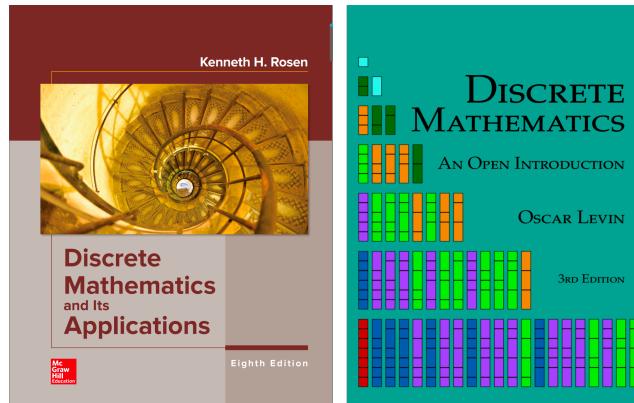




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## Session 4

### **Nested Quantifiers & Methods of Proof**

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# Lecture 4

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- Nested Quantifiers
- Methods of proof

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*Group 1: The monday class*

# Nested Quantifier

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- A predicate can have more than one variables.
  - $S(x, y, z)$ :  $z$  is the sum of  $x$  and  $y$
  - $F(x, y)$ :  $x$  and  $y$  are friends
- We can quantify individual variables in different ways
  - $\forall x, y, z (S(x, y, z) \rightarrow (x \leq z \wedge y \leq z))$
  - $\exists x \forall y \forall z (F(x, y) \wedge F(x, z) \wedge (y \neq z) \rightarrow \neg F(y, z))$

# Nested Quantifier

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- Exercise: translate the following English sentence into logical expression
  - “There is a rational number between every pair of distinct rational numbers”
- Use predicate  $Q(x)$ , which is true when  $x$  is a rational number
  - $\forall x, y (Q(x) \wedge Q(y) \wedge (x < y) \rightarrow \exists u (Q(u) \wedge (x < u) \wedge (u < y)))$

# Multiple quantifiers

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- You can have multiple quantifiers on a statement
- $\forall x \exists y P(x, y)$ 
  - “For all  $x$ , there exists a  $y$  such that  $P(x, y)$ ”
  - Example:  $\forall x \exists y (x + y == 0)$
- $\exists x \forall y P(x, y)$ 
  - There exists an  $x$  such that for all  $y$   $P(x, y)$  is true”
  - $\exists x \forall y (x * y == 0)$

# Order of quantifiers

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- $\exists x \forall y$  and  $\forall x \exists y$  are not equivalent!
- $\exists x \forall y P(x, y)$ 
  - $P(x, y) = (x + y == 0)$  is false
- $\forall x \exists y P(x, y)$ 
  - $P(x, y) = (x + y == 0)$  is true

# Negating multiple quantifiers

- Recall negation rules for single quantifiers:
  - $\neg \forall x P(x) = \exists x \neg P(x)$
  - $\neg \exists x P(x) = \forall x \neg P(x)$
  - Essentially, you change the quantifier(s), and negate what it's quantifying
- Examples:
  - $\neg(\forall x \exists y P(x,y))$ 
    - $= \exists x \neg \exists y P(x,y)$
    - $= \exists x \forall y \neg P(x,y)$
  - $\neg(\forall x \exists y \forall z P(x,y,z))$ 
    - $= \exists x \neg \exists y \forall z P(x,y,z)$
    - $= \exists x \forall y \neg \forall z P(x,y,z)$
    - $= \exists x \forall y \exists z \neg P(x,y,z)$

# Negating multiple quantifiers 2

- Consider  $\neg(\forall x \exists y P(x,y)) = \exists x \forall y \neg P(x,y)$ 
  - The left side is saying “for all x, there exists a y such that P is true”
  - To disprove it (negate it), you need to show that “**there exists an x such that for all y, P is false**”
- Consider  $\neg(\exists x \forall y P(x,y)) = \forall x \exists y \neg P(x,y)$ 
  - The left side is saying “there exists an x such that for all y, P is true”
  - To disprove it (negate it), you need to show that “**for all x, there exists a y such that P is false**”

# Translating between English and quantifiers

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- The product of two negative integers is positive
  - $\forall x \forall y ((x < 0) \wedge (y < 0) \rightarrow (xy > 0))$
  - Why conditional instead of and?
- The average of two positive integers is positive
  - $\forall x \forall y ((x > 0) \wedge (y > 0) \rightarrow ((x+y)/2 > 0))$
- The difference of two negative integers is not necessarily negative
  - $\exists x \exists y ((x < 0) \wedge (y < 0) \wedge (x-y \geq 0))$
  - Why and instead of conditional?
- The absolute value of the sum of two integers does not exceed the sum of the absolute values of these integers
  - $\forall x \forall y (|x+y| \leq |x| + |y|)$

# Translating between English and quantifiers

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- $\exists x \forall y (x+y = y)$ 
  - There exists an additive identity for all real numbers
- $\forall x \forall y (((x \geq 0) \wedge (y < 0)) \rightarrow (x-y > 0))$ 
  - A non-negative number minus a negative number is greater than zero
- $\exists x \exists y (((x \leq 0) \wedge (y \leq 0)) \wedge (x-y > 0))$ 
  - The difference between two non-positive numbers is not necessarily non-positive (i.e. can be positive)
- $\forall x \forall y (((x \neq 0) \wedge (y \neq 0)) \leftrightarrow (xy \neq 0))$ 
  - The product of two non-zero numbers is non-zero if and only if both factors are non-zero

# Example 1

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- Rewrite these statements so that the negations only appear within the predicates

- a)  $\neg\exists y \exists x P(x,y)$ 
  - $\forall y \neg\exists x P(x,y)$
  - $\forall y \forall x \neg P(x,y)$
- b)  $\neg\forall x \exists y P(x,y)$ 
  - $\exists x \neg\exists y P(x,y)$
  - $\exists x \forall y \neg P(x,y)$
- c)  $\neg\exists y (Q(y) \wedge \forall x \neg R(x,y))$ 
  - $\forall y \neg(Q(y) \wedge \forall x \neg R(x,y))$
  - $\forall y (\neg Q(y) \vee \neg(\forall x \neg R(x,y)))$
  - $\forall y (\neg Q(y) \vee \exists x R(x,y))$

## Example 2

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- Express the negations of each of these statements so that all negation symbols immediately precede predicates.

a)  $\forall x \exists y \forall z T(x, y, z)$

- $\neg(\forall x \exists y \forall z T(x, y, z))$
- $\neg \forall x \exists y \forall z T(x, y, z)$
- $\exists x \neg \exists y \forall z T(x, y, z)$
- $\exists x \forall y \neg \forall z T(x, y, z)$
- $\exists x \forall y \exists z \neg T(x, y, z)$

b)  $\forall x \exists y P(x, y) \vee \forall x \exists y Q(x, y)$

- $\neg(\forall x \exists y P(x, y) \vee \forall x \exists y Q(x, y))$
- $\neg \forall x \exists y P(x, y) \wedge \neg \forall x \exists y Q(x, y)$
- $\exists x \neg \exists y P(x, y) \wedge \exists x \neg \exists y Q(x, y)$
- $\exists x \forall y \neg P(x, y) \wedge \exists x \forall y \neg Q(x, y)$

# Methods of proof

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## Mathematical reasoning

# Mathematical Reasoning

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We need mathematical reasoning to

- determine whether a mathematical argument is correct or incorrect and
- construct mathematical arguments.

Mathematical reasoning is not only important for conducting **proofs** and **program verification**, but also **for artificial intelligence** systems (drawing logical inferences from knowledge and facts).

We focus on **deductive** proofs

# Terminology

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An **axiom** is a basic assumption about mathematical structure that needs no proof.

- Things known to be true (facts or proven theorems)
- Things believed to be true but cannot be proved

We can use a **proof** to demonstrate that a particular statement is true. A proof consists of a sequence of statements that form an argument.

The steps that connect the statements in such a sequence are the **rules of inference**.

Cases of incorrect reasoning are called **fallacies**.

# Terminology

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A **theorem** is a statement that can be shown to be true.

A **lemma** is a simple theorem used as an intermediate result in the proof of another theorem.

A **conjecture** is a proposition that follows directly from a theorem that has been proved.

A **conjecture** is a statement whose truth value is unknown. Once it is proven, it becomes a theorem.

# Proofs

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A **theorem** often has two parts

- Conditions (premises, hypotheses)
- conclusion

A **correct (deductive) proof** is to establish that

- If the conditions are true then the conclusion is true
- I.e., Conditions  $\rightarrow$  conclusion is a tautology

Often there are missing pieces between conditions and conclusion. Fill it by an **argument**

- Using conditions and axioms
- Statements in the argument connected by proper rules of inference

# Rules of Inference

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**Rules of inference** provide the justification of the steps used in a proof.

One important rule is called **modus ponens** or the **law of detachment**. It is based on the tautology

$(p \wedge (p \rightarrow q)) \rightarrow q$ . We write it in the following way:

$$\begin{array}{c} p \\ p \rightarrow q \\ \hline \therefore q \end{array}$$

# Rules of Inference

The general form of a rule of inference is:

$$\frac{p_1 \quad p_2 \quad \vdots \quad \vdots \quad p_n}{\therefore q}$$

The rule states that if  $p_1$  and  $p_2$  and ... and  $p_n$  are all true, then  $q$  is true as well.

Each rule is an established tautology of  $p_1 \rightarrow p_2 \rightarrow \dots \rightarrow p_n \rightarrow q$

These rules of inference can be used in any mathematical argument and do not require any proof.

# Rules of Inference

$$\begin{array}{c} p \\ \hline \therefore p \vee q \end{array}$$

Addition

$$\begin{array}{c} p \vee q \\ \hline \therefore p \end{array}$$

Simplification

$$\begin{array}{c} p \\ q \\ \hline \therefore p \vee q \end{array}$$

Conjunction

$$\begin{array}{c} \neg q \\ p \rightarrow q \\ \hline \therefore \neg p \end{array}$$

Modus tollens

$$\begin{array}{c} p \rightarrow q \\ q \rightarrow r \\ \hline \therefore p \rightarrow r \end{array}$$

Hypothetical  
syllogism  
(chaining)

$$\begin{array}{c} p \vee q \\ \neg p \\ \hline \therefore q \end{array}$$

Disjunctive  
syllogism  
(resolution)

# Arguments

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Just like a rule of inference, an **argument** consists of one or more hypotheses (or premises) and a conclusion.

We say that an argument is **valid**, if whenever all its hypotheses are true, its conclusion is also true.

However, if any hypothesis is false, even a valid argument can lead to an incorrect conclusion.

Proof: show that **hypotheses  $\rightarrow$  conclusion** is true using rules of inference

# Arguments

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## Example:

“If  $101$  is divisible by  $3$ , then  $101^2$  is divisible by  $9$ .  $101$  is divisible by  $3$ . Consequently,  $101^2$  is divisible by  $9$ .”

Although the argument is **valid**, its conclusion is **incorrect**, because one of the hypotheses is false (“ $101$  is divisible by  $3$ .”).

If in the above argument we replace  $101$  with  $102$ , we could correctly conclude that  $102^2$  is divisible by  $9$ .

# Arguments

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Which rule of inference was used in the last argument?

p: "101 is divisible by 3."

q: "101<sup>2</sup> is divisible by 9."

$$\begin{array}{c} p \\ p \rightarrow q \\ \hline \therefore q \end{array} \quad \text{Modus ponens}$$

Unfortunately, one of the hypotheses (p) is false. Therefore, the conclusion q is incorrect.

# Arguments

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## Another example:

“If it rains today, then we will not have a barbecue today. If we do not have a barbecue today, then we will have a barbecue tomorrow. Therefore, if it rains today, then we will have a barbecue tomorrow.”

This is a **valid** argument: If its hypotheses are true, then its conclusion is also true.

# Arguments

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Let us formalize the previous argument:

p: "It is raining today."

q: "We will not have a barbecue today."

r: "We will have a barbecue tomorrow."

So the argument is of the following form:

$$\begin{array}{c} p \rightarrow q \\ q \rightarrow r \\ \hline \therefore P \rightarrow r \end{array} \quad \text{Hypothetical syllogism}$$

# Arguments

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## **Another example:**

Gary is either intelligent or a good actor.  
If Gary is intelligent, then he can count  
from 1 to 10.

Gary can only count from 1 to 3.  
Therefore, Gary is a good actor.

i: "Gary is intelligent."

a: "Gary is a good actor."

c: "Gary can count from 1 to 10."

# Arguments

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i: "Gary is intelligent."

a: "Gary is a good actor."

c: "Gary can count from 1 to 10."

Step 1:  $\neg c$  Hypothesis

Step 2:  $i \rightarrow c$  Hypothesis

Step 3:  $\neg i$  Modus tollens Steps 1 & 2

Step 4:  $a \vee i$  Hypothesis

Step 5: a Disjunctive Syllogism  
Steps 3 & 4

Conclusion: a ("Gary is a good actor.")

# Arguments

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## Yet another example:

If you listen to me, you will pass the exam.  
You passed the exam.  
Therefore, you have listened to me.

Is this argument valid?

No, it assumes  $((p \rightarrow q) \wedge q) \rightarrow p$ .

This statement is not a tautology. It is false if p is false and q is true.

# Rules of Inference for Quantified Statements

$$\frac{\forall x P(x)}{\therefore P(c) \text{ if } c \in U}$$

Universal instantiation

$$\frac{P(c) \text{ for an arbitrary } c \in U}{\therefore \forall x P(x)}$$

Universal generalization

$$\frac{\exists x P(x)}{\therefore P(c) \text{ for some element } c \in U}$$

Existential instantiation

$$\frac{P(c) \text{ for some element } c \in U}{\therefore \exists x P(x)}$$

Existential generalization



# Rules of Inference for Quantified Statements

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## Example:

Every IU student is a genius.  
George is an IU student.  
Therefore, George is a genius.

$U(x)$ : “ $x$  is an IU student.”

$G(x)$ : “ $x$  is a genius.”

# Rules of Inference for Quantified Statements

The following steps are used in the argument:

Step 1:  $\forall x (U(x) \rightarrow G(x))$

Hypothesis

Step 2:  $U(\text{George}) \rightarrow G(\text{George})$

Univ. instantiation  
using Step 1

Step 3:  $U(\text{George})$

Hypothesis

Step 4:  $G(\text{George})$

Modus ponens  
using Steps 2 & 3

$$\frac{\forall x P(x)}{\therefore P(c) \text{ if } c \in U}$$

Universal  
instantiation

# Proving Theorems

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## **Direct proof:**

An implication  $p \rightarrow q$  can be proved by showing that if  $p$  is true, then  $q$  is also true.

**Example:** Give a direct proof of the theorem “If  $n$  is odd, then  $n^2$  is odd.”

**Idea:** Assume that the hypothesis of this implication is true ( $n$  is odd). Then use rules of inference and known theorems of math to show that  $q$  must also be true ( $n^2$  is odd).

# Proving Theorems

---

$n$  is odd.

Then  $n = 2k + 1$ , where  $k$  is an integer.

$$\begin{aligned}\text{Consequently, } n^2 &= (2k + 1)^2 \\ &= 4k^2 + 4k + 1 \\ &= 2(2k^2 + 2k) + 1\end{aligned}$$

Since  $n^2$  can be written in this form, it is odd.

# Proving Theorems

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## Indirect proof:

An implication  $p \rightarrow q$  is equivalent to its **contrapositive**  $\neg q \rightarrow \neg p$ . Therefore, we can prove  $p \rightarrow q$  by showing that whenever  $q$  is false, then  $p$  is also false.

**Example:** Give an indirect proof of the theorem “If  $3n + 2$  is odd, then  $n$  is odd.”

**Idea:** Assume that the conclusion of this implication is false ( $n$  is even). Then use rules of inference and known theorems to show that  $p$  must also be false ( $3n + 2$  is even).

# Proving Theorems

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$n$  is even.

Then  $n = 2k$ , where  $k$  is an integer.

$$\begin{aligned} \text{It follows that } 3n + 2 &= 3(2k) + 2 \\ &= 6k + 2 \\ &= 2(3k + 1) \end{aligned}$$

Therefore,  $3n + 2$  is even.

We have shown that the contrapositive of the implication is true, so the implication itself is also true (If  $3n + 2$  is odd, then  $n$  is odd).

# Proving Theorems

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Indirect Proof is a special case of proof by contradiction

Suppose  $n$  is even (negation of the conclusion).

Then  $n = 2k$ , where  $k$  is an integer.

$$\begin{aligned} \text{It follows that } 3n + 2 &= 3(2k) + 2 \\ &= 6k + 2 \\ &= 2(3k + 1) \end{aligned}$$

Therefore,  $3n + 2$  is even.

However, this is a contradiction since  $3n + 2$  is given to be odd, so the conclusion ( $n$  is odd) holds.

# Another Example on Proof

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Anyone performs well is either intelligent or a good actor.

If someone is intelligent, then he/she can count from 1 to 10.

Gary performs well.

Gary can only count from 1 to 3.

Therefore, not everyone is both intelligent and a good actor

$P(x)$ :  $x$  performs well

$I(x)$ :  $x$  is intelligent

$A(x)$ :  $x$  is a good actor

$C(x)$ :  $x$  can count from 1 to 10

# Another Example on Proof

Hypotheses:

1. Anyone performs well is either intelligent or a good actor.  
 $\forall x (P(x) \rightarrow I(x) \vee A(x))$
2. If someone is intelligent, then he/she can count from 1 to 10.  
 $\forall x (I(x) \rightarrow C(x))$
3. Gary performs well.  
 $P(G)$
4. Gary can only count from 1 to 3.  
 $\neg C(G)$

Conclusion: not everyone is both intelligent and a good actor

$$\neg \forall x (I(x) \wedge A(x))$$

# Another Example on Proof

Direct proof:

Step 1:  $\forall x (P(x) \rightarrow I(x) \vee A(x))$

Step 2:  $P(G) \rightarrow I(G) \vee A(G)$

Step 3:  $P(G)$

Step 4:  $I(G) \vee A(G)$

Step 5:  $\forall x (I(x) \rightarrow C(x))$

Step 6:  $I(G) \rightarrow C(G)$

Step 7:  $\neg C(G)$

Step 8:  $\neg I(G)$

Step 9:  $\neg I(G) \vee \neg A(G)$

Step 10:  $\neg(I(G) \wedge A(G))$

Step 11:  $\exists x \neg(I(x) \wedge A(x))$

Step 12:  $\neg \forall x (I(x) \wedge A(x))$

Hypothesis

Univ. Inst. Step 1

Hypothesis

Modus ponens Steps 2 & 3

Hypothesis

Univ. inst. Step5

Hypothesis

Modus tollens Steps 6 & 7

Addition Step 8

Equivalence Step 9

Exist. general. Step 10

Equivalence Step 11

Conclusion:  $\neg \forall x (I(x) \wedge A(x))$ , not everyone is both intelligent and a good actor.

# Summary, Section 1.5

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- Terminology (axiom, theorem, conjecture, argument, etc.)
- Rules of inference (Tables 1 and 2)
- Valid argument (hypotheses and conclusion)
- Construction of valid argument using rules of inference
  - ❖ For each rule used, write down and the statements involved in the proof
- Direct and indirect proofs
  - ❖ Other proof methods (e.g., induction, pigeon hole) will be introduced in later chapters

# Homework3:

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- 4, 8, 12, 14, 16, 18, 22, 26, 30, 32. Starting from page 68
- Reading chapter 4: Induction and Recursion

Submit your solution to the backboard before 10:00 PM 19/9/2022: YourFullName\_ID.docx