



KPMG in India's regulatory compliance services



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Introduction

With the rapid growth of business and swift expansion of operations, there has been an increasing demand for streamlining the compliance management process, building underlying controls and mitigating risk effectively.

In light of the dynamic regulatory landscape, there has been an incessant emphasis and augmented necessity for higher standards of corporate governance, enriched consumer service, boosted investor confidence and protection as well as a robust compliance governance framework.

With the advent of the Companies Act, 2013, the importance of a robust compliance framework has been re-emphasised. Further, it has established an increased responsibility on Key Managerial Personnel and Board of Directors to devise a proper system to ensure compliance with the provisions of all applicable laws.



Types of compliances required

Statutory compliances

Obligations issued by various regulatory authorities, such as:

Statutes

Directives

Codes

Policies

Guidelines

Schemes

Contractual compliances

Obligations arising from contracts/agreements executed with various stakeholders, including:

Banks and Investors

Vendors and Customers

Regulatory Authorities

Internal compliances

Obligations framed for internal functioning of the organisation arising from:

Standard Operating Procedures

Policies

Code of Conduct

Handbooks and Manuals

Key challenges faced



Establishing the need

KPMG in India evaluates the existing compliance framework of multiple clients and develops an effective structure to mitigate potential compliance risks. This might include but is not exclusive to:




Our knowledge capabilities

We can be a trusted advisor to strengthen clients' existing global or domestic compliance programmes. Our distinct value proposition and approach can help to achieve their objectives in an economical and sustainable manner.

Our team consists of Lawyers, Company Secretaries and Chartered Accountants having diverse experience in:

- Identification, execution and monitoring of compliances
- Compliance reviews, audits and due-diligence
- Deployment of compliance-automated solution

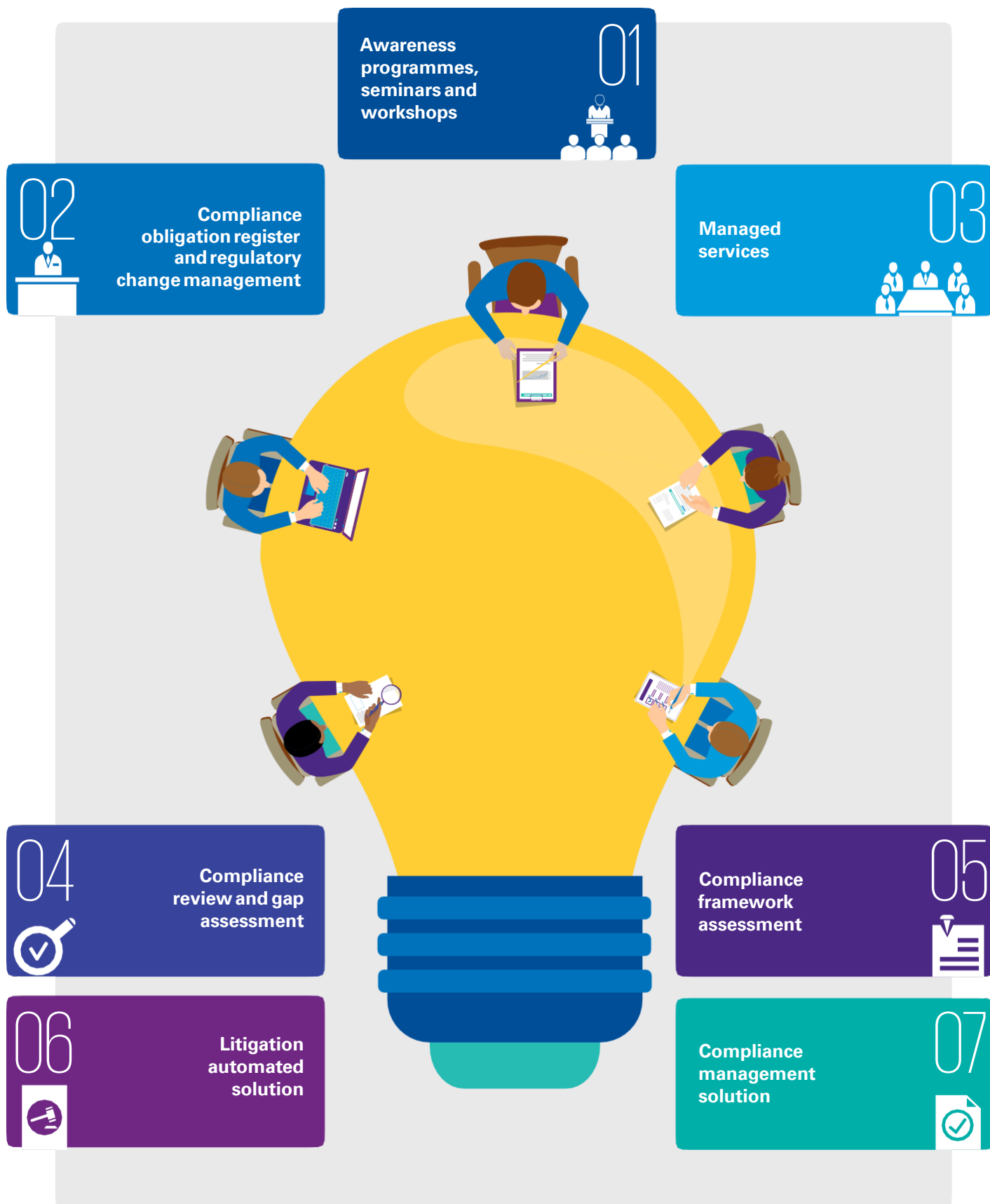


Key differentiators

- 5000+ data sources tracked for updates**
- Use of subject-matter expertise**
- 50000+ compliance database**
- Database of 4500+ statutes**
- Sector-wise coverage**
- Identification of potential non-compliances**
- Cultivation of a compliance-oriented framework**
- Flexible and customised approach**
- Cognizance of law and operational efficiency**



Gamut of our services



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