# **BWT**

## March 13, 2020

```
[1]: # LIBRARIES
from collections import Counter as cnter;

# CONSTANTS
EOS = "$";
```

Bioinformatics (Ene 20 Gpo 1):

Team:

Antonio Osamu Katagiri Tanaka

A01212611@itesm.mx

Kingsford, C. (2009). Burrows-Wheeler Transform. Carnegie Mellon University. Retrieved from https://www.cs.cmu.edu/~ckingsf/bioinfo-lectures/bwt.pdf Langmead, B. (2013). Introduction to the Burrows-Wheeler Transform and FM Index.

Burrows-Wheeler Transform

Returns counts for the letters in the sequence.

```
[2]: def make_cnt(s, printTbl, alphabet=None):
        # Create alphabet (aka. used characters) if none is provided
        if alphabet is None:
            alphabet = set(s);
        # Count each character repetetions
        c = cnter(s);
        # Sort the alphabet and
        # Get the position where the next character starts
        total = 0;
        result = {};
        for letter in sorted(alphabet):
            result[letter] = total;
            total += c[letter];
        # Print the result
        if printTbl: print("Score:\n", ''.join(sorted(s)), '->', result, "\n");
        return result;
```

```
make_cnt('banana', printTbl=False);
```

## Returns the suffix array of the sequence

```
[3]: def make_suffixArray(s, printTbl):
    # Iterate s and generate all suffixes
    suffixes = {};
    for i in range(len(s)):
        suffixes update({s[i:] : i});

# Sort suffixes by name
    lst_sort = sorted(suffixes.keys());

# Iterate suffix and get their index
    lst = list(suffixes[suffix] for suffix in lst_sort);

# Print the list of sorted suffixes
    if printTbl: print("Suffixes:\n", lst_sort, "\n");

# Return the list of indexes
    return lst;

make_suffixArray('banana' + EOS, printTbl=True);
```

```
Suffixes:
['$', 'a$', 'ana$', 'anana$', 'banana$', 'na$', 'nana$']
```

#### Computes the Burrows-Wheeler transform from a suffix array.

```
[4]: def make_bwt(s, printTbl, suffixArray=None):
    # Create an suffix array if none is provided
    if suffixArray is None:
        suffixArray = make_suffixArray(s, printTbl);

# Compute the Burrows-Wheeler Transform
bwt = '';
for idx in suffixArray:
        bwt = bwt + s[idx - 1]; # -1 as the last character is EOS

# Print the the Burrows-Wheeler Transform
if printTbl:
```

```
print("iBWT:\n", s, "\n");
  print("BWT:\n", bwt, "\n");
  return bwt;

make_bwt('banana' + EOS, printTbl=False);
```

Returns occurrence of the letters in the Burrows-Wheeler transform.

```
[5]: def make_occ(bwt, printTbl, letters=None):
        # Create a list with the used characters
        if letters is None:
           letters = set(bwt);
        # Create a dict including each character with counts eq to zero
       result = {};
       for letter in letters:
            result.update({letter : [0]});
        # Initialize the counter with 1 for the 1st character
       result[bwt[0]] = [1];
        # for each charter in the BWT,
       for letter in bwt[1:]:
            # Add a column to the right, counting the appearances of each character
           for k, v in result.items():
                v.append(v[-1] + (k == letter));
        # Print the occurrences of each character
       if printTbl: print("BWT Occurrence:\n", result, "\n");
       return result;
   make_occ('annb' + EOS + 'aa', printTbl=False);
```

## Returns the information tables required to find matches within BWT.

```
[6]: def make_all(sequence, printTbl, suffixArray=None, eos=EOS):
    # Create a list with the used characters
    alphabet = set(sequence);

# Ensure EOS is not a character within the sequence
    assert eos not in alphabet;

# Get the count of the characters in the sequence
```

```
cnt = make_cnt(sequence, printTbl, alphabet);
# Concatenate EOS to the sequence
sequence = sequence + eos;
# Create an suffix array if none is provided
if suffixArray is None:
    suffixArray = make_suffixArray(sequence, printTbl);
# Compute the Burrows-Wheeler Transform
bwt = make_bwt(sequence, printTbl, suffixArray);
# Get the occurrences of each character
letters = alphabet | set([eos])
occ = make_occ(bwt, printTbl, letters);
# Make sure the indexes never exceed the sequence limits
for k, v in occ.items():
   v.extend([v[-1], 0]);
# Print/Return all tables
if printTbl: print("Alphabet:\n", alphabet, "\n");
return alphabet, bwt, occ, cnt, suffixArray;
```

## Update the "begin/end" range of a letter within the sorted BWT

```
[7]: def update_range(begin, end, letter, occ, cnt, length):
    # Set the new left pointer
    newbegin = cnt[letter] + occ[letter][begin - 1] + 1;

# Set the new left pointer
    newend = cnt[letter] + occ[letter][end];

# Return the range limits
    return newbegin, newend;
```

#### Find all matches of the 'query' within the 'sequence', with at most some amount of mismatches.

```
query_str = query;
# Create all the required tables
if bwt_data is None:
    bwt_data = make_all(sequence, printTbl, suffixArray=suffixArray);
alphabet, bwt, occ, cnt, suffixArray = bwt_data;
# Ensure the alphabet contains at least one character
assert len(alphabet) > 0;
# If the query contains more characters than the alphabet,
# then there are not matches
if not set(query) <= alphabet:</pre>
    return [];
# Define a 'stack' data structure
class Partial(object):
    def __init__(self, **kwargs):
        self.__dict__.update(kwargs);
# Create a 'stack' of partial matches
length = len(bwt);
results = [];
partial matches = [Partial(
    query
              = query,
    begin
             = 0
    end
             = len(bwt) - 1,
    mismatches = mismatches
)];
# Iterate the query (character by character) to find matches
while len(partial_matches) > 0:
    # Read and remove an element of partial_matches
    p = partial_matches.pop();
    # Search for the query (letter by letter)
    query = p.query[:-1];
    last = p.query[-1];
    # If mismatches are allowed, then use the whole alphabet
    # If no mismatches are allowed, only use the current character/letter
    if p.mismatches == 0: letters = [last];
    else:
                          letters = alphabet;
    # Check if the current letter is a match
    for letter in letters:
        # Get the range where the current character appears
```

```
begin, end = update_range(p.begin, p.end, letter, occ, cnt, length);
           # Iterate the calculated range/window
           if begin <= end:</pre>
               # Stop comparing if the current letter is last character of the
\rightarrow query
               # Store the match location in results
               if len(query) == 0:
                   results.extend(suffixArray[begin : end + 1]);
               else:
                   # Track the number of mismatches
                   mismatchesCnt = p.mismatches;
                   # Decrement the number of allowed mismatches if one is_{\sqcup}
\rightarrow founded
                   if letter != last:
                       mismatchesCnt = max(0, p.mismatches - 1);
                   # Update the 'stack'
                   partial_matches.append(Partial(
                                   = query,
                       query
                       begin
                                  = begin,
                       end
                                   = end,
                       mismatches = mismatchesCnt
                   ));
   # Sort the match locations
  res = sorted(set(results));
   # Print the result
  print("Query appears at possitions:\n", res);
  print('', sequence);
  for i in res:
       # Print bars to locate character matches
       query_chunck = '';
       sequence_chunck = '';
       bar = ' '*i + ' ';
       for n in range(len(query_str)):
           query_chunck
                          = query_chunck + query_str[n];
           sequence_chunck = sequence_chunck + sequence[i + n];
           if query_chunck[n] == sequence_chunck[n]: bar = bar + '|';
           else:
                                                       bar = bar + ' ';
       print(bar)
       # Print the query at the match location
       print(' '*i, query_str);
  print();
```

```
# Return the result return res;
```

## Let's test the BWT algorithm to find "ana" in "banana"

```
[9]: find('ana', 'banana', printTbl=True);
   Score:
    aaabnn -> {'a': 0, 'b': 3, 'n': 4}
   Suffixes:
    ['$', 'a$', 'ana$', 'anana$', 'banana$', 'na$', 'nana$']
   iBWT:
    banana$
   BWT:
    annb$aa
   BWT Occurrence:
    {'$': [0, 0, 0, 0, 1, 1, 1], 'b': [0, 0, 0, 1, 1, 1, 1], 'a': [1, 1, 1, 1, 1,
   2, 3], 'n': [0, 1, 2, 2, 2, 2, 2]}
   Alphabet:
    {'b', 'a', 'n'}
   Query appears at possitions:
    [1, 3]
    banana
     IIII
     ana
       IIII
       ana
```

# Now an example with the SARS nucleotide (NC\_004718.3 SARS coronavirus, partial genome)

```
Query appears at possitions:
[41, 52, 57, 66, 76]

ATATTAGGTTTTTACCTACCCAGGAAAAGCCAACCTACGATCTCTTGTAGATCTGTTCTCTAAGATCTCTTACGAA
CTTTAAAATCTGTGTAGCTGTCGCTCGGCTGCATGCACCTACGCAGTATAAACA

| | | | | | | |
GATCTCTTA

| | | | | | |
GATCTCTTA

| | | | | | |
GATCTCTTA

| | | | | |
GATCTCTTA

| | | | | |
GATCTCTTA

| | | | | |
GATCTCTTA
```