

# Differential Geometry

## Chapter 1. Concept of a Smooth Curve.

### §1. Preliminary.

Def. 1.1 (product). operate in ordinary 3-dim Euclidean space ( $\mathbb{E}$ ).

$\vec{i}, \vec{j}, \vec{k}$ . right orthonormal basis;  $x, y, z$ . coordinates;  $O$  origin;  
 $\vec{a}(a_1, a_2, a_3)$ ,  $\vec{b}(b_1, b_2, b_3)$ ,  $\vec{c}(c_1, c_2, c_3)$  arbitrary vector.

(1) scalar product.  $\langle \vec{a}, \vec{b} \rangle = \vec{a} \cdot \vec{b} = a_1 b_1 + a_2 b_2 + a_3 b_3$ .

(2) vector product.  $[\vec{a}, \vec{b}] = \vec{a} \times \vec{b} = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \end{vmatrix}$

(3) triple product.  $(\vec{a}, \vec{b}, \vec{c}) = \begin{vmatrix} a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \\ c_1 & c_2 & c_3 \end{vmatrix}$

Def 1.2. (Topological).  $M \subseteq \mathbb{E}$  M-arbitrary set

(1) Mapping.  $f: M \rightarrow \mathbb{E}$ .  $\forall x \in M$ .  $f(x) \in \mathbb{E}$ .

(2) Image.  $f(M) = \{Y \in \mathbb{E}: Y = f(x), \forall x \in M\}$ .

(3) Topological mapping (synonym. Homeomorphism).

i) 1-to-1. (bijection) ii)  $f$  and  $f^{-1}$  cont.

(4) Homeomorphic set.  $M$  and  $f(M)$  under t.p. mapping are t.p. equivalent.  
or homeomorphic set.

(5) Open set.  $G \subseteq \mathbb{E}$   $\forall x \in G$ .  $\exists \varepsilon > 0$ . s.t.  $\forall y \in \mathbb{E}$ .  $\text{dist}(x, y) < \varepsilon \Rightarrow y \in G$ .

(6) A neighborhood of the point. A neighborhood of the point  $x \in \mathbb{E}$  is any open set containing this open.

decompose the set  $M$  into  
↳ subset  $M'$  and  $M''$

(7) Connected set.  $M \subseteq \mathbb{E}$ .  $\nexists G', G'' \subseteq \mathbb{E}$  and  $M = M' \cup M''$  ( $M' \cap M'' = \emptyset$ )

s.t.  $M' \subseteq G'$ ,  $M' \cap G'' = \emptyset$ .

$M'' \subseteq G''$ ,  $M'' \cap G' = \emptyset$ .

## §2. Curves.

Def 1.3. (Elementary curve). A set  $\gamma \subseteq \mathbb{E}$  is elementary curve if:

$\gamma$  is the image of an open segment  $\xrightarrow{\text{r的连通性}}$  on the straight line under an arbitrary t.p. mapping. (1-to-1. 在直线上体现为“无自交”)

Remark: elementary curve can be expressed with parametric equation:

$$(a, b) \subset \mathbb{R}, t \in \mathbb{R}, t \in (a, b), \begin{cases} x = f_1(t) \\ y = f_2(t) \\ z = f_3(t) \end{cases}$$

Def 1.4. (Simple curve). A set  $\gamma \subseteq \mathbb{E}$  is simple curve if  $\gamma$  is connected

and  $\forall X \in \gamma, \exists U_X \subseteq \mathbb{E}$  s.t.  $\gamma \cap U_X$  is an elementary curve.  $\xrightarrow{\text{空间上的邻域}}$  "locally elementary"  
的拓扑空间.

Thm 1.1. (The structure of a simple curve in the large).

A simple curve is homeomorphic to either an open interval or a circumference.

Remark: open interval / circumference  $\xleftarrow{\text{t.p. map}} \xrightarrow{\text{简单曲线的等价定义.}}$  simple curve

Def 1.5. (Closed curve). A simple curve which is homeomorphic to a circumference is closed.

Def 1.6. A neighborhood of a point  $X$  on a simple curve  $\gamma$ , is the common part of the curve  $\gamma$  and  $\text{neighborhood on curve}$   $\xrightarrow{\text{是该点邻域的交集.}}$  an arbitrary neighborhood of point  $X$  in  $\mathbb{E}$ .

Remark:  $\exists$  A neighborhood of each point of a simple curve, is an elementary curve

Lemma 1.2. Let  $g$  be an open interval or circumference.  $\gamma = f(g)$ .  $\gamma$  is simple curve.  $f$  is t.p. mapping. Let  $\forall X \in g$ . ( $U_X$  指在  $g$  上的邻域).  
 $\forall U_X$ .  $f(U_X)$  is neighborhood of  $f(X)$  on the curve  $\gamma$ .

$\forall U_{f(X)}$ .  $\exists V_X$ . s.t.  $f(V_X) = U_{f(X)}$ .

Def 1.7. (Locally one-to-one mapping). A mapping  $f$  of a set  $M$  into a space  $\gamma$  is locally one to one mapping if  $\forall x \in M$ .  $\exists U_x$  s.t.  $f$  on  $U_x$  is one-to-one

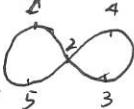
Def 1.8. (General curve). A set  $\gamma$  in space is general curve if:

$\gamma$  is the image of a simple curve under  $f$ .  $f$  - cont. and local one-to-one.  
(notation:  $\bar{\gamma}$ -simple curve.  $\gamma$ -general curve.  $f$ -t.p. mapping  $f(\bar{\gamma}) = \gamma$ ).

Def 1.9. (Equivalence). Let  $f_1, f_2$  mappings.  $\gamma_1, \gamma_2$  simple curves. They define one and the same general curve  $\gamma$  if a t.p. mapping  $g: \gamma_1 \rightarrow \gamma_2$ , s.t.

$$\forall A \in \gamma_1: f_1(A) = f_2(g(A)).$$

$$\forall B \in \gamma_2: f_1(g^{-1}(B)) = f_2(B).$$

e.g.   $\gamma_1: 1 \mapsto 2 \mapsto 3 \mapsto 4 \mapsto 2 \mapsto 5$ . different general curve.  
 $\gamma_2: 1 \mapsto 2 \mapsto 4 \mapsto 3 \mapsto 2 \mapsto 5$

Def 1.10. (converge).  $\{f(X_n)\} \subseteq \gamma$  converges to  $f(X) \in \gamma$  if the sequence of points  $X_n \in \bar{\gamma}$  conv. to  $X \in \bar{\gamma}$ .

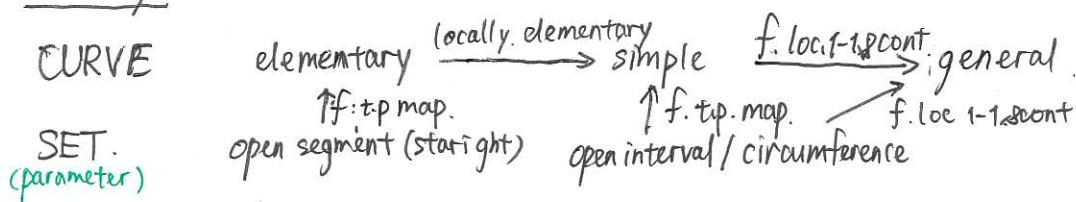
Def 1.11. A neighborhood of the point  $f(X) \in \gamma$  is the image of any neighborhood of the point  $X \in \bar{\gamma}$  under mapping  $f$ .

Lemma 1.3. Suppose  $f: \bar{\gamma} \rightarrow \gamma$ .  $f': \bar{\gamma}' \rightarrow \gamma$ .  $\gamma$  is a general curve.  $f, f'$  are t.p. map.  $\bar{\gamma}, \bar{\gamma}'$  are simple.  $f'$  连续是必要的  
要构造  $f(X_n)$  极限对应到  $\bar{\gamma}'$

The system of conv. sequence and neighborhood of points on  $\gamma$  under mapping  $f$  and  $f'$  are the same ( $\Delta$  用  $f'$  和  $f$  定义的收敛序列和邻域结构在  $\gamma$  上是完全一致的)

Lemma 1.4. Local investigation of any general curve is an investigation of the local simple curve.

Summary:



Def 1.12. (Regular curve). An arbitrary general curve  $\gamma$  is the regular curve if

$\forall x \in \gamma$ .  $\exists t_x$  permits a regular parameterization.

i.e.  $\begin{cases} x = f_1(t) \\ y = f_2(t) \\ z = f_3(t) \end{cases} \quad t \in (a, b) \text{ or } [a, b].$   $f_1, f_2, f_3$  are regular (def. k-times. cont. diff.)

Def 1.13. (Smooth curve). A regular curve with  $k=1$  is smooth.

Def 1.14 (Analytic curve) An arbitrary general curve  $\gamma$  is analytic curve if:  $f_1, f_2, f_3$  is analytic.

Thm 1.5. (sufficient condition for regularity).

Let  $\begin{cases} x = x(t) \\ y = y(t) \\ z = z(t) \end{cases} \quad t \in (a, b)$ .  $x, y, z$  be regular function. This triplet is a regular parametrization of an curve  $\gamma$  if:  $x'^2(t) + y'^2(t) + z'^2(t) > 0 \quad \forall t \in (a, b)$ .

Pf: Assume the converse.  $\exists t_0 \in (a, b)$ .  $\forall \delta > 0$ .  $\exists t_1, t_2 \in O_\delta(t_0)$ ,  $t_1 \neq t_2$ :

$\begin{cases} x(t_1) = x(t_2) \\ y(t_1) = y(t_2) \\ z(t_1) = z(t_2) \end{cases}$  by mean value thm.  $\exists \tau_1, \tau_2, \tau_3 \in [\min(t_1, t_2), \max(t_1, t_2)]$ .

$$x'(\tau_1) = y'(\tau_2) = z'(\tau_3) = 0.$$

by arbitrariness of  $\delta$ .  $t_1, t_2 \rightarrow t$ . by cont. of  $x', y', z'$ .

$$x'(t_0) = y'(t_0) = z'(t_0) = 0.$$

Therefore.  $x'^2(t_0) + y'^2(t_0) + z'^2(t_0) = 0$ . contradicts.  $\square$ .

Procedure: Local Reduction of Variable.

For suitable choice of  $x, y, z$ . the simple curve may be parametrised in a form:

$$\begin{cases} x = t \\ y = \psi(t) \\ z = \psi(t) \end{cases} \quad t \in (a, b) \Rightarrow \begin{cases} y = \psi(x) \\ z = \psi(x) \end{cases} \quad x \in (a, b)$$

Thm 1.6. (The 2D implicit function thm).

Suppose  $F: \mathbb{R}^2 \rightarrow \mathbb{R}$  is a cont. diff. func. Let  $(x_0, y_0)$  be a point satisfy equation

$F(x, y) = 0$ . If  $F_y(x_0, y_0) \neq 0$ . then in a neighborhood of point  $(x_0, y_0)$ . we can write  $y = f(x)$ . where  $f: \mathbb{R} \rightarrow \mathbb{R}$ . is regular. func.

由这  $f$  在  $O_\delta(x_0)$  上是唯一的

$0 = \frac{\partial F}{\partial y}(x_0, y_0) \cdot f'_1(t_0) + \frac{\partial F}{\partial x}(x_0, y_0) f'_1(t_0)$   
因为  $\frac{\partial F}{\partial y} \neq 0$ .  $y$  由  $x$  唯一决定。

注意. 在参数方程中  $F_y \neq 0$ . 对应  $x$  的函数.  $x = f_1(t)$ .  $f'_1(t) \neq 0$ .  $\frac{\partial F}{\partial y} \neq 0 \Rightarrow f'_1(t_0) \neq 0$ . 则  $f'_1 = f'_2 = 0$  非常

Thm 7.7. Suppose  $\gamma$  is a regular curve and  $\gamma: \begin{cases} x = f_1(t) \\ y = f_2(t) \\ z = f_3(t) \end{cases} \quad t \in (a, b) \text{ or } t \in [a, b]$

is its regular parametrisation in a neighborhood of  $(x_0, y_0, z_0)$  (corresponding to  $t_0$ )

Suppose  $f'_1(t_0) \neq 0$ . In this case, in a sufficiently small neighborhood of that point, the curve  $\gamma$  may be expressed in form  $\begin{cases} y = \varphi(x) \\ z = \psi(x) \end{cases} \quad x \in O_\delta(t_0)$ .

Pf.  $\exists \chi(x)$ ,  $\chi$ -regular func. s.t.  $\chi(x_0) = t_0$ . (by thm 1.6.)

$\forall x \in O_\delta(t_0)$ ,  $x = f_1(\chi(x))$ .  $\xrightarrow[\text{at } x_0]{\text{derivate}} t = f'_1(\chi(x_0)) \cdot \chi'(x_0)$ , thus  $\chi'(x_0) \neq 0$ .

$\chi(x_0)$  is monotonic. and for sufficiently small  $\delta$ , the map  $t = \chi(x): O_\delta(x_0) \rightarrow t$  is cp. 少连续可导. 小邻域内不变

Thus  $\forall x \in O_\delta(x_0)$ , the curve:  $\begin{cases} y = f_2(\chi(x)) \\ z = f_3(\chi(x)) \end{cases} \quad x \in O_\delta(x_0)$ .  $\square$ .

Def 1.15. (Plane curve). A curve is a plane curve if all of its points lie in a plane. (usually use  $xOy$  plane. otherwise use rotation & translation).

We shall say a plane curve is defined by the equation  $\psi(x, y) = 0$ .

(satisfy  $\psi(x, y) = 0 \Leftrightarrow$  belongs to the curve). → 不同于参数定义. 这一定义是不完整的

Thm 1.8 (Implicit equation of elementary plane curve).

Suppose  $\psi(x, y)$  is a regular func. s.t.  $\psi(x, y) = 0$  in set  $M$ .

Let  $A = (x_0, y_0) \in M$ . and.  $\psi_x(x_0, y_0) + \psi_y(x_0, y_0) > 0$ . Then this point has neighbor  $\bar{A}$  s.t.  $\bar{A} \cap M$  form a regular elementary curve.

Pf. w.l.o.g.  $\psi_y(x_0, y_0) \neq 0$ .  $\exists f > 0$ ,  $\varepsilon > 0$ ,  $\psi$ -regular s.t.  $\forall x \in O_\delta(x_0)$ :  $\psi(x, \psi(x)) = 0$  (thm. 1.6)

define  $y = \psi(x)$ ,  $x \in O_\delta(x_0)$ . - elementary curve. 根据隐函数定理.  $\psi(x, y) = 0$  在  $O_\delta(x_0) \times O_\delta(y_0)$  地由  $\psi(x)$  给出

无分支.

Thm 1.9. (Implicit equation of elementary curve in general).

Suppose  $\psi(x, y, z), \psi(x, y, z)$  - regular func. (w.r.t.  $x, y, z$ ). s.t.  $\psi(x, y, z) = \psi(x, y, z) = 0$  in  $M$ .

Let  $A = (x_0, y_0, z_0) \in M$ . and  $J|_A = \begin{pmatrix} \psi_x & \psi_y & \psi_z \\ \psi_x & \psi_y & \psi_z \end{pmatrix} \Big|_{(x_0, y_0, z_0)}$  has rank 2.

Then  $\exists$  neighborhood  $\bar{A}$ . s.t.  $\bar{A} \cap M$  form a regular elementary curve.

### §3. Singular Points.

Notation:  $\gamma$ - regular plane curve  $P$ - a point on  $\gamma$ .

Def 1.16 (Regular point on a plane curve).  $P$  is the regular point if the curve permits a regular parameterization.  $\begin{cases} x = x(t) \\ y = y(t) \end{cases}$  in  $O_\delta(P)$ , and  $x'^2 + y'^2 \neq 0$ , at  $P$ .  
正则点，参数化变量之间存在平滑关系。

Def 1.17 (Singular point on a plane curve)  $P$  is the singular point if the regular parameterization  $\begin{cases} x = x(t) \\ y = y(t) \end{cases}$  in  $O_\delta(P)$ , with,  $x' = y' = 0$  at  $P$ , for arbitrary regular parameterization of a regular curve.

△这个“任意”很重要。 $\begin{cases} x = t^3 \\ y = t^7 \end{cases}, t \in (-1, 1)$ , not singular.  $\Rightarrow \begin{cases} x = t \\ y = \pm t^{1/3} \end{cases}$

Thm 1.10. (Bürman - Lagrange thm.).

$$\rightarrow z = f(w) = \sum_{n=1}^{\infty} f_n (w-a)^n$$

Suppose  $z = f(w)$ ,  $f$  is analytic at a point  $a$ , and  $f'(a) \neq 0$ , then it's possible to invert (or solve) equation of  $w$ :  $w = g(z)$ , given by a power series.

$g(z) = a + \sum_{n=1}^{\infty} g_n \frac{(z-f(a))^n}{n!}$ , where  $g_n = \lim_{w \rightarrow a} \frac{d^{n-1}}{dw^{n-1}} \left[ \frac{(w-a)^n}{f(w)-f(a)} \right]$  reversion of series.  
g是解析函数，不仅仅是形式上反演而已；定理还说明，这个级数有非零收敛半径，于  $O_r(f(a))$ ， $z$  在内收敛。

Coro. If  $f(s) = \sum_{n=1}^{\infty} f_n s^n$ . Consider  $T(z) = z f(T(z))$ , the only sol. can be expressed as power series.  $T(z) = \sum_{n=1}^{\infty} t_n z^n$ ,  $t_n = \frac{1}{n} [s^{n-1}] (f(s))^n$

对奇异性的调查等价于对一些特别的参数化后曲线的调查 ↓

Lemma 1.11 Suppose  $\gamma$  is an analytic curve and  $O$  is a point on  $\gamma$ . With a suitable choice of coordinate axes, the curve may be parametrised in a neighborhood of  $O$ .

$$\begin{cases} x = a t^{m_1} \\ y = b_1 t^{m_1} + b_2 t^{m_2} + \dots \quad m_1 \leq m_1 < m_2 < \dots \end{cases}$$

Pf. Let  $O$  be origin.  $\begin{cases} x = x(s) \\ y = y(s) \end{cases}$  with a property  $x(0) = y(0) = 0$ .  $\rightarrow$  arbitrary, analytic parametrisation

Let  $m, m_1$  - order of the first  $m/m_1$  - non-zero derivates. of  $x$  and  $y$ .

w.l.g.  $m \leq m_1$ . (If  $m_1 > m$ , change role of  $x$  and  $y$ ).

$$\begin{cases} x = \bar{a}_1 s^{m_1} + \bar{a}_2 s^{m_2} + \dots \\ y = \bar{b}_1 s^{m_1} + \bar{b}_2 s^{m_2} + \dots \end{cases} \xrightarrow[t=s\left(\frac{\bar{a}_1 s^{m_1} + \bar{a}_2 s^{m_2} + \dots}{\bar{a}_1 s^{m_1}}\right)^{1/m_1}] \begin{cases} x = a t^{m_1} \\ y = b_1 t^{m_1} + b_2 t^{m_2} + \dots \end{cases}$$

$$s = t + d_1 t^{1+\alpha_1} + d_2 t^{1+\alpha_2}.$$

$$0 < \alpha_1 < \alpha_2 < \dots \quad d_i \text{ 是系数}$$

Thm 1.12 Suppose an arbitrary analytic curve  $\gamma: \begin{cases} x = a_1 t^{n_1} \\ y = b_1 t^{m_1} + b_2 t^{m_2} + \dots, n_1 < m_1 \end{cases}$

In a neighborhood of point O. O is singular (on  $\gamma$ )  $\Leftrightarrow \exists k: n_1 \nmid m_k$

Pf: " $\Rightarrow$ " (by converse-negative prop. if  $n_1 \mid m_k$ ,  $n_1 \nmid m_k \Rightarrow O$  is regular).

$n_1$  is not even. if so.  $\begin{cases} x(t) = x(-t) \text{ for sufficient small } t. \\ y(t) = y(-t) \text{ the in } O_\delta(O). \text{ curve is symmetric smooth.} \end{cases}$

let  $m_k$  be multiple of  $n_1$  (odd). let  $s = t^{n_1}$ .

$$\gamma \rightarrow \begin{cases} x = a_1 s \\ y = b_1 s^{k_1} + b_2 s^{k_2} + \dots \end{cases} \quad O: s=0 \quad \frac{dx}{ds} \Big|_{s=0} \neq 0. \text{ regular.}$$

" $\Leftarrow$ " assume.  $\exists k. m_k \nmid n_1$ . and O is regular. Let  $\begin{cases} x = f_1(\sigma) \\ y = f_2(\sigma) \end{cases}$  in  $O_\delta(O)$ .

插曲的題目  
Y-一个点↓

$$O: \sigma = \sigma_0. (f'_1(\sigma_0))^2 + (f'_2(\sigma_0))^2 \neq 0. \quad \frac{f_2(\sigma)}{f_1(\sigma)} = \frac{x(\sigma)}{y(\sigma)}$$

Then  $\frac{x(\sigma)}{y(\sigma)} \xrightarrow[t \rightarrow 0]{} \frac{f'_2(\sigma)}{f'_1(\sigma)}$ . (而  $\frac{y(\sigma)}{x(\sigma)} = \frac{b_1}{a_1} t^{m_1 - n_1} + \dots + \frac{b_k}{a_k} t^{m_k - n_1}$ , 有限)

Thus  $f'_1(\sigma) \neq 0$ . ( $\Rightarrow$  存在邻域使  $f_1$  可局部反解为  $\sigma = g(x)$ .  $y = f_2(\sigma) = f_2(g(x)) = \psi(x)$ ).

$\Rightarrow y = \psi(x)$  or  $x = \psi(y)$ .  $\psi$ . or  $\psi$  are analytic.

express. as power series.  $y = \psi(x) = c_1 x + c_2 x^2 + \dots$  in  $O_\delta(O)$ .

$\Rightarrow b_1 t^{m_1} + b_2 t^{m_2} + \dots = c_1 a_1 t^{n_1} + c_2 a_1^2 t^{2n_1} + \dots \Rightarrow \forall k. M_k = k n_1$ . contradicts  $\square$ .

△给出一种奇点判定：作参数化，使目标点转到原点，看幂次。

Thm 1.13. (Condition a point to be singular).

An arbitrary analytic curve  $\gamma$  defined by  $\begin{cases} x = x(t) \\ y = y(t) \end{cases}$  in  $O_\delta(O)$ . ( $x, y$ . analytic func.)

The first nonzero derivatives of  $x(t)$  and  $y(t)$  have orders  $n_1$  and  $m_1$ . ( $n_1 < m_1$ ).

The point O will be singular if  $n_1 \nmid m_1$ .

△解析函数在定义域内可展成泰勒级数。(前  $n$  阶导和多项式  $n$  阶系数非零对应).

$$\forall \begin{cases} x = x(t) \\ y = y(t) \end{cases} \xrightarrow{s=t \left( \frac{x(t)}{x'(t_0)t^{n_1}} \right)^{\frac{1}{n_1}}} \begin{cases} x = x_{n_1}(0) s^{n_1} \\ y = y_{m_1}(0) s^{m_1} \end{cases} \quad \text{幂次比 } \frac{m_1}{n_1} \text{ 决定了 curve 在 O 的性质.}$$

Def. 1.18 (Turning Point).

Suppose O is singular point on curve  $\gamma$ . with parametrization.

$$\gamma: \begin{cases} x = a_1 t^{n_1} \\ y = b_1 t^{m_1} + b_2 t^{m_2} + \dots \end{cases} \quad (n_1 \leq m_1 < m_2 \dots)$$

If  $m_1$  is odd,  $n_1$  is even. O is the turning point of the 1st kind.

If  $m_1, n_1$  are even, O is the turning point of the 2nd kind.

1st kind  $\begin{cases} x = t^2 \\ y = t^3 + t^4 + t^5 \end{cases}$       2nd kind  $\begin{cases} x = t^2 \\ y = t^4 + t^5 \end{cases}$

coro: In notation above,  $\gamma: \begin{cases} x = x(t) \\ y = y(t) \end{cases}$ . x, y. analytic.  $n_i, m_i$ . first non-zero deri.

If  $n_1$  is even,  $m_1$  is odd.  $\rightarrow$  O is turning point of 1st kind

If  $n_1, m_1$  are even.  $\rightarrow$  O is turning point of 2nd kind

Singular point expressible by Implicit func.

A plane analytic curve  $\gamma$ :  $\psi(x, y) = 0$  ( $\psi$  is analytic of x and y).

O( $x_0, y_0$ ). regular:  $\psi_x'(x_0, y_0) + \psi_y'(x_0, y_0) \neq 0$ .

singular:  $\psi_x = \psi_y = 0$

Assume O is the origin. and apply the parametrization  $\begin{cases} x = a_1 t^{n_1} \\ y = b_1 t^{m_1} + b_2 t^{m_2} + \dots \end{cases}$

Aim to know  $n_1$  and  $m_1$ . Solve  $\psi(x(t), y(t)) = 0$ .

Remark: (1)  $n_1, m_1$  are not uniquely defined by  $\psi(x(t), y(t)) = 0$ :

✓ change variable  $t = s^p$  not change characteristic of points. curve.

✗ A implicit func.  $\psi(x, y) = 0$  can express several different (geometrically) curves, even in small neighborhood of O.

(2) The singularity of O. on various curve will be distinct.

△ 在微分几何分析中，参数化可灵活选择，而 O 可能是满足  $\psi(x, y) = 0$  的不同曲线的公共奇点，可能要逐条分析

If  $\psi(x, y)$  has non-degenerated quadratic form.

△注意... 中是更高次项

$$\psi(x, y) = a_{20}x^2 + 2a_{11}xy + a_{02}y^2 + \dots \xrightarrow[\text{eliminate } xy]{\text{with rotation on axes.}} a_{20}a_1^2t^{2n_1} + a_{02}b_1^2t^{2m_1} + \dots = 0.$$

(let  $n_i \leq m_i$ ,  $n_1$  is lowest power  $\Rightarrow a_{20} = 0$ . (所有高次系数均=0. 才满足原方程.  $t^{2n_1}$  最低. 无法消去))

for 1) & 2).  $n_1 = m_1$ .  $a_{20}, a_{02} \geq 0$  or  $< 0$ . for 3).  $n_1 < m_1$ .

↓  
classify the quadratic.

$$1) a_{20}a_{02} - a_{11}^2 > 0 \quad 2) a_{20}a_{02} - a_{11}^2 < 0 \quad 3) a_{20}a_{02} - a_{11}^2 = 0$$

O be the origin point  $(0, 0)$ .

Def 1.19. (Isolated singular point). In above notation, and  $\psi(x, y)$  s.t.

$a_{20}a_{02} - a_{11}^2 > 0$ . we said O is a isolated singular point.

Remark:  $a_{20}a_{02} > 0$  and  $a_{20}a_1^2 + a_{02}b_1^2 = 0$  is impossible. no analytic curve  $\psi(x, y) = 0$  containing singular point O. (孤立是邻域内没有点满足  $\psi(x, y) = 0$ . 不仅是没有奇点. 满足  $\psi(x, y) = 0$ ).

consider 2).  $\begin{cases} a_{20}a_{02} < 0, \\ a_{20}a_1^2 + a_{02}b_1^2 = 0 \end{cases} \Rightarrow$  two sol. (independent, different analytic curve)

$$\begin{cases} a_1 = \sqrt{|a_{02}|} \\ b_1 = \sqrt{|a_{20}|} \end{cases} \quad \begin{cases} a_1 = -\sqrt{|a_{02}|} \\ b_1 = \sqrt{|a_{20}|} \end{cases}$$

For system i) or ii). use condition for  $a_1, b_1$  and then find  $b_k, m_k$ .

Def 1.20. (Nodal point). In above notation and  $\psi$  s.t.  $a_{20}a_{02} - a_{11}^2 < 0$ . and  $\exists k$ .  $n_1 = m_1 \neq m_k$ . We say O is a nodal point.

Remark: By solving i) and ii), we have 2. distinct analytic curve.

O is regular points for these curve if we investigate separately.

(but it's singular if we consider the family of curves).

consider 3) w.l.g. assume  $a_{20} = 0$ . then  $\psi(x, y) = a_{02}y^2 + a_{30}x^3 + \dots$  ( $a_{30} \neq 0$ )

(since  $\psi_2 = a_{02}y^2$ .  $\psi_3 = a_{30}x^3 + \dots + a_{03}y^3$ . no common divisor).

$2m_1 = 3n_1$  ( $n_1 \neq m_1$ . O is singular).

by the prove of Thm 1.12.  $m_k, n_1$  can't be all even.

$\Rightarrow$  only  $n_1$  is even. O is turning point of 1st kind.

## §4. Asymptotes to a curve.

$\gamma$ : non-closed curve with parametrization  $\begin{cases} x = x(t) \\ y = y(t) \end{cases} \quad t \in (a, b). \quad (*)$ .

Def 1.21. We say a curve tends to infinity from one side if  $x^2(t) + y^2(t) \rightarrow +\infty$  as  $t \rightarrow a$  or  $t \rightarrow b$ . - A curve tends to infinity from both sides if  $x^2(t) + y^2(t) \rightarrow +\infty$  as  $t \rightarrow a$  and  $t \rightarrow b$ .  
(this property not depend on parametrization).

Def. 1.22. (Asymptote). The straight line  $g$  is an asymptote to curve  $\gamma$ . if the length  $d(t)$ , from a point on the curve  $\gamma$  to  $g$  tend to 0. when  $t \rightarrow a$ . (suppose  $\gamma \rightarrow \infty$  as  $t \rightarrow a$ ).

\* 显式参数方程下渐近线存在性判别:

Thm 1.14. If curve  $(*)$ , tend to infinity as  $t \rightarrow a$ , have an asymptote iff:

(1). At least. one of  $\frac{y(t)}{x(t)}$ ,  $\frac{x(t)}{y(t)}$   $\underset{t \rightarrow a}{\rightarrow}$  finite limit. (w.l.g.  $\frac{y(t)}{x(t)} \rightarrow k$ ).

(2).  $y(t) - kx(t) \underset{t \rightarrow a}{\rightarrow}$  finite limit. (denote.  $y(t) - kx(t) = l$ ).

Remark: if (1), (2) satisfied. the equation of asymptote:  $y - kx - l = 0$ .

Thm 1.15. An alternative condition of curve have asymptote.

denote  $p(t) = \sqrt{x^2(t) + y^2(t)}$ .

(1) :  $\frac{x(t)}{p(t)} \underset{t \rightarrow a}{\rightarrow} \alpha$ ,  $\frac{y(t)}{p(t)} \underset{t \rightarrow a}{\rightarrow} \beta$ .  $\alpha, \beta \in \mathbb{R}$ .

(2) :  $\beta x(t) + \alpha y(t) \underset{t \rightarrow a}{\rightarrow} p$ .  $p \in \mathbb{R}$ .

Remark: if (1), (2) satisfied. the equation of asymptote:  $\beta x + \alpha y - p = 0$

e.g.  $\gamma$ :  $y = \psi(x)$   $x \in (a, b)$ . and  $\psi(x) \underset{x \rightarrow a}{\rightarrow} \infty$

$$\Rightarrow \gamma: \begin{cases} x = t \\ y = \psi(t) \end{cases} \quad t \in (a, b) \quad \frac{x(t)}{y(t)} = \frac{t}{\psi(t)} \rightarrow \frac{a}{+\infty} = 0.$$

$$x(t) - k y(t) = t - 0 \cdot \psi(t) \rightarrow = a.$$

the asymptote:  $x = a$ .

Implicit case.

有一些点满足方程但不在曲线上.

$\Delta \psi(x, y) = 0$  - not completely defined the curve.

restrict the case: algebraic curves. ( $\psi(x, y) = 0$  is a polynomial in  $x, y$ ).

suppose. the equation of the asymptote in parametric form.  $\begin{cases} x = \bar{x} + \lambda u \\ y = \bar{y} + \mu u \end{cases}$  (给出候选渐近线方向).

$Q(u)$  - on  $r$ . closest to the asymptote. 实际问题是先算候选渐近线和曲线距离.

$Q(u) : \begin{cases} x(u) = \bar{x} + \lambda u + \beta(u) \\ y(u) = \bar{y} + \mu u + \eta(u) \end{cases}$  取极小值. (此时确定  $Q(u), \bar{x}, \bar{y}$ ).  
 $\beta(u) \xrightarrow{u \rightarrow \infty} 0$ . 表示出  $\beta(u) := x(u) - \bar{x} - \lambda u$ . To  $\eta(u)$   
check  $\beta(u), \eta(u)$  是否  $\rightarrow 0$ .

$\psi = \psi_n(x, y) + \psi_{n-1}(x, y) + \dots + \psi_0(x, y)$ .  $\psi_k$  - homogeneous poly. of deg  $k$ .

$$\Rightarrow \psi(x(u), y(u)) = u^n \psi_n(\lambda, \mu) + u^{n-1} [\bar{x} \frac{\partial \psi_n(\lambda, \mu)}{\partial \lambda} + \bar{y} \frac{\partial \psi_n(\lambda, \mu)}{\partial \mu}] + \psi_{n-1}(\lambda, \mu) + O(u^{n-2})$$

$\psi(x(u), y(u)) = 0 \Rightarrow$  the coefficient of  $u^k \equiv 0$ . 注意仅前2项是必须  $\equiv 0$  的:  
后面的可以调  $\beta, \eta$ .

$\Rightarrow \begin{cases} \psi_n(\lambda, \mu) = 0 \rightarrow$  确定方向.  $\lambda, \mu$ .

$$\bar{x} \frac{\partial \psi_n}{\partial \lambda} + \bar{y} \frac{\partial \psi_n}{\partial \mu} + \psi_{n-1}(\lambda, \mu) = 0$$

→ 方向和截距.  $\bar{x}, \bar{y}$ .

为什么前2项  $\lambda, \mu$  不能调.

$u^n$  是无理数.  $u^{n-1}$  是有理数. 高次项是0.

多项式. 可设  $\lambda, \mu$  形如  $\sum_{k=0}^n \frac{a_k}{u^k}$

注意这里.  $(\lambda, \mu)$ . 实际有意义的是比值. 可令  $\lambda = 1$ ;  $(\bar{x}, \bar{y})$  同理. 并不是4个未知数.

# Chapter 2. Contact of Curves.

$M, \bar{M} \subseteq \mathbb{E}$ .  $o \in M \cap \bar{M}$ .  $\forall x \in \bar{M}$ .  $h(x) = \inf_{y \in M} d(x, y)$ .  
 $d(x) = d(x, o)$ .

Def 2.1. We say set  $\bar{M}$  has contact with the set  $M$  in the point  $O$ .

$$\text{if } \frac{h(x)}{(d(x))^\alpha} \xrightarrow{x \rightarrow O} 0 \quad (\alpha > 1).$$

Recall. def.

对称的每一个点，距离一个向量

(1) Vector function:  $\vec{f}: G \rightarrow \mathbb{R}^n$ :  $G$  is a point set on real line/plane/space

(2) Limit:  $\vec{f}(x) \xrightarrow{x \rightarrow x_0} \vec{a}$  if  $\|\vec{f}(x) - \vec{a}\| \xrightarrow{x \rightarrow x_0} 0$

property:  $\forall \vec{f}, \vec{g}$ , a. s.t.  $\vec{f}(x) \xrightarrow{x \rightarrow x_0} \vec{a}$   $\vec{g}(x) \xrightarrow{x \rightarrow x_0} \vec{b}$   $\lambda(x) \xrightarrow{x \rightarrow x_0} m$   
 $\Rightarrow i) \vec{f}(x) \pm \vec{g}(x) \xrightarrow{x \rightarrow x_0} \vec{a} \pm \vec{b}$  ii)  $\lambda(x)\vec{f}(x) \xrightarrow{x \rightarrow x_0} m\vec{a}$   
iii)  $\vec{f}(x) \cdot \vec{g}(x) \xrightarrow{x \rightarrow x_0} \vec{a} \cdot \vec{b}$  iv)  $\vec{f}(x) \times \vec{g}(x) \xrightarrow{x \rightarrow x_0} \vec{a} \times \vec{b}$

(3) continuous at  $x_0$ :  $\vec{f}(x) \xrightarrow{x \rightarrow x_0} \vec{f}(x_0)$

(4) derivative:  $\vec{f}'(t) = \lim_{h \rightarrow 0} \frac{\vec{f}(t+h) - \vec{f}(t)}{h}$  \*  $\vec{f}$  defined on closed interval.  
 $\vec{f}$  has derivative at  $t$  on an open interval.

(5) k-times differential:  $\vec{f}$  have cont. derivative up to k-th order. on the open interval.

(6) Taylor formula:  $\vec{f}$  is n-times diff.

$$\vec{f}(t+\Delta t) = \vec{f}(t) + \Delta t \cdot \vec{f}'(t) + \dots + \frac{(\Delta t)^n}{n!} (f^{(n)}(t) + \varepsilon(t, \Delta t)).$$

$$|\varepsilon(t, \Delta t)| \xrightarrow{\Delta t \rightarrow 0} 0$$

(7) Riemann integral:  $\vec{f}(t)$ , cont. on  $t \in [a, b]$ .  $a < c < b$ ,  $m$  is cont.  $\vec{r}$ , cont. vector.

$$\text{then } i) \int_a^b \vec{f}(t) dt = \int_a^c \vec{f}(t) dt + \int_c^b \vec{f}(t) dt. \quad ii) \int_a^b m \vec{f}(t) dt = m \int_a^b \vec{f}(t) dt.$$

$$iii) \int_a^b \vec{r} \cdot \vec{f}(t) dt = \vec{r} \cdot \int_a^b \vec{f}(t) dt. \quad iv) \int_a^b \vec{r} \times \vec{f}(t) dt = \vec{r} \times \int_a^b \vec{f}(t) dt.$$

$$v) \frac{d}{dt} \int_a^x \vec{f}(t) dt = \vec{f}(x).$$

e.g.  $\vec{r} = \vec{r}(t)$ ,  $t \in (c, d)$ , s.t.  $r'(t) = \lambda(t) \cdot \vec{a}$ ,  $\lambda(t) > 0$ , cont. on  $(c, d)$

$\vec{r}(t) = (\int \lambda(t) dt) \vec{a} + \vec{c}$ ,  $\rightarrow$  direction determine by  $\vec{a}$ . initial point  $\vec{c}$ .

If  $\int \lambda(t) dt < \infty$ . - line segment.

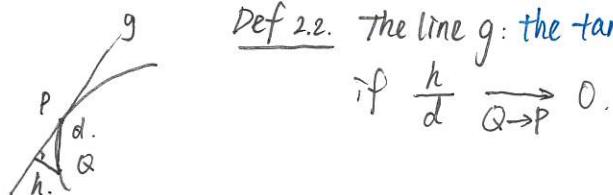
If  $\int \lambda(t) dt$  diverge at 1 end point - ray.

If  $\int \lambda(t) dt$  diverge at both end point - entire line.

## §2. Tangent.

$\gamma$ -curve.  $P$  - point on  $\gamma$ .  $g$  - straight line passing through point  $P$ .

$\forall$  point  $Q$  on curve  $\gamma$ .  $d := \text{dist}(P, Q)$ .  $h := \text{dist.}(Q, g)$ .



Def 2.2. The line  $g$ : the tangent to the curve  $\gamma$  at  $P$ .

if  $\frac{h}{d} \xrightarrow[Q \rightarrow P]{} 0$ .

Thm 2.1. A smooth curve  $\gamma$  has a unique tangent at each point.

$\vec{r} = \vec{r}(t)$ , the tangent has direction of vector  $\vec{r}'(t)$ .

Def 2.3. position vector:  $\tilde{\vec{r}} = \vec{r}(t) + \lambda \vec{r}'(t)$ . (\*)

(\*) is the equation of the tangent in the parametric form (with param.  $\lambda$ ).

Remark 1: suppose:  $\tilde{\vec{r}}(t) = x(t) \vec{e}_1 + y(t) \vec{e}_2 + z(t) \vec{e}_3$ .

$$\begin{cases} \tilde{x} = x(t) + \lambda x'(t) \\ \tilde{y} = y(t) + \lambda y'(t) \\ \tilde{z} = z(t) + \lambda z'(t) \end{cases} \Rightarrow \frac{\tilde{x} - x(t)}{x'(t)} = \frac{\tilde{y} - y(t)}{y'(t)} = \frac{\tilde{z} - z(t)}{z'(t)}$$

Remark 2: consider tangent at  $(x_0, y_0, z_0)$   $\gamma$ :  $\begin{cases} \psi(x, y, z) = 0 \\ \psi_x(x, y, z) = 0 \end{cases}$  (1).

where the rank  $\begin{vmatrix} \psi_x & \psi_y & \psi_z \\ \psi_x & \psi_y & \psi_z \end{vmatrix} \Big|_{(x_0, y_0, z_0)} = 2$ .

apply any regular parametrization  $\begin{cases} x = x(t) \\ y = y(t) \\ z = z(t) \end{cases}$  in some  $U(x_0, y_0, z_0)$ .

$$\text{diff (1). w.r.t. } t \Rightarrow \begin{cases} \psi_x x' + \psi_y y' + \psi_z z' = 0 \\ \psi_x x' + \psi_y y' + \psi_z z' = 0 \end{cases} \Rightarrow \frac{x'}{|\psi_x \psi_z|} = \frac{y'}{|\psi_x \psi_z|} = \frac{z'}{|\psi_x \psi_y|}$$

$\Rightarrow$  the tangent line:  $\frac{\tilde{x} - x_0}{|\psi_x \psi_z|} = \frac{\tilde{y} - y_0}{|\psi_x \psi_z|} = \frac{\tilde{z} - z_0}{|\psi_x \psi_y|}$

Def 2.4. The normal plane to a curve at P. is the plane which passes through the point P and is perpendicular to the tangent at this point.

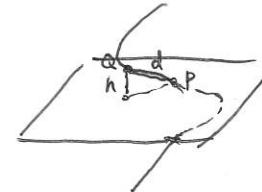
Remark: from parametric form of tangent. the normal plane at  $t=t_0$ .

$$x'(t_0)(x - x(t_0)) + y'(t_0)(y - y(t_0)) + z'(t_0)(z - z(t_0)) = 0.$$

### §3. Osculating plane.

$\gamma$ -curve. P - point on  $\gamma$ .  $\alpha$ -plane pass through P.

$\forall Q \in \alpha$ .  $\text{dist}(Q, \alpha) = h$ .  $\text{dist}(Q, P) = d$ .



Def 2.5. The plane  $\alpha$  is osculating plane to curve  $\gamma$ . at P. if  $\frac{h}{d^2} \xrightarrow{Q \rightarrow P} 0$ .

Thm 2.2. A regular curve  $\gamma$  has an osculating plane at each point.

(osculating 独一无二, 要么是包含 tangent 的任意平面).

Remark: the osculating plane parallel to  $\vec{r}'(t)$  and  $\vec{r}''(t)$   $\rightarrow$  不平行, 则唯一.  
平行, 则包含切线的任意面.

Suppose.  $\vec{r}'(t), \vec{r}''(t)$  not parallel at P.  $\Rightarrow \vec{r}'(t) \times \vec{r}''(t)$  normal vector of osculating plane of  $\gamma$  at P.

the equation of osculating plane:  $(\tilde{\vec{r}} - \vec{r}(t), \vec{r}'(t) \times \vec{r}''(t)) = 0$ .

parametrically: 
$$\begin{vmatrix} \tilde{x} - x(t) & \tilde{y} - y(t) & \tilde{z} - z(t) \\ x'(t) & y'(t) & z'(t) \\ x''(t) & y''(t) & z''(t) \end{vmatrix} = 0.$$
  $\Delta \tilde{\vec{r}}$  位置向量. 摆动平面(P的)  
任意一点.  
 $\rightarrow t$  的值与 P 对应, 解  $\tilde{x}, \tilde{y}, \tilde{z}$ .

Def 2.6. Every straight line passing through a point on the curve perpendicular to the tangent is normal to the curve.

principal normal: normal lying in the osculating plane.

binormal : normal perpendicular to the osculating plane.

$\Delta$  找 binormal.  $\vec{b} = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ x'(t) & y'(t) & z'(t) \\ x''(t) & y''(t) & z''(t) \end{vmatrix}$  (就是  $\vec{r}'(t) \times \vec{r}''(t)$ ; 摆动平面法向量).  
canonical form:  $\frac{x - x(t_0)}{bx} = \frac{y - y(t_0)}{by} = \frac{z - z(t_0)}{bz}$

principal.  $\vec{p} = \vec{b} \times \vec{r}'(t) = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ bx & by & bz \\ x'(t) & y'(t) & z'(t) \end{vmatrix}$   $\rightarrow$  坐标值.  $\Rightarrow \frac{x - x(t_0)}{Px} = \frac{y - y(t_0)}{Py} = \frac{z - z(t_0)}{Pz}$

## §4. Contact of curves.

Def 2.7. A regular curve  $\gamma$  is of  $C^n$ -class if:

(1) All component function  $x_k(t)$  ( $k \in I: d$ ) have cont. deri. up to order  $n$ .

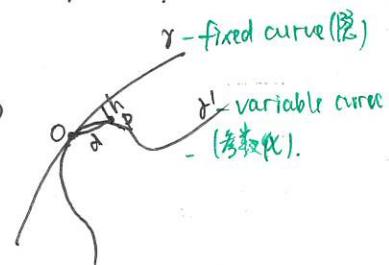
(2) The  $n$ -th deri.  $\gamma^{(n)}(t)$  exists and is cont.

Def 2.8.  $\gamma, \gamma'$  - elementary curve.  $O$  - common point. choose  $P \in \gamma'$ .

denote  $h := \text{dist}(P, \gamma)$ .  $d := \text{dist}(P, O)$ .

We say  $\gamma'$  has contact of order  $n$  with curve  $\gamma$  at point  $O$

if  $\frac{h}{d^n} \xrightarrow{P \rightarrow O} 0$ .



Remark: if  $\gamma, \gamma'$  - general curve. we say  $\gamma'$  --- with curve  $\gamma$ .

if  $\exists$  neighborhood of  $O$  on  $\gamma$  and  $\gamma'$  satisfy that condition.

Remark: the intersection of curves is 0-th contact.

△ "contact order" 衡量了2条曲线"共享"的几何属性的程度.

0th contact - intersection only.

"touch but cross"

1st contact - shared tangent.

"kiss but run parallel briefly"

2nd contact - shared curvature.

"oscillate together"

3+ contact.

"nearly indistinguishable"

Thm 2.3. Let  $\gamma, \gamma'$  regular plane curves of class  $C^n$ ,  $O(x_0, y_0)$ , s.t.

- $\gamma$  is given implicitly  $\psi(x, y) = 0$ , with  $\nabla \psi|_{(x_0, y_0)} \neq 0$ .

- $\gamma'$  is parametrized.  $(x(t), y(t))$ , with  $t=t_0$  at  $O$ .

- Then  $\gamma'$  has contact of order  $n$  with  $\gamma$  at  $O$ . iff.  $\Phi(t) := \psi(x(t), y(t))$

satisfy:  $\Phi(t_0) = \Phi'(t_0) = \dots = \Phi^{(n)}(t_0) = 0$ .

Pf:  $d = |t-t_0| \cdot \| \dot{\gamma}'(t_0) \| + o(|t-t_0|)$

$$h = |\Phi(t)| \cdot \|\nabla \psi(O)\|^{-1} + o(|\Phi(t)|)$$

$$\Rightarrow \frac{h}{d} \rightarrow 0 \Rightarrow |\dot{\Phi}(t)| = o(|t-t_0|^n).$$

$$\Leftarrow \Phi^{(k)}(t_0) = 0 \Rightarrow \Phi(t) = \frac{\Phi^{(n+1)}(t_0)}{(n+1)!} (t-t_0)^{n+1} + o(|t-t_0|^{n+1}).$$

thus  $h \sim |t-t_0|^{n+1}$  while  $d \sim |t-t_0|$

Def 2.9.  $\gamma$ -regular curve. (regularity  $k=2$ ). parametrised by  $\tilde{r}(t)$ .  $P$  on  $\gamma$ .

The osculating circle to  $\gamma$  at  $P$ . is the unique circle s.t:

- contact of order  $\geq 2$ . with  $\gamma$  at  $P$ . (intersect, tangent, curvature).
- it's radius.  $R = \frac{1}{\kappa}$  (radius of curvature. curvature  $\kappa$ ).
- it's center locates in the normal line to  $\gamma$  at  $P$  (in concavity direction). 凸面方向.

Method: Given a family of curve:  $\gamma_{d_1, d_2, \dots, d_n}$  defined by  $\psi(x, y, d_1, d_2, \dots, d_n) = 0$ .

A regular  $C^{n-1}$  curve  $\gamma$  parametrised by  $(x(t), y(t))$ . with  $\gamma(0) = O$ .

Find  $\gamma_{d_1, d_2, \dots, d_n}$  with  $n-1$ -order contact to  $\gamma$  at  $O$ . (注意这里是定曲率参数化  
变曲率隐函数式)

Step 1. define contact function  $\Phi(t) := \psi(x(t), y(t), d_1, d_2, \dots, d_n)$ .

Step 2. impose contact condition.

$$\Phi(0) = \Phi'(0) = \Phi''(0) = \dots = \Phi^{(n-1)}(0) = 0. \quad (\text{注意这里是 } n \text{ 个等式})$$

Step 3. solve the system of equation. (if  $\Phi^{(n)}(0) \neq 0$ . discard the sol.).

Step 4. Verify geometric condition.

1. curves. are transverse (no degenerate contact).

2. curvature / torsion. match (for  $n \geq 2$ ).

Remark: (1) If  $\gamma$  has a cusp at  $O$ . no smooth  $\gamma_{d_1, d_2, \dots, d_n}$ .

(2) For  $n=2$ . \downarrow \text{Maybe}. discrete solution. (non-uniqueness of sol.)

(3) When  $n=1$ . solution form the envelope of the family.

Method. Find all curves  $\gamma'$  have 2nd-order contact with given  $\gamma$ . at point  $O$ .

$$\gamma: \psi(x, y) = 0. \quad \gamma': (x(t), y(t)) \text{ with } O = (x(0), y(0))$$

Step 1.  $\Phi(t) = \psi(x(t), y(t))$

Step 2. choose a family of candidate curve  $\gamma'$  (e.g. circle, parabola, ...).

Step 3. Each family (with parameter). sol.  $\begin{cases} \Phi(0) = 0 \\ \Phi'(0) = 0 \\ \Phi''(0) = 0 \end{cases}$

Remark: (1) typically. sol. forms discrete set (e.g. symmetric 2 circle).

(2) if  $\gamma$  is line.  $\gamma'$  can be all lines through  $O$ . (infy sol.  $\kappa=0$ ).

(3) if  $\gamma$  has cusp at  $O$ . no smooth  $\gamma'$ . (e.g.  $\gamma: y^2 = x^3$ .  $(0,0)$ ).

## §5. Envelope of a family of curves.

Def 2.10. Suppose  $\{S_\alpha\}$  is a family of smooth curve on a surface, depending on a parameter  $\alpha$ . A smooth curve  $\gamma$  is an envelope of family  $S$ , if:

- $\forall P \in \gamma, \exists \gamma_\alpha \in S$ .  $\gamma_\alpha$  tangent to  $\gamma$  at  $P$ .
- $\forall \gamma_\alpha \in S, \exists P \in \gamma$ .  $\gamma_\alpha$  tangent to  $\gamma$  at  $P$ .
- no curve of the family has a segment in common with the curve  $\gamma$ .



Remark: The envelope tangent to all  $\gamma_\alpha$ ; each point of contact has at least 1-order

e.g. A smooth curve without rectilinear arcs <sup>直角段弧</sup> is the envelope of its tangent lines. and each tangent touches the curve at exactly 1 point (1st-order contact)

Thm 2.4. Let  $\{\gamma_\alpha\}$  be a  $C^1$ -family of plane curve:  $\varphi(x, y, \alpha) = 0$ . where  $\varphi$  is  $C^2$ -curve, s.t.  $\nabla \varphi = \varphi_x \hat{x} + \varphi_y \hat{y} \neq 0$ . and  $\varphi_\alpha \neq 0$ . (regularity). <sup>不是所有候选点</sup>

$$\text{2. } \det \begin{pmatrix} \varphi_x & \varphi_y \\ \varphi_{x\alpha} & \varphi_{y\alpha} \end{pmatrix} \neq 0. \quad (\text{transversality}).$$

Then the envelope  $\gamma$  is the solution of  $\begin{cases} \varphi(x, y, \alpha) = 0 \\ \varphi_\alpha(x, y, \alpha) = 0 \end{cases}$  (eliminating  $\alpha$ ).

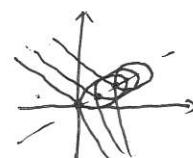
$\Delta$  这是一个等价条件, 可证明是既充  $\gamma \Leftrightarrow$  满足方程组. <sup>如: 解出的曲线其实是曲线的 Nodal point.</sup>

$\Delta$  若仅有方程解, 可能存在解 不是包括但满足方程组 (由于切向量退化, 因此需要 1/2 条件才有等价关系)

e.g.  $\gamma_\alpha: (x-\alpha)^3 + (y-\alpha)^3 - 3(x-\alpha)(y-\alpha) = 0$ .

$x=y$  is a sol. but not envelope.

$$\det \begin{pmatrix} \varphi_x & \varphi_y \\ \varphi_{x\alpha} & \varphi_{y\alpha} \end{pmatrix} = \begin{pmatrix} 3(x-\alpha)^2 + 3(y-\alpha) & 3(y-\alpha)^2 - 3(x-\alpha) \\ 6\alpha - 6x + 3 & 6\alpha - 6y + 3 \end{pmatrix} = 0 \text{ when } x=y.$$



# Chapter 3. Curvature and Torsion.

## §1. Arc length of a curve.

$\gamma$  - arbitrary curve.  $\varphi$  - locally 1-to-1 mapping.  $g$  - open interval / circumference  
 $\varphi(g) = \gamma$ .

Def 3.1. A segment of the curve  $\gamma$ , is the image of an arbitrary closed segment  $\Delta$ , belonging to that open interval  $g$  (if the curve is parametrised by  $g$ ).  
or image of the arc  $\kappa$ . of circumference  $k$  (if the curve is parametrised by  $k$ ).  
under mapping  $\varphi$ . The endpoint of the segment. corr. to endpoint of  $\Delta$  or  $\kappa$ .

notation:  $\gamma|_{[a,c]}$  or  $\gamma|\Delta$ .

Thm 3.1. (Uniqueness of the set of segments of the given curve) - 不随参数化方式而改变。  
参数值不同，区间端点相同。  
Let  $\varphi_1, \varphi_2$  be cont. and locally 1-to-1 mapping. on the open interval  $g$  defining same curve  $\gamma = \varphi_1(g) = \varphi_2(g)$ . If there exists a homeomorphism  $\psi: g \rightarrow g$  s.t.  $\varphi_1 = \varphi_2 \circ \psi$ , then the sets of segments of  $\gamma$  induced by  $\varphi_1$  and  $\varphi_2$  coincide.

Pf:  $\psi$ -homeomorphism.  $\Rightarrow$  strictly monotonic

$\forall [a,b] \subseteq g. \quad \psi([a,b]) = [c,d] \subseteq g.$  (preserving the endpoint, by cont & mono.)

thus.  $\varphi_1([a,b]) = \varphi_2(\psi([a,b])) = \varphi_2([c,d]).$

every segment of  $\gamma$  induced by  $\varphi_1$  corr. to a segment induced by  $\varphi_2$ .  
vice versa.

Suppose  $\tilde{\gamma}$  is a segment of the curve  $\gamma$ , and let  $A$  and  $B$  be its endpoints.

Choose  $A = A_0, A_1, \dots, A_n \equiv B$ , on  $\tilde{\gamma}$ , proceeding from  $A$  to  $B$ .

Join  $A_i$  and  $A_{i+1}$  employing rectilinear segments.

$\Rightarrow$  the polygonal arc  $T$  inscribe in the segment  $\tilde{\gamma}$  of the curve.

Def 3.2. (Arc length). The least upper bounded of the lengths of all possible polygonal arcs  $T$ , inscribed in the segment  $\tilde{\gamma}$  of the curve, will be called the arc length (or arc) of segment  $\tilde{\gamma}$ .

notation:  $s(\tilde{\gamma})$ . △ 可理解为函数.  $s: \tilde{\gamma}$  的所有片段  $\rightarrow$  实数. "length function"

Def 3.3. The segment  $\tilde{r}$  of curve  $r$  is rectifiable if the lengths of all possible polygonal arcs  $T$  (inscribed in the segment  $\tilde{r}$ ) are uniformly bounded by some finite number.

Def 3.4. The curve  $r$  is rectifiable if each of its segments is rectifiable.

Thm 3.2. (Fundamental Property of rectifiable curve)

If  $A'B'$ ,  $AB$ - segments of  $r$ ,  $A'B' \subseteq AB$ .  $AB$  is rectifiable. Then  $A'B'$  also rectifiable and length  $s(A'B') \leq s(AB)$ .  
(the equality holds iff.  $A'B' = AB$ ).

Thm 3.3. (Additivity of arc length of rectifiable curve).

If  $C$  is a point on the segment  $AB$  of the curve which is distinct from both  $A$  and  $B$ , and the segments  $AC$ ,  $CB$  are rectifiable. then  $AB$  also rectifiable,  
 $s(AC) + s(CB) = s(AB)$ .

## §2. Arc length of a smooth curve.

Thm 3.4. A smooth curve  $\gamma$  is rectifiable. If  $\vec{r} = \vec{r}(t)$  is its smooth parametr.

and  $\tilde{\gamma} = \gamma|_{[a,b]}$  is a segment of  $\gamma$ . then  $s(\tilde{\gamma}) = \int_a^b |\vec{r}'(t)| dt$ .

Pf:  $\gamma$  rectifiability.

$\vec{r}(t)$  smooth.  $\vec{r}'(t)$  exists and cont. on  $[a,b]$ .

$\exists M > 0$  s.t.  $|\vec{r}'(t)| \leq M$ .  $\forall t \in [a,b]$ .

$\forall$  partition  $P$  of  $[a,b]$ .  $\ell(P) = \sum |\vec{r}(t_i) - \vec{r}(t_{i-1})|$ .

by mean-value thm.  $\exists \xi_i \in [t_{i-1}, t_i]$ .  $|\vec{r}(t_i) - \vec{r}(t_{i-1})| \leq \sum_{j=1}^n |\vec{r}'(\xi_j)| / (t_i - t_{i-1}) \leq nM$ ,  
 $\Rightarrow \ell(P) \leq n \cdot M (b-a)$ .

2/ formula.  $\vec{r}'$  is uni. cont. on  $[a,b]$ .

$\forall \varepsilon > 0$ .  $\exists \delta > 0$  s.t.  $|t-s| < \delta \Rightarrow |\vec{r}'(t) - \vec{r}'(s)| < \varepsilon$ .

consider partition  $P$  s.t.  $\|P\| < \delta$ .

for each  $i$ .  $\vec{r}(t_i) - \vec{r}(t_{i-1}) = \vec{r}(\tau_i)(t_i - t_{i-1}) + \varepsilon_i(t_i - t_{i-1})$

since  $\|\varepsilon_i\| < \varepsilon$ .  $\|\vec{r}(t_i) - \vec{r}(t_{i-1})\| - |\vec{r}'(\tau_i)| \cdot |t_i - t_{i-1}| < \varepsilon \cdot |t_i - t_{i-1}|$ .

summing over.  $|\ell(P) - \sum_{i=1}^n |\vec{r}'(\tau_i)| (t_i - t_{i-1})| < \varepsilon (b-a)$ .

As  $\|P\| \rightarrow 0$ , the Riemann sum conv. to the integral when  $\varepsilon \rightarrow 0$ .

$$s(\gamma) = \lim_{\|P\| \rightarrow 0} \ell(P) = \int_a^b |\vec{r}'(t)| dt.$$

$$(*) \text{ by Taylor thm. } \|\varepsilon_i\| = \left\| \frac{1}{t_i - t_{i-1}} \int_{t_{i-1}}^{t_i} (\vec{r}'(t) - \vec{r}'(\tau_i)) dt \right\| \leq \frac{1}{t_i - t_{i-1}} \int_{t_{i-1}}^{t_i} \varepsilon dt = \varepsilon.$$

## Def 3.5 (Natural Parametrization).

Let  $\gamma$  be a rectifiable curve with parametrization  $\vec{r}(t)$  for  $t \in I$ . The natural parametrization of  $\gamma$  is the arc-length parametrization  $\vec{r}(s)$  where:

1.  $s$  measures signed arc length from a base point  $\vec{r}(t_0)$ .

$$s(t) = \begin{cases} \int_t^{t_0} |\vec{r}'(\tau)| d\tau, & t \geq t_0 \\ -\int_{t_0}^t |\vec{r}'(\tau)| d\tau, & t < t_0 \end{cases}$$

2. the curve is "reparametrised" as  $\vec{r}(s) := \vec{r}(t(s))$ .  $t(s)$  is the inverse of  $s(t)$ .

3. unit speed  $|\frac{d\vec{r}}{ds}| \equiv 1$  (whenever differentiable).

相当于  $k=\infty$ , 每一点都展开为收敛的嘉勒级数

Thm 3.5.  $\gamma$ -regular curve of class  $C^k$  / analytic, with  $|\vec{r}'(t)| \neq 0$  everywhere.

Then: 1. It's natural parametrization  $\vec{r}(s)$  exists and of same class ( $C^k$  or analytic)

2. It satisfies the unit speed condition. As.  $|\frac{d\vec{r}}{ds}| = 1$ .

3. The arc length parameter  $s$  is given by  $s(t) = \int_{t_0}^t |\vec{r}'(\tau)| d\tau$ .

△自然参数化特征: 1)  $s$  标记相等弧长间距, 2) 单位切向量.

3). 重新参数化下间距不变.  $\rightarrow \Delta s = s_2 - s_1$ , 始终等于对应曲线弧长.

$\rightarrow$  满足自然参数化条件的重新参数化.(平移, 如通过线性变换  $s' = s + c$  调整起点等).

coro 3.6. (Global regular parametrization).

Let  $\gamma$  be a regular curve of class  $C^k$  ( $k \geq 1$ ) or analytic. Then:

1.  $\gamma$  admits a global natural parametrization  $\vec{r}(s)$  of the same class  $C^k$  / analytic.
2. Any reparametrization  $\vec{r}(\varphi(t))$  with  $\varphi: I \rightarrow \mathbb{R}$  is  $C^k$  / analytic. and  $\varphi'(t) \neq 0 \forall t \in I$ . (preserves the regularity and differentiable class)

△补充: 若  $\vec{\alpha}(t) \neq 0$ . 且可微.

(1)  $|\vec{\alpha}(t)| = \text{const.} \Leftrightarrow \vec{\alpha} \cdot \vec{\alpha}' = 0$ .

(2)  $\vec{\alpha}(t)$  方向不变  $\Leftrightarrow \vec{\alpha}' \times \vec{\alpha} = 0$ .

(3).  $\vec{\alpha}(t)$  与某-固定方向垂直  $\Rightarrow (\vec{\alpha}, \vec{\alpha}', \vec{\alpha}'') = 0$

$\Leftrightarrow \vec{\alpha}'(t) \times \vec{\alpha}(t) \neq 0$ . 处处.

### §3. Curvature and Torsion.

"curvature" - measures how much does a curve "bend"

"torsion" - measures how much does a curve "twist out of a plane".

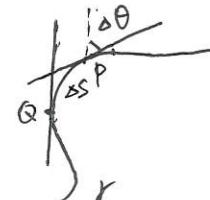
$\gamma$ - regular curve  $P$ - point on  $\gamma$ .  $Q$ - point on  $\gamma$ , near  $P$ .

$\Delta\theta$  - angle between the tangents drawn to the curve at  $P$  and  $Q$ .

$\Delta s$  - arc length of segment  $PQ$  of the curve.

Def 3.6. The curvature  $k_1$  of a curve  $\gamma$  at the point  $P$  is the limit of the ratio  $\frac{\Delta\theta}{\Delta s}$  as the point  $Q$  approaches  $P$ .

$$k_1 = \lim_{P \rightarrow Q} \frac{\Delta\theta}{\Delta s}$$



Thm 3.7 Let  $\vec{r} = \vec{r}(s)$  be a regular, twice continuously differentiable ( $C^2$ ) curve parametrized by arc length  $s$ . The curvature of curve at a point  $\vec{r}(s)$ :

$$k_1 = |\vec{r}'(s)| = \left| \frac{d\vec{r}}{ds} \right| \quad \vec{T}(s) = \vec{r}'(s), \text{ unit tangent vector.}$$

Remark: (1)  $k_1$  measures "how much the curve deviates from being a straight line at a given point".

- $k_1 = 0$  everywhere  $\Leftrightarrow$  the curve is a straight line.  $\Rightarrow \vec{r}''(s) = 0$ .
- If  $k_1 > 0$ . "bending".  $k_1 \uparrow$ . sharper the bend.

(2)  $k_1$  - not depend on the parametrisation.

$$(3). \quad k_1 = \frac{|\vec{r}'(t) \times \vec{r}''(t)|}{|\vec{r}'(t)|^3} \quad t - \text{is arbitrary parameter.}$$

Suppose  $k_1 \neq 0$  at a given point on the curve.

$\vec{n} = \frac{1}{k_1} \vec{r}''(s)$ . unit vector and lies in the osculating plane.

$\vec{T} = \frac{1}{|\vec{r}'(s)|} \vec{r}'(s)$  unit tangent.

Fact:  $\vec{n} \cdot \vec{T} = 0$ . when  $|\vec{T}| = 1$ .

Pf:  $|\vec{T}| = 1$ .  $\vec{T} \cdot \vec{T} = 1 \Rightarrow \frac{d}{ds}(\vec{T} \cdot \vec{T}) = 2\vec{T} \cdot \vec{T}' = 0 \Rightarrow \vec{T}' \cdot \vec{T} = 0$

$$\vec{T} \cdot (k_1 \vec{n}) = 0 \Rightarrow \vec{T} \cdot \vec{n} = 0$$

△ 对一般  $\vec{r}(t)$ ,  $\vec{r}'(t) \neq 0$  仅对单位切向量才有此结论 (事实上可放宽到  $|\vec{T}| = \text{const.}$ ).

对 arc length  $s$  恒成立.  $|\vec{r}'(s)| \neq 1 \Rightarrow \vec{r}'(s) \perp \vec{r}''(s)$

Def 3.7 The vector  $\vec{b} = \vec{T} \times \vec{n}$  is the unit binormal vector of the curve.

$$\text{Thm 3.8. } k_1 = \frac{|\vec{r}''(t) \times \vec{r}'(t)|}{|\vec{r}'(t)|^3}$$

$$\text{Pf: } \frac{ds}{dt} = \left| \frac{d\vec{r}}{dt} \right| = |\vec{r}'(t)|.$$

$$\frac{d\vec{r}}{ds} = \frac{d\vec{r}}{dt} \cdot \frac{dt}{ds} = \frac{\vec{r}'(t)}{|\vec{r}'(t)|}$$

$$\Rightarrow k_1 = \left| \frac{d^2\vec{r}}{ds^2} \right| = \frac{|\vec{r}'(t) \times \vec{r}''(t)|}{|\vec{r}'(t)|^3}$$

$$\begin{aligned} \frac{d^2\vec{r}}{ds^2} &= \frac{d}{dt} \left( \frac{\vec{r}'(t)}{|\vec{r}'(t)|} \right) \cdot \frac{dt}{ds} \\ &= \frac{\vec{r}''(t) \cdot |\vec{r}'(t)|^2 - \vec{r}'(t) (\vec{r}'(t) \cdot \vec{r}''(t))}{|\vec{r}'(t)|^4} \end{aligned}$$

把  $\vec{r}''$  分解成 // 和  $\perp$  于  $\vec{r}'$  分量

$$|\vec{r}' \times \vec{r}''| = |\vec{r}'| |\vec{r}''| \sin \langle \vec{r}', \vec{r}'' \rangle. |\vec{r}''_{\perp}| = |\vec{r}''| \sin \langle \vec{r}', \vec{r}'' \rangle.$$

$$k_1^2 = \frac{(x''y' - y''x')^2}{(x'^2 + y'^2)^3}$$

$$(1) y = y(x)$$

$$k_1^2 = \frac{(y'')^2}{(1 + y'^2)^3}$$

Remark: planar curve. (1)  $x, y$  plane

Suppose  $P$  - arbitrary point on  $\gamma$ ;  $Q$  on  $\gamma$  near  $P$ ;

$\Delta\theta$  - angle between binormal vectors.

$\Delta s$  - length of segment  $PQ$  on the curve.

Def 3.8. The absolute torsion  $|k_2|$  of the curve  $\gamma$  at the point  $P$  is:

$$|k_2| = \lim_{Q \rightarrow P} \frac{\Delta\theta}{\Delta s}.$$

Thm 3.9. Let  $\vec{r}(s)$  be regular,  $C^3$ -curve. parametrized by arc length  $s$ .

$|k_2|$  of the curve at a point  $\vec{r}(s)$  is given by

$$|k_2| = \frac{|\vec{r}'(s) \cdot (\vec{r}''(s) \times \vec{r}'''(s))|}{|\vec{r}''(s)|^2} \quad |\vec{r}'(s)| \leq 1 \text{ by arc length. 一般式中是 } |\vec{r}''(t) \times \vec{r}'(t)|^2$$

$$\text{Pf: } |k_2| = |\vec{b}'(s)| \quad \vec{b}(s) = \frac{\vec{r}'(s) \times \vec{r}''(s)}{|\vec{r}'(s) \times \vec{r}''(s)|}$$

$$\vec{b}'(s) = \frac{\vec{r}' \times \vec{r}'''}{|\vec{r}'''|} - \frac{(\vec{r}' \times \vec{r}'')}{|\vec{r}''|^3} [\underbrace{(\vec{r}' \times \vec{r}'') \cdot (\vec{r}' \times \vec{r}''')}_{(axc) \cdot (axd) = c \cdot d - (a \cdot c)(a \cdot d)}] = \vec{r}'' \cdot \vec{r}'''$$

$$\text{let } X = \frac{\vec{r}' \times \vec{r}'''}{|\vec{r}'''|} \quad Y = \frac{(\vec{r}' \times \vec{r}'') \cdot (\vec{r}'' \cdot \vec{r}''')}{|\vec{r}''|^3}$$

$$X \cdot \vec{b} = Y \cdot \vec{b}, \text{ by } \vec{b}' \text{ 's direction. } X = X_{||} + X_{\perp}, X_{||} = Y, X_{\perp} \perp \vec{b}.$$

$$|\vec{b}'|^2 = \|X_{\perp}\|^2 = \|X\|^2 - \|Y\|^2 = \frac{\|\vec{r}' \times \vec{r}''\|^2 \cdot \|\vec{r}' \times \vec{r}'''\|^2}{|\vec{r}'''|^4} - \frac{(\vec{r}''(s) \cdot \vec{r}'''(s))^2}{|\vec{r}'''|^4}$$

$$= \frac{(\vec{r}', \vec{r}'', \vec{r}''')^2}{|\vec{r}'''|^4}$$

$$\Delta \text{恒等式 } [a(b \times c)] = \|a \times c\|^2 \|b \times a\|^2 - [(b \cdot c) - (a \cdot c)(a \cdot b)]^2$$

Remark: the sign of  $k_2$  indicates the direction of the "twisting out of the osculating plane" relative to tangent and normal vector

$k_2 > 0$  - curve twists counterclockwise relative to osculating plane.

- moving along the curve.  $\vec{b}(s)$  rotates counterclockwise around  $\vec{\tau}(s)$ .

$k_2 < 0$  - clockwise resp.

$k_2 = 0$  - not twist.; lies entirely in a single plane.

$$k_2 = \frac{\vec{r}'(s) \cdot (\vec{r}''(s) \times \vec{r}'''(s))}{|\vec{r}''(s)|^2}$$

Remark:  $\vec{b}(s) = \vec{\tau}(s) \times \vec{n}(s)$ .  $\vec{\tau}$  - unit tangent.  $\vec{n}$  - unit normal vector.

$$\Rightarrow \vec{b}'(s) = -k_2 \vec{n}(s).$$

Suppose curve  $\vec{r}(t) = (x(t), y(t), z(t))$ .

$$\vec{r}'(t) \times \vec{r}''(t) = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ x'(t) & y'(t) & z'(t) \\ x''(t) & y''(t) & z''(t) \end{vmatrix} \quad (\vec{r}'(t) \times \vec{r}''(t)) \cdot \vec{r}'''(t) = \begin{vmatrix} x'(t) & y'(t) & z'(t) \\ x''(t) & y''(t) & z''(t) \\ x'''(t) & y'''(t) & z'''(t) \end{vmatrix}$$

$$k_2(t) = \frac{(\vec{r}'(t) \times \vec{r}''(t)) \cdot \vec{r}'''(t)}{|\vec{r}'(t) \times \vec{r}''(t)|^2} = \frac{\begin{vmatrix} x' & y' & z' \\ x'' & y'' & z'' \\ x''' & y''' & z''' \end{vmatrix}}{(y'z'' - z'y'')^2 + (z'x'' - x'z'')^2 + (x'y'' - y'x'')^2}$$

Example. curve

		$k_1$	$k_2$
straight line	$\vec{r}(t) = \vec{a} + t\vec{b}$	0	0
circle	$\vec{r}(t) = (R\cos t, R\sin t, 0)$	$\frac{1}{R}$	0
helix	$\vec{r}(t) = (R\cos t, R\sin t, ht)$ $(R > 0, h \neq 0)$	$\frac{R}{R^2 + h^2}$	$\frac{h}{R^2 + h^2}$

when  $h \rightarrow 0$ . helix  $\rightarrow$  circle ( $k_2 \rightarrow 0$ )

$R \rightarrow \infty$  helix  $\rightarrow$  straight line ( $k_1 \rightarrow 0$ )

the curvature in polar coordinates:  $r = r(\theta)$

$$k_1 = \frac{|r^2 + 2(\frac{dr}{d\theta})^2 - r \frac{d^2r}{d\theta^2}|}{(r^2 + (\frac{dr}{d\theta})^2)^{3/2}}$$

## §4 Frenet Frame.

Target: describe the shape and behaviour of curves using "invariant quantities"  
(don't depend on coordinates).

- direction of trajectory path, direction of turn, tilt of the road.

Def 3.9 From a point on the curve, emanating 3 half-lines with direction  $\vec{T}, \vec{n}, \vec{b}$ . (edges of trihedron). This trihedron is *Frenet frame* or *natural trihedron*.

Def 3.10 *Frenet-Serret Formula*.

$$\begin{cases} \vec{T}' = k_1 \vec{n} \\ \vec{n}' = -k_1 \vec{T} - k_2 \vec{b} \\ \vec{b}' = k_2 \vec{n} \end{cases}$$

→ 找  $\vec{n}$ . 除了从  $\vec{T}$ , 也可以从  $\vec{b}$  定义。  
出发. 找  $\vec{n} \leftarrow \vec{T}'(t) \times \vec{T}''(t)$  (找单位  
法向量垂直的向量, 在找平面内),  
但这样会差士

e.g. helix  $\vec{r}(t) = (R \cos t, R \sin t, h t)$ .  $R > 0, h \neq 0$ .

$$\vec{T}(t) = \frac{(-R \sin t, R \cos t, h)}{\sqrt{R^2 + h^2}} \quad (\text{unit tangent})$$

$$\vec{n}(t) = (-\cos t, -\sin t, 0) \quad (\text{Normal}).$$

$$\vec{b}(t) = \frac{(h \sin t, -h \cos t, R)}{\sqrt{R^2 + h^2}} \quad (\text{Binormal}).$$

$$k_1 = \frac{R}{R^2 + h^2} \quad k_2 = \frac{h}{R^2 + h^2}$$

$$\text{Suppose } \vec{r}(t) = \vec{r}(t_0) + \vec{r}'(t_0)(t-t_0) + \frac{1}{2}\vec{r}''(t_0)(t-t_0)^2 + \dots$$

→ arc length parametrization in neighborhood of P. ( $s=0$  at P)

$$\vec{r}(s+\Delta s) = \left(\Delta s - \frac{k_1^2 \Delta s^3}{6} + \dots\right) \vec{T} + \left(\frac{k_1 \Delta s^2}{2} + \frac{k_1' \Delta s^3}{6}\right) \vec{n} + \left(-\frac{k_1 k_2 \Delta s^3}{6} + \dots\right) \vec{b} + \dots$$

(substitution:  $\vec{r}' = \vec{T}, \vec{r}'' = k_1 \vec{n}, \vec{r}''' = k_1' \vec{n} - k_1^2 \vec{T} - k_1 k_2 \vec{b}$ ).

Remark: for arc length s.

$$T(s) \cdot n(s) = n(s) \cdot b(s) = b(s) \cdot T(s) = 0.$$

## § 5. Natural Equation.

Thm 3.10 (Fundamental Theorem of Curves).

Let  $k_1(s)$ ,  $k_2(s)$ , be smooth functions defined on an interval  $I \subset \mathbb{R}$ , with  $k_1(s) > 0 \forall s \in I$ . Then:

- 1). A smooth, regular curve  $\gamma$ , parametrised by  $s$  as arc length, for which  $k_1(s)$  is the curvature,  $k_2(s)$  is the torsion at each point  $\gamma(s)$ .
- 2). The curve  $\gamma$  is uniquely determined up to rigid motion in space  
(i.e. if  $\tilde{\gamma}, \gamma$  both s.t. 1).  $\tilde{\gamma}$  can be obtain from  $\gamma$  by translations and rotations). → 刚体运动

Def 3.11. The system of equation  $\begin{cases} k_1 = k_1(s) \\ k_2 = k_2(s) \end{cases}$  are *natural equations* of the curve.

Remark: the pair  $(k_1(s), k_2(s))$ , encode the intrinsic geometry property.  
(not depend on the position / orientation in space)

Procedure. for given  $k_1(s)$ ,  $k_2(s)$  find the curve  $\gamma(s)$

- 1). solve the o.d.e.

$$\frac{d}{ds} \begin{bmatrix} \vec{T}(s) \\ \vec{n}(s) \\ \vec{b}(s) \end{bmatrix} = \begin{bmatrix} 0 & k_1 & 0 \\ -k_1 & 0 & -k_2 \\ 0 & k_2 & 0 \end{bmatrix} \begin{bmatrix} \vec{T}(s) \\ \vec{n}(s) \\ \vec{b}(s) \end{bmatrix}$$

$$\Rightarrow \vec{T}, \vec{n}, \vec{b}$$

- 2). construct the curve

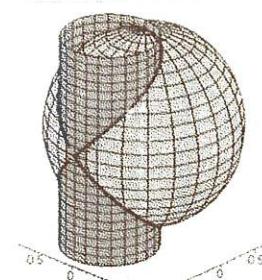
$$\vec{\gamma}(s) = \int_0^s \vec{\gamma}(u) du$$

\* Def. (Viviani's curve/window). figure-eight-shaped space curve.

1) intersection of a sphere with a cylinder.

2) tangent to the sphere

3) passes through 2 poles (on a diameter) of the sphere



## Chapter 4. Surface.

### § 1. Elementary surface.

Def 4.1. A planar region is an elementary region if it's an image of an open circle under an arbitrary topological mapping.

Remark: An elementary region is a "region homeomorphic" with a circle.

Property of elementary region:

- 1) connected
- 2) simple shaped (it has boundary that smooth and regular)
- 3) Finite area
- 4) open region. (not include it's boundary)

Def 4.2. A set  $\Phi$  of points in space is an elementary surface if it's an image of elementary region in a plane under arbitrary top. mapping.

e.g. elementary region  $G: \{(u, v) \in \mathbb{R}^2 \mid u^2 + v^2 < 1\}$

elementary surface  $f: G \rightarrow \mathbb{E}, f(u, v) = (u, v, \sqrt{1-u^2-v^2})$   
 $\Phi$  - upper hemisphere.

Def 4.3. Let  $\Phi$  - elementary surface  $\Phi = \{(x, y, z) \in \mathbb{E} \mid (x, y, z) \text{ s.t. } (*)\}$ .

$G$  - elementary region  $G = \{(u, v) \in \mathbb{R}^2 \mid \dots\}$

the system  $\begin{cases} x = f_1(u, v) \\ y = f_2(u, v) \\ z = f_3(u, v) \end{cases} (*)$ , is the equation of the surface in the parametric form.

### § 2. Simple surface.

Def 4.4. A set  $\Phi$  of point in space is a simple surface if this set is connected and every point  $X \in \Phi$  has a neighborhood  $G_X$  s.t.  $G_X \cap \Phi$  is an elementary surface.

e.g. A sphere - simple, not elementary.

Prop 4.1. If an closed set of points is deleted from any simple surface s.t. the remaining part is open and connected, then the remaining part will be also a simple surface.

Def 4.5. A simple surface is complete if the limit point of any conv. sequence of points on the surface is also a point on the surface.

e.g. sphere, paraboloid.

Def 4.6. If a simple complete surface is finite, then it's closed.

Def 4.7 A neighborhood of a point  $X$  on a simple surface is the common part of simple surface  $\Phi$  and some neighborhood of point  $X$  in space.

e.g. For sphere  $\Phi$ , the neighborhood of  $X$  on  $\Phi$  is a disk.

Remark: Each point of the simple surface has neighborhood, which is elementary surf.

### §3. General Surface

Def 4.8 A set of points  $\Phi$  in space is a general surface if there exists a simple surface  $S$  and a mapping  $f: S \rightarrow E$  s.t.:

- 1)  $f$  is cont.
- 2)  $f$  is locally 1-to-1.
- 3)  $\Phi = f(S)$ .

Def 4.9. The mapping  $f_1, f_2$  of simple surface  $\Phi_1, \Phi_2$  (respectively.)

define the same general surface  $\Phi$  if there exists homeomorphism  $h: \Phi_1 \rightarrow \Phi_2$  such that:  $f_1(p) = f_2(h(p)) \quad \forall p \in \Phi_1$

Suppose the general surface  $\Phi$  is the image under t.p. mapping of a simple surface  $\bar{\Phi}$ .

Def 4.10. A sequence of points  $f(X_n)$  on the surface  $\Phi$  converges to the point  $f(X)$  if the sequence of points  $X_n$  on the simple surface  $\bar{\Phi}$  converges to the point  $X$ .

Def 4.11. A neighborhood of the point  $f(X)$  on the surface  $\Phi$  is image of an arbitrary neighborhood of the point  $X$  on the surface  $\bar{\Phi}$  under the mapping  $f$ .

Remark: the convergence and neighborhood do not depend on mapping  $f$ .

## §4. Regular surface.

Def 4.12 A surface is regular ( $k$ -times diff), if each point on the surface has a neighborhood, permits a regular parametrization where  $f_1, f_2, f_3$  are regular func. defined in elementary region. For  $k=1$ , the surface is smooth.

Def 4.13. A surface is analytic if it allows an analytic parametrization ( $f_1, f_2, f_3$  analytic), in a sufficient small neighborhood of each point on surface.

Def 4.14. A point  $P$  on a surface  $\Phi$  is regular point, if the surface permits a regular parametrization in neighborhood of  $P$ .

$$\begin{cases} x = x(u,v) \\ y = y(u,v) \\ z = z(u,v) \end{cases} \text{ and } \text{rank} \left( \begin{matrix} x_u & y_u & z_u \\ x_v & y_v & z_v \end{matrix} \right) \Big|_P = 2.$$

Otherwise,  $P$  is singular point. (注意正则面上可以有奇点, 满足正则参数化, rank < 2).

Def 4.15. A curve on a surface, all points of the curve are singular points of the surface, is singular curve.

Thm 4.2. Let  $G$  be an open subset of  $u,v$ -plane. let  $x, y, z$  be  $C^1$ -func. on  $G$ .

If Jacobian matrix has rank 2 everywhere in  $G$ . then define a regular surface  $\Phi$ . Moreover the mapping  $F: G \rightarrow \Phi$ , given by  $F(u,v) = (x(u,v), y(u,v), z(u,v))$  is cont. and locally 1-to-1.

Thm 4.3. (Implicit definition of a surface)

Let  $\varphi(x,y,z)$  be  $C^1$ -func. defined on open subset of  $\mathbb{R}^3$ .  $M$  be the set  $\{(x_0, y_0, z_0) \in M, \varphi(x_0, y_0, z_0) = 0\}$ .

Suppose  $(x_0, y_0, z_0) \in M$  and  $\nabla \varphi = \varphi_x^2 + \varphi_y^2 + \varphi_z^2 \neq 0$ .

Then  $\exists U_{(x_0, y_0, z_0)} \subseteq M$ . is a regular elementary surface.

Remark. denote  $M = \{(x, y, z) | (x, y) \in U, z = f(x, y)\}$

## §5. Parametrization of a Surface.

Lemma 4.4. Suppose  $\vec{r}(u,v) = (x(u,v), y(u,v), z(u,v))$  is a regular parametrization of a surface in  $U_Q(x_0, y_0)$ . (thus,  $\frac{\partial \vec{r}}{\partial u}, \frac{\partial \vec{r}}{\partial v}$  linearly independent since  $\text{rank}(J|_Q) = 2$ ).

Let  $\psi(\alpha, \beta), \psi(\alpha, \beta)$  be smooth func. s.t.  $\begin{cases} u_0 = \psi(\alpha_0, \beta_0) \\ v_0 = \psi(\alpha_0, \beta_0) \end{cases} \quad \begin{vmatrix} \psi_\alpha & \psi_\beta \\ \psi_\alpha & \psi_\beta \end{vmatrix}_{(\alpha_0, \beta_0)} \neq 0$ .

Then then equation  $\begin{cases} x = x(\psi, \psi) \\ y = y(\psi, \psi) \\ z = z(\psi, \psi) \end{cases}$  defined a new parametrisation in  $U_{(\alpha_0, \beta_0)}$ .

the mapping  $\begin{cases} u = \psi(\alpha, \beta) \\ v = \psi(\alpha, \beta) \end{cases} \quad (\alpha, \beta) \mapsto (u, v)$ , is local. diffeomorphism

thus,  $(x(\psi, \psi), y(\psi, \psi), z(\psi, \psi))$  is smooth and the tangent vector remains linearly independent. ensure the parametrisation is regular.

△ 规定了参数化保正则性需要满足的条件。

## §6. Singular points on regular surface

suppose  $\begin{cases} x = x(u, v) \\ y = y(u, v) \\ z = z(u, v) \end{cases}$  regular parametrisation with  $\text{rank} \left( \begin{matrix} x_u & y_u & z_u \\ x_v & y_v & z_v \end{matrix} \right) \Big|_{Q(x_0, y_0)} < 2$ .

denote  $\vec{r}(u, v)$ .  $\text{rank}(J) < 2 \Leftrightarrow \vec{r}_u \times \vec{r}_v = 0$ .

Lemma 4.5. Suppose  $P(u, v)$  on surface near  $Q(u_0, v_0)$ .

$Q$  is singular  $\Rightarrow \vec{r}_u \times \vec{r}_v|_Q = 0$ . (necessary condition)

Moreover, if  $Q$  is singular.  $\vec{s}(u, v) = \frac{\vec{r}_u(u, v) \times \vec{r}_v(u, v)}{|\vec{r}_u(u, v) \times \vec{r}_v(u, v)|}$  is undefined at  $Q$ .

the limit of  $\vec{s}(u, v)$  as  $P \rightarrow Q$ . not exist.

△ 反之不成立.

Lemma 4.6. Same notation as above. The point  $Q$  is regular if.  $\vec{r}_u, \vec{r}_v$  l.i. at  $Q$  (i.e.  $\vec{r}_u \times \vec{r}_v \neq 0$ ). The unit normal vector at  $Q$  is given by  $\vec{s}(u, v) = \frac{\vec{r}_u(u, v) \times \vec{r}_v(u, v)}{|\vec{r}_u(u, v) \times \vec{r}_v(u, v)|}$

the limit of  $\vec{s}(u, v)$  as  $P \rightarrow Q$  is definite. ( $\vec{s}(u, v)$  well-defined at  $Q$ ).

## §7. Singular points on implicit surface.

Consider the surface  $\varphi(x, y, z) = 0$ .

Def. 4.1b. A point  $Q(x_0, y_0, z_0)$  is potentially singular if  $\nabla \varphi(Q) = \vec{0}$ .

Remark: this is an necessary, not sufficient condition for singularity.

$\varphi = x^2 + y^2 + z^2$ .  $\nabla \varphi = \vec{0}$  at  $(0, 0, 0)$  isolated point (even not in the surface).

Second-order analysis. (Taylor expansion near  $Q$ ).

$$\begin{aligned}\varphi(x, y, z) &\approx \frac{1}{2} [\varphi_{xx}(x-x_0)^2 + \varphi_{yy}(y-y_0)^2 + \varphi_{zz}(z-z_0)^2 + 2\varphi_{xy}(x-x_0)(y-y_0) + \\ &\quad + 2\varphi_{xz}(x-x_0)(z-z_0) + 2\varphi_{yz}(y-y_0)(z-z_0)] \\ &= \sum_{i,j=1}^3 a_{ij} \xi_i \xi_j \quad \xi_1 = x - x_0, \quad \xi_2 = y - y_0, \quad \xi_3 = z - z_0.\end{aligned}$$

▷ definite quadratic form:

(1).  $\varphi = 0 \Leftrightarrow \xi_i = 0$ . (only trivial sol.)

(2)  $Q$  is isolated point. (no surface near by),

e.g.  $\varphi = x^2 + y^2 + z^2$  at  $(0, 0, 0)$

ii) Indefinite quadratic form:

(1) Solution form a cone or similar singular surface.

(2)  $Q$  non-isolated singular point.

the surface self-intersects.

e.g.  $\varphi = z^2 - (x^2 + y^2)$ . at  $(0, 0, 0)$ . (double cone at origin).

Summary: at  $Q$ .

▷ If  $\nabla \varphi \neq \vec{0}$ . the surface is regular near  $Q$ .

▷ If  $\nabla \varphi = \vec{0}$ . compute the quadratic form.

$A = (a_{ij})$  - Hessian matrix.  $a_{ij} = \frac{\partial^2 \varphi}{\partial \xi_i \partial \xi_j}(Q)$ .

All eigenvalues same sign  $\Rightarrow$  isolated points.

Mixed sign  $\Rightarrow$  singular surface.

has 0  $\Rightarrow$  degenerated point.

## §8. Tangent Plane to a Surface.

$\Phi$ -surface. Q,P-point on it.  $\alpha$ - any plane passing through the point P.

$$d := \text{dist}(Q, P), \quad h := \text{dist}(\alpha, Q).$$

Def 4.7. The plane  $\alpha$  is the tangent plane to surface  $\Phi$  at point P if the ratio  $\lim_{Q \rightarrow P} \frac{h}{d} = 0$ .

Thm 4.7. Let  $\Phi$  be a smooth surface with parametrization  $\vec{r}(u,v)$ .  $\vec{r}$  is  $C^1$ . At each point  $P(u,v) \in S$ , the tangent plane  $\alpha$  exists and is uniquely spanned by  $\vec{r}_u$  and  $\vec{r}_v$ . That is, tangent plane  $\alpha$  is the set of all vectors of the form.  $\vec{v} = a\vec{r}_u(u,v) + b\vec{r}_v(u,v)$ ,  $a, b \in \mathbb{R}$ .  $\vec{r}_u, \vec{r}_v$  li. (hold for all regular points, with smooth and non-degenerate parametrization)

Pf: formula:  $h = \|\vec{r}(u+\alpha u, v+\alpha v) - \vec{r}(u,v) - \vec{r}_u(u,v)\alpha u - \vec{r}_v(u,v)\alpha v\|$ .  
 $d = \|\vec{r}(u+\alpha u, v+\alpha v) - \vec{r}(u,v)\|$ .

△若求曲面上一点的 "normal line". 则方向为  $\vec{r}_u \times \vec{r}_v$ , 过  $\vec{r}(u,v)$ , 可写出直线方程

## §9. Equations of Tangent Plane.

(1). for explicit surface  $z = f(x,y)$ .

tangent plane  $z = f(a,b) + f_x(a,b)(x-a) + f_y(a,b)(y-b)$ .

(2) for implicit surface  $F(x,y,z) = 0$ .

tangent plane:  $\nabla F(a,b,c) \cdot (x-a, y-b, z-c) = 0$ .

$$(\nabla F = (\frac{\partial F}{\partial x}, \frac{\partial F}{\partial y}, \frac{\partial F}{\partial z}))$$

"the normal to a surface"  $\vec{n} = \nabla F$ . line:  $\frac{x-x_0}{\frac{\partial F}{\partial x}} = \frac{y-y_0}{\frac{\partial F}{\partial y}} = \frac{z-z_0}{\frac{\partial F}{\partial z}}$

## §10. Distance from a point to a surface.

$\Phi$  - surface.  $Q$  - point in space.

$$\text{dist}(\Phi, Q) = \inf_{P \in \Phi} \text{dist}(P, Q).$$

Lemma 4.8. Suppose  $\Phi$ -smooth curve:  $F(x, y, z) = 0$ . Let  $O(x_0, y_0, z_0)$  be a point on  $\Phi$ .  $\nabla F(x_0, y_0, z_0) \neq \vec{0}$ .  $Q(x, y, z)$  - point in space near  $O$ , but not on  $\Phi$ . Denote  $h := \text{dist}(\Phi, Q)$ .

If  $Q \rightarrow O$ , the ratio  $\frac{F(x, y, z)}{h}$  approaches a finite. That is,

$$\lim_{Q \rightarrow O} \frac{F(x, y, z)}{h} = C \quad (\text{const.} \neq 0).$$

Remark:  $\lim_{Q \rightarrow O} \frac{F(x, y, z)}{h} = \| \nabla F(x_0, y_0, z_0) \|$  by the proof.

## §11. Contact of surface and curve.

Def 4.18.  $\Phi$ -smooth surface,  $\gamma$ -curve, intersects  $\Phi$  at point  $O$ .

For any  $Q$  on  $\gamma$ ,  $h := \text{dist}(Q, \Phi)$ ,  $d := \text{arc length } OQ$ .

We say  $\gamma$  has an  $n$ -th order contact with surface  $\Phi$  at point  $O$ .

$$\text{if } \lim_{Q \rightarrow O} \frac{h}{d^n} = 0.$$

Thm 4.9. Let  $S$ -elementary regular curve,  $\gamma$ -regular curve, have common point  $O$ .

Suppose: 1).  $S$  is locally describe by  $F(x, y, z) = 0$  near  $O$ .  $F$ -smooth.  $\nabla F|_O \neq \vec{0}$ .

2).  $\gamma$  is locally parametrised by  $\vec{\gamma}(t) = (x(t), y(t), z(t))$ , near  $O$  s.t. the parametrization is regular and  $\vec{\gamma}(t_0) = O$ .

Then, the curve  $\gamma$  has contact of order  $n$  with surface  $S$  at  $O$ . iff.

the composition  $F(t) = F(x(t), y(t), z(t))$  s.t.  $F(t_0) = 0$ ,  $\frac{d^k F}{dt^k}|_{t=t_0} = 0$ .  $\forall k \in [1:n]$ .

Pf:  $\Leftrightarrow$   
 $\gamma$ -regular,  $\gamma'(t_0) \neq 0$ ,  $|\vec{r}(t) - \vec{r}(t_0)| \underset{t \rightarrow t_0}{\sim} |t - t_0|$ .

$$\frac{|F(Q)|}{h} \rightarrow \text{const.} \quad \frac{F(x(t), y(t), z(t))}{h} \cdot \frac{h}{|\vec{r}(t) - \vec{r}(t_0)|} \underset{t \rightarrow t_0}{\rightarrow} 0. \quad (*)$$

expansion  $F(x(t), y(t), z(t))$  at  $t_0$ .  $(*)$  happens iff  $F(t_0) = F'(t_0) = \dots = F^{(m)}(t_0) = 0$ .

Example.  $S: z = x^2 + y^2$  (paraboloid). curve:  $\gamma(t) = (t, t, t^2 + t^3)$ ,

$$\text{at } t=0, \text{ intersects. } F(t) = t^2 + t^3 - (t^2 + t^3) = t^2 - t^3.$$

use Thm 4.8.  $F(0) = 0 \quad F'(0) = 0 \quad F''(0) = -2 \Rightarrow 1\text{st-order contact}$ .

use def.  $h \approx t^2$ .  $d \approx \sqrt{2}|t|$ . (要做切线主成分 (最直的) BPY).

$$\lim_{t \rightarrow 0} \frac{h}{d} = 0 \quad \lim_{t \rightarrow 0} \frac{h}{d^2} = \frac{1}{2} \neq 0. \Rightarrow 1\text{st-order contact.}$$

Def 4.19. The **osculating sphere** to a curve  $\vec{r}(s)$  (arc length  $s$ ). at point  $P$ .

is the sphere that has 3rd-order contact with curve at  $P$ .

(This means the curve and sphere has same position, tangent, curvature and 3rd-derivative at  $P$ ).

given:  $\vec{r}(s)$  with Frenet frame  $\{\vec{T}, \vec{n}, \vec{b}\}$ . curvature  $k_1(s)$  torsion  $k_2(s)$ .

$$\text{sphere: } (\vec{r} - \vec{\alpha})^2 = R^2.$$

$$1\text{st-order: } (\vec{r} - \vec{\alpha}) \cdot \vec{T} = 0 \quad (\text{center } \vec{\alpha} \text{ lies in the normal plane}).$$

$$2\text{nd-order: } (\vec{r} - \vec{\alpha}) \cdot \vec{n} = -\frac{1}{k_1}$$

$$3\text{rd-order: } (\vec{r} - \vec{\alpha}) \cdot \vec{b} = -\frac{k_1'}{k_1^2 k_2}$$

$$\Rightarrow \vec{\alpha} = \vec{r} + \frac{1}{k_1} \vec{n} + \frac{k_1'}{k_1^2 k_2} \vec{b}$$

$$R = \sqrt{\frac{1}{k_1^2} + \left(\frac{k_1'}{k_1^2 k_2}\right)^2}$$

Let  $S$ . regular (2-times diff.). surface.  $P$ - point on  $S$ .

切平面法向量.

$U$ - paraboloid, containing  $P$ .  $U$ 's axis parallel to the surface normal at  $P$ .

denote:  $\forall Q \in S$ .  $d := \text{dist}(Q, P)$      $h := \text{dist}(Q, U)$ .

Def. 4.20. The paraboloid  $U$  is osculating paraboloid of  $S$  at  $P$ , if  $\lim_{Q \rightarrow P} \frac{h}{d^2} = 0$ .

Remark: degenerate case (parabolic cylinder / plane), are included.

Thm 4.10. At every point  $P$  of a regular surface  $S$ , there exists unique osculating paraboloid  $U$ . ( $U$  may degenerate into parabolic cylinder or plane).

Remark: the coefficient of  $U$  are determined by 2nd-fundamental form of  $S$  at  $P$ .  
↳ 2nd order Taylor coef.

For explicit surface  $z = f(x, y)$ . s.t.  $f(0, 0) = 0$ .  $\nabla f(0, 0) = (0, 0)$

(For arbitrary regular surface  $\Phi$  of class  $C^2$ .  $P \in \Phi$ .

we just 1) place  $P$  at  $(0, 0, 0)$  2) Align the tangent at  $P$  with  $xy$ -plane.

3). Orient the normal vector along the  $z$ -axis.)

$$U: z = \frac{1}{2}(Ax^2 + 2Bxy + Cy^2) \quad A = \frac{\partial^2 f}{\partial x^2}, \quad B = \frac{\partial^2 f}{\partial x \partial y}, \quad C = \frac{\partial^2 f}{\partial y^2}$$

degenerate case:

$$A = B = C = 0. \quad \text{plane } z = 0.$$

$AC - B^2 = 0$ . ( $A^2 + B^2 + C^2 \neq 0$ ). parabolic cylinder.

non-degenerate case

$AC - B^2 > 0$ . elliptic paraboloid.

$AC - B^2 < 0$ . hyperbolic paraboloid.

## §12. Classification of Points on a Surface.

对每一个点， osculating paraboloid 存在且唯一。可以用其性质对曲面上的点进行分类。

elliptic point.

-

osculating paraboloid.

elliptical.

hyperbolic point.

-

hyperbolic

parabolic point.

-

parabolic cylinder (degenerates)

umbilical point.

-

plane

(degenerates)

## §13. Envelope of a Family of Surface.

Def 4.21 Suppose  $\{S_{\alpha, \beta}\}$  is a family of smooth surface depending on 1 or 2 parameters. A surface  $F$  is the envelope of the family if TFAS:

1.  $\forall P \in F. \exists S_{\alpha, \beta} \in \{S_{\alpha, \beta}\}. S_{\alpha, \beta}$  tangent to  $F$  at point  $P$ .
2.  $\forall S_{\alpha, \beta} \in \{S_{\alpha, \beta}\}. \exists P \in F. S_{\alpha, \beta}$  tangent to  $F$  at point  $P$ .
3. no surface in  $\{S_{\alpha, \beta}\}$  has a region common with  $F$ .

To simplify, some auxiliary assumption:

$\forall P \in F$ .  $F$  is the envelope, we can specify a region  $G_P$ , s.t.

(1)  $\forall Q \in F. Q$  near  $P$ . only one surface in  $\{S_{\alpha, \beta}\}$  can be found having parameter belonging to  $G_P$ .

(2).  $F(u, v)$ . - arbitrary smooth para. of  $F$ ;  $a(u, v), b(u, v)$  are parameter of surface (in  $\{S_{\alpha, \beta}\}$ , tangent to  $F$  at  $(u, v)$ ). then  $a(u, v), b(u, v)$  are smooth func. of  $u, v$ .

### Thm 4.11. (Envelope of a one-parameter family of surface).

Let  $\{F_a\}$  be a family of smooth surfaces parametrized by a real parameter  $a$ , defined by implicit equ.  $\varphi(x, y, z, a) = 0$ .  $\varphi$ -smooth of  $x, y, z, a$ .

If a smooth surface  $F$  is the envelope of the family, then  $\nabla(x, y, z)$  on  $F$ .

$\exists$  a value of  $a$ . s.t.  $\begin{cases} \varphi(x, y, z, a) = 0 \\ \varphi_a(x, y, z, a) = 0 \end{cases}$  联立消去  $a$ . 解得可溶的隐式方程.

Remark: 1st equ.  $(x, y, z)$  lies on  $F_a$ .

2nd equ.  $F_a$  is tangent to envelop  $F$ . at  $(x, y, z)$

e.g. A family of sphere:  $(x-a)^2 + y^2 + z^2 - R^2 = 0$ .

$$\begin{cases} (x-a)^2 + y^2 + z^2 - R^2 = 0 \\ -2(x-a) = 0 \end{cases} \Rightarrow y^2 + z^2 = R^2. \quad \text{注意这里不存在对 } x \text{ 的限制. } x=a. \text{ cylinder of radius } R. a \text{ 是参数.}$$

Thm 4.12. (Envelope of a two-parameter family of surface).

Let  $\{\mathcal{G}_{a,b}\}$ : 2-parameter. smooth. surface. defined by  $\varphi(x, y, z, a, b) = 0$ .

The envelope  $F$  of this family s.t.  $\begin{cases} \varphi(x, y, z, a, b) = 0 \\ \varphi_a(x, y, z, a, b) = 0 \\ \varphi_b(x, y, z, a, b) = 0 \end{cases}$

e.g. A family of sphere:  $(x-a)^2 + (y-b)^2 + z^2 = R^2$   $R$ -fixed radius.

$$\begin{cases} (x-a)^2 + (y-b)^2 + z^2 = R^2 \\ -2(x-a) = 0 \\ -2(y-b) = 0 \end{cases} \Rightarrow z = \pm R. \quad 2 \text{ planes.}$$

### § 14. Envelope of a Family of Planes.

Suppose  $F$ . envelope of 1-parameter family plane  $\{\Pi_a\}$ .

Thm 4.13. If the 1-parameter family of planes.  $\Pi_a: \varphi(x, y, z, a) = 0$

The envelope  $F$ :  $\begin{cases} \varphi(x, y, z, a) = 0 \\ \varphi_a(x, y, z, a) = 0 \end{cases}$

The envelope  $F$  is a **developable surface**, which is one of the following:

(1) cylindrical surface -  $\{\Pi_a\}$ . parallel to a fixed direction.

(2) conical surface -  $\{\Pi_a\}$ . pass through a fixed point.

(3) tangent surface to a space curve. -  $\{\Pi_a\}$ . tangent to a curve in space.

e.g. (1). cylindrical.  $\Pi_a: x \cos a + y \sin a = 1$ ,  $F: x^2 + y^2 = 1$ . - cylinder  


(2) conical  $\Pi_a: x \cos a + y \sin a + z \tan a = 0$  through  $(0, 0, 0)$

$F$ : a cone, vertex at  $(0, 0, 0)$

(3) tangent surface  $\Pi_a: x \cos a + y \sin a + z a = 0$ . tangent to helix.

$F$ : tangent surface to the helix.

# Chapter 5 First Quadratic Form of a surface.

$\Phi$ - regular surface.  $\vec{r} = \vec{r}(u, v)$  - arbitrary regular surface.

$\vec{n}$  - unit normal vector at  $(u, v)$ .

three quadratic form  $d\vec{r}^2$ ,  $-d\vec{r} \cdot d\vec{n}$ ,  $d\vec{n}^2$

(1)  $I = d\vec{r}^2$  - positive definite.

$d\vec{r}^2 = 0 \Leftrightarrow d\vec{r} = \vec{r}_u du + \vec{r}_v dv = 0$ .  $\vec{r}_u \times \vec{r}_v \neq 0$ , thus only if  $du = dv = 0$ .

denote  $\vec{r}_u^2 = E$ .  $\vec{r}_u \cdot \vec{r}_v = F$ .  $\vec{r}_v^2 = G$ . (coefficients of the 1st fundamental form)  
 $\Delta E, F, G$  本身全隨變數而變化.

$\hookrightarrow$  1st fundamental form of the surface

## §1. Length of a curve on a surface.

Def 5.1. Let  $\Phi$ - simple surface.  $\gamma$ -curve. We say  $\gamma$  lies on  $\Phi$  if every point of  $\gamma$  is also a point of  $\Phi$ .

Let  $P_0 \in \Phi \cap \gamma$ . (suppose  $\gamma$  lies on  $\Phi$ )

-  $\Phi$  parametrised near  $P_0$  as  $\vec{r}_\Phi = \vec{r}_\Phi(u, v)$ , with  $P_0 := \vec{r}_\Phi(u_0, v_0)$ .

-  $\gamma$  parametrised near  $P_0$  as.  $\vec{r}_\gamma = \vec{r}_\gamma(t)$ . with  $P_0 := \vec{r}_\gamma(t_0)$

For  $|t - t_0| < \delta$ . (sufficiently small). each  $P(t)$  of  $\gamma$  lies in the parametrised neighborhood of  $P_0$  on  $\Phi$ . Thus,  $\exists! (u(t), v(t))$  s.t.  $\vec{r}_\gamma(t) := \vec{r}_\Phi(u(t), v(t))$

Def 5.2. The equation  $\begin{cases} u = u(t) \\ v = v(t) \end{cases}$  is the equations of the curve  $\gamma$  on surface  $\Phi$ .

Thm 5.1. (Regular curves on regular surface).

$\Phi$ -regular. with  $\vec{r}_\Phi(u, v)$ .  $\gamma$ -regular with  $\vec{r}_\gamma(t)$ .

Suppose in a neighborhood of  $P \in \Phi$ . s.t:

•  $\vec{r}_\Phi$  is regular :  $\vec{r}_u \times \vec{r}_v \neq 0$  for all  $(u, v)$  in a neighborhood of  $P$ .

•  $\vec{r}_\gamma$  is regular :  $\vec{r}'_\gamma(t) \neq 0$ . for all  $t$  near  $t_0$  where  $\vec{r}_\gamma(t_0) = P$ .

If  $\gamma$  lies on  $\Phi$ . then locally  $\vec{r}_\gamma(t) = \vec{r}_\Phi(u(t), v(t))$ . and  $(u'(t))^2 + (v'(t))^2 \neq 0$ .

Remark: this ensures the regularity of reparametrization.

the curve  $(u(t), v(t))$  in parameter domain is non-singular.

In above notation, the arc length of  $r$  between points  $P_0 = \vec{r}(u(t_0), v(t_0))$  and  $P = \vec{r}(u(t), v(t))$  is.

$$S(t_0, t) = \int_{t_0}^t \left\| \frac{d\vec{r}}{dt} \right\| dt = \int_{t_0}^t \sqrt{E \cdot u'(t)^2 + 2F u'(t)v'(t) + G v'(t)^2} dt = \int \left( d\vec{r}(u, v) \right) dt$$

$$= \int \sqrt{I} \quad \Delta \text{ 1st quadratic form 定义了曲面上的一个度量.}$$

$\Delta$  要想求曲面上的曲线/弧长，B. 需要知道曲面的 E, F, G.

Def 5.3.  $\sqrt{I}$  is the line element.

$\Delta$  first quadratic form 不能唯一确定曲面；但一般地， $\forall$  曲面，不存在一种参数化使其相等。

## § 2. Angle between curves on a surface

Def 5.4. A direction on a regular surface  $\Phi$ , parametrised by  $\vec{r}(u, v)$  is determined by a tangent vector in tangent plane at  $P \in \Phi$ :  $d\vec{r} = \vec{r}_u du + \vec{r}_v dv$ .

Remark:  $(du:dv)$  - ratios of parameter changes (invariant under scaling).  
 $d\vec{r}$  - explicitly defines the direction.

Def 5.5. The angle  $\theta$  between 2 directions  $(du_1:dv_1)$ ,  $(du_2:dv_2)$  at  $P$ .  
is the angle between their tangent vectors.

$$d\vec{r}_1 = \vec{r}_u du_1 + \vec{r}_v dv_1 \quad d\vec{r}_2 = \vec{r}_u du_2 + \vec{r}_v dv_2$$

using the 1st fundamental form.

$$d\vec{r}_1 \cdot d\vec{r}_2 = |d\vec{r}_1| \cdot |d\vec{r}_2| \cos \theta.$$

denote  $d\vec{r}_1 \cdot d\vec{r}_2 := I(d_1, d_2)$   $|d\vec{r}_1|^2 := I(d_1)$ ,  $|d\vec{r}_2|^2 := I(d_2)$

$$\cos \theta = \frac{E du_1 du_2 + F (du_1 dv_2 + du_2 dv_1) + G dv_1 dv_2}{\sqrt{E du_1^2 + 2F du_1 dv_1 + G dv_1^2} \sqrt{E du_2^2 + 2F du_2 dv_2 + G dv_2^2}} = \frac{I(d_1, d_2)}{\sqrt{I(d_1)} \cdot \sqrt{I(d_2)}}$$

Def 5.6. Let  $\Phi$  be regular, with  $\vec{r}(u, v)$ .  $\gamma$ -curve on  $\Phi$

- Geometrically: The curve  $\gamma$  has direction  $(du:dv)$  at  $P = \vec{r}(u, v)$ .  
if its tangent vector at  $P$  parallel to  $d\vec{r} = \vec{r}_u du + \vec{r}_v dv$ .

- Parametrically: if  $\gamma$ , parametrised by  $\vec{r}(t) = \vec{r}(u(t), v(t))$ , it's direction at  $P$  is  $(u'(t):v'(t))$ . Since  $\vec{r}'(t) = \vec{r}_u u'(t) + \vec{r}_v v'(t)$ .  
 $\begin{cases} u'(t) = u(t) \frac{dt}{dt} \\ v'(t) = v(t) \frac{dt}{dt} \end{cases}$  2个意义一致。

Def 5.7 (Angle between 2 curve on a surface).

$\gamma, \bar{\gamma}$  - regular curves on a surface  $\Phi$  parametrized by  $\vec{r}(u, v)$ , intersecting at a point  $P = \vec{r}(u_0, v_0)$ . The angle between  $\gamma$  and  $\bar{\gamma}$  at  $P$  is the angle  $\theta$  between their tangent vectors in tangent plane  $T_P \Phi$ .

If  $\gamma = \vec{r}(u(t), v(t)) = \vec{r}(\bar{u}(\tau), \bar{v}(\tau))$ .

there tangent vector :  $T_1 = \vec{r}_u \cdot u'(t) + \vec{r}_v \cdot v'(t) \quad T_2 = \vec{r}_u \bar{u}'(\tau) + \vec{r}_v \bar{v}'(\tau)$

$$\text{the angle : } \cos \theta = \frac{E u' \bar{u}' + F(u' \bar{v}' + \bar{u}' v') + G v' \bar{v}'}{\sqrt{E u'^2 + 2Fu'v' + Gv'^2} \cdot \sqrt{E \bar{u}'^2 + 2F\bar{u}'\bar{v}' + G\bar{v}'^2}}$$

(the angle not depend on parametrization of  $\gamma, \bar{\gamma}, \Phi$ ).

### §3. Coordinates Curve

Def 5.8. Let  $\Phi$  be a regular surface with  $\vec{r}(u, v)$ ,  $(u, v) \in$  open domain in  $\mathbb{R}^2$ .

• The  $u$ -curve ( $v$ -const. curve) is obtained by fixing  $v = v_0$ :  $\vec{r}(u, v_0)$ ,

(with tangent vector  $\vec{r}_u = \frac{\partial \vec{r}}{\partial u}$ )

• The  $v$ -curve ( $u$ -const. curve) is obtained by fixing  $u = u_0$ :  $\vec{r}(u_0, v)$

(with tangent vector  $\vec{r}_v = \frac{\partial \vec{r}}{\partial v}$ ).

Property : (1) the coordinate net is the grid formed by  $u$ - and  $v$ -curves,

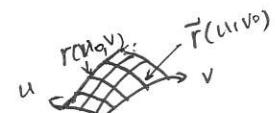
(2)  $\vec{r}_u, \vec{r}_v$  span. tangent plane at each plane.

(3). the curves are regular (no- self-intersections or cusps),  
if  $\vec{r}_u \times \vec{r}_v \neq \vec{0}$

(4). the coordinate net is orthogonal if  $\vec{r}_u \cdot \vec{r}_v = 0$ .

e.g. spherical coordinates  $\vec{r}(\theta, \varphi)$ .

$\theta$ -curve longitudes.  $\varphi$ -curve latitudes. (球坐标. 经纬线相互垂直).



Thm 5.2. (Orthogonality of coordinate curve)

$\Phi$ -regular with  $\tilde{F}(u,v)$ , 1st fundamental coef. E,F,G. TFAE:

(1) The coordinate curves are orthogonal.

(2)  $F$  vanishes identically.

$$\Rightarrow F(u,v) = \tilde{F}_u \cdot \tilde{F}_v = 0.$$

(3).  $\tilde{F}_u, \tilde{F}_v$  are orthogonal at every point.  $\Rightarrow \forall u,v \in D$  - parameter domain

(4). 1st fundamental form is diagonal:  $I = E du^2 + G dv^2$ .

Thm 5.3. (Existence of Orthogonal Parametrization)

$\Phi$ -regular of class  $C^k$  ( $k \geq 1$ ).  $\forall P_0 = \tilde{F}(u_0, v_0)$  on  $\Phi$ .

$\tilde{F}_t$  - smooth family of curves defined near  $P_0$  by  $\psi(u,v) = \text{const.}$  with  $\nabla \psi|_{P_0} \neq 0$ ,

there exists: (1)  $U_{P_0} \subset \Phi$ .

(2). A regular  $C^k$  reparametrization  $\tilde{F}^*(s,t)$  of  $\tilde{F}|_{U_{P_0}}$  s.t.

i)  $\psi = \text{const.}$  become  $s$ -curve (i.e.  $t = \text{const.}$ )

ii) the orthogonal trajectories become  $t$ -curve (i.e.  $s = \text{const.}$ )

iii) the new parametrization satisfy  $F^* = 0$ .

(the new 1st fundamental form:  $I^* = E^* ds^2 + G^* dt^2$ ).

## §4. Surface area.

$F$  - smooth surface  $G \subseteq F$ . - region bounded by a finite number of p.w. smooth curves.  
 $G \xrightarrow{\text{subregion}} \{g\} \xrightarrow{\text{projection to tangent plane}} \{\bar{g}\}$

Def 5.9. The area of the region  $G$  on the surface  $F$  is defined as the limit of the sum of the areas  $A(\bar{g})$ ,  $A(G) = \lim_{\text{diam}(g) \rightarrow 0} \sum A(\bar{g})$

### Thm 5.4. (Surface area of a parametrized surface)

Let  $\vec{r}(u,v) = (x(u,v), y(u,v), z(u,v))$ , - smooth para. of  $F$ .

Assume  $\vec{r}_u \times \vec{r}_v \neq \vec{0}$  (i.e. surface is regular).  $G \subseteq F$ .  $\tilde{G}$  - corresponding region in the  $u-v$  plane. Then  $A(G) = \iint_G |\vec{r}_u \times \vec{r}_v| du dv$ .

小区域  $g$ . 投影在切平面上是平行四边形。  
面积为  $|\vec{r}_u \times \vec{r}_v| \Delta u \Delta v$ .  $\frac{\vec{r}_u}{\vec{r}_v}$ .

Remark:  $|\vec{r}_u \times \vec{r}_v| = \sqrt{E^2 + F^2 - G^2} = \sqrt{EG - F^2}$ .  $\rightarrow$  面积仅由 1st-fundamental form 确定

$$A(G) = \iint_{\tilde{G}} \sqrt{EG - F^2} du dv.$$

## §5. Conformal mapping.

Def 5.10. Let  $\Phi_1$  and  $\Phi_2$  be regular surfaces in  $\mathbb{R}^3$ . A mapping  $f: \Phi_1 \rightarrow \Phi_2$  is conformal if:

- (1)  $f$  is bijective
- (2)  $f$  is smooth
- (3)  $f$  preserves angle between curves

Remark of (3):  $\forall r_1, r_2 \subseteq \Phi_1$ ,  $r_1 \cap r_2 \ni p$ . the angle  $\theta$  between their tangent vectors at  $p$ . = the angle between  $f(r_1)$  and  $f(r_2)$  at  $f(p)$ .

Thm 5.5.  $\Phi_1, \Phi_2$  - regular.  $p_1 \in \Phi_1$ .  $p_2 \in \Phi_2$ . with  $\vec{r}_1(u,v)$  in  $U_{p_1}$ .  $\vec{r}_2(u,v)$  in  $U_{p_2}$ . where  $p_1 = \vec{r}_1(u_0, v_0)$ .  $p_2 = \vec{r}_2(u_0, v_0)$ . in these parametrization.

$\frac{E_1}{E_2} = \frac{F_1}{F_2} = \frac{G_1}{G_2} = \lambda > 0$ , then  $f: \Phi_1 \rightarrow \Phi_2$ .  $f(\vec{r}_1(u,v)) = \vec{r}_2(u,v)$  is conformal (in  $U_{p_1}$ ).

△若  $\lambda$  不等于 1, 则曲面的 1st fundamental form 成比例 (一个是一个的常数倍), 可说明其形性.

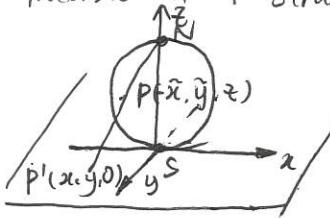
Thm 5.6.  $\Phi_1, \Phi_2$ -regular.  $\forall P_1 \in \Phi_1, \forall P_2 \in \Phi_2$ . Then there exists a conformal mapping of some  $U_{P_1}$  on  $\Phi_1$  onto some  $U_{P_2}$  on  $\Phi_2$ .

△与5.5相比. 反说明在  $P_1, P_2$  的邻域内这样的共形映射总是存在的.

## § 6. Stereographic Projection.

Def 5.11. Let  $S^2$  be a sphere of radius  $R$  centered at the point  $(0, 0, R)$  in three-dimensional Euclidean space. The stereographic projection of  $S^2$  onto its equatorial plane ( $xy$ -plane) is a mapping defined as:

1. Projection point: Let  $N = (0, 0, 2R)$  - north pole;  $S = (0, 0, 0)$  - south pole.
2. Mapping:  $\forall P \in S^2$  and  $P \neq N$ . the stereographic projection  $P'$  is the intersection of straight line  $PN$  and  $xy$ -plane.



3. formulas.

$$P': \begin{cases} x = \frac{2R\tilde{x}}{2R-\tilde{z}} \\ y = \frac{2R\tilde{y}}{2R-\tilde{z}} \end{cases}$$

$$P: \begin{cases} \tilde{x} = \frac{4R^2x}{x^2+y^2+4R^2} \\ \tilde{y} = \frac{4R^2y}{x^2+y^2+4R^2} \\ \tilde{z} = \frac{2R(x^2+y^2)}{x^2+y^2+4R^2} \end{cases}$$

Remark: the stereographic projection is a way to "flatten" the sphere onto a plane.  
 equator (赤道)  $\rightarrow$  circle with radius  $2R$ .  
 Southern hemisphere  $\rightarrow$  in the circle. northern  $\rightarrow$  exterior.

Thm 5.7 The stereographic projection of a sphere  $S^2$  of radius  $R$ , centre at  $(0, 0, R)$  onto the  $xy$ -plane is a conformal mapping. Specially:

- it preserves the angles between intersecting curves on the sphere.  
 (if  $r_1, r_2$  intersects at  $P$ , denote stereographic projection be  $f$ .  $f(r_1), f(r_2)$  intersects at  $f(P)$ .  $r_1, r_2$  and  $f(r_1), f(r_2)$  has same angle )
- it's a bijective mapping between points on the sphere and points on the plane (except  $N$ )
- 1st-quadratic form of the plane is a scalar multiple of the 1st quadratic form of the sphere ( $ds_{\text{plane}}^2 = dx^2 + dy^2$     $ds_{\text{sphere}}^2 = d\tilde{x}^2 + d\tilde{y}^2 + d\tilde{z}^2 = \frac{16R^4(dx^2+dy^2)}{(x^2+y^2+4R^2)^2}$ )

## §7. Isometric Surfaces

Def 5.12.  $\Phi_1, \Phi_2$  is isometric if exists bijective map  $f: \Phi_1 \rightarrow \Phi_2$  that preserves the length of all curves on the surface.

( $\forall \gamma: [a,b] \rightarrow \Phi_1$ , the length of  $\gamma$  on  $\Phi_1$  = the length of  $f \circ \gamma$  on  $\Phi_2$ ).

Remark 1: length preservation.  $\Rightarrow$  1st fundamental form of 2 surface is same under  $f$ .

Remark 2: isometric surface has same "intrinsic geometry"

Lemma 5.8. Let  $\Phi_1, \Phi_2$  - regular surfaces.  $P_1 \in \Phi_1, P_2 \in \Phi_2; \vec{r}_1(u,v), \vec{r}_2(u,v)$  be regular parametrization of the surfaces in neighborhoods of the points  $P_1, P_2$ .

If the 1st-quadratic form of the surface (corr. to  $\vec{r}_1, \vec{r}_2$ ) are identical. (1st-quadratic form 相等).

Then a mapping  $f: U_{P_1} \rightarrow U_{P_2}$  (on  $\Phi_1, \Phi_2$  respectively). which corresponding the points with same coordinates  $u, v$ . is isometric.

$\Delta f$  将  $\vec{r}_1$  和  $\vec{r}_2$  中坐标同为  $(u,v)$  建立映射.

e.g.  $\Phi_1$ : rectangular region  $x \in (0, \frac{\pi}{2}), y \in (0, 1)$  in  $xOy$  plane

$\Phi_2$ : region on the cylinder.  $x^2 + y^2 = 1, x > 0, y > 0, 0 < z < 1$ .

$\Phi_2$  permits the parametrization  $\begin{cases} x = \cos u \\ y = \sin u \\ z = v \end{cases} \quad \begin{matrix} u \in (0, \frac{\pi}{2}) \\ 0 < v < 1 \end{matrix}$

$$I = du^2 + dv^2.$$

Lemma 5.9 (Parametrization under Isometry).

Let  $\Phi_1, \Phi_2$  be regular isometric surfaces.  $\forall P_1 \in \Phi_1$  in  $U_{P_1}$  we have regular  $\vec{r}_1(u,v)$ . Then there exists a regular  $\vec{r}_2(u,v)$  of  $\Phi_2$  in some  $U_{P_2}$  ( $P_2$  is the corr. point of  $P_1$  under isometry) s.t.:

(1). The points on  $\Phi_1$  and  $\Phi_2$  under the isometry have the same coordinates  $(u,v)$ .

(2). The 1st-fundamental forms  $\Phi_1$  and  $\Phi_2$  corr. to  $\vec{r}_1$  and  $\vec{r}_2$  are identical.

Remark: (1)  $\Leftrightarrow \exists \psi. \vec{r}_2(u,v) = \psi(\vec{r}_1(u,v))$

$$(2) \Leftrightarrow E_1 = E_2, F_1 = F_2, G_1 = G_2.$$

Thm 5.10. Let  $\Phi_1, \Phi_2$  regular.  $\forall P_1 \in \Phi_1, P_2 \in \Phi_2$ .

For some  $U_{P_1}$  be mapped isometrically onto some  $U_{P_2}$  iff. there exists  $\vec{r}_1, \vec{r}_2$  (regular parametrization) of  $U_{P_1}, U_{P_2}$  s.t. the 1st-fundamental form of  $\Phi_1, \Phi_2$  w.r.t.  $\vec{r}_1, \vec{r}_2$  are identical. (i.e.  $E_1 = E_2, F_1 = F_2, G_1 = G_2$ ).

△是 5.8 和 5.9 的总结.

Coro 5.11. Let  $\Phi_1, \Phi_2$  be isometric surface then :

(1) Angles between corr. curves on  $\Phi_1$  and  $\Phi_2$  equals.

(2) Corr. regions on  $\Phi_1, \Phi_2$  have equal areas.

△保角. 保面积均由 1st-fundamental form 相等直接得出.

## §8. Bending on surface.

Def 5.13. A bending of a surface is a continuous deformation of it under which lengths of curves on the surface remain invariant.

## Chapter 6. Second Quadratic Form of a Surface.

$\Phi$ -regular surface.  $\vec{r} = \vec{r}(u, v)$ . regular parametrization of  $\Phi$ .  
 $\vec{n}(u, v)$ . unit normal vector to the surface at the point  $P(u, v)$ .

Def 6.1. The second fundamental form of the surface is a quadratic form defined as  $II = -d\vec{r} \cdot d\vec{n} = L du^2 + 2M du dv + N dv^2$ .

$$L = -\vec{r}_u \cdot \vec{n}_u, \quad M = -\frac{1}{2} (\vec{r}_u \cdot \vec{n}_v + \vec{r}_v \cdot \vec{n}_u), \quad N = -\vec{r}_v \cdot \vec{n}_v$$

§1. Coefficients of 2nd-quadratic form.

Prop 6.1 The coefficients of 2nd-quadratic form can also be written as:

$$L = \vec{r}_{uu} \cdot \vec{n}, \quad M = \vec{r}_{uv} \cdot \vec{n}, \quad N = \vec{r}_{vv} \cdot \vec{n}.$$

Pf: since  $d\vec{r} \cdot \vec{n} = 0 \Rightarrow d(d\vec{r} \cdot \vec{n}) = d^2\vec{r} \cdot \vec{n} + d\vec{r} \cdot d\vec{n} = 0$ .

$$\Rightarrow II = d^2\vec{r} \cdot \vec{n} = \vec{r}_{uu} \cdot \vec{n} du^2 + 2(\vec{r}_{uv} \cdot \vec{n}) du dv + \vec{r}_{vv} \cdot \vec{n} dv^2.$$

Prop 6.2. Explicit formulas for coef:

$$L = \frac{(\vec{r}_{uu}, \vec{r}_u, \vec{r}_v)}{|\vec{r}_u \times \vec{r}_v|}$$

$$(\vec{n} = \frac{\vec{r}_u \times \vec{r}_v}{|\vec{r}_u \times \vec{r}_v|}, \quad \vec{r}_u \times \vec{r}_v = \sqrt{EG - F^2}).$$

$$M = \frac{(\vec{r}_{uv}, \vec{r}_u, \vec{r}_v)}{|\vec{r}_u \times \vec{r}_v|}$$

For explicit expression  $z = z(x, y)$ .

$$N = \frac{(\vec{r}_{vv}, \vec{r}_u, \vec{r}_v)}{|\vec{r}_u \times \vec{r}_v|}.$$

$$L = \frac{z_{xx}}{\sqrt{1+z_x^2+z_y^2}}, \quad M = \frac{z_{xy}}{\sqrt{1+z_x^2+z_y^2}}$$

$$N = \frac{z_{yy}}{\sqrt{1+z_x^2+z_y^2}}$$

The equation of the osculating paraboloid:  $z = \frac{1}{2} (Lx^2 + 2Mxy + Ny^2)$ .

(approximates the surface near a point up to 2nd order).

△ 摆动抛物面, 由 2nd-quadratic form 确定, 衡量曲面的 "local behaviour"

△ 2nd quadratic form 衡量 法向量的变化幅度, 给出了曲率的信息.

- $LN - M^2 > 0$  point is elliptic
- $< 0$  point is hyperbolic
- $= 0$  point is parabolic or umbilic.

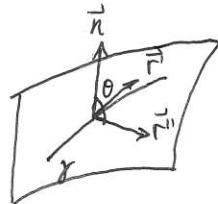
## §2. Curvature of a Curve lying on a Surface.

$\Phi$ - regular with  $\vec{r} = \vec{r}(u, v)$  - regular.  $\gamma$ . be a regular curve on the surface that passes through the point  $P(u, v)$ , and has direction  $(du:dv)$ , at this point.  $\vec{r} = \vec{r}(s)$ . - natural of  $\gamma$ .  $\vec{n}$  - the normal of the surface.  $k$  - curvature of curve,  $\theta$  - angle between principal normal to  $\gamma$  and normal to  $\Phi$ .

$$\vec{r}' \cdot \vec{n} = k \cos \theta. \quad (\vec{r}' \text{ directed along the principal normal to the curve})$$

$$\vec{r}'' \cdot \vec{n} = (\vec{r}_{uu} \cdot \vec{n}) u'^2 + 2(\vec{r}_{uv} \cdot \vec{n}) u'v' + (\vec{r}_{vv} \cdot \vec{n}) v'^2$$

$$\Rightarrow k \cos \theta = \frac{E du^2 + 2F du dv + G dv^2}{E du^2 + 2F du dv + G dv^2} = \frac{II}{I}.$$



( $k \cos \theta$ . only depend on  $P(u, v)$  and the direction of  $\gamma$  at  $P$ ).

denote  $k_0 = k \cos \theta$ . (确定点, 确定方向后, 对任意曲线,  $k_0$  是常数).

Def 6.2. The quantity  $k_0$  is normal curvatura of the surface in given direction  $(du:dv)$ .  $\triangle$  将曲面与一个平面相交所得曲线的曲率. 这个平面垂直于切平面, 方向为  $(du:dv)$ .

$\triangle$  法曲率中的  $\vec{r}'$  是曲线和曲面参数方程的统一:

对曲面  $\vec{r} = \vec{r}(u, v)$ ; 由上面的曲线可以用参数方程  $\begin{cases} u = u(s) \\ v = v(s) \end{cases}$  表示.

因此  $\gamma$  的参数方程为  $\vec{r} = \vec{r}(u(s), v(s)) := \vec{r}(s)$ .

$\gamma$  的 tangent vector:  $\vec{r}'(s) = \frac{d\vec{r}}{ds} = \vec{r}_u \cdot \frac{du}{ds} + \vec{r}_v \frac{dv}{ds}$

$\gamma$  的 principal normal:  $\vec{r}''(s) = \frac{d\vec{r}'}{ds} = \vec{r}_{uu} \cdot \left(\frac{du}{ds}\right)^2 + 2\vec{r}_{uv} \cdot \left(\frac{du}{ds}\right) \cdot \left(\frac{dv}{ds}\right) + \vec{r}_{vv} \cdot \left(\frac{dv}{ds}\right)^2$   
 $+ \vec{r}_u \cdot \underbrace{\frac{d^2u}{ds^2}}_{\text{在曲面切平面上, 与曲面法向面垂直.}} + \vec{r}_v \cdot \underbrace{\frac{d^2v}{ds^2}}_{\text{在 } \vec{r}, \vec{n} \text{ 项中已消.}}$

因为  $|r'(s)|^2 = E \cdot \left(\frac{du}{ds}\right)^2 + 2F \left(\frac{du}{ds}\right) \left(\frac{dv}{ds}\right) + G \left(\frac{dv}{ds}\right)^2 = 1. \Rightarrow I = (ds)^2$ .

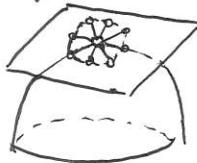
$$\text{有. } k \cos \theta = \frac{II}{I}.$$

Thm 6.3. The normal curvatura of  $\Phi$  at point  $P(u, v)$  in direction  $(du:dv)$ .

is equal to the normal curvature of the osculating paraboloid to  $\Phi$  at  $P$  in the same direction.

$\triangle$  方向  $(du:dv)$  是切方向. 要计算  $(du:dv)$  需要曲面上的曲线方程.

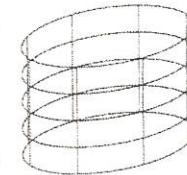
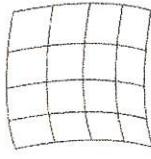
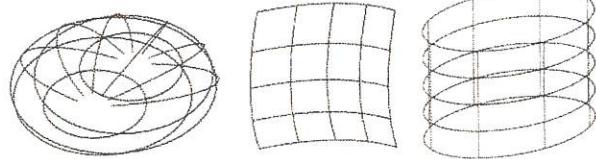
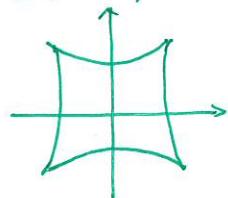
Def 6.3. Let  $P(u,v)$  be a point on surface.  $k_0$  be the normal curvature of the surface at  $P$  in direction  $(du:dv)$ . The **indicatrix curvature (Dupin Indicatrix)**, is the geometric locus of points, by drawing from  $P$  in every direction  $(du:dv)$ , a segment of length  $|k_0|^{1/2}$ .



Thm 6.4. At point  $P$  on surface  $\Phi$ , the indicatrix of curvature is:

- An ellipse - if  $P$  is elliptic point ( $LN - M^2 > 0$ )
- A pair of conjugate hyperbolas - if  $P$  is hyperbolic point ( $LN - M^2 < 0$ )
- A pair of parallel straight line - if  $P$  is parabolic point ( $LN - M^2 = 0$ )

△ conjugate hyperbolas.



corr. surface: ellipsoid-like      saddle-like      cylinder-like  
(locally).       $LN - M^2 > 0$        $LN - M^2 < 0$        $LN - M^2 = 0$

Coro 6.5. Let  $\Phi$  be a surface,  $P(u,v)$  on  $\Phi$ . Let  $V$  be the osculating paraboloid to  $\Phi$  at  $P$ . Then the indicatrix of curvature of  $\Phi$  at  $P$  equal to the indicatrix of curvature of  $V$  at  $P$ .

### §3. Asymptotic Performance of Surfaces

Def 6.4 A direction  $(du:dv)$  on a regular surface at  $P(u,v)$  is called an asymptotic direction if the normal curvature of the surface in this direction vanishes. (i.e.  $L(du)^2 + 2Mdudv + N(dv)^2 = 0$ ).

△求解时解  $du, dv$ .

Remark: elliptic point - no asymptotic directions. ( $\mathbb{II}$  is definite)

hyperbolic point - two distinct asymptotic directions.

parabolic point - exactly one asymptotic direction ( $\mathbb{II}$  is semi-definite)

umbilical point - every direction is asymptotic direction. ( $\mathbb{II}, \mathbb{I}$  fixed proportional)

Def 6.5. A curve on a surface is asymptotic curve if its tangent direction at every point is an asymptotic direction.

Remark: we have the diff. equation of asymptotic curve:  $Ldu^2 + 2Mdudv + Ndv^2 = 0$

trivial e.g. A straight line on the surface.

Thm 6.6. If  $\gamma$  is an asymptotic curve on surface  $S$ , then the osculating plane of  $\gamma$  is tangent to the surface at every point on  $\gamma$ .

这是2簇曲线，分别有切方向  $(du:dv) = (0:1)$  和  $(1:0)$

Thm 6.7. The coordinate curves  $u = \text{const}$  and  $v = \text{const}$  are asymptotic iff.  $L = N = 0$ . Moreover, in some neighbourhood of hyperbolic point on the surface, it's always possible to find a parametrization, s.t. coordinates curves are asymptotic.

△在双曲点的邻域内，渐近方向总是存在且平缓变化。(即可以重新选取局部参数  $(u', v')$ , 使  $L' = N' = 0$ )

Def 6.6.  $\forall P(u,v) \in \Phi$  - regular.  $(du:dv)$  and  $(fu:fv)$  are two directions on the surface at the point  $P$ . and  $q', q''$  are straight lines passing through the point  $P$ . and having direction  $(du:dv)$ ,  $(fu:fv)$ , respectively

Then  $(du:dv), (fu:fv)$  are conjugate directions if the line  $q'$  and  $q''$  are polar conjugate concerning the osculating paraboloid to the  $\Phi$  at  $P$ .

Remark: "polar conjugate for osculating paraboloid"  $\Leftrightarrow Ldfu + M(dfuM + dMfu) + Ndfv = 0$ .

Remark: The diameters of indicatrices curvature with direction  $(du:dv)$  and  $(fu:fv)$  are conjugate diameter.

Def 6.7  $\{\gamma_\alpha\}, \{\gamma_\beta\}$ , two families of curves, on a surface, forming a net.

(that is,  $\forall p \in \Phi$ .  $\exists \gamma_\alpha \in \gamma_\alpha$ ,  $\gamma_\beta \in \gamma_\beta$ , passes through  $p$ ). the net is conjugate net if the curves from different families have conjugate direction at each point.

Remark: if the coordinate net is conjugate net,  $M=0$ . conjugate direction. ( $du:dv$ ). ( $0:dv$ )

Remark: Every asymptotic direction is self-conjugate.

i.e.  $(du:dv)$  - asymptotic direction.

$$\text{normal curvature} = 0 \Rightarrow L du^2 + 2M du dv + N dv^2 = 0$$

Thmb.8,  $\forall p \in \Phi$ .  $p$  is not an umbilical point.  $\Phi$  can be parametrised s.t. the coordinate curves form a conjugate net.

因此, 一条曲线可以是任意的(只要不是渐近的). 总存在对应的另一条曲线. 它们在  $P$  的邻域内可以被参数化成“coordinate curve.”

## §4. Principal directions on a surface.

Def 6.8. The direction  $(du:dv)$  on a surface is called a principal direction if the normal curvature of the surface in this direction attains an extremal value.

即角度. 这一方向与 indicatrix curvature 的对应

Remark: at each point, exactly 2 principal direction. they are orthogonal and conjugate.  $\rightarrow$  umbilical/spherical point.

$$I(d,f) = E du^2 + F du dv + G dv^2 = 0 \quad (\text{orthogonality})$$

$$II(d,f) = L du^2 + M du dv + N dv^2 = 0 \quad (\text{conjugacy})$$

$\Leftrightarrow$  a necessary and sufficient condition for  $(du:dv)$  to be principal

$$\begin{vmatrix} Edu^2 + F du dv & F du + G dv \\ L du + M dv & M du + N dv \end{vmatrix} = 0 \Leftrightarrow \begin{vmatrix} du^2 & -du dv & du^2 \\ E & F & G \\ L & M & N \end{vmatrix} = 0$$

Remark: principal direction not define in two case:

(1) umbilical point.  $L=M=N=0$ , any direction is principal.

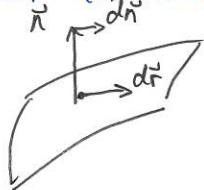
(2) Spherical point. indicatrix curvature is circle.  $\frac{II}{I}$  proportional. any direction is principal

Def 6.9. The normal curvatures corr. to the principal direction are **principal curvature**.  
(也是该曲率的最大和最小值).

Thm 6.9 (Rodrigues's thm.). If  $(d)$  is a principal direction, then  $d\vec{n} = -k d\vec{r}$ .  
 $k$  is the normal curvature of the surface in direction  $(d)$ .

Conversely, if  $d\vec{n} = \lambda d\vec{r}$  in the direction  $(d)$ , then  $(d)$  is a principal direction

Remark:  $(d)$  means  $(du, dv)$ .



$$d\vec{r} = \vec{r}_u du + \vec{r}_v dv.$$

$$d\vec{n} = \vec{n}_u du + \vec{n}_v dv$$

## § 5. Lines of Curvature.

Def 6.10. A curve on a surface is a **line of curvature** if its direction at every point aligns with a principle direction of the surface.

The D.E. of lines of curvature:

$$(EM - FL) du^2 + (EN - GL) du dv + (FN - GM) dv^2 = 0.$$

or

$$\begin{vmatrix} dv^2 & - du dv & du^2 \\ F & G & H \\ L & M & N \end{vmatrix} = 0$$

Remark: if the surface and the parametrization s.t.

coordinates curve  $\Leftrightarrow$  lines of curvature, then  $F=0$  and  $M=0$ .

△实际上若P不是spherical / umbilical (即2个principal direction不相等).

在  $U_p$  上总存在使 coordinates curve  $\Rightarrow$  lines of curvature 等价的参数化.

Thm 6.10. If 2 surface intersects along some curve  $r$  under a const. angle.  
and if this curve is line of curvature on one surface, then it will also be the line of curvature on the other surface.

△ intersects under a const. angle  $\Leftrightarrow \vec{n}_1, \vec{n}_2$  曲面法向量.  $\vec{n}_1 \cdot \vec{n}_2 = \text{const.}$  or  $d(\vec{n}_1, \vec{n}_2) = 0$ .

Coro 6.11. If a sphere (or a plane), intersects any surface at a const. angle  
then the intersection curve is a line of curvature.

△球面/平面上任一曲线是 "lines of curvature".

## §6. Mean and Gaussian curvature of a surface.

Thm 6.12. (Euler's formula for normal curvature).

Given a surface  $\Phi$  and  $P \in \Phi$ , the normal curvature  $k_\theta$  in any direction can be determined using principal curvatures  $k_1$  and  $k_2$  of  $\Phi$  and angle  $\theta$  ( $\theta = \langle \text{chosen direction}, \text{a principal direction} \rangle$ ).

Euler formula:  $k_\theta = k_1 \cos^2 \theta + k_2 \sin^2 \theta$ .

Thm 6.13. The principal curvatures  $k_1, k_2$  are the roots of the equation:

$$\begin{vmatrix} L - kE & M - kF \\ M - kF & N - kG \end{vmatrix} = 0.$$

△等价于求  $S = I^T II$  的特征值.  $\frac{1}{(EG-F^2)} \begin{pmatrix} G & -F \\ -F & E \end{pmatrix} \begin{pmatrix} L & M \\ M & N \end{pmatrix}$ . S-shape operator

Def 6.11. The mean curvature  $H$  of a surface is  $H = \frac{1}{2}(k_1 + k_2)$ .

Def 6.12. The Gaussian curvature  $K$  of a surface is  $K = k_1 k_2$ .

Formula:  $H = \frac{1}{2} \frac{LG - 2MF + NE}{EG - F^2}$        $K = \frac{LN - M^2}{EG - F^2}$ .

Property (1) mean curvature (用 Euler formula 易证).

$$\nexists \# \theta. H = \frac{1}{2} (k_\theta + k_{\theta+\frac{\pi}{2}}).$$

$$\nexists \# \theta. H = \frac{1}{2\pi} \int_0^{2\pi} k_\theta d\theta.$$

(2) Gaussian curvature, the sign classify the points.

$K > 0$  Elliptic point.

$K < 0$  Hyperbolic point.

$K = 0$   $\begin{cases} H = 0, \text{ umbilical point.} \\ H \neq 0, \text{ parabolic point.} \end{cases}$

Def 6.13. (Spherical image).

$M \subseteq \Phi$ .  $\forall P \in M$ .  $\vec{n}(P)$  - unit normal vector.  $M'$  - spherical image (Gauss map):  
 $M' = \{ \vec{\epsilon}(P) - \text{tip of } \vec{n}(P) \} \subseteq S^2$  - unit sphere.

Thm 6.14. (Gauss theorem).

Let  $O$  be a point on a surface, and let  $G$  be a region on the surface.  $O \in G$ .  
We have.  $\frac{A(G')}{A(G)} \rightarrow |K(O)|$  as " $G$  shrinks to  $O$ ".

Idea:  $G'$  (spherical image)  $\vec{r} = \vec{n}(u, v)$ . (unit normal vector).

$$A(G') = \iint_G |\vec{n}_u \times \vec{n}_v| du dv \quad \begin{cases} \vec{n}_u = -k_1 \vec{r}_u \\ \vec{n}_v = -k_2 \vec{r}_v \end{cases}$$
$$|\vec{n}_u \times \vec{n}_v| = |k_1 k_2| |\vec{r}_u \times \vec{r}_v|.$$

△ 特殊情况. (每个非脐点的邻域内可存在这样的参数录  $(u, v)$ ):

$r_u, r_v$  是彼此正交的主方向  $\Leftrightarrow$  曲率网是  $u$ -曲线和  $v$ -曲线网  $\Leftrightarrow f = M = 0$ .

$\Leftrightarrow$  两个基本形式:  $\begin{cases} I = E (du)^2 + G (dv)^2 \Rightarrow \text{主曲率} \begin{cases} k_1 = \frac{L}{E} \\ k_2 = \frac{N}{G} \end{cases} \\ II = k_1 E (du)^2 + k_2 G (dv)^2 \end{cases}$

# Chapter 7. Fundamental Equations of the Theory of Surface.

## §. 1. Gauss Formula.

Gaussian curvature.

w.r.t. II.  $K = \frac{LN - M^2}{EG - F^2}$

w.r.t. I.  $K = \dots$

coro 7.1. Isometric surfaces have same  $K$ . at corr. points.

$K$  is invariant under "bending".

coro 7.2. Developable surface  $K=0$ . everywhere.

(locally isometric to a plane).

coro 7.3. I and II of a surface do not independent.

## §2. Natural Frame.

$\{\Gamma_u, \Gamma_v, n\}$  - basis. - 与参数有关, 且一般来说不正交.

$$\begin{cases} \vec{\Gamma}_{uu} = T_{11}^1 \vec{\Gamma}_u + T_{11}^2 \vec{\Gamma}_v + \lambda_{11} \vec{n} \\ \vec{\Gamma}_{uv} = T_{12}^1 \vec{\Gamma}_u + T_{12}^2 \vec{\Gamma}_v + \lambda_{12} \vec{n} \\ \vec{\Gamma}_{vv} = T_{22}^1 \vec{\Gamma}_u + T_{22}^2 \vec{\Gamma}_v + \lambda_{22} \vec{n} \\ \vec{n}_u = \alpha_{11} \vec{\Gamma}_u + \alpha_{12} \vec{\Gamma}_v + \alpha_{10} \vec{n} \\ \vec{n}_v = \alpha_{21} \vec{\Gamma}_u + \alpha_{22} \vec{\Gamma}_v + \alpha_{20} \vec{n} \end{cases}$$

- coefficients.  $\alpha, \lambda$ .

(1)  $\alpha_{10} = \alpha_{20} = 0$ .  $\vec{n}$ . unit length.  $\vec{n} \cdot \vec{n}_u = \frac{1}{2} (\vec{n}')_u = 0$ .

(2).  $\alpha_{11} = \frac{-LG + MF}{EG - F^2}$        $\alpha_{21} = \frac{NF - MG}{EG - F^2}$

$\alpha_{12} = \frac{LF - ME}{EG - F^2}$        $\alpha_{22} = \frac{-NE + MF}{EG - F^2}$

$n_u \cdot \Gamma_u + n \cdot \Gamma_{uu} = \frac{\partial(n \cdot \Gamma_u)}{\partial u} = 0 \Rightarrow n_u \cdot \Gamma_u = -L$ .

$n_u \cdot \Gamma_v + n \cdot \Gamma_{uv} = \frac{\partial(n \cdot \Gamma_v)}{\partial u} = 0 \Rightarrow n_u \cdot \Gamma_v = -M$ .

(3)  $\lambda_{11} = L$ ,  $\lambda_{12} = M$ ,  $\lambda_{13} = N$

## - Christoffel Symbols.

$$\begin{cases} T'_{11} E + T''_{11} F = \frac{1}{2} Eu \\ T'_{11} F + T''_{11} G = Fu - \frac{1}{2} Ev. \end{cases}$$

$$\begin{cases} T'_{12} E + T''_{12} F = \frac{1}{2} Ev \\ T'_{22} F + T''_{12} G = \frac{1}{2} Gu \end{cases} \Rightarrow$$

$$\begin{cases} T'_{22} E + T''_{22} F = Fv - \frac{1}{2} Gu \\ T'_{22} F + T''_{22} G = \frac{1}{2} Gv. \end{cases}$$

If  $I = Edu^2 + G dv^2$  (i.e.  $F=0$ )

$$\begin{cases} T'_{11} = \frac{1}{2} \cdot \frac{Eu}{E} & T''_{11} = -\frac{1}{2} \cdot \frac{Ev}{E} \\ T'_{12} = \frac{1}{2} \cdot \frac{Ev}{E} & T''_{12} = \frac{1}{2} \cdot \frac{Gu}{G} \\ T'_{22} = -\frac{1}{2} \cdot \frac{Gu}{E} & T''_{22} = \frac{1}{2} \cdot \frac{Gv}{G}. \end{cases}$$

## Def 7.1. Gauss - Peterson - Codazzi condition.

$Edu^2 + 2F du dv + G dv^2$ ,  $Ldu^2 + 2M du dv + Ndv^2$ : two arbitrary quadratic forms. the first is positive definite. the following 3 conditions:

(1) Gauss formula.

$$\frac{LN - M^2}{EG - F^2} = K(E, F, G)$$

(2). 1st Peterson - Codazzi formula

$$(EG - 2FF + GE)(Lv - Mu) - (EN - 2FM + GL)(Ev - Fu) + \begin{vmatrix} E & Eu & L \\ F & Fu & M \\ G & Gu & N \end{vmatrix} = 0$$

(3) 2nd Peterson - Codazzi formula.

$$(EG - 2FF + GE)(Mv - Nu) - (EN - 2FM + GL)(Fv - Gu) + \begin{vmatrix} E & Ev & L \\ F & Fv & M \\ G & Gv & N \end{vmatrix} = 0.$$

Remark: these conditions ensure the compatibility of I and II. for the existence of a surface.

let  $\Delta = EG - F^2$ .

$$\begin{cases} T'_{11} = \frac{1}{2\Delta} (GEu - 2FFu + FEv) \\ T''_{11} = \frac{1}{2\Delta} (2EFu - EEv - FEu) \end{cases}$$

$$\begin{cases} T'_{12} = \frac{1}{2\Delta} (GEv - FGu) \\ T''_{12} = \frac{1}{2\Delta} (EGu - FEv). \end{cases}$$

$$\begin{cases} T'_{22} = \frac{1}{2\Delta} (2GFv - GGv - FGv) \\ T''_{22} = \frac{1}{2\Delta} (EGv - 2FFv + FGv). \end{cases}$$

Peterson-Codazzi equation. (simplified using Christoffel symbol relate L, M, N)

$$\frac{\partial L}{\partial V} - \frac{\partial M}{\partial U} = L T_{12}^1 + M (T_{12}^2 - T_{11}^1) - N T_{11}^2$$

$$\frac{\partial M}{\partial V} - \frac{\partial N}{\partial U} = L T_{22}^1 + M (T_{22}^2 - T_{12}^1) - N T_{12}^2$$

Thm 7.4. (Bonnet's thm.).

Let I, II, be two arbitrary quadratic forms. I is p.d. if coef. of I and II satisfy the Gauss-Peterson-Codazzi conditions. then there exists a surface unique up to its position in space. I, II are its first and second fundamental forms.



## Chapter 8. Intrinsic Geometry of Surface.

"property" - only depend on the length of the curves on the surface.  
(for regular surface, depend on "I").

"aspects" - lengths of the curve, angle between curves, areas of region,  $K$ .

### §1. Geodesic curvature of a curve on a surface.

$\Phi$  - regular surface.  $\gamma$  on  $\Phi$ .  $P \in \gamma$ ,  $\alpha$  - tangent plane to  $\Phi$  at  $P$ .

$\tilde{\gamma}$  - projecting a small neighborhood of  $P$  on  $\gamma$  ( $U_P \cap \gamma$ ) onto to  $\alpha$ . (a curve on  $\alpha$ )

Def 8.1. The geodesic curvature of  $\gamma$  at  $P$  is the curvature of  $\tilde{\gamma}$  at  $P$ .

Thm 8.1. (Meusnier's thm).

Suppose  $n$  - geodesic curvature of  $\gamma$  on  $S$ , and  $k_0$  - normal curvature.

The total curvature  $k = \sqrt{n^2 + k_0^2}$ . (注意和曲率的定义区别, 受参数化影响).

Remark: "orthogonal decomposition" - tangential (geodesic) and normal components.

Def 8.2. curvature vector  $\vec{k}(t) := \frac{d^2\vec{\gamma}}{dt^2} = \vec{\gamma}_{uu} \left( \frac{du}{dt} \right)^2 + 2\vec{\gamma}_{uv} \left( \frac{du}{dt} \frac{dv}{dt} \right) + \vec{\gamma}_{vv} \left( \frac{dv}{dt} \right)^2 + \vec{\gamma}_u \left( \frac{d^2u}{dt^2} \right) + \vec{\gamma}_v \left( \frac{d^2v}{dt^2} \right)$ .

Remark: If  $\gamma$ -parametrised with arc length.  $\|\vec{k}(s)\|$  = curvature.

$$\vec{k}(t) = \vec{k}_{tan} + \vec{k}_{norm}. \quad \vec{k}_{norm} = (\vec{E} \cdot \vec{n}) \cdot \vec{n}$$

$$k = \|\vec{k}_{tan}\|. \quad k_0 = \|\vec{k}_{norm}\|. \quad k = \sqrt{\|\vec{k}_{tan}\|^2 + \|\vec{k}_{norm}\|^2}$$

Remark:  $k = k \cos \vartheta$   $\vartheta = \langle \text{principal normals of } \gamma \text{ and } \tilde{\gamma} \rangle$ .

conclusion:

$\tilde{\gamma} = \vec{\gamma}(s)$  - natural para.  $n = (n, \vec{\gamma}, \vec{\gamma}'')$

$\tilde{\gamma} = \vec{\gamma}(t)$  - arbitrary para.  $n = \frac{1}{\|\vec{\gamma}'\|^2} (n, \vec{\gamma}', \vec{\gamma}'')$ .

$\tilde{\gamma} = \vec{\gamma}(u, v)$  - surface para.  $\begin{cases} u = u(t) \\ v = v(t) \end{cases} - \gamma$ . where  $\begin{cases} A = T_{11}^1 u'^2 + 2T_{12}^1 u'v' + T_{22}^1 v'^2 \\ B = T_{11}^2 u'^2 + 2T_{12}^2 u'v' + T_{22}^2 v'^2 \\ C = L u'^2 + 2M u'v' + N v'^2 \end{cases}$

$$n = \frac{\sqrt{EG - F^2}}{(E u'^2 + 2Fu'v' + Gv'^2)^{3/2}} (u''v' - v''u' + Av' - Bu').$$

## §2. Geodesic curves on a surface.

Def 8.3. A curve on a surface is **geodesic curve** if its geodesic curvature vanishes at each of its points.

Prop 8.3. (1) Two surface tangent along  $\gamma$ . if  $\gamma$  is geodesic on one surface, then it's geodesic on the other

(2)  $\gamma$  is geodesic, then the osculating plane of  $\gamma$  is perpendicular to the tangent plane of the surface, at every point (where the curvature of  $\gamma$  does not vanish)

Remark: (2), is because, the principal normal of  $\gamma \Leftrightarrow$  surface normal

DE. of geodesics:  $u''v' - v'u' + Av' - Bu' = 0$  若全  $v = v(u)$ .

$$( \text{where } A = T_{11}' u'^2 + 2T_{12}' u'v' + T_{22}' v'^2 ).$$

$$B = T_{11}^2 u'^2 + 2T_{12}^2 u'v' + T_{22}^2 v'^2 .$$

$$v'' - Av' + B = 0 .$$

注意这里要用链式,  $\frac{dv}{du}, \frac{dv}{dr}$ . 处理  $u'', u'$  不能直接消去.

Thm 8.3. A unique geodesic can be drawn in any direction through every point on a regular surface.

$\forall P(u_0, v_0)$ , direction  $(u'_0; v'_0)$ .

$$\begin{cases} u'' + A = 0 \\ v'' + B = 0 \end{cases} \rightarrow \begin{array}{l} \text{let } u = u(t) \ v = v(t) \text{ be the sol.} \\ \text{s.t. } u(t_0) = u_0 \quad u'(t_0) = u'_0 \\ \quad \quad \quad v(t_0) = v_0 \quad v'(t_0) = v'_0 \end{array}$$

由地成的 ODE 題, 也可用干地成求解.

## §3. Semigeodesic Parametrization.

- one family of coordinate curves consists of geodesics, the other family of coordinate curves orthogonal to them.

$\Phi$ -regular,  $\gamma$ -regular on  $\Phi$ .  $p \in \gamma$ .  $\tilde{\gamma} = \tilde{\gamma}(u, v)$  arbitrary regular para. near  $P$ .

$$\gamma: \begin{cases} u = u(t) \\ v = v(t) \end{cases} \quad v'(t_0) \neq 0 \text{ at } P.$$

const.

Procedure: 1) resolve.  $t = t(v)$  2) define a family of curves  $S$ :  $u = u(t(v)) + c$

3).  $v = \text{const.}$  curves from  $S$ .  $\rightarrow S$  中的曲线基本平行于  $\gamma$ .  
 $u = \text{const.}$  curves orthogonal to them.

4).  $\tilde{\gamma}: \begin{cases} u = u_0 \\ v = v(t) \end{cases}$  At. on  $\tilde{\gamma}$ . draw  $\tilde{\gamma}_t$  - a geodesic.  
 $v = v(u, t)$ .  $t \rightarrow t_0$ . st.  $v'' - Av' + B = 0$  ( $v$  w.r.t.  $u$ ).

Procedure: (5). resolve  $v = v(u, t)$ , for  $t$  near  $(u_0, v_0, t_0)$

$$t = \psi(u, v), \quad \psi_u^2 + \psi_v^2 \neq 0.$$

(b). the surface can be parametrised near P s.t.

$$\begin{cases} \psi(u, v) = \text{const.} & (\text{对应 } u-\text{const 线, 是测地线}) \\ \perp \psi(u, v) = \text{const.} & (\text{对应 } v-\text{const 线, 是与前一簇正交的坐标曲线}) \end{cases}$$

(7). For this parametrization.  $\langle \tilde{r}_u, \tilde{r}_v \rangle = 0, \quad F = 0.$

Thm 8.4. If  $v = \text{const.}$  are geodesics, then:

(1)  $E$  is independent of  $v$ . (i.e.  $E_v = 0$ )

(2) the Christoffel symbol  $T_{11}^2 = -\frac{1}{2} \frac{Ev}{G} = 0.$

Remark: (1) since  $E_v = 0$ , let  $d\tilde{u} = \sqrt{E(u)} du$ .  $I = E du^2 + G dv^2 \Rightarrow I = d\tilde{u}^2 + G dv^2$

(2) geometrically, the length of geodesic segment  $v = \text{const.}$  between  $\tilde{u} = c_1, c_2$   
is exactly  $|c_1 - c_2|$  对应两条正交轨迹

△ 半测地坐标网优点: 计算方便的 I.

沙有直接几何意义的参数 ( $\tilde{u}$ )

## §4. Shortest Curves on a surface.

Def 8.4. A curve  $\gamma$  on surface, joining points  $P, Q$ , is shortest curve if any other curve on the surface connecting  $P, Q$  has length greater/equal to that of  $\gamma$ .

Thm 8.5. (Local Minimality of Geodesics).

If  $\gamma$  is a geodesics passing through a point  $P$ , and  $R, S$  on  $\gamma$ , sufficiently close to  $P$ , then the segment  $RS$  of  $\gamma$  is the shortest curve connecting  $R$  and  $S$  on the surface.

Def\* A coordinate system  $(u, v)$  is semigeodesic if:

↪  $u$ -curves geodesics,  $v$ -curves orthogonal to them.

$$\therefore I = du^2 + G(u, v) dv^2.$$

$$\text{initial condition: } \begin{cases} G(0, v) = 1, \\ G_u(0, v) = 0. \end{cases}$$

### 85. Gauss - Bonnet Thm.

Def 8.5. A curve  $\gamma$  is piecewise regular if it consists of finitely many regular (C<sup>1</sup>) segments  $\gamma_1, \dots, \gamma_n$  joined at vertices (where tangent vectors may jump).

Thm 8.6. (Gauss-Bonnet for a regular region).

Let  $G$  be a region on a regular surface  $\Phi$  that is homeomorphic to a disc, bounded by a piecewise regular curve  $\gamma = \gamma_1 \cup \dots \cup \gamma_n$ .

If: i)  $\gamma$  is positively oriented (counter-clockwise)

ii)  $\kappa$  be geodesic curvature of  $\gamma$ .

iii)  $\alpha_1, \dots, \alpha_n$  be the interior angles at vertices of  $\gamma$ .

iv)  $K$  be Gaussian curvature of  $\Phi$ .

$$\text{Then: } \sum_{k=1}^n \int_{\gamma_k} \kappa ds + \sum_{k=1}^n (\pi - \alpha_k) = 2\pi - \iint_G K d\sigma.$$

$$\text{Moreover, if } \gamma \text{ is regular (no vertices). } \oint_{\gamma} \kappa ds = 2\pi - \iint_G K d\sigma.$$

Def 8.6. A region on a surface is geodesic triangle if it's bounded by three geodesic segments and is homeomorphic to a closed disc.

let  $\alpha, \beta, \gamma$  be interior angles (exterior + interior =  $\pi$ ).

$$\alpha + \beta + \gamma = \pi + \iint_T K d\sigma$$

if  $K > 0$ . RHS  $> \pi$ . (e.g. sphere)

$K < 0$  RHS  $< \pi$ . (e.g. hyperbolic plane)

$K = 0$  RHS  $= \pi$ .

## §6. Surfaces with constant Gaussian Curvature.

$\Phi$  - a surface with const.  $K$ .  $(u,v)$  is a semigeodesic system.

$\vec{r} = \vec{r}(u,v)$ , semigeodesic para. in  $T_p$ .

$$K = \frac{-\langle G \rangle_{uu}}{\sqrt{G}}$$

Let  $y(u) = \sqrt{G(u,v)}$ , (fixed  $v$ ),  $y''(u) + K y(u) = 0$ .

$$K > 0, \quad I = du^2 + \cos^2(\sqrt{K}u) dv^2.$$

e.g. sphere - spherical geometry

$$K < 0, \quad I = du^2 + \cosh^2(\sqrt{|K|}u) dv^2$$

e.g. pseudosphere - hyperbolic geometry

$$K = 0, \quad I = du^2 + dv^2$$

e.g. plane. - Euclidean geometry

## §7. Locally Isometry Thm.

Thm 8.7. (Local isometry of constant curvature surface)

All surfaces with the same const.  $K$  are locally isometric.

Let  $\Phi_1, \Phi_2$  with same const  $K$ .  $\forall P_1 \in \Phi_1, P_2 \in \Phi_2$ .  $\forall$  unit direction  $l_1 \in T_{P_1}\Phi_1, l_2 \in T_{P_2}\Phi_2$ .

$\exists U_{1, P_1}, U_{2, P_2}$  s.t. (1)  $U_1$  is isometric to  $U_2$  ( $\exists$  diffeomorphism preserves  $I$ ).

(2) The differential of the isometry maps  $l_1$  to  $l_2$ .

Remark: (2) means  $\Rightarrow$  for  $\psi: U_1 \rightarrow U_2$ , isometry. ( $ds_1^2 = du^2 + G(u) dv^2 = ds_2^2$ )

$$d\psi\left(\frac{\partial}{\partial u}|_{P_1}\right) = \frac{\partial}{\partial u}|_{P_2} \quad d\psi\left(\frac{\partial}{\partial v}|_{P_1}\right) = \frac{\partial}{\partial v}|_{P_2}.$$

### §8. Bertrand's thm.

Def 8.7. Two curves  $r_1$  and  $r_2$  on a surface are parallel if they maintain const. distance from each other their lengths.

Lemma 8.8. Consider two nearby geodesics,  $\gamma_1(s)$  and  $\gamma_2(s)$  on surface with const.  $K$ . separates by a small distance  $d(s)$ . Then.  $\frac{d^2 d}{ds^2} + Kd = 0$ .  
( $s$  is arc length along the geodesics)

Thm 8.9 (Bertrand's thm. const  $K$ ).

Let  $\Phi$  be a surface with const. Gaussian curvature  $K$ , then:

- (1)  $K > 0$ .  $\forall 2$  geodesics. - intersect or locally parallel.  $d(s)$  maining const. angular dist.
- (2)  $K = 0$  geodesics are straight lines in locally coordinates.  
parallel geodesics remain const. Euclidean dist.
- (3).  $K < 0$ .  $\forall 2$  geodesics. - diverge exponentially or asymptotic.

Remark: (1).  $d(s) = d_0 \cos(\sqrt{K}s)$ . (period  $\frac{2\pi}{\sqrt{K}}$ ).

$$(2) \quad d(s) = C_1 s + C_2.$$

$$(3) \quad d(s) = d_0 \cosh(\sqrt{-K}s).$$

Coro 8.10.  $K = \text{const.}$  (several special case in  $\mathbb{R}^3$ ).

- (1). on a sphere ( $K > 0$ ). all geodesics (great circle) intersects twice
- (2). in a plane ( $K = 0$ ). parallel lines never meet,
- (3). in a hyperbolic plane ( $K < 0$ ). exists geodesics. neither intersects nor parallel.  
(i.e. ultraparallel)