

# Graph Algorithms

- Many problems in CS can be modeled as **graph problems**.
- Algorithms for solving graph problems are fundamental to the field of algorithm design.

## Definition

- A graph  $G = (V, E)$  consists of a **vertex set**  $V$  and an **edge set**  $E$ .  $|V| = n$  and  $|E| = m$ .
- Each edge  $e = (x, y) \in E$  is an **unordered pair of vertices**.
- If  $(u, v) \in E$ , we say  $v$  is a **neighbor of  $u$** .
- The **degree**  $\deg(u)$  of a vertex  $u$  is the number of edges **incident to  $u$** .

## Fact

$$\sum_{v \in V} \deg(v) = 2m$$

This is because, for each  $e = (u, v)$ ,  $e$  is counted twice in the sum, once for  $\deg(v)$  and once for  $\deg(u)$ .

## Definition

- If the two end vertices of  $e$  are ordered, the edge is directed, and we write  $e = x \rightarrow y$ .
- If all edges are directed, then  $G$  is a directed graph.
- The in-degree  $\deg_{in}(u)$  of a vertex  $u$  is the number of edges that are directed into  $u$ .
- The out-degree  $\deg_{out}(u)$  of a vertex  $u$  is the number of edges that are directed from  $u$ .

## Fact

$$\sum_{v \in V} \deg_{in}(v) = \sum_{v \in V} \deg_{out}(v) = m$$

This is because, for each  $e = (u \rightarrow v)$ ,  $e$  is counted once ( $\deg_{in}(v)$ ) in the sum of in-degrees, and once ( $\deg_{out}(u)$ ) in the sum of out-degrees.

# Graph Algorithms

- The numbers  $n$  ( $= |V|$ ) and  $m$  ( $= |E|$ ) are two important parameters to describe the size of a graph.
- It is easy to see  $0 \leq m \leq n^2$ .
- It is also easy to show: if  $G$  is a tree (namely undirected, connected graph with no cycles), then  $m = n - 1$ .
- If  $m$  is close to  $n$ , we say  $G$  is **sparse**. If  $m$  is close to  $n^2$ , we say  $G$  is **dense**.
- Because  $n$  and  $m$  are rather independent to each other, we usually use both parameters to describe the runtime of a graph algorithm. Such as  $O(n + m)$  or  $O(n^{1/2}m)$ .

# Graph Representations

We mainly use two **graph representations**.

## Adjacency Matrix Representation

We use a 2D array  $A[1..n, 1..n]$  to represent  $G = (V, E)$ :

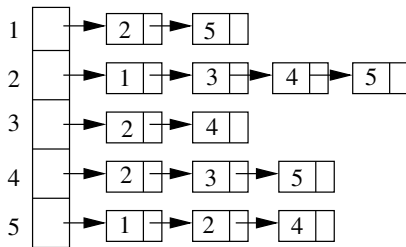
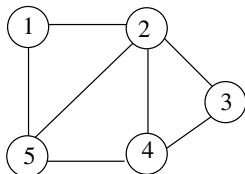
$$A[i, j] = \begin{cases} 1 & \text{if } (v_i, v_j) \in E \\ 0 & \text{if } (v_i, v_j) \notin E \end{cases}$$

- Sometimes, there are other information associated with the edges. For example, each edge  $e = (v_i, v_j)$  may have a **weight**  $w(e) = w(v_i, v_j)$  (for example, MST). In this case, we set  $A[i, j] = w(v_i, v_j)$ .
- For undirected graph,  $A$  is always **symmetric**.
- The Adjacency Matrix Representation for **directed graph** is similar.  
 $A[i, j] = 1$  (or  $w(v_i, v_j)$  if  $G$  has edge weights) iff  $v_i \rightarrow v_j \in E$ .
- For directed graphs,  $A[*, *]$  **is not necessarily symmetric**.

## Adjacency List Representation

- For each vertex  $v \in V$ , there's a **linked list**  $Adj[v]$ . Each entry of  $Adj[v]$  is a vertex  $w$  such that  $(v, w) \in E$ .
- If there are other information associated with the edges (such as edge weight), they can be stored in the entries of the adjacency list.
- For undirected graphs, each edge  $e = (u, v)$  has **two entries in this representation, one in  $Adj[u]$  and one in  $Adj[v]$** .
- The Adjacency List Representation for **directed graphs** is similar. For each edge  $e = u \rightarrow v$ , there is an entry in  $Adj[u]$ .
- **For directed graphs, each edge has only one entry in the representation.**

# Example



	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	1
3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0



# Comparisons of Representations

Graph algorithms often need the representation to support two operations.

## Neighbor Testing

Given two vertices  $u$  and  $v$ , is  $(u, v) \in E$ ?

## Neighbor Listing

Given a vertex  $u$ , list all neighbors of  $u$ .

When deciding which representation to use, we need to consider:

- The space needed for the representation.
- How well the representation supports the two basic operations.
- How easy to implement.

# Comparisons of Representations

## Adjacency List

- Space:
  - Each entry in the list needs  $O(1)$  space.
  - Each edge has two entries in the representation. So there are totally  $2m$  entries in the representation.
  - We also need  $O(n)$  space for the headers of the lists.
  - Total Space:  $\Theta(m + n)$ .
- Neighbor Testing:  $O(deg(v))$  time. (We need to go through  $Adj(v)$  to see if another vertex  $u$  is in there.)
- Neighbor Listing:  $O(deg(v))$ . (We need to go through  $Adj(v)$  to list all neighbors of  $v$ .)
- More complex.

# Comparisons of Representations

## Adjacency Matrix

- Space:  $\Theta(n^2)$ , independent from the number of edges.
  - Neighbor Testing:  $O(1)$  time. (Just look at  $A[i,j]$ .)
  - Neighbor Listing:  $\Theta(n)$ . (We have to look the entire row  $i$  in  $A$  to list the neighbors of the vertex  $i$ .)
  - Easy to implement.
- 
- If an algorithm needs neighbor testing more often than the neighbor listing, we should use Adj Matrix.
  - If an algorithm needs neighbor testing less often than the neighbor listing, we should use Adj List.
  - If we use Adj Matrix, the algorithm takes at least  $\Omega(n^2)$  time since even set up the representation data structure requires this much time.
  - If we use Adj List, it is possible the algorithm can run in linear  $\Theta(m + n)$  time.

# Breadth First Search (BFS)

**BFS** is a simple algorithm that **travels the vertices of a given graph in a systematic way**. Roughly speaking, it works like this:

- It starts at a given **starting vertex  $s$** .
- From  $s$ , we visits **all neighbors of  $s$** .
- These neighbors are placed in a **queue  $Q$** .
- Then the first vertex  $u$  in  $Q$  is considered. All neighbors of  $u$  **that have not been visited yet** are visited, and are placed in  $Q$  ...
- When finished, it builds a **spanning tree (called BFS tree)**.

Before describing details, we need to pick a graph representation. Because we need to **visit all neighbors of a vertex**, it seems we need the **neighbor listing operation**. So we use **Adj list** representation.

**Input:** An undirected graph  $G = (V, E)$  given by Adj List.  
 $s$ : the starting vertex.

**Basic Data Structures:** For each vertex  $u \in V$ , we have

- $\text{Adj}[u]$ : the Adj list for  $u$ .
- $\text{color}[u]$ : It can be one of the following;
  - white, ( $u$  has not been visited yet.)
  - grey, ( $u$  has been visited, but some neighbors of  $u$  have not been visited yet.)
  - black, ( $u$  and all neighbors of  $u$  have been visited.)
- $\pi[u]$ : the parent of  $u$  in the BFS tree.
- $d[u]$ : the distance from  $u$  to the starting vertex  $s$ .

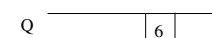
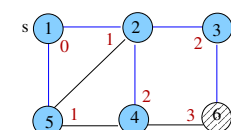
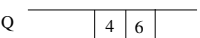
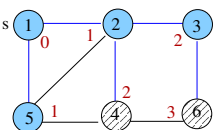
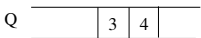
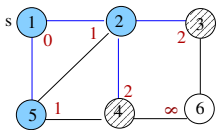
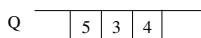
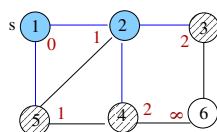
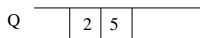
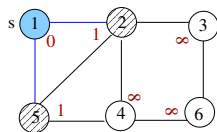
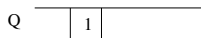
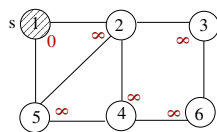
In addition, we also use a queue  $Q$  as mentioned earlier.

# BFS: Algorithm

**BFS**( $G, s$ )

- 1  $Q \leftarrow \emptyset$
- 2 **for each**  $u \in V - \{s\}$  **do**
- 3      $\pi[u] = \text{NIL}; \quad d[u] = \infty; \quad \text{color}[u] = \text{white}$
- 4  $d[s] = 0; \quad \text{color}[s] = \text{grey}; \quad \pi[s] = \text{NIL}$
- 5 **Enqueue**( $Q, s$ )
- 6 **while**  $Q \neq \emptyset$  **do**
- 7      $u \leftarrow \text{Dequeue}(Q)$
- 8     **for each**  $v \in \text{Adj}[u]$  **do**
- 9         **if**  $\text{color}[v] = \text{white}$
- 10             **then**  $\text{color}[v] = \text{grey}; \quad d[v] \leftarrow d[u] + 1; \quad \pi[v] \leftarrow u; \quad \text{Enqueue}(Q, v)$
- 11      $\text{color}[u] = \text{black}$

# BFS: Example



2:  
d[u] value

—  
edges in BFS tree

○ white

▨ grey

● black

# BFS: Algorithm

- BFS is not unique.
- The execution depends on the order in which the neighbors of a vertex  $i$  appear in  $\text{Adj}(i)$ .
- In the example above, the neighbors of  $i$  appear in  $\text{Adj}(i)$  in increasing order.
- If the order is different, then the progress of the BFS algorithm would be different. And the BFS tree  $T$  constructed by the algorithm would be different.
- However, regardless of which order we use, the properties of the BFS algorithm and BFS tree are always true.



# BFS: Analysis

- Lines 1 and 5: The queue operations take  $O(1)$  time.
- Line 2-3: Loop takes  $\Theta(n)$  time.
- Lines 4:  $O(1)$  time.
- Lines 6-11:
  - Each vertex is **enqueued and dequeued exactly once**.
  - Since each queue operation takes  $O(1)$  time, **the total time needed for all queue operations is  $\Theta(n)$** .
  - Lines 8-10: **Each item in  $Adj[u]$  is processed once**.
  - **When an item is processed,  $O(1)$  operations are needed**.
  - So the total time needed is  $\Theta(m) \cdot \Theta(1) = \Theta(m)$ .
- Add everything together:

BFS algorithm takes  $\Theta(n + m)$  time.

# BFS: Main Property

## Theorem

Let  $G = (V, E)$  be a graph. Let  $d[u]$  be the value computed by BFS algorithm. Then for any  $(u, v) \in E$ ,  $|d[u] - d[v]| \leq 1$ .

**Proof:** First, we make the following observations:

- Each vertex  $v \in V$  is **enqueued** and **dequeued** exactly once.
- Initially  $\text{color}[v] = \text{white}$ . When it is **enqueued**,  $\text{color}[v]$  becomes grey. When it is **dequeued**,  $\text{color}[v]$  becomes black. The color remains black until the end.
- The  $d[v]$  value is set when  $v$  is **enqueued**. It is never changed again.
- At any moment during the execution, the vertices in  $Q$  consist of two parts,  $Q_1$  followed by  $Q_2$  (either of them can be empty).
  - For all  $w \in Q_1$ ,  $d[w] = k$  for some  $k$ .
  - For all  $x \in Q_2$ ,  $d[x] = k + 1$ .

# BFS: Main Property

Without loss of generality, suppose that  $u$  is visited by the algorithm before  $v$ . Consider the while loop in BFS algorithm, when  $u$  is at the front of  $Q$ .

There are two cases.

Case 1:  $\text{color}[v] = \text{white}$  at that moment.

- Since  $v \in \text{Adj}[u]$ , the algorithm set  $d[v] = d[u] + 1$ , and  $\text{color}[v] = \text{grey}$ .
- $d[v]$  is never changed again. Thus  $d[v] - d[u] = 1$ .

Case 2:  $\text{color}[v] = \text{grey}$  at that moment.

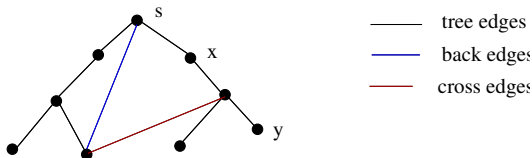
- Then  $v$  is in  $Q$  at that moment.
- By the previous observation,  $d[u] = k$  for some  $k$ , and  $d[v] = k$  or  $k + 1$ . Thus  $d[v] - d[u] \leq 1$ .

# BFS: Main Property

## Definition

Let  $G = (V, E)$  be a graph and  $T$  a spanning tree of  $G$  rooted at the vertex  $s$ . Let  $x$  and  $y$  be two vertices. Let  $(u, v)$  be an edge of  $G$ .

- If  $x$  is on the path from  $y$  to  $s$ , we say  $x$  is an ancestor of  $y$ , and  $y$  is a descendent of  $x$
- If  $(u, v) \in T$ , we say  $(u, v)$  is a tree edge.
- If  $(u, v) \notin T$  and  $u$  is an ancestor of  $v$ , we say  $(u, v)$  is a back edge.
- If neither  $u$  is an ancestor of  $v$ , nor  $v$  is an ancestor of  $u$ , we say  $(u, v)$  is a cross edge.



# BFS: Main Property

## Theorem

Let  $T$  be the BFS tree constructed by the BFS algorithm. Then there are no **back edges** for  $T$ .

**Proof:** Suppose there is an back edge  $(u, v)$  for  $T$ . Then  $|d[u] - d[v]| \geq 2$ . This is impossible.

## Shortest Path Problem

Let  $G = (V, E)$  be a graph and  $s$  a vertex of  $G$ . For each  $u \in V$ , let  $\delta(s, u)$  be the length of the shortest path between  $s$  and  $u$ .

Problem: For all  $u \in V$ , find  $\delta(s, u)$  and the shortest path between  $s$  and  $u$ .

## Theorem

Let  $d[u]$  be the value computed by BFS algorithm and  $T$  the BFS tree constructed by BFS algorithm. Then for each vertex  $u \in V$ ,

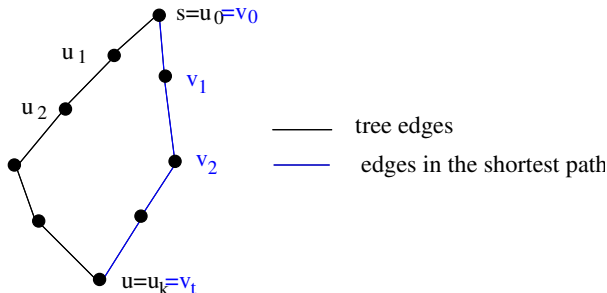
- $d[u] = \delta(s, u)$ .
- The tree path in  $T$  from  $u$  to  $s$  is the shortest path.

**Proof:** Let  $P = \{s = u_0, u_1, \dots, u_k = u\}$  be the path from  $s$  to  $u$  in the BFS tree  $T$ . Then:  $d[u] = d[u_k] = k$ ,  $d[u_{k-1}] = k - 1$ ,  $d[u_{k-2}] = k - 2 \dots$

Suppose  $P' = \{s = v_0, v_1, v_2, \dots, v_t\}$  is the shortest path from  $s$  to  $u$  in  $G$ . We need to show  $k = t$ .

Toward a contradiction, suppose  $t < k$ . Then there must exist  $(v_i, v_{i+1}) \in P'$  such that  $|d[v_i] - d[v_{i+1}]| \geq 2$ . This is impossible.

# Shortest Path Problem



BFS algorithm solves the Single Source Shortest Path problem in  $\Theta(n + m)$  time.

# Connectivity Problem

## Definition

- A graph  $G = (V, E)$  is **connected** if for any two vertices  $u$  and  $v$  in  $G$ , there exists a path in  $G$  between  $u$  and  $v$ .
- A **connected component** of  $G$  is a **maximal subgraph** of  $G$  that is connected.
- $G$  is connected if and only if it has exactly one connected component.

## Connectivity Problem

Given  $G = (V, E)$ , is  $G$  a connected graph?  
If not, find the connected components of  $G$ .

We can use BFS algorithm to solve the connectivity problem.



# Connectivity Problem

In the **BFS** algorithm, delete the lines 2-3 (initialization of vertex variables).

**Connectivity**( $G = (V, E)$ )

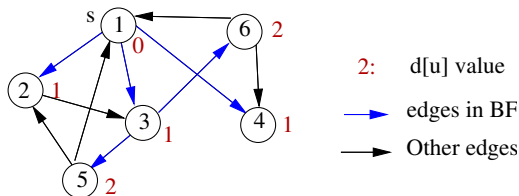
- 1 **for** each  $i \in V$  **do**
- 2      $\text{color}[i] = \text{white}; d[i] = \infty; \pi[i] = \text{nil};$
- 3      $\text{count} = 0;$      (count will be the number of connected components)
- 4 **for**  $i = 1$  **to**  $n$  **do**
- 5     **if**  $\text{color}[i] = \text{white}$  **then**
- 6         **call** **BFS**( $G, i$ );      $\text{count} = \text{count} + 1$
- 7 **output**  $\text{count};$
- 8 **end**

# Connectivity Problem

- This algorithm outputs **count**, the number of connected components.
- If  $\text{count} = 1$ ,  $G$  is connected. The algorithm also constructs a BFS tree.
- If  $\text{count} > 1$ ,  $G$  is not connected. The algorithm also constructs a **BFS spanning forest  $F$**  of  $G$ .  $F$  is a collection of trees.
- Each tree corresponds to a connected component of  $G$ .

# BFS for Directed Graphs

BFS algorithm can be applied to directed graphs without any change.



## Definition

Let  $G = (V, E)$  be a directed graph,  $T$  a spanning tree rooted at  $s$ . An edge  $e = u \rightarrow v$  is called:

- **tree edge** if  $e = u \rightarrow v \in T$ .
- **backward edge** if  $u$  is a decedent of  $v$ .
- **forward edge** if  $u$  is an ancestor of  $v$ .
- **cross edge** if  $u$  and  $v$  are **unrelated**.

# BFS for Directed Graphs: Property

## Theorem

Let  $G = (V, E)$  be a directed graph. Let  $T$  be the BFS tree constructed by BFS algorithm. Then there are no **forward edges** with respect to  $T$ .

## Theorem

Let  $d[u]$  be the value computed by BFS algorithm and  $T$  the BFS tree constructed by BFS algorithm. Then for each vertex  $u \in V$ ,

- The tree path in  $T$  from  $s$  to  $u$  is the shortest path.
- $d[u]$  = the length of the shortest path from  $s$  to  $u$ .

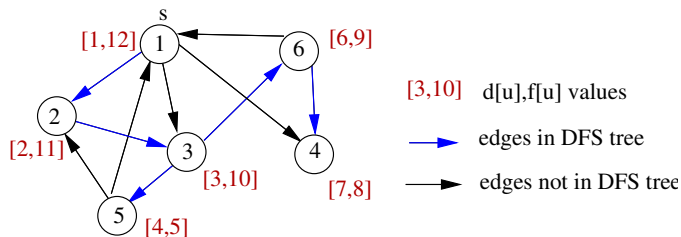
# Depth First Search (DFS)

- Similar to BFS, **Depth First Search (DFS)** is another systematic way for visiting the vertices of a graph.
- It can be used on **directed** or **undirected graphs**. We discuss DFS for **directed graph** first.
- DFS has special properties, making it very useful in several applications.
- As a high level description, the only difference between BFS and DFS: replace the **queue Q** in BFS algorithm by a **stack S**. So it works like this:

## High Level Description of DFS

- Start at the starting vertex  $s$ .
- Visit a neighbor  $u$  of  $s$ ; visit a neighbor  $v$  of  $u$  . . .
- Go as far as you can go, until reaching **a dead end**.
- Backtrack to a vertex that still has unvisited neighbors, and continue

# DFS: Example



# DFS: Recursive algorithm

- It is easier to describe the DFS by using a recursive algorithm.
- DFS also computes two variables for each vertex  $u \in V$ :
  - $d[u]$ : The time when  $u$  is "discovered", i.e. pushed on the stack.
  - $f[u]$ : the time when  $u$  is "finished", i.e. popped from the stack.
- These variables will be used in applications.

# DFS: Recursive algorithm

## DFS( $G$ )

- 1 **for** each vertex  $u \in V$  **do**
- 2      $\text{color}[u] \leftarrow \text{white}; \quad \pi[u] = \text{NIL}$
- 3      $\text{time} \leftarrow 0$
- 4 **for** each vertex  $u \in V$  **do**
- 5     **if**  $\text{color}[u] = \text{white}$    **then** **DFS-Visit**( $u$ )

## DFS-Visit( $u$ )

- 1  $\text{color}[u] \leftarrow \text{grey}; \quad \text{time} \leftarrow \text{time} + 1; \quad d[u] \leftarrow \text{time}$
- 2 **for** each vertex  $v \in \text{Adj}[u]$  **do**
- 3     **if**  $\text{color}[v] = \text{white}$
- 4         **then**  $\pi[v] \leftarrow u; \quad \text{DFS-Visit}(v)$
- 5  $\text{color}[u] \leftarrow \text{black}$
- 6  $f[u] \leftarrow \text{time} \leftarrow \text{time} + 1$



## DFS: Properties

Let  $T$  be the DFS tree of  $G$  by DFS algorithm. Let  $[d[u], f[u]]$  be the **time interval** computed by DFS algorithm. Let  $u \neq v$  be any two vertices of  $G$ .

- The intervals of  $[d[u], f[u]]$  and  $[d[v], f[v]]$  are either **disjoint** or **one is contained in another**.
- $[d[u], f[u]]$  is **contained in**  $[d[v], f[v]]$  if and only if  $u$  is a descendent of  $v$  with respect to  $T$ .

## Classification of Edges

Let  $G = (V, E)$  be a directed graph and  $T$  a spanning tree of  $G$ . The edge  $e = u \rightarrow v$  of  $G$  can be classified as:

- **tree edge** if  $e = u \rightarrow v \in T$ .
- **back-edge** if  $e \notin T$  and  $v$  is an **ancestor** of  $u$ .
- **forward-edge** if  $e \notin T$  and  $u$  is an **ancestor** of  $v$ .
- **cross-edge** if  $e \notin T$ ,  $v$  and  $u$  are **unrelated** with respect to  $T$ .

# DFS: Properties

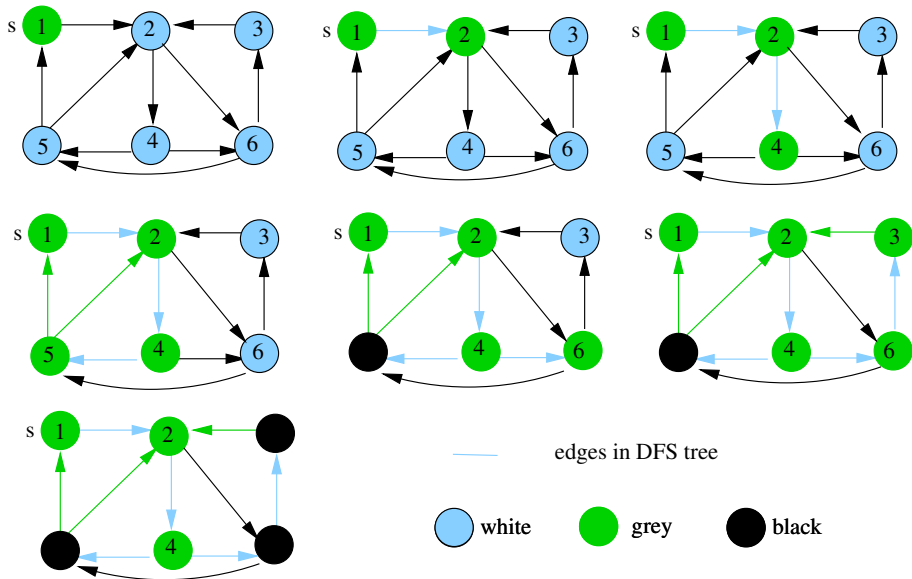
## Classification of Edges

Let  $G = (V, E)$  be a directed graph and  $T$  the spanning tree of  $G$  constructed by DFS algorithm. The classification of the edges can be done as follows.

- When  $e = u \rightarrow v$  is first explored by DFS, color  $e$  by the color $[v]$ .
- If color $[v]$  is white, then  $e$  is white and is a tree edge.
- If color $[v]$  is grey, then  $e$  is grey and is a back-edge.
- If color $[v]$  is black, then  $e$  is black and is either a forward- or a cross-edge.

For DFS tree of directed graphs, all four types of edges are possible.

# DFS: Example



# DFS: Applications

## Definition

A directed graph  $G = (V, E)$  is called a **directed acyclic graph (DAG for short)** if it contains no directed cycles.

## DAG Testing

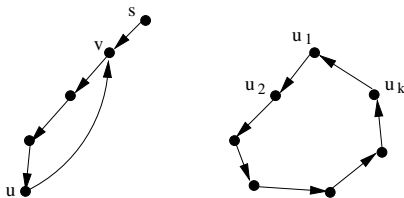
Given a directed graph  $G = (V, E)$ , test if  $G$  is a DAG or not.

## Theorem

Let  $G$  be a directed graph, and  $T$  the DFS tree of  $G$ . Then  $G$  is DAG  $\iff$  there are no **back edges**.

# DFS: Applications

**Proof:**  $\implies$  Suppose  $e = u \rightarrow v$  is a back edge. Let  $P$  be the path in  $T$  from  $v$  to  $u$ . Then the directed path  $P$  followed by  $e = u \rightarrow v$  is a directed cycle.



$\Leftarrow$  Suppose  $C = u_1 \rightarrow u_2 \rightarrow \dots \rightarrow u_k \rightarrow u_1$  is a directed cycle. Without loss of generality, assume  $u_1$  is the first vertex visited by DFS. Then, the algorithm visits  $u_2, u_3, \dots, u_k$ , before it backtracks to  $u_1$ . So  $u_k \rightarrow u_1$  is a back edge.

## DAG Testing in $\Theta(n + m)$ time

- 1 Run DFS on  $G$ . Mark the edges “white”, “grey” or “black”,
- 2 If there is a grey edge, report “ $G$  is not a DAG”. If not “ $G$  is a DAG”.

# Topological Sort

## Topological Sort

Let  $G = (V, E)$  be a DAG. A **topological sort** of  $G$  assigns each vertex  $v \in V$  a distinct number  $L(v) \in [1..n]$  such that if  $u \rightarrow v$  then  $L(u) < L(v)$ .

**Note:** If  $G$  is not a DAG, topological sort cannot exist.

## Application

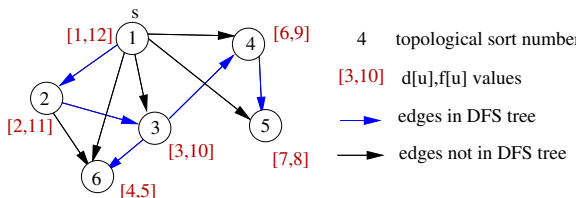
- The directed graph  $G = (V, E)$  specifies a **job flow chart**.
- Each  $v \in V$  is a job.
- If  $u \rightarrow v$ , then the job  $u$  must be done before the job  $v$ .
- A **topological sort** specifies the order to complete jobs.

# Topological Sort

We can use DFS to find topological sort.

## Topological-Sort-by-DFS( $G$ )

- 1 Run DFS on  $G$ .
- 2 Number the vertices by decreasing order of  $f[v]$  value. (This can be done as follows: During DFS, when a vertex  $v$  is finished, insert  $v$  in the front of a linked list.)



Clearly, this algorithm takes  $\Theta(m + n)$  time.



# Strong Connectivity

## Definition

- A directed graph  $G = (V, E)$  is **strongly connected** if for any two vertices  $u$  and  $v$  in  $V$ , there exists a directed path from  $u$  to  $v$ .
- A **strongly connected component of  $G$**  is a maximal subgraph of  $G$  that is strongly connected.

## Strong Connectivity Problem

Given a directed graph  $G$ , find the strongly connected components of  $G$ .

Note:  $G$  is strongly connected if and only if it has exactly one strongly connected component.

# Strong Connectivity

## Application: Traffic Flow Map

- $G = (V, E)$  represents a street map.
- Each  $v \in V$  is an intersection.
- Each edge  $u \rightarrow v$  is a 1-way street from the intersection  $u$  to the intersection  $v$ .
- Can you reach from any intersection to any other intersection?
- This is so  $\iff G$  is strongly connected.
- All intersections within each connected component can reach each other.

This problem can be solved by using DFS. Without it, it would be hard to solve efficiently.

# Strong Connectivity

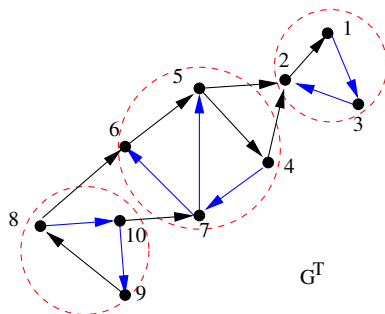
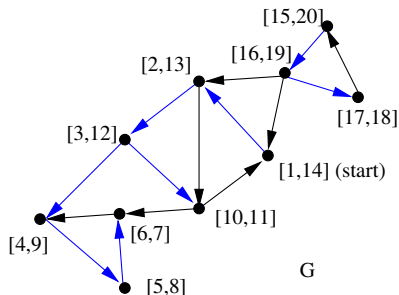
## Strong-Connectivity-by-DFS( $G$ )

- 1 Run DFS on  $G$ , compute  $f[u]$  for all  $u \in V$ ,
- 2 Order the vertices by decreasing  $f[v]$  values.
- 3 Construct the **transpose graph  $G^T$** , which is obtained from  $G$  by reversing the direction of all edges.
- 4 Run DFS on  $G^T$ , the vertices are considered in the order of decreasing  $f[v]$  values.
- 5 The vertices in each tree in the DFS forest correspond to a strongly connected component of  $G$ .

Analysis:

- Steps 1 and 2:  $\Theta(n + m)$  (step 2 is a part of step 1.)
- Step 3:  $\Theta(n + m)$  (how?)
- Step 4 and 5:  $\Theta(n + m)$  (step 5 is part of step 4.)

# Strong Connectivity: Example



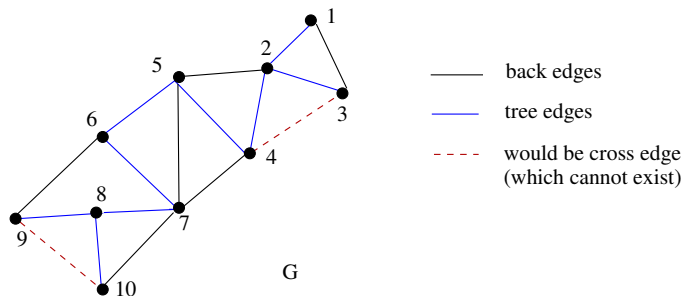
# DFS for Undirected Graphs

- DFS algorithm can be used on an undirected graph  $G = (V, E)$  without any change.
- It constructs a DFS tree  $T$  of  $G$ .
- Recall that: for an undirected graph  $G = (V, E)$  and a spanning tree  $T$  of  $G$ , the edges of  $G$  can be classified as:
  - tree edges
  - back edges
  - cross edges

## Theorem

Let  $G$  be an undirected graph, and  $T$  the DFS tree of  $G$  constructed by DFS algorithm. Then there are no cross edges.

# DFS for Undirected Graphs



# Summary: Edge Types

## For Directed Graphs

	Tree	Forward	Backward	Cross
BFS	yes	no	yes	yes
DFS	yes	yes	yes	yes

## For Undirected Graphs

	Tree	Back-edge	Cross
BFS	yes	no	yes
DFS	yes	yes	no

# Biconnectivity Problem

## Definition

Let  $G = (V, E)$  be an **undirected connected graph**.

- A vertex  $v \in V$  is a **cut vertex (also called articulation point)** if deleting  $v$  and its incident edges disconnects  $G$ .
- $G$  is **biconnected** if it is connected and has no cut vertices.
- A **biconnected component** of  $G$  is a maximal subgraph of  $G$  that is biconnected.
- $G$  is biconnected if and only if it has exactly one biconnected component.

## Biconnectivity Problem

Given an undirected graph  $G = (V, E)$ , is  $G$  biconnected?  
If not, find the cut vertices and the biconnected components of  $G$ .



# Biconnectivity Problem

## Application

- $G$  represents a computer network.
- Each vertex is a computer site.
- Each edge is a communication link.
- If  $v$  is a cut vertex, then the failure of  $v$  will disconnect the whole network.
- The network can survive any single site failure if and only if  $G$  is biconnected.

## Simple-Biconnectivity( $G$ )

- 1 **for** each vertex  $v \in V$  **do**
- 2     delete  $v$  and its incident edges from  $G$
- 3     test if  $G - \{v\}$  is connected

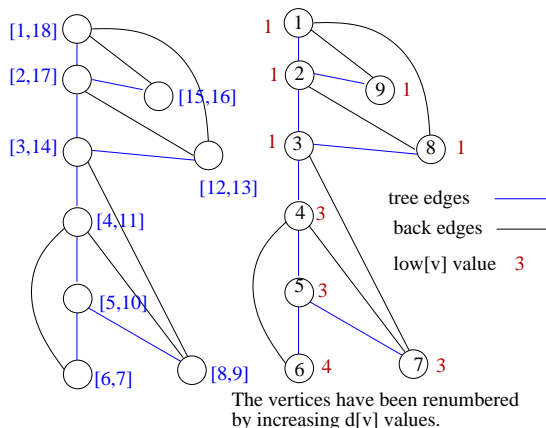
This algorithm takes  $\Theta(n) \times \Theta(n + m) = \Theta(n(n + m))$  time.

# Biconnectivity Problem

By using DFS, the problem can be solved in  $O(n + m)$  time.

- Let  $T$  be the DFS tree of  $G$ .
- Re-number the vertices by increasing  $d[v]$  values.
- For each vertex  $v$ , define:  
 **$\text{low}[v]$  = the smallest vertex that can be reached from  $v$  or a descendent of  $v$  through a back edge.**
- If  $v$  is a leaf of  $T$ , then  $\text{low}[v] = \min \left\{ \overset{v}{\{w \mid (v, w) \text{ is a back-edge}\}} \right\}$
- If  $v$  is not a leaf of  $T$ , then  $\text{low}[v] = \min \left\{ \overset{v}{\begin{array}{l} \{w \mid (v, w) \text{ is a back-edge}\} \\ \{\text{low}[t] \mid t \text{ is a son of } v\} \end{array}} \right\}$

# Biconnectivity Problem



In this figure, **low** means closer to the root. So the root is the lowest vertex.  $low[v]$  is the lowest vertex that can be reached from  $v$  or a descendent of  $v$  thru a single back edge.

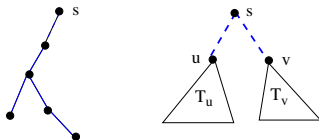
# Biconnectivity Problem

## Theorem

Let  $T$  be the DFS of  $G = (V, E)$  rooted at the vertex  $s$ .

- 1  $s$  is a cut vertex  $\iff s$  has at least two sons in  $T$ .
- 2 A vertex  $a \neq s$  is a cut vertex  $\iff a$  has a son  $b$  such that  $low[b] \geq a$ .

**Proof of (1):** Suppose  $s$  has only one son. After deleting  $s$ , all other vertices are still connected by the remaining edges of  $T$ . So  $s$  is not a cut vertex.

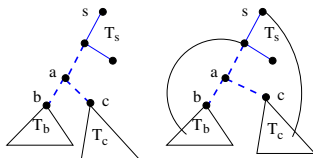


Suppose  $s$  has at least two sons  $u$  and  $v$  (there may be more). Let  $T_u$  be the subtree of  $T$  rooted at  $u$  and  $T_v$  be the subtree of  $T$  rooted at  $v$ . Because there are no cross edges, no edges connect  $T_u$  with  $T_v$ . So after  $s$  is deleted,  $T_u$  and  $T_v$  become disconnected. Hence  $s$  is a cut vertex.

# Biconnectivity Problem

**Proof of (2):** Let  $T_s$  be the subtree of  $T$  above the vertex  $a$ . Let  $b, c \dots$  be the sons of  $a$ . Let  $T_b, T_c, \dots$  be the subtree of  $T$  rooted at  $b, c \dots$

- Suppose  $a$  has a son  $b$  with  $\text{low}[b] \geq a$ . Because  $\text{low}[b] \geq a$ , no vertex in  $T_b$  is connected to  $T_s$ . Because there are no cross edges, no edges connect vertices in  $T_b$  and  $T_c$ . So after  $a$  is deleted,  $T_b$  is disconnected from the rest of  $G$ . So  $a$  is a cut vertex.



- Suppose for every son  $b$  of  $a$  we have  $\text{low}[b] < a$ . This means that there is a back edge connecting a vertex in  $T_b$  to a vertex in  $T_s$ . So after  $a$  is deleted, all subtrees  $T_b, T_c, \dots$  are still connected to  $T_s$ , and  $G$  remains connected. So  $a$  is not a cut vertex.

# Biconnectivity Problem

We can now describe the algorithm. For conceptual clarity, the algorithm is divided into several steps. Actually, all steps can be and should be incorporated into a single DFS run.

## **Biconnectivity-by-DFS( $G$ )**

- 1 Run DFS on  $G$
- 2 Renumber the vertices by increasing  $d[*]$  values.
- 3 For all  $u \in V$ , compute  $\text{low}[u]$  as described before.
- 4 Identify the cut vertices according to the conditions in the theorem.

# Analysis

- Steps 1 and 2: takes  $\Theta(m + n)$  time.
- Step 3:  $\text{low}[u]$  is the minimum of  $k$  values:
  - the  $\text{low}[*]$  values for all sons of  $u$ .
  - the values for each back-edge from  $u$ .
  - 1 for  $u$  itself, we charge this to the edge between  $u$  and its parent.
  - So  $k = \text{deg}(u)$ .
  - We compute  $\text{low}[*]$  in post order. When computing  $\text{low}[u]$ , all values needed have been computed already. So it takes  $\Theta(\text{deg}(u))$  time to compute  $\text{low}[u]$ .
  - So the total time needed to compute  $\text{low}[u]$  for all vertices is  $\Theta$  of the number of edges of  $G$ . This is  $\Theta(m)$ .
- Step 4: The total time needed for checking these conditions for all vertices is  $\Theta(n)$ .

The Biconnectivity problem can be solved in  $\Theta(n + m)$  time

- The DFS based Biconnectivity algorithm was discovered by Tarjan and Hopcroft in 1972. (See Problem 22-2, Page 558).
- They advocated the use of adjacent list representation over the adjacent matrix representation for solving complex graph problems in linear (i.e.  $O(n + m)$ ) time.
- This DFS algorithm is a good example. Without using adjacent list representation, the problem would take at least  $\Theta(n^2)$  time to solve.