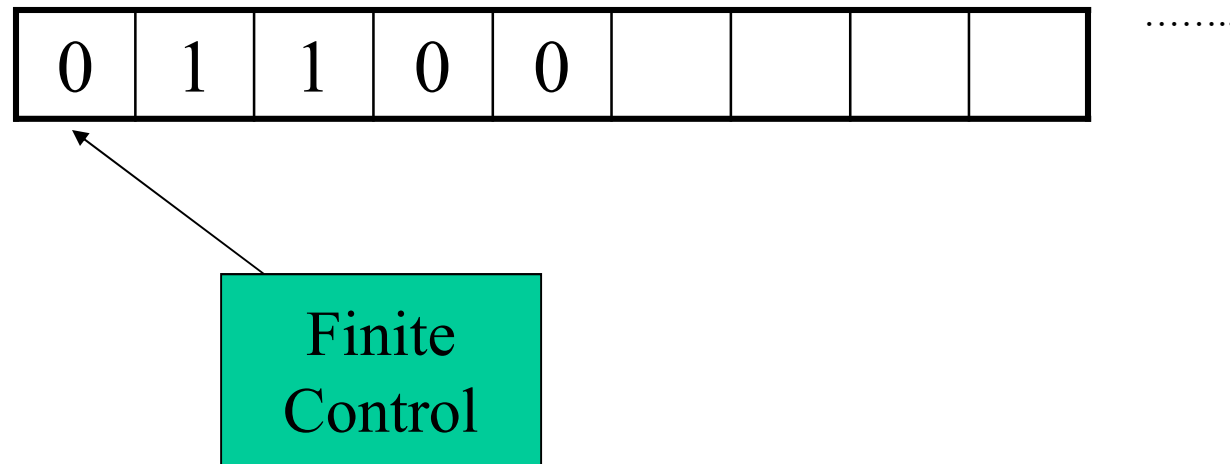


# Deterministic Finite State Automata (DFA)



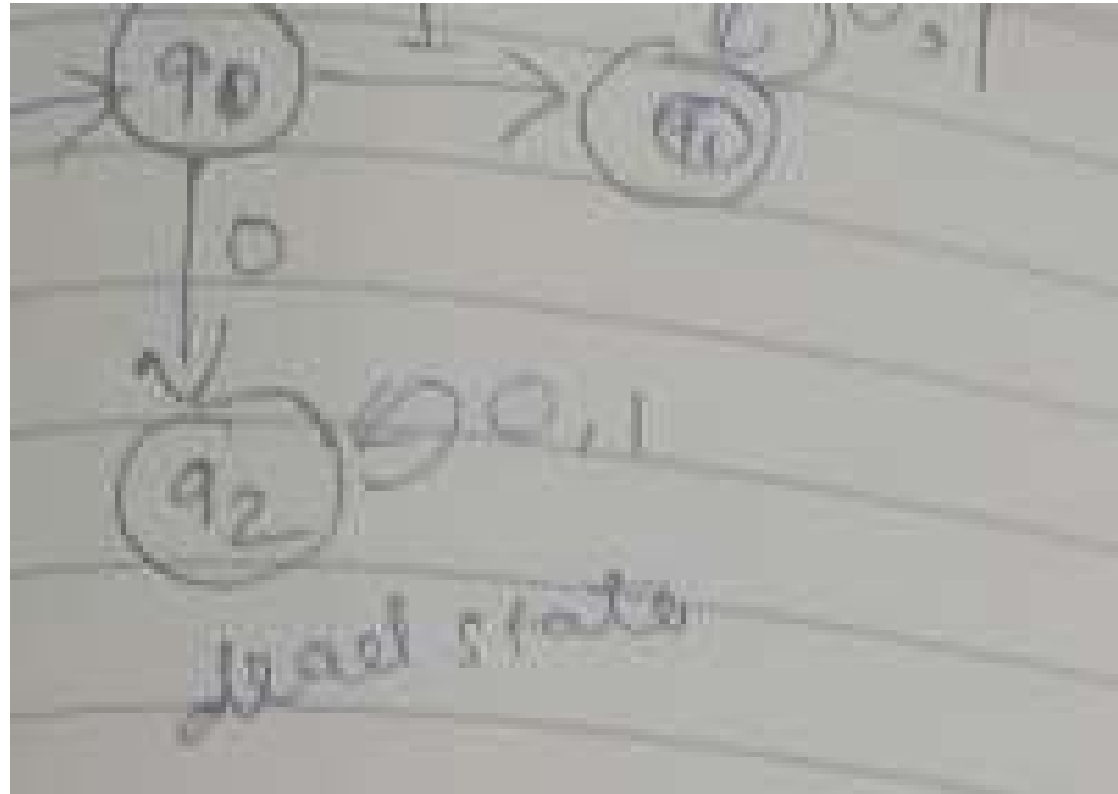
- One-way, infinite tape, broken into cells
- One-way, read-only tape head.
- Finite control, i.e.,
  - finite number of states, and
  - transition rules between them, i.e.,
  - a program, containing the position of the read head, current symbol being scanned, and the current “state.”
- A string is placed on the tape, read head is positioned at the left end, and the DFA will read the string one symbol at a time until all symbols have been read. The DFA will then either *accept* or *reject* the string. 1

- $\{Q, \Sigma, \delta, q_0, f\}$

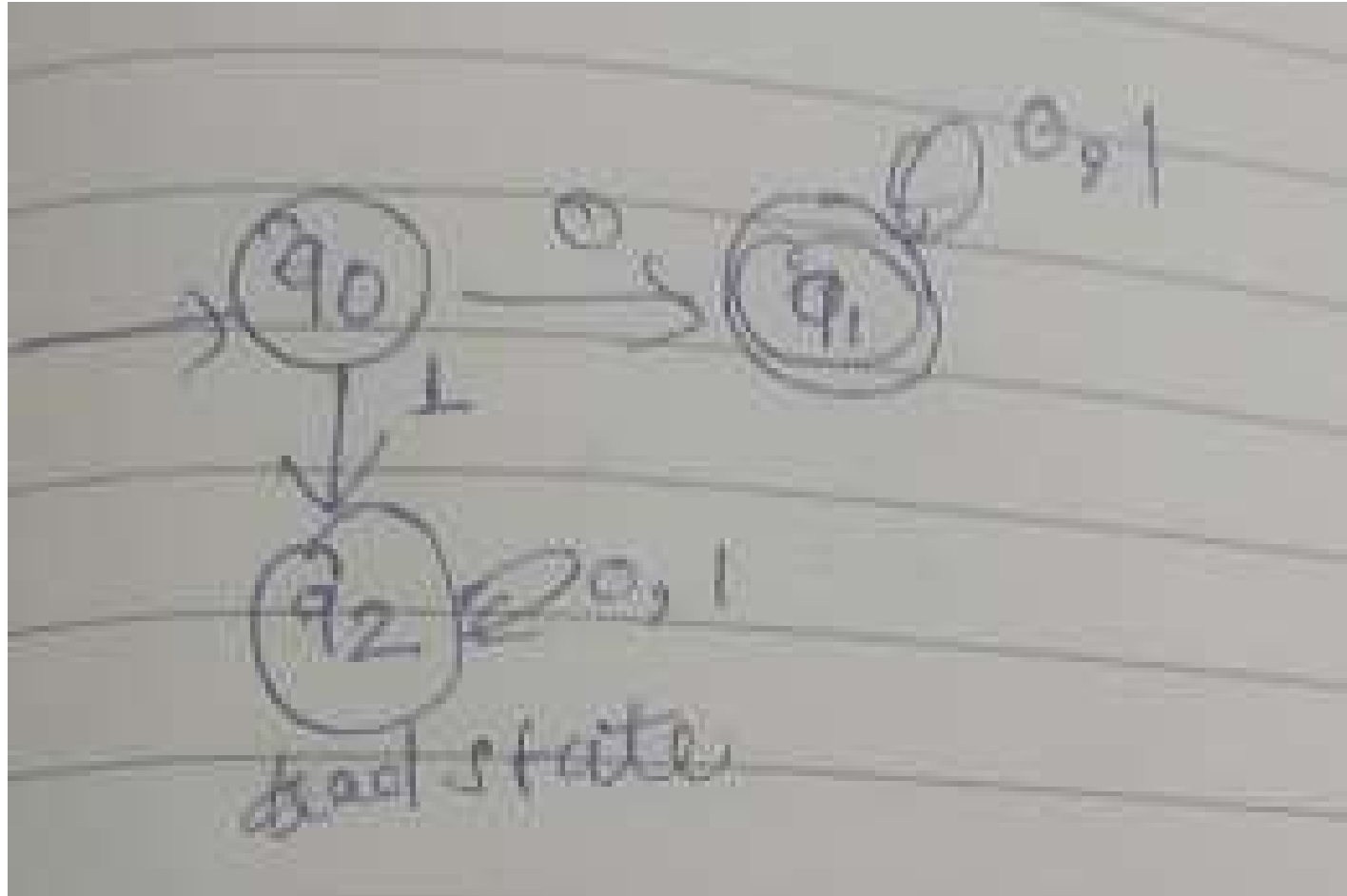
DFA	NFA
Epsilon transition are absent	Epsilon transition can be present

- $\Sigma = \{0, 1\}$
- Starts with '1'
- $L = \{1, 10, 11, 100, 110, 101, 111, \dots\}$

- Example #1:: Construct DFA which accepts those strings that starts with '1' over  $\Sigma = \{0,1\}$



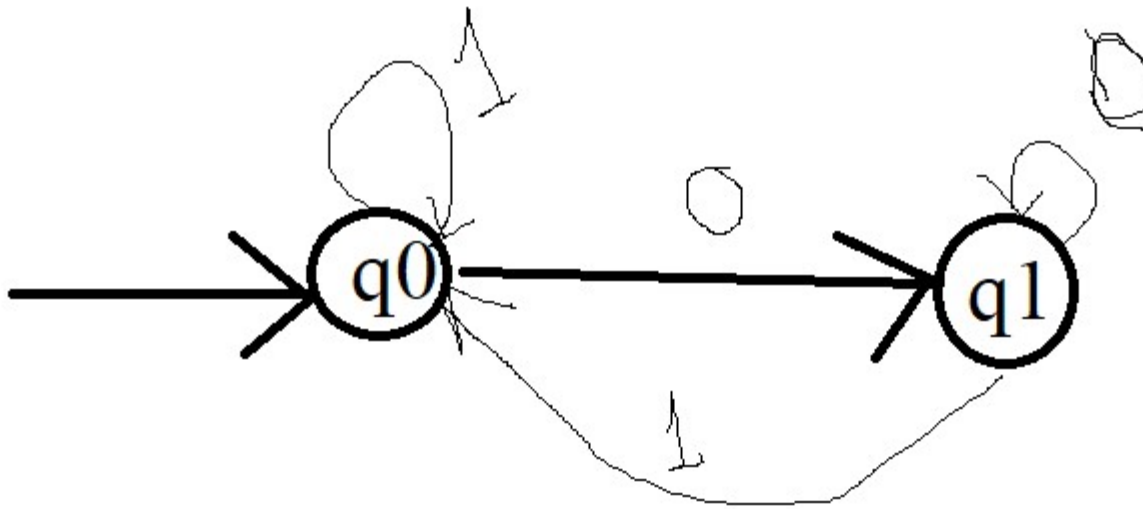
- Example #2:: Construct DFA which accepts those strings that starts with '0' over  $\Sigma = \{0,1\}$



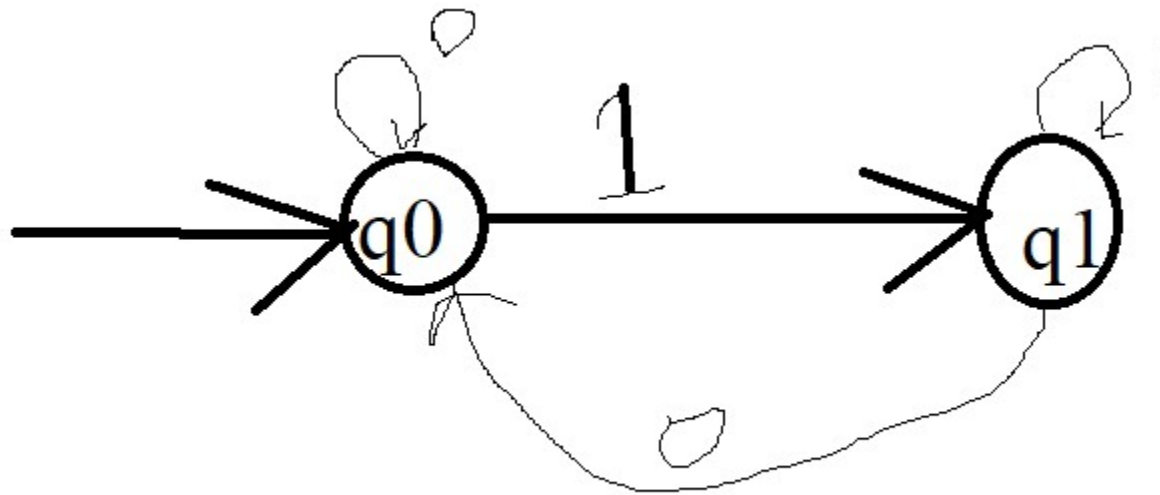
:: Construct DFA which accepts those strings that ends with '0' over  $\Sigma = \{0,1\}$

- $\Sigma\{0,1\}$
- Ends with '0'
- $L=\{0,10, 00, 100,110,010,000....\}$

- Example #3:: Construct DFA which accepts those strings that ends with '0' over  $\Sigma = \{0,1\}$

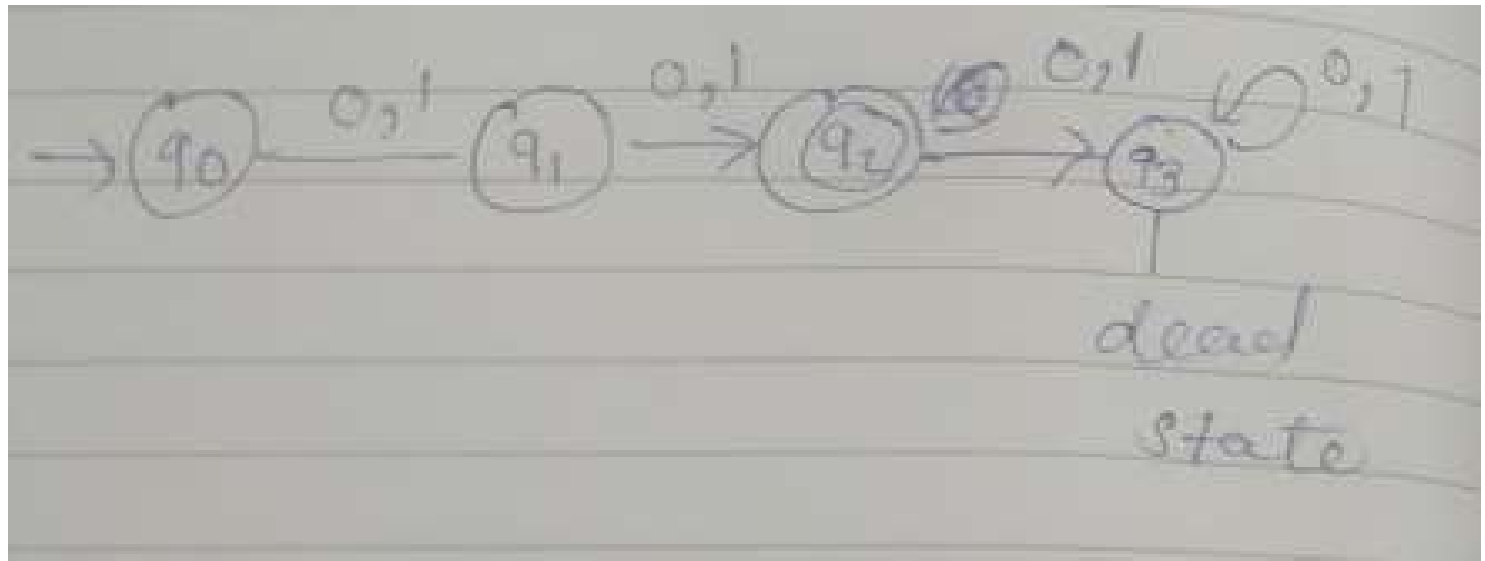


- Example #4:: Construct DFA which accepts those strings that ends with '1' over  $\Sigma = \{0,1\}$





- Example #5:: Construct DFA over  $\Sigma = \{0,1\}$  which accepts strings of length 2.
- $L = \{\}$

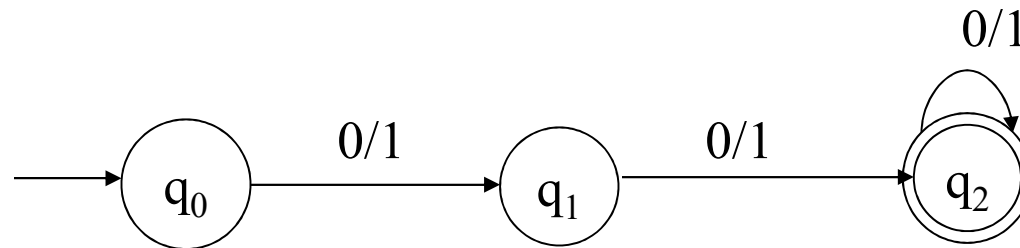


- Example #6:: Construct DFA over  $\Sigma = \{0,1\}$  which accepts strings of length atleast 2 (length  $\geq 2$ ).

OR

- Give a DFA M such that:

$$L(M) = \{x \mid x \text{ is a string of 0's and 1's and } |x| \geq 2\}$$

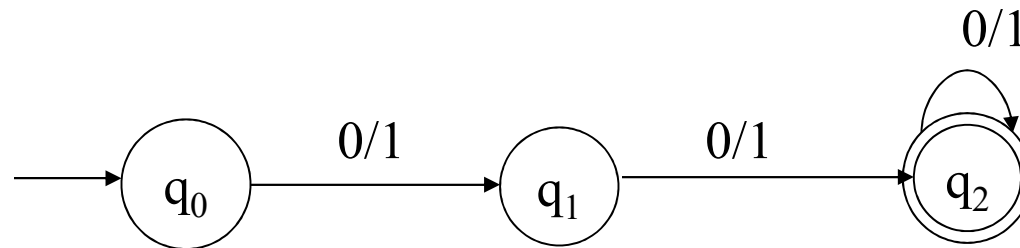


- Example #6:: Construct DFA over  $\Sigma = \{0,1\}$  which accepts strings of length atleast 2 (length  $\geq 2$ ).

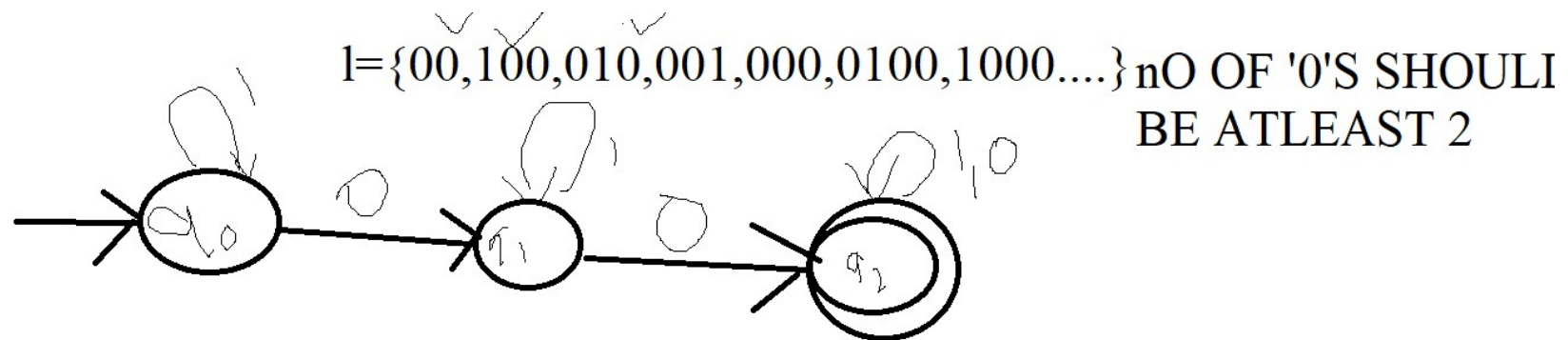
OR

- Give a DFA M such that:

$$L(M) = \{x \mid x \text{ is a string of 0's and 1's and } |x| \geq 2\}$$



Example #6:: Construct DFA over  $\Sigma = \{0,1\}$  which accepts strings in which no of '0's should be atleast 2 (length  $\geq 2$ ).



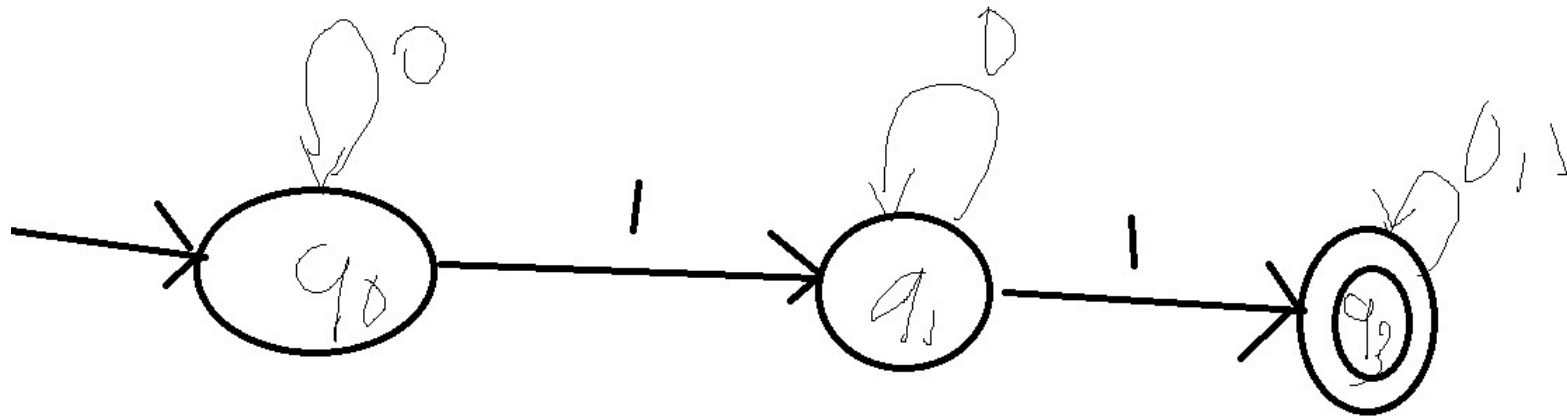
1101 - INVALID



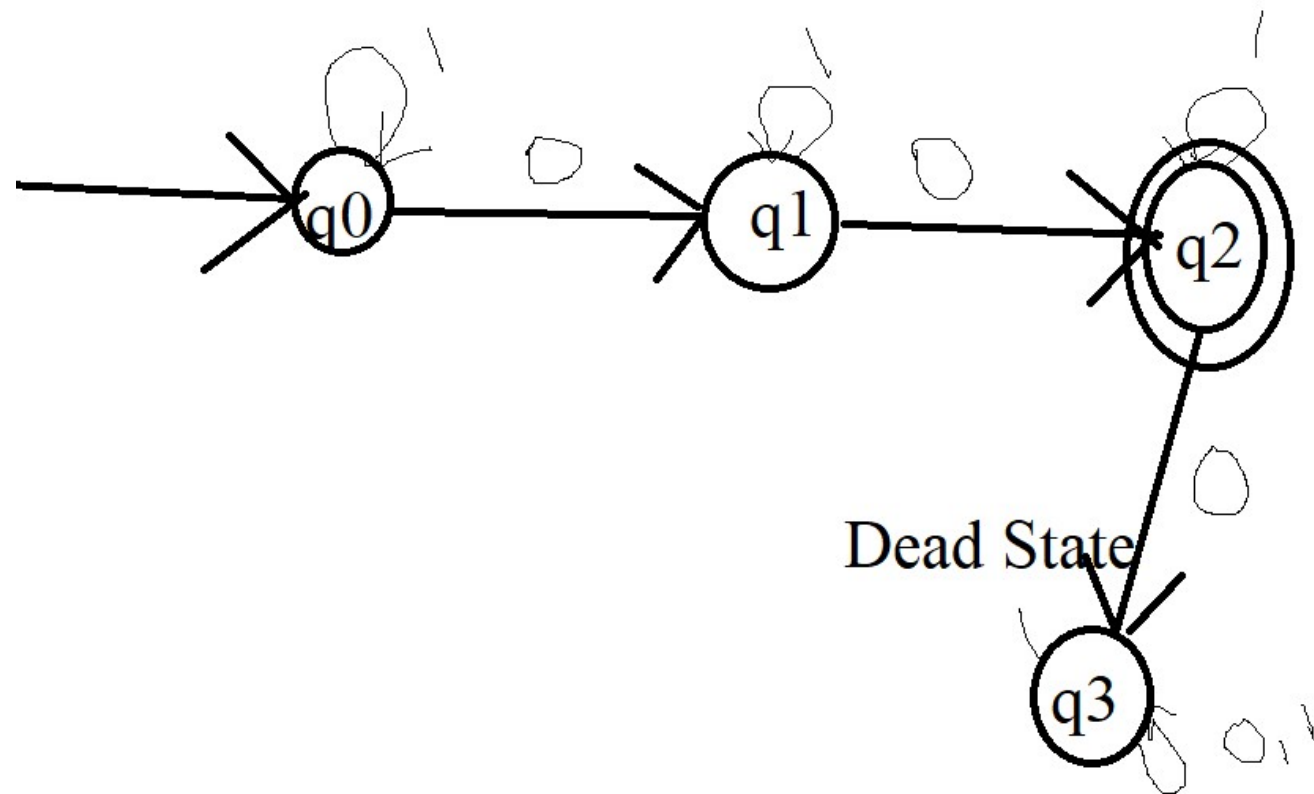
q1 is non final state so string '1101' will be rejected by this FA

Example #6:: Construct DFA over  $\Sigma = \{0,1\}$  which accepts strings in which no of '1's should be atleast 2 (length  $\geq 2$ ).

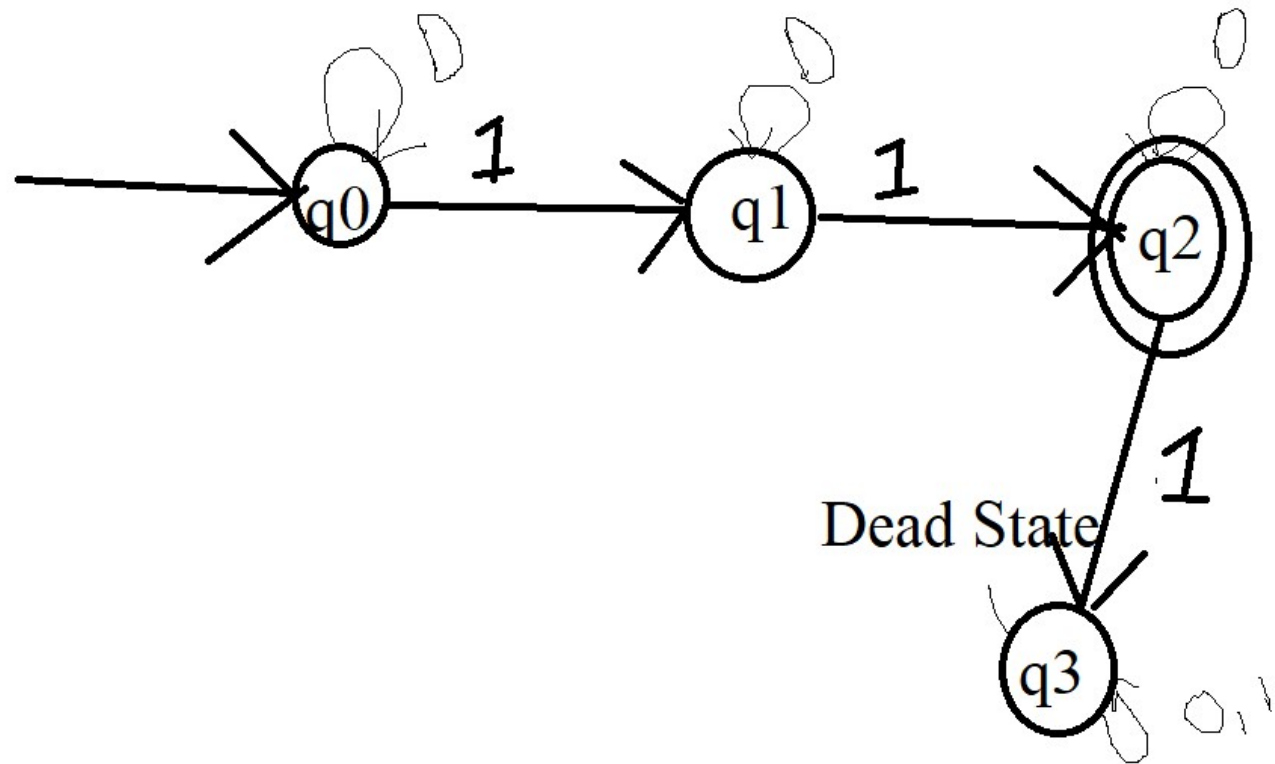
$L = \{11, 111, 011, 110, 101, 0111, 1101, 1110, \dots\}$   
No of '1's should be atleast 2.



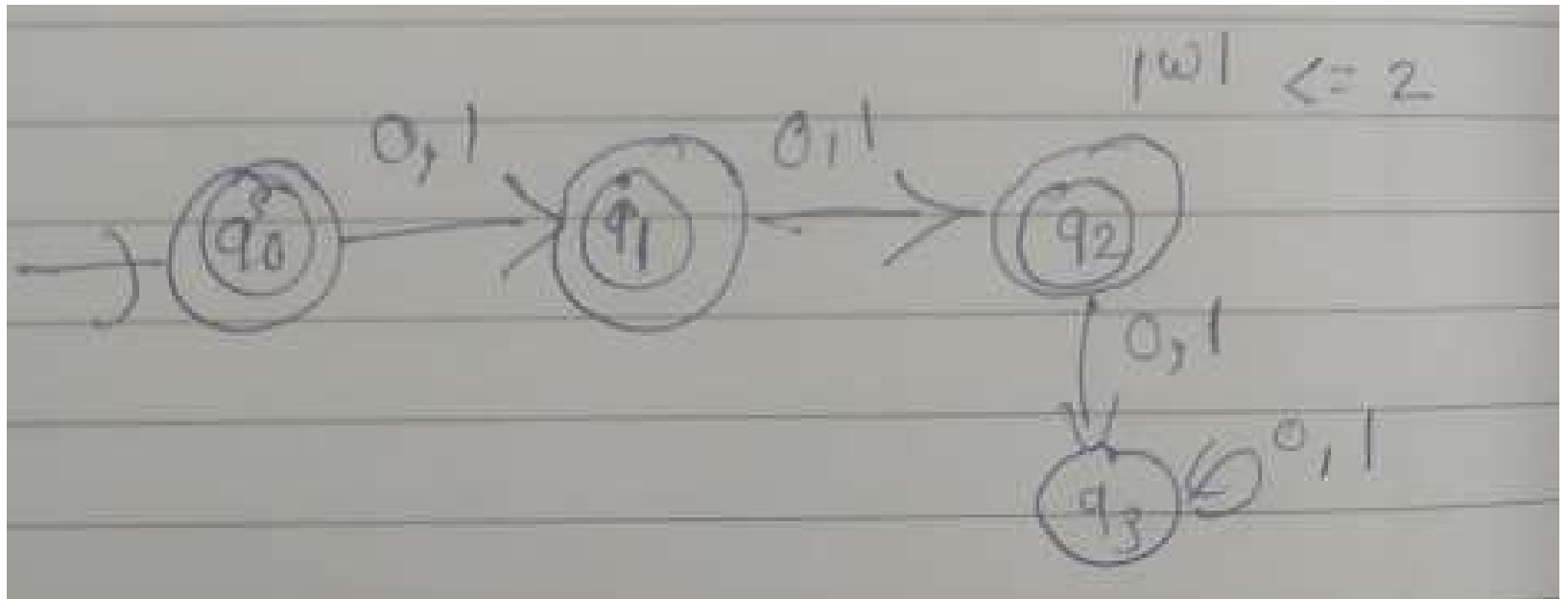
- Example #11:: Construct DFA over  $\Sigma = \{0,1\}$  which accepts strings in which number of 0's are 2.
- $L = \{00, 100, 010, 1010, \dots\}$



- Example #12 Construct DFA over  $\Sigma = \{0,1\}$  which accepts strings in which number of '1's are 2.  $L = \{11, 011, 101, 0110, \dots\}$

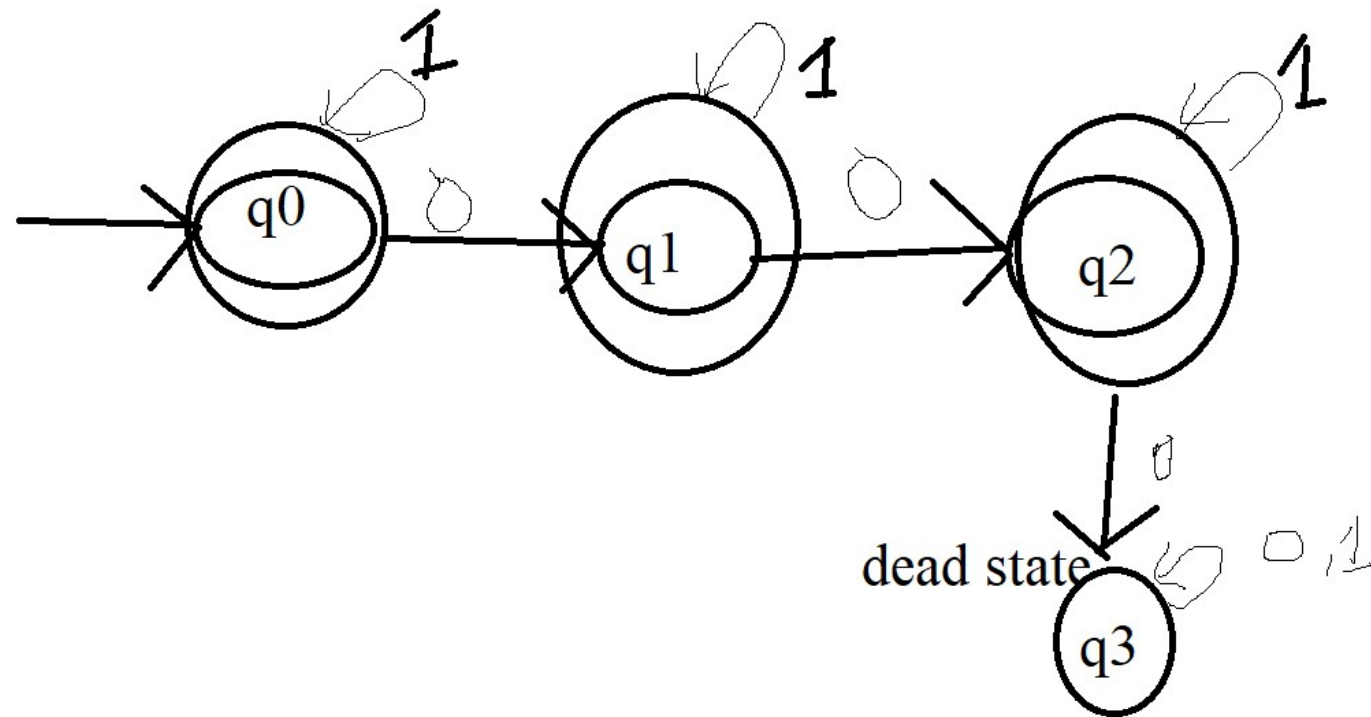


- Example #7:: Construct DFA over  $\Sigma = \{0,1\}$  which accepts strings of length atmost2 ( $\leq 2$ ).
- $L = \{\}$

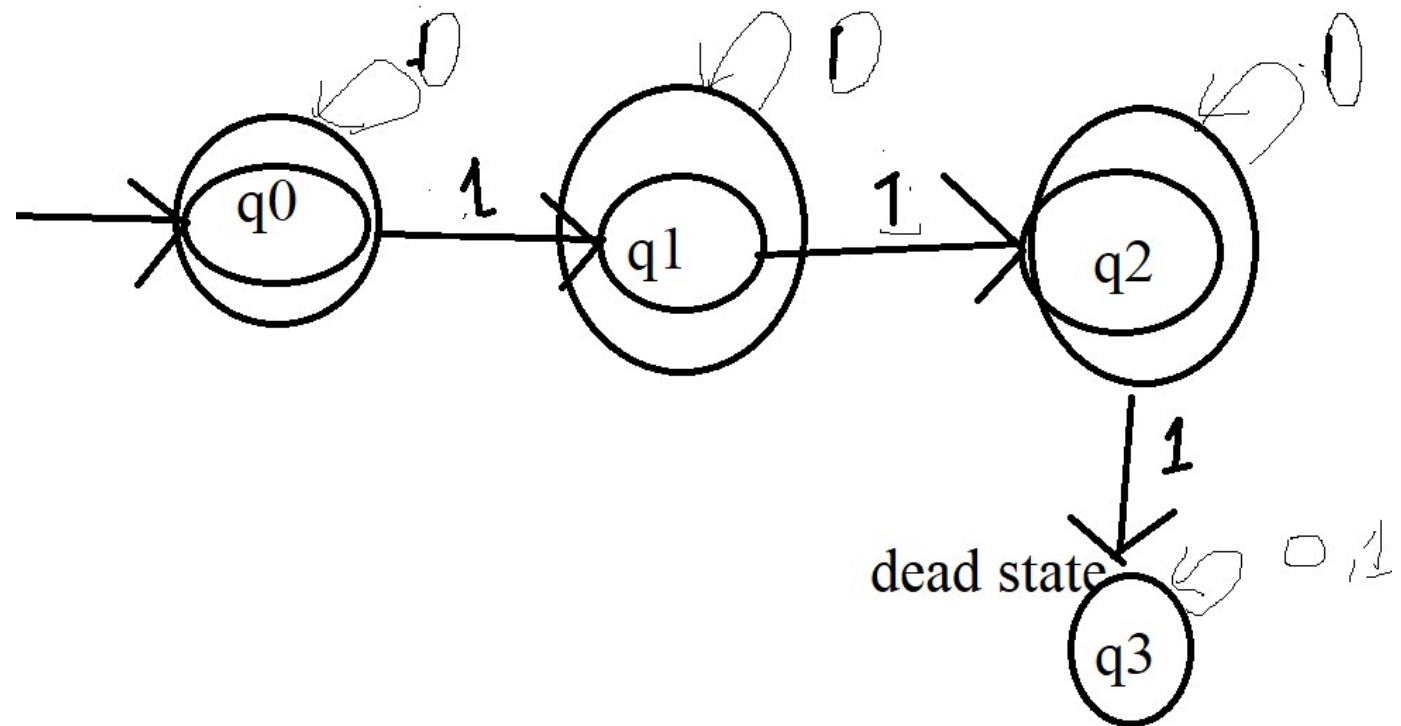




- Example #13:: Construct DFA over  $\Sigma = \{0,1\}$  which accepts strings in which number of 0's is atmost2 ( $\leq 2$ ).
- $L = \{1, 11, 111, 0, 00, 010, \dots\}$

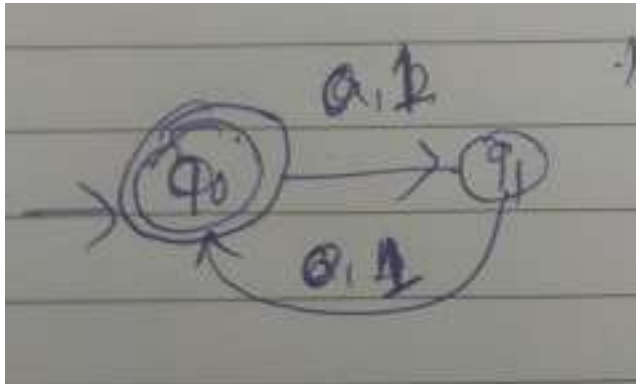


- Example #13:: Construct DFA over  $\Sigma = \{0,1\}$  which accepts strings in which number of 1's is at most 2 ( $\leq 2$ ).
- $L = \{0, 00, 000, 1, 11, 101, \dots\}$

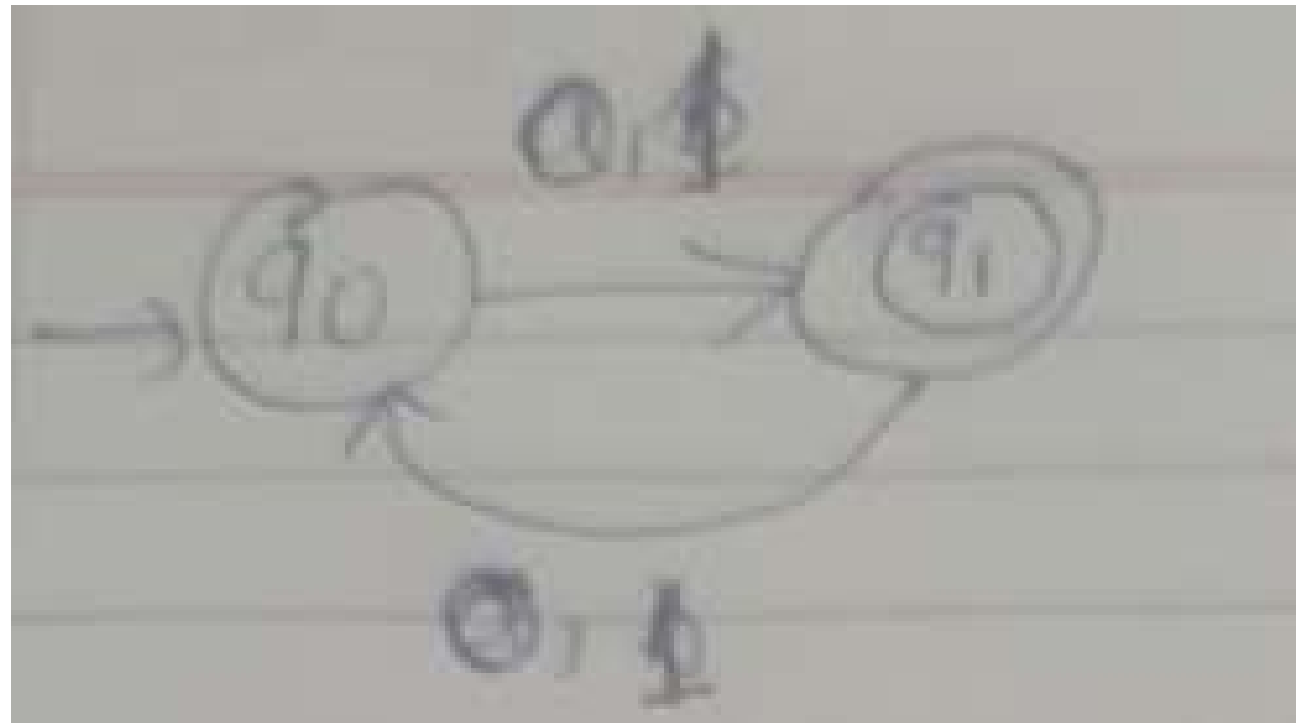


- Example #13:: Construct DFA over  $\Sigma = \{0,1\}$  which accepts strings in which number of 1's is at most 2 ( $\leq 2$ ).
- $L = \{0, 00, 000, 1, 11, 101, \dots\}$

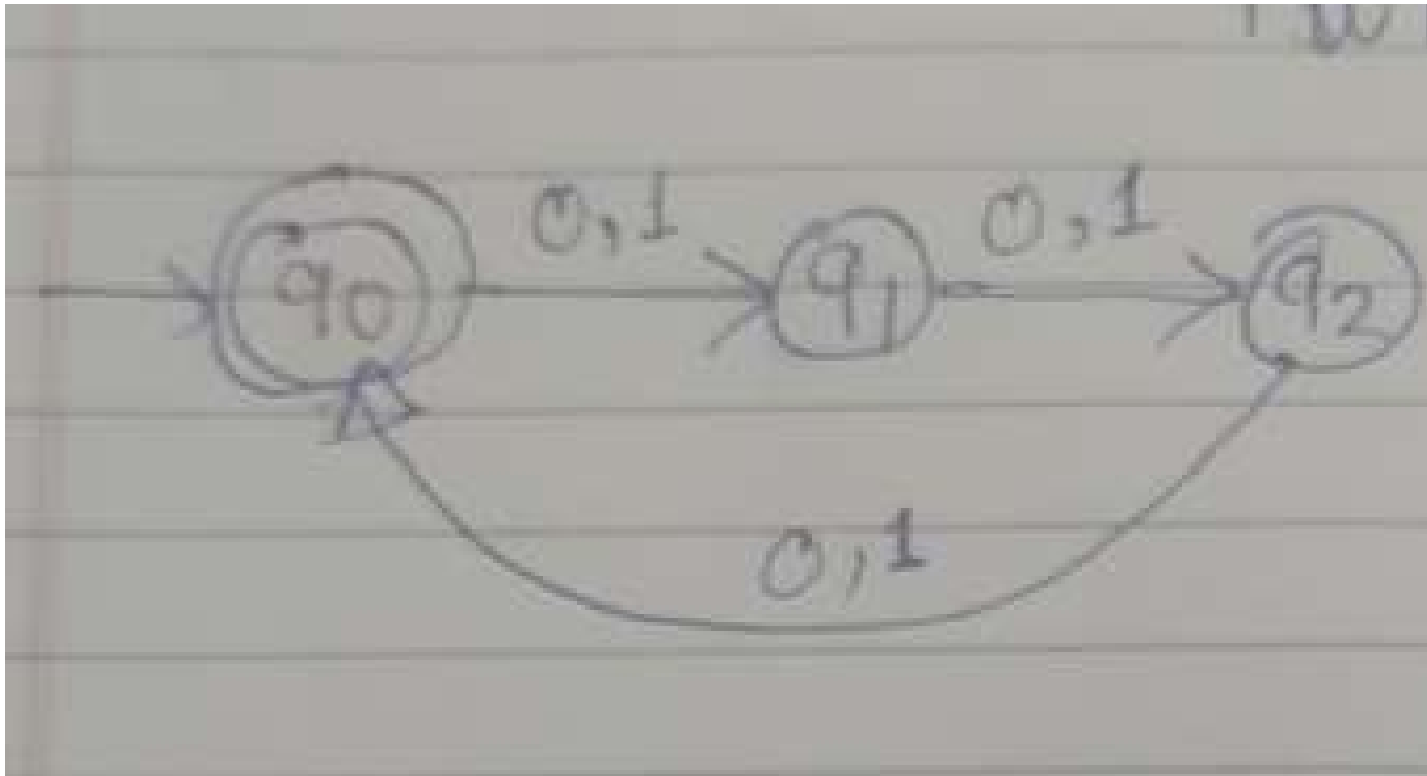
- Example #8:: Construct DFA over  $\Sigma = \{0,1\}$  which accepts strings of even length or length of the string is divisible by 2.
- $L = \{ \}$



- Example #9:: Construct DFA over  $\Sigma = \{0,1\}$  which accepts strings of odd length.
- $L = \{1, 01, 101, \dots\}$

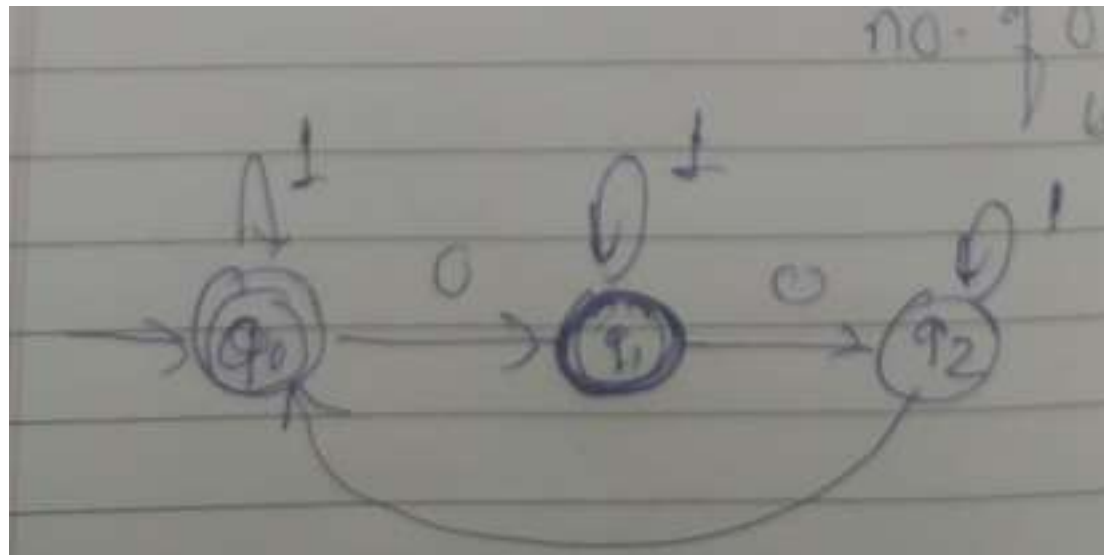


- Example #8:: Construct DFA over  $\Sigma = \{0,1\}$  which accepts strings of even length or length of the string is divisible by 3.
- $L = \{ \}$

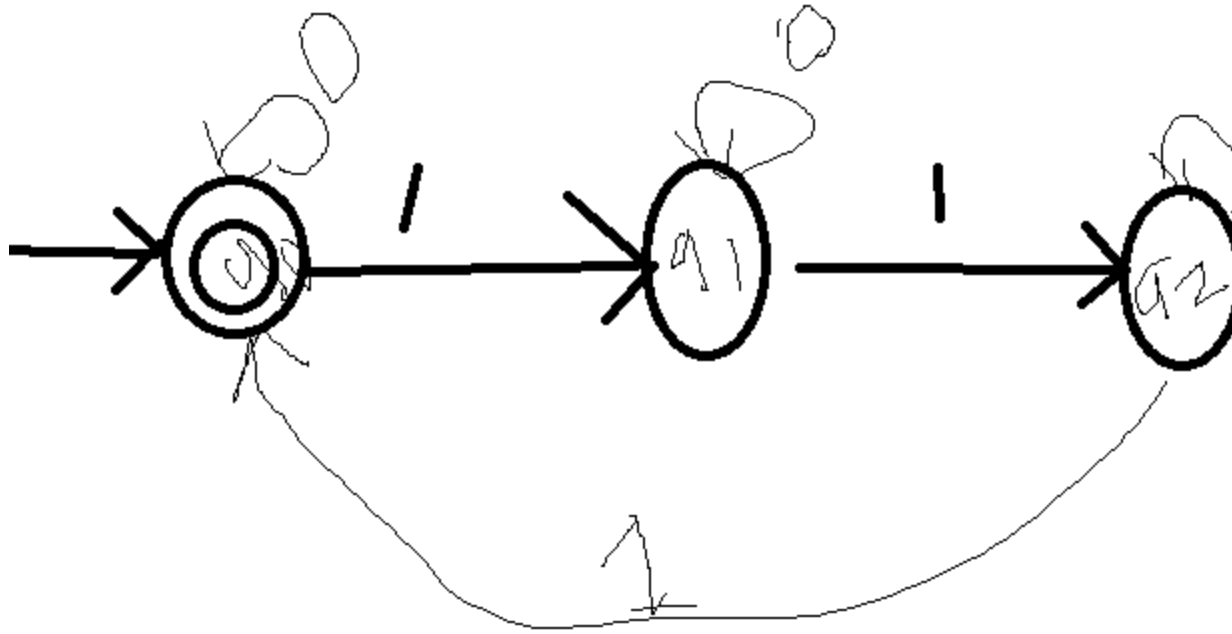


Construct DFA over  $\Sigma = \{0,1\}$  which accepts strings in which number of 0's are divisible by 3.

$$L = \{\text{null}, 000, 1, 11, 111, 1000, 11000, \dots\}$$

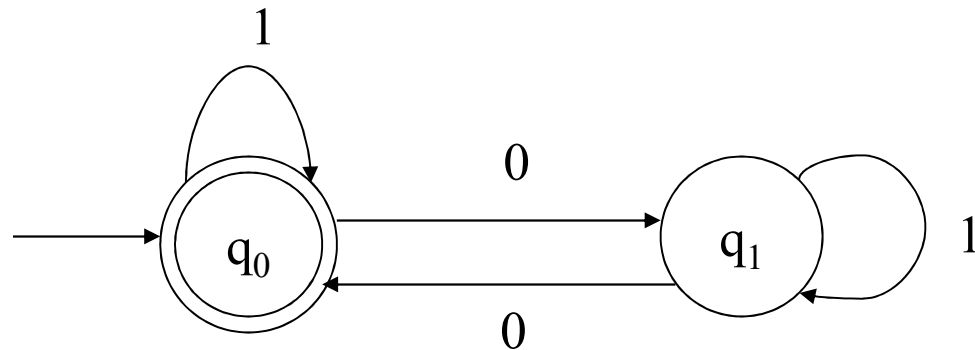


- Construct DFA over  $\Sigma = \{0,1\}$  which accepts strings in which number of 1's are divisible by 3.





- The finite control can be described by a transition diagram or table:
- Example #9:: Construct DFA which accepts those strings that contain an even number of 0's over  $\Sigma = \{0,1\}$



$L = \{\text{null},$   
 $1, 11, 111, \text{00}, 0000, \text{010} \dots\}$

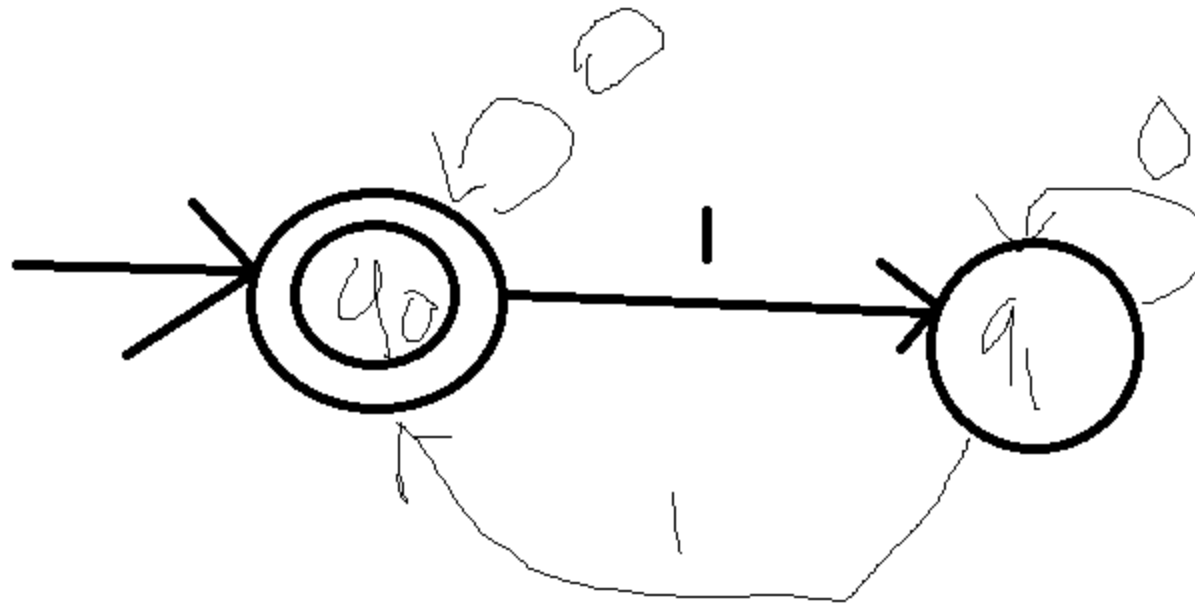
	1	0	0	1	1	
$q_0$	$q_0$	$q_1$	$q_0$	$q_0$	$q_0$	

- }

$L = \{\text{all strings with zero or more 0's}\}$

- Note, the DFA must reject all other strings

- Example #9:: Construct DFA which accepts those strings that contain an even number of 1's, over  $\Sigma = \{0,1\}$
- $\{\text{null}, 0, 00, 11, \dots\}$



- Revisit example #9: Construct DFA which accepts those strings that contain an even number of 0's, including the *null* string, over  $\Sigma = \{0,1\}$

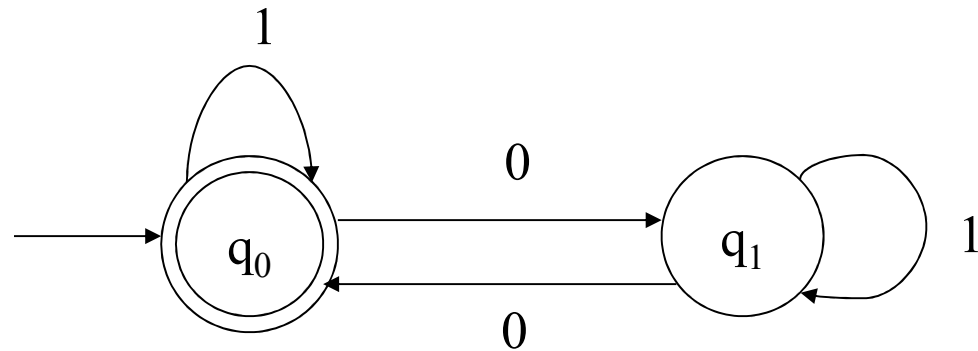
No. of states

$$Q = \{q_0, q_1\}$$

$$\Sigma = \{0, 1\}$$

Start state is  $q_0$

$$F = \{q_0\}$$

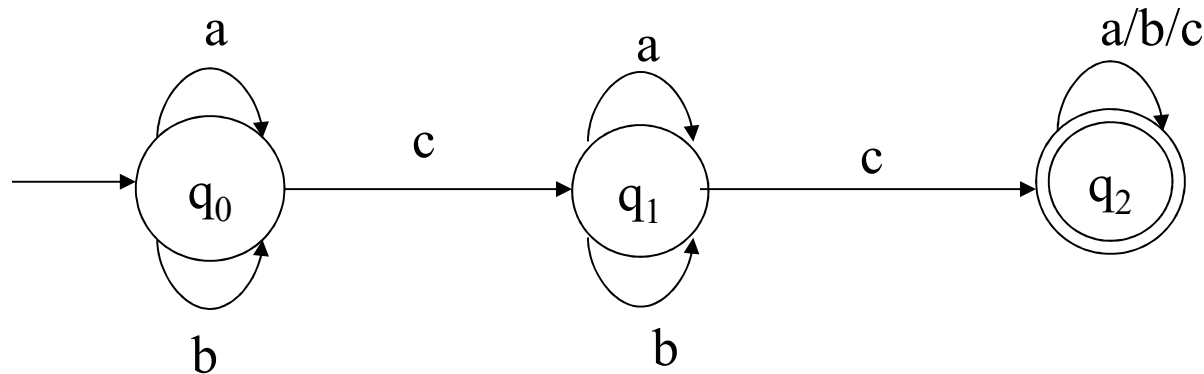


$\delta$ : Transition Table

*5 marks*  $\Sigma$

	0	1
$q_0$	$q_1$	$q_0$
$q_1$	$q_0$	$q_1$

- Example #10: Construct DFA which accepts those strings that contain at least two  $c$ 's over  $\Sigma = \{a,b,c\}$



$Q = \{q_0, q_1, q_2\}$

$\Sigma = \{a, b, c\}$

$Q_0 = \{q_0\}$

$F = \{q_2\}$

	<b>a</b>	c	c	c	b	<u>accepted</u>
$q_0$	$q_0$	$q_1$	$q_2$	$q_2$	$q_2$	

	a	a	c		<u>rejected</u>
$q_0$	$q_0$	$q_0$	$q_1$		

- Accepts those strings that contain at least two  $c$ 's

- Revisit example #10:

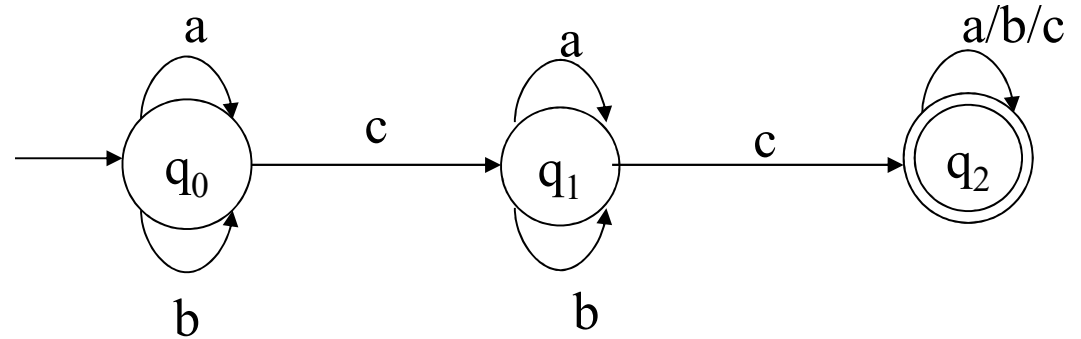
## Transition diagram of DFA

$Q = \{q_0, q_1, q_2\}$

$\Sigma = \{a, b, c\}$

Start state is  $q_0$

$F = \{q_2\}$



$\delta:$   ~~$Q$~~   ~~$\Sigma$~~

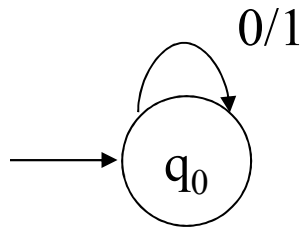
	a	b	c
$q_0$	$q_0$	$q_0$	$q_1$
$q_1$	$q_1$	$q_1$	$q_2$
$q_2$	$q_2$	$q_2$	$q_2$

Transition table of  
DFA

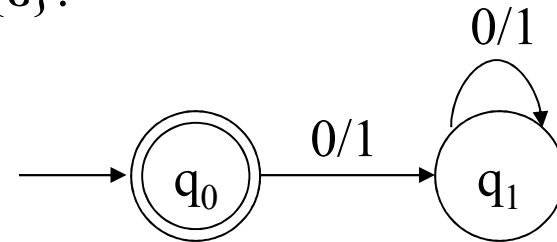
- Since  $\delta$  is a function, at each step  $M$  has exactly one option.
- It follows that for a given string, there is exactly one computation.

- Let  $\Sigma = \{0, 1\}$ . Give DFAs for  $\{\}$ ,  $\{\epsilon\}$ ,  $\Sigma^*$ , and  $\Sigma^+$ .

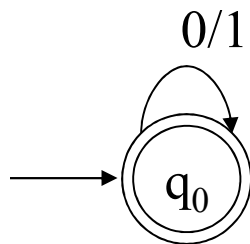
For  $\{\}$ :



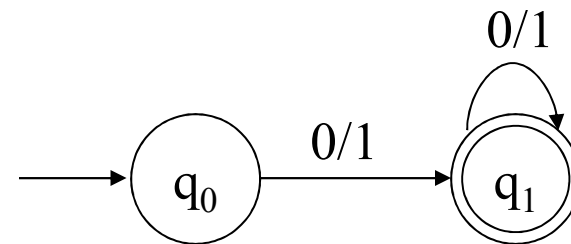
For  $\{\epsilon\}$ :



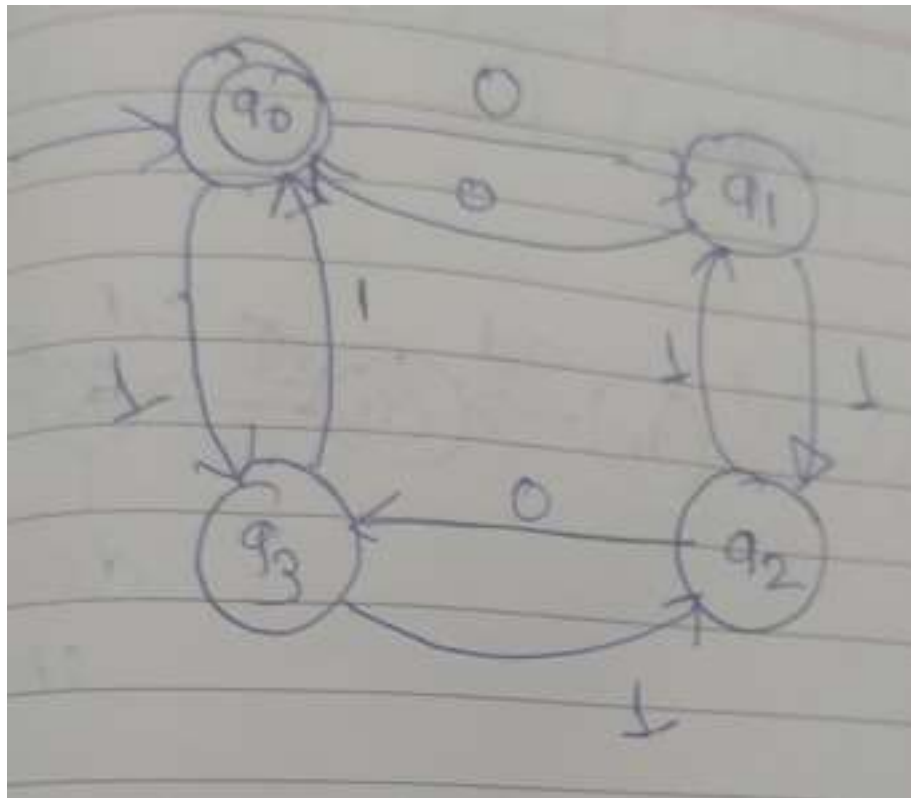
For  $\Sigma^*$ :



For  $\Sigma^+$ :



- Example #13:: Construct DFA over  $\Sigma = \{0,1\}$  which accepts strings in which number of 0's and number of 1's are even
- $L = \{\}$



# Acceptability of a string by a FA

## 2.5 ACCEPTABILITY OF A STRING BY A FINITE AUTOMATON

**Definition 2.4** A string  $x$  is accepted by a finite automaton  $M = (Q, \Sigma, \delta, q_0, F)$  if  $\delta(q_0, x) = q$  for some  $q \in F$ . This is basically the acceptability of a string by the final state.

**NOTE:** A final state is also called an accepting state.



# Acceptability of a string by a FA

States	Inputs	
	0	1
$\rightarrow \textcircled{q_0}$	$q_2$	$q_1$
$q_1$	$q_3$	$q_0$
$q_2$	$q_0$	$q_3$
$q_3$	$q_1$	$q_2$

*SOLUTION*

$$\begin{aligned}
 &\downarrow \qquad \qquad \downarrow \\
 &\delta(q_0, 110101) = \delta(q_1, 10101) \\
 &\qquad \qquad \downarrow \\
 &\qquad \qquad = \delta(q_0, 0101) \\
 &\qquad \qquad \downarrow \\
 &\qquad \qquad = \delta(q_2, 101) \\
 &\qquad \qquad \downarrow \\
 &\qquad \qquad = \delta(q_3, 01) \\
 &\qquad \qquad \downarrow \\
 &\qquad \qquad = \delta(q_1, 1) \\
 &\qquad \qquad \downarrow \\
 &\qquad \qquad = \delta(q_0, \Lambda) = q_0 \checkmark
 \end{aligned}$$

Hence,

$$q_0 \xrightarrow{1} q_1 \xrightarrow{1} q_0 \xrightarrow{0} q_2 \xrightarrow{1} q_3 \xrightarrow{0} q_1 \xrightarrow{1} q_0$$

The symbol  $\downarrow$  indicates the current input symbol being processed by the machine

# NFA

**Definition 2.5** A nondeterministic finite automaton (NFA) is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where

- (i)  $Q$  is a finite nonempty set of states;
- (ii)  $\Sigma$  is a finite nonempty set of inputs;
- (iii)  $\delta$  is the transition function mapping from  $Q \times \Sigma$  into  $2^Q$  which is the power set of  $Q$ , the set of all subsets of  $Q$ ;
- (iv)  $q_0 \in Q$  is the initial state; and
- (v)  $F \subseteq Q$  is the set of final states.

We note that the difference between the deterministic and nondeterministic automata is only in  $\delta$ . For deterministic automaton (DFA), the outcome is a state, i.e. an element of  $Q$ . For nondeterministic automaton the outcome is a subset of  $Q$ .

NFA	DFA
Epsilon transition	No Epsilon transition

$\delta'([q_1 \dots q_k], a)$ .

NOTE: We write  $\delta'$  as  $\delta$  itself when there is no ambiguity. We also mark the initial state with  $\rightarrow$  and final state with circle in the state table.

Table 2.2 State Table for Example 2.6

State/ $\Sigma$	0	1
$\rightarrow \textcircled{q_0}$	$q_0$	$q_1$
$q_1$	$q_1$	$q_0, q_1$

**EXAMPLE 2.6** Construct a deterministic automaton equivalent to  $M = (\{q_0, q_1\}, \{0, 1\}, \delta, q_0, \{q_0\})$ .  $\delta$  is given by its state table (Table 2.2).

**SOLUTION** For the deterministic automaton  $M_1$ ,

- (i) the states are subsets of  $\{q_0, q_1\}$ , i.e.  $\emptyset, [q_0], [q_0, q_1], [q_1]$ ;
- (ii)  $[q_0]$  is the initial state;
- (iii)  $[q_0]$  and  $[q_0, q_1]$  are the final states as these are the only states containing  $q_0$ ; and
- (iv)  $\delta$  is defined by the state table given by Table 2.3.

Table 2.3 State Table of  $M_1$

States/ $\Sigma$	0	1
$\emptyset$	$\emptyset$	$\emptyset$
$[q_0]$	$[q_0]$	$[q_1]$

SOLUTION T

where

We start the  
we construct  
columns. A  
we termina

## Transition Table of DFA

	0	1
[q0]	[q0]	[q1]
[q1]	[q1]	[q0,q1]
[q0,q1]	[q0,q1]	[q0,q1]

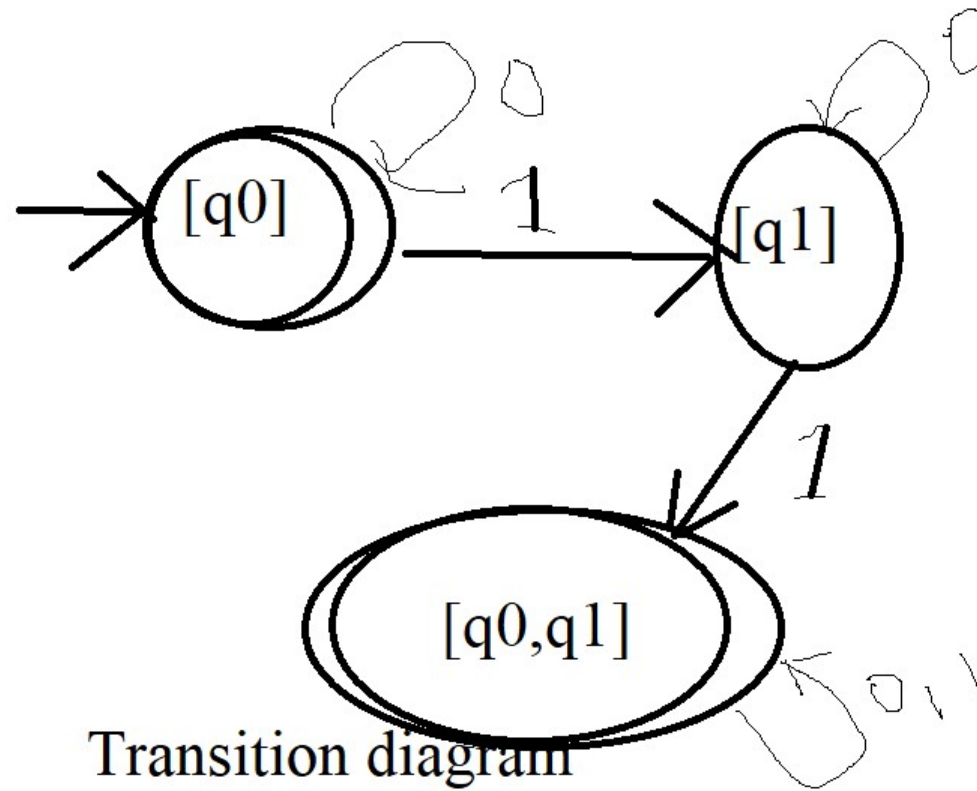


## Transition table of DFA

State \ $\Sigma$	0	1
[q0]	[q0]	[q1]
[q1]	[q1]	[q0,q1]
[q0.q1]	[q0.q1]	[q0,q1]

- As after [q0,q1] no new state is generated we can say that conversion is completed. Now next step is to mark the final state of DFA.
- Initial state of NFA becomes the initial state of DFA.
- Final state of DFA will be those **states** in which the final state of NFA appears.

# Transition diagram of DFA



Transition diagram  
of DFA

• **EXAMPLE 2.7** Find a deterministic acceptor equivalent to

$$M = (\{q_0, q_1, q_2\}, \{a, b\}, \delta, q_0, \{q_2\})$$

$\delta$  is given in Table 2.4.

$Q$

$\Sigma$

$q_0$   $F$

**Table 2.4** State Table for Example 2.7

States/ $\Sigma$	$a$	$b$
$\rightarrow q_0$	$q_0, q_1$	$q_2$
$q_1$	$q_0$	$q_1$
$\odot q_2$		$q_0, q_1$



## Transition Tables of DFA

Q	a	b
q0	[q0,q1]	[q2]
[q0,q1]	[q0,q1]	[q1,q2]
[q2]		[q0,q1]
[q1,q2]	[q0]	[q0,q1]

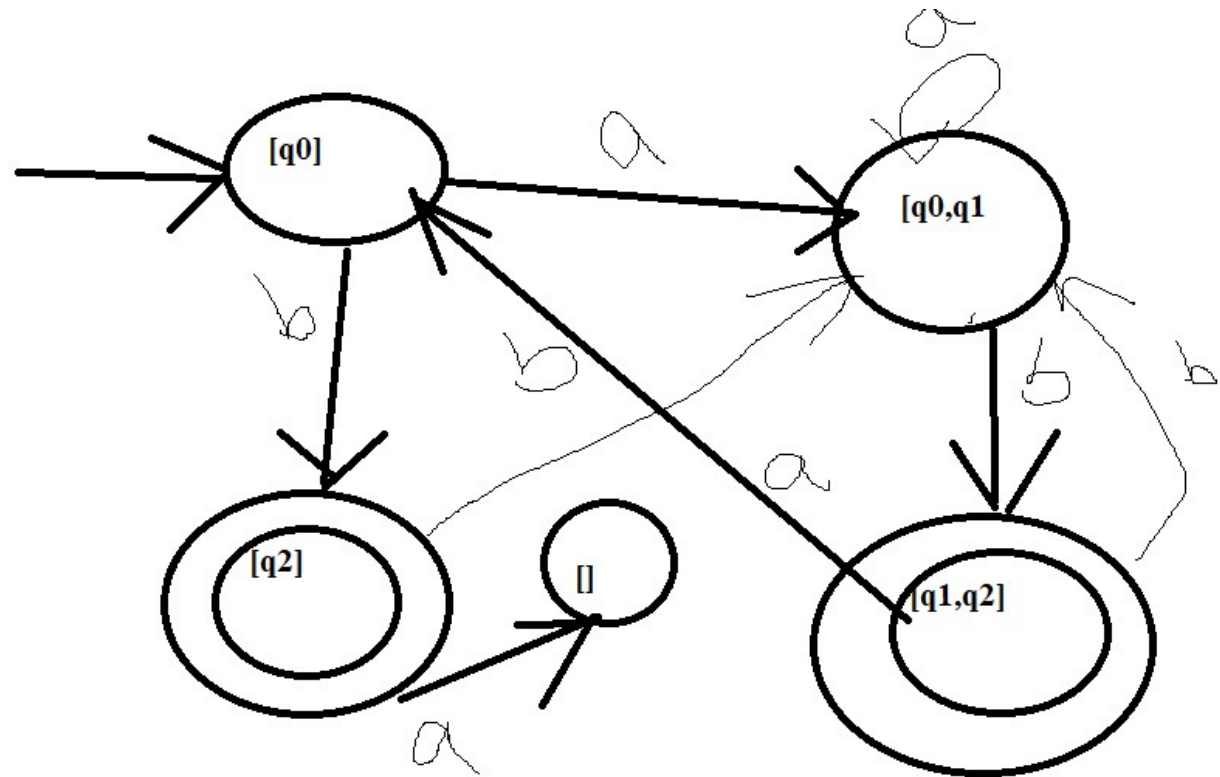
## Transition table of DFA

	States\	a	b
→	[q0]	[q0,q1]	[q2]
	[q0,q1]	[q0,q1]	[q1,q2]
★	[q2]	[]	[q0,q1]
★	[q1,q2]	[q0]	[q0,q1]

$Q \setminus \Sigma$	a	b
[q0]	[q0,q1]	[q2]
[q0,q1]	[q0,q1]	[q1,q2]
[q2]	[ ]	[q0,q1]
[q1,q2]	[q0]	[q0,q1]

Transition table of DFA

- Final state of DFA will be those **states** in which the final state of NFA appears.



7. The transition table of a nondeterministic finite automaton  $M$  is given in Table 2.25. Construct a deterministic finite automaton equivalent to  $M$ .

**Table 2.25** Transition Table for Exercise 2.7

State	0	1	2
$\rightarrow q_0$	$q_1q_4$	$q_4$	$q_2q_3$
$q_1$		$q_4$	
$q_2$			$q_2q_3$
$\textcircled{q_3}$		$q_4$	
$q_4$			

final states

## Transition table of DFA(HW Solution Q7)

States\Σ	0	1	2
[q0]	[q1,q4]	[q4]	[q2,q3]
[q1,q4]	[]	[q4]	[]
[q4]	[]	[]	[]
[q2,q3]	[]	[q4]	[q2,q3]

# HW

9.  $M = (\{q_1, q_2, q_3\}, \{0, 1\}, \delta, q_1, \{q_3\})$  is a nondeterministic finite automaton, where  $\delta$  is given by

$$\delta(q_1, 0) = \{q_2, q_3\} \quad \delta(q_1, 1) = \{q_1\}$$

$$\delta(q_2, 0) = \{q_1, q_2\} \quad \delta(q_2, 1) = \emptyset$$

$$\delta(q_3, 0) = \{q_2\} \quad \delta(q_3, 1) = \{q_1, q_2\}$$

Construct an equivalent DFA.

## HW Solution Q9

### Transition Table of NFA

States\sigma	0	1
→ q1	q2,q3	q1
q2	q1,q2	
q3	q2	q1,q2

### Transition Table of DFA


States\sigma	0	1
→ [q1]	[q2,q3]	[q1]
[q2,q3]	[q1,q2]	[q1,q2]
[q1,q2]	[q1,q2,q3]	[q1]
[q1,q2,q3]	[q1,q2,q3]	[q1,q2]

Conversion process will stop, when all the states in column 2 and 3 will appear in column 1.

# Solution

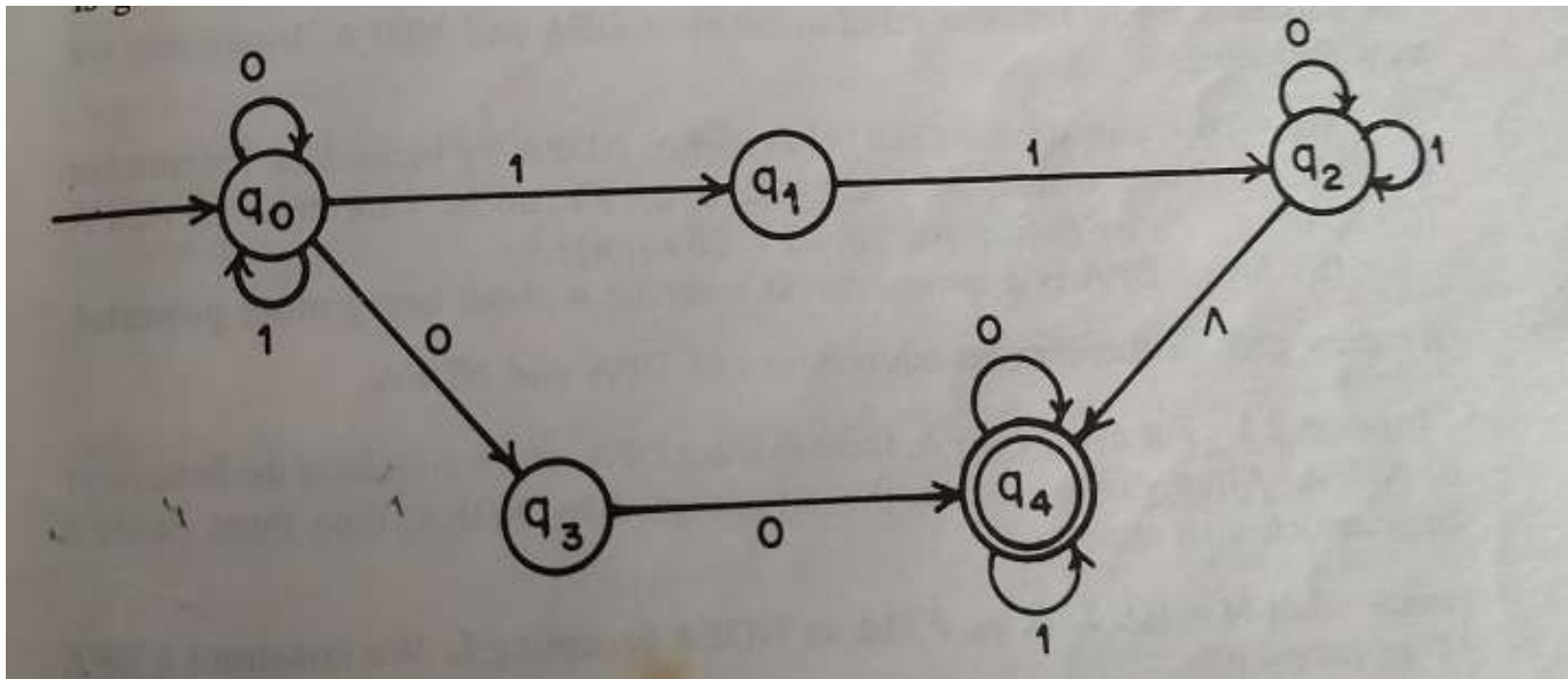
## Transition Table of DFA

**Table A2.6** State Table for Exercise 2.9

States	0	1
 $[q_1]$	$[q_2, q_3]$	$[q_1]$
$[q_2, q_3]$	$[q_1, q_2]$	$[q_1, q_2]$
$[q_1, q_2]$	$[q_1, q_2, q_3]$	$[q_1]$
$[q_1, q_2, q_3]$	$[q_1, q_2, q_3]$	$[q_1, q_2]$



# Convert the following NFA into DFA-HW

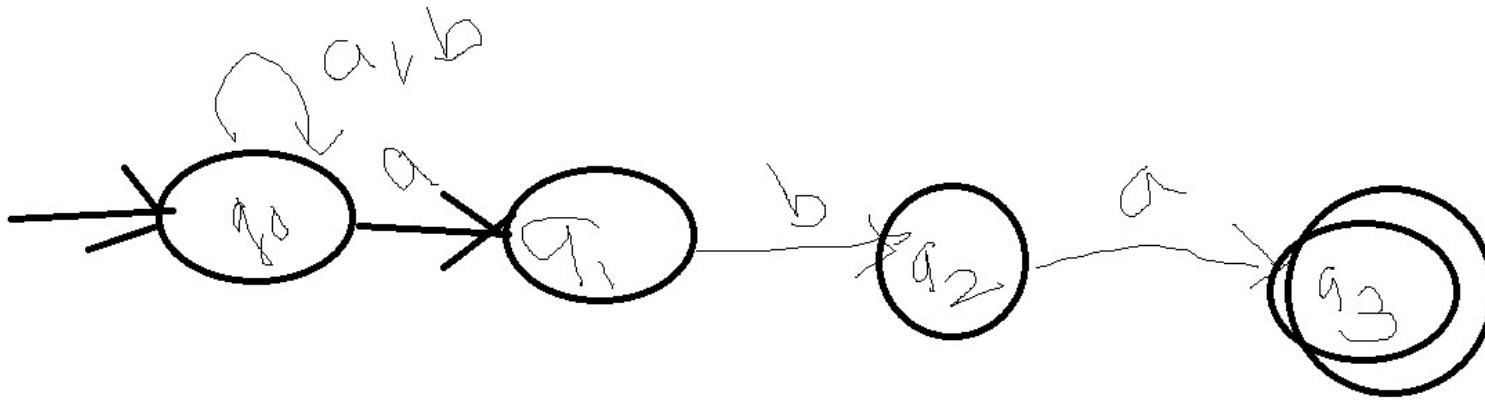


Q. Construct a nondeterministic finite automaton accepting the set of all strings over  $\{a,b\}$  **ending in aba**. Use it to construct a DFA accepting the same set of all strings

Solution:

- Step1 : Construct transition diagram of NFA
- Step 2: Construct a Transition Table of NFA from Transition diag.
- Step3: convert this NFA transition table into DFA transition table.
- Step4: Construct a Transition diag. of DFA<sub>50</sub>

$L = \{aba, aaba, baba, aaaba, bbaba, baaba, ababa, \dots\}$



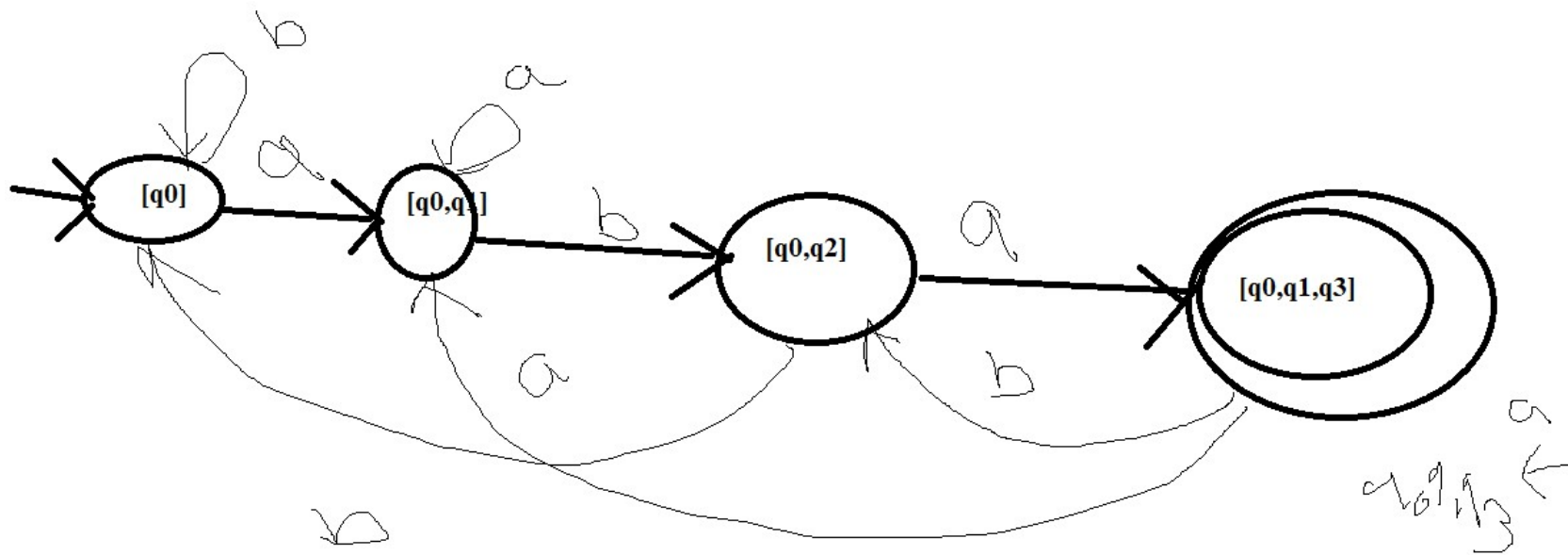
Transition table of NFA

States	a	b
q0	q0, q1	q0
q1		q2
q2	q3	
q3		

## Transition table of DFA

States\sigma	a	b
[q0]	[q0,q1]	[q0]
[q0,q1]	[q0,q1]	[q0,q2]
[q0,q2]	[q0,q1,q3]	[q0]
[q0,q1,q3]	[q0,q1]	[q0,q2]

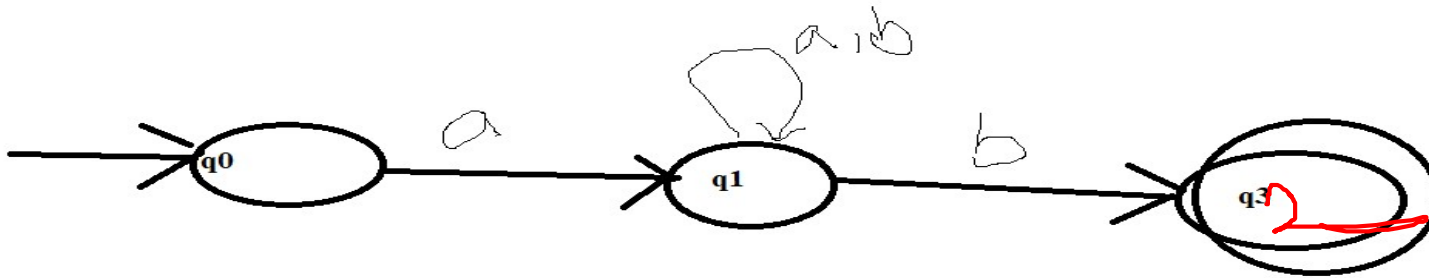
## Transition diagram of DFA



Question :Construct a nondeterministic finite automaton accepting the set of all strings over  $\{a,b\}$  starting with 'a' ending with 'b'. Use it to construct a DFA accepting the same set of all strings

$L = \{ab, aab, abb, aaab, \dots\}$

## Transition diagram of NFA



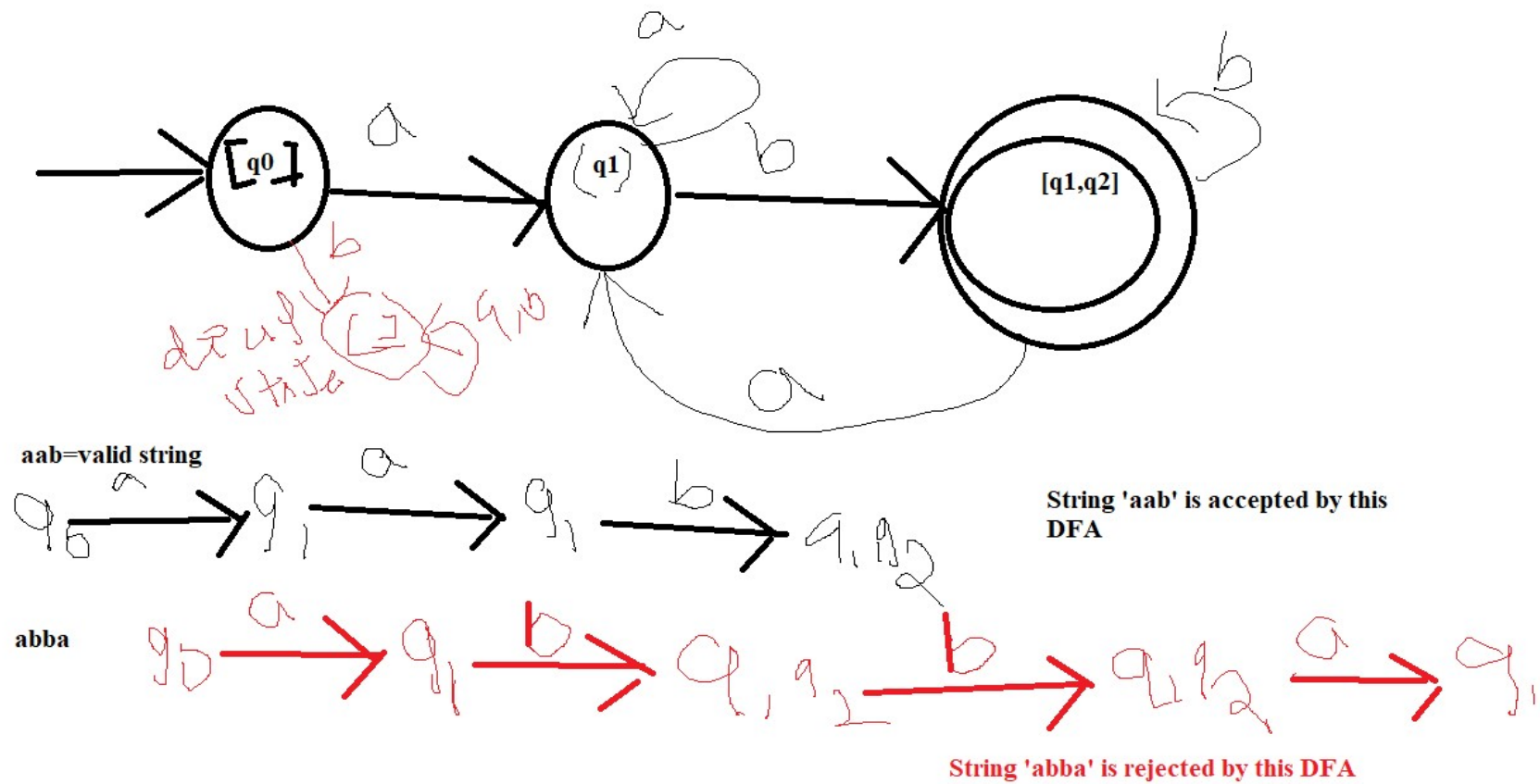
## Transition table of NFA

	a	b
q0	q1	
q1	q1	q1, q2
q2		

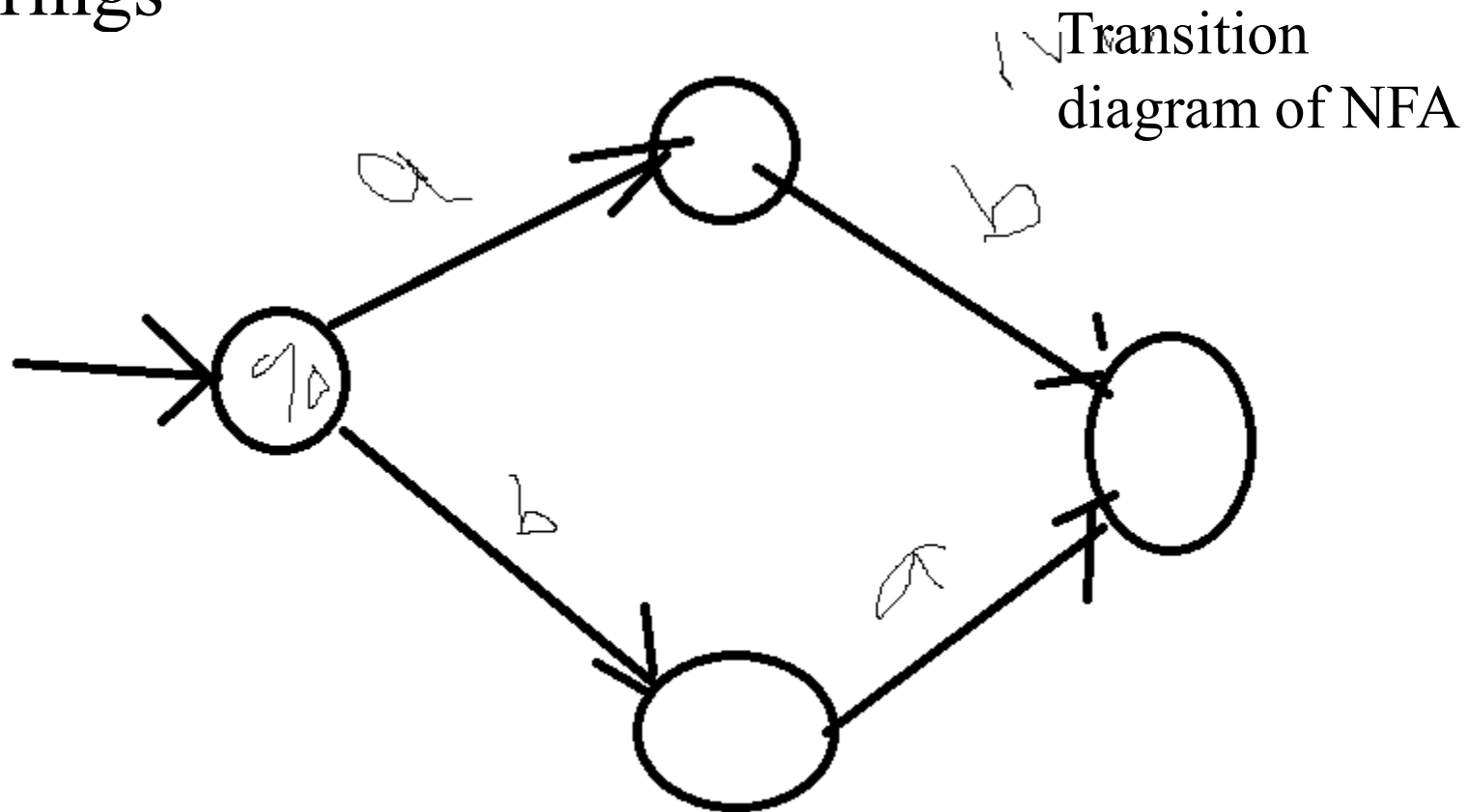
	a	b
[q0]	[q1]	[]
[q1]	[q1]	[q1, q2]
[q1, q2]	[q1]	[q1, q2]
[]	[]	[]

Transition  
table of  
DFA

### Transition diagram of DFA



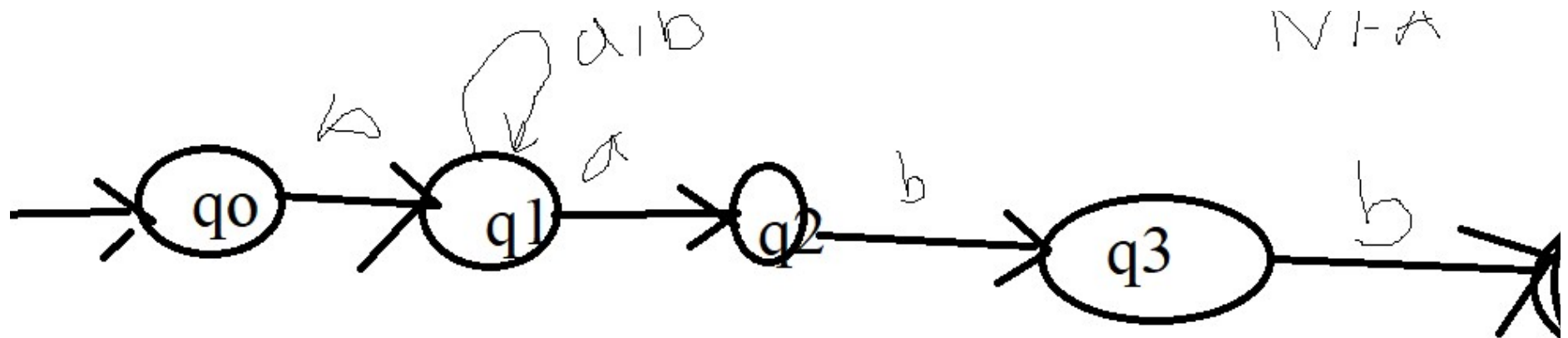
Question :Construct a nondeterministic finite automaton accepting  $\{ab,ba\}$ . Use it to construct a DFA accepting the same set of strings





Question : Construct a nondeterministic finite automaton accepting the set of all strings over  $\{a,b\}$  starting with 'b' ending with 'abb'. Use it to construct a DFA accepting the same set of all strings

$L = \{babb, baabb, bbabb, bababb, \dots\}$



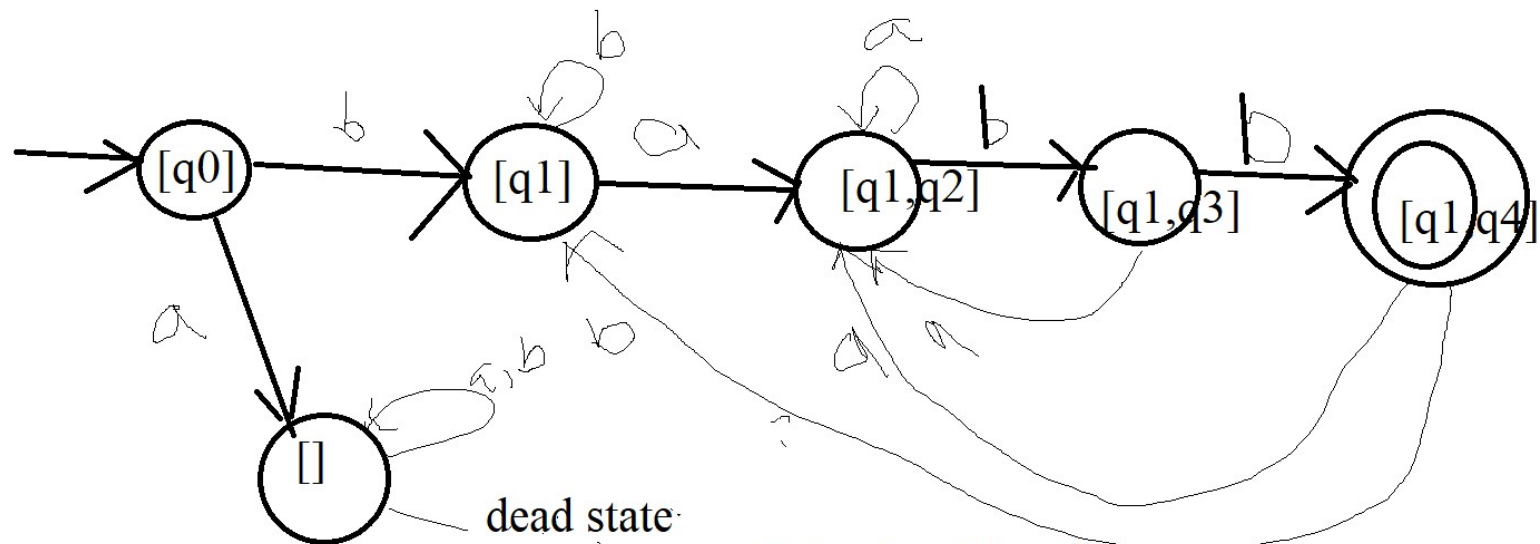
## Transition Table of NFA

	a	b
→ q0		q1
q1	q1,q2	q1
q2		q3
q3		q4
q4		

## Transition Table of DFA

	a	b
→ [q0]	[]	[q1]
[q1]	[q1,q2]	[q1]
[q1,q2]	[q1,q2]	[q1,q3]
[q1,q3]	[q1,q2]	[q1,q4]
[q1,q4]	[q1,q2]	[q1]
[]	[]	[]

# Transition diagram of DFA

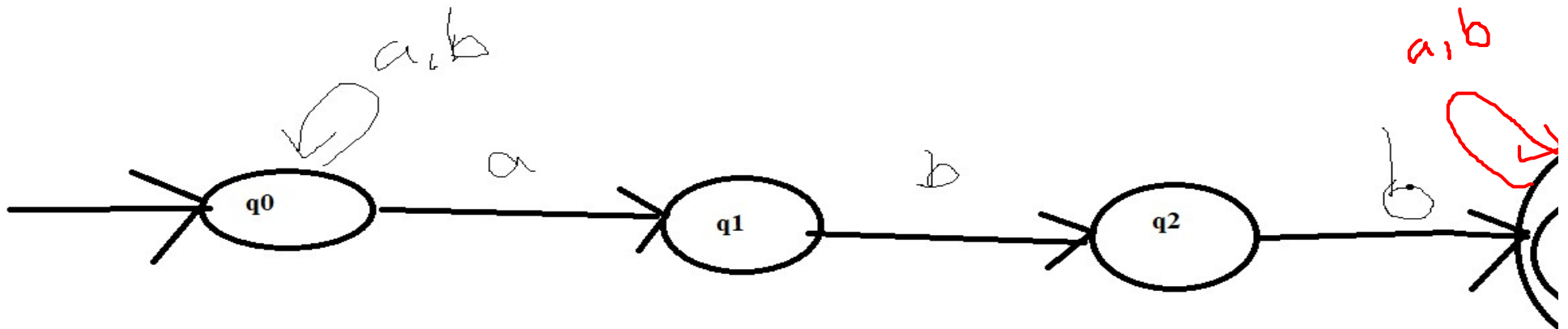


baba-invalid string

$q0 \rightarrow q1 \rightarrow q1,q2 \rightarrow$  accepted as  $[q1,q2]$  is not a final state.  
 $q1,q3 \rightarrow q1,q2$

- Question: Construct a nondeterministic finite automaton accepting the set of all strings over  $\{a,b\}$  having 'abb' as a substring. Use it to construct a DFA accepting the same set of all strings  $L = \{ abb, aabb, abbb, aabbb, babba, \dots \}$

Transition diagram of NFA



	a	b
q0	q0,q1	q0
q1		q2
q2		q3
q3	q3	q3

	a	B
[q0]	[q0,q1]	[q0]
[q0,q1]	[q0,q1]	[q0,q2]
[q0,q2]	[q0,q1]	[q0,q3]
*[q0,q3]	[q0,q1,q3]	[q0,q3]
*[q0,q1,q3]	[q0,q1,q3]	[q0,q2,q3]
*[q0,q2,q3]	[q0,q1,q3]	[q0,q3]

- For minimization /optimization of DFA Please note
- We can merge two Non final non final states
- Or two final-final states **if** both these 2 states are having same transition on all the input symbols

## Optimizing a DFA

	a	b
[q0]	[q0,q1]	[q0]
[q0,q1]	[q0,q1]	[q0,q2]
[q0,q2]	[q0,q1]	[q0,q3]
[q0,q3]	[q0,q1,q3]	[q0,q3]
[q0,q1,q3]	[q0,q1,q3]	[q0,q2,q3]
[q0,q2,q3]	[q0,q1,q3]	[q0,q3]

[q0,q3], and [q0,q2,q3] can be merged

Ca

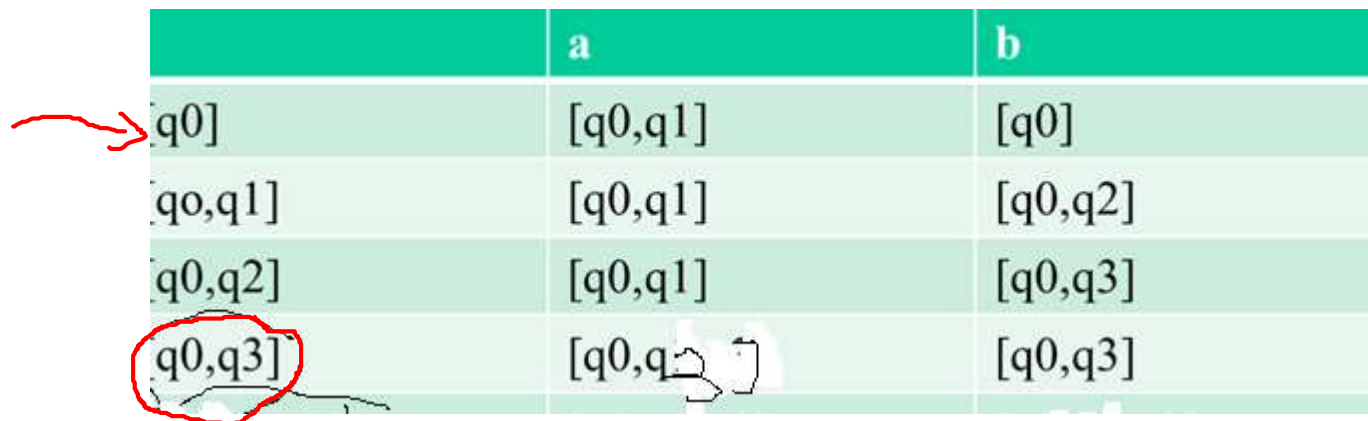
# After 1<sup>st</sup> optimization

	a	b
[q0]	[q0,q1]	[q0]
[q0,q1]	[q0,q1]	[q0,q2]
[q0,q2]	[q0,q1]	[q0,q3]
[q0,q3]	[q0,q1,q3]	[q0,q3]
[q0,q1,q3]	[q0,q1,q3]	[q0,q3]
[q0,q1,q3]	[q0,q1,q3]	[q0,q3]

[q0,q3] and [q0,q1,q3] can be merged



# After 2<sup>nd</sup> Optimization



	a	b
q0]	[q0,q1]	[q0]
[q0,q1]	[q0,q1]	[q0,q2]
[q0,q2]	[q0,q1]	[q0,q3]
[q0,q3]	[q0,q3]	[q0,q3]

# Final Transition diagram of DFA after optimization

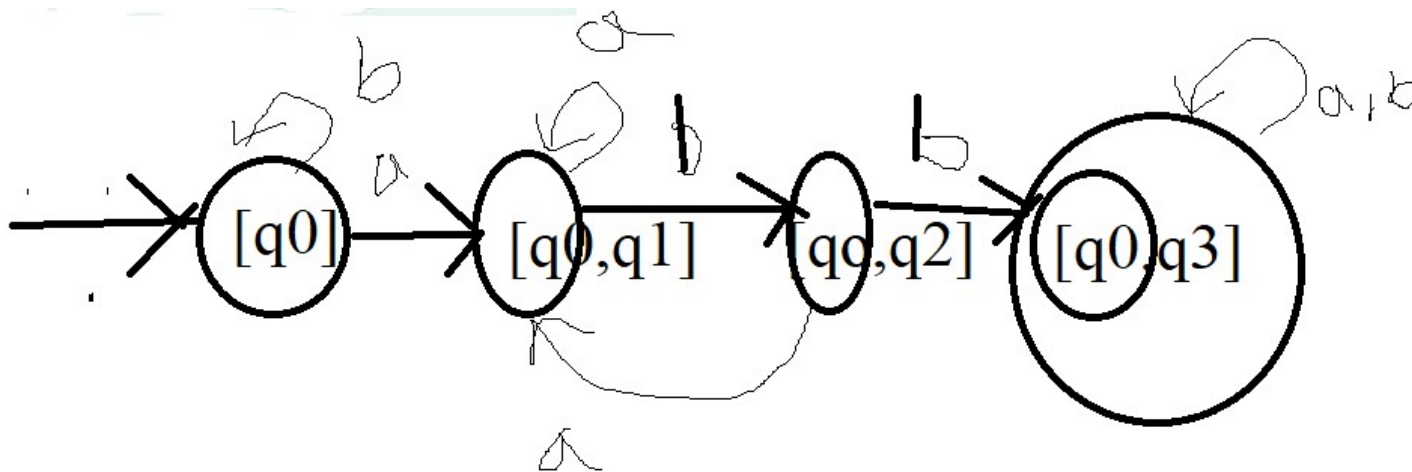
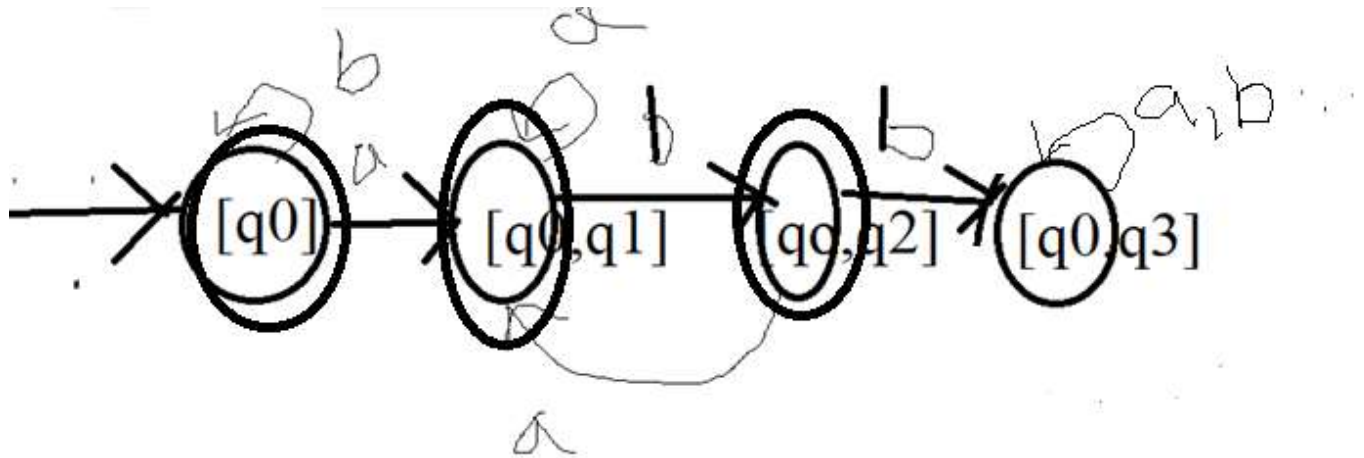
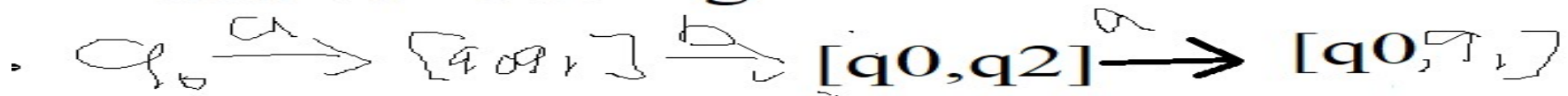


abb-valid- $q_0 \rightarrow q_0q_1 \rightarrow [q_0,q_2] \xrightarrow{b} [q_0,q_3] \text{---accepted}$   
 bab-invalid- $q_0 \rightarrow [q_0] \xrightarrow{a} [q_0,q_1] \rightarrow [q_0,q_2] \text{---rejected}$

DFA for a language which does not contain 'abb' as a substring



**aba-valid string**



**accepted as [q0,q1] is a final state**

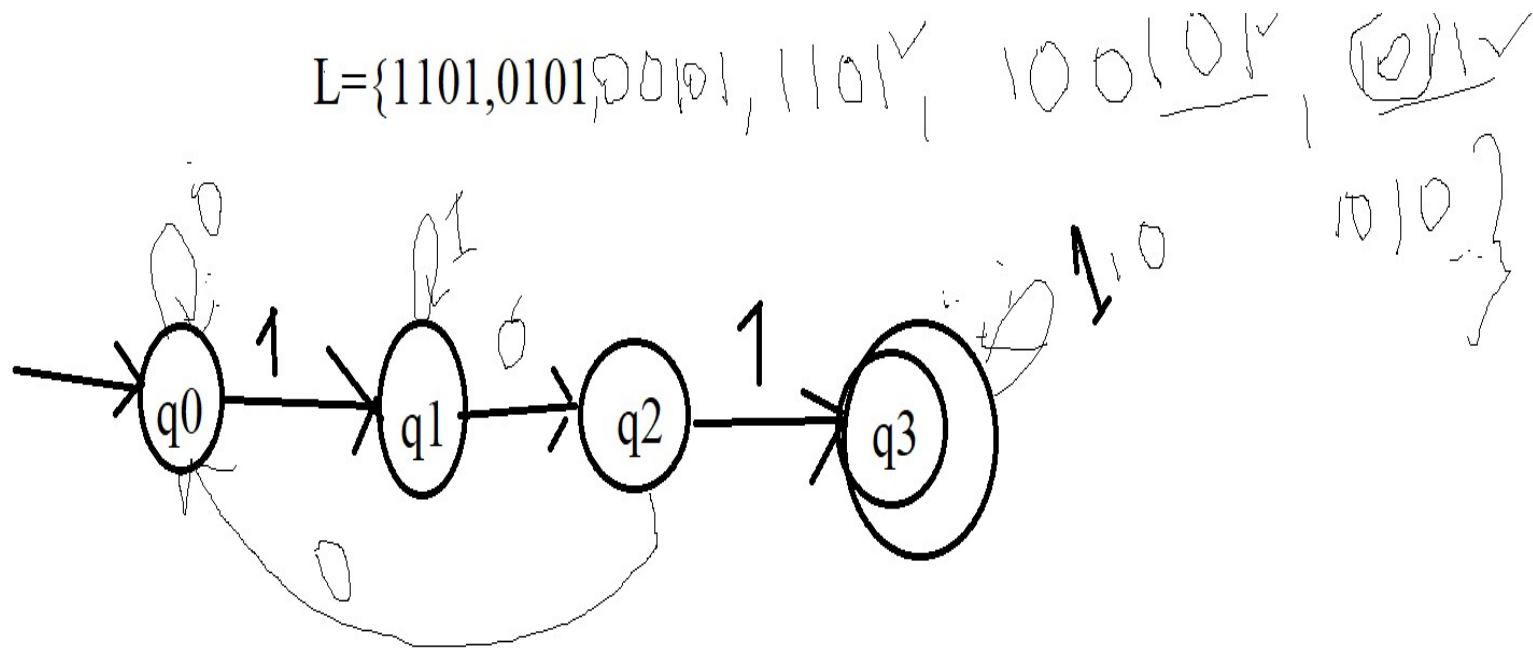
**abb-invalid**



string 'abb' is rejected as [q0,q3] is non final state.

- For minimization of DFA Please note
- We can merge
- Non final non final states
- And final-final states **if** both these 2 states are going to the same state on same input syambol.

- Question: Construct a deterministic finite automaton accepting the set of all strings over  $\{a,b\}$  which contain '101' as a substring.



Question: Construct a deterministic finite automaton accepting the set of all strings over  $\{a,b\}$  which does not contain '101' as a substring.

