

# Chapter 5

## 문맥 자유 문법과 파싱 알고리즘 - **Part I**

# 목차

01 context free grammar(문맥 자유 문법)

02 derivation(유도, 파생)

03 parse tree(파스 트리)

04 ambiguous grammar(모호한 문법)

05 문법 변환

06 푸시다운 오토마타

# Overview

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## ■ Parsing(=syntax analysis)

- 문장의 구성 요소를 낱낱이 분석

## ■ Context-Free Grammar (CFG)

- 문법(Grammar) → 구문(Syntax) → 생성 규칙(production rules)

## ■ Parsing Algorithm

- top-down parsing 과 bottom-up parsing

# Table of Contents

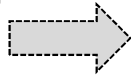
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- **Parsing Process**
- Context Free Grammars
- Derivation
- Parse Trees

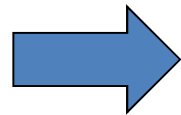
# Parsing Process

입력 문장)

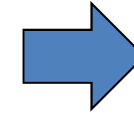
$a = b + c;$



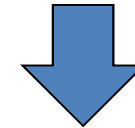
$token\ 1 = token\ 2 + token\ 3;$



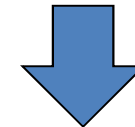
어떤 문장인가?  
(구문 선택)



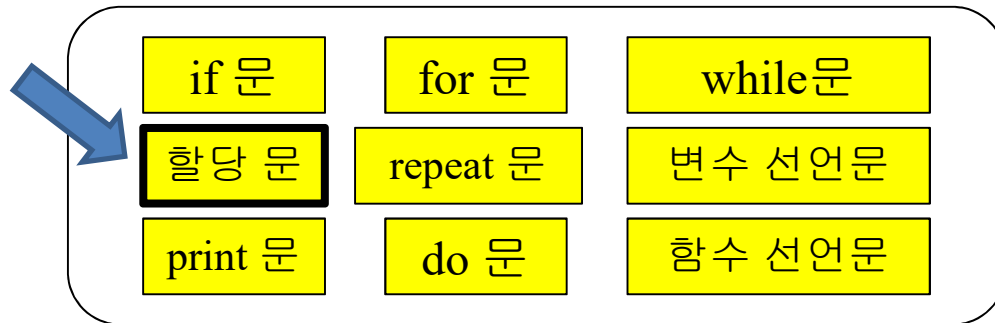
선택된 구문과  
입력 문장을 비교



$LHS = RHS ;$



**Parsing**  
(구문 트리 생성)



구문(문장 구조)은 **grammar** 에 정의되어 있음

## 9-5+2 : Is it *right* or *wrong* ?

---

### ■ What are the *tokens*?

9, 5, 2

+, -

### ■ We need specific rules.

list  $\rightarrow$  list + digit

list  $\rightarrow$  list - digit

list  $\rightarrow$  digit

digit  $\rightarrow$  0 | 1 | 2 | ... | 9

### ■ What does the above *rules* mean?

# A set of specific rules = Grammar

---

## ■ Specific Rules (called **grammar**)

list  $\rightarrow$  list + digit

list  $\rightarrow$  list – digit

list  $\rightarrow$  digit

digit  $\rightarrow$  0 | 1 | 2 | ... | 9

list  $\rightarrow$  list + digit | list – digit | digit  
digit  $\rightarrow$  0 | 1 | 2 | ... | 9

# Single rule = one possible structure of a Nonterminal

---

## ■ Rules

list  $\rightarrow$  list + digit

list  $\rightarrow$  list – digit

list  $\rightarrow$  digit

digit  $\rightarrow$  0 | 1 | 2 | ... | 9

list  $\rightarrow$  list + digit

list can have the form of list + digit

That is, list can be replaced with list + digit



Choose the **correct** rule for the input.

---

■ Do check its **syntactical correctness**.

If  $9-5+2$  were a *list*, then

$9-5 \Rightarrow \textit{list}$  and  $2 \Rightarrow \textit{digit}$

*It's working. Why?*

list  $\rightarrow$  list + digit | list - digit | digit  
digit  $\rightarrow$  0 | 1 | 2 | ... | 9

Choose the **incorrect** rule for the input.

---

■ Do check its *syntactical correctness*.

If  $9-5+2$  were a *list*, then

$9 \Rightarrow \textit{list}$  and  $5+2 \Rightarrow \textit{digit}$

*It's not possible. Why?*

list  $\rightarrow$  list + digit | list - digit | digit  
digit  $\rightarrow$  0 | 1 | 2 | ... | 9

# Parsing = Syntax analysis

- Thus, we can check its *syntactical correctness*.

If  $9-5+2$  were a *list*, then

$9-5 \Rightarrow \textit{list}$  and  $2 \Rightarrow \textit{digit}$

If  $9-5$  were a *list*, then

$9 \Rightarrow \textit{list}$  and  $5 \Rightarrow \textit{digit}$

If  $9$  is a *list*, then  $9 \Rightarrow \textit{digit}$

list	→	list + digit
list	→	list - digit
list	→	digit
digit	→	0   1   2   ...   9

- This process is called *parsing* (*syntax analysis*)

# Table of Contents

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- Parsing Process
- **Context Free Grammars**
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# 자연 언어 vs 인공언어

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## ■ 자연 언어(*natural language*) : *informal method*

### ■ “넘어져서 다리를 다쳤어”

- 정보가 정확하지 않음 → 어쩌다가? 많이 다쳤니? 언제 그랬어? ...
- **Ambiguous** (애매/모호) → **Double Meaning** (이중 의미)

## ■ 인공 언어(*artificial language*) : *formal method*

### ■ 5W1H principles (누가, 언제, 어디서, 무엇을, 어떻게, 왜)

- 나는 어제 집 앞에서 자전거를 타다가 한 눈을 팔다 넘어져서 다리를 다쳤어

### ■ **Well-Formed Formula**

- *Programming language = formal language*

# Context Free Grammar (CFG) (1/2)

CFG는 4가지 요소를 갖고 있다.

$$G = \{T, N, S, P\}$$

1.  $T$  : A set of terminals (or tokens)

atomic symbols of the language

English :  $a, b, c, \dots, z$

CFG :  $( \ ) \ + \ - \ * \ \text{number}$

2.  $N$  : A set of nonterminals

*variables* denoting language constructs

English : *Noun, Verb, Adjective, Adverb, ...*

CFG :  $\text{exp}, \text{op}$

$\begin{aligned} \text{exp} &\rightarrow \text{exp op exp} \mid ( \text{exp} ) \mid \text{number} \\ \text{op} &\rightarrow + \mid - \mid * \end{aligned}$
--

## Context Free Grammar (2/2)

3.  $P$  : A set of rules called *productions*  
for generating expressions of the language.  
**nonterminal** ::= a *string* of terminals and nonterminals

English : *Sentence* ::= *Noun Verb Noun*

**CFG** :  $exp \rightarrow exp \ op \ exp \mid ( \ exp \ ) \mid \textit{number}$

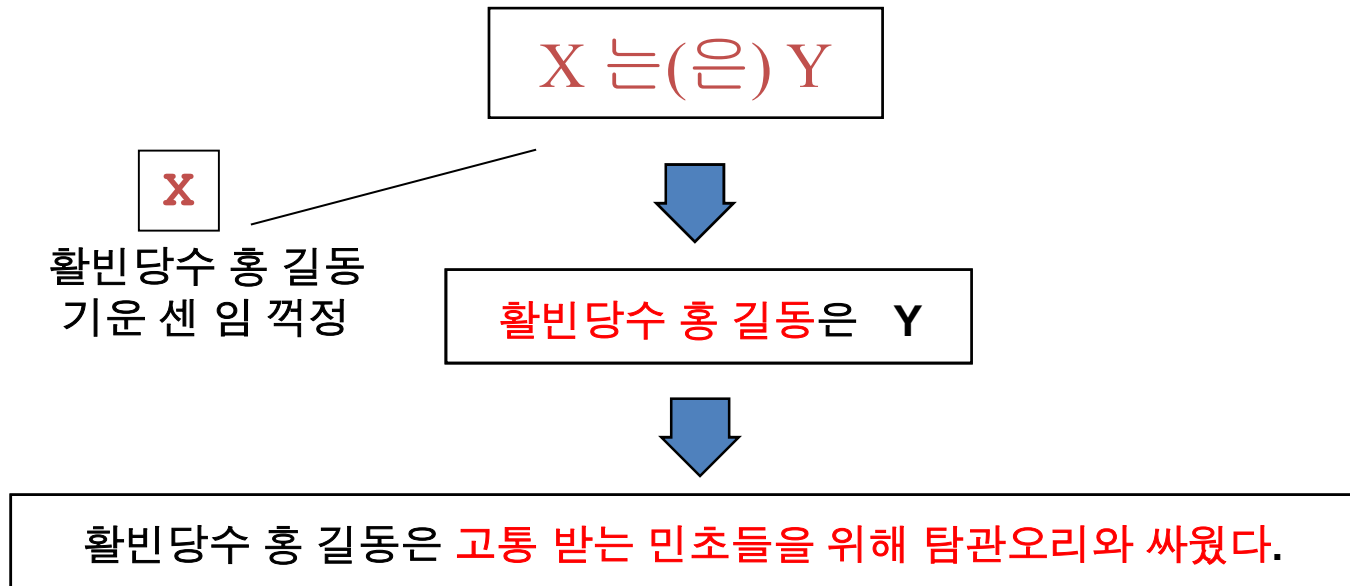
4.  $S \in N$  : A nonterminal chosen as *the start symbol*  
represents the main construct of the language.

English : *Sentence*

**CFG** :  $exp$

$\begin{aligned} exp &\rightarrow exp \ op \ exp \mid ( \ exp \ ) \mid \textit{number} \\ op &\rightarrow + \mid - \mid * \end{aligned}$
--

# 비단말 기호(Nonterminal)란?



*Nonterminals* : 가능한 내용을 대표하는 상징적 기호. 예: 사람

*Terminals* : 구체적 사실. 바뀔 수 없음. 예: 홍 길동

**Sentential form** : 완전한 문장이 아님 → Nonterminal 기호를 갖고 있음.

**Sentence** : 완전한 문장 → Nonterminal 기호가 없음.

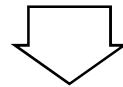


# CFG에서 문장 구조 정의 방식

## ■ Hierarchical structure (계층 구조)

## ■ Recursion (순환 정의)

*if* (expression) statement *else* statement



$Stmt \rightarrow \text{if} ( Expr ) Stmt \text{ else } Stmt$

```
if (a > b)
    if (a > c) max = a; else max = c;
else
    if (b > c) max = b; else max = c;
```

# Backus-Naur Form (BNF)

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$$\begin{aligned} \text{exp} &\rightarrow \text{exp op exp} \mid ( \text{exp} ) \mid \textit{number} \\ \text{op} &\rightarrow + \mid - \mid * \end{aligned}$$
$$\begin{aligned} \langle \text{exp} \rangle &::= \langle \text{exp} \rangle \langle \text{op} \rangle \langle \text{exp} \rangle \mid (\langle \text{exp} \rangle) \mid \text{NUMBER} \\ \langle \text{op} \rangle &::= + \mid - \mid * \end{aligned}$$

## 예 1 : 다양한 문법 표현

■  $\text{exp} \rightarrow \text{exp op exp} \mid ( \text{exp} ) \mid \text{number}$   
 $\text{op} \rightarrow + \mid - \mid *$

■  $E \rightarrow E O E \mid ( E ) \mid n$   
 $O \rightarrow + \mid - \mid *$

■  $\text{exp} \rightarrow \text{exp } ( "+" \mid "-" \mid "*" ) \text{ exp}$   
|  $" (" \text{exp} ")"$   
|  $\text{number}$

$\text{exp} \rightarrow \text{exp op exp}$   
 $\text{exp} \rightarrow ( \text{exp} )$   
 $\text{exp} \rightarrow \text{number}$   
 $\text{op} \rightarrow +$   
 $\text{op} \rightarrow -$   
 $\text{op} \rightarrow *$

# Table of Contents

---

- Parsing Process
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## Derivation (1/2)

■ (34 - 3) \* 42 가 문법에 맞는가?

→ (number - number) \* number

$\begin{aligned} \text{exp} &\rightarrow \text{exp op exp} \mid ( \text{exp} ) \mid \textit{number} \\ \text{op} &\rightarrow + \mid - \mid * \end{aligned}$
--

## Derivation (2/2)

(**number** - **number**) \* **number** 가 문법에 맞는가?

■ Derivation for the above expression

**exp**  $\Rightarrow$  **exp op exp** [exp  $\rightarrow$  exp op exp]

$exp \rightarrow exp\ op\ exp \mid ( exp ) \mid \textit{number}$   
 $op \rightarrow + \mid - \mid *$

## Derivation (2/2)

(**number** - **number**) \* **number** 가 문법에 맞는가?

■ Derivation for the above expression

<b>exp</b> $\Rightarrow$ <b>exp</b> op <b>exp</b>	[exp $\rightarrow$ exp op exp]
$\Rightarrow$ <b>exp</b> op <b>number</b>	[exp $\rightarrow$ <b>number</b> ]

$exp \rightarrow exp\ op\ exp \mid ( exp ) \mid \textit{number}$
$op \rightarrow + \mid - \mid *$

## Derivation (2/2)

(**number** - **number**) \* **number** 가 문법에 맞는가?

■ Derivation for the above expression

<b>exp</b> $\Rightarrow$ <b>exp</b> <b>op</b> <b>exp</b>	[ <b>exp</b> $\rightarrow$ <b>exp</b> <b>op</b> <b>exp</b> ]
$\Rightarrow$ <b>exp</b> <b>op</b> <i>number</i>	[ <b>exp</b> $\rightarrow$ <i><b>number</b></i> ]
$\Rightarrow$ <b>exp</b> * <i>number</i>	[ <b>op</b> $\rightarrow$ *]

$exp \rightarrow exp\ op\ exp \mid ( exp ) \mid \textit{number}$
$op \rightarrow + \mid - \mid *$



## Derivation (2/2)

**(number - number) \* number** 가 문법에 맞는가?

■ Derivation for the above expression

<b>exp</b> $\Rightarrow$ <b>exp</b> <b>op</b> <b>exp</b>	[ <b>exp</b> $\rightarrow$ <b>exp</b> <b>op</b> <b>exp</b> ]
$\Rightarrow$ <b>exp</b> <b>op</b> <i>number</i>	[ <b>exp</b> $\rightarrow$ <b>number</b> ]
$\Rightarrow$ <b>exp</b> * <i>number</i>	[ <b>op</b> $\rightarrow$ *]
$\Rightarrow$ ( <b>exp</b> ) * <i>number</i>	[ <b>exp</b> $\rightarrow$ ( <b>exp</b> ) ]

$exp \rightarrow exp\ op\ exp \mid ( exp ) \mid \mathbf{number}$
$op \rightarrow + \mid - \mid *$

## Derivation (2/2)

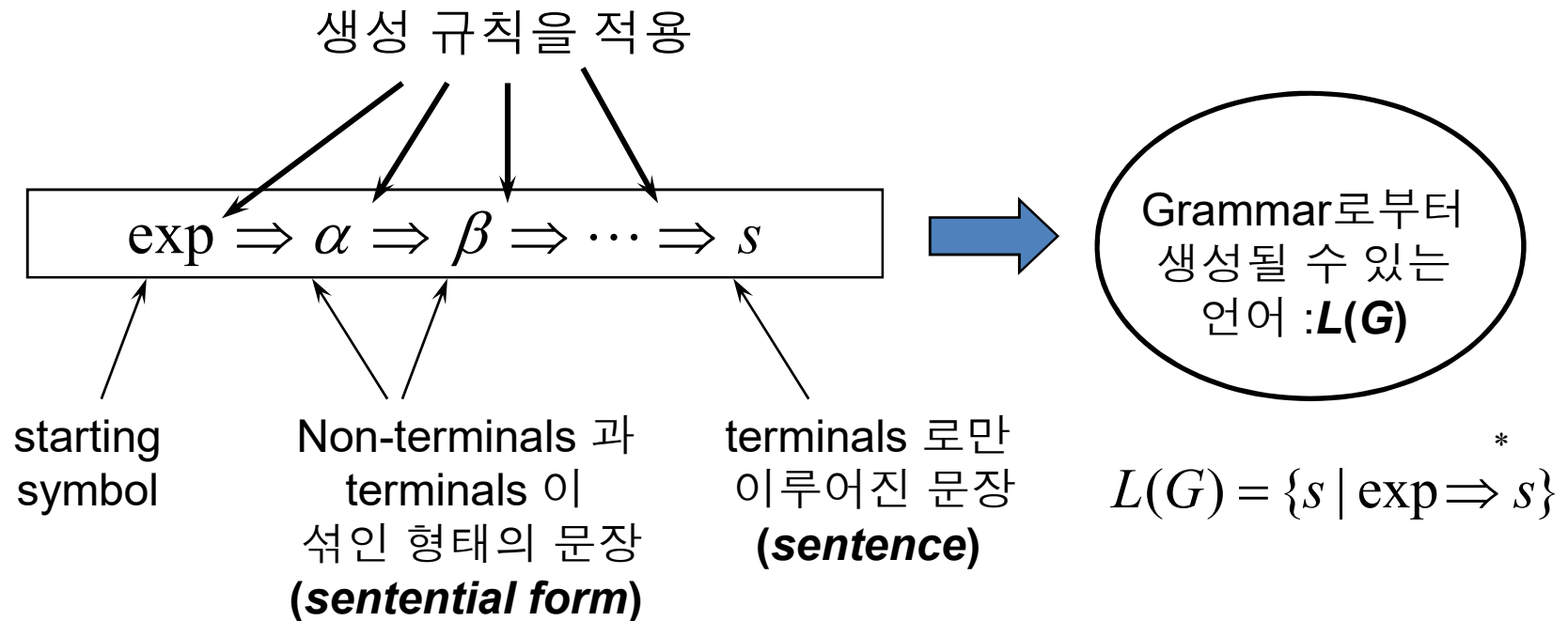
(**number** - **number**) \* **number** 가 문법에 맞는가?

■ Derivation for the above expression

<b>exp</b> $\Rightarrow$ <b>exp</b> op <b>exp</b>	[exp $\rightarrow$ exp op exp]
$\Rightarrow$ <b>exp</b> op <i>number</i>	[exp $\rightarrow$ <b>number</b> ]
$\Rightarrow$ <b>exp</b> * <i>number</i>	[op $\rightarrow$ *]
$\Rightarrow$ ( <b>exp</b> ) * <i>number</i>	[exp $\rightarrow$ ( exp ) ]
$\Rightarrow$ ( <b>exp</b> op <b>exp</b> ) * <i>number</i>	
$\Rightarrow$ ( <b>exp</b> <b>op</b> <i>number</i> ) * <i>number</i>	
$\Rightarrow$ ( <b>exp</b> - <i>number</i> ) * <i>number</i>	
$\Rightarrow$ ( <i>number</i> - <i>number</i> ) * <i>number</i>	

$\begin{aligned} \text{exp} &\rightarrow \text{exp op exp} \mid ( \text{exp} ) \mid \text{number} \\ \text{op} &\rightarrow + \mid - \mid * \end{aligned}$
--

# Derivation : 유도(誘導) 또는 파생



## 예 2: $((a))$ 는 문법에 맞는가?

■  $E \rightarrow ( E ) \mid a$

$$G = \{T, N, S, P\}$$

- A set of nonterminals,  $N = \{E\}$
- A set of terminals,  $T = \{ (, ), a \}$
- The start symbol,  $S = E$

■  $((a))$ 의 유도 과정

- $E \Rightarrow (E)$                       [  $E \rightarrow ( E )$  ]  
     $\Rightarrow ((E))$                       [  $E \rightarrow ( E )$  ]  
     $\Rightarrow ((a))$                       [  $E \rightarrow a$  ]

### 예 3: 이 문법이 정의하는 언어는?

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■  $E \rightarrow ( E ) \mid a$

■ 문법이 정의하는 언어  $L(G)$

$$\begin{aligned} \bullet L(G) &= \{a, (a), ((a)), (((a))), \dots\} \\ &= \{ (^n a)^n \mid n \geq 0 \} \end{aligned}$$

## 예 4: 다음 문법이 생성하는 언어는?

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■  $E \rightarrow ( E )$

■  $L(G) = \{ \}$

## 예 5: 다음 문법이 생성하는 언어는?

$$\blacksquare E \rightarrow E + a \mid a$$

$$\begin{aligned} \blacksquare L(G) &= \{a, a+a, a+a+a, a+a+a+a, \dots\} \\ &= \{\text{strings consisting of } a\text{'s separated by } +\text{'s}\} \end{aligned}$$

■  $a+a+a$  의 유도 과정

$$\begin{aligned} E &\Rightarrow E + a \\ &\Rightarrow E + a + a \\ &\Rightarrow a + a + a \end{aligned}$$

## 예 6: 다음 문법이 생성하는 언어는?

$statement \rightarrow if\text{-}stmt \mid other$

$if\text{-}stmt \rightarrow if \ ( \ exp \ ) \ statement$

$\mid if \ ( \ exp \ ) \ statement \ else \ statement$

$exp \rightarrow 0 \mid 1$

```
other
if (0) other
if (1) other
if (0) other else other
if (1) other else other
if (0) if (0) other
if (0) if (1) other else other
if (1) other else if (0) other else other
. . . . .
```



# Left *versus* Right Recursive Rules

## ■ Left Recursive rule

- 일반형:  $A \rightarrow \textcolor{red}{A} \alpha \mid \beta$  ( $= \beta \alpha^*$ )

예:  $A \rightarrow A a \mid b$

$A \Rightarrow \textcolor{red}{A} a \Rightarrow \textcolor{red}{A} aa \Rightarrow \textcolor{red}{A} aaa \Rightarrow \textcolor{red}{b}aaa$

## ■ Right Recursive rule

- 일반형:  $A \rightarrow \alpha \textcolor{red}{A} \mid \beta$  ( $= \alpha^* \beta$ )

예:  $A \rightarrow a A \mid b$

$A \Rightarrow a \textcolor{red}{A} \Rightarrow aa \textcolor{red}{A} \Rightarrow aaa \textcolor{red}{A} \Rightarrow aaab$

## $\varepsilon$ -production

### ■ A grammar rule has an empty right-hand side

- $A \rightarrow A a \mid \varepsilon$  or  $A \rightarrow a A \mid \varepsilon$

예:  $A \rightarrow A a \mid \varepsilon$

$A \Rightarrow \mathbf{A} a \Rightarrow \mathbf{A} aa \Rightarrow \varepsilon aa \Rightarrow aa$

$$\beta \alpha^* = \varepsilon a^* = a^*$$

예:  $A \rightarrow a A \mid \varepsilon$

$A \Rightarrow a \mathbf{A} \Rightarrow aa \mathbf{A} \Rightarrow aa \varepsilon \Rightarrow aa$

$$\alpha^* \beta = a^* \varepsilon = a^*$$

## 예 7: 다음 문법이 생성하는 언어는?

■  $A \rightarrow ( A ) A \mid \varepsilon$

- the strings of all "*balanced parentheses*"
- $(( ))()$ 의 유도 과정
  - $A \Rightarrow (A) \quad A \Rightarrow (A) (A) \quad A \Rightarrow (A) (A) \Rightarrow (A) ( )$   
 $\Rightarrow ((A) A) ( ) \Rightarrow ( (A) ) ( ) \Rightarrow (( )) ( )$

## 예 8: 다음 문법이 생성하는 언어는?

$stmt\text{-}sequence \rightarrow stmt ; stmt\text{-}sequence \mid stmt$   
 $stmt \rightarrow s$

$A \rightarrow \alpha \textcolor{red}{A} \mid \beta \rightarrow \alpha^* \beta \rightarrow (\textcolor{red}{stmt ;})^* \textcolor{red}{stmt} \rightarrow (\textcolor{red}{s ;})^* \textcolor{red}{s}$

$L(G) = \{s, s;s, s;s;s, \dots\} \rightarrow ; \text{ is a } \underline{separator}$
---

## 예 9: 다음 문법이 생성하는 언어는?

$stmt\text{-}sequence \rightarrow stmt ; stmt\text{-}sequence \mid stmt$   
 $stmt \rightarrow s$

$stmt\text{-}sequence \rightarrow stmt ; stmt\text{-}sequence \mid \epsilon$   
 $stmt \rightarrow s$

$A \rightarrow \alpha \textcolor{blue}{A} \mid \beta \Rightarrow \alpha^* \beta \Rightarrow (\textcolor{red}{stmt};)^* \epsilon \Rightarrow (\textcolor{red}{s};)^*$

$L(G) = \{\epsilon, s;, s;s;, s;s;s;, \dots\} \Rightarrow ; \text{ is a } \underline{terminator}$

## 어떤 Nonterminal 을 선택할 것인가?

P :	$S \rightarrow ASB$	$S \rightarrow \varepsilon$
	$A \rightarrow a$	$B \rightarrow b$

**left-most derivation** (좌단 유도)

$S \Rightarrow \textcolor{red}{A}SB \Rightarrow a\textcolor{red}{S}B \Rightarrow a\textcolor{red}{A}SBB \Rightarrow aa\textcolor{red}{S}BB \Rightarrow aa\textcolor{red}{B}B \Rightarrow aab\textcolor{red}{B} \Rightarrow aabb$

**right-most derivation** (우단 유도)

$S \Rightarrow AS\textcolor{red}{B} \Rightarrow A\textcolor{red}{S}b \Rightarrow AAS\textcolor{red}{B}b \Rightarrow AA\textcolor{red}{S}bb \Rightarrow AA\textcolor{red}{A}bb \Rightarrow \textcolor{red}{A}abb \Rightarrow aabb$

*left-most derivation  $\rightarrow$  Top-Down parse  $\rightarrow$  L $\textcolor{red}{L}$  parsing*  
*right-most derivation  $\rightarrow$  Bottom-Up parse  $\rightarrow$  L $\textcolor{red}{R}$  parsing*

# Leftmost derivations

예: (34-3)\*42

- $exp \Rightarrow exp\ op\ exp$ 
  - $\Rightarrow ( exp )\ op\ exp$
  - $\Rightarrow ( exp\ op\ exp )\ op\ exp$
  - $\Rightarrow ( \textit{number}\ op\ exp )\ op\ exp$
  - $\Rightarrow ( \textit{number} - exp )\ op\ exp$
  - $\Rightarrow ( \textit{number} - \textit{number} )\ op\ exp$
  - $\Rightarrow ( \textit{number} - \textit{number} )\ * \ exp$
  - $\Rightarrow ( \textit{number} - \textit{number} )\ * \ \textit{number}$

$exp \rightarrow exp\ op\ exp \mid ( exp ) \mid \textit{number}$
$op \rightarrow + \mid - \mid *$

# Rightmost derivations

예:  $(34-3)*42$

- $exp \Rightarrow exp\ op\ exp$ 
  - $\Rightarrow exp\ op\ number$
  - $\Rightarrow exp\ *\ number$
  - $\Rightarrow (exp)\ *\ number$
  - $\Rightarrow (exp\ op\ exp)\ *\ number$
  - $\Rightarrow (exp\ op\ number)\ *\ number$
  - $\Rightarrow (exp - number)\ *\ number$
  - $\Rightarrow (number - number)\ *\ number$

$exp \rightarrow exp\ op\ exp \mid (exp) \mid number$ $op \rightarrow + \mid - \mid *$
---



# Leftmost vs. Rightmost Derivations

예:  $(34-3)*42$

- $exp \Rightarrow exp \ op \ exp$ 
  - $\Rightarrow exp \ op \ number$
  - $\Rightarrow exp \ * \ number$
  - $\Rightarrow ( \ exp \ ) \ * \ number$
  - $\Rightarrow ( \ exp \ op \ exp \ ) \ * \ number$
  - $\Rightarrow ( \ exp \ op \ number \ ) \ * \ number$
  - $\Rightarrow ( \ exp \ - \ number \ ) \ * \ number$
  - $\Rightarrow ( \ number \ - \ number \ ) \ * \ number$
- $exp \Rightarrow exp \ op \ exp$ 
  - $\Rightarrow ( \ exp \ ) \ op \ exp$
  - $\Rightarrow ( \ exp \ op \ exp \ ) \ op \ exp$
  - $\Rightarrow ( \ number \ op \ exp \ ) \ op \ exp$
  - $\Rightarrow ( \ number \ - \ exp \ ) \ op \ exp$
  - $\Rightarrow ( \ number \ - \ number \ ) \ op \ exp$
  - $\Rightarrow ( \ number \ - \ number \ ) \ * \ exp$
  - $\Rightarrow ( \ number \ - \ number \ ) \ * \ number$

Which one is  
*leftmost derivation*?

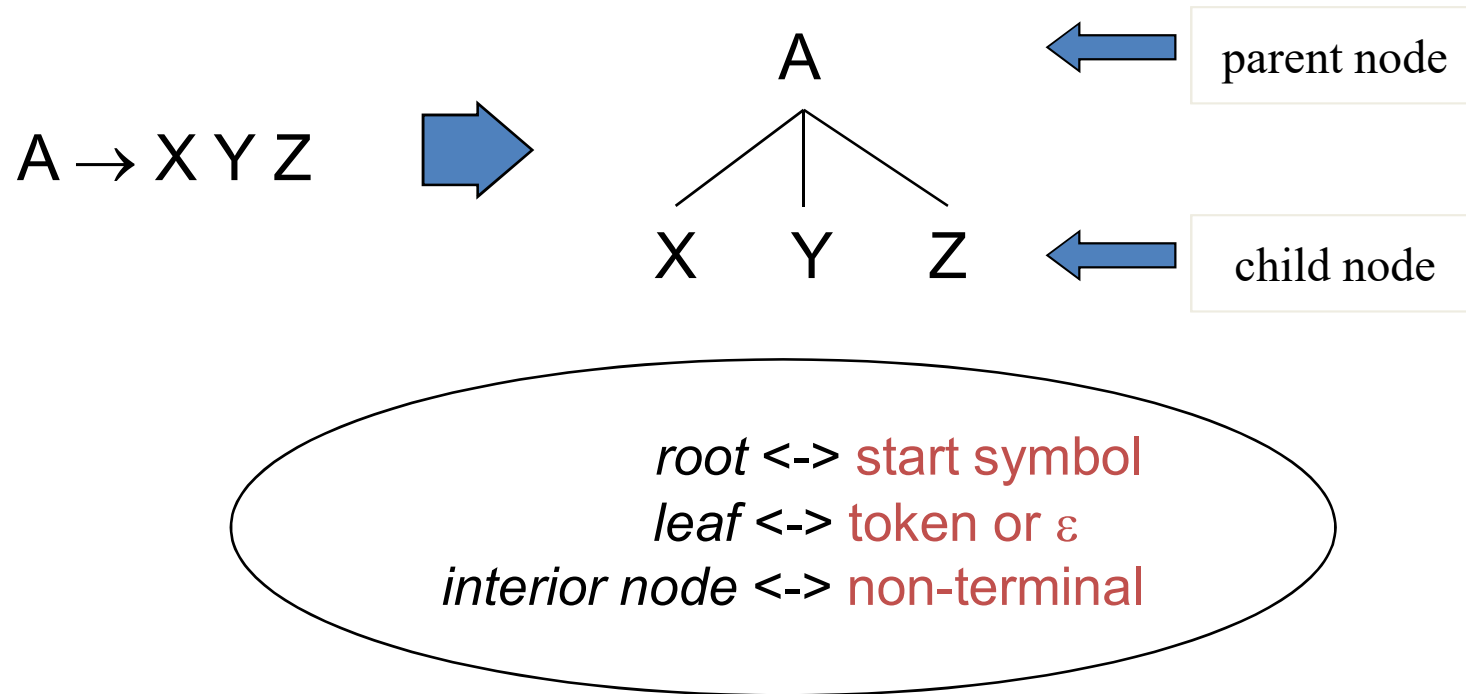
$exp \rightarrow exp \ op \ exp \mid ( \ exp \ ) \mid number$
$op \rightarrow + \mid - \mid *$

# Table of Contents

---

- Parsing Process
- Context Free Grammars
- Derivation
- **Parse Trees**

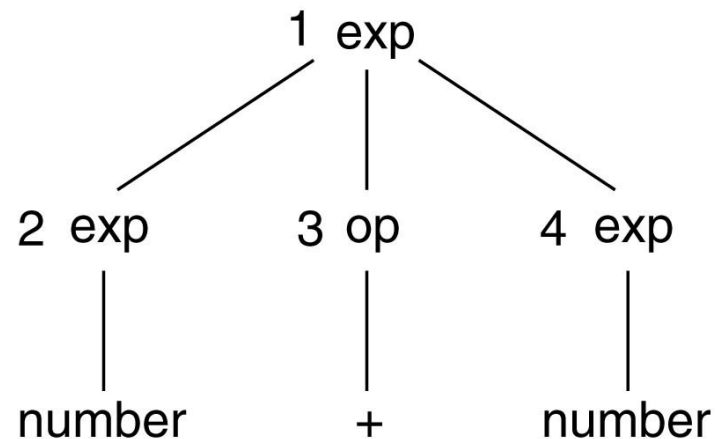
## 구문 트리(Parse Tree)



유도 과정(즉 parsing)을 graphic 하게 도시(圖示)

# Leftmost Derivations

- (1)  $exp \Rightarrow \mathbf{exp} \ op \ exp$
- (2)  $\Rightarrow \textcolor{red}{number} \ op \ exp$
- (3)  $\Rightarrow \textcolor{red}{number} + exp$
- (4)  $\Rightarrow \textcolor{red}{number} + \textcolor{red}{number}$

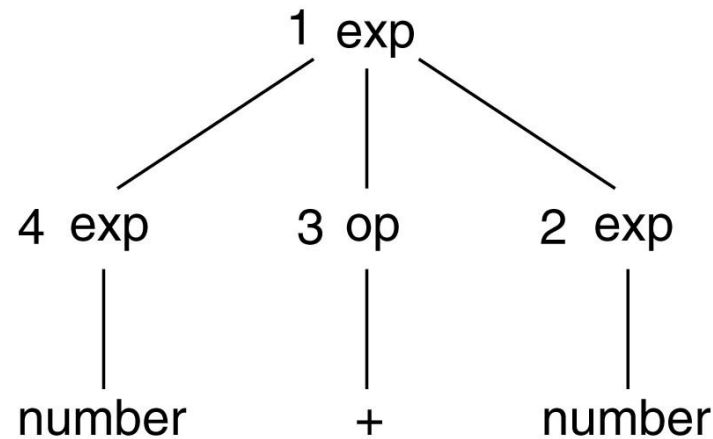


preorder  
numbering

$exp \rightarrow exp \ op \ exp \mid ( \ exp \ ) \mid \mathbf{number}$   
 $op \rightarrow + \mid - \mid *$

# Rightmost Derivations

- (1)  $exp \Rightarrow exp \ op \ exp$
- (2)  $\Rightarrow exp \ op \ number$
- (3)  $\Rightarrow exp \ + \ number$
- (4)  $\Rightarrow number \ + \ number$



postorder  
numbering

$exp \rightarrow exp \ op \ exp \mid ( \ exp \ ) \mid \textit{number}$   
 $op \rightarrow + \mid - \mid *$



### *Right most derivation*

- (1)  $exp \Rightarrow exp \text{ op } exp$
- (2)  $\Rightarrow exp \text{ op } number$
- (3)  $\Rightarrow exp * number$
- (4)  $\Rightarrow (exp) * number$
- (5)  $\Rightarrow (exp \text{ op } exp) * number$
- (6)  $\Rightarrow (exp \text{ op } number) * number$
- (7)  $\Rightarrow (exp - number) * number$
- (8)  $\Rightarrow (number - number) * number$

# Quiz #1

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## ■ Given

$$S \rightarrow 0 A S \mid 0$$

$$A \rightarrow S 1 A \mid S S \mid 1 0$$

- 입력 문장이 **0 0 1 1 0 0** 일 때
- 좌단 유도를 통해 구문 분석을 하시오.
  - 우단 유도를 통해 구문 분석을 하시오.

## Quiz #2

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### ■ Given

$$E \rightarrow E + T \mid T \mid E - T$$
$$T \rightarrow T * F \mid F \mid T / F$$
$$F \rightarrow (E) \mid \text{id} \mid -E \mid \text{num}$$

### ■ Draw a parse tree

num + num \* num

### ■ Draw a parse tree

- id + num



## Quiz #3

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- Consider the context-free grammar

$S \rightarrow S S + \mid S S * \mid a$

and the string  $aa + a^*$

- a) Give a *leftmost* derivation for the string
- b) Give a *rightmost* derivation for the string
- c) Give a parse tree for the string
- d) *Describe the language* generated by this grammar