

Algorithms and Data Structures Analysis (ADSA)

P and NP

Overview

- Complexity of Problems
- Classes P and NP

Efficient Algorithms

Major Questions:

- When do we call an algorithm efficient?
- Are there problems for which there is no efficient algorithm?

Efficient Algorithms

- An algorithm runs in **polynomial time** (is a polynomial time algorithm), if there is a polynomial $p(n)$ such that its **execution time** on inputs of size n is **$O(p(n))$** .
- **A problem can be solved in polynomial time** if there is a **polynomial time algorithm** that solves it.
We call an algorithm efficient iff it runs in polynomial time.

Examples

Problems that can be solved in polynomial time:

- Integer Addition - $O(n)$
- Integer Multiplication – $O(n^2)$
- Test whether a graph is acyclic
- Shortest paths – Dijkstra $O(m+n^2)$
- Minimal spanning trees – Kruskal $O(m \log m)$.
- Almost all problems that we consider in this course.

Difficult Problems

There are many problems for which no efficient algorithm is known.

Examples (see Mehlhorn/Sanders page 54):

- Hamiltonian cycle problem
- Traveling Salesman Problem
- Boolean Satisfiability Problem
- Clique Problem
- Graph Coloring Problem

Hamiltonian Path Problem

- **Given:** Undirected graph $G=(V,E)$.
- **Decide** whether G contains a Hamiltonian path. A Hamiltonian path is path that visits each node exactly once. (A spanning tree where each node has degree at most 2.)

Hamiltonian Cycle Problem

- **Given:** Undirected graph $G=(V,E)$.
- **Decide** whether it contains a Hamiltonian cycle. A Hamiltonian cycle is cycle that visits each node exactly once and returns to the start vertex.

Traveling Salesman Problem

- **Given**: Complete edge-weighted undirected graph $G=(V,E)$ and an integer C .
- **Decide** whether G contains a Hamiltonian cycle of cost at most C .

Graph Coloring Problem

- **Given:** Undirected graph $G=(V,E)$ and an integer k .
- **Decide** whether there is a coloring of the nodes with k color such that any two adjacent nodes are colored differently.

Multi-objective Minimum Spanning Trees

- **Given:** Undirected connected graph $G=(V,E)$ with two weight functions w_1 and w_2 on the edges, and two numbers k_1 and k_2 .
- **Decide** whether there is a spanning tree T of G for which
$$w_1(T) \leq k_1 \text{ and } w_2(T) \leq k_2$$
holds.

Boolean Satisfiability Problem (SAT)

- **Given:** A Boolean expression in conjunctive normal form.
- **Decide** whether it has a satisfying assignment.

Conjunctive normal form is conjunction of clauses $C_1 \wedge C_2 \wedge \dots \wedge C_k$

Clause is disjunction of literals $l_1 \vee l_2 \vee \dots \vee l_h$.

Literal is variable or a negated variable.

Formal setting

- Inputs are encoded in some fixed alphabet Σ .
- A decision problem is a subset $L \subseteq \Sigma^*$.
- Characteristic function χ_L of L .

$$\chi_L(x) = \begin{cases} 1 & \text{if } x \in L \\ 0 & \text{if } x \notin L \end{cases}$$

Σ^* : Set of all possible strings over the alphabet Σ .

Class NP

A decision problem L is in NP iff there is a predicate $Q(x,y)$ and a polynomial p such that

1. for any $x \in \Sigma^*$, $x \in L$ iff there is a $y \in \Sigma^*$ with $|y| \leq p(|x|)$ and $Q(x,y)$, and
2. Q is computable in polynomial time

y is a witness that x belongs to L (**guess such a witness y**).
The predicate $Q(x,y)$ is a function that returns true iff y is a witness that x belongs to L .

Verify y in polynomial time using Q .

Class NP

- How can we prove a problem is NP?
 - Guess an answer
 - Verify the answer true/false
 - If we can use polynomial time to verify the answer is true
 - Then the problem is NP.

Example: Class NP

The Hamiltonian Cycle Problem is in NP:

- We can guess a Hamiltonian cycle y in the input graph x .
- Given such a cycle y we can check in polynomial time whether it is a Hamiltonian cycle in x .

Class NP

- How can we prove a problem is NP?
 - A problem is not in NP if its solution cannot be verified in polynomial time.
- Any example?
 - All optimisation problems, whose answers cannot be checked in polynomial time.
 - TSP
 - Bin Packing
 - Timetabling

NP-Complete Problems

- We don't know whether polynomial time algorithms exists for the mentioned problems.
- It is very likely (and almost all people in computer science believe) that there are no polynomial time algorithms for these problems.
- They belong to a class of equivalent problems known as NP-complete problems. (NP stands for “nondeterministic polynomial time”)

Class **P**

- A decision problem is **polynomial solvable** iff its characteristic function is polynomial-time computable.
- We use **P** to denote the **class of polynomial-time-solvable decision problems**.

Class P

- How can we prove a problem is P?
 - Prove that the problem can be solved in **polynomial time**.
- Can you prove that the MST problem is in P?
 - Hint: Upperbound on Kruskal...