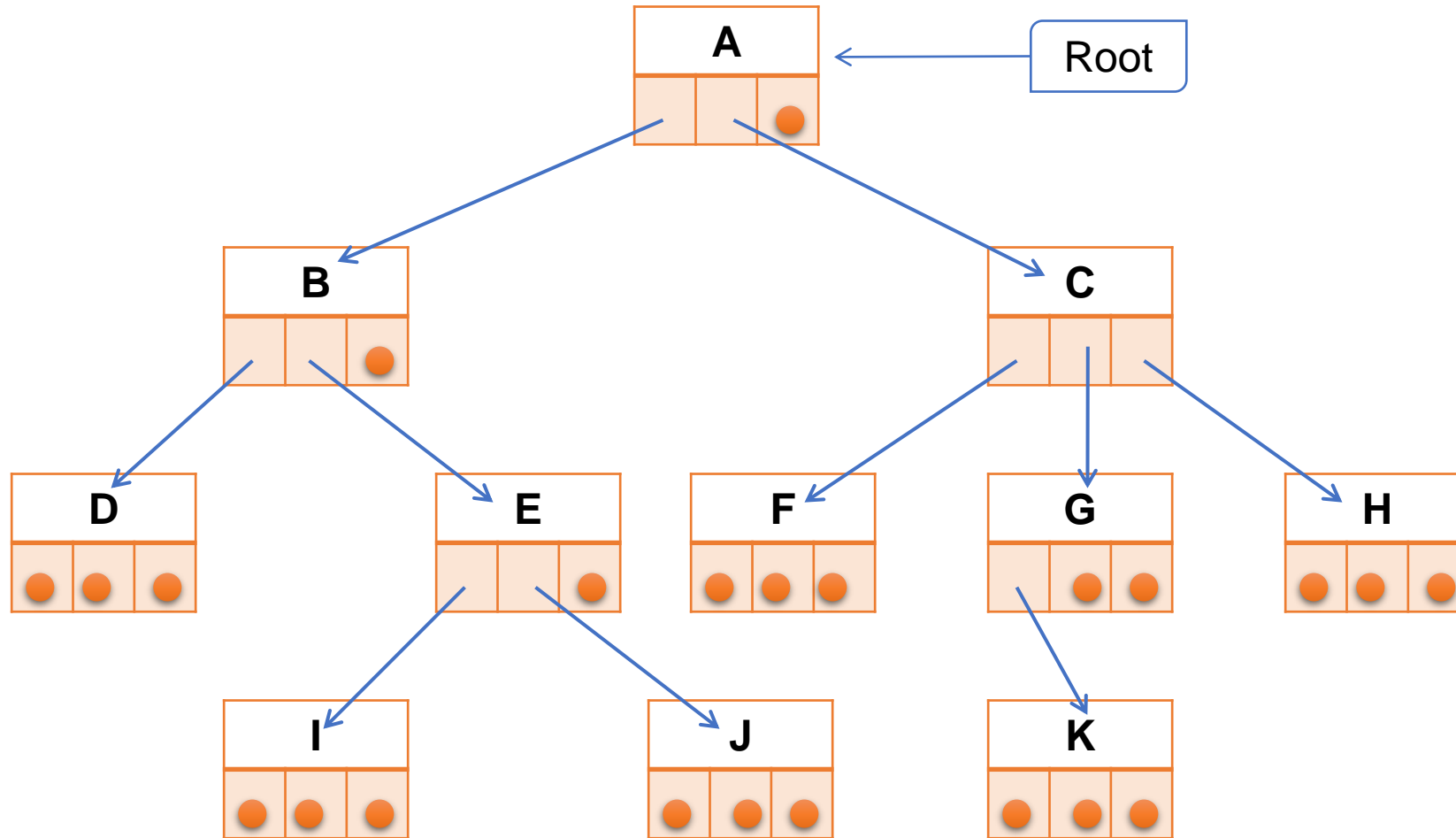


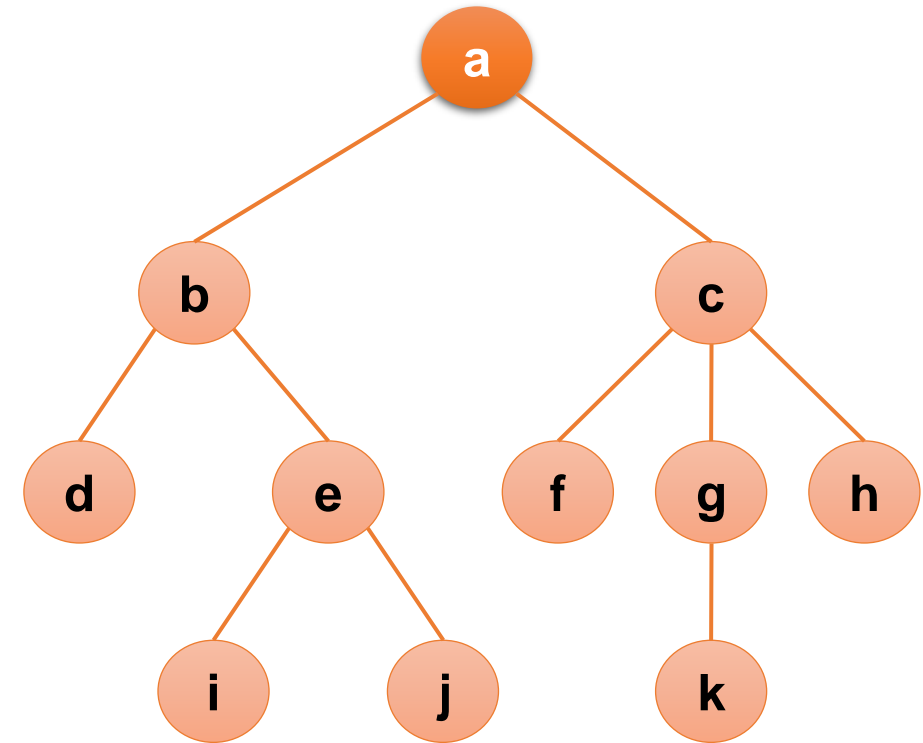
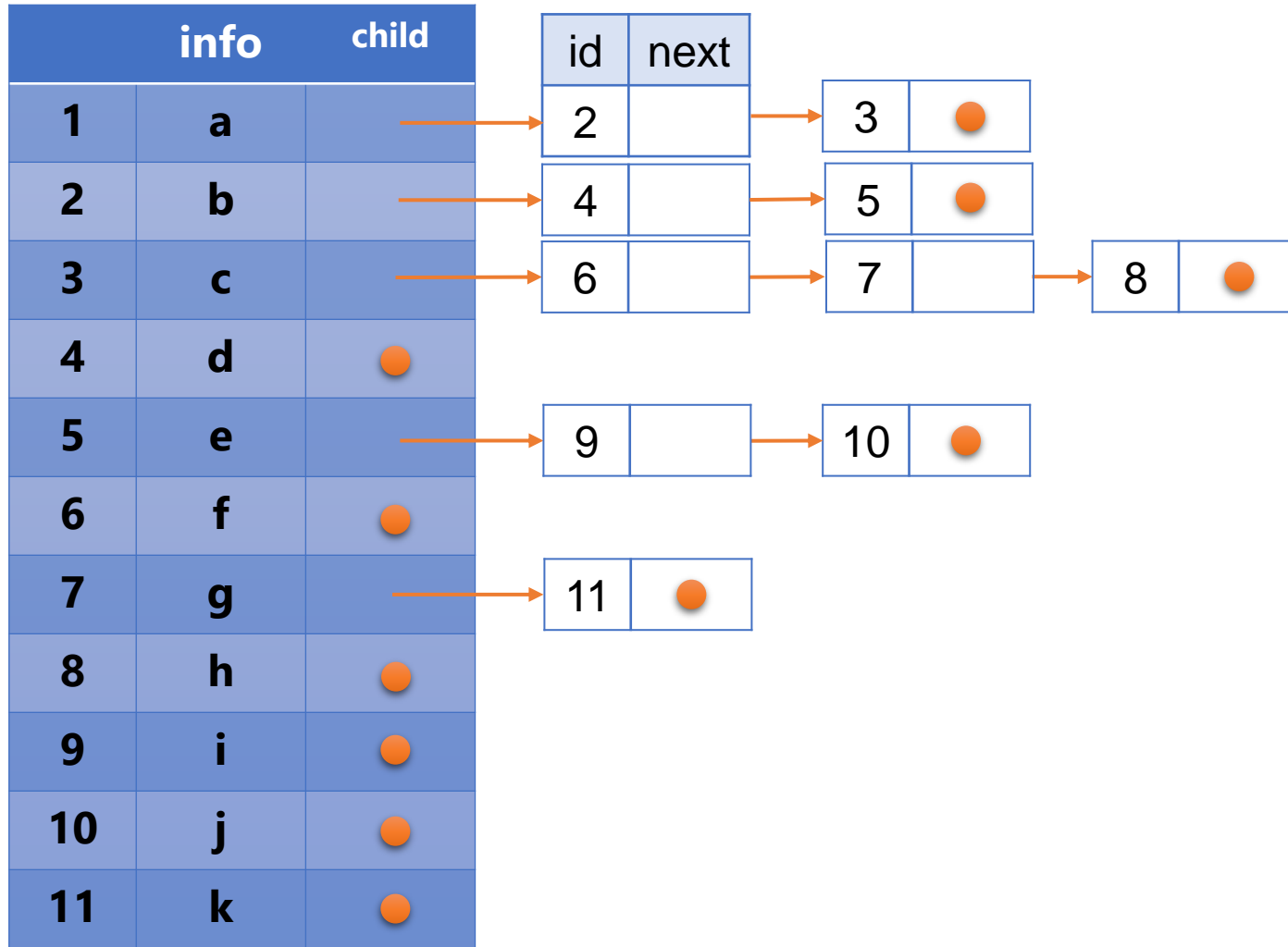
- Breadth-first search (BFS) algorithms:

level-order output: 23, 4, 30, 1, 11, 24, 34

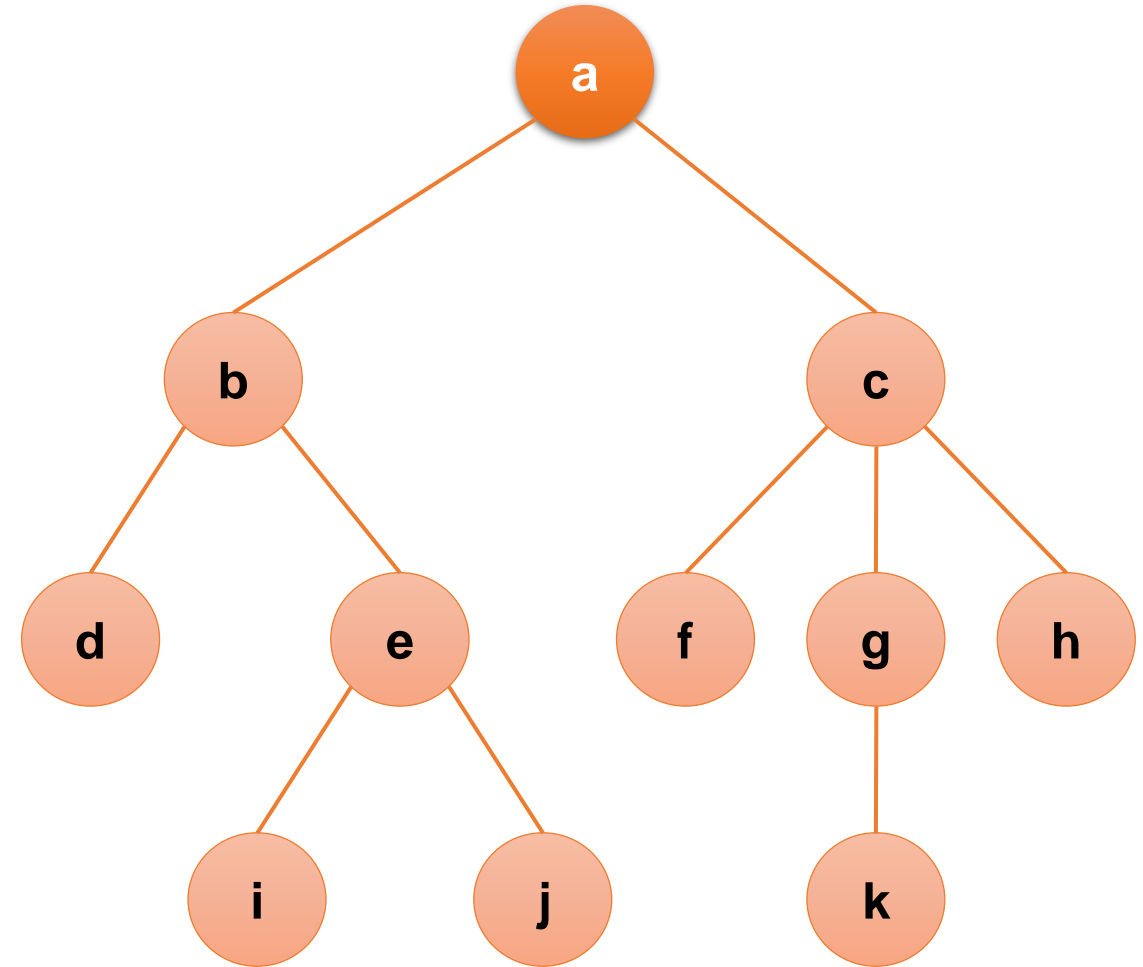
- Level order traversal: This visits nodes level-by-level and in left-to-right fashion at the same level

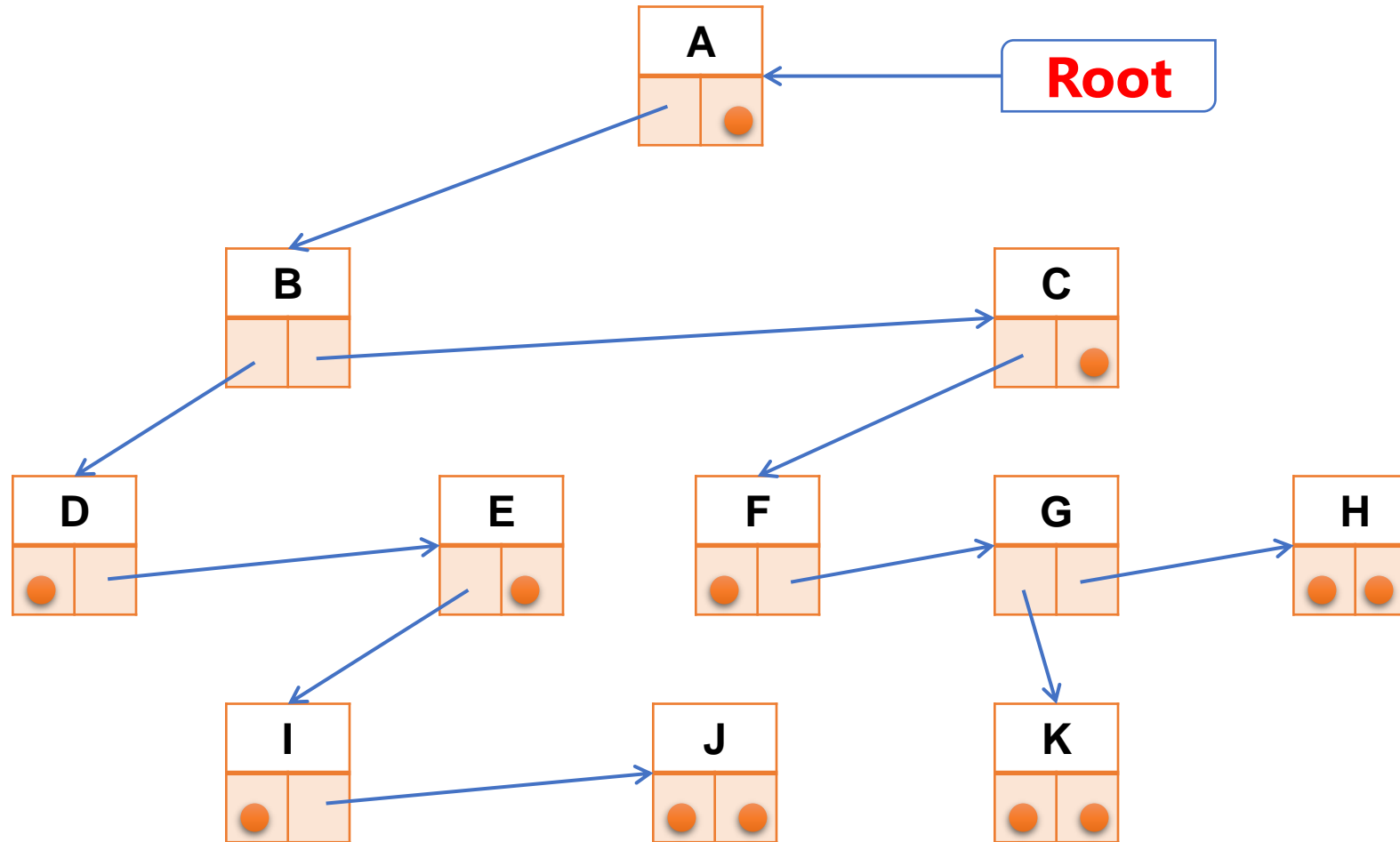
Tree Representation



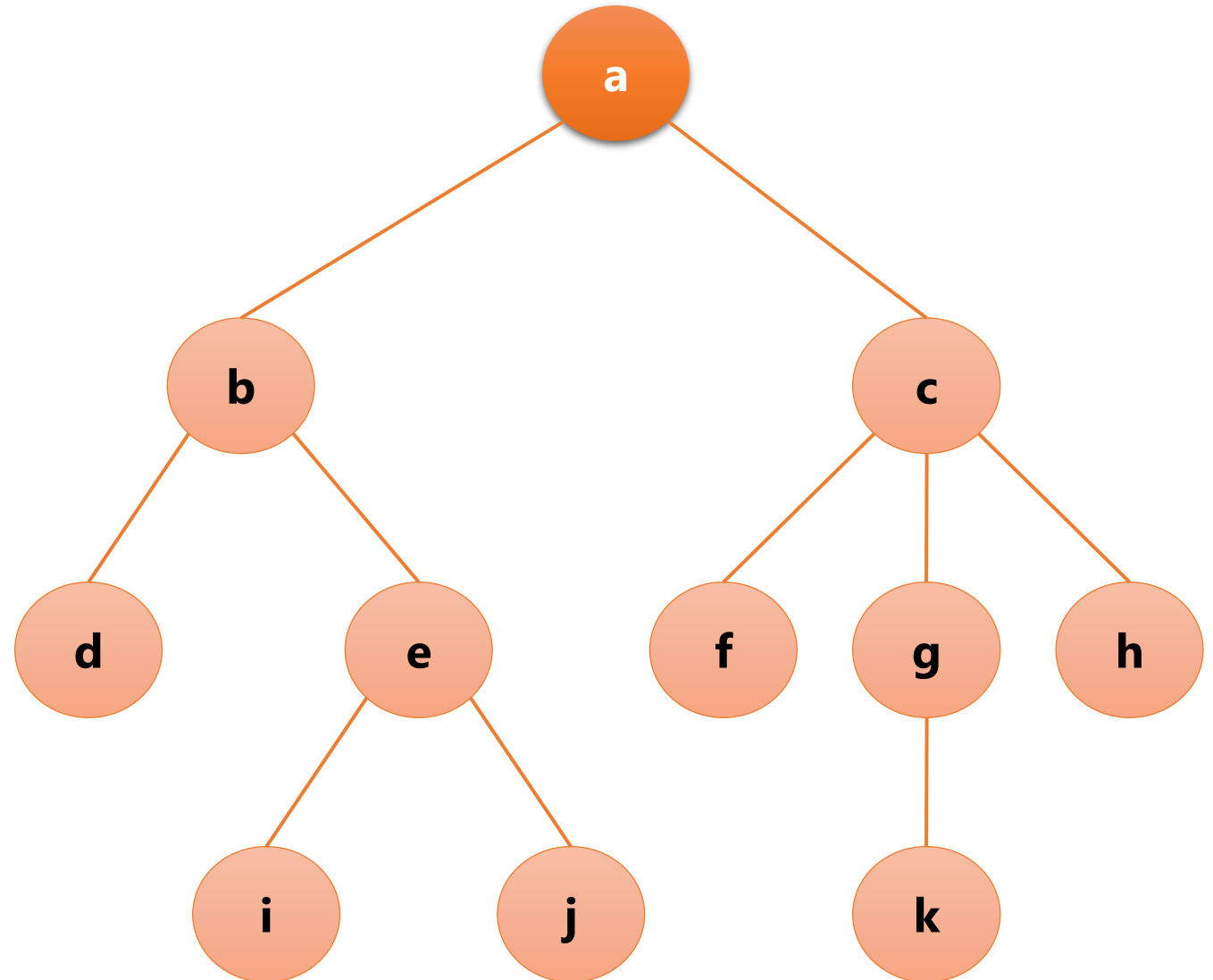


	Info	Eldest Child	Next Sibling
1	a	2	0
2	b	4	3
3	c	6	0
4	d	0	5
5	e	9	0
6	f	0	7
7	g	11	8
8	h	0	0
9	i	0	10
10	j	0	0
11	k	0	0



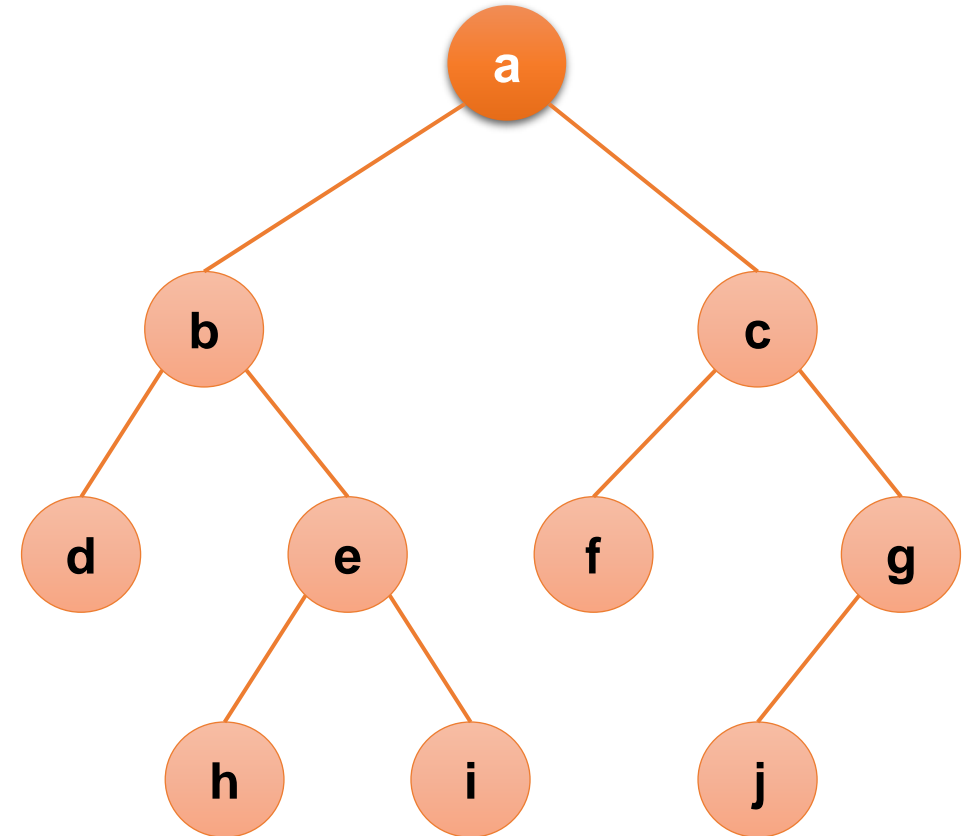


	Info	Parent
1	a	0
2	b	1
3	c	1
4	d	2
5	e	2
6	f	3
7	g	3
8	h	3
9	i	5
10	j	5
11	k	7



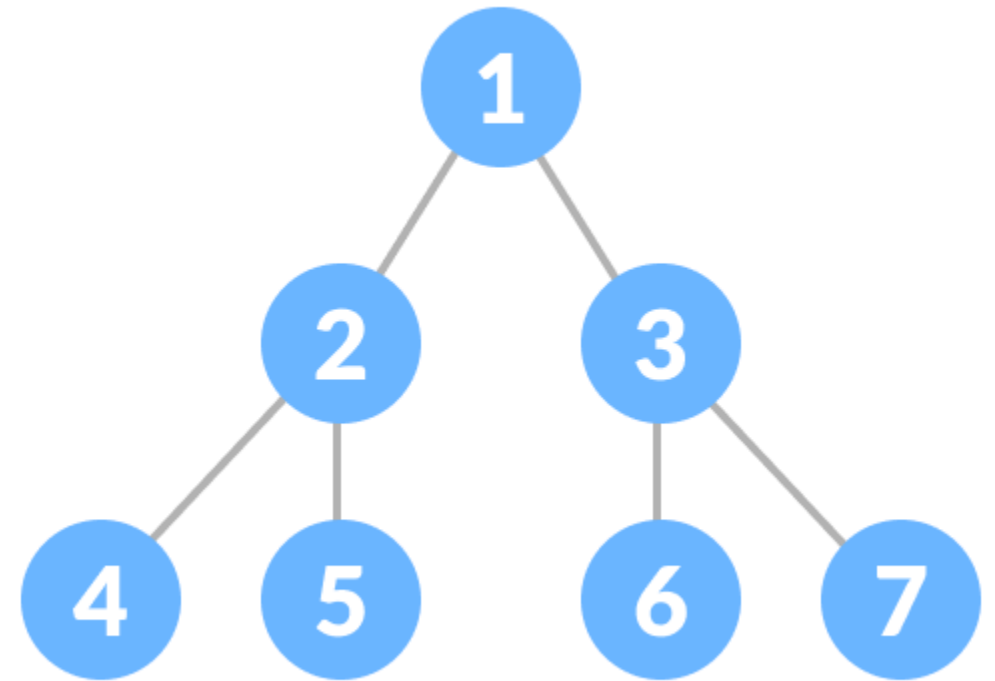
Binary Tree

- Set T of nodes that is either empty or partitioned into disjoint subsets.
 - Single node r , the root
 - Two possibly empty sets that are binary trees, called left and right subtrees of r .
- Other definition: A rooted binary tree has a root node and every node has **at most** two children.



- Perfect binary tree
- Complete binary tree
- Full binary tree
- Heap

- A perfect binary tree is a binary tree in which
 - all interior nodes have two children
 - and all leaves have the same depth or same level.
- In a perfect binary tree of height h , all nodes that are at a level less than h have two children each.

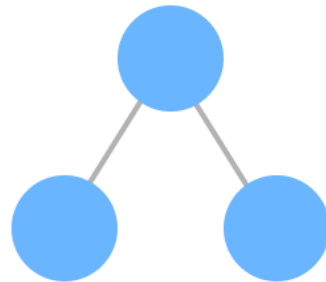


- If T is empty, T is a perfect binary tree of height 0.
- If T is not empty and has height $h > 0$, T is a perfect binary tree if its root's subtrees are both perfect binary trees of height $h - 1$.

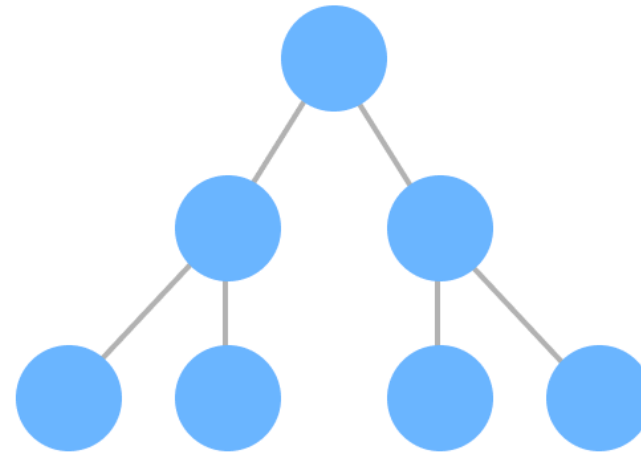
tree-1



tree-2

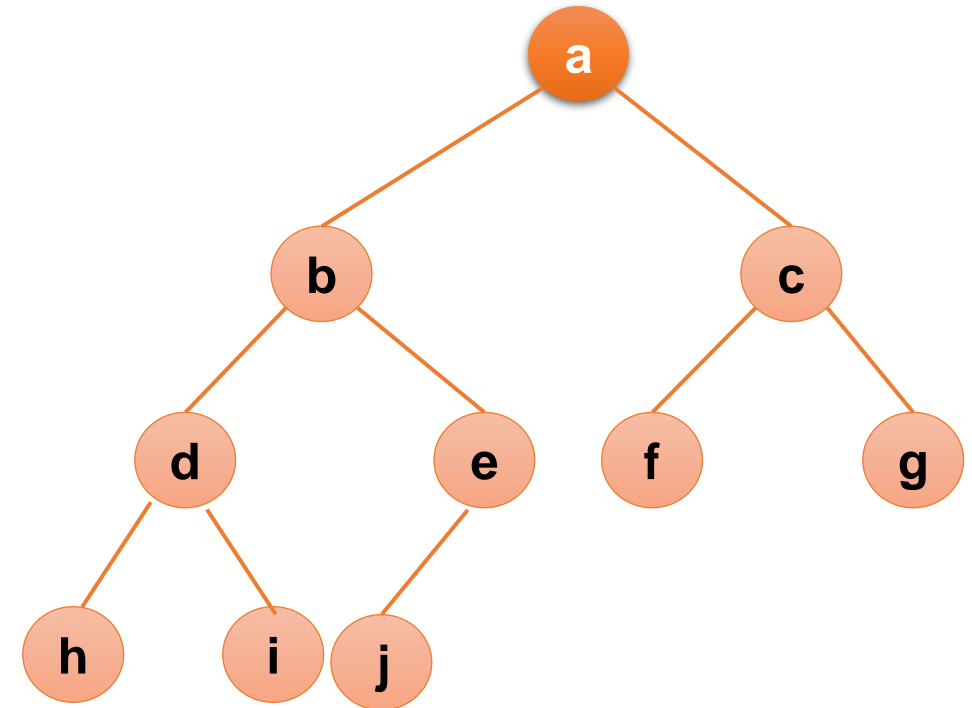


tree-3

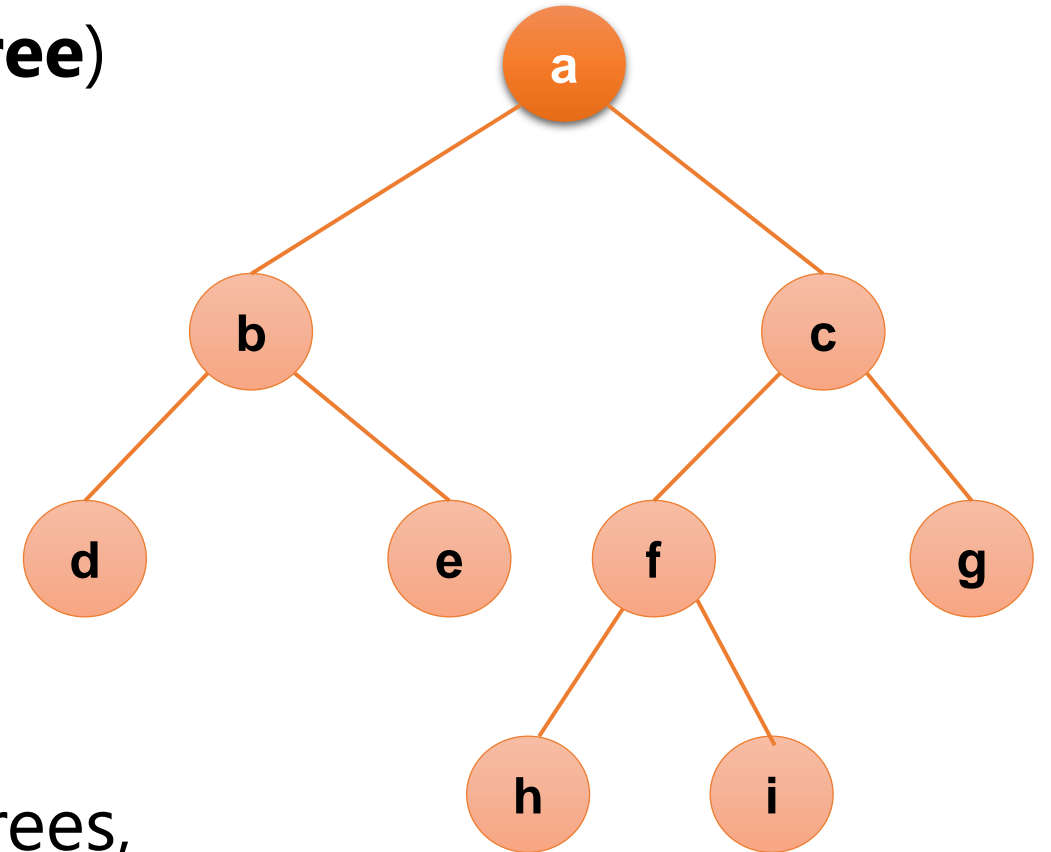


- A **complete binary tree** of height h is a binary tree that is perfect down to level $h - 1$, with level h filled in from left to right.
- In a complete binary tree every level, except possibly the last, is **completely filled**, and all nodes in the last level are as far left as possible.
- Other definition: A complete binary tree is a perfect binary tree whose rightmost leaves (perhaps all) have been removed.

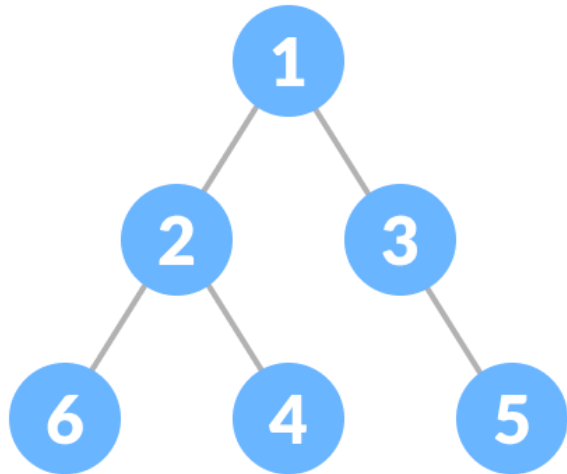
- A binary tree is complete if
 - All nodes at level $h - 2$ and above have two children each, and
 - When a node at level $h - 1$ has children, all nodes to its left at the same level have two children each, and
 - When a node at level $h - 1$ has one child, it is a left child



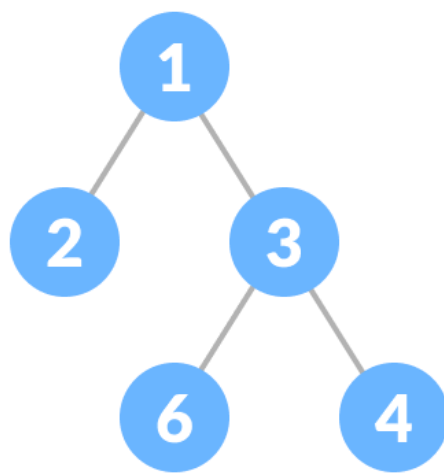
- A full binary tree (sometimes referred to as a **proper binary tree** or a **plane binary tree**) is a binary tree in which **every node has either 0 or 2 children**.
- A full binary tree is either:
 - A single vertex.
 - A tree whose root node has two subtrees, both of which are full binary trees.



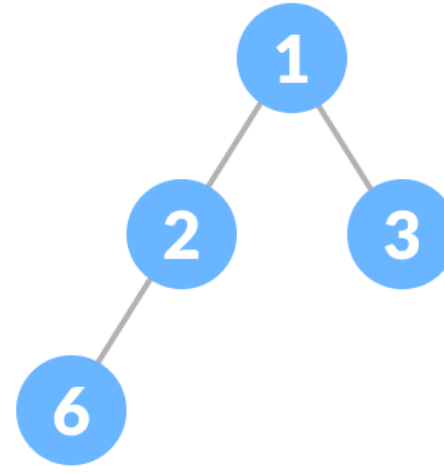
- A complete binary tree is just like a full binary tree, but with two major differences
 - All the leaf elements must lean towards the left.
 - The last leaf element might not have a right sibling i.e. a complete binary tree doesn't have to be a full binary tree.



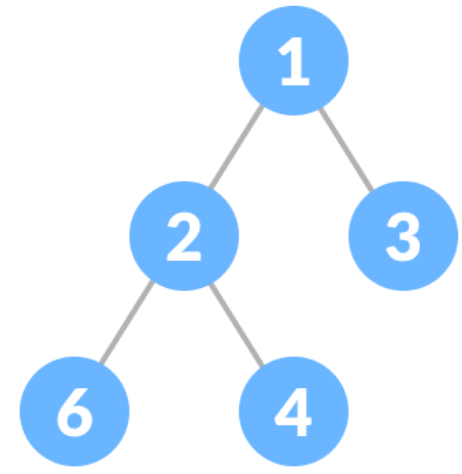
✗ Full Binary Tree
✗ Complete Binary Tree



✓ Full Binary Tree
✗ Complete Binary Tree

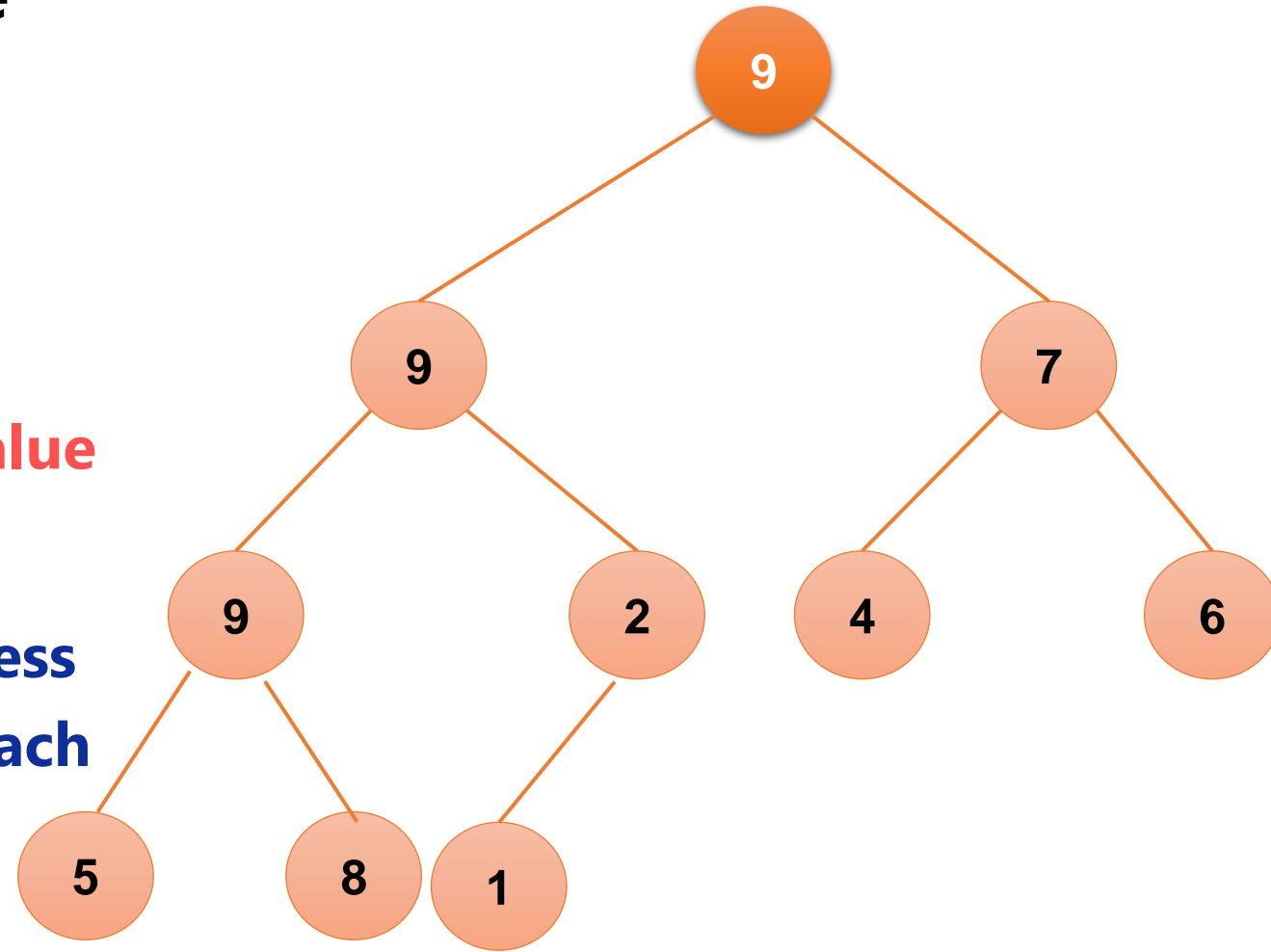


✗ Full Binary Tree
✓ Complete Binary Tree



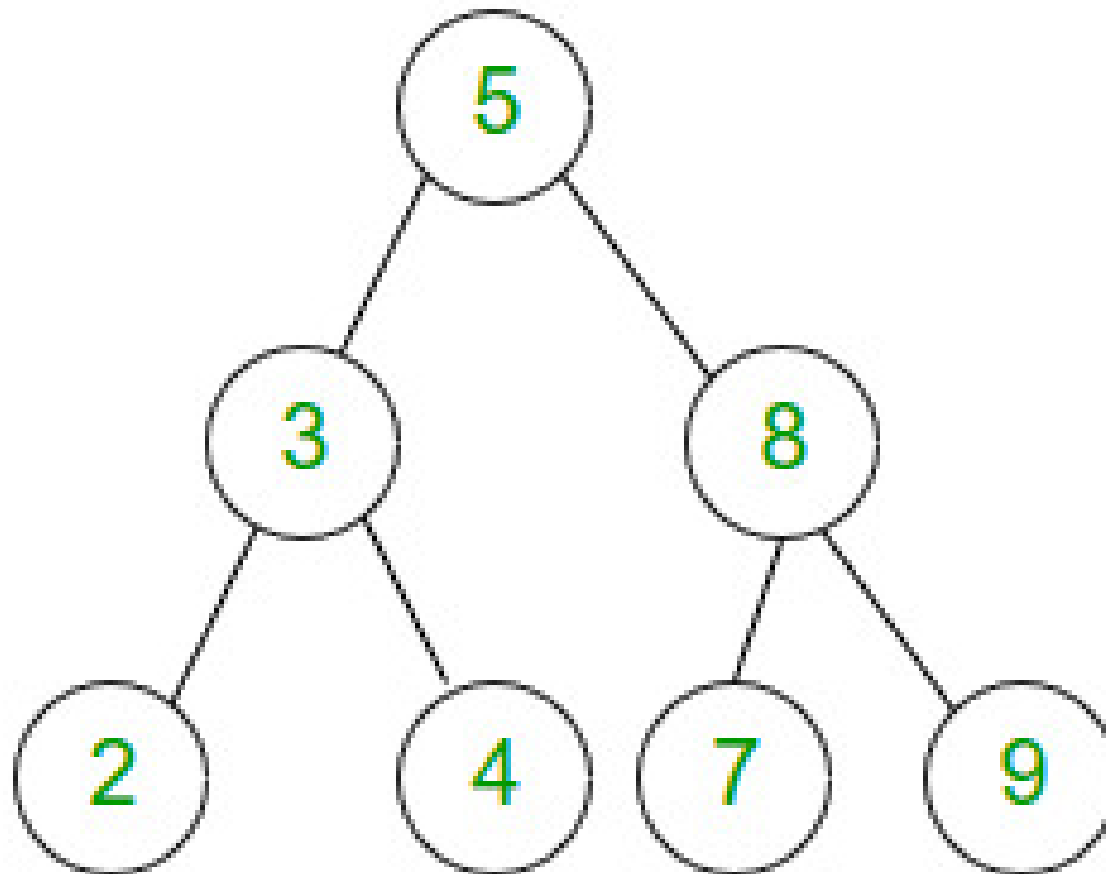
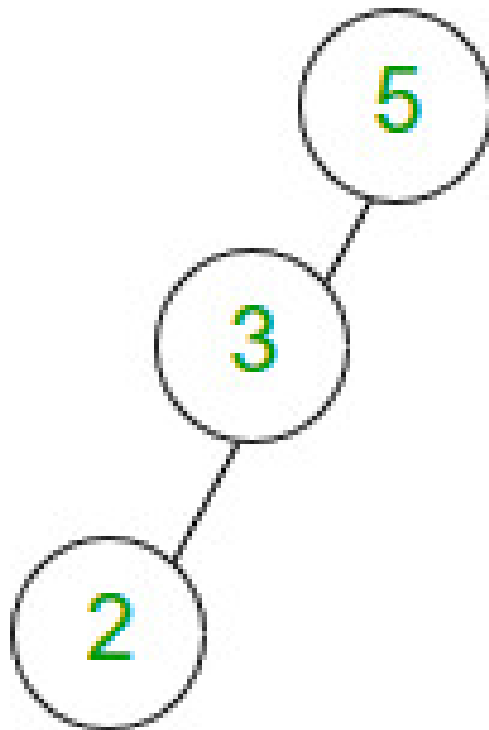
✓ Full Binary Tree
✓ Complete Binary Tree

- A heap is a **complete binary tree** that either is empty or
 - Its root
 - **(Max-heap):** Contains a value greater than or equal to the value in each of its children
 - **(Min-heap):** Contains a value less than or equal to the value in each of its children
 - Has heaps as its subtrees



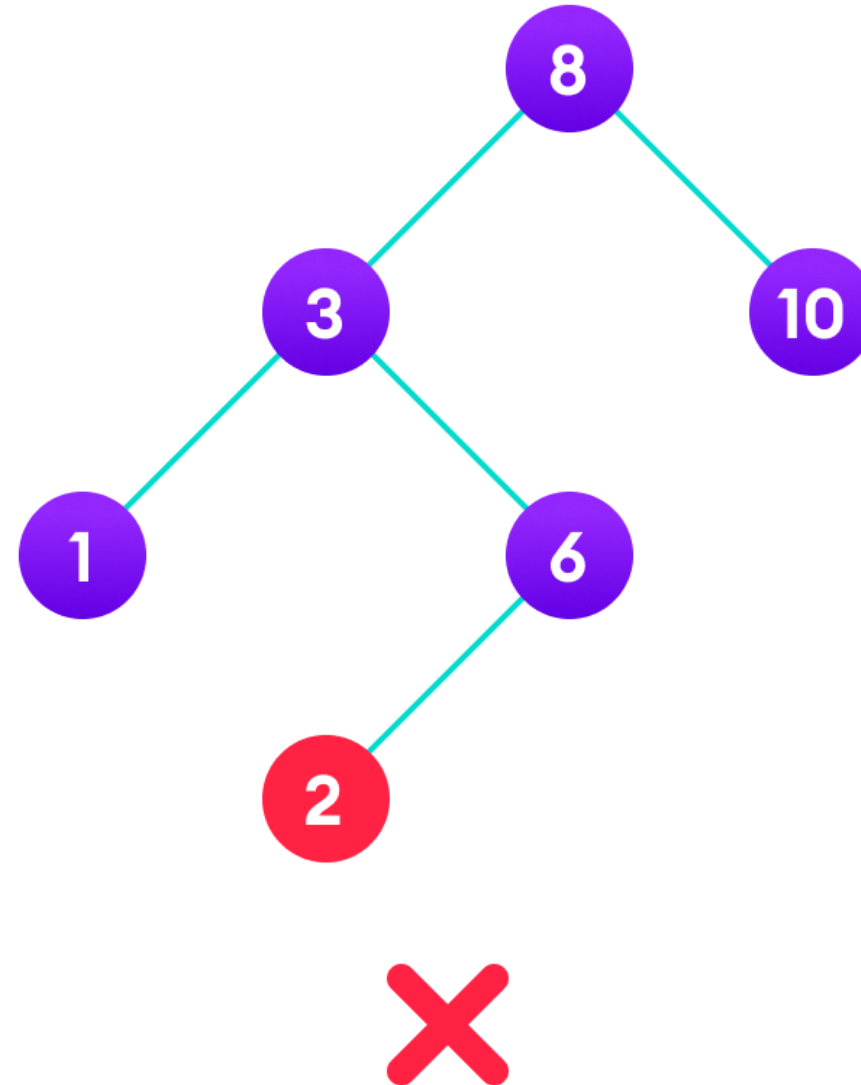
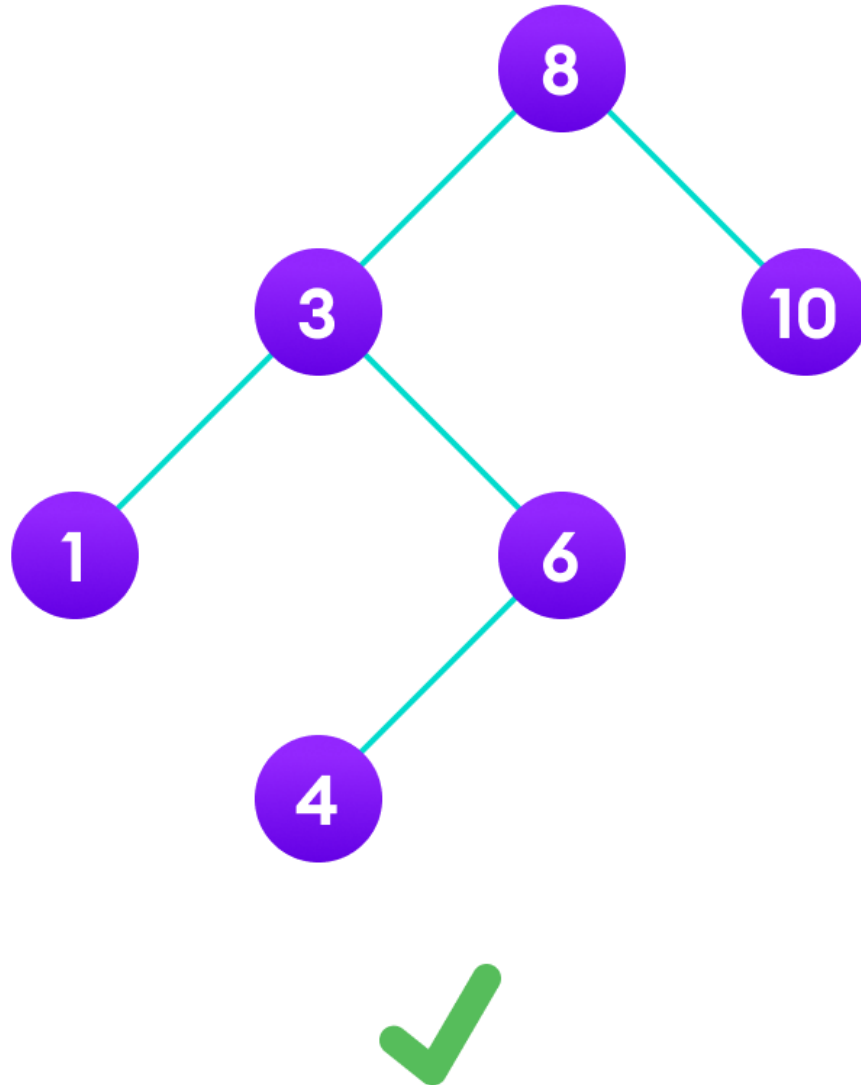
- Given a binary tree T height of h .
 - **What is the maximum number of nodes?**
 - **What is the minimum number of nodes?**

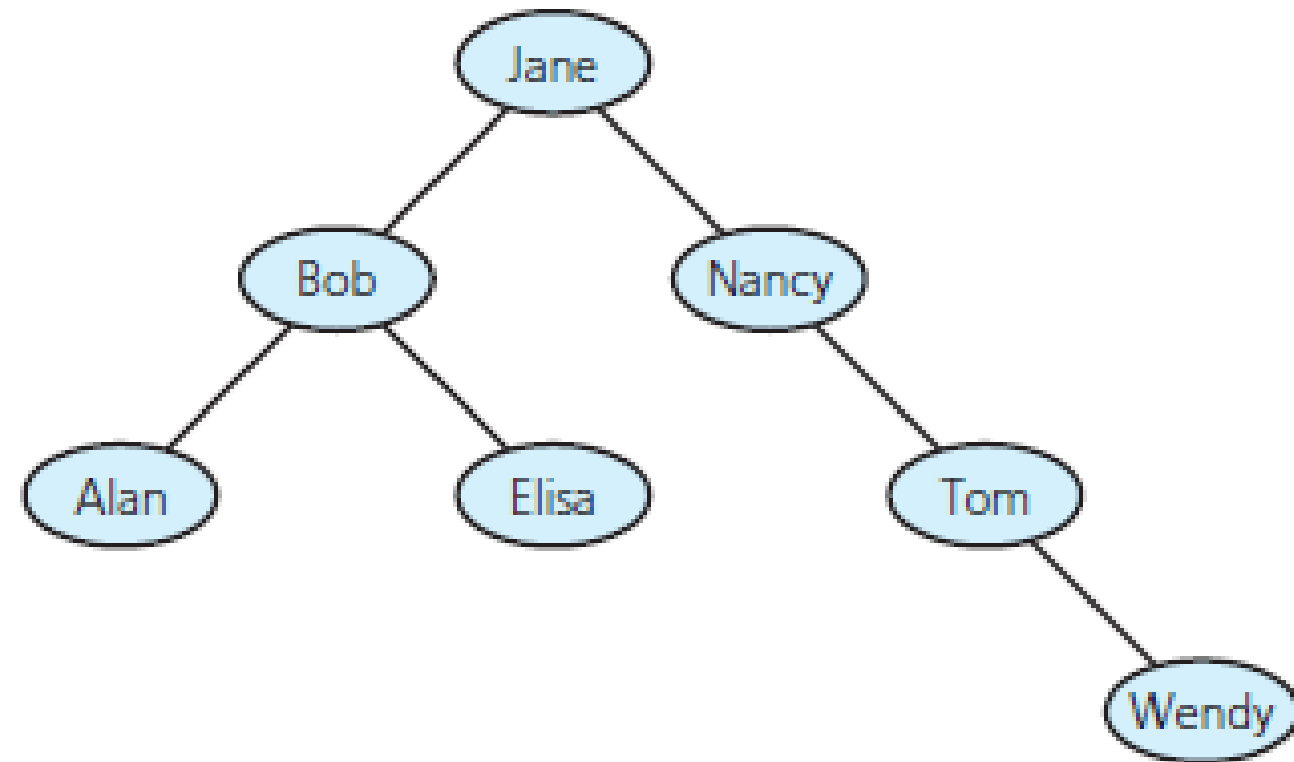
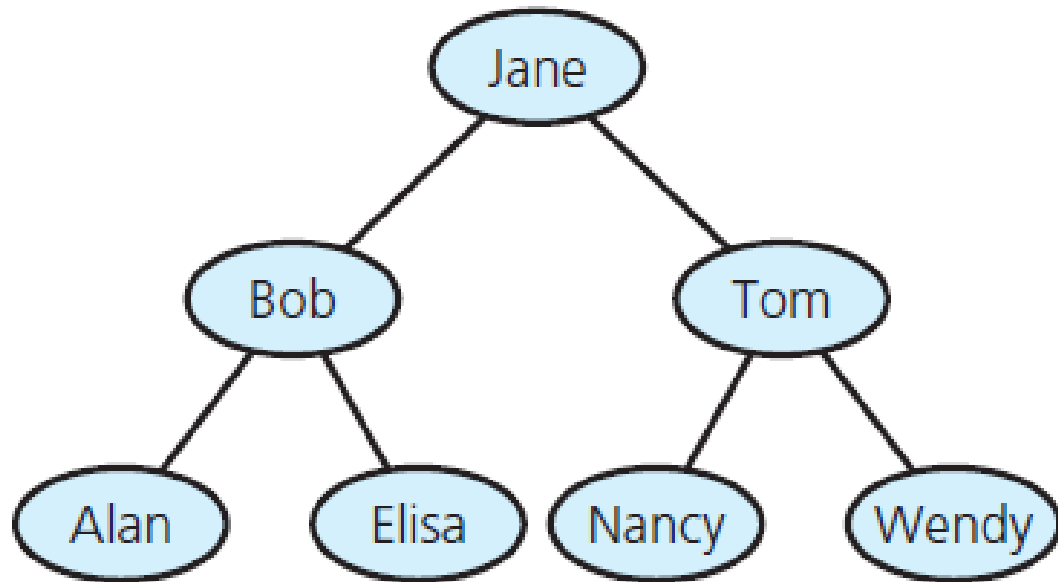
- Given a binary tree T height of $h = 3$.



- Given a binary tree T with n nodes.
 - **What is the maximum height of that tree?**
 - **What is the minimum height of that tree?**

- A perfect binary tree of height $h \geq 0$ has $2^h - 1$ nodes.
- You cannot add nodes to a perfect binary tree without increasing its height.
- The maximum number of nodes that a binary tree of height h can have is $2^h - 1$.
- The minimum height of a binary tree with n nodes is
$$\lceil \log_2 (n + 1) \rceil$$





- Insert (a key)
- Search (a key)
- Remove (a key)
- Traverse
- Sort (based on key value)
- Rotate (Left rotation, Right rotation)

Insert (root, Data)

```
{  
    if (root is NULL) {  
        Create a new_Node containing Data  
        This new_Node becomes root of the tree  
    }  
    //Compare root's key with Key  
    if root's Key is less than Data's Key  
        Insert Key to the root's RIGHT subtree  
    else if root's Key is greater than Data's Key  
        Insert Key to the root's LEFT subtree  
    else  
        Do nothing //Explain why?  
}
```

- Beginning with an empty binary search tree, what binary search tree is formed when you insert the following values in the order given?

15, 5, 12, 8, 23, 1, 17, 21

15, 20, 40, 25, 70, 90, 80, 55, 60, 65, 30, 75

9, 1, 4, 2, 3, 9, 5, 8, 6, 7, 4

- Beginning with an empty binary search tree, what binary search tree is formed when you insert the following values in the order given?

W, T, N, J, E, B, A

W, T, N, A, B, E, J

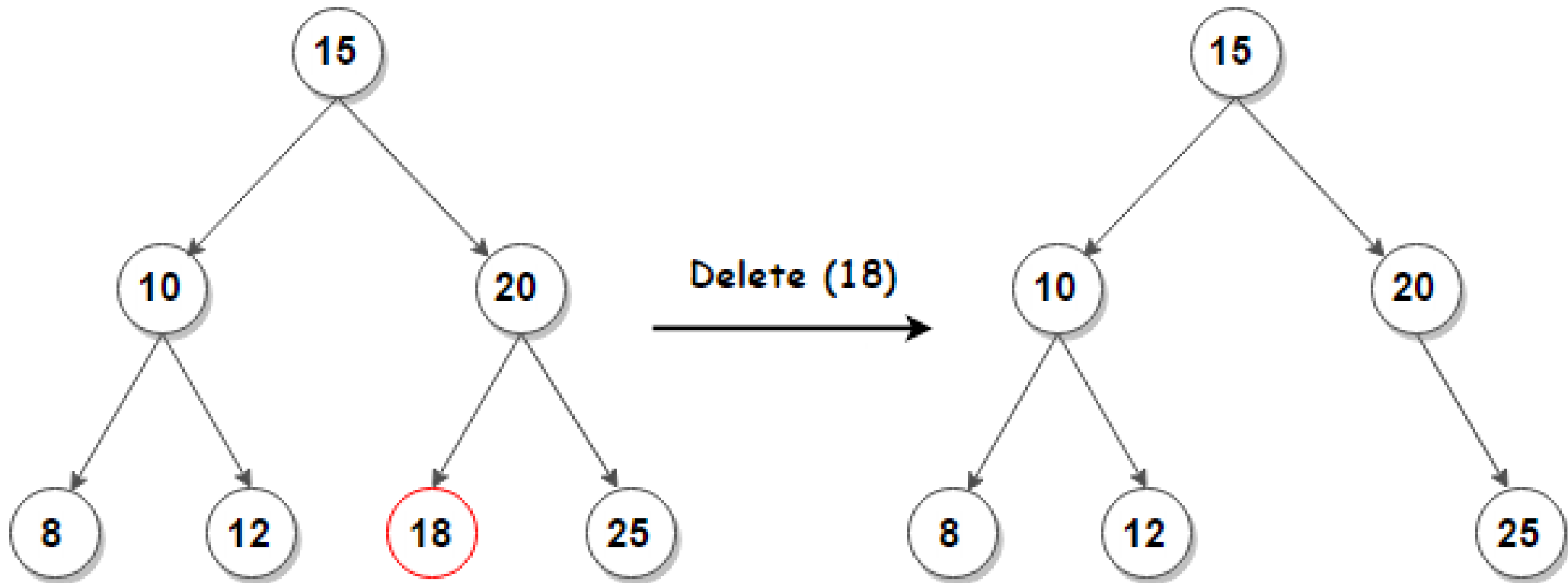
A, B, W, J, N, T, E

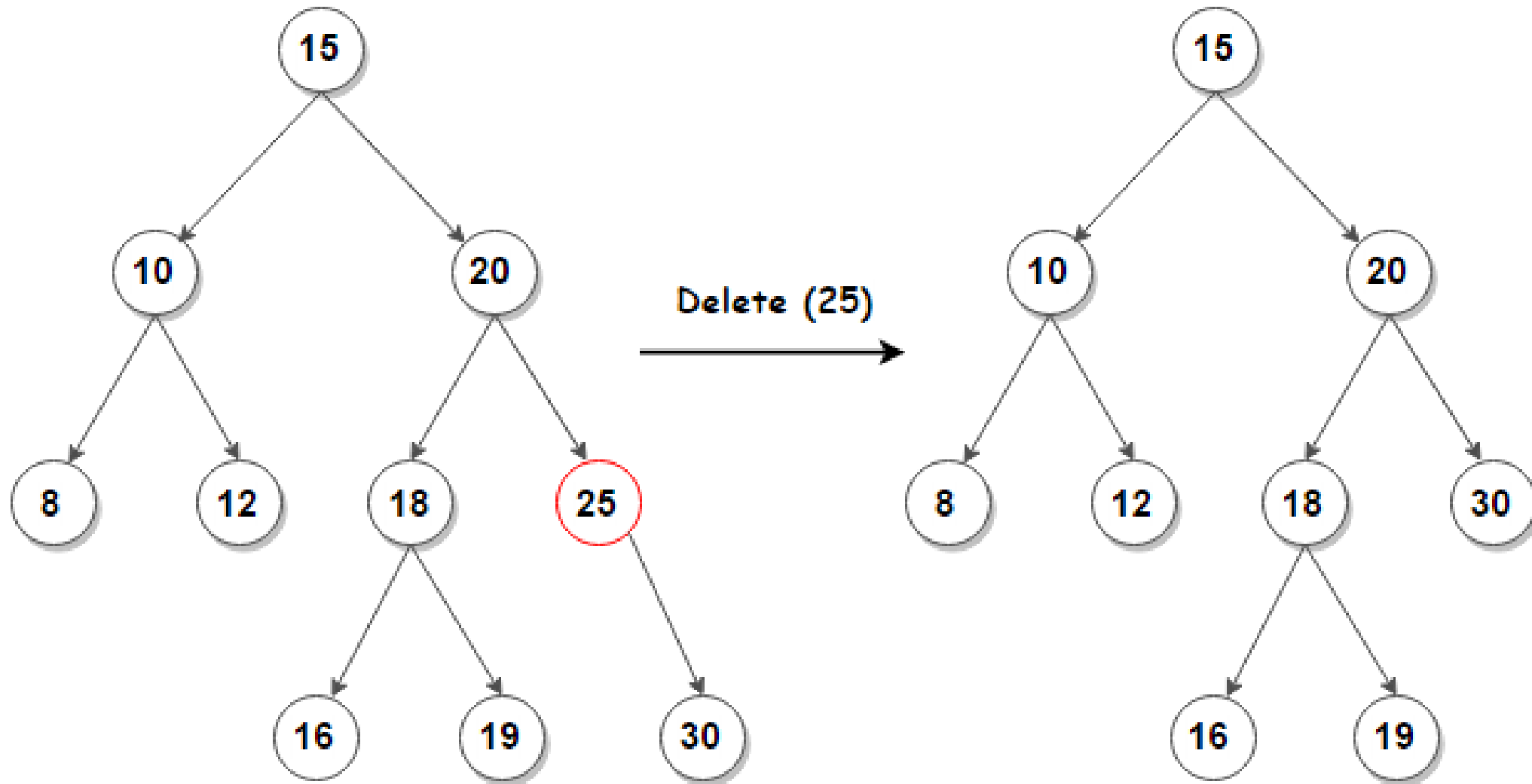
B, T, E, A, N, W, J

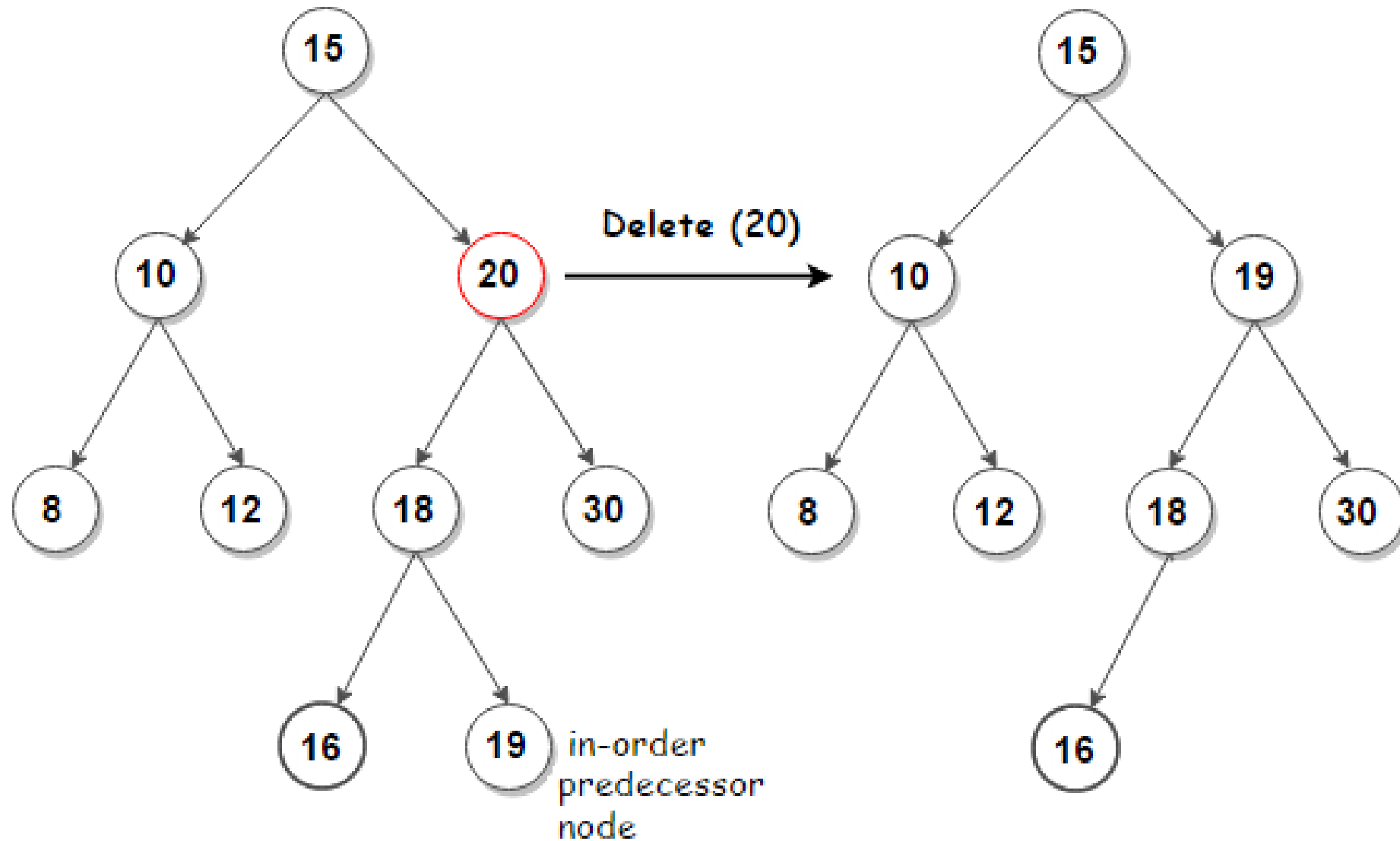
Search (root, Data)

```
{  
    if (root is NULL) {  
        return NOT_FOUND;  
    }  
    //Compare root's key with Key  
    if root's Key is less than Data's Key  
        Search Data in the root's RIGHT subtree  
    else if root's Key is greater than Data's Key  
        Search Data in the root's LEFT subtree  
    else  
        return FOUND //Explain why?  
}
```

- When we delete a node, three possibilities arise.
- Node to be deleted:
 - **is leaf**: Simply remove from the tree.
 - has **only one child**: Copy the child to the node and delete the child
 - has **two children**:
 - Find in-order successor (**predecessor**) **S_Node** of the node.
 - Copy contents of **S_Node** to the node and delete the **S_Node**.

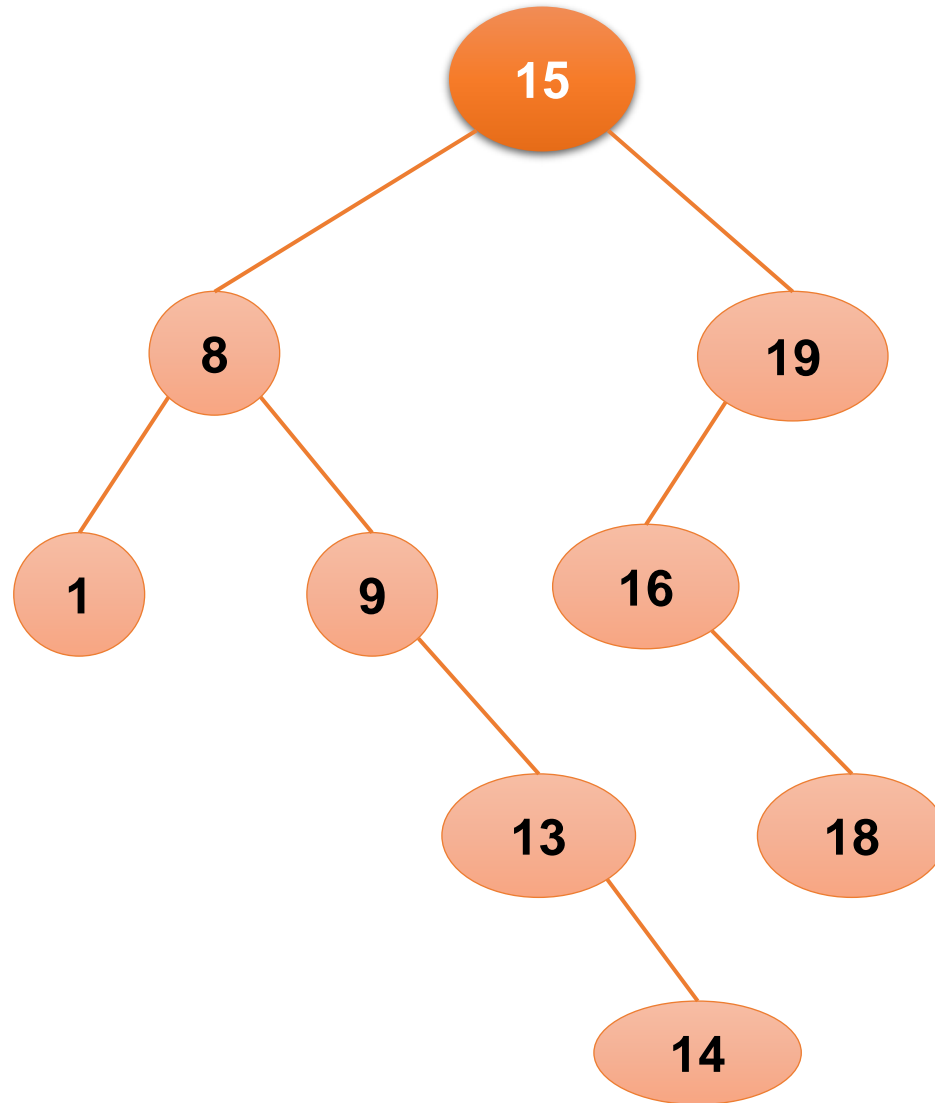




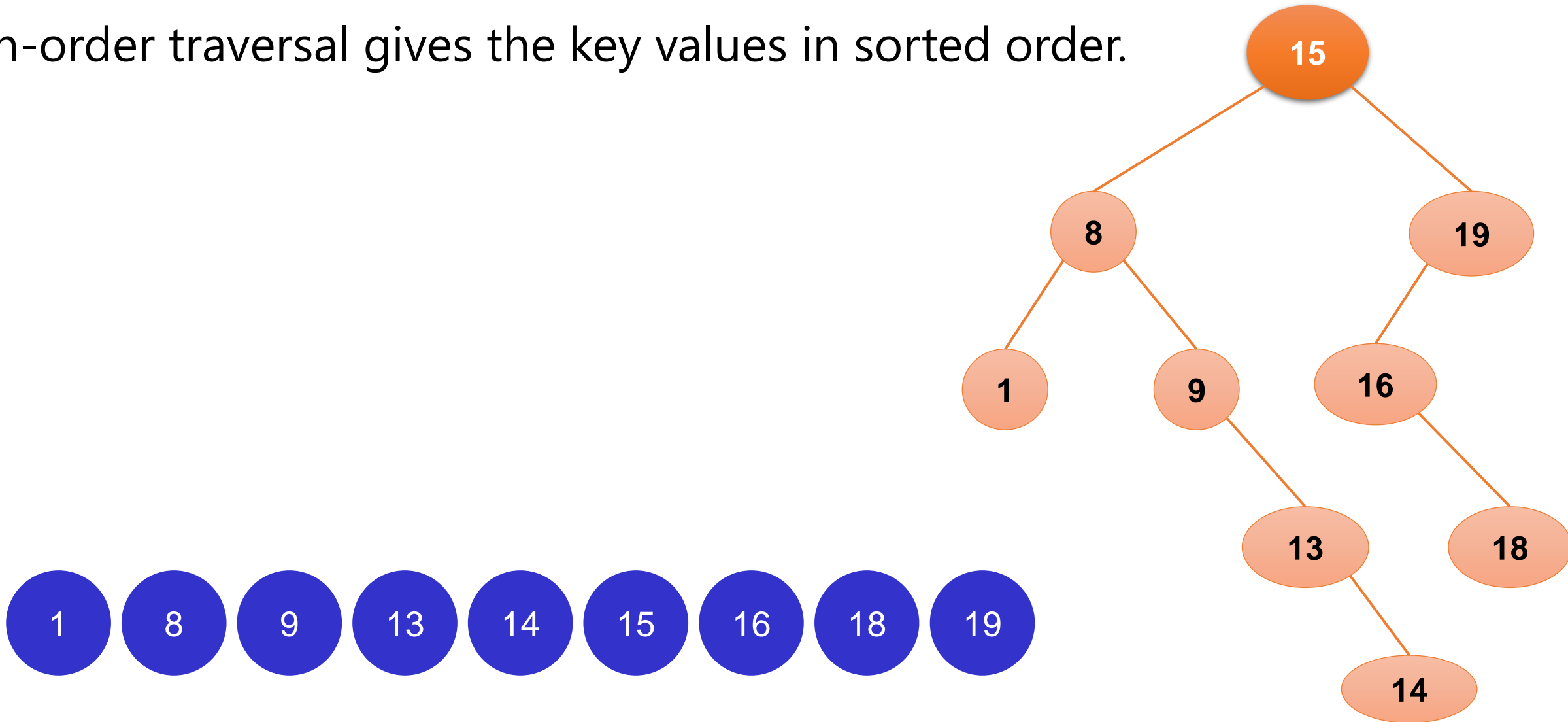


- **Pre-order:** Node - Left - Right
- **In-order:** Left - Node - Right
- **Post-order:** Left - Right - Node

- What are the pre-order, in-order and post-order traversals of this binary search tree?
- **Pre-order:**
- **In-order:**
- **Post-order:**



- In-order traversal gives the key values in sorted order.



Operation	Best Case Complexity	Average Case Complexity	Worst Case Complexity
Search	$O(\log n)$	$O(\log n)$	$O(n)$
Insertion	$O(\log n)$	$O(\log n)$	$O(n)$
Deletion	$O(\log n)$	$O(\log n)$	$O(n)$

- Beginning with an empty binary search tree, what binary search tree is formed when inserting the following values in the order given?

2, 4, 6, 8, 10, 12, 14, 18, 20

- How about this tree?

- BST is fast in insertion and deletion when balanced. It is fast with a time complexity of $O(\log n)$.
- BST is also for fast searching, with a time complexity of $O(\log n)$ for most operations.
- We can also do range queries – find keys between N and M ($N \leq M$).
- BST can automatically sort elements as they are inserted, so the elements are always stored in a sorted order.
- BST can be easily modified to store additional data or to support other operations. This makes it flexible.

THANK YOU
for YOUR ATTENTION