

# FluxEngine v3.0: Changes and User Guide

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## 1 Overview and major changes

The major changes, new to FluxEngine version 3.0 include:

- *Standalone execution* - Various changes which make it considerably easier to run locally (i.e. without access to a remote server). A big part of this is that FluxEngine no longer requires input files for data layers which will not be used given a the configuration options specified. For example, you no longer need to specify the location of sea surface skin temperature data, if you're using foundation temperature. This means you do not need access to data which might be irrelevant to your particular use case in order to run FluxEngine.
- *Greater flexibility* - Use of Unix-like glob pattern matching to specify input data means file names no longer need to conform to particular formats. This makes it easier incorporate new and user supplied sources of data. A modular and extendible system for data pre-processing has been added to make it easier to use data from a wider range of sources.
- *Substantial restructuring* - The source code has been substantially restructured. While this is an ongoing process, having a consistent method for reading, processing and outputting data layers reduces the scope for bugs, provides a simpler conceptual model to understanding and extending FluxEngine functionality. For example, additional data pre-processing and gas transfer velocity calculations can be added and tested without modifying the core FluxEngine code.
- *Python workflow* - Python, and some Python modules are now the only dependencies needed by FluxEngine. The Perl driver script has been transcribed into Python and updated. FluxEngine can run from the command-line or imported as a Python module providing a simple API interface for more advanced use-cases.

- *User-friendly installation and verification* - Installation scripts will automatically install missing dependencies for MacOS and Linux based systems. Installation instructions are provided for Windows users. Verification scripts are provided to ensure FluxEngine is operating correctly, and can be used to verify modifications.

## 1.1 Execution time

Simple benchmarking was conducted using an Intel Core i5 2.7GHz processor with 8GB RAM running MacOS El Capitan. A one year (2010) run using the SOCATv4 verification configuration (Nightingale 2000 k parameterisation with process indicator layers off) took approximately 6 minutes to complete using FluxEngine v3.0. This is compared to over 9 minutes for the same configuration using FluxEngine v2.0. This speed-up can be largely attributed to the removal of non-required input data layers. Run time will therefore differ depending on the options specified by configuration files. For example the Takahashi 2009 verification run took just 4 minutes to complete.

## 1.2 Feedback and bug reporting

Feedback is greatly appreciated both in terms comments about which aspects of running and using FluxEngine were not intuitive or poorly explained, as well as suggestions about useful potential features to include in the next release. These can be e-mailed to Tom Holding at [t.m.holding@exeter.ac.uk](mailto:t.m.holding@exeter.ac.uk). Bugs can be reported via our GitHub page by opening an issue: <https://github.com/oceanflux-ghg/FluxEngine/issues> or by e-mailing Tom (see above).

## 2 Downloading FluxEngine v3.0

FluxEngine can now be ran on a standalone computer so you don't need access to a remote host. The latest version can be downloaded from <https://github.com/oceanflux-ghg/FluxEngine/archive/master.zip>, or if you use Git you can clone the FluxEngine repository here: <https://github.com/oceanflux-ghg/FluxEngine.git>

After downloading unzip the files into a location of your choice. This should leave you with the directory hierarchy shown below:

```
FluxEngine (or FluxEngine-master) - referred to as the root folder
├── configs - contains verification and example configuration files
├── data - input data required to run verifications and reference
│   └── output
├── fluxengine_src - FluxEngine source code
│   └── tools - additional tools which can be run separately to
│       └── FluxEngine
└── Tutorials - interactive Jupyter tutorials
```

## 3 Installing dependencies

One of the changes from v2.0 is that FluxEngine can be run entirely using Python 2.7. That being said there are several modules which are not part of the Python 2.7 standard library. These are `netCDF4`, `numpy` and `scipy.integrate`. If you don't already have these installed, you'll need to install them before running FluxEngine. They can be installed manually (the recommended way to do this is using `pip` which usually comes bundled with Python 2.7) or they can be installed using the dependency installation scripts which can be found in the root directory for MacOS and Linux.

Once you've installed FluxEngine make sure you run the verification script. Then you might like have a look at the Jupyter notebook tutorials (in the Tutorials subdirectory).

### 3.1 MacOS

An installer script is available for MacOS which will automatically install dependencies. While it's provided with no guarantees, the script shouldn't overwrite anything without asking you first. To run it, open Terminal, navigate to the FluxEngine root folder and run

---

```
./install_dependencies_macos.sh
```

---

If you see an error about not having permission to execute, chances are you'll need to add this permission before running the install script. To do this run the following:

---

```
./sudo chmod +x install_dependencies_macos.sh
```

---

### 3.2 Linux

An installer script is available for Debian based Linux systems and will automatically install the dependencies needed to run FluxEngine. It has only been tested on Ubuntu 16.4, and is provided with no guarantees. To run it, open Terminal, navigate to the FluxEngine root folder and run

---

```
./install_dependencies_ubuntu.sh
```

---

If you see an error about not having permission to execute, chances are you'll need to add this permission before running the install script. To do this run the following:

---

```
./sudo chmod +x install_dependencies_ubuntu.sh
```

---

### 3.3 Windows

An installer script isn't provided for Windows but the following steps should enable you to install the dependencies required to run FluxEngine.

**Step 1)** Since Python 2.7 doesn't come with Windows you may not have it installed. If you already have Python 2.7 go to step 2, if not then go to <https://www.python.org/downloads/> and scroll down to where it says "Looking for a specific release?" and select the most recent Python 2.7.x (at time of writing this was 2.7.16) to download the installer. **Do not download version 3.x - FluxEngine will not run with it.** Once the installer has downloaded run the file and you'll be guided through the installation process. On the second page of the installer you are given the option to "customise installation" by adding or removing components to the installation. There is an option to "add python.exe to Path" - make sure this option is selected to be installed (it is not by default), this will allow you to skip step 2. Make a note of your installation directory (it will probably be something like C:\Python27\ or Users\<your username>\AppData\Local\Programs\Python\Python27\).

**Step 2)** If you have already added python.exe to your path by selecting the option in the installer you can skip this step. Add Python and the Python **Scripts** folder to your PATH environment variable. This will allow you to run python scripts without having to navigate or specify the whole Python installation directory each time. To do this follow these instructions: <https://superuser.com/a/143121> adding the installation directory from the previous step to the end of the path list.

**Step 3** Use pip to install some Python modules. Pip is a package manager for Python and was installed alongside Python. It allows you to add/remove additional libraries. First, open a new command prompt window (or close and reopen an existing one - this is important or your PATH environment variable won't have updated). Type the following commands one at a time. It is important to allow each command to finish before running the next line.

---

```
pip install --upgrade pip
pip install numpy
pip install netCDF4
pip install scipy
pip install pandas
pip install jupyter
pip install matplotlib
```

---

You should now be ready to run FluxEngine. More detailed information on installing and configuring Python 2.7 on Windows can be found here: <https://docs.python.org/2.7/using/windows.html>

## 4 Verifying

Two verification scripts come bundled with the FluxEngine. These make it easy to verify that everything has installed correctly and that FluxEngine is producing correct output. These scripts compare output generated by your local copy of the FluxEngine with a known reference, and will report any discrepancies.

To verify using Takahashi2009 (T09) and/or SOCATv4 data run the following com-

mand/s using command line (i.e. Terminal or Command Prompt) from FluxEngine's root directory. You can `cd` (change directory) into the FluxEngine root directory by substituting the path to where you extracted FluxEngine into the first line.

---

```
cd path/to/FluxEngine-master
python verify_takahashi09.py
python verify_socatv4_sst_salinity_gradients_N00.py
```

---

## 5 Running FluxEngine v3.0

In previous versions FluxEngine was ran using a bash script which invoked a Perl script to parse a config file and run the core Python FluxEngine code. This has been considerably simplified in version 3.0 so that everything is handled by Python. This has the advantage of reducing dependencies and moving toward a more API-like interface for executing FluxEngine. An execution script (`ofluxghg_run.py`, found in the root directory) is still provided, which functions similarly to the previous Perl script (described below). To run the FluxEngine for

### 5.1 Using the command line script

`ofluxghg_run.py` is found in the FluxEngine root directory can be used as a standalone tool to run the FluxEngine. To run flux engine, or any of the utilities which come bundled with FluxEngine, from the command line you must first open a terminal (command prompt on Windows or Terminal for Mac and Linux). You will need to navigate to the FluxEngine folder and then run the `ofluxghg_run.py` script. For example

---

```
cd "~/My Documents/FluxEngine"
python ofluxghg_run.py configs/example_config.conf -l
```

---

will run the flux engine using the options specified in a configuration file called `example_config.conf` located in the `configs` subdirectory of the current working directory. This assumes `ofluxghg_run.py` is being executed from the FluxEngine root directory, but it can be executed from anywhere, provided that 1) the path of the config file is reachable (absolute file paths can be used), 2) `ofluxghg_run.py` can find the `fluxengine_src` folder (either it has been added to your Python paths, moved somewhere which is already in your Python path, or it is a subdirectory of the current working directory) and 3) the input data specified in your config file is reachable from the current working directory (these can also be absolute paths). The `-l` option tells FluxEngine to run without process indicator layers, this reduces the time taken to run and results in smaller output files.

The general syntax for running `ofluxghg_run` is

---

```
python ofluxghg_run.py config [-options]
```

---

where [-options] is an option list of options to change how FluxEngine runs. A list of valid options can be listed by using the -h flag, e.g. `python ofluxghg_run.py -h`. Some options require you to specify a value, for example to set the start and end years you can use

---

```
python ofluxghg_run.py configs/example_config.conf -year_date 2000 -year_date 2010 -l
```

---

to run FluxEngine from the start of the year 2000 to end of the year 2010. Other date formats are supported, for example ..... Note: A useful option for testing is `-S1` which will only run FluxEngine for a single month. This allows you to check the output and any error messages before committing to a longer run.

## 5.2 Driving FluxEngine from your own Python scripts

Some tools are provided which allow you to write Python scripts to run FluxEngine in custom ways. To do this you should import the `fluxengine_src.fe_setup_tools` module and use `run_fluxengine` function. An example of how to use this function can be found in `ofluxghg_run.py`. For more control over how FluxEngine is driven, e.g. if you need to use a single configuration file and overwrite a subset of parameters to run a suite of similar simulations, see the tools and functions provided in `fe_setup_tools` in the `fluxengine_src` directory. Note that these tools are intended for use by users who are proficient in Python. They haven't undergone intensive testing and likely to contain bugs (especially in Windows environments). Bugs can be reported as described in section 1.2.

The general workflow for initialising and running FluxEngine using these tools involves the following steps:

1. Parse the config file
2. Verify the config file)
3. Specify one or more time point to run the FluxEngine
4. Create a set of run parameters (these are derived from the verified config file but are specific to the date/time you are using)
5. Run FluxEngine for a specific date/time
6. Check return code

Steps 4-6 will be repeated for each time point you want to run the FluxEngine for. Various functions are available in `fe_setup_tools.py` to help with each of these steps. For an example of how they are used to achieve each of these steps see the `run_fluxengine` function in the same file.

## 6 Input data requirements

Input data are specified in the configuration file and are conceptualised as 'data layers' (see section 7.5 for details on how to specify data layers in the configuration file). Table 1 lists the data layers which are recognised by FluxEngine, whether they are considered required or optional, and the required units:



name	description	units	required?
sstskin	sea surface skin temperature	$^{\circ}K$	if sstfnd not supplied
sstfnd	sea surface foundation temperature	$^{\circ}K$	if sstskin not supplied
pco2_sst	Ocean temperature at the point of CO <sub>2</sub> measurement. Only used for calculations of CO <sub>2</sub> flux	$^{\circ}C$	optional
vco2_air	Molar fraction of the flux gas (e.g. CO <sub>2</sub> ) in dry air.	$\mu mol\ mol^{-1}$ or <i>ppm</i>	Required if pco2.air and partial pressure (pco2.air) are not supplied.
pco2_air	partial pressure of the flux gas (e.g. CO <sub>2</sub> ) in dry air	$\mu atm$	Only used in Takahashi verification. vco2.air not supplied. Not required if concentration or molar fraction (vco2.air) data are supplied.
pco2_sw	partial pressure of the flux gas (e.g. CO <sub>2</sub> ) (aqueous)	$\mu atm.$	Not required if concentration data are supplied.
conca	concentration of the flux gas (e.g. CO <sub>2</sub> ) at the interface	$gm^{-3}.$	required if not using fugacity or partial pressure inputs
concw	concentration of the flux gas (e.g. CO <sub>2</sub> ) (sub-skin)	$gm^{-3}.$	required if not using fugacity or partial pressure inputs
pressure	air pressure at sea level	<i>mbar</i>	always required
salinity	surface salinity	none	always required
ice	fraction ice coverage	none	optional
pressure	air pressure at sea level	<i>mbar</i>	always required
windu10	wind speed	$ms^{-1}$	by most gas transfer velocity parameterisations
windu10_moment2	wind speed second moment		by most gas transfer velocity parameterisations
windu10_moment3	wind speed third moment		by some gas transfer velocity parameterisations
sigma0	radar backscatter	<i>dB</i>	by some gas transfer velocity parameterisations
sig_wv_ht	significant wave height	<i>m</i>	by some gas transfer velocity parameterisations
rain	precipitation	$mm\ day^{-1}$	when including precipitation effects (e.g. rain_wet_deposition or bias_sstskin_due_rain)
biology	chlorophyll-a concentration		when using biology process indicator layer
sstgrad	sea surface temperature gradient	$^{\circ}K\ m^{-1}$	when using sst gradients process indicator layer
mask	multipurpose mask	n/a	not required
atlantic_ocean_mask	Atlantic Ocean mask	none	when using Atlantic Ocean region indicator layer
pacific_ocean_mask	Pacific Ocean mask	none	when using Pacific Ocean region indicator layer
southern_ocean_mask	Southern Ocean mask	none	when using Southern Ocean region indicator layer
indian_ocean_mask	Indian Ocean mask	none	when using Indian Ocean region indicator layer
longhurst_mask	Longhurst provinces mask	none	when using Longhurst province indicator layer

## 7 Creating and modifying configuration files

### 7.1 Opening configuration files

Configuration files are stored as plain text files with a `.conf` file extension. This means they can be opened in any text editor. If you're using Windows you may find that when you open a configuration file all of the information is stored on a single line, making it difficult to work with. To avoid this you should open the configuration file in a text editor which can understand Unix line endings (e.g. notepad). There is a free lightweight piece of software called Notepad++ is perfect for this (<https://notepad-plus-plus.org/>).

The way that data layers and other parameters are defined inside the configuration file has changed from previous versions. Example annotated configuration files can be found in the `configs` directory. Parameters are specified in the config file by name, followed by an equals sign (=) and then the value. Note that in contrast to previous versions the equals sign is now required, but this means that parameter values can contain spaces and tabs. Both variable names and values are case sensitive, and comments can be added using the hash (#) character. Variables can be specified in any order although it is convenient to group related variables together. An example definition of two parameters is given below:

---

```
varname1 = 100.0
varname2 = test variable #trailing and preceeding whitespace is ignored
```

---

### 7.2 Configuration file parameters

A short summary of each parameter is given below.

`src.home`

The file path to the `fluxengine_src` directory. Note that this is no longer used in version 3.0, but is reserved and may be used in the future to distinguish between different versions of FluxEngine which may be installed on the same computer.

`flux.calc`

The flux calculation to perform. Valid options are:

- **bulk** - i.e.  $F = k\alpha_w(pCO_{2W} - pCO_{2A})$ , where  $F$  is the air-sea flux,  $k$  is the gas transfer velocity,  $\alpha_w$  is the solubility of the gas in sea water,  $pCO_{2W}$  is the partial pressure of  $CO_2$  in the surface sea water and  $pCO_{2A}$  is the partial pressure of  $CO_2$  in the atmosphere.
- **rapid** - As described in Woolf et al. (2012) *Journal of Geophysical Research: Oceans*.  $F = k(\alpha_w pCO_{2W} - \alpha_A pCO_{2A})$ .
- **equilibrium** - As described in Woolf et al. (2012) *Journal of Geophysical Research: Oceans*.

It should be noted that if you choose to use gas concentration (rather than fugacity or partial pressure) data for your inputs, the choice of `flux_calc` is superseded by the concentration data. For example, if both `conca` (interface concentration) and `concw` (sub-skin concentration) are calculated using the same solubility, then the `rapid` calculation collapses to the `bulk`. To avoid this `conca` should be calculated using solubility at the skin layer, while `concw` should be calculated using solubility from the sub-skin.

#### `temporal_resolution`

This is an optional parameter which defines the temporal resolution for which the flux calculation is computed. If the parameter is not defined in the configuration file it defaults to monthly. Different temporal resolutions should be defined using the `hh:mm` format to specify the length of timesteps in days, hours and minutes respectively. A full explanation, with examples, is given in section ??.

#### `use_sstskin, use_sstfnd, sst_gradients, cool_skin_difference`

Determines the source/s of sea surface temperature to use (skin or foundation or both). Valid values for `use_sstskin`, `use_sstfnd` and `sst_gradients` are `yes` or `no`. At least one of `use_sstskin` or `use_sstfnd` must be set to `yes`. If only one is specified and `sst_gradients` is set then the other is estimated using the following equation:

$$\text{sstskin} = \text{sstfnd} - \text{cool\_skin\_difference}$$

where `cool_skin_difference` is the difference in temperature between the foundation layer and the skin layer (in Kelvin). The default is 0.17K (see Donlon et al. 2002).

#### `saline_skin_value`

Saline skin value is added to salinity. It is an optional entry and will default to 0.0 if not specified.

#### `axes_data_layer, latitude_prod longitude_prod time_prod`

Specifies the data layer from which to extract the latitude, longitude and time data from. `axes_data_layer` must be the name of a data layer, e.g. `sstskin`, and all other input data layers will have their dimensions checked for consistency with the named data layer.

#### `pco2_reference_year, pco2_annual_extrapolation`

These are optional entries which can be used to specify a reference year from which  $\text{pCO}_2$  /  $\text{fCO}_2$  can be adjusted for. This applies an annual correction according to

---


$$\text{pco2\_increment} = (\text{year} - \text{pco2\_reference\_year}) * \text{pco2\_annual\_correction}$$


---

#### `datalayername_path, datalayername_prod`

These define each input data layer. A full explanation with worked examples is provided below (section 7.5).

`random_noise_windu10,`                      `random_noise_sstskin,`                      `random_noise_sstfnd,`

#### `random_noise_pco2`

These are optional variables which control whether random noise is added for each of their respective data layers. Valid values are `yes` or `no`. Note that currently the magnitude of noise must be modified directly in the source code (this is not advised unless absolutely necessary).

#### `bias_datalayername, bias_datalayername_value`

Setting `bias_datalayername` will add a constant value (defined by `bias_datalayername_value`) to the named data layer. This is applied after any random noise is added. Currently the following data layer names are supported: `windu10`, `sstskin`, `sstfnd` and `pco2`. Valid options for `bias_datalayername_value` are `yes` or `no` and default to `no` if not supplied. `bias_datalayername_value` must be a valid numeric value and defaults to 0.0 if not supplied.

#### `bias_k, bias_k_percent, bias_k_value, bias_k_biology_value, bias_k_wind_value`

These variables control the bias applied to `k` (the gas transfer velocity). `bias_k` and `bias_k_percent` but be set to either `yes` or `no` and control whether any bias is applied at all and whether the bias is added as an absolute value or as a percentage of the original value, respectively. Default values for both of these variables are `no`. `bias_k_value` requires a numeric variable (the default is 0.0) and controls the magnitude of the bias (whether as a percentage or absolute value). Bias is only added to `k` if the value of `windu10` at the same point in space is above a threshold specified by `bias_k_wind_value` (the default value is 0.0). Similarly the corresponding value for biology is below `bias_k_biology_value` (the default is 0.0).

#### `k_parameterisation`

This controls the way that the gas transfer velocity (`k`) is calculated. FluxEngine comes bundled with a number of `k` 'functors' - self-contained Python classes which take as input data layers, and write output to one or more data layers (typically the `k` datalayer). For a list of available parameterisations you can run the `ofluxghg_run.py` script with the `-list_parameterisations` option. Alternatively you can see the Python implementation of each `k` functor in `rate_parameterisation.py` file located in the `fluxengine_src` directory. These can be referred to by name in configuration files. User defined parameterisations can be added to this file and assigned to `k_parameterisation` by name in the config file. Before writing new `k` functors you should read the guide for developers (section 9), as this describes best practices and provides information on potential pitfalls.

#### `schmidt_parameterisation`

This sets the Schmidt number parameterisation to use. There are currently two options `schmidt_Wanninkhof1992` (the default) and `schmidt_Wanninkhof2014`. These are based on Wanninkhof's 1992 [?] and updated 2014 [?] Schmidt number parameterisations respectively.

#### `kb_asymmetry`

This is an optional parameter which controls the relative weighting given to the atmospheric concentration when calculating the bubble component (**kb**) of the gas transfer velocity. This allows the user to scale the relative importance of direct and bubble components when calculating total gas transfer velocity, such that larger values increase the importance of the bubble component. This is only used when **k\_parameterisation** is set to **kt\_OceanFluxGHG**. If it is not specified it defaults to 1.0 (no asymmetry).

**bias\_sstskin\_due\_rain,** **bias\_sstskin\_due\_rain\_value,**  
**bias\_sstskin\_due\_rain\_intensity, bias\_sstskin\_due\_rain\_wind**

These control whether and how rain (the **rain** data layer) influences sea surface temperature. **bias\_sstskin\_due\_rain\_value** turns this feature on or off (valid values are **yes** and **no**, with the default being **no**). If this feature is turned on, a constant bias (**bias\_sstskin\_due\_rain\_value**, default value of 0.0) will be added to sea surface temperature anywhere that **rain** intensity is greater than **bias\_sstskin\_due\_rain\_intensity** (default value 0.0) and wind intensity (the **windu10** data layer) is less than **bias\_sstskin\_due\_rain\_wind** (default is 0.0).

**rain\_wet\_deposition**

This option enables wet deposition. Valid values are **yes** or **no**, and the default value is **no**.

**k\_rain\_linear\_ho1997**

This option enables a linear additive gas transfer velocity term due to rain. A description of the method can be found in Ashton *et al.* (2016). Valid values are **yes** or **no**, and the default value is **no**.

**k\_rain\_nonlinear\_h2012**

This option enables a nonlinear additive gas transfer velocity term due to rain. A description of the method can be found in Ashton *et al.* (2016) and Harrison *et al.* (2012). Valid values are **yes** or **no**, and the default value is **no**.

**GAS**

This is an optional parameter which specifies the gas to calculate the air-sea flux of. Valid options are **co2**, **o2**, **n2o** and **ch4**. If not defined then the default **co2** will be used.

**output\_dir**

This specifies the root directory that will be used for writing output to. If the directory doesn't exist it will be created. Any subdirectories which are used to organise the output will be created in this folder.

**output\_structure**

This is an optional parameter which defines the way output will be organised into subdirectories. Tokens can be used in this definition of **output\_structure**. The default value is **<YYYY>/<MM>**, which will create a directory for each year that FluxEngine runs, and subdirectories for each month of each year. The resulting netCDF files will therefore be

organised first by year, then by month. This is the default output directory structure because it is same as that required by the `ofluxghg_net_budget.py` tool (see the section 8) to make running this tool convenient.

#### `output_file`

This is an optional parameter which defines the names of the output netCDF files produced by FluxEngine. Tokens can be used in the definition (see section 7.4). If it is not defined the default value of `OceanFluxGHG-month<MM>-<mmm>-<YYYY>-v0` is used. If the file already exists it will be overwritten.

#### `output_temporal_chunking`

This is an optional parameter which tells FluxEngine to combine output into fewer files. The default value of 1 means that each output file will contain one timestep. Setting to, for example, 12 means that one output file will be created for every 12 time steps and FluxEngine output will be placed in a temporal dimension of the output netCDF files. See section 7.10 for examples. The time range covered by each file depends on the temporal resolution (see `temporal_resolution` or section 7.7).

Full meta data, including a list of data layer names recognised by FluxEngine, default values and expected data types can be accessed in the `settings.xml` file in the `fluxengine_src` directory. *Note: It is strongly recommended that you do not modify this file.*

### 7.3 k parameterisation specific variables

Several `k_parametrisation` options require additional variables to be specified which change the way that the gas transfer velocity is calculated. These must be defined in the configuration file. In particular `k_generic` requires a Schmidt number to be defined `k_generic_sc` (valid values are 600.0 and 660.0) as well as weightings for each order of the generic gas transfer velocity equation, i.e. `k_generic_a0`, `k_generic_a1`, `k_generic_a2` and `k_generic_a3`.

`kt_OceanFluxGHG`, `kt_OceanFluxGHG_kd_wind` and `k_Wanninkhof2013` require that `kb_weighting` and `kd_weighting` be specified. These define the weighting for the bubble and direct components of the gas transfer velocity, as described in Goddijn-Murphy et al., (2015).

Other custom or third-party k parametrisations may require other variables to be specified and you should consult any documentation or guidance specific to the parametrisation being used, or examining the initialiser function (`__init__`) of the relevant parametrisation functor the `rate_parameterisation.py` file.

### 7.4 Tokens in file paths

Configuration files need to define a number of file paths. These include the location of various input data layers, the root output directory (`output_dir`), output directory structure (`output_structure`) and output file names (`output_file`). These file paths will often need to change depending on the date, or even time, that the data corresponds

to. FluxEngine uses several 'tokens' which allow time information to be substituted into file paths (or file names) to allow these to change depending on the point in time being analysed. Tokens are always prefixed by a less-than sign (<) and suffixed by a greater than sign (>). The following tokens are supported:

- <YYYY>-four digit year, e.g. 2010
- <YY>-two digit year, e.g. 10 for 2010
- <MM> - two digit numerical month, e.g. 01 for January
- <Mmm> - three character abbreviation of the month, e.g. Jan for January
- <MMM> - three character upper-case abbreviation of the month, e.g. JAN for January
- <mmm> - three character lower-case abbreviation of the month, e.g. jan for January
- <DD> - two digit day of the month, e.g. 01 for the 1st of the month. Defaults to 01 when `daily_resolution` is set to `no`
- <DDD> - three digit day of the year, e.g. 123 for the 3rd May (124 if it is a leap year). Defaults to the first of the month when temporal resolution is monthly
- <hh> - two digit hour specification in 24-hour format, e.g. 06 for six AM.
- <mm> - two digit minute specification, e.g. 05 for five minutes past the hour.

The only path you cannot use tokens in is the `src_home` entry.

## 7.5 Data layers in detail

The term 'data layer' is used to describe a geographical dataset, and any accompanying metadata, which is used by FluxEngine either as input, an intermediate product or output. Configuration files specify all the input data layers which will be needed for the flux calculation, but you only need to specify the input data layers which will be used given the specific options youve selected. For example you do not need to specify biology input files if the 'process indicator layers off' is set using the `-l` flag, and you do not need to specify a sea surface skin temperature data layer if `use_sstskin = no` is set in the config file. If you try to run the FluxEngine without the required inputs youll get an error message telling you which input data layer(s) are missing. If you specify data layers which are not needed for the calculation options specified they will simply be added as a variable in the netCDF output file(s).

### Data layer paths

To specify an input data layer the configuration file must specify a minimum of two attributed: a path to the netCDF / .nc file, and a prod (variable name within the netCDF file). The path can be absolute or relative. Windows users might experience

some problems using absolute paths. If you have problems with this please let me know (tom: t.m.holding@exeter.ac.uk) and Ill try to fix it. Path are specified in the config file using the data layer name with the `_path` suffix, like so:

---

```
datalayername_path = path/to/data/filename.nc
```

---

One important change in this version of FluxEngine is that you should specify the path including a the filename for the netCDF file, rather simply a directory. This provides greater flexibility when working with data from many different sources. To help with this two standard Unix glob patterns can be used to specify patterns of file names: `?` and `*`. These will match any single character/digit, or any number of characters/digits (including no characters), respectively. For example to specify the location of ice coverage data you could use:

---

```
ice_path = path/to/data/20100101_???-ice*.nc
```

---

This will match any file with a name that starts with `20100101_` followed by any three characters/digits, then the characters `-ice`, followed by any number of characters/digits and ending in `.nc`. Note that glob patterns cannot be used to match directory names and can only be used to specify the pattern that FluxEngine will use to match the netCDF file itself.

In most cases the file you want to use as input will depend on the point in time that you are analysing. In this case you can use tokens (described in section 7.4) to specify date and time related changes to file or directory names. For example, if your ice coverage data files are prefixed with the year and month they were recorded, and organised into subdirectories for each year, `ice_path` might be defined like this:

---

```
ice_path = path/to/data/<YYYY>/<YYYY><MM>_???-ice*.nc
```

---

Here `<YYYY>` will be replaced with the four digit year (e.g. 2010 for 2010) and `<MM>` will be replaced with the two digit representation of the month (e.g. 01 for January).

## Data layer products

The second required attribute of a data layer is its product (or 'prod'). This is the name of the variable within the netCDF file. It is specified in the configuration file by using the `_prod` suffix with the data layer name. The minimal specification (in this case for the 'ice' data layer) could therefore looks like this:

---

```
ice_path = path/to/data/<YYYY>/<YYYY><MM>_???-ice*.nc
ice_prod = sea_ice_fraction_mean
```

---

## 7.6 Using tokens to reuse selected data

Tokens can be used in directory names allowing your to reuse data for selected inputs. For example, you may have data for multiple years of sea surface temperature, but only



one year's worth of salinity data (or this may be a multi-year average). In this case you can reuse the salinity data for each year you have of other data. To do this simply specify the file path of the salinity data by hard-coding the year string into the file path, while specifying other data layers using tokens as usual. This could look as follows:

---

```
salinity_path = path/to/data/2010/2010<MM>_woa-salinity.nc
sstfnd_path = path/to/data/<YYYY>/<YYYY><MM>_OCF-SST-GLO-1M-???-REYNOLDS.nc
```

---

### 7.6.1 Optional data layer attributes

There are several optional attributes which can be configured for each data layer. They can be set using the same `data_layername_suffix` notation used for the path and products above. These are:

- `_stddev_prod` - product name of a variable containing standard deviation data for the data layer
- `_count_prod` - product name containing the number of samples used to calculate standard deviation
- `_netCDFName` - the variable name used to label this data layer in the output netCDF file/s
- `_units` - a string description of the units
- `_minBound` - minimum allowed value)
- `_maxBound` - maximum allowed value
- `_standardName` - short standardised description of the variable/data layer
- `_longName` - human readable description of the variable/data layer
- `_temporalChunking` - the number of time points in each file (see section 7.8)
- `_temporalSkipInterval` - sets skip interval between temporal indices
- `_timeDimensionName` - specify the name of the time dimension (default is `time`)

For example to overwrite the `minBound` and `maxBound` attributes for the ice coverage data layer as well as rename it in the output files you can add the following lines to a configuration file:

---

```
ice_minBound = 0.0
ice_maxBound = 100.0
ice_netCDFName = ice_percent
```

---

Any value outside of this range will be replaced with missing values.

Default metadata values are stored in `settings.xml` in the `fluxengine_src` directory, but shouldn't usually be modified because this will change the behaviour for all FluxEngine runs. These values can always be overwritten in the configuration file as described above.

### 7.6.2 Data layer preprocessing

It is sometimes convenient to apply some pre-processing to a data layer before it is used for any computations. There is an additional data layer attribute which allows the user to specify a list of functions to be applied immediately after the data layer read in. A number of simple preprocessing functions are bundled with FluxEngine (these can be listed by running `ofluxghg_run.py` with the `-list_preprocessing` flag, or by viewing the functions directly in the `data_preprocessing.py` file in the `fluxengine_src` directory). For users comfortable with python, custom pre-processing functions can be added to `data_preprocessing.py`. These will be automatically detected when running FluxEngine available to use in configuration files. Before modifying this file you should familiarise yourself with the guide for developers notes in section 9.

To specify pre-processing functions the `_preprocessing` suffix is used with a list of function names separated by commas. Each function will be applied in the order it appears in this list. For example adding the following line to a config file will first transpose the 2D matrix, then convert from Kelvin to Celsius:

---

```
sstskin_preprocessing = transpose, kelvin_to_celsius
```

---

Note that no checks are made to ensure the original values are in Kelvin to begin with, and it is up to the user to ensure that any pre-processing functions are applied appropriately.

## 7.7 Working with different temporal resolutions

The temporal resolution over which the FluxEngine will undertake the flux computation is, by default, one month. This can be changed by defining a new timestep in the configuration file by setting `temporal_resolution`. The required format is `D hh:mm` for the number of days, hours and minutes between timesteps, and it is important that the hour and minute components are exactly two digits (so there should be a preceding 0 if necessary). Four examples are given below, which define a time step of one week, twelve hours, one hour and 30 minutes, and five minutes, respectively:

---

```
temporal_resolution = 7 00:00 #one week timestep
temporal_resolution = 0 12:00 #twelve hour timestep
temporal_resolution = 0 01:30 #one hour and 30 minutes time step
temporal_resolution = 0 00:05 #five minute time step
```

---

The temporal resolution should not be higher (smaller time step) than that of the highest resolution input data, otherwise FluxEngine will duplicate some calculations. If

temporal resolution is set lower (larger time step) than that of your highest resolution input data then FluxEngine will not use all of this data. Depending on your requirements, this may be what you want, but could indicate that higher resolution inputs should be re-analysed to create a matching data set which has a lower temporal resolution.

When working with higher temporal resolutions than the default monthly resolution, it will be necessary to modify the output filenames and/or the directory structure. Leaving them as the default is likely to result in FluxEngine overwriting some of the previous outputs. See section 7.9 for examples of how to do this.

## 7.8 Indexing input data using the temporal dimension

Data are sometimes formatted as netCDF files which utilise a temporal dimension in addition to two spatial dimensions (longitude and latitude). Configuration options are provided to allow FluxEngine to utilise input data which use a temporal dimension. The `datalayername_temporalChunking` option can be set for any input data layer and indicates how many time steps are in a single input file. It is therefore important to set this in the context of the temporal resolution over which FluxEngine will be run. For example, if you are using FluxEngine to calculate gas fluxes with a daily resolution and your input wind speed data files provide daily resolution but contain a single file for each week, your configuration file should include something like the following:

---

```
temporal_resolution = 1 00:00 #daily temporal resolution
windu10_path = path/to/wind_data<DDD>.nc
windu10_prod = windspeed_mean
windu10_temporalChunking = 7 #Each file contains 7 time points
```

---

## 7.9 Setting output filenames and directory structure

You can define the output file names by setting `output_file` in the configuration file. For example, when using a temporal resolution of one day you'll need to ensure output file names are unique so they should include the day that the output corresponds to. Below are two possible ways you could define this:

---

```
output_file = OceanGluxGHG_output_<YYYY>_<DDD>.nc #year and day of the year
output_file = OceanGluxGHG_output_<YYYY>_<MM>_<DD>.nc #year, month and day of
               the month
```

---

Similarly, it might be convenient for FluxEngine to use a different directory hierarchy to organise the output. The default output directory structure is `<YYYY>/<MM>` to be compatible with the flux budgets tool, but to define a custom output directory structure you can specify `output_structure` in the configuration file. This can be another way to ensure that output files have unique file paths/names. For example, to group output files by year and day, you could use

---

```
output_structure = <YYYY>/<DDD> #e.g. 1991/019 for 19th Jan 1991
```

---

or to group output files by year and month, then by day

---

```
output_structure = <YYYY>_<MM>/<DD> #e.g. 1991_01/19 for 19th Jan 1991
```

---

## 7.10 Grouping output from multiple time points into a single file

FluxEngine output for more than one time point can be written to a single file. In this case each netCDF variable will contain a temporal dimension. This can be useful when running FluxEngine at high temporal resolution to prevent generating hundreds or thousands of separate files. To do this, set the `output_temporal_chunking` option in the configuration file, which defines how many time steps to group into each file. For example, if you're running FluxEngine with an hourly resolution, you might want to have a single file per day. This can be achieved by including

---

```
output_temporal_chunking = 24 #24 timesteps in each output file
```

---

## 7.11 Filtering input data using a mask

You can specify a mask by specifying the path and prod for the `mask` data layer. The mask must have the same spatial dimensions as the input data. It specifies for which grid cells the flux calculation should be performed (where the mask is non-zero) and which grid cells should be ignored (where the mask is equal to zero). The usual data/time tokens can be used when specifying the path of a mask, allowing different masks to be used for different time points (e.g. if filtering out grid cells with high wind speed). An example configuration file snippet to define a mask is as follows:

---

```
mask_path = data/mask/<YYYY><MM>_maskfile.nc  
mask_prod = high_winds
```

---

# 8 Bundled tools

The `tools` subdirectory in the `flexengine_src` directory contains various tools designed to be used in conjunction with FluxEngine. A description of how to use each tool, and a list of each their command line options, can be viewed by running the tool with the `-h` or `-help` option. A short description of each tool is given below.

`ofluxghg_flux_budgets.py` - Calculates integrated net air-sea gas fluxes from FluxEngine output.

`resample_netcdf.py` - Resamples a 1° by 1° netCDF data to a 5° by 4° grid. This tool is kept for historic reasons as it was used to resample the input data for validating against published Takahashi climatology.

`text2ncdf.py` - Converts in situ data to a netCDF file. See section 8.3 for example

usage.

`reanalyse_socat_driver.py` - Generates fCO<sub>2</sub> reanalysed to a consistent temperature and depth. See section 8.2 for details and example usage.

`append2insitu.py` - Appends FluxEngine output to text formatted data. Intended to allow FluxEngine output to be added as columns for *in situ* data.

`ncdf2text.py` - Converts netCDF file (e.g. a FluxEngine output file) to a flat text file.

`compare_net_budgets.py` - Simple functions which compare net budgets between two runs. Examples of their use can be found in the verification scripts (see section 4). To use these you must first import them into a python project using `import fluxengine_src.tools.compare_net_budgets`.

`validation_tools.py` - This file contains several functions to perform common verification tasks, such as comparing the netCDF outputs of two FluxEngine runs, or calculating and comparing the global flux budgets between a new run and a reference data set. To access these functions they must be imported into a python project (e.g. by using `import fluxengine_src.tools.validation_tools`). The function which is more likely to be useful is `validation_run`. A description of how to use this function is provided in `validation_tools.py`.

## 8.1 Calculating net fluxes with `ofluxghg_flux_budgets.py`

There is currently only supports monthly FluxEngine output, and calculated the flux for each month in addition to an annual total. FluxEngine output is used as input to the tool and must adhere to a <YYYY>/<MM> output directory structure (this is the default, see section 7.9 for details). Fluxes can be calculated regionally by setting the region(s) you'd like to calculate fluxes for. After running the tool a single text file will be generated for each region. This file contains a monthly breakdown of the estimated net, missing and gross downward (into the ocean) and upward (into the atmosphere) flux. These regions must correspond to the values of regions in the region mask file. Usage of the tool is as follows:

---

```
python ofluxghg-flux-budgets.py -d FluxEngine/output -v -lf land_mask_file.nc
    -mf region_mask_file.nc -o your/output/directory
```

---

The `-d` or `--dir` option specifies the directory to the FluxEngine output which the tool will use to calculate the net flux. The `-v` option increases verbosity (prints extra information for the user). The `-lf` or `--landfile` options specify a netCDF file with a variable describing the proportion land in each grid cell. An additional option `--landdataset` (or `-ld`) specifies the variables/product name in the land netCDF file, but defaults to `land_proportion` if not set. Similarly `-mf` or `--maskfile` defines the region mask netCDF file while `--maskdatasets` or `-md` specifies the variable names of each region's mask within the netCDF file. Finally, the `-o` or `--outroot` option defines the root directory to which `ofluxghg-flux-budgets.py` will write output files. Note

that the FluxEngine output, land file and region mask must all have the same spatial dimensions (grid size). Region names can be set using `-r` or `--regions`, and there must be one for each mask dataset used (defaults to 'global', e.g. `--maskdatasets` is not set).

Examples of how land and mask files should be formatted can be seen by examining the land and mask files used in the verification scripts. These are located `FluxEngine-master/data/onedeg.land.nc` and `FluxEngine-master/data/World.Seas-final-complete_IGA.nc`, respectively.

## 8.2 Using `reanalyse_socat` to reanalyse data to a consistent temperature and depth

`reanalyse_socat` is an external tool which comes bundled with FluxEngine and which implements the method described by Goddijn-Murthy (2015) to reanalyse  $fCO_2$  /  $pCO_2$ , sampled from water with different instantaneous temperatures, to a consistent temperature field. An driver script (`reanalyse_socat_driver.py`, in the `tools` directory) is provided to facilitate using this script with *in situ* data via the command line. This tool has many options, which can be viewed by running it with the `-h` command:

---

```
python reanalyse_socat_driver.py -h
```

---

A simple example command to generate a netCDF file containing  $fCO_2$  values from a tab delimited text file is as follows:

---

```
python reanalyse_socat_driver.py -socat_dir path/to/data.tsv -sst_dir
path/to/ReynoldsSST/ -sst_tail 01_OCF-SST-GLO-1M-100-REYNOLDS.nc
-output_dir output/path -socatversion 6 -usereynolds -startyr 2008 -endyr
2015
```

---

The `-socatversion 6` option tells the tool to expect column headings to conform to those used by SOCATv6. If your data header does not conform to a SOCAT naming convention you can specify each column names for each of the required variables (see the available options using the `-h` option for details).

To produce output as text files instead of NetCDF files, use the `-asciioutput` option.

Example options can be found in the comments at the top of the `reanalyse_socat_driver.py` file.

## 8.3 Using `text2ncdf` to create FluxEngine compatible NetCDF files

FluxEngine requires all input data to be supplied in NetCDF files. While FluxEngine will accept correctly formatted NetCDF files that have been created by any method, the `text2ncdf` tool (in the `tools` directory) is provided as a convenient and flexible tool to convert flat text formatted data into NetCDF files. The tool can be imported as a python module or can be operated through the commandline. Basic operation using the commandline is as follows:

```
python fluxengine_src/tool/text2ncsf.py inFiles inputfile1.tsv inputfile2.tsv
--startTime 2010-01-01 --endTime 2010-12-31 --ncOutPath
root/output/path/file.nc --delim '\t' --latProd latitude --lonProd
longitude --latResolution 1 --lonResolution 1 --dateIndex 0
--temporalResolution '0 12:00' --colNames 1 2 5 'SST [C]' 'windspeed
[ms-1]' --parse_units --limits -90 90 -180 180
```

---

This will convert two files (`inputfile1.tsv` and `inputfile1.tsv`) into NetCDF files for the year 2010. Output files will be saved to `root/output/path`. The delimiter separating values in the input files is defined as a tab, and the latitude and longitude column names in the input files are `latitude` and `longitude` respectively. Output files will consist of a  $1^\circ$  by  $1^\circ$  grid, with means, counts and standard deviations calculated for this grid size. `--dateIndex` specifies the column index containing the date/time. The `--temporalResolution` defines the time step used (by default a separate output file will be created for each time point). The `colNames` option allows you to specify column names (or indices, or a mixture of names and indices) to be converted to NetCDF (indexes start from 0). This should not include longitude, latitude or data/time columns, as these are specified separately by `--lonProd`, `--latProd` and `--dateIndex`, respectively. In this case column numbers 1, 2 and 5, as well as columns with the names `SST [C]` and `windspeed [ms-1]`, will be converted. The `--parse_units` option tells the tool to automatically interpret any text in the header which is contained between square brackets (`[` and `]`) as the units, which will be added as metadata in the output NetCDF.

As with other tools, a full description of the various options can be found by running the tool using the `-h` option. This description will always contain the most up to date description of the options and usage.

## 9 Guide for developers

In FluxEngine v3.0 we have made changes to make it easier for people to modify and extend the functionality of FluxEngine. We have implemented a more consistent structure to the code and added the ability to easily extent certain aspects of FluxEngine's functionality without modifying the core code. The following sections provide some background information on how to do this. This is intended for users who are comfortable programming in Python.

### 9.1 Adding pre-processing functions

Pre-processing functions are defined in `data_preprocessing.py` in the `fluxengine_src` directory. When parsing `_preprocessing` entries in configuration files FluxEngine searches the function names defined in this file, and so any function which is added will be immediately available for use as a pre-processing function. However, there are certain requirements for the function to operate harmoniously with FluxEngine. These are listed below:

- Pre-processing functions must have a single argument, and this must be the

`DataLayer` instance which corresponds to the input data layer which is being transformed. Detailed of the `DataLayer` class can be found in `DataLayer.py`.

- `DataLayers` should be modified in place. Returned values are ignored.
- Pre-processing functions should only modify the (1D) `fdata` attribute of the `DataLayer` instance. The exception to this is if it is convenient to modify 2D view of this (the `data` attribute), in which case you must call `datalayer.calculate_fdata()` afterwards. This is because, while in most cases `fdata` is a view of `data`, in some cases `fdata` may be a copy of `data` and hence any changes to `data` will not be reflected in `fdata`. `fdata` is used for all calculations, so it is important that any changes are copied to this attribute.
- If a pre-processing function modifies the dimensions or any of the meta data associated with a *DataLayer* it must also manually update the relevant attributes as these will not be automatically reflected.

## 9.2 Adding k-parametrisation functors

The gas transfer velocity calculation is fully customisable by adding a 'functor' class to the `rate_parameterisation.py` file. Functors are classes which are callable. This class must be derived from the `KCalculationBase` class and implement four functions:

- `__init__` - Initialises the class. This must, at a minimum, set `self.name`. You can add any arguments which the class needs to initialise to the function signature and provided they are added as variables with the same name/s in the configuration file they will be automatically passed to the functor during initialisation (see the notes on adding configuration variables below).
- `input_names` - This should return a list of `DataLayer` names (strings) which are required as inputs to the gas transfer velocity calculation.
- `output_names` - This should return a list of `DataLayer` names which are modified or written to. These can be existing or new `DataLayers`. Any non-existing `DataLayers` will be created in the correct dimensions (but filled with missing values) by FluxEngine prior to performing the gas transfer velocity calculation.
- `__call__` - This performs the gas transfer velocity calculation, and will contain all the implementation details for your specific case. In addition to `self` an argument called `data` is passed to this function which contains a dictionary of each `DataLayer` available to FluxEngine. These can be assessed by using the `DataLayer` name as a key. Note that you should not create new `DataLayer` instances, add entries to this dictionary or change `DataLayers` which are not listed by name in the list returned by `output_names`.

It is best practice to modify the 1D `DataLayer.fdata` attribute of output `DataLayers`. If the 2D `DataLayer.data` attribute is modified you should update



the `fdata` attribute by calling `DataLayer.calculate_fdata` for the `DataLayers` which have changed. This is because, while in most cases `fdata` is a view of `data` to avoid unnecessary duplication, this is not guaranteed on all systems.

The final gas transfer velocity output should usually be written to the `k` data layer, as this is what will be used by `FluxEngine` to calculate air-sea gas flux. Additionally, it can be a good idea to set the `DataLayer.long_name` and `DataLayer.short_name` attributes of `k` to provide a description of the parameterisation used because this will be copied to the output netCDF files.)

An example implementation, as well as all the pre-bundled gas transfer velocity functors, can be found in `rate_parameterisation.py` in the `fluxengine_src` directory.

### 9.2.1 Adding configuration variables

You can add variables to the configuration file and these will be immediately available in the flux engine code (encapsulated in the `runParams` 'namespace'). For example, if you add

---

```
my_new_var = 100.0
```

---

to the configuration file. This can be referenced in the `FluxEngine` code using `runParams.my_new_var`. This is utilised by different `k`-parameterisation functors which require additional variables to initialise correctly (see the definition of `k_generic` in `rate_parameterisation.py` for an example).

Additional configuration variables are interpreted as a float if they're formatted as a valid float, otherwise they're interpreted as a string. You can specify the type of input which is required, or add constraints and/or metadata associated with a configuration variables by adding an entry to `settings.xml` (found in in the `fluxengine_src` directory). *Modifying entries for pre-existing variables is not recommended.*

To avoid naming conflicts (since all config variables are imported to the same namespace) it is good practice to add a prefix to the name of any custom configuration variables. Typically this should be the name of the `k`-parameterisation functor it is associated with. For example, the `k_generic` functor requires 5 additional variables each of which begin with `k_generic` (e.g. `k_generic.sc`).

## 9.3 Contributing

If you're a git user you can fork the `FluxEngine` repository at <https://github.com/oceanflux-ghg/FluxEngine> and if you develop extensions or functionality which might be useful to the wider community, or spot and fix any bugs, you can share them by sending us pull requests. Alternately, if you don't know what any of that means but you've developed an extension or fixed a bug which you think will be useful to others, you can e-mail me at [t.m.holding@exeter.ac.uk](mailto:t.m.holding@exeter.ac.uk).