COMP2411 Fall 2023 Class Exercise 10

| Student Name: | |
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Question 1. Consider the following two transactions:

```
T1: R(A); R(B); if A=0 then B:=B+2; W(B)
T2: R(B); R(A); if B=0 then A:=A-1; W(A)
```

Assume the initial values A=B=0, and that we have the consistency requirement: A=0 or B=0.

- **A.** Show if every serial execution of T1 and T2 maintains consistency.
- **B.** Provide a non-serializable schedule for T1 and T2.
- **C.** Given two transactions T1 and T2 consisting of n1 and n2 actions, respectively. How many interleavings (i.e., different schedules with T1 and T2) are possible?

Question 2. Consider a database with three data items X, Y, and Z. Assume there are two transactions T1 and T2 as follows:

```
T1: R(X); R(Y); W(X); W(Y)
T2: R(Z); R(X); W(X)
```

Given the following schedule of interleaved operations from T1 and T2:

```
S: R2(Z); R2(X); R1(X); R1(Y); W1(X); W2(X); C2; W1(Y); C1
```

"C1" means transaction T1 commits. Answer each of the following questions:

- **A.** What type of anomaly occurs in this schedule S? Explain your answer.
- **B.** For each of T1 and T2, insert all the lock and unlock operations to make the transaction satisfy the strict 2PL protocol.
- **C.** Show that modifying the schedule according to strict 2PL can prevent the anomaly. Justify your answer.

Answers for Question 1:

A. Execute T1 then T2:

Initially: A=B=0

After T1: A=0; B=B+2=2 After T2: A=A=0; B=2

Execute T2 then T1:

Initially: A=B=0

After T2: A=A-1=-1; B=0 After T1: A=-1; B=B=0

Therefore, every serial execution of transactions T1 and T2 maintains consistency.

B. Below is an example of the non-serializable schedule for T1 and T2:

| Time | T1 | T2 |
|------|---------------------|---------------------|
| 1 | R(A) | |
| 2 | R(B) | |
| 3 | | R(B) |
| 4 | | R(A) |
| 5 | if A=0 then B = B+2 | |
| 6 | | if B=0 then A = A-1 |
| 7 | W(B) | |
| 8 | | W(A) |

Initially: A=B=0

After executing the above schedule: A=A-1=-1; B=B+2=2

Therefore, the schedule is non-serializable.

C. The number of possible schedules = C_{n1+n2}^{n1} or C_{n1+n2}^{n2}

Answers for Question 2:

A. Lost update anomaly. T2 has overwritten the uncommitted update of X by T1.

C. In strict 2PL, T1 cannot get an exclusive lock on X because T2 is already holding an exclusive lock on X which would not be released until T2 commits. The modified schedule would be S': R2(Z); R2(X); W2(X); C2; R1(X); R1(Y); W1(X); W1(Y); C1