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VNUHCM - UNIVERSITY OF SCIENCE  
FACULTY OF INFORMATION TECHNOLOGY

VNUHCM – University of Science  
Faculty of Information Technology  
CSC10004 – Data Structures and Algorithms

# Session 09 - Hash Table

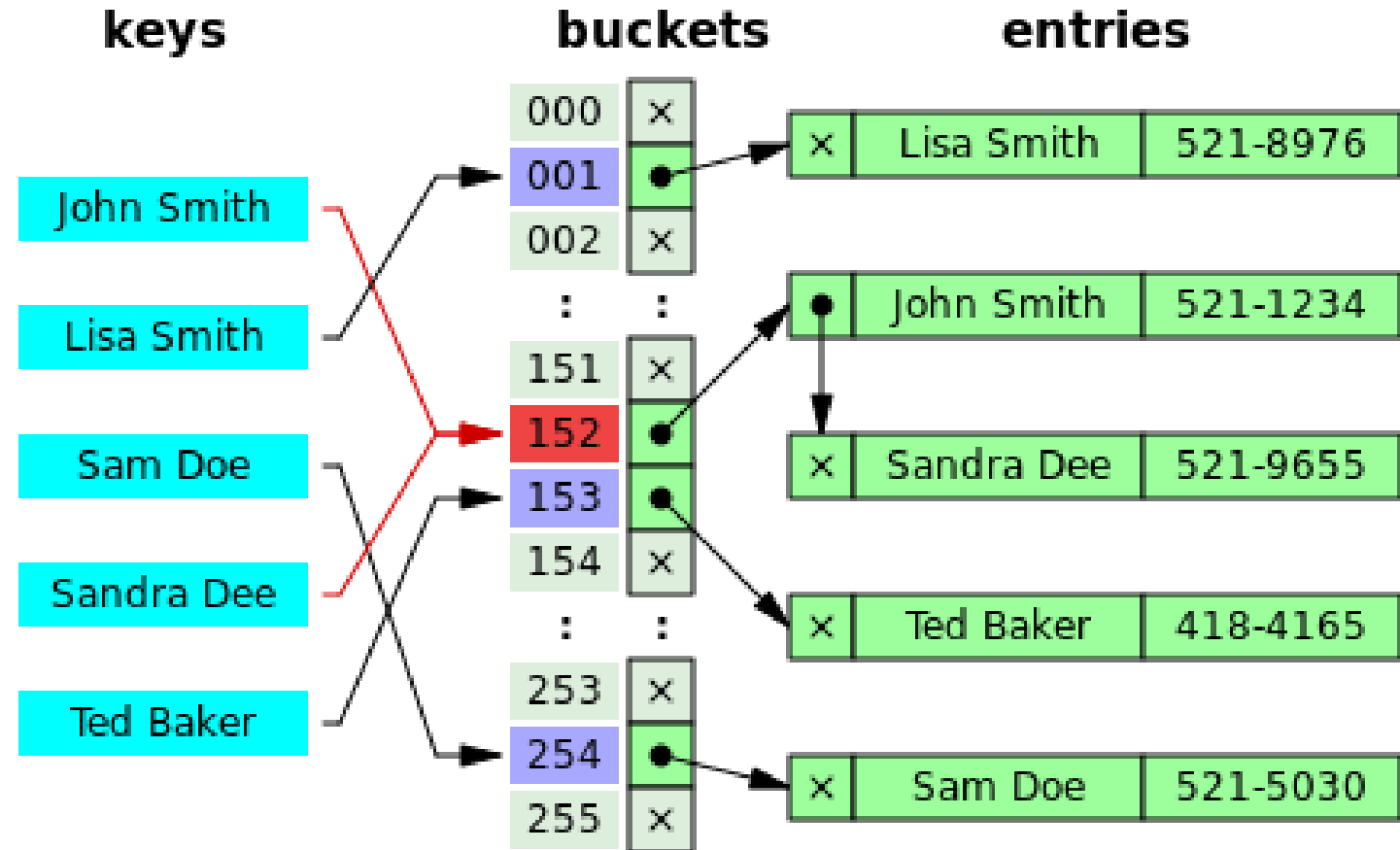
Instructor:

Dr. LE Thanh Tung

- 1 Introduction
- 2 Hash Functions
- 3 Resolving Collisions
- 4 The Efficiency of Hashing

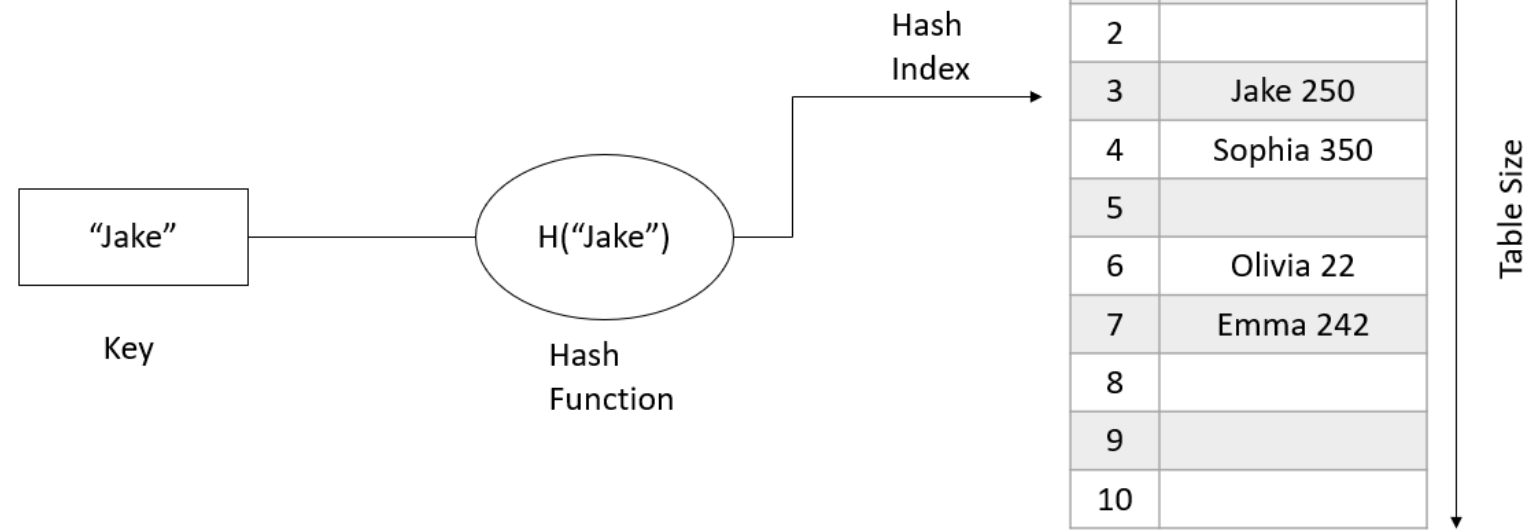
# Introduction

- Binary search tree retrieval have order  $O(\log_2 n)$
- Need a different strategy to locate an item
- Consider a “magic box” as an address calculator
  - Place/retrieve item from that address in an array
  - Ideally to a unique number for each key



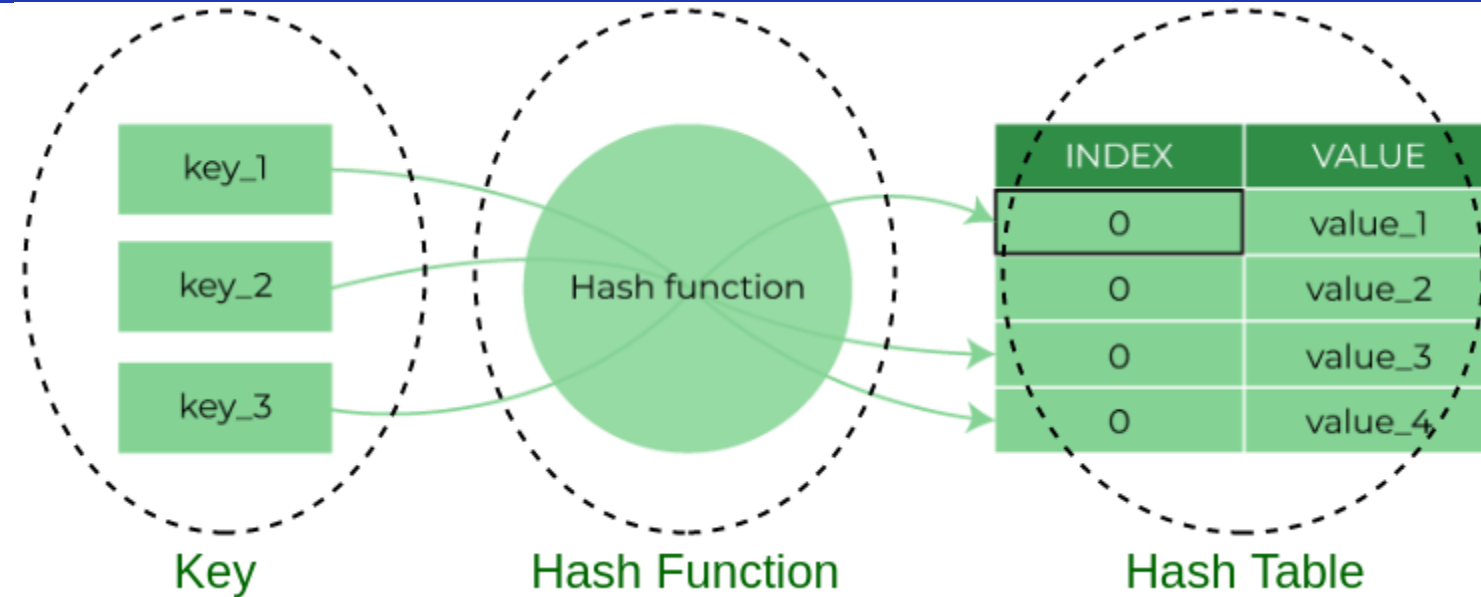
# Introduction

- Hashing is a technique to convert a range of key values into a range of indexes of an array.

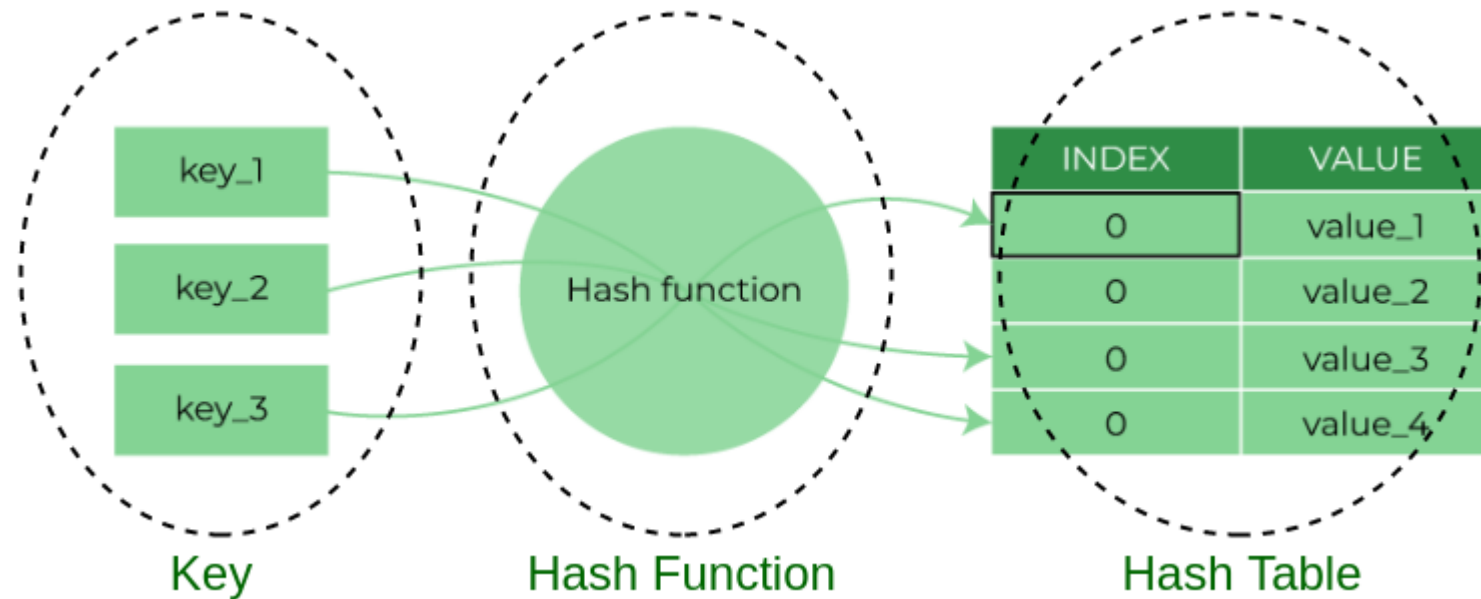


- Hashing is a technique to convert a range of key values into a range of indexes of an array.
- **Large keys** are converted into **small keys** by using **hash functions**
- The values are then stored in a data structure called **hash table**.

- Idea:
  - Distribute entries (key/value pairs) uniformly across an array.
  - Each element is assigned a key (converted key).
  - Using that key to access the element in  $O(1)$  time. (The hash function computes an index suggesting where an entry can be found or inserted.)



- A hash table is a data structure that is used to store keys/value pairs.
- It uses a hash function to compute an index into an array in which an element will be inserted or searched.



# Hash Function



- Hash function is a mathematical function that can be used to map/converts a key to an integer value (an array index).
- The values returned by a hash function
  - hash values
  - hash codes
  - hash sums
  - digests
  - hashes.

- Possible functions
  - Selecting digits
  - Folding
  - Modulo arithmetic
  - Converting a character string to an integer
    - Use ASCII values
    - Factor the results, Horner's rule

- Digit-selection:
  - Select some digits in the keys to create the hash value.
    - $h(001\mathbf{3}6482\mathbf{5}) = 35$
- Folding
  - $h(001364825) = 0 + 0 + 1 + 3 + 6 + 4 + 8 + 2 + 5 = 29$
  - $h(\mathbf{001}364\mathbf{825}) = 001 + 364 + 825 = 1190$
- Modulo arithmetic
  - $h(\text{Key}) = \text{Key} \bmod 101$ 
    - $h(001364825) = 12$

- A string key hash function

$$h = \sum_{i=0}^{keylength} 128^i \times char(key[i])$$

- Assume all keys are integers, and define
$$h(k) = k \bmod m$$
  - Where  $k$  is the key and  $m$  is the size of hash table
- Extreme deficiency: if  $m = 2^r$ , then the hash value doesn't even depend on all the bits of  $k$ 
  - Ex: if  $k = 1011000111\mathbf{011010}$  and  $r = 6$
  - then  $h(k) = 011010$
- Size of hash table array should be a **prime** number

- Properties of good hash functions

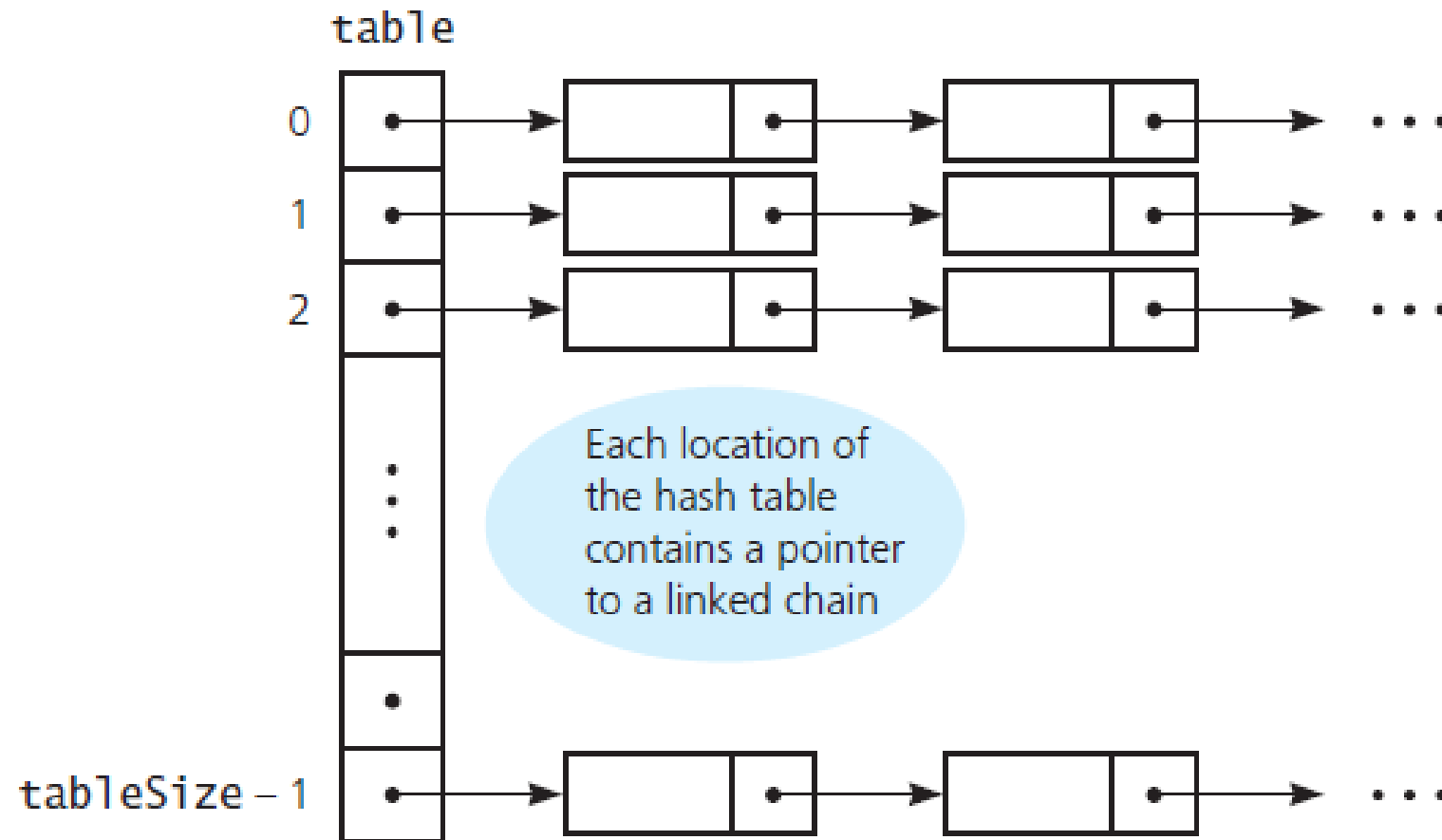


# Resolving Collisions

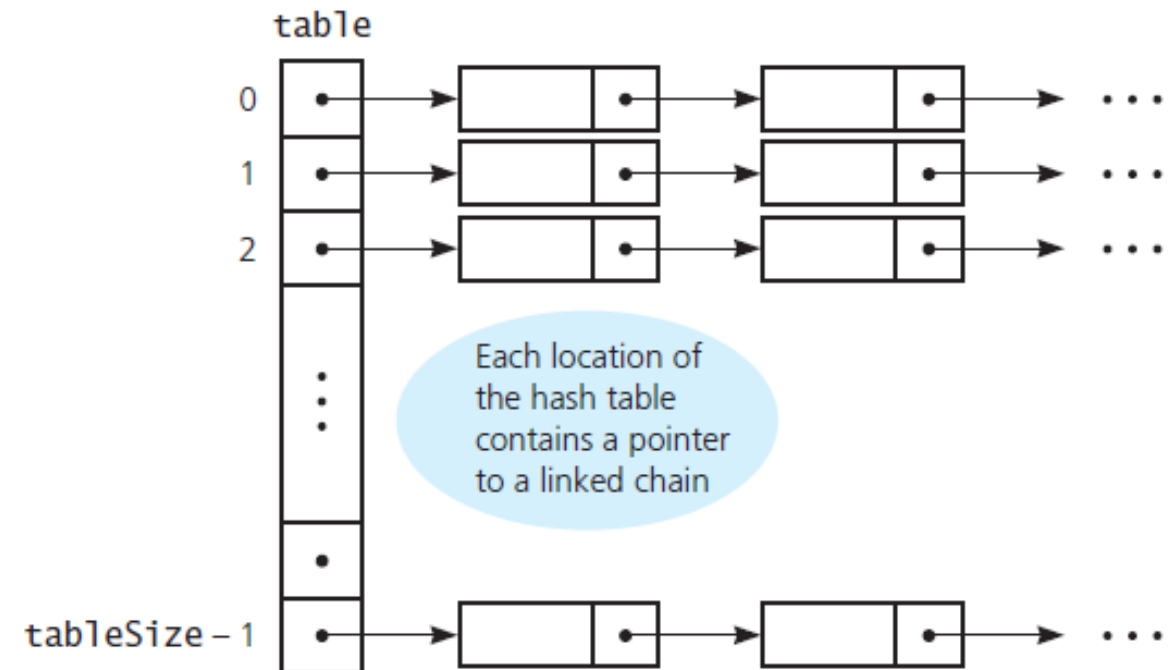
- **Collision:** when two keys map to the **same location** in the hash table.
- Two ways to resolve collisions:
  - Separate Chaining – open hashing
  - Open Addressing – closed hashing
    - Linear probing
    - Quadratic probing
    - Double hashing



- Separate chaining: All keys that map to the same hash value are kept in a list (or “bucket”)



- Each hash location can accommodate more than one item
- Each location is a “bucket” or an array itself
- Alternatively, design the hash table as an array of linked chains (“separate chaining”).



- Give the hash function  $h(k) = k \bmod 10$ , distribute the list of integers

**10, 22, 107, 12, 42**

into the hash table

0	
1	
2	
3	
4	
5	
6	
7	
8	
9	

- Give the hash function  $h(k) = k \bmod 10$ , distribute the list of integers

**10, 22, 107, 12, 42**

into the hash table

0	10
1	
2	22 → 12 → 42
3	
4	
5	
6	
7	107
8	
9	

- Probe for another available location
- Some techniques:
  - Linear probing
  - Quadratic probing
  - Double hashing

- In linear probing, the hash table is searched sequentially that starts from the original location of the hash.
- If in case the location that we get is **already occupied**, then we check for the next location
- Specifically,

$$H(k, step) = (h(k) + step) \bmod M$$

Where:

- $step = 0, 1, \dots$
- $M$  : size of hash table

- Given the hash function  $h(k) = k \bmod 10$ , we will distribute a list of integers

**10, 22, 107, 12, 42**

into a hash table. Additionally, we will employ linear probing to address any collisions that may arise during the process, using a step size of 1.

0

1

2

3

4

5

6

7

8

9


- Given the hash function  $h(k) = k \bmod 10$ , we will distribute a list of integers

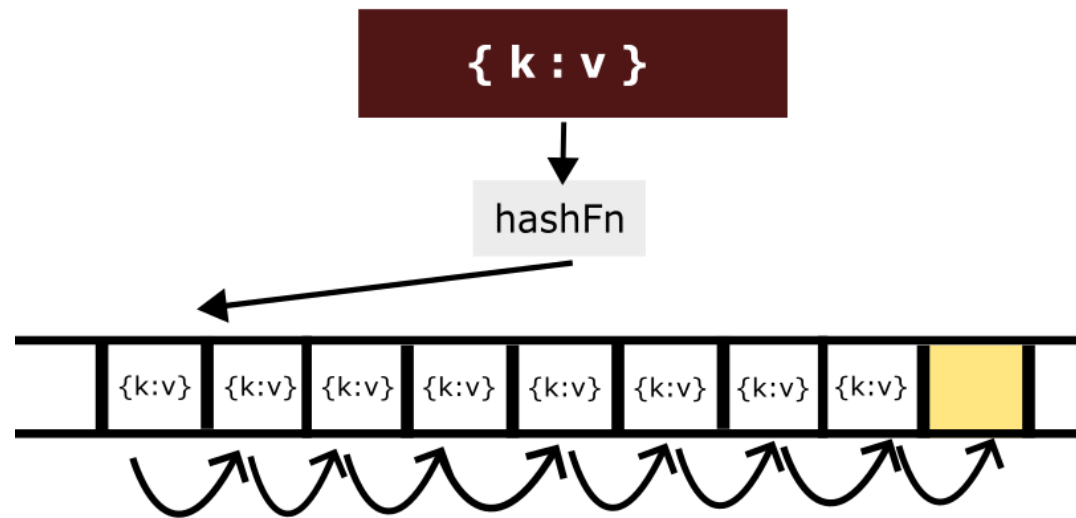
**10, 22, 107, 12, 42**

into a hash table. Additionally, we will employ linear probing to address any collisions that may arise during the process, using a step size of 1.

0	10
1	
2	22
3	12
4	42
5	
6	
7	107
8	
9	



- Clustering is a phenomenon that occurs as elements are added to a hash table. Elements may have a tendency to clump together, forming clusters, which over time will significantly impact performance for searching and adding elements



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- Specifically,

$$H(k, step) = (h(k) + step^2) \bmod M$$

Where:

- $step = 0, 1, \dots$
- $M$  : size of hash table

- Given the hash function  $h(k) = k \bmod 7$ , we will distribute a list of integers

**22, 30, 50, 37, 44**

into a hash table. Additionally, we will employ quadratic probing to address any collisions that may arise during the process

0

1

2

3

4

5

6


- Given the hash function  $h(k) = k \bmod 7$ , we will distribute a list of integers  
**22, 30, 50, 37, 44**  
into a hash table. Additionally, we will employ quadratic probing to address any collisions that may arise during the process

0  
1  
2  
3  
4  
5  
6

22
30
37
50
44

- Specifically,

$$H(k, step) = (h(k) + step * h_2(k)) \bmod M$$

Where:

- $step = 0, 1, \dots$
- $M$  : size of hash table
- $h_2(.)$ : the second hash function

- Given the hash function  $h(k) = k \bmod 7$ , we will distribute a list of integers

**27, 43, 692, 72**

into a hash table. Additionally, we will employ double hashing to address any collisions that may arise during the process with the second hash function of  $h_2(k) = 1 + (k \bmod 5)$

0  
1  
2  
3  
4  
5  
6


- Given the hash function  $h(k) = k \bmod 7$ , we will distribute a list of integers

**27, 43, 692, 72**

into a hash table. Additionally, we will employ double hashing to address any collisions that may arise during the process with the second hash function of  $h_2(k) = 1 + (k \bmod 5)$

0  
1  
2  
3  
4  
5  
6

43
692
72
27

- Some functions recommended in the literature:
  - $h_2(Key) = m - 2 - Key \bmod (m - 2)$
  - $h_2(Key) = 8 - (Key \bmod 8)$
  - $h_2(Key) = Key \bmod 97 + 1$



- **Advantages:**
  - Simple to implement.
  - Hash table never fills up, we can always add more elements to the chain.
  - Less sensitive to the hash function or load factors.
  - It is mostly used when it is unknown how many and how frequently keys may be inserted or deleted.

## Disadvantages:

- Cache performance of chaining is not good as keys are stored using a linked list. Wastage of space (Some parts of hash table are never used)
- If the chain becomes long, then search time can become  $O(n)$  in the worst case.
- Uses extra space for links.

- Removal requires specify state of an item
  - Occupied, emptied, removed → **Why?**
- Clustering is a problem
  - 2 keys have the same collision chain if their initial position is the same
- Double hashing can reduce clustering

- Linear probing has the best cache performance but suffers from clustering. One more advantage of Linear probing is easy to compute.
- Quadratic probing lies between the two in terms of cache performance and clustering.
- Double hashing has poor cache performance but no clustering. Double hashing requires more computation time as two hash functions need to be computed.

# The Efficiency of Hashing

- Efficiency of hashing involves the load factor alpha ( $\alpha$ )

$$\alpha = \frac{\textit{Current number of table items}}{\textit{tableSize}}$$

- Efficiency of hashing involves the load factor alpha ( $\alpha$ )
- The load factor is the average number of key-value pairs per bucket.

$$\alpha = \frac{\text{Current number of table items}}{\text{tableSize}}$$

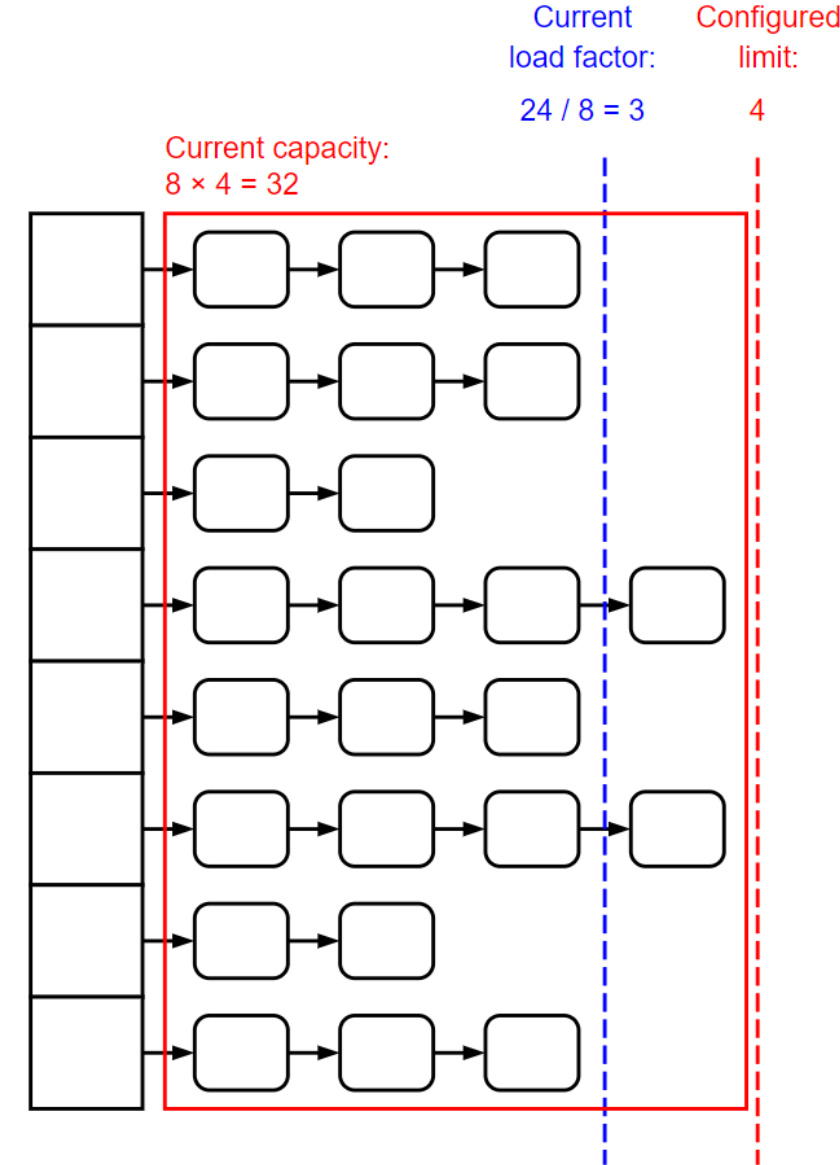
- The higher the load factor, the slower the retrieval
- With open addressing, the load factor cannot exceed 1.
- With chaining, the load factor often exceeds 1

- The capacity is the maximum number of key-value pairs for the given load factor limit and current bucket count

$$\text{capacity} = \text{number of buckets} \times \text{load factor limit}$$



- It is when the load factor reaches a given limit that rehashing kicks in.
- Since rehashing increases the number of buckets, it reduces the load factor
- The load factor limit is usually configurable and offers a **tradeoff** between **time** and **space** costs



- Linear probing – average value for  $\alpha$

$$\frac{1}{2} \left[ 1 + \frac{1}{1 - \alpha} \right] \quad \text{for a successful search, and}$$

$$\frac{1}{2} \left[ 1 + \frac{1}{(1 - \alpha)^2} \right] \quad \text{for an unsuccessful search}$$

- Quadratic probing and double hashing – efficiency for given  $\alpha$

$$\frac{-\log_e(1 - \alpha)}{\alpha}$$

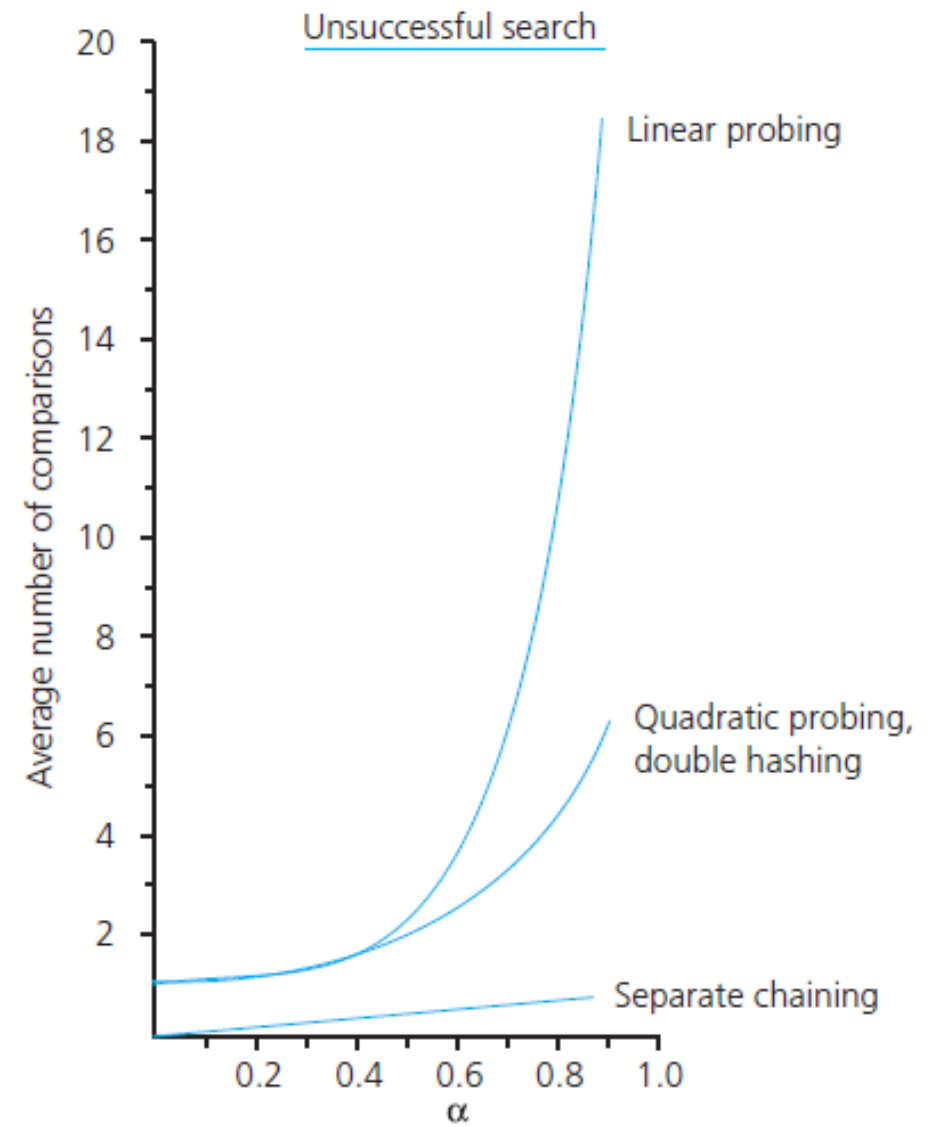
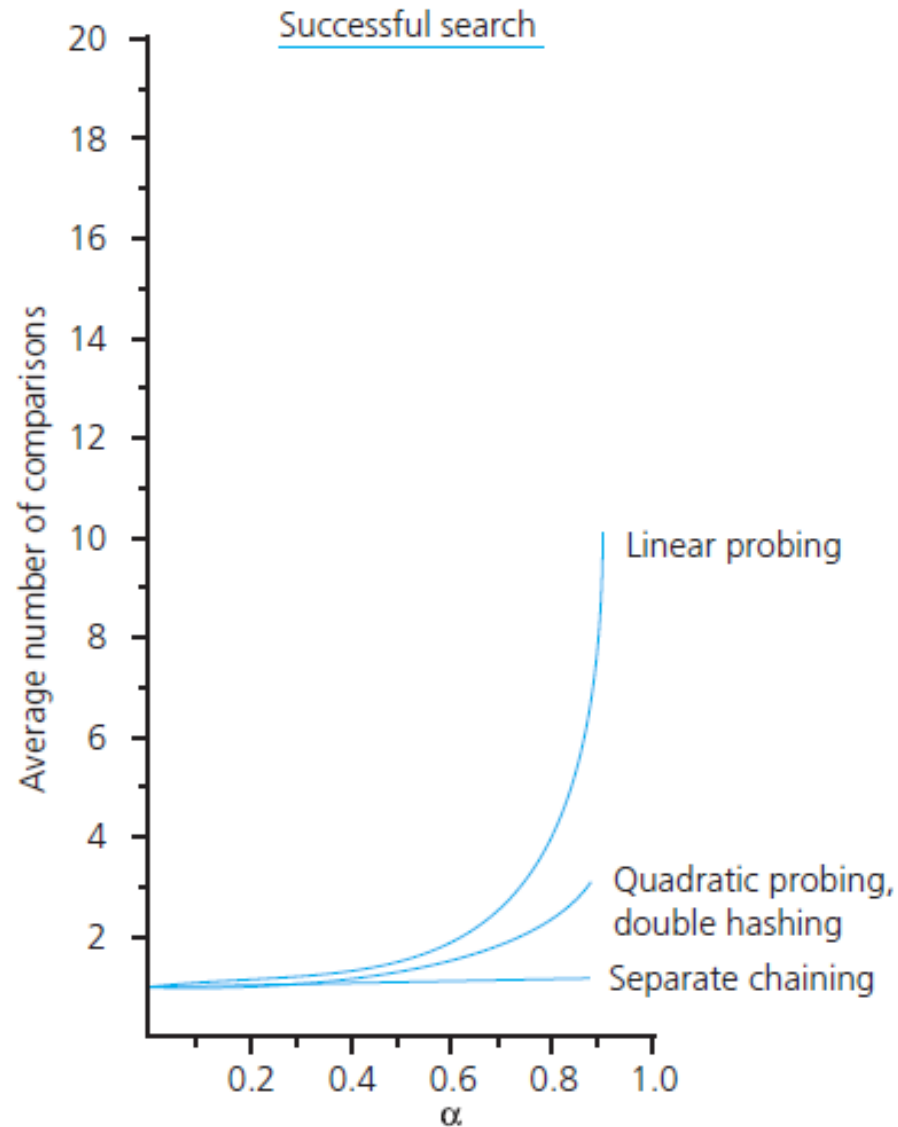
for a successful search, and

$$\frac{1}{1 - \alpha}$$

for an unsuccessful search

- Separate chaining – efficiency for given  $\alpha$

$$\frac{1}{\alpha} + \frac{\alpha}{2} \quad \begin{array}{l} \text{for a successful search, and} \\ \text{for an unsuccessful search} \end{array}$$



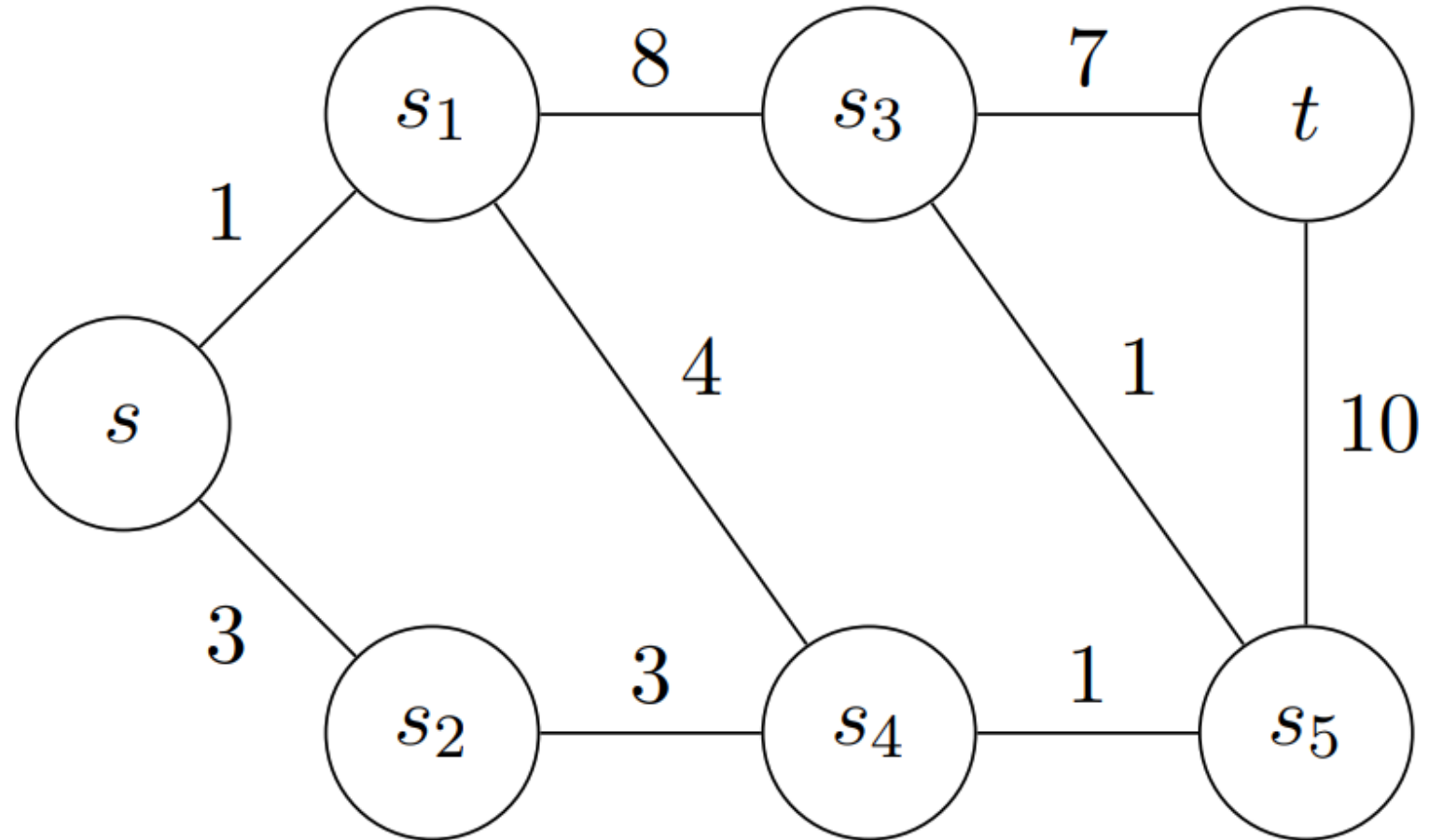
- Collisions and their resolution typically cause the load factor  $\alpha$  to increase
- To maintain efficiency, restrict the size of  $\alpha$ 
  - $\alpha \leq 0.5$  for open addressing
  - $\alpha \leq 1.0$  for separate chaining
- If load factor exceeds these limits
  - Increase size of hash table
  - Rehash with new hashing function

- Given a hash table with  $m = 13$  entries and the hash function
$$h(key) = key \bmod m$$
- Insert the keys **{10, 22, 31, 4, 15, 28, 17, 88, 59}** in the given order (from left to right) to the hash table. If there is a collision, use each of the following open addressing resolving methods:
  - A. Linear probing
  - B. Quadratic probing
  - C. Double hashing with  $h_2(key) = (key \bmod 7) + 1$

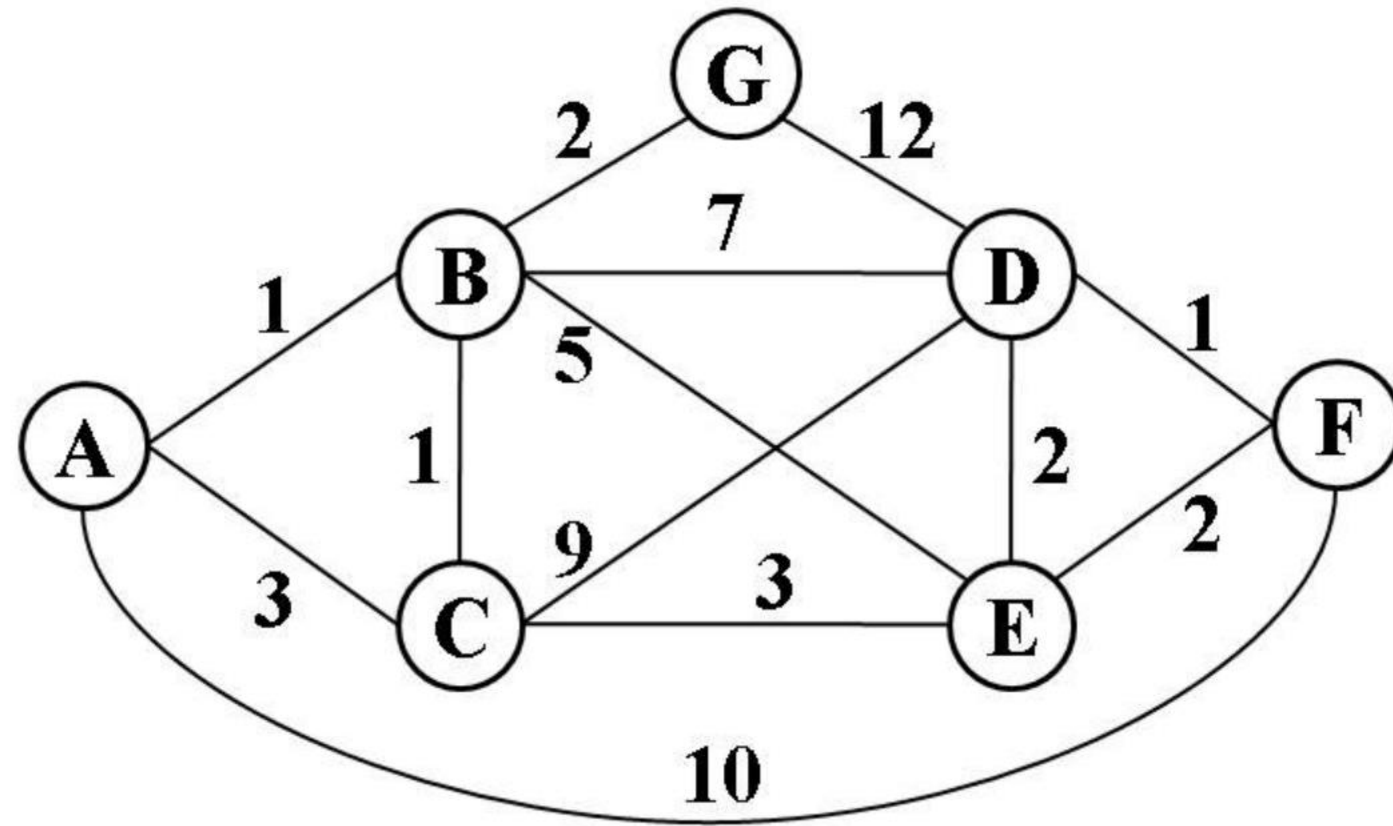
THANK YOU  
for YOUR ATTENTION



- Apply Dijkstra's algorithm to the following graph to find the shortest path (and its cost) from  $s$  to the other vertices. Write down all intermediate steps



- Apply Dijkstra's algorithm to the following graph to find the shortest path (and its cost) from **G** to the other vertices. Write down all intermediate steps



- Apply Prim/ Kruskal's algorithm to find the minimum spanning tree of the graph

