

# Semaphores, Condition Variables, and Monitors

CS61, Lecture 19
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November 8, 2011

#### Announcements

- Assignment 5 Bank lab
  - If you haven't yet told us who you are working with, please do it now!

#### Last time

- We looked at locks
  - Two operations: acquire and release
  - At most one thread can hold a lock at a time
  - Can use to enforce mutual exclusion and critical sections
  - Considered how to efficiently implement

# Higher-level synchronization primitives

- We have looked at one synchronization primitive: locks
- Locks are useful for many things, but sometimes programs have different requirements.
- Examples?
  - Say we had a shared variable where we wanted any number of threads to read the variable, but only one thread to write it.
  - How would you do this with locks?

```
Reader() {
   acquire(lock);
   mycopy = shared_var;
   release(lock);
   return mycopy;
}
```

```
Writer() {
   acquire(lock);
   shared_var = NEW_VALUE;
   release(lock);
}
```

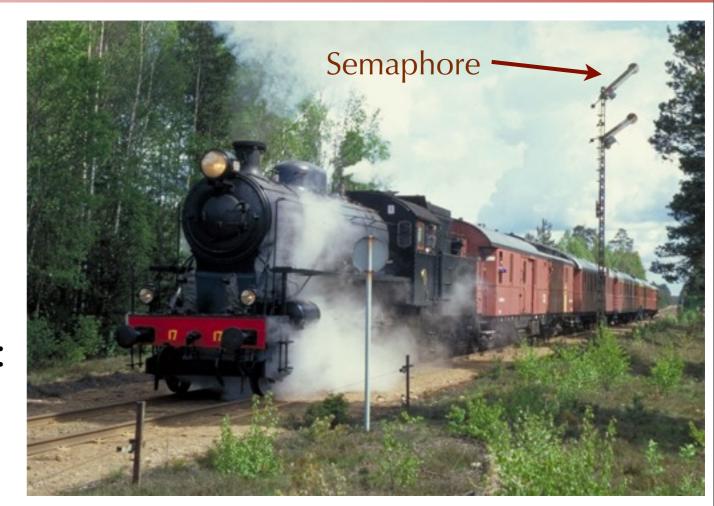
What's wrong with this code?

# Today

- Semaphores
- Condition variables
- Monitors

#### Semaphores

- Higher-level synchronization construct
  - Designed by Edsger Dijkstra in the 1960's
- Semaphore is a **shared counter**
- Two operations on semaphores:
  - P() or wait() or down()
    - From Dutch proeberen, meaning "test"



- **Atomic action**: Wait for semaphore value to become > 0, then **decrement** it
- V() or signal() or up()
  - From Dutch verhogen, meaning "increment"
  - Atomic action: Increment semaphore value by 1.

## Semaphore Example

• Semaphores can be used to implement locks:

```
Semaphore my_semaphore = 1; // Initialize to nonzero
int withdraw(account, amount) {
   wait(my_semaphore);
   balance = get_balance(account);
   balance -= amount;
   put_balance(account, balance);
   signal(my_semaphore);
   return balance;
}
```

- A semaphore where the counter value is only 0 or 1 is called a binary semaphore.
  - Essentially the same as a lock.

# Simple Semaphore Implementation

```
struct semaphore {
   int val;
   thread_list waiting; // List of threads waiting for semaphore
}
```

```
wait(semaphore Sem):  // Wait until > 0 then decrement
  while (Sem.val <= 0) {
    add this thread to Sem.waiting;
    block(this thread);
  }
  Sem.val = Sem.val - 1;
return;</pre>
```

```
signal(semaphore Sem):// Increment value and wake up next thread
    Sem.val = Sem.val + 1;
    if (Sem.waiting is nonempty) {
        remove a thread T from Sem.waiting;
        wakeup(T);
    }
```

wait() and signal() must be atomic actions!

# Simple Semaphore Implementation

```
struct semaphore {
   int val;
   thread_list waiting; // List of threads waiting for semaphore
}
```

```
wait(semaphore Sem):  // Wait until > 0 then decrement
  while (Sem.val <= 0) {
    add this thread to Sem.waiting;
    block(this thread);
}
Sem.val = Sem.val - 1;
return;</pre>
Why is this a while loop, and not an if?
wait could be cannot an if?
```

signal(semaphore Sem):// Increment value and wake up next thread
 Sem.val = Sem.val + 1;
 if (Sem.waiting is nonempty) {
 remove a thread T from Sem.waiting;
 wakeup(T);
 }

wait could be called by another thread while this thread is waiting

#### Semaphore Implementation

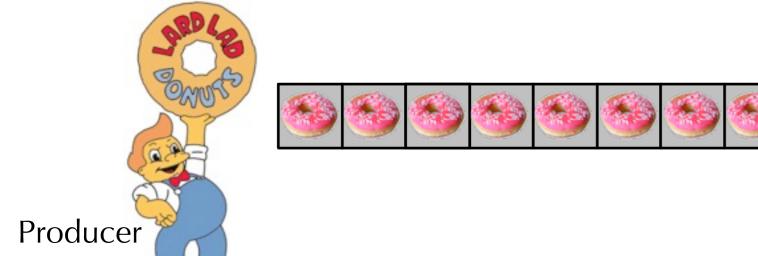
- How do we ensure that the semaphore implementation is atomic?
- One option: use a lock for wait() and signal()
  - Make sure that only one wait() or signal() can be executed by any process at a time
  - Need to be careful to release lock before sleeping, acquire lock on waking up
- Another option: hardware support

## Why are semaphores useful?

- A binary semaphore (counter is always 0 or 1) is basically a lock.
  - Start with semaphore value = 1
  - acquire() = wait()
  - release( ) = signal( )
- The real value of semaphores becomes apparent when the counter can be initialized to a value other than 0 or 1.

#### The Producer/Consumer Problem

Also called the Bounded Buffer problem. Mmmm... donuts

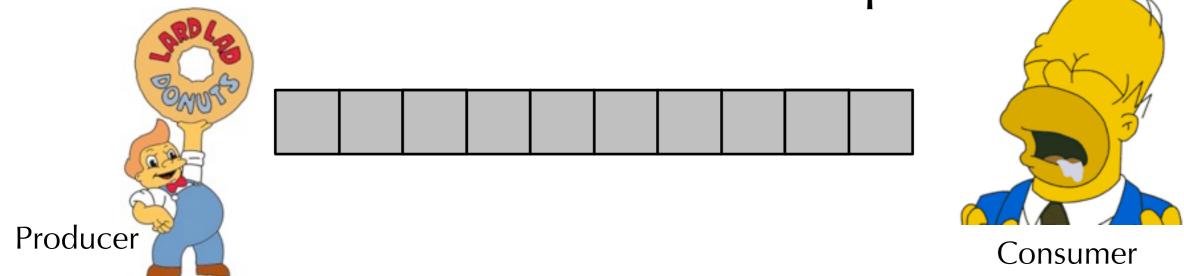




- Producer pushes items into the buffer.
- Consumer pulls items from the buffer.
- Producer needs to wait when buffer is full.
- Consumer needs to wait when the buffer is empty.

#### The Producer/Consumer Problem

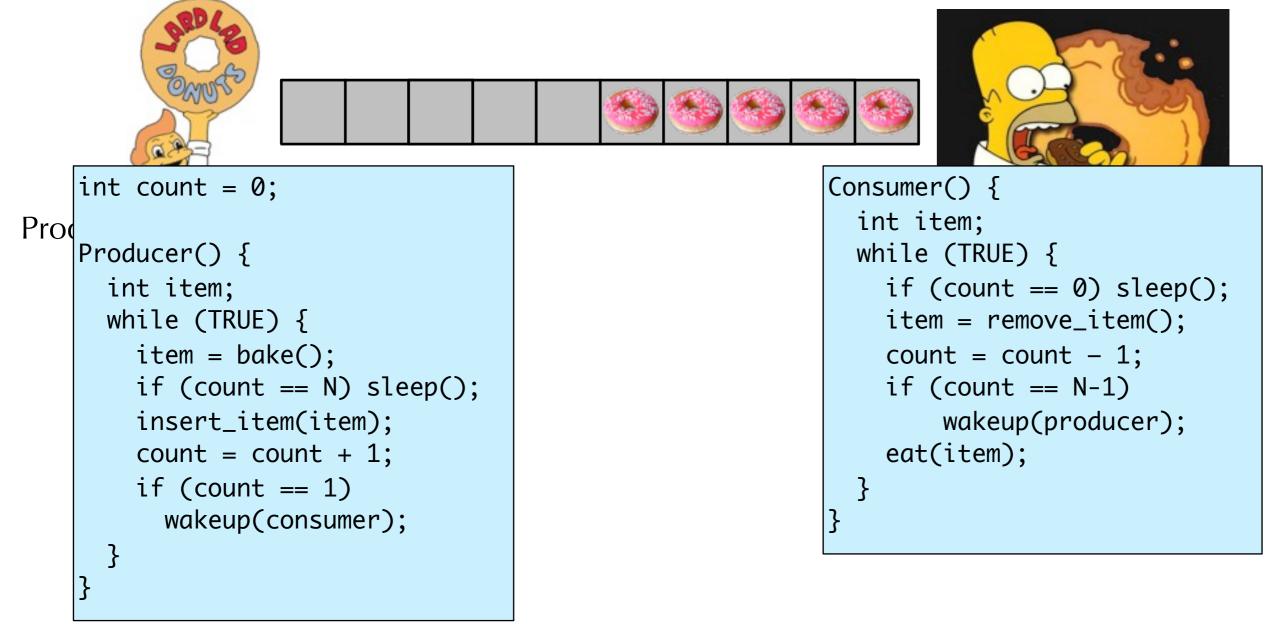
Also called the Bounded Buffer problem.



- Producer pushes items into the buffer.
- Consumer pulls items from the buffer.
- Producer needs to wait when buffer is full.
- Consumer needs to wait when the buffer is empty.

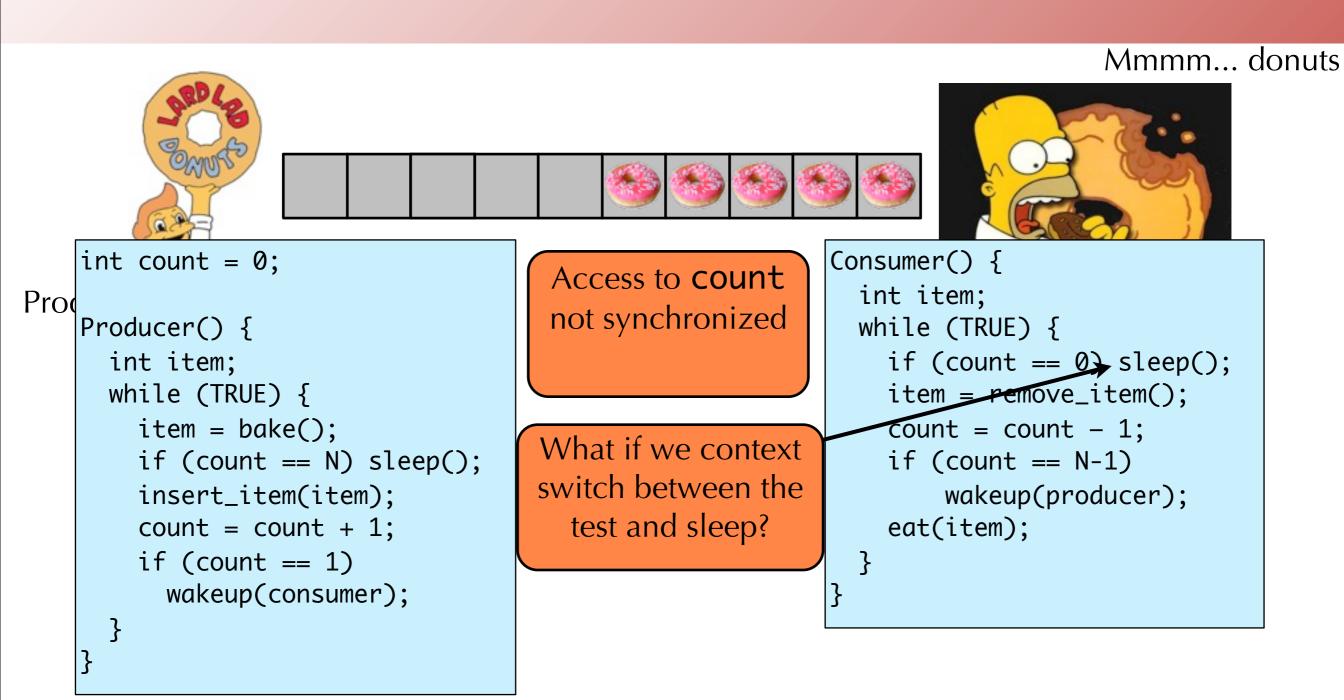
#### An implementation

Mmmm... donuts



#### • What's wrong with this code?

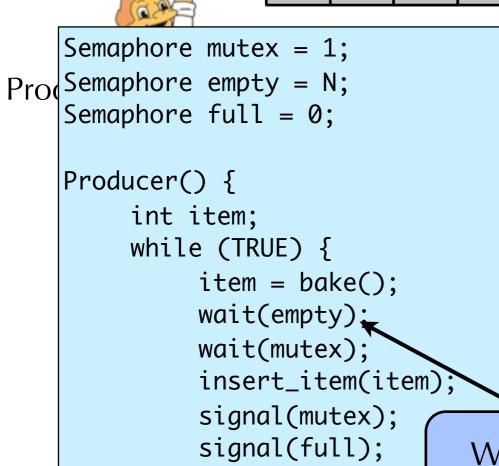
#### An implementation



#### • What's wrong with this code?

## An implementation with semaphores

Mmmm... donuts



```
Consumer() {
    int item;
    while (TRUE) {
        wait(full);
        wait(mutex);
        item = remove_item();
        signal(mutex);
        signal(empty);
        eat(item);
    }
```

Why is it important that wait(empty) is before wait(mutex)?

Otherwise a thread could acquire mutex and wait for empty; prevent another thread acquiring mutex. DEADLOCK! (more on this next week)

- Let's go back to the problem at the beginning of lecture.
  - Single shared object
  - Want to allow any number of threads to read simultaneously
  - But, only one thread should be able to write to the object at a time
    - (And, not interfere with any readers...)

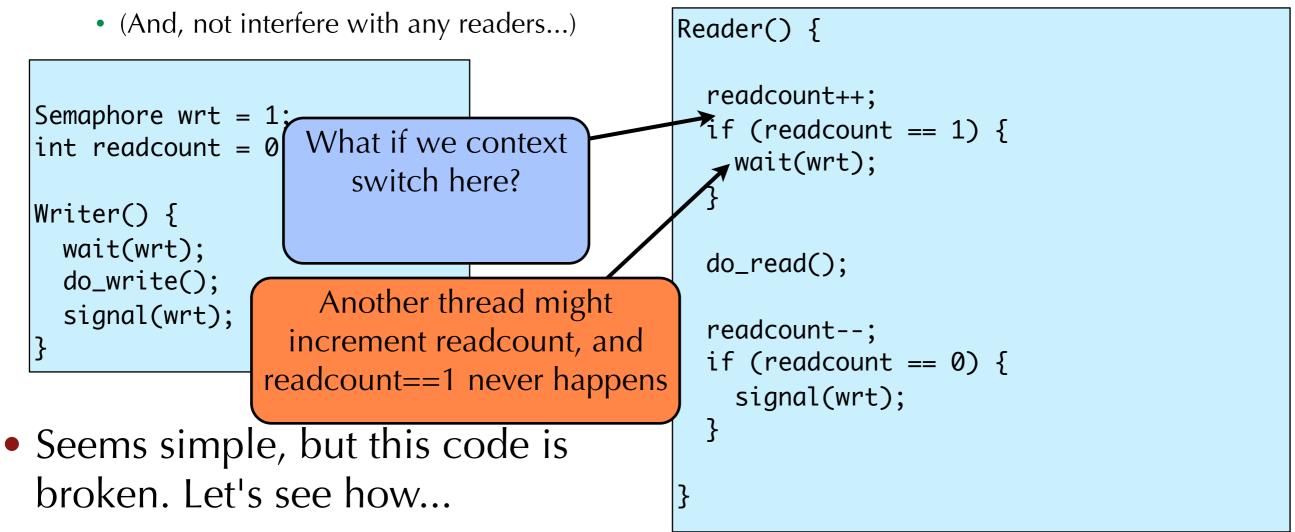
```
Semaphore wrt = 1;
int readcount = 0;

Writer() {
  wait(wrt);
  do_write();
  signal(wrt);
}
```

 Seems simple, but this code is broken. Let's see how...

```
Reader() {
  readcount++;
  if (readcount == 1) {
    wait(wrt);
  do_read();
  readcount--;
  if (readcount == 0) {
    signal(wrt);
```

- Let's go back to the problem at the beginning of lecture.
  - Single shared object
  - Want to allow any number of threads to read simultaneously
  - But, only one thread should be able to write to the object at a time



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```
Semaphore wrt = 1;
int readcount = 0;

Writer() {
  wait(wrt);
  do_write();
  signal(wrt);
}
```

 Seems simple, but this code is broken. Let's see how...

```
Reader() {
  readcount++;
  if (readcount == 1) {
    wait(wrt);
                        What if we context
                           switch here?
  do_read();
  readcount--;
  if (readcount == 0) {
    signal(wrt);
        A writer thread might get the wrt
     lock, and subsequent reader threads run
                 without the lock!
```

- Problem: readcount is accessed by multiple threads concurrently without synchronization!
- Solution: Make "increment, test, wait" and "decrement, test, signal" atomic, by using a mutex.

```
Semaphore mutex = 1;
Semaphore wrt = 1;
int readcount = 0;

Writer() {
  wait(wrt);
  do_write();
  signal(wrt);
}
```

```
Reader() {
  wait(mutex);
  readcount++;
  if (readcount == 1) {
    wait(wrt);
  signal(mutex);
  do_read();
  wait(mutex);
  readcount--;
  if (readcount == 0) {
    signal(wrt);
  signal(mutex);
```

## Semaphore library

- There are POSIX semaphores, but they are not part of the pthreads library
- All semaphore functions are declared in semaphore.h
- The semaphore type is a sem\_t.
- Intialize: sem\_init(&theSem, 0, initialVal);
- Wait: sem\_wait(&theSem);
- Signal: sem\_post(&theSem);
- Get the current value of the semaphore:
   sem\_getvalue(&theSem, &result);

#### Issues with Semaphores

- Much of the power of semaphores derives from calls to wait() and signal() that are unmatched
  - See previous example!
  - Unlike locks, where acquire() and release() are always paired.
- This means it is a lot easier to get into trouble with semaphores.
  - Semaphores are a lot of rope to tie yourself in knots with...

# Today

- Semaphores
- Condition variables
- Monitors

#### Condition Variables

- A **condition variable** represents some condition that a thread can:
  - Wait on, until the condition occurs; or
  - Notify other waiting threads that the condition has occurred
  - Very useful primitive for signaling between threads.
- Condition variable indicates an event; cannot store or retrieve a value from a CV
- Three operations on condition variables:
  - wait() Block until another thread calls signal() or broadcast() on the CV
  - signal() Wake up one thread waiting on the CV
  - broadcast() Wake up all threads waiting on the CV
- In Pthreads, the CV type is a pthread\_cond\_t.
  - Use pthread\_cond\_init() to initialize
  - pthread\_cond\_wait(&theCV, &someLock);
  - pthread\_cond\_signal(&theCV);
  - pthread\_cond\_broadcast(&theCV);

```
/* Thread B */
pthread_mutex_lock(&myLock);

counter++;
if (counter == 10) {
   pthread_cond_signal(&myCV);
}

pthread_mutex_unlock(&myLock);
```

- In pthreads, all condition variable operations **must** be performed while a mutex is locked!!!
  - Why is the lock necessary?

```
/* Thread B */
pthread_mutex_lock(&myLock);

counter++;
if (counter == 10) {
    pthread_cond_signal(&myCV);
}

pthread_mutex_unlock(&myLock);
```

- If no lock on Thread A:
  - Might wait after another thread sets counter to 10
- If no lock on Thread B:
  - No guarantee that increment and test is atomic

```
/* Thread B */
pthread_mutex_lock(&myLock);

counter++;
if (counter == 10) {
   pthread_cond_signal(&myCV);
}

pthread_mutex_unlock(&myLock);
```

What happens to the lock when you call wait on the CV?

```
/* Thread B */
pthread_mutex_lock(&myLock);

counter++;
if (counter == 10) {
    pthread_cond_signal(&myCV);
}

pthread_mutex_unlock(&myLock);
```

```
/* Thread B */
pthread_mutex_lock(&myLock);

counter++;
if (counter == 10) {
    pthread_cond_signal(&myCV);
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```

```
/* Thread B */
pthread_mutex_lock(&myLock);

counter++;
if (counter == 10) {
    pthread_cond_signal(&myCV);
}

pthread_mutex_unlock(&myLock);
```

- wait() released the lock while Thread A is sleeping
  - That is why pthreads requires that the myLock is passed in

```
/* Thread B */
pthread_mutex_lock(&myLock);

counter++;
if (counter == 10) {
    pthread_cond_signal(&myCV);
}

pthread_mutex_unlock(&myLock);
```

- **signal()** wakes up Thread A, but Thread A cannot proceed. Why?
  - Thread A requires lock to continue. Lock is still held by Thread B

```
pthread_mutex_t myLock;
pthread_cond_t myCV;
int counter = 0;

/* Thread A */
pthread_mutex_lock(&myLock);

while (counter < 10) {
    pthread_cond_wit(&myCV);
}

pthread_cond_wit(&myCV);
}

pthread_mutex_unlock(&myLock);

pthread_mutex_unlock(&myLock);

pthread_mutex_unlock(&myLock);
</pre>
```

- **signal()** wakes up Thread A, but Thread A cannot proceed. Why?
  - Thread A requires lock to continue. Lock is still held by Thread B

 Once Thread B releases lock, Thread A can acquire it and continue running

```
/* Thread B */
pthread_mutex_lock(&myLock);

counter++;
if (counter == 10) {
    pthread_cond_signal(&myCV);
}

pthread_mutex_unlock(&myLock);
```

### Using Condition Variables

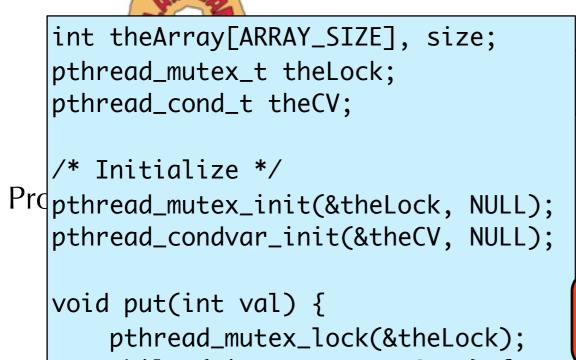
```
/* Thread B */
pthread_mutex_lock(&myLock);

counter++;
if (counter == 10) {
   pthread_cond_signal(&myCV);
}

pthread_mutex_unlock(&myLock);
```

- Key ideas
  - wait() on a CV releases the lock
  - signal() on a CV wakes up a thread waiting on the CV
  - The thread that wakes up has to re-acquire the lock before wait() returns

Mmmm... donuts



```
while (size == ARRAY_SIZE) {
    pthread_cond_wait(&theCV,
                      &theLock);
addItemToArray(val);
size++;
if (size == 1) {
    pthread_cond_signal(&theCV);
pthread_mutex_unlock(&theLock);
```



What's wrong with this code?



```
int get() {
    int item;
    pthread_mutex_lock(&theLock);
    while (size == 0) {
        pthread_cond_wait(&theCV,
                          &theLock);
    item = getItemFromArray();
    size--;
    if (size == ARRAY_SIZE-1) {
        pthread_cond_signal(&theCV);
    pthread_mutex_unlock(&theLock);
    return item;
```



```
int theArray[ARRAY_SIZE], size;
                  pthread_mutex_t theLock;
                  pthread_cond_t theCV;
                   /* Initialize */
Proper property 
                  pthread_condvar_init(&theCV, NULL);
                   void put(int val) {
                                           pthread_mutex_lock(&theLock);
                                           while (size == ARRAY_SIZE) {
                                                                     pthread_cond_wait(&theCV,
                                                                                                                                                                                     &theLock);
                                           addItemToArray(val);
                                           size++;
                                           if (size == 1) {
                                                                     pthread_cond_signal(&theCV);
                                           pthread_mutex_unlock(&theLock);
```

Assumes only a single thread calling put() or get() at a time!

If two threads call get(), then two threads call put(), only one will be woken up!!

onuts

```
int get() {
    int item;
    pthread_mutex_lock(&theLock);
    while (size == 0) {
        pthread_cond_wait(&theCV,
                          &theLock);
    item = getItemFromArray();
    size--;
    if (size == ARRAY_SIZE-1) {
        pthread_cond_signal(&theCV);
    pthread_mutex_unlock(&theLock);
    return item;
```

```
int theArray[ARRAY_SIZE], size;
  pthread_mutex_t theLock;
  pthread_cond_t theCV;
   /* Initialize */
Prdpthread_mutex_init(&theLock, NULL);
  pthread_condvar_init(&theCV, NULL);
   void put(int val) {
       pthread_mutex_lock(&theLock);
       while (size == ARRAY_SIZE) {
           pthread_cond_wait(&theCV,
                             &theLock);
       addItemToArray(val);
       size++;
       pthread_cond_signal(&theCV);
       pthread_mutex_unlock(&theLock);
```

One fix: always signal

Less efficient but OK.

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```
int get() {
    int item;
    pthread_mutex_lock(&theLock);
    while (size == 0) {
        pthread_cond_wait(&theCV,
                          &theLock);
    item = getItemFromArray();
    size--;
    pthread_cond_signal(&theCV);
    pthread_mutex_unlock(&theLock);
    return item;
```

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```
int theArray[ARRAY_SIZE], size;
  pthread_mutex_t theLock;
  pthread_cond_t theCV;
  /* Initialize */
pthread_condvar_init(&theCV, NULL);
  void put(int val) {
      pthread_mutex_lock(&theLock);
      while (size == ARRAY_SIZE) {
          pthread_cond_wait(&theCV,
                          &theLock);
      addItemToArray(val);
      size++;
      if (size == 1) {
       pthread_cond_broadcast(&theCV);
      pthread_mutex_unlock(&theLock);
```

Another fix: use broadcast()

Wakes up all threads when the condition changes. Note: Only one thread will grab the lock when it wakes up. The others wake up and immediately wait to acquire the lock again.

```
int get() {
    int item;
    pthread_mutex_lock(&theLock);
    while (size == 0) {
        pthread_cond_wait(&theCV,
                          &theLock);
    item = getItemFromArray();
    size--;
    if (size == ARRAY_SIZE-1) {
     pthread_cond_broadcast(&theCV);
    pthread_mutex_unlock(&theLock);
    return item;
```

# Today

- Semaphores
- Condition variables
- Monitors

 A monitor uses this style of locks and condition variables to protect resources and coordinate threads

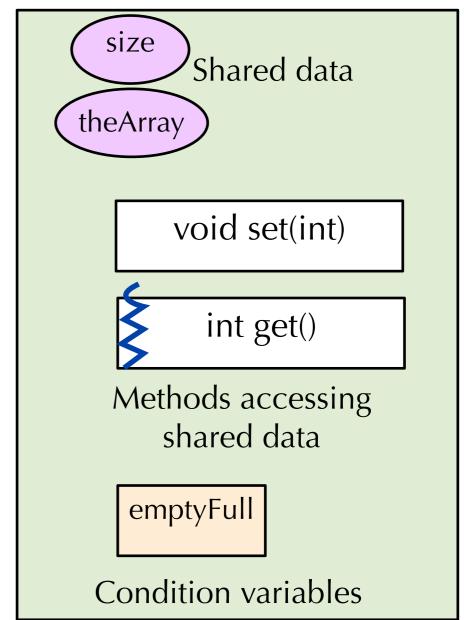
A monitor is an object containing variables, condition variables,

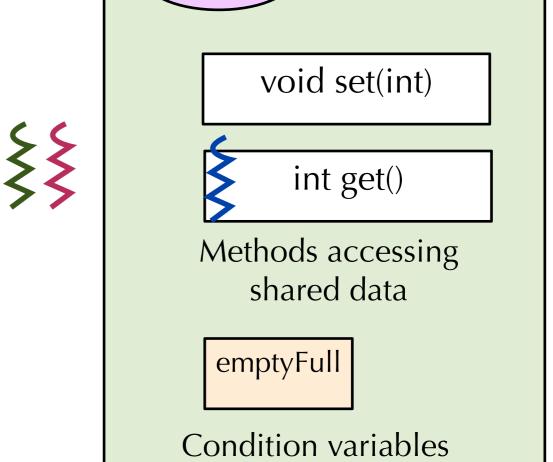
and methods

 At most one thread can be active in a monitor at a time

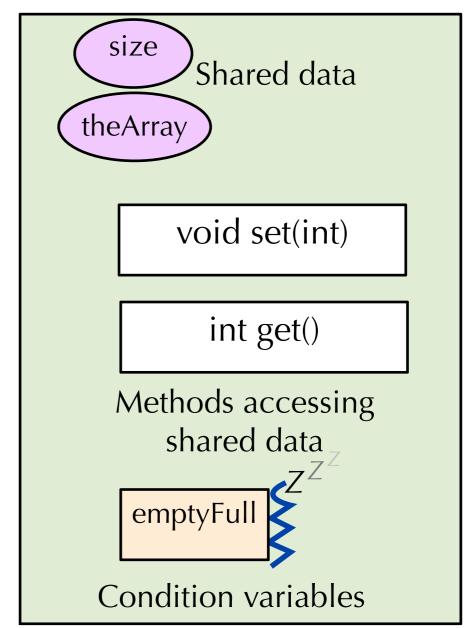
```
monitor M {
    int size, theArray[ARRAY_SIZE];
    ConditionVariable emptyFull;
    void put(int x) {
      if (size == ARRAY_SIZE) wait(emptyFull);
      theArray[size] = x;
      size++;
      if (size == 1) broadcast(emptyFull);
    int get() {
      if (size == 0) wait(emptyFull);
      size--;
      if (size == ARRAY_SIZE-1) broadcast(emptyFull);
      return theArray[size];
```

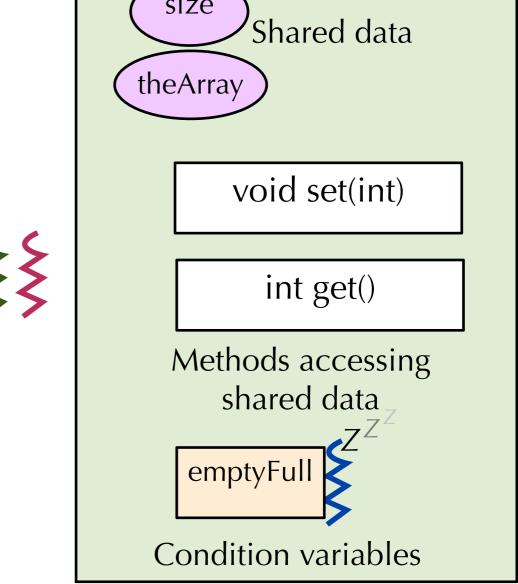
- 1) Blue thread enters monitor
- 2) Other threads queue up





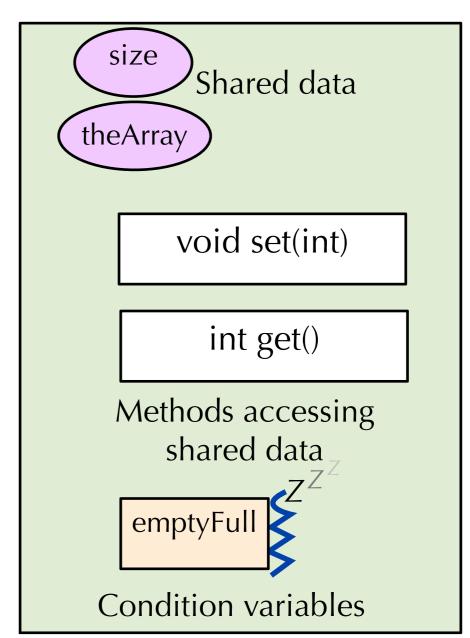
- 1) Blue thread enters monitor
- 2) Other threads queue up
- 3) Blue thread waits on CV



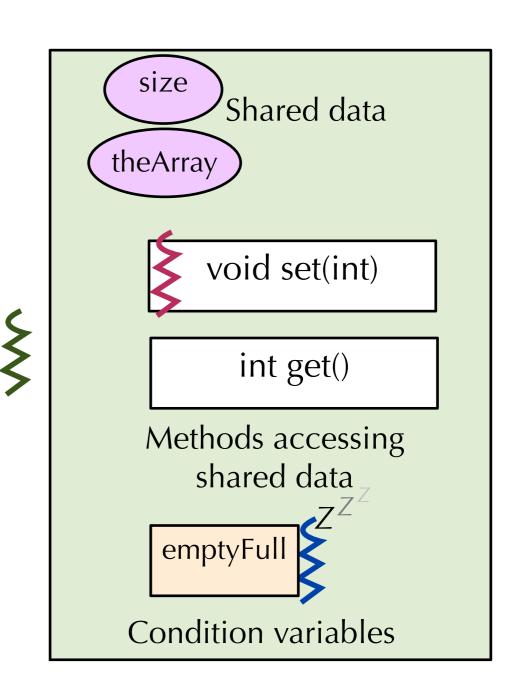


- 1) Blue thread enters monitor
- 2) Other threads queue up
- 3) Blue thread waits on CV
- 4) Another thread (pink) can enter monitor





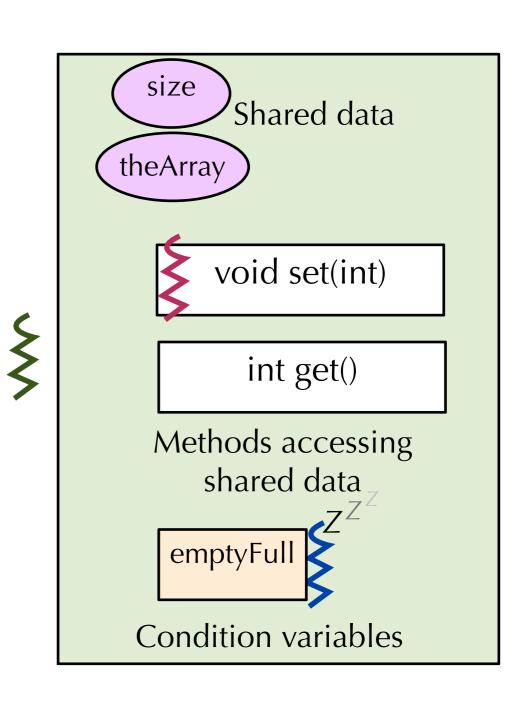
- 1) Blue thread enters monitor
- 2) Other threads queue up
- 3) Blue thread waits on CV
- 4) Another thread (pink) can enter monitor
- 5) Pink thread calls signal. What happens now?



#### Hoare vs. Mesa Monitor Semantics

- The monitor signal() operation can have two different meanings:
- Hoare monitors (1974)
  - signal(CV) means to run the waiting thread immediately
  - Effectively "hands the lock" to the thread just signaled.
  - Causes the signaling thread to block
- Mesa monitors (Xerox PARC, 1980)
  - signal(CV) puts waiting thread back onto the "ready queue" for the monitor
  - But, signaling thread keeps running.
  - Signaled thread doesn't get to run until it can acquire the lock.
    - This is what we almost always use so do Pthreads, Java, C#, etc.
- What's the practical difference?
  - In Hoare-style semantics, the "condition" that triggered the notify() will always be true when the awoken thread runs
    - For example, that the buffer is now no longer empty
  - In Mesa-style semantics, awoken thread has to recheck the condition
    - Since another thread might have snuck in and invalidated the condition

- 1) Blue thread enters monitor
- 2) Other threads queue up
- 3) Blue thread waits on CV
- 4) Another thread (pink) can enter monitor
- 5) Pink thread calls signal. What happens now?
- 6) Pink thread leaves monitor
- 7) Another thread can enter monitor (which depends on implementation)



# Java thread synchronization

- Java uses a form of monitors
- Every object can be a lock and a condition variable
- A thread executing a method m of object o marked synchronized must acquire lock o before executing
- Given an object o, can call o.wait(), o.notify(),
   o.notifyAll()

### Bounded buffer in Java

```
class BoundedBuffer {
    private int size;
    private int theArray[ARRAY_SIZE];
    public synchronized void put(int x) {
      while (size == ARRAY_SIZE) this.wait();
      theArray[size] = x;
      size++;
      if (size == 1) this.notifyAll();
    }
    public synchronized int get() {
      while (size == 0) this.wait();
      size--;
      if (size == ARRAY_SIZE-1) this.notifyAll();
      return theArray[size];
```

Almost, not quite. Some subtleties in using wait and notify.

## The Big Picture

- Getting synchronization right is hard!
  - Even your TFs and faculty have been known to get it wrong.
  - Testing isn't enough.
  - Need to assume worst case: all interleavings are possible
- We need to synchronize for correctness
  - Unsynchronized code can cause incorrect behavior
  - But too much synchronization means threads spend a lot of time waiting, not performing productive work.

## The Big Picture

- How to choose between locks, semaphores, condition variables, monitors?
- Locks are very simple and suitable for many cases.
  - Issues: Maybe not the most efficient solution
  - For example, can't allow multiple readers but one writer inside a standard lock.
- Condition variables allow threads to sleep while holding a lock
  - Just be sure you understand whether they use Mesa or Hoare semantics!
- Semaphores provide pretty general functionality
  - But also make it really easy to botch things up.
- Monitors are a "pattern" for using locks and condition variables that is often very useful.

#### Next Lecture

- Famous problems in synchronization
- Race conditions, deadlock, and priority inversion