

## Unit 1:- Basic foundations (3 hrs)

Review of mathematical preliminaries:-

Topic 1:- 1.1 Review of set theory, Logic, functions, proof

The term set is the "collection of ~~objects~~ well defined objects", which are called the elements of the sets.

Some examples of sets are given below.

- Set of vowels in English alphabet
- Set of odd numbers less than 20
- The set of natural numbers less than 10 etc.

If  $x$  is an element of  $A$  then we write  $x \in A$

If  $x$  is not an element of  $A$  then we write  $x \notin A$

e.g:-

Set of binary digits is  $\{0, 1\}$

Set of decimal digits is  $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$

We basically use the capital letters ( $A, B, C, \dots$ ) for denoting the sets and smaller letters ( $a, b, c, \dots$ ) to denote the elements of any sets.

$$\text{e.g } A = \{a, b, c, \dots\}$$

## Methods of describing a set.

- Enumeration method - Listing
- Standard method or description method.
- Set builder method.

### a) Enumeration method :-

- Elements of a set are enumerated or listed.  
we enclose them in braces.

e.g.:  $A = \{1, 2, 3, \dots, 100\}$

$B = \{a, b, c, \dots, z\}$

$C = \{a, e, i, o, u\}$

### b) Standard method:-

- Frequently used sets are usually given symbols that are reserved for them alone.

for e.g.:-

$N$  (Natural numbers) =  $\{1, 2, 3, \dots, n\}$

$Z$  (the integers) =  $\{\dots, -2, -1, 0, 1, 2, 3, \dots\}$

$Q$  (rational numbers)

$R$  (real numbers) etc.

### c) Set builder method:-

- Another way of describing sets is to use the set builder notation.

e.g.:-

We could define the rational numbers as.

$$Q = \{x/y : x \in Z, y \in Z, y \neq 0\}$$

Note that in the set builder description of rational numbers

- $x/y$  indicates that a typical element of the set is "fraction"
- :
 (Colon) is read "such that" or "where" used interchangeably with vertical line '|'
- $x, y \in \mathbb{Z}$  abbreviates  $x \in \mathbb{Z}$  and  $y \in \mathbb{Z}$
- all commas are read as "and".

### Types of sets:-

- Empty set : - A set which has no elements aka null set or void set. denoted by  $\{\emptyset\}$
- Singleton set :- A set which has a single element  
e.g.  $S = \{1\}$
- Disjoint sets :- Two or more sets are said to be disjoint, if there are no common elements among.  
 $A = \{a, b, c\}$   
 $B = \{e, f, g\}$
- Overlapping sets :- Two or more sets are said to be Overlapping set if there are at least one common element among.
- Finite sets : A set having ~~less than~~ specified number of elements  
 $A = \{1, 2, 3\}$
- Infinite sets : A set having non finite elements  
 $A = \{1, 2, 3, \dots\}$

- Universal set:- The set of all objects or things under consideration is called universal set denoted by  $U$ .

### Basic Set Operations:-

#### Union:-

e.g Union of  $(A \cup B) = \{x \mid x \in A \text{ or } x \in B\}$

#### Intersection:-

e.g. Intersection of  $A$  and  $B$ :

$$A \cap B = \{x \mid x \in A \text{ and } x \in B\}$$

#### Difference:-

e.g. Complement of  $B$  in  $A$ :

$$A - B = \{x \mid x \in A \text{ and } x \notin B\}$$

Kn logic:-

Some of the basic concepts of mathematical logic include,

Propositions

- A proposition is a sentence to which one and only one of the terms "true" or "false" can be meaningfully applied.

eg:-

"five is odd"  $\rightarrow$  true

" $40 > 20$ "  $\rightarrow$  True

" $5 \in \{1, 2, 3\}$ "  $\rightarrow$  false

Logical operators:

- If  $p$  and  $q$  are propositions then their Conjunction (AND)  $p$  and  $q$  (denoted by  $p \wedge q$ )
- Disjunction (OR)  $\vee$

$p \vee q$

- Negation (NOT)  $\sim$  or  $\neg$  ( $\neg p$ ,  $\neg q$ )

- Conditional operator (Implication)  $p \rightarrow q$ , if  $p$  then  $q$

- Biconditional operator (If and only if)  $p \leftrightarrow q$

conditional

| $p$ | $q$ | $p \rightarrow q$ |
|-----|-----|-------------------|
| 0   | 0   | 1                 |
| 0   | 1   | 1                 |
| 1   | 0   | 0                 |
| 1   | 1   | 1                 |

| $p$ | $q$ | $p \leftrightarrow q$ |
|-----|-----|-----------------------|
| 0   | 0   | 1                     |
| 0   | 1   | 0                     |
| 1   | 0   | 0                     |
| 1   | 1   | 1                     |

false when condition (hypothesis)  
is true & conclusion is false

$p \leftrightarrow q$  is true when  $p, q$  have same truth values

### Tautology :-

Expression involving variables that is true in all cases, is called tautology.

e.g.  $P \vee \neg P$ ,  $(P \wedge q) \rightarrow p$  etc.

### Contradiction:-

Expression involving logical variables that is false for all cases of its truth table is called contradiction.

e.g.  $P \wedge \neg P$ ;  $\Phi$

**Function:-**<sup>review</sup>

- A function is a correspondence between two sets (called the domain and range) such that to each element of the domain, there is assigned exactly one element of the range.

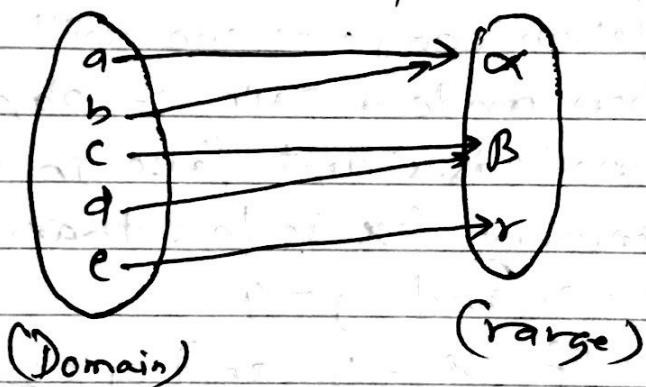
**Classes of functions:-****On-to-functions (Surjective) (~~One-one~~)**

- A function from set  $S_1$  to  $S_2$  is said to be an on-to function if every element of  $S_2$  is the image of one or more elements of  $S_1$ .

$$\text{let } S_1 = \{a, b, c, d, e\}$$

$$S_2 = \{\alpha, \beta, \gamma\}$$

here,  $f$  is defined as follows.



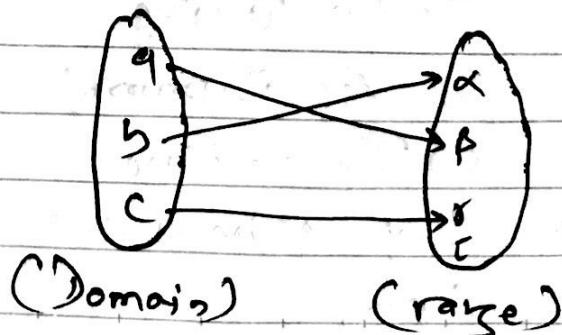
every element  
of co-domain is  
mapped to by at  
least one element  
of domain.

**One-to-one functions (Injective) :-**

- A function from  $S_1$  to  $S_2$  is said to be a one to one function if no two elements of  $S_1$  have the same image in  $S_2$ .

$$S_1 = \{a, b, c\}$$

$$S_2 = \{\alpha, \beta, \gamma\}$$



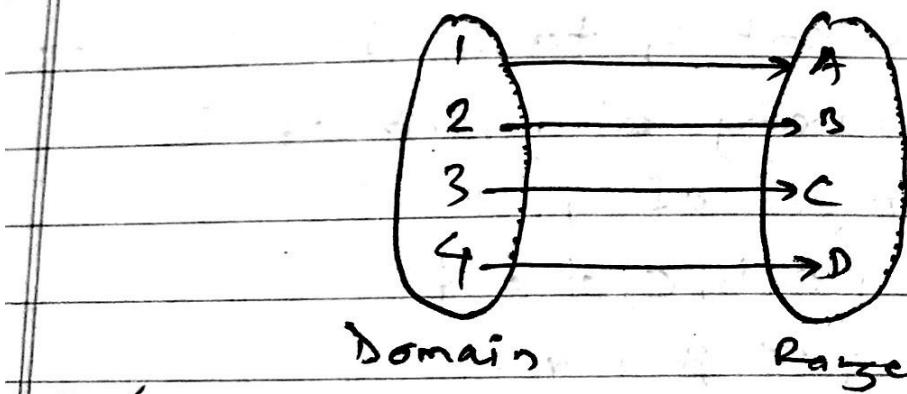
co-domain  
is mapped  
atmost  
one elem  
ent in  
domain

## One to one On-to function:- (bijection)

- A function from set  $S_1$  to  $S_2$  is said to be one to one onto function if it is both onto and one to one function.

$$S_1 = \{1, 2, 3, 4\}$$

$$S_2 = \{A, B, C, D\}$$



element of co-domain is mapped to by exactly one element of domain.

new 1.5

## ~~IV Proof Techniques:-~~

- A proof is a valid argument that establishes the truth of a statement.
- While establishing the truth of a statement, theorem; different rules, axioms and already proven facts are used!

Two types of proof techniques.

① Formal proof

- have to follow definite rules.

② Informal proof

- No fixed rules or predefined rules

a) Direct proof

b) Indirect proof

→ Proof by contradiction

→ Proof by Contra-positive

hypothetical conclusion  
 $P \rightarrow q$   
 P is true.  
 q is true.

Date: \_\_\_\_\_

Page: \_\_\_\_\_

(a) ~~✓ Direct proof:-~~

Let  $P \rightarrow q$  be an implication. Then in direct proof, we assume that the hypothesis of the implication is true i.e. P is true, using different theorems, axioms and already proven facts. We conclude that ~~that~~ the conclusion of the implication is true i.e. q is true.

The idea behind the direct proof is that if true hypothesis leads to true conclusion then the implication is also true.

e.g. Show that if n is even, then  $n^2$  is also even using direct proof.

$\Rightarrow$  Let, n is even.

Then by definition of even integer.

$$n = 2k, \text{ for some integer } k.$$

$$n^2 = (2k)^2 \quad (\text{squaring both sides})$$

$$n^2 = 2(2k^2)$$

by definition of even integers,  $2k$  is even.

(b) ~~✓ Indirect proof.~~

Proof by contrapositive.

$\Rightarrow$  We assume that the negation of conclusion is true. Using different rules, axioms & already proven ~~theorems~~ facts, we conclude that the negation of hypothesis is true.

$$P \rightarrow q$$

$$\neg q \rightarrow \neg P$$

Proof by contradiction:-

P' Ram is a student

→ P' ~~is~~ E.G (Assume)

⇒ following cases may arise,

① If the statement is not implication,

② If the ~~is~~ statement is implication. (just like contraposition)

- If the statement is not implication, we assume the negation of the statement is true and by proceeding we reach to a contradiction. Hence, we conclude that our assumption is false and the given statement is ~~false~~ true.

~~Other proof techniques :-~~Proof by resolution:-

$$P \vee \neg q$$

$$\neg q \vee r$$

$$\therefore P \vee r$$

~~Mathematical Induction:-~~

- ⇒ To prove using mathematical induction, we first show that it is true for some initial value of  $n$ .

- Then assume that the statement is true for any arbitrary ~~value~~ value  $k$  and show that it is true for  $k+1$ .

eg:-

~~Using mathematical induction, show that~~

$$1+2+3+\dots+n = \frac{n(n+1)}{2}, n \geq 1$$

Soln:

~~Basic step :-~~

~~for n=1~~

for n=1

$$\frac{1(1+1)}{2} = 1, \text{ which is true}$$

Inductive step:-

- We assume that it is true for any arbitrary k.

i.e.  $1+2+3+\dots+k = \frac{k(k+1)}{2}$  is true.

- Now we have to show that it is true for (k+1).

i.e.  $1+2+3+\dots+k+k+1 = \frac{k(k+1)}{2} + k+1$

$$= \frac{k(k+1) + 2(k+1)}{2}$$

$$= \frac{(k+1)(k+2)}{2}$$

$$= \frac{(k+1)((k+1)+1)}{2}$$

which is true.

## Automata, Computability & Complexity :-

⇒ Theory of computation can be divided into the following 3 areas:-

- 1) Automata theory
- 2) Computability theory
- 3) Complexity theory.

### Automata theory:-

- It is the study of abstract machines (or more appropriately, abstract 'mathematical' machines or systems) for modeling computations.  
why abstract machines?
- 2 Abstract machine allows us to model the essential parameters and ignore the non-essential parameters.
- Examples of the computational models are:
  - \* Finite Automata.
    - These are used in text processing, compilers and hardware design.
  - \* Context-free Grammars. (PDQ)
    - These are used to define programming languages and in Artificial Intelligence.
  - \* Turing Machines.
    - These form a simple abstract model of a "real" computer, such as your PC at home.

## Computability theory:-

\* What is computability? What is computable?

- Add 2 numbers
- Find the roots of a quadratic equation
- Multiply 2 matrices

Note that: all the above have algorithms.

- First of all you must know it (i.e. There must be an algorithm) then only you can instruct the computer coding that algorithm into some suitable language.
- We can compute those problems those who have algorithms. Suppose you do not know how to multiply 2 matrices then the computers also cannot do it.

\* What is not computable?

- There are many problems which cannot be computed even using very powerful computers.
- It's because there are no algorithms for that. i.e. If we do not know how to do it then no computer is able to do that.

\* Central Question in Computability theory: Classify problems as being solvable or unsolvable.

## Complexity theory

- Various kinds of computer problems may be easy or hard.
- Informally, a problem is called "easy", if it is efficiently solvable.  
example:- Sorting a sequence of say 1,000,000 numbers.
- Searching for a name in a telephone directory.
- On the other hand, a problem is called "hard"; if it cannot be solved efficiently or if we don't know whether it can be solved efficiently.

e.g:-

- Time table scheduling for all courses at DWIT.

\* e.g:- Time complexity (big O) & Space complexity.

## Languages:-

Symbol  $\leftarrow$  0.  
a

~~Alphabets:-~~ (symbols) make alphabet)

The symbols are generally letters and digits. Alphabets are defined as a finite set of symbols. It is denoted by ' $\Sigma$ ' - symbol.

Eg:- An alphabet of set of decimal numbers is given by  $\Sigma = \{0, 1, 2, \dots, 9\}$

An alphabet of binary number is

$$\Sigma = \{0, 1\}$$

## Strings:-

- A string or word is a finite sequence of symbols selected from some alphabets.

Eg:- If  $\Sigma = \{a, b\}$ , then  
'bab' is a string over  $\Sigma$ .

- A string is generally denoted by 'w'.
- Empty string is denoted by  $\epsilon$  represents string with 0(zero) occurrence of symbols.

$$\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \dots$$

Date: \_\_\_\_\_

Page: \_\_\_\_\_

Closure of an alphabet :- ( kleen star )

⇒ Closure of an alphabet is defined as the set of all strings over an alphabet  $\Sigma$  including empty string and is denoted by  $\Sigma^*$

eg:-

let  $\Sigma = \{0,1\}$  then

power of Alphabet  $\rightarrow \Sigma^* = \{\epsilon, 0, 1, 00, 01, 10, 000, \dots\}$

$$\Sigma^1 = \{0, 1\}$$

$$\Sigma^2 = \{00, 01, 10, 11\}$$

$$\Sigma^0 = \{\epsilon\}$$

$\Sigma^1 \rightarrow$  Set of strings } difference.

$\Sigma \rightarrow$  alphabet ( its members are symbols )

$$\Sigma^* = \{0, 1, 00, \dots\}$$

xve closure  
not having  $\epsilon$

Concatenating of string :-

⇒ let  $w_1$  and  $w_2$  be two strings, then  $w_1 w_2$  denotes the concatenation of  $w_1$  and  $w_2$ .

eg.

$$w_1 = abc$$

$$w_2 = xyz \text{ then,}$$

$$w_1 w_2 = abcxyz$$

substring :

Language:

- A set of strings all of which are chosen from  $\Sigma^*$ , where  $\Sigma$  is a particular alphabet, is called a language.

e.g:- The language of all strings consisting  
of  $n$  0s followed by  $n$  1s ( $n \geq 0$ ):

$$\{ \epsilon, 01, 0011, 000111, \dots \}$$

- The set of strings of 0s and 1s with equal number of each:

$$\{ \epsilon, 01, 10, 0011, 0101, 1001, \dots \}$$