# [DS] Day8

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## [Week3] Merge Sort

### 3.1 Merge Sort

Basic Plan:

- Divide array into two halves
- · Recursively sort each half
- Merge two halves

Goal: Given two sorted subarrays a[lo] to a[mid] and a[mid+1] to a[hi], replace with sorted subarray a[lo] to a[hi].

Java Implementation:

```
private static void merge(Comparable[] a, Comparable[] aux, int lo, int mid, int hi) {
 assert isSorted(a, lo, mid);
 assert isSorted(a, mid + 1, hi);
 for (int k = lo; k \le hi; ++k) {
   aux[k] = a[k];
 }
 int i = lo;
 int j = mid + 1;
 for (int k = lo; k \le hi; ++k) {
   // If the left array exhausts, add elements in the right array
   if (i > mid) a[k] = aux[i++];
   // If the right array exhausts, add elements in the left array
   else if (j > hi) a[k] = aux[j++];
   // Add smaller elements
   else if (less(aux[j], aux[i]) a[k] = aux[j++];
   else a[k] = aux[i++];
```

```
assert isSorted(a, lo, hi);
}
```

```
public class Merge {
  private static void merge(...) { ... }

public static void sort(Comparable[] a) {
  Comparable[] aux = new Comparable[a.length];
  sort(a, aux, 0, a.length -1);
}

private static void sort(Comparable[] a, Comparable[] aux, int lo, int hi) {
  if (hi <= lo)
    return;
  int mid = lo + (hi - lo) / 2;
  sort(a, aux, lo, mid);
  sort(a, aux, mid + 1, hi);
  merge(a, aux, lo, mid, hi);
}
</pre>
```

#### **Execution Trace**

```
a[]
                                                    9 10 11 12 13 14 15
     merge(a,
                      1)
                              M
                                G R
                 2, 3)
     merge(a, 2,
   merge(a, 0, 1, 3)
                           E G
                                М
                                     E S
     merge(a,
                                M
                                   R E
     merge(a,
                                M
                                   R E O R S
   merge(a, 4,
                           EEGMORRS
                                                             M
  merge(a, 0, 3, 7)
                                   M
                                                   т
                                                             M
     merge(a, 8, 8, 9)
                                                  Ε
                                         R
                                            R
                                                       X
     merge(a, 10, 10, 11)
                              E G
                                   M
                                         R
                                            R
                                                             Μ
                                                          X
   merge(a, 8, 9, 11)
                                   M
                                         R
                                            R
                                                    Ε
                                                       Т
                                   M
     merge(a, 12, 12, 13)
                                         R
                                            R
     merge(a, 14, 14, 15)
                                         R
                                            R
                                         R
                                            R
                                                             Ε
   merge(a, 12, 13, 15)
 merge(a, 8, 11, 15)
                                   M
                                            R
                                                    Ε
                                                       Ε
                                                          L
                                                    0
merge(a, 0, 7, 15)
                              Ε
                                E
                                   Е
                                      Ε
                                         G
                                            L
                   Trace of merge results for top-down mergesort
                                                          result after recursive call
```

Proposition: Mergesort uses at most NIGN compares and 6NlogN array accessses to sort any array of size N

Mergesort uses extra space proportional to N.

A strong algorithm is in-place if it uses clogn extra memory

#### *Improvement*

User insertion sort for small subarrays:

- Mergesort has too much overhead for tiny subarrays.
- · Cutoff to insertion sort for 7 times

```
private static void sort(Comparable[] a, Comparable[] aux, int lo, int hi) {
   if (hi <= lo + CUTOFF - 1) {
      Insertion.sort(a, lo, hi);
      return;
   }
   int mid = lo + (hi - lo) / 2;
   sort(a, aux, lo, mid);
   sort(a, aux, mid + 1, hi);
   merge(a, aux, lo, mid, hi);
}</pre>
```

#### Stop if already sorted:

- Is biggest item in first half ≤ smallest item in second half
- Helps for partially sorted array

```
private static void sort(Comparable[] a, Comparable[] aux, int lo, int hi) {
  if (hi <= lo)
    return;
  int mid = lo + (hi - lo) / 2;
  sort(a, aux, lo, mid);
  sort(a, aux, mid + 1, hi);
  if (!less(arr[mid + 1], arr[mid])) return;</pre>
```

```
merge(a, aux, lo, mid, hi);
}
```