

1.3 Functions

1.3.1 The Concept of a Function (Mapping)

Let X and Y be certain sets. We say that there is a **function** defined on X with values in Y if, by virtue of some rule f , to each element $x \in X$ there corresponds an element $y \in Y$.

In this case the set X is called the **domain of definition** of the function. The symbol x used to denote a general element of the domain is called the **argument** of the function, or the **independent variable**. The element $y_0 \in Y$ corresponding to a particular value $x_0 \in X$ of the argument x is called the **value** of the function at x_0 , or the value of the function at the value $x = x_0$ of its argument, and is denoted $f(x_0)$. As the argument $x \in X$ varies, the value $y = f(x) \in Y$, in general, varies depending on the values of x . For that reason, the quantity $y = f(x)$ is often called the **dependent variable**.

The set

$$f(X) := \{y \in Y \mid \exists x ((x \in X) \wedge (y = f(x)))\}$$

of values assumed by a function on elements of the set X will be called the *set of values* or the **range** of the function.

The term “function” has a variety of useful synonyms in different areas of mathematics, depending on the nature of the sets X and Y : **mapping**, **transformation**, **morphism**, **operator**, **functional**. The commonest is **mapping**, and we shall also use it frequently.

If $A \subset X$ and $f : X \rightarrow Y$ is a function, we denote by $f|A$ or $f|_A$ the function $\varphi : A \rightarrow Y$ that agrees with f on A . More precisely, $f|_A(x) := \varphi(x)$ if $x \in A$. The function $f|_A$ is called the **restriction** of f to A , and the function $f : X \rightarrow Y$ is called an **extension** or a *continuation* of φ to X .

Example 1 The formulas $l = 2\pi r$ and $V = \frac{4}{3}\pi r^3$ establish functional relationships between the circumference l of a circle and its radius r and between the volume V of a ball and its radius r . Each of these formulas provides a particular function $f : \mathbb{R}_+ \rightarrow \mathbb{R}_+$ defined on the set \mathbb{R}_+ of positive real numbers with values in the same set.

Example 3 The mapping $G : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ (the direct product $\mathbb{R}^2 = \mathbb{R} \times \mathbb{R} = \mathbb{R}_t \times \mathbb{R}_x$ of the time axis \mathbb{R}_t and the spatial axis \mathbb{R}_x) into itself defined by the formulas

$$\begin{aligned} x' &= x - vt, \\ t' &= t, \end{aligned}$$

is the classical **Galilean transformation** for transition from one inertial coordinate system (x, t) to another system (x', t') that is in motion relative to the first at speed v .

$$x' = \frac{x - vt}{\sqrt{1 - (\frac{v}{c})^2}},$$

$$t' = \frac{t - (\frac{v}{c^2})x}{\sqrt{1 - (\frac{v}{c})^2}}.$$

This is the well-known (one-dimensional) Lorentz¹² transformation, which plays a fundamental role in the special theory of relativity. The speed c is the speed of light.

Example 4 The projection $\text{pr}_1 : X_1 \times X_2 \rightarrow X_1$ defined by the correspondence $X_1 \times X_2 \ni (x_1, x_2) \xrightarrow{\text{pr}_1} x_1 \in X_1$ is obviously a function. The second projection $\text{pr}_2 : X_1 \times X_2 \rightarrow X_2$ is defined similarly.

Example 5 Let $\mathcal{P}(M)$ be the set of subsets of the set M . To each set $A \in \mathcal{P}(M)$ we assign the set $C_M A \in \mathcal{P}(M)$, that is, the complement to A in M . We then obtain a mapping $C_M : \mathcal{P}(M) \rightarrow \mathcal{P}(M)$ of the set $\mathcal{P}(M)$ into itself.

Example 6 Let $E \subset M$. The real-valued function $\chi_E : M \rightarrow \mathbb{R}$ defined on the set M by the conditions ($\chi_E(x) = 1$ if $x \in E$) \wedge ($\chi_E(x) = 0$ if $x \in C_M E$) is called the characteristic function of the set E .

Example 7 Let $M(X; Y)$ be the set of mappings of the set X into the set Y and x_0 a fixed element of X . To any function $f \in M(X; Y)$ we assign its value $f(x_0) \in Y$ at the element x_0 . This relation defines a function $F : M(X; Y) \rightarrow Y$. In particular, if $Y = \mathbb{R}$, that is, Y is the set of real numbers, then to each function $f : X \rightarrow \mathbb{R}$ the function $F : M(X; \mathbb{R}) \rightarrow \mathbb{R}$ assigns the number $F(f) = f(x_0)$. Thus F is a function defined on functions. For convenience, such functions are called functionals.

Example 8 Let Γ be the set of curves lying on a surface (for example, the surface of the earth) and joining two given points of the surface. To each curve $\gamma \in \Gamma$ one can assign its length. We then obtain a function $F : \Gamma \rightarrow \mathbb{R}$ that often needs to be studied in order to find the shortest curve, or as it is called, the geodesic between the two given points on the surface.

Example 9 Consider the set $M(\mathbb{R}; \mathbb{R})$ of real-valued functions defined on the entire real line \mathbb{R} . After fixing a number $a \in \mathbb{R}$, we assign to each function $f \in M(\mathbb{R}; \mathbb{R})$ the function $f_a \in M(\mathbb{R}; \mathbb{R})$ connected with it by the relation $f_a(x) = f(x + a)$. The function $f_a(x)$ is usually called the translate or shift of the function f by a . The mapping $A : M(\mathbb{R}; \mathbb{R}) \rightarrow M(\mathbb{R}; \mathbb{R})$ that arises in this way is called the translation of shift operator. Thus the operator A is defined on functions and its values are also functions $f_a = A(f)$.

A particle in motion is located at some point of the space \mathbb{R}^3 having coordinates $(x(t), y(t), z(t))$ at each instant t of time. Thus the motion of a particle can be interpreted as a mapping $\gamma : \mathbb{R} \rightarrow \mathbb{R}^3$, where \mathbb{R} is the time axis and \mathbb{R}^3 is three-dimensional space.

If a system consists of n particles, its configuration is defined by the position of each of the particles, that is, it is defined by an ordered set $(x_1, y_1, z_1; x_2, y_2, z_2; \dots; x_n, y_n, z_n)$ consisting of $3n$ numbers. The set of all such ordered sets is called the **configuration space** of the system of n particles. Consequently, the configuration space of a system of n particles can be interpreted as the direct product $\mathbb{R}^3 \times \mathbb{R}^3 \times \dots \times \mathbb{R}^3 = \mathbb{R}^{3n}$ of n copies of \mathbb{R}^3 .

Example 12 The kinetic energy K of a system of n material particles depends on their velocities. The total mechanical energy of the system E , defined as $E = K + U$, that is, the sum of the kinetic and potential energies, thus depends on both the configuration q of the system and the set of velocities v of its particles. Like the configuration q of the particles in space, the set of velocities v , which consists of n three-dimensional vectors, can be defined as an ordered set of $3n$ numbers. The ordered pairs (q, v) corresponding to the states of the system form a subset Φ in the direct product $\mathbb{R}^{3n} \times \mathbb{R}^{3n} = \mathbb{R}^{6n}$, called the **phase space** of the system of n particles (to be distinguished from the configuration space \mathbb{R}^{3n}).

The total mechanical energy of the system is therefore a function $E : \Phi \rightarrow \mathbb{R}$ defined on the subset Φ of the phase space \mathbb{R}^{6n} and assuming values in the domain \mathbb{R} of real numbers.

In particular, if the system is isolated, that is, no external forces are acting on it, then by **the law of conservation of energy**, at each point of the set Φ of states of the system the function E will have the same value $E_0 \in \mathbb{R}$.

1.3.2 Elementary Classification of Mappings

When a function $f : X \rightarrow Y$ is called a mapping, the value $f(x) \in Y$ that it assumes at the element $x \in X$ is usually called the **image** of x .

The **image** of a set $A \subset X$ under the mapping $f : X \rightarrow Y$ is defined as the set

$$f(A) := \{y \in Y \mid \exists x ((x \in A) \wedge (y = f(x)))\}$$

consisting of the elements of Y that are images of elements of A .

The set

$$f^{-1}(B) := \{x \in X \mid f(x) \in B\}$$

consisting of the elements of X whose images belong to B is called the **pre-image** (or *complete pre-image*) of the set $B \subset Y$ (Fig. 1.6).

A mapping $f : X \rightarrow Y$ is said to be

surjective (a mapping of X onto Y) if $f(X) = Y$;

injective (or an *imbedding* or *injection*) if for any elements x_1, x_2 of X

$$(f(x_1) = f(x_2)) \Rightarrow (x_1 = x_2),$$

that is, distinct elements have distinct images;

bijective (or a *one-to-one correspondence*) if it is both surjective and injective.

If the mapping $f : X \rightarrow Y$ is bijective, that is, it is a one-to-one correspondence between the elements of the sets X and Y , there naturally arises a mapping

$$f^{-1} : Y \rightarrow X,$$

defined as follows: if $f(x) = y$, then $f^{-1}(y) = x$ that is, to each element $y \in Y$ one assigns the element $x \in X$ whose image under the mapping f is y . By the surjectivity of f there exists such an element, and by the injectivity of f , it is unique. Hence the mapping f^{-1} is well-defined. This mapping is called the **inverse** of the original mapping f .

Thus the property of two mappings of being inverses is **reciprocal**: if f^{-1} is inverse for f , then f is inverse for f^{-1} .

1.3.3 Composition of Functions and Mutually Inverse Mappings

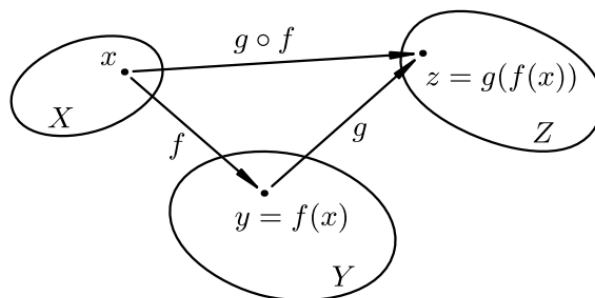
If the mappings $f : X \rightarrow Y$ and $g : Y \rightarrow Z$ are such that one of them (in our case g) is defined on the range of the other (f), one can construct a new mapping

$$g \circ f : X \rightarrow Z,$$

whose values on elements of the set X are defined by the formula

$$(g \circ f)(x) := g(f(x)).$$

The compound mapping $g \circ f$ so constructed is called the **composition** of the mapping f and the mapping g (in that order!).



The operation of composition sometimes has to be carried out several times in succession, and in this connection it is useful to note that it is **associative**, that is,

$$h \circ (g \circ f) = (h \circ g) \circ f.$$

We further note that even when both compositions $g \circ f$ and $f \circ g$ are defined, in general

$$g \circ f \neq f \circ g.$$

The mapping $f : X \rightarrow X$ that assigns to each element of X the element itself, that is $x \xrightarrow{f} x$, will be denoted e_X and called the **identity mapping** on X .

Lemma

$$(g \circ f = e_X) \Rightarrow (g \text{ is surjective}) \wedge (f \text{ is injective}).$$

Proposition The mappings $f : X \rightarrow Y$ and $g : Y \rightarrow X$ are bijective and mutually inverse to each other if and only if $g \circ f = e_X$ and $f \circ g = e_Y$.

1.3.4 Functions as Relations. The Graph of a Function

a. Relations

Definition 1 A **relation** \mathcal{R} is any set of ordered pairs (x, y) .

The set X of first elements of the ordered pairs that constitute \mathcal{R} is called the **domain of definition** of \mathcal{R} , and the set Y of second elements of these pairs the **range of values** of \mathcal{R} .

Thus, a relation can be interpreted as a subset \mathcal{R} of the direct product $X \times Y$. If

Instead of writing $(x, y) \in \mathcal{R}$, we often write $x\mathcal{R}y$ and say that x is *connected with* y by the relation \mathcal{R} .

Example 13 The **diagonal**

$$\Delta = \{(a, b) \in X^2 \mid a = b\}$$

is a subset of X^2 defining the relation of equality between elements of X . Indeed, $a\Delta b$ means that $(a, b) \in \Delta$, that is, $a = b$.

$a\mathcal{R}a$ (**reflexivity**);

$a\mathcal{R}b \Rightarrow b\mathcal{R}a$ (**symmetry**);

$(a\mathcal{R}b) \wedge (b\mathcal{R}c) \Rightarrow a\mathcal{R}c$ (**transitivity**).

A relation \mathcal{R} having the three properties just listed, that is, reflexivity,¹⁷ symmetry, and transitivity, is usually called an **equivalence relation**. An equivalence relation is denoted by the special symbol \sim , which in this case replaces the letter \mathcal{R} . Thus, in the case of an equivalence relation we shall write $a \sim b$ instead of $a\mathcal{R}b$ and say that a is **equivalent to** b .

A relation between pairs of elements of a set X having these three properties is usually called a **partial ordering** on X . For a partial ordering relation on X , we often write $a \leq b$ and say that b **follows** a .

If the condition

$$\forall a \forall b ((a\mathcal{R}b) \vee (b\mathcal{R}a))$$

holds in addition to the last two properties defining a partial ordering relation, that is, any two elements of X are comparable, the relation \mathcal{R} is called an **ordering**, and the set X with the ordering defined on it is said to be **linearly ordered**.

b. Functions and Their Graphs

A relation \mathcal{R} is said to be **functional** if

$$(x\mathcal{R}y_1) \wedge (x\mathcal{R}y_2) \Rightarrow (y_1 = y_2).$$

A functional relation is called a **function**.

The **graph** of a function $f : X \rightarrow Y$, as understood in the original description, is the subset Γ of the direct product $X \times Y$ whose elements have the form $(x, f(x))$. Thus

$$\Gamma := \{(x, y) \in X \times Y \mid y = f(x)\}.$$