

Recall that two polynomials

$$f(x) = a_n x^n + \dots + a_1 x + a_0$$

and

$$g(x) = b_m x^m + \dots + b_1 x + b_0$$

are equal if and only if the coefficients of each power of  $x$  are equal.

Thus as polynomials with coefficients in  $\mathbb{F}$ , the polynomial

$$f(x) = a_3 x^3 + a_2 x^2 + a_1 x + a_0$$

is equal to

$$g(x) = x^3 + 1$$

if and only if  $a_0 = a_3 = 1$  and  $a_1 = a_2 = 0$ .

On the other hand, two functions  $f(x)$  and  $g(x)$  defined on the ~~set~~ <sup>field</sup>  $\mathbb{F}$  are equal if and only if for all  $a$  in  $\mathbb{F}$ , the numbers  $f(a) = g(a)$ .

Any polynomial over the field  $\mathbb{F}$  defines a function on  $\mathbb{F}$ , as we have seen. Thus two polynomials that are equal as polynomials are equal as functions. ~~Conversely~~ Conversely, is it possible for two polynomials to be ~~different~~ as polynomials but be equal as functions? This phenomenon cannot happen if  $\mathbb{F}$  is an infinite field, such as the real numbers.

**Thm 6** Let  $\mathbb{F}$  be a field of numbers and let  $f(x)$  and  $g(x)$  be two polynomials over  $\mathbb{F}$  of degree not exceeding  $n$ . If there exist  $n+1$  distinct numbers  $\alpha_1, \dots, \alpha_{n+1} \in \mathbb{F}$  such that

$$f(\alpha_i) = g(\alpha_i), \quad 1 \leq i \leq n+1,$$

then  $f(x) = g(x)$  as polynomials.

Different polynomials  
define different functions  
over infinite fields.

proof: set  $h(x) = f(x) - g(x)$ . We have ~~deg~~  $\deg h(x) \leq n$  or  $h(x) = 0$ . By the assumption,  $h(\alpha_i) = f(\alpha_i) - g(\alpha_i) = 0$  for  $1 \leq i \leq n+1$ . So that  $h(x)$  has  $n+1$  roots. Hence, ~~if  $h(x) \neq 0$~~   $h(x)$  must be the zero polynomial by D'Alembert's Theorem, and  $f(x) = g(x)$ .



## §8. Factorization for Real/Complex polynomials

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**Theorem 1. (Fundamental Theorem of Algebra)** Every polynomial  $f(x)$  in  $\mathbb{C}[x]$  of degree  $\geq 1$  has a root in  $\mathbb{C}$ .

**Remark 1.** The only irreducible polynomials in  $\mathbb{C}[x]$  are of degree one.

**Theorem 2.** Every polynomial  $f(x)$  in  $\mathbb{C}[x]$  of degree  $\geq 1$  factors into a product of ~~polynomial~~ polynomials of degree 1.

**Remark 2.** A polynomial  $f(x)$  in  $\mathbb{C}[x]$  of degree  $\geq 1$  has a normalized factorization:

$$f(x) = a (x - \alpha_1)^{l_1} (x - \alpha_2)^{l_2} \cdots (x - \alpha_s)^{l_s},$$

where  $\alpha_1, \alpha_2, \dots, \alpha_s$  are distinct roots of  $f(x)$ ,  $l_1, l_2, \dots, l_s$  are positive integers, and  $l_1 + l_2 + \dots + l_s = \deg(f)$ . Hence, a polynomial  $f(x)$  in  $\mathbb{C}[x]$  of degree  $n$  has exactly  $n$  roots in  $\mathbb{C}$ .

**Proposition 1.** Let  $f(x)$  be a polynomial with <sup>real</sup> coefficients. ~~over  $\mathbb{R}$~~  If  $z = a + bi$  is a root of  $f(x)$ , where  $a$  and  $b$  are real numbers, then  $\bar{z} = a - bi$  is also a root of  $f(x)$ .

proof. Write  $f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$ , where  $a_n, a_{n-1}, \dots, a_0$  are real numbers.

If  $z = a + bi$  is a root of  $f(x)$ , then

$$f(z) = a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0 = 0$$

Taking the conjugate of both sides of the last equation gives

$$\overline{a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0} = \overline{0}$$

Using a generalization of the properties  $\overline{c+d} = \bar{c} + \bar{d}$  and  $\overline{cd} = \bar{c} \bar{d}$  gives

$$\bar{a}_n \bar{z}^n + \bar{a}_{n-1} \bar{z}^{n-1} + \dots + \bar{a}_1 \bar{z} + \bar{a}_0 = \bar{0}.$$





now use the property  $\overline{c^n} = (\overline{c})^n$  and the fact that for any real number  $a$ ,  $\overline{a} = a$ , to obtain

$$a_n(\overline{z})^n + a_{n-1}(\overline{z})^{n-1} + \dots + a_1\overline{z} = 0$$

Hence  $f(\overline{z}) = 0$  and  $\overline{z} = a - bi$  is a root of  $f(x)$ . □.

**Caution:** It is essential that the polynomial have only real coefficients. For instance,  $f(x) = x - (1+i)$  has  $1+i$  as a root, but the conjugate  $1-i$  is not a root.

**Proposition 2.** If  $f(x) = x^2 + bx + c$  is a real polynomial of degree 2, then  $f(x)$  is irreducible <sup>over  $\mathbb{R}$</sup>  if and only if  $b^2 - 4c < 0$ .

**Theorem 3.** No polynomials  $f(x)$  in  $\mathbb{R}[x]$  of degree  $> 2$  <sup>are</sup> ~~are~~ irreducible in  $\mathbb{R}[x]$ .

Proof. Let  $f(x)$  in  $\mathbb{R}[x]$  have degree  $> 2$ . We will show that  $f(x)$  is not irreducible.

We can assume that  $f(x)$  has no real roots, by Root theorem.

Suppose  $\alpha$  is a nonreal complex root of  $f(x)$ . Let

$$p(x) = (x - \alpha)(x - \overline{\alpha}),$$

where, if  $\alpha = a + bi$ , then  $\overline{\alpha} = a - bi$  is the complex conjugate of  $\alpha$ . Then

$$p(x) = x^2 - 2ax + a^2 + b^2$$

is in  $\mathbb{R}[x]$  and  $p(x)$  is irreducible in  $\mathbb{R}[x]$  since its two roots are not real numbers.

Dividing  $f(x)$  by  $p(x)$  in  $\mathbb{R}[x]$  gives

$$f(x) = q(x)p(x) + r(x), \tag{1}$$

with  $r(x) = 0$  or  $\deg r(x) \leq 1$ . Let  $r(x) = r + sx$  <sup>be</sup> in  $\mathbb{R}[x]$ . Evaluate equation (1)

at  $x = \alpha$ , we get  $r(\alpha) = 0$ , since  $\alpha$  is a root of both  $f(x)$  and  $p(x)$ . But

then  $r + s\alpha = 0$ , and so unless  $r = s = 0$ , we conclude that  $\alpha$  is real, a

contradiction. Thus  $r(x) = 0$ , and  $p(x) \mid f(x)$ . Since  $\deg p(x) = 2 < \deg f(x)$ ,  $f(x)$  is not irreducible. □



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**Theorem 4.** Every polynomial in  $\mathbb{R}[x]$  of degree  $\geq 1$  can be factored into a product of polynomials of degree 1 and irreducible polynomials of degree 2.

More precisely, a polynomial  $f(x)$  in  $\mathbb{R}[x]$  has a factorization of the form

$$f(x) = a (x - c_1)^{l_1} \cdots (x - c_s)^{l_s} (x^2 + p_1 x + q_1)^{k_1} \cdots (x^2 + p_r x + q_r)^{k_r},$$

where  $c_1, c_2, \dots, c_s, p_1, \dots, p_r, q_1, \dots, q_r$  are real numbers,  $l_1, \dots, l_s, k_1, \dots, k_r$  are positive integers, and  $x^2 + p_i x + q_i$  ( $1 \leq i \leq r$ ) are irreducible, that is,  $p_i^2 - 4q_i < 0$  for  $i = 1, \dots, r$ .

### Exercises

1. Find a polynomial of least degree having only real coefficients and roots 3 and  $2+i$ .

2. Find all roots of  $f(x) = x^4 - 7x^3 + 18x^2 - 22x + 12$ , given that  $1-i$  is a root.

Solutions.

1.  $f(x) = x^3 - 7x^2 + 17x - 15 = (x-3)(x-(2+i))(x-(2-i))$

Of course, any nonzero multiple of  $f(x)$  also satisfies the given conditions.

2.  $f(x) = (x-(1-i))(x-(1+i))(x^2-5x+6) = (x-(1-i))(x-(1+i))(x-2)(x-3)$

The four roots of  $f(x)$  are  $1-i, 1+i, 2$  and  $3$ .

(Note that  $(x-(1-i))(x-(1+i)) = x^2 - 2x + 2$ )

