

Theory of Computation

1. Finite Automata

1. Central Concepts of Automata Theory :

- $L(A)$: the set of strings accepted by an automaton A is the *language* of A .
- Σ : an alphabet is any finite set of symbols.
- Σ^* : set of all strings over alphabet Σ
- L : A *language* is a subset of Σ^* for some alphabet Σ .

2. DFA:

- Five parts: $Q, \Sigma, \delta, q_0, F$
- Transition Function: $\delta(q, a)$ or $\delta^*(q, w)$

3. Proofs of Set Equivalence: $L(A)$ and the set of strings satisfies N

1. If w is accepted by the DFA then $w \in N$: an induction on length of w
2. If $w \in N$ then w is accepted by the DFA: contrapositive

4. Prove a language L is regular:

1. A language L is *regular* if it is the language accepted by some DFA.
2. Construct the DFA: five parts.

5. NFA:

- Five parts: $Q, \Sigma, \delta, q_0, F$
- For any NFA there is a DFA that accepts the same language: subset construction (lazy form)
- $\delta_N(q, w)$

6. ϵ -NFA

- $CL(q)$: set of states you can reach from state q following only arcs labeled ϵ .
- $\delta_E(q, w)$
- Equivalence of NFA, ϵ -NFA

Exercise:

2.2.4: Give DFA's accepting the following languages over the alphabet $\{0,1\}$

- a) The set of all strings ending in 00.
- b) The set of all strings with three consecutive 0's (not necessarily at the end)
- c) The set of strings with 011 as a substring.

States:

A: string seen so far has no 011, but ends in 111 or 00(q_0)

B: string seen so far has no 011, but ends in a single 0

C: string seen so far has no 011, but ends in 01

D: string seen so far has 011(F)

2.3.1: Convert to a DFA the following NFA:

	0	1
$\rightarrow p$	$\{p, q\}$	$\{p\}$
q	$\{r\}$	$\{r\}$
r	$\{s\}$	\emptyset
$*s$	$\{s\}$	$\{s\}$

	0	1
$\rightarrow\{p\}$	$\{p, q\}$	$\{p\}$
$\{p, q\}$	$\{p, q, r\}$	$\{p, r\}$
$\{p, q, r\}$	$\{p, q, r, s\}$	$\{p, r\}$
$\{p, r\}$	$\{p, q, s\}$	$\{p\}$
$*\{p, q, r, s\}$	$\{p, q, r, s\}$	$\{p, r, s\}$
$*\{p, q, s\}$	$\{p, q, r, s\}$	$\{p, r, s\}$
$*\{p, r, s\}$	$\{p, q, s\}$	$\{p, s\}$
$*\{p, s\}$	$\{p, q, s\}$	$\{p, s\}$

2.5.1 Consider the following ϵ -NFA. Convert the automaton to a DFA

	ϵ	a	b	c
$\rightarrow p$	\emptyset	$\{p\}$	$\{q\}$	$\{r\}$
q	$\{p\}$	$\{q\}$	$\{r\}$	\emptyset
$*r$	$\{q\}$	$\{r\}$	\emptyset	$\{p\}$

$CL(p)=\{p\}, CL(q)=\{p, q\}, CL(r)=\{p, q, r\}$

	a	b	c
$\rightarrow\{p\}$	$\{p\}$	$\{p, q\}$	$\{p, q, r\}$
$\{p, q\}$	$\{p, q\}$	$\{p, q, r\}$	$\{p, q, r\}$
$*\{p, q, r\}$	$\{p, q, r\}$	$\{p, q, r\}$	$\{p, q, r\}$

2. Regular Language

1. Regular Expressions:

- Three operations: Union, Concatenation, Kleene star
- Equivalence of RE and DFA:
 - RE to ϵ -NFA: an induction on the number of operators (+, concatenation, *) in the RE

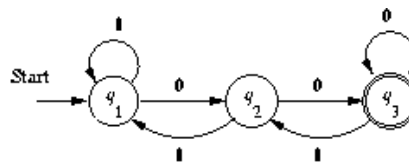
- DFA to RE: ans induction on k , the maximum state number we are allowed to traverse along a path

Exercise:

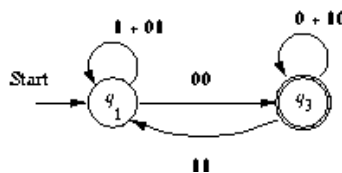
3.2.1: Here is a transition table for a DFA. Construct the transition diagram for the DFA and give a regular expression for its language by eliminating state q_2

	0	1
$\rightarrow q_1$	q_2	q_1
q_2	q_3	q_1
$*q_3$	q_3	q_2

Here is the transition diagram:



If we eliminate state q_2 we get:



So the expression for the ways to get from q_1 to q_3 is: $[1 + 01 + 00(0+10)^*11]^*00(0+10)^*$

3. Properties of Regular Languages

1. Pumping lemma:

- Let L be a regular language. Then there exists a constant n (which depends on L) such that for every string w in L such that $|w| \geq n$, we can break w into three strings, $w = xyz$, such that:
 1. $y \neq \epsilon$.
 2. $|xy| \leq n$.
 3. For all $k \geq 0$, the string xy^kz is also in L .
- Prove a language is not a RL: contrapositive

2. Product DFA:

- Product DFA has set of states $Q \times R$. I.e., pairs $[q, r]$ with q in Q , r in R .
- We can construct the final state and let a property is true if and only if the product automaton's language is empty.

3. State Minimization:

- Combining indistinguishable states: If state p is indistinguishable from q , and q is indistinguishable from r , then p is indistinguishable from r .
- Eliminating Unreachable State: Remove states that are not reachable from the start state.

Exercise:

4.1.1: Prove that the following are not regular languages.

c) $\{0^n 10^n \mid n \geq 1\}$

Let n be the pumping-lemma constant. Pick $w = 0^n 1 0^n$. Then when we write $w = xyz$, we know that $|xy| \leq n$, and therefore y consists of only 0's. Thus, xz , which must be in L if L is regular, consists of fewer than n 0's, followed by a 1 and exactly n 0's. That string is not in L , so we contradict the assumption that L is regular.

4.3.1: Give an algorithm to tell whether a regular language L is infinite.

Let n be the pumping-lemma constant. Test all strings of length between n and $2n - 1$ for membership in L . If we find even one such string, then L is infinite.

4. Context-Free Grammar

1. CFG Formalism:

- $G = (V, T, P, S)$, where V is the set of variables, T the terminals, P the set of productions, and S the start symbol.
- Terminals: symbols of the alphabet of the language being defined.
- Variables: a finite set of other symbols, each of which represents a language.
- Start symbol: the variable whose language is the one being defined.
- Production: variable (*head*) \rightarrow string of variables and terminals (*body*).
- Derivations: \Rightarrow^* means "zero or more derivation steps."
- $L(G)$: G is a CFG, the language of G $L(G) = \{w | S \Rightarrow^* w\}$. A language that is defined by some CFG is called a *context-free language* (CFL).

2. Parse trees:

- Yield: The concatenation of the labels of the leaves in left-to-right order.
- Ambiguous: A CFG is *ambiguous* if there is a string in the language that is the yield of two or more parse trees. Or if there is a string in the language that has two different leftmost/rightmost derivations.
- LL(1) Grammars: a grammar you can always figure out the production to use in a leftmost derivation by scanning the given string left-to-right and looking only at the next one symbol. LL(1) grammars are never ambiguous.

Exercise:

5.4.3: Consider the grammar: $S \rightarrow aS \mid aSbS \mid \epsilon$. This grammar is ambiguous. Please find an unambiguous grammar for the language.

$$\begin{aligned} S &\rightarrow aS \mid aTbS \mid \epsilon \\ T &\rightarrow aTbT \mid \epsilon \end{aligned}$$

5. Pushdown Automata

1. PDA:

- NPDA:
 - Defines all the CFL's.
 - $L_{ww^R} = \{ww^R | w \text{ is in } (0+1)^*\}$
- DPDA:
 - $\delta(q, a, X)$ and $\delta(q, \epsilon, X)$ cannot both be nonempty.
 - If L is a regular language, then $L = L(P)$ for some DPDA P .
 - If $L = N(P)/L(P)$ for some DPDA P , then L has an unambiguous context-free grammar.
 - $L_{wcw^R} = \{wcw^R | w \text{ is in } (0+1)^*\}$ is not regular, but accepted by DPDA.
- Seven parts: $Q, \Sigma, \Gamma, \delta, q_0, Z_0, F$
- $\delta(q, a, Z)$: a set of zero or more actions of the form (p, α) .

2. Instantaneous description(ID):

- a triple (q, w, α) , q is the current state, w is the remaining input, α is the stack contents, top at the left.
- $(q, aw, X\alpha) \vdash (p, w, \beta\alpha)$ for any w and α , if $\delta(q, a, X)$ contains (p, β) .

- \vdash^* , meaning “zero or more moves”
- 3. $L(P)$: P is a PDA, $L(P) = \{w | (q_0, w, Z_0) \vdash^* (f, \epsilon, \alpha)\}$ for final state f and any α .
 $N(P)$: P is a PDA, $N(P) = \{w | (q_0, w, Z_0) \vdash^* (q, \epsilon, \epsilon)\}$ for any state q .

These two definitions are equal.

4. Proof $L(P) = L(G)$:

- $(q, wx, S) \vdash^* (q, x, \alpha)$ for any x if and only if $S \Rightarrow_{lm}^* w\alpha$.
- Only if: an induction on the number of steps made by P
- If: an induction on the number of steps in the leftmost derivation.

5. Equivalence of PDAs and CFGs:

- $CFG(L(G)) \rightarrow PDA(N(P))$:
 - Input: $w = xy = xA\alpha$
 - $ID(q, y, A\alpha)$
 - Tail: $A\alpha$
 - Transition function δ :
 1. For each variable A : $\delta(q, \epsilon, A) = \{(q, B) | A \rightarrow \beta \text{ is a production of } G\}$
 2. For each terminal a , $\delta(q, a, a) = \{(q, \epsilon)\}$
- $PDA(N(P)) \rightarrow CFG(L(G))$:
 - $[pXq]$:
 1. A way of describing one variable.
 2. All those strings w that cause P to pop X from its stack while going from state p to state q .
 3. $(p, w, X) \vdash^* (q, \epsilon, \epsilon)$
 - $S \Rightarrow^* w$ if and only if $[q_0Z_0p] \Rightarrow^* w$, and $[q_0Z_0p] \Rightarrow^* w$ if and only if $(q_0, w, Z_0) \vdash^* (p, \epsilon, \epsilon)$
 - The productions of G :
 1. $S \rightarrow [q_0Z_0p]$
 2. $[qXr_k] \rightarrow a[rY_1r_1][r_1Y_2r_2] \dots [r_{k-1}Y_kr_k]$ if $\delta(q, a, X)$ contain the pair $(r, Y_1Y_2 \dots Y_k)$

Exercise:

6.2.8: A PDA is called restricted if on any transition it can increase the height of the stack by at most one symbol. That is, for any rule $\delta(q, a, Z)$ contains (p, γ) , it must be that $|\gamma| \leq 2$. Show that if P is a PDA, then there is a restricted PDA P_3 such that $L(P) = L(P_3)$.

Suppose that there is a rule that $(p, X_1X_2 \dots X_k)$ is a choice in $\delta(q, a, Z)$. We create $k - 2$ new states r_1, r_2, \dots, r_{k-2} that simulate this rule but do so by adding one symbol at a time to the stack. That is, replacing $(p, X_1X_2 \dots X_k)$ in the rule by $(r_{k-2}, X_{k-1}X_k)$. Then create new rules $\delta(r_{k-2}, \epsilon, X_{k-1}) = \{(r_{k-3}, X_{k-2}X_{k-1})\}$, and so on, down to $\delta(r_2, \epsilon, X_3) = \{(p, X_1X_2)\}$

6.3.1: Convert the grammar: $S \rightarrow 0S1 | A, A \rightarrow 1A0 | S | \epsilon$, to a PDA that accepts the same language by empty stack.

$(\{q\}, \{0, 1\}, \{0, 1, A, S\}, \delta, q, S, q)$ where δ is defined by:

1. $\delta(q, \epsilon, S) = \{(q, 0S1), (q, A)\}$
2. $\delta(q, \epsilon, A) = \{(q, 1A0), (q, S), (q, \epsilon)\}$
3. $\delta(q, 0, 0) = \{(q, \epsilon)\}$
4. $\delta(q, 1, 1) = \{(q, \epsilon)\}$

6.3.3: Convert the PDA $P = (\{p, q\}, \{0, 1\}, \{X, Z_0\}, \delta, q, Z_0)$ to a CFG, if δ is given by:

1. $\delta(q, 1, Z_0) = \{(q, XZ_0)\}.$
2. $\delta(q, 1, X) = \{(q, XX)\}.$
3. $\delta(q, 0, X) = \{(p, X)\}.$
4. $\delta(q, \epsilon, X) = \{(q, \epsilon)\}.$
5. $\delta(p, 1, X) = \{(p, \epsilon)\}.$
6. $\delta(p, 0, Z_0) = \{(q, Z_0)\}.$

1. $S \rightarrow [qZ_0q][qZ_0p]$
2. The following four productions come from rule (1).
 - $[qZ_0q] \rightarrow 1[qXq][qZ_0q]$
 - $[qZ_0q] \rightarrow 1[qXp][pZ_0q]$
 - $[qZ_0p] \rightarrow 1[qXq][qZ_0p]$
 - $[qZ_0p] \rightarrow 1[qXp][pZ_0p]$
3. The following four productions come from rule (2).
 - $[qXq] \rightarrow 1[qXq][qXq]$
 - $[qXq] \rightarrow 1[qXp][pXq]$
 - $[qXp] \rightarrow 1[qXq][qXp]$
 - $[qXp] \rightarrow 1[qXp][pXp]$
4. The following two productions come from rule (3).
 - $[qXq] \rightarrow 0[pXq]$
 - $[qXp] \rightarrow 0[pXp]$
5. The following production come from rule (4).
 - $[qXq] \rightarrow \epsilon$
6. The following production come from rule (5).
 - $[pXp] \rightarrow 1$
7. The following two productions come from rule (6).
 - $[pZ_0q] \rightarrow 0[qZ_0q]$
 - $[pZ_0p] \rightarrow 0[qZ_0p]$

6. Properties of CFL:

1. Chomsky Normal Form(safe order $O(n^2)$):
 1. Eliminate ϵ -productions
 2. Eliminate unit productions
 3. Eliminate useless symbols: variables that derive nothing and unreachable symbols
 4. CNF: all productions are in one of two simple forms: $A \rightarrow BC$ or $A \rightarrow a$

If G is a CFG whose language contains at least one string other than ϵ , then there is a grammar G_1 in Chomsky Normal Form, such that $L(G_1) = L(G) - \{\epsilon\}$

2. Pumping lemma for CFL:

- Let L be a CFL. Then there exists a constant n such that if z is any string in L such that $|z|$ is at least n , then we can write $z = uvwxy$, subject to the following conditions:
 1. $|vwx| \leq n$. That is, the middle portion is not too long.
 2. $vx \neq \epsilon$. Since v and x are the pieces to be pumped, this condition says that at least one of the strings we pump must not be empty.
 3. For all $i \geq 0$, uv^iwx^iy is in L . That is, the two strings v and x may be "pumped" any number of times, including 0, and the resulting string will still be a member of L .

- Prove a language is not a CFL: contrapositive

3. Decision Properties: membership(CYK: $O(n^3)$), empty($O(n)$), infinite

4. Non-Decision Properties: equivalence, disjoint

5. Closure Properties: union, concatenation, closure(*), positive closure(+), homomorphism, reversal, inverse homomorphism, L/a

6. Non-closure properties:

- intersection, complement, subtraction, min/max
- Give a counter example
- If L is a CFL and R is a regular language, then

1. $L \cap R$ is a CFL

2. $L - R$ is a CFL

Exercise:

7.1.2: Begin with the grammar. Put the resulting grammar into Chomsky Normal Form.

$$\begin{aligned} S &\rightarrow ASB|\epsilon \\ A &\rightarrow aAS|a \\ B &\rightarrow SbS|A|bb \end{aligned}$$

1. Eliminate ϵ -productions

$$\begin{aligned} S &\rightarrow ASB|AB \\ A &\rightarrow aAS|aA|a \\ B &\rightarrow SbS|bS|Sb|b|A|bb \end{aligned}$$

2. Eliminate unit productions

$$\begin{aligned} S &\rightarrow ASB|AB \\ A &\rightarrow aAS|aA|a \\ B &\rightarrow SbS|bS|Sb|b|aAS|aA|a|bb \end{aligned}$$

3. Eliminate useless symbols

no useless symbols

4. Construct CNF

$$\begin{aligned} S &\rightarrow AE|AB \\ A &\rightarrow CF|CA|a \\ B &\rightarrow SG|DS|SD|b|CF|CA|a|DD \\ C &\rightarrow a \\ D &\rightarrow b \\ E &\rightarrow SB \\ F &\rightarrow AS \\ G &\rightarrow DS \end{aligned}$$

7.2.1: Use the CFL pumping lemma to show each of these languages not to be context-free

a) $\{a^i b^j c^k | i < j < k\}$

Let n be the pumping-lemma constant and consider $z = a^n b^{n+1} c^{n+2}$. We may write $z = uvwxy$, $|vwx| \leq n$.

1. If vwx doesn't have c 's, then uv^3wx^3y has at least $n+2$ a 's or b 's, and thus could not be in L .
2. If vwx has a c , then it could not have an a , because its length is limited to n . Thus, uw has n a 's, but no more than $2n+2$ b 's and c 's in total. Thus uw is not in L .

So we have a contradiction no matter how z is broken into $uvwxy$.

7. Turing Machines

1. TM:

- Seven parts: $Q, \Sigma, \Gamma, \delta, q_0, B, F$
- $\delta(q, Z)$ is either undefined or a triple of the form (p, Y, D) , p is a state, Y is the new tape symbol, and D is a direction, L or R .
- ID:
 - $\alpha q \beta$
 - \vdash^* will be used to indicate zero, one, or more moves of the TM.
 - If $\delta(q, Z) = (p, Y, R)$, then $\alpha q Z \beta \vdash \alpha Y p \beta$
 - If $\delta(q, Z) = (p, Y, L)$, then $\alpha X q Z \beta \vdash \alpha p X Y \beta$

2. $L(M) = \{w | q_0 w \vdash^* I, \text{ where } I \text{ is an ID with a final state}\}$

$H(M) = \{w | q_0 w \vdash^* I, \text{ and there is no move possible from ID } I\}$

- Equivalence of $L(M)$ and $H(M)$

3. Multiple Tracks:

- Each track can hold one symbol, and the tape alphabet of the TM consists of tuples, with one component for each "track".

4. Subroutines:

- A Turing machine subroutine is a set of states that perform some useful process.

5. Multitape Turing Machines:

- The control enters a new state \square which could be the same as the previous state.
- On each tape, a new tape symbol is written on the cell scanned.
- Each of the tape heads makes a move, which can be either left, right, or stationary. The heads move independently, so different heads may move in different directions, and some may not move at all.

6. NTM:

- $\delta(q, X) = \{(q_1, Y_1, D_1), (q_2, Y_2, D_2), \dots, (q_k, Y_k, D_k)\}$
- If M_N is a nondeterministic Turing machine, then there is a deterministic Turing machine M_D such that $L(M_N) = L(M_D)$

7. Semi-infinite Tape:

- Every language accepted by a TM M_2 is also accepted by a TM M_1 with the following restrictions:
 - M_1 's head never moves left of its initial position.
 - M_1 never writes a blank.

8. Multistack Machines:

- We can restrict the tapes of a multitape TM to behave like a stack.
- A one-stack machine is really a DPDA, while a machine with two stacks can accept any RE language.

9. Recursively Enumerable Languages and Recursive Languages

- Every language accepted by a TM that always halts is recursive language. Every CFL is a recursive language.
- Every language accepted by a multitape TM is recursively enumerable
- If L is a recursive language, so is \overline{L} .
- If both a language L and its complement are RE, then L and its complement is recursive.

Exercise:

8.2.5: Consider the Turing machine $M = (\{q_0, q_1, q_2, q_f\}, \{0, 1\}, \{0, 1, B\}, \delta, q_0, B, \{q_f\})$.

Informally but clearly describe the language $L(M)$ if δ consists of the following sets of rules:

$$\delta(q_0, 0) = (q_1, 1, R); \delta(q_1, 1) = (q_0, 0, R); \delta(q_1, B) = (q_f, B, R)$$

Regular expression: $(01)^*0$

8. Undecidability

1. L_d :

- The “diagonalization language”, which consists of all those string w such that the TM M whose code is w does not accept when given w as input.
- L_d is not a recursively enumerable language.
- L_d has no Turing machine at all that accepts it.

2. L_u :

- L_u is the set of strings representing a TM and an input accepted by that TM.
- U simulates M on w . U accepts the coded pair (M, w) if and only if M accepts w .
- L_u is RE but not decidable/recursive.

3. Encode TM:

- Transition rule: $\delta(q_i, X_j) = (q_k, X_l, D_m)$. We can code this rule by the string $0^i 10^j 10^k 10^l 10^m$
- A code for the entire TM M consists of all the codes for the transitions, in some order, separated by pairs of 1's: $C_1 11 C_2 11 \dots C_{n-1} 11 C_n$
- A TM and a string (M, w) : For this pair we use the code for M followed by 111, followed by w

4. Reductions:

- If we have an algorithm to convert instances of a problem P_1 to instances of a problem P_2 that have the same answer, then we say that P_1 reduces to P_2 . P_2 is at least as hard as P_1 .

5. Rice's Theorem: Every nontrivial property of the RE languages is undecidable.

- $L_e = \{M | L(M) = \emptyset\}$ is non-RE, while $L_{ne} = \{M | L(M) \neq \emptyset\}$ is RE.

6. Post's Correspondence Problem(PCP):

- L_u reduces to MPCP, and MPCP reduces to PCP, so PCP and MPCP are undecidable.
- PCP reduces to the question of whether a given CFG is ambiguous, so it is undecidable whether a CFG is ambiguous.
- List languages:
 - $A \rightarrow x_i A a_i, B \rightarrow x_i B a_i$
 - If L_A is the language for list A, then L_A and $\overline{L_A}$ is a context-free language.
 - Let G_1 and G_2 be context-free grammars, and let R be a regular expression. Then the following are undecidable:
 - a) Is $L(G_1) \cap L(G_2) = \emptyset$?
 - b) Is $L(G_1) = L(G_2)$?
 - c) Is $L(G_1) = L(R)$?
 - d) Is $L(G_1) = T^*$ for some alphabet T ?
 - e) Is $L(G_1) \subseteq L(G_2)$?
 - f) Is $L(R) \subseteq L(G_1)$?

a) Let $L(G_1) = L_A, L(G_2) = L_B$. Then $L(G_1) \cap L(G_2)$ is the set of solutions to this instance of PCP. The intersection is empty if and only if there is no solution.

b), c), d) Let $L(G_1) = \overline{L_A} \cup \overline{L_B} = \overline{L_A \cap L_B}$, $L(G_2) = (\sum \cup I)^*$. Their languages are equal if and only if the PCP instance has no solution.

e), f) Let $L(G_1) = (\sum \cup I)^*$, $L(G_2) = \overline{L_A} \cup \overline{L_B} = \overline{L_A \cap L_B}$. Then $L(G_1) \subseteq L(G_2)$ if and only if PCP has no solution.

Exercise:

9.1.3: Show that the language is not accepted by a Turing machine, using a diagonalization-type argument.

a) The set of all w_i such that w_i is not accepted by M_{2i}

Suppose this language were accepted by some TM M . We need to find an i such that $M = M_{2i}$. Since all the codes for TM's end in a 0, that is not a problem.

If w_i is accepted by M_{2i} , then w_i is not accepted by M , and therefore not accepted by M_{2i} , which is the same TM. Similarly, if w_i is not accepted by M_{2i} , then w_i is accepted by M , and therefore by M_{2i} . Either way, we reach a contradiction, and conclude that M does not exist.

9.2.4: Let L_1, L_2, \dots, L_k be a collection of languages over alphabet \sum such that:

1. For all $i \neq j$, $L_i \cap L_j = \emptyset$; i.e., no string is in two of the languages.
2. $L_1 \cup L_2 \cup \dots \cup L_k = \sum^*$, i.e., every string is in one of the languages.
3. Each of the languages L_i for $i = 1, 2, \dots, k$ is recursively enumerable.

Prove that each of the languages is therefore recursive.

By symmetry, if we can prove L_1 is recursive, we can prove any of the languages to be recursive. Take TM's M_1, M_2, \dots, M_k for each of the languages L_1, L_2, \dots, L_k , respectively. Design a TM M with k tapes that accepts L_1 and always halts. M copies its input to all the tapes and simulates M_i on the i -th tape. If M_1 accepts, then M accepts. If any of the other TM's accepts, M halts without accepting. Since exactly one of the M_i 's will accept, M is sure to halt.

9.3.8: Tell whether each of the following are recursive, RE-but-not-recursive, or non-RE

d) The set of all TM codes for TM's that fail to halt on at least one input.

We'll show it's non-RE by a reduction from the nonhalting problem, which is non-RE. Given (M, w) , construct M' as follows:

1. M' ignores its own input and simulates M on w .
2. If M halts, M' halts on its own input. However, if M never halts on w , then M' will never halt on its own input.

As a result, M' fails to halt on at least one input if M fails to halt on w . If M halts on w , then M' halts on all input.

9. Intractable Problems

1.
 - \mathcal{P} : problems can be solved in polynomial time by deterministic TM's.
 - \mathcal{NP} : problems can be solved in polynomial time by nondeterministic TM's. $\mathcal{P} \subseteq \mathcal{NP}$
 - NP -complete:
 - L is in \mathcal{NP} .
 - For every language L' in \mathcal{NP} , there is a polynomial-time reduction of L' to L .
 - If some NP -complete problem P is in \mathcal{P} , then $\mathcal{P} = \mathcal{NP}$
2. The Satisfiability Problem:
 - A boolean expression E is said to be satisfiable if there exists at least one truth assignment T that satisfies E .
 - The satisfiability problem is: given a boolean expression, is it satisfiable?
 - Cook's Theorem: SAT is NP-complete.
 - $E_{M,w} = U \wedge S \wedge N \wedge F$

- The running time of this algorithm on a multitape, DTM is $O(p^2(n))$, and that multitape TM can be converted to a single-tape TM that runs in time $O(p^4(n))$.
- We can reduce SAT to CSAT, then reduce CSAT to 3SAT.
- The independent-set problem is NP-complete.(IS)
 - maximal if it is as large as possible has as many nodes as no two nodes of I are connected by an edge of G
- The node-cover problem is NP-complete.(NC)
 - minimal if it has as few nodes as any node cover for the given graph.
- The Directed Hamilton-Circuit Problem and Hamilton-Circuit Problem are NP-complete.(DHC, HC)

Exercise:

10.3.1: Put the following boolean expressions into 3-CNF:

a) $xy + \bar{x}z$

1. Put it into CNF: $(x + u)(y + u)(\bar{x} + \bar{u})(y + \bar{u})$

2. Put it into 3-CNF:

$$(x + u + v_1)(x + u + \bar{v}_1)(y + u + v_2)(y + u + \bar{v}_2)(\bar{x} + \bar{u} + v_3)(\bar{x} + \bar{u} + \bar{v}_3)(y + \bar{u} + v_4)(y + \bar{u} + \bar{v}_4)$$

d) $wx + \bar{x}y + u + v + w$

1. Put it into CNF:

$$(w + a + b + c + d)(x + a + b + c + d)(\bar{x} + \bar{a} + b + c + d)(y + \bar{a} + b + c + d)(u + \bar{b} + c + d)(v + \bar{c} + d)(w + \bar{d})$$

2. Put it into 3-CNF:

$$(w + a + e_1)(b + \bar{e}_1 + e_2)(c + d + \bar{e}_2)(x + a + e_3)(b + \bar{e}_3 + e_4)(c + d + \bar{e}_4)(\bar{x} + \bar{a} + e_5)(b + \bar{e}_5 + e_6) \\ (c + d + \bar{e}_6)(y + \bar{a} + e_7)(b + \bar{e}_7 + e_8)(c + d + \bar{e}_8)(u + \bar{b} + e_9)(c + d + \bar{e}_9)(v + \bar{c} + d)(w + \bar{d} + e_{10})(w + \bar{d} + \bar{e}_{10})$$

Hint:

Suppose $e_i = (x_1 + x_2 + \dots + x_m)$ for some $m \geq 4$. Introduce new variables y_1, y_2, \dots, y_{m-3} and replace e_i by the product of clauses

$$(x_1 + x_2 + y_1)(x_3 + \bar{y}_1 + y_2)(x_4 + \bar{y}_2 + y_3) \cdots (x_{m-2} + \bar{y}_{m-4} + y_{m-3})(x_{m-1} + x_m + \bar{y}_{m-3}) \quad (10.2)$$

10. Additional Classes of Problems

1. $\text{co-}\mathcal{NP}$:

- The class of complements of \mathcal{NP} .
- $\mathcal{NP} = \text{co-}\mathcal{NP}$ if and only if there is some NP-complete problem whose complement is in \mathcal{NP}

2. PS :

- all the problems that can be solved by a Turing machine using an amount of tape that is polynomial in the length of its input.
- Savitch's theorem: $PS = NPS$
- PS-complete:
 - P is in PS
 - All languages L in PS are polynomial-time reducible to P.
- Suppose P is a PS-complete problem. Then:
 - a) If P is in \mathcal{P} , then $\mathcal{P} = PS$
 - b) If P is in \mathcal{NP} , then $\mathcal{NP} = PS$

3. RP :

- have an algorithm that runs in polynomial time using a random-number generator.
- $RP \subseteq \mathcal{NP}$

- Both the primes and the complement of the language of primes—the composite numbers—are in NP. The composite numbers are in RP .

4. ZPP (zero-error, probabilistic, polynomial):

- The class is based on a randomized TM that always halts, and has an expected time (rather than the worst-case running time) to halt that is some polynomial in the length of the input.
- $ZPP = RP \cap \text{co-}RP$
- $\mathcal{P} \subseteq ZPP$

