

# Algorithm and Data Structure Analysis (ADSA)

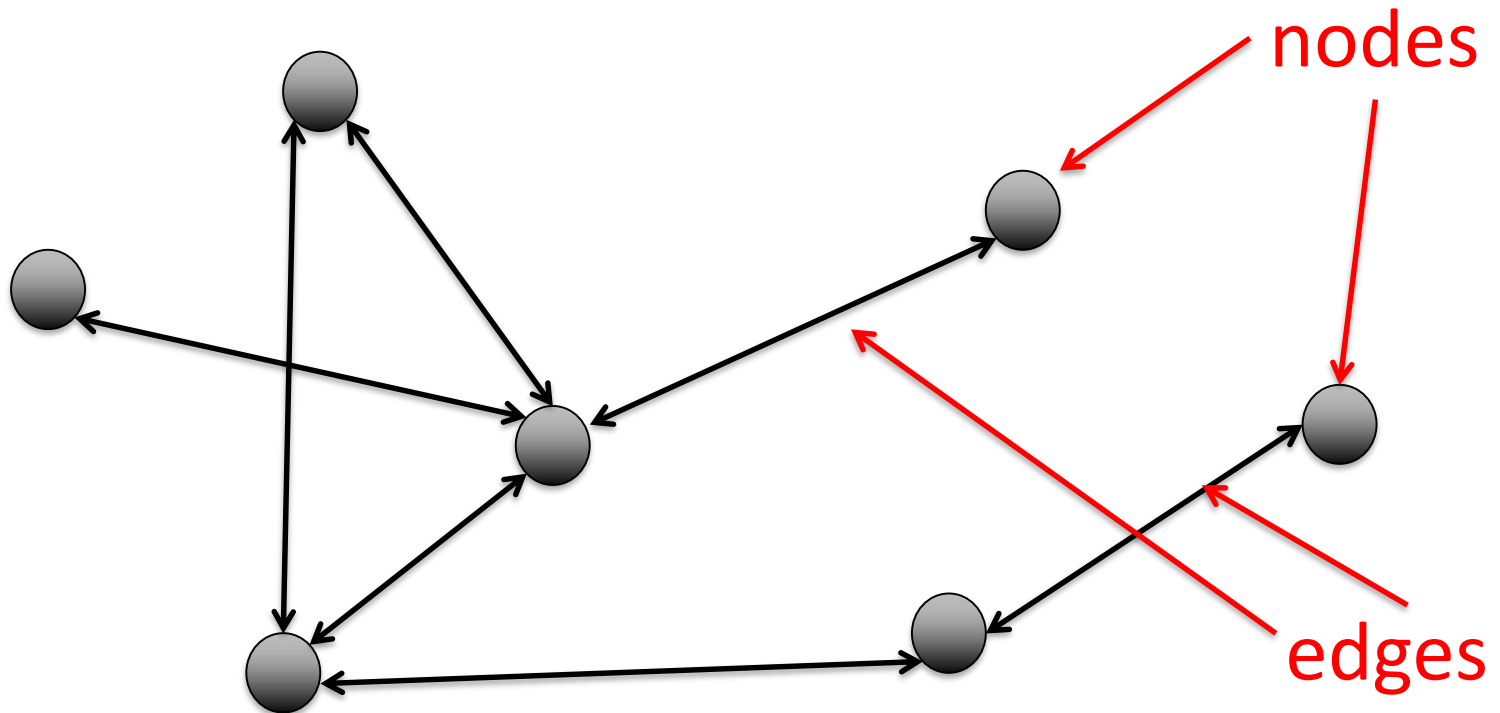
Graphs  
(Book Chapter 2)

# Overview

- Graphs
- Basic Algorithms on Graphs

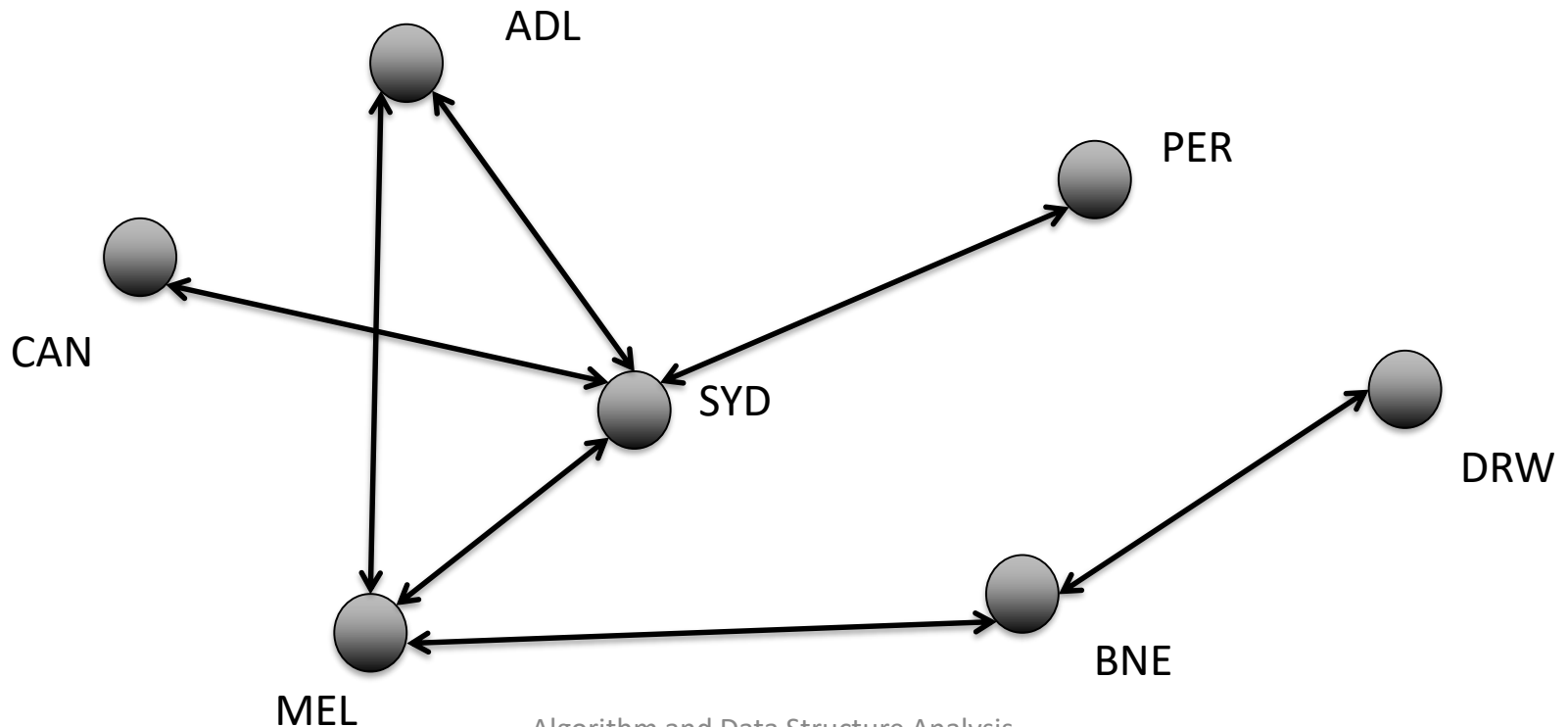
# Graphs

- Extremely useful concept in computer science
- Can model many situations



# Example

- Nodes are cities
- Edges are flight connections between them.



# Mathematical Notation

A directed graph (digraph)  $G=(V,E)$  is a pair consisting of a node set (vertex set)  $V$  and an edge set (arc set)  $E \subseteq V \times V$ .

We denote by  $n = |V|$  the number of vertices and by  $m = |E|$  the number of edges.

Often there are edge weights/costs

$$c : E \rightarrow R$$

# Terminology

- An edge  $e=(u,v)$  represents a connection from  $u$  to  $v$ .
- We call  $u$  the source and  $v$  the target.
- Edge  $e$  is incident to  $u$  and  $v$ .
- Nodes  $u$  and  $v$  are adjacent.
- Edge  $(v,v)$  is called a self-loop.

# Terminology

- The number of outgoing edges of a vertex  $v$  is called the **outdegree** of  $v$ :

$$\text{outdegree}(v) = |\{(v, u) \in E\}|$$

- The number of incoming edges of a vertex  $v$  is called the **indegree** of  $v$ :

$$\text{indegree}(v) = |\{(u, v) \in E\}|$$

# Bidirected graphs

- A **bidirected graph**  $G=(V,E)$  is a digraph where
$$(u, v) \in E \implies (v, u) \in E$$
- An **undirected graph** can be viewed as streamlined representation of a bidirected graph.

We write

$(u, v)$  and  $(v, u)$   
as a two-element set  $\{u, v\}$

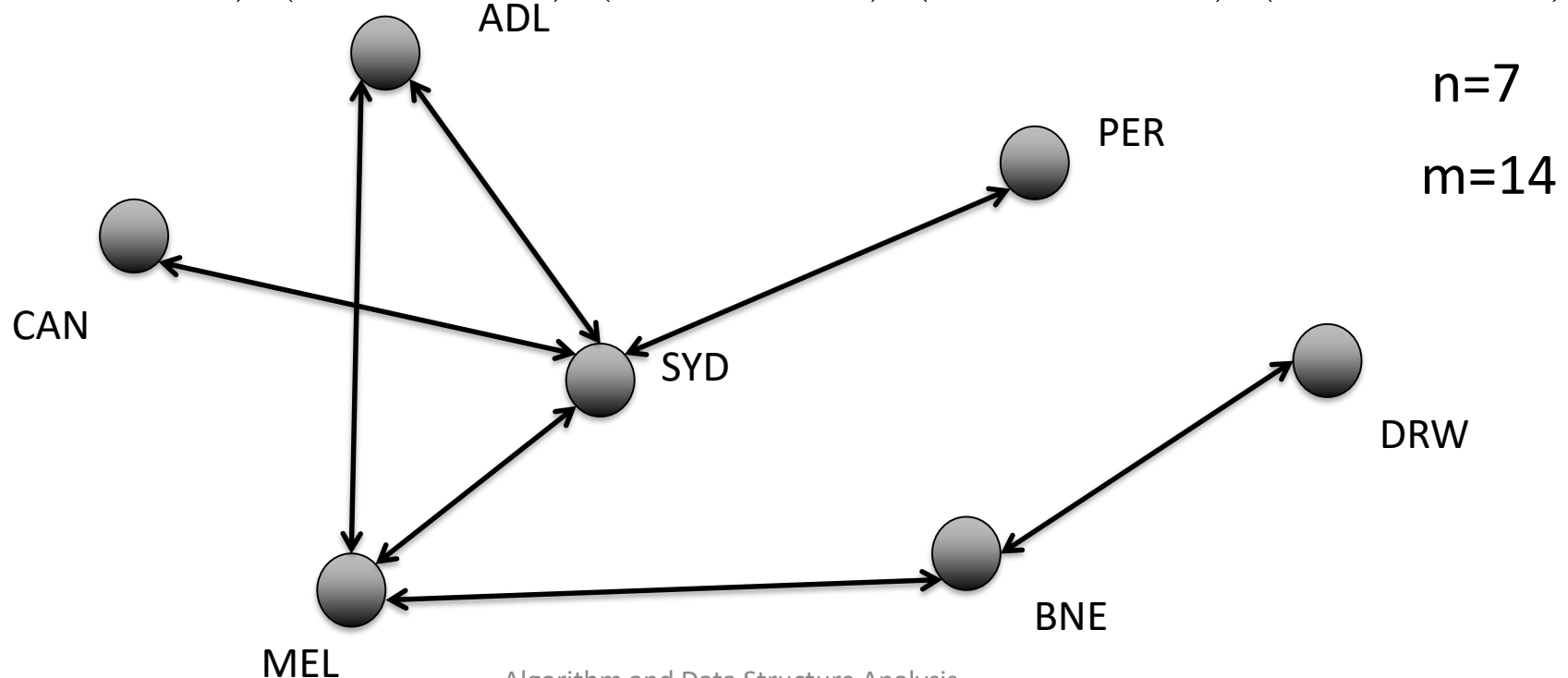


# Example: Bidirected graph

$$G_f = (V_f, E_f)$$

$$V_f = \{ADL, BNE, CAN, DRW, MEL, PER, SYD\}$$

$$E_f = \{(ADL, SYD), (SYD, ADL), (ADL, MEL), (MEL, ADL), (SYD, CAN), (CAN, SYD), (MEL, SYD), (SYD, MEL), (MEL, BNE), (BNE, MEL), (SYD, PER), (PER, SYD), (BNE, DRW), (DRW, BNE)\}$$

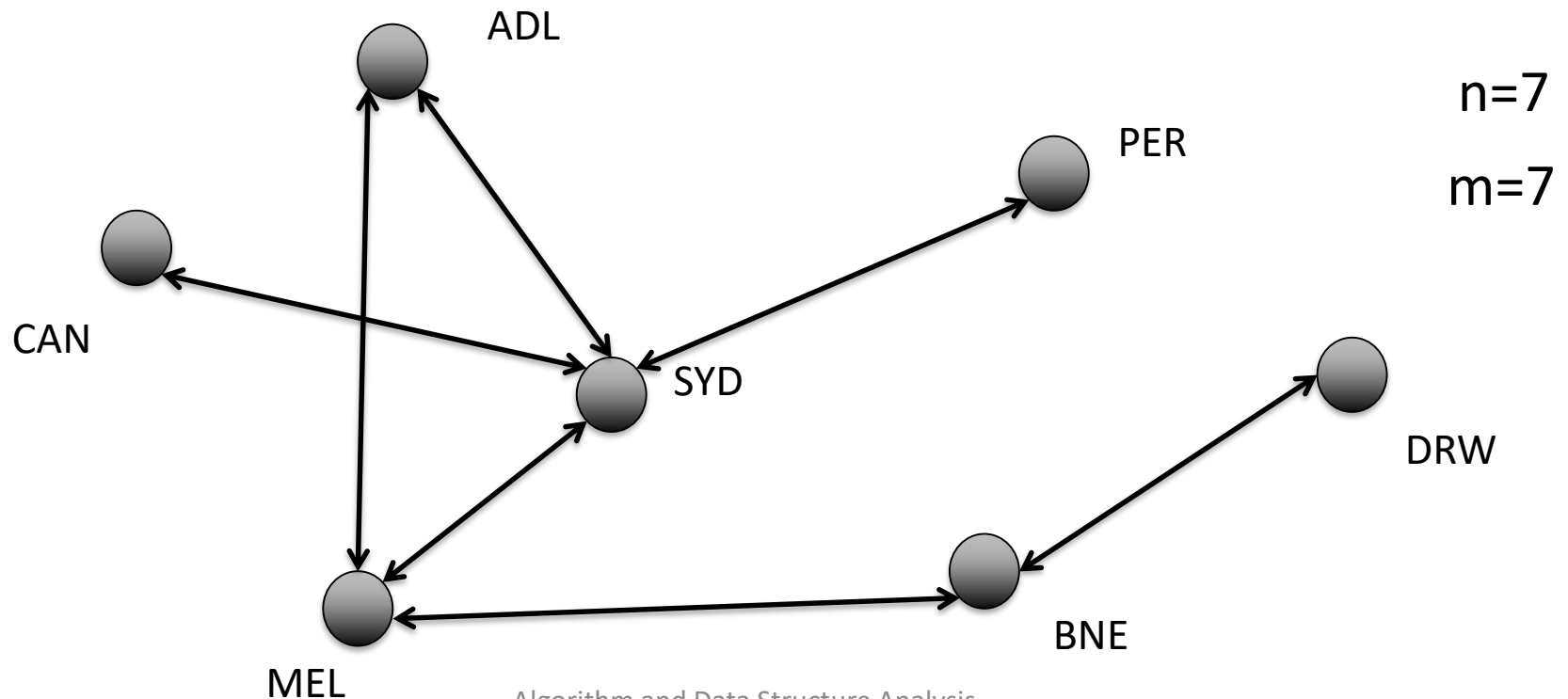


# Example: Undirected graph

$$G_f = (V_f, E_f)$$

$$V_f = \{ADL, BNE, CAN, DRW, MEL, PER, SYD\}$$

$$E_f = \{\{ADL, SYD\}, \{ADL, MEL\}, \{SYD, CAN\}, \{MEL, SYD\}, \{MEL, BNE\}, \{SYD, PER\}, \{BNE, DRW\}\}$$



# Subgraphs

- A graph  $G'=(V',E')$  is a subgraph of  $G=(V,E)$  if
$$V' \subseteq V \text{ and } E' \subseteq E.$$
- Given a graph  $G=(V,E)$  and a subset  $V' \subseteq V$  the subgraph induced by  $V'$  is defined as

$$G' = (V', E \cap (V' \times V'))$$

# Paths

- A path  $p = (v_0, \dots, v_k)$  is a sequence of nodes in which consecutive nodes are connected by an edge of  $E$ , i. e.

$$(v_i, v_{i+1}) \in E, 0 \leq i \leq k.$$

- Cycles are paths with a common first and last node, i. e.  $v_0 = v_k$

# Simple Graph Algorithm

- Given a directed graph  $G=(V,E)$ .
- Is  $G$  acyclic?

## Observation:

Node with outdegree zero can not appear in a cycle.

## Idea for an algorithm:

- If there is a node  $v$  with outdegree zero, delete  $v$  (and the incoming edges) to obtain a graph  $G'$
- $G$  is acyclic if and only if  $G'$  is acyclic

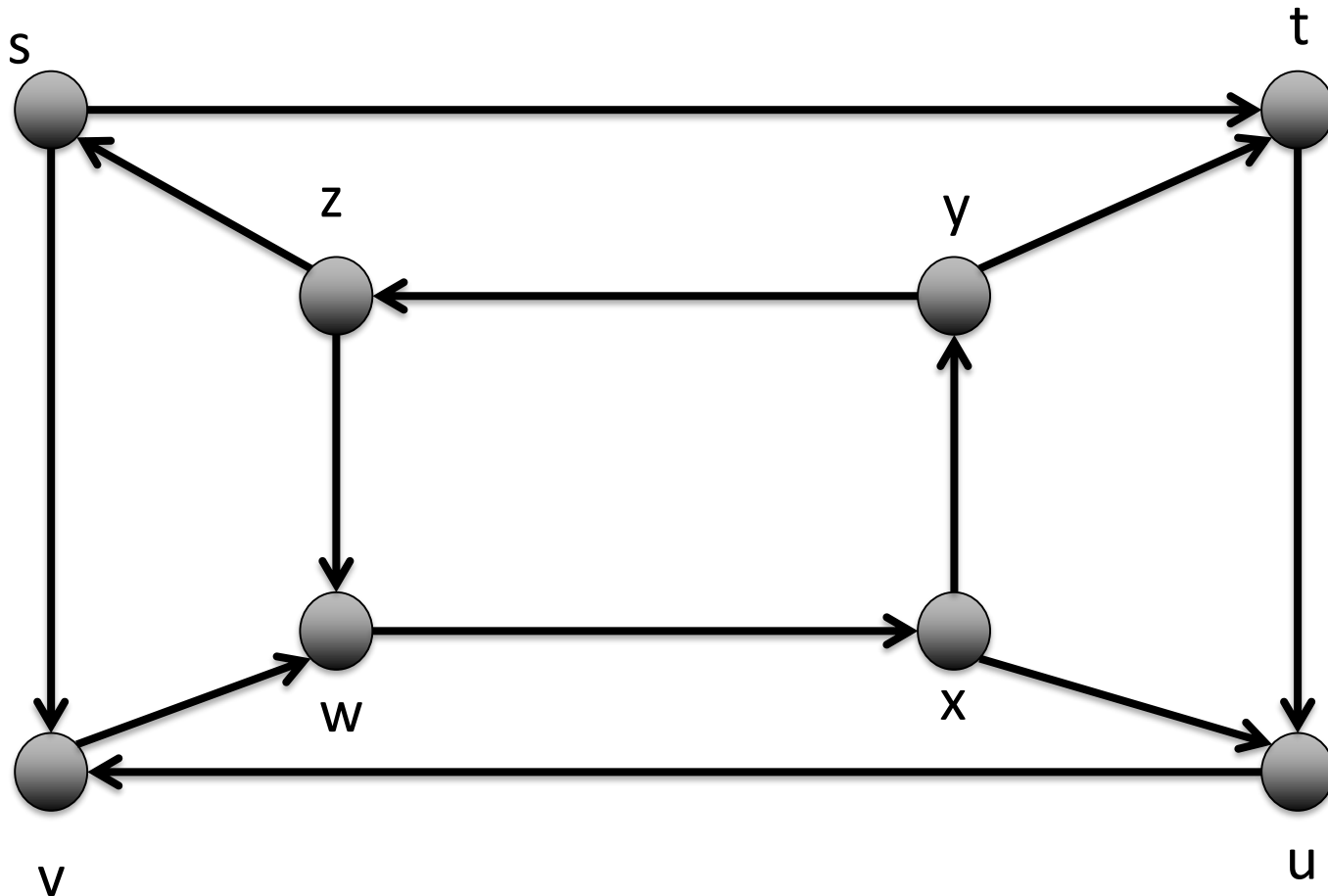
# Algorithm

- If there is a node  $v$  of outdegree zero delete  $v$  and its incoming edges to obtain a graph  $G'$ .
- Iterate the transformation.

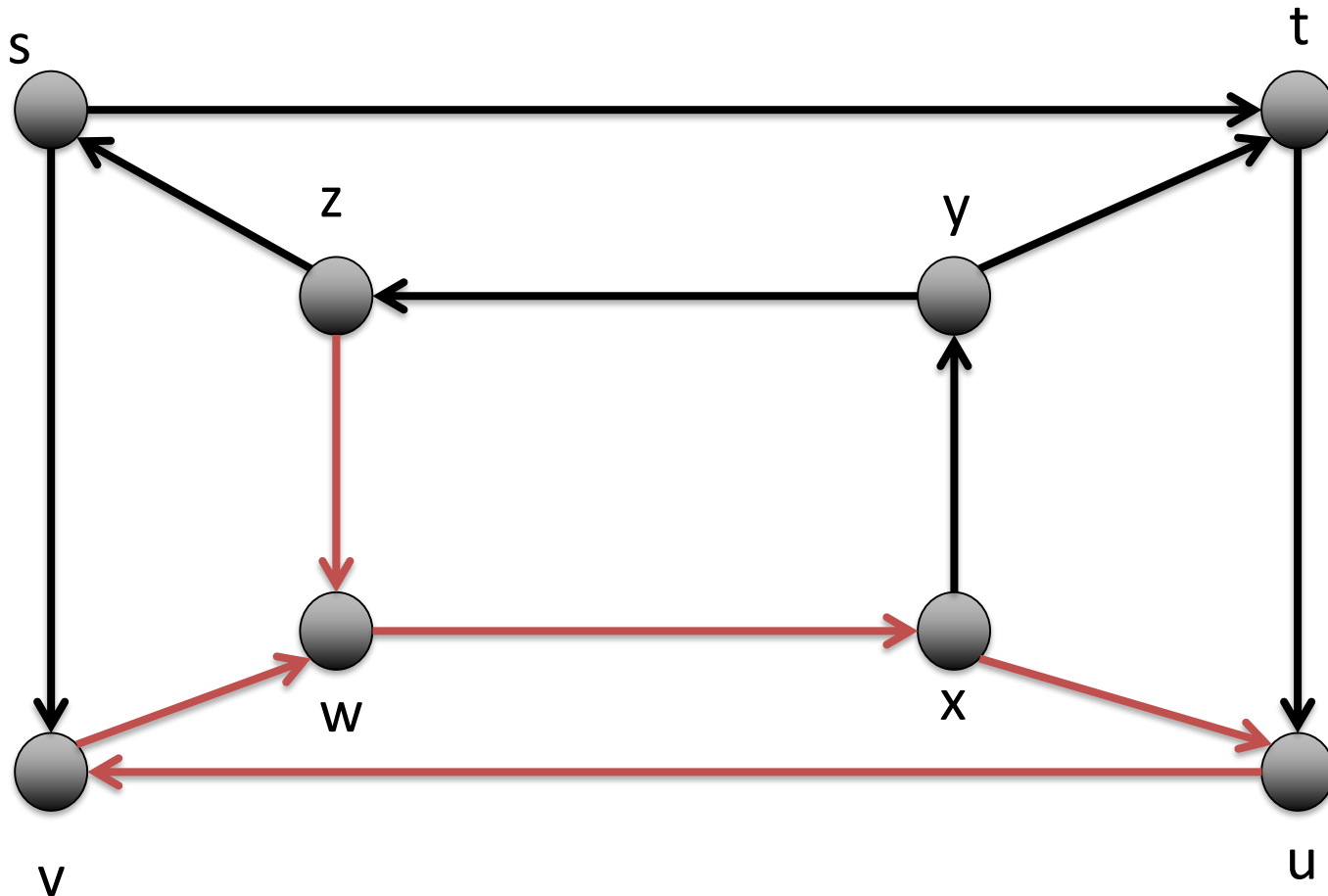
## Arrive at a graph $G^*$

- If  $G^*$  is the empty graph then  $G$  is acyclic
- If  $G^*$  is not the empty graph, we can find a cycle in  $G^*$  that is also present in  $G$ .

# Graph containing a cycle

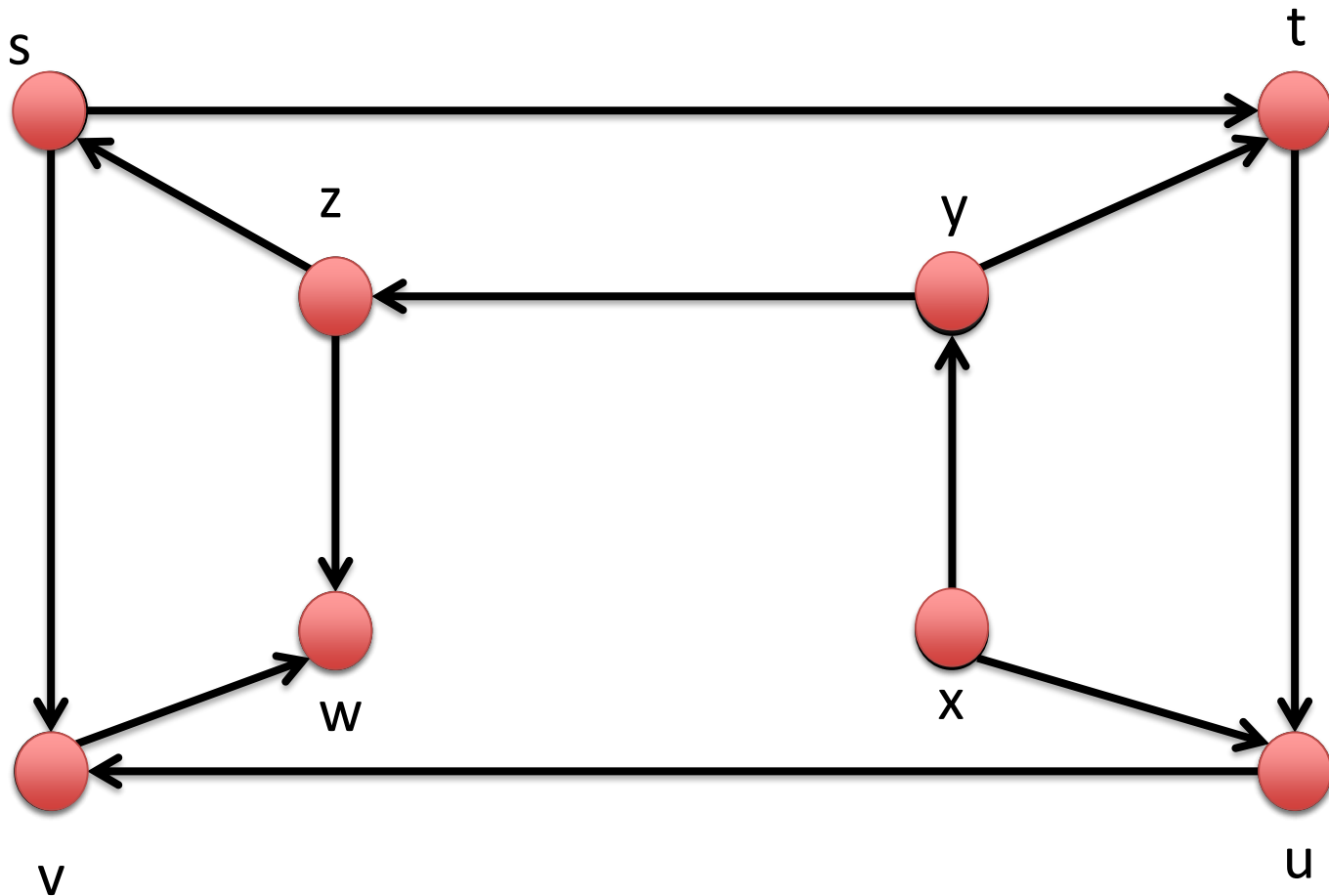


# Graph containing a cycle



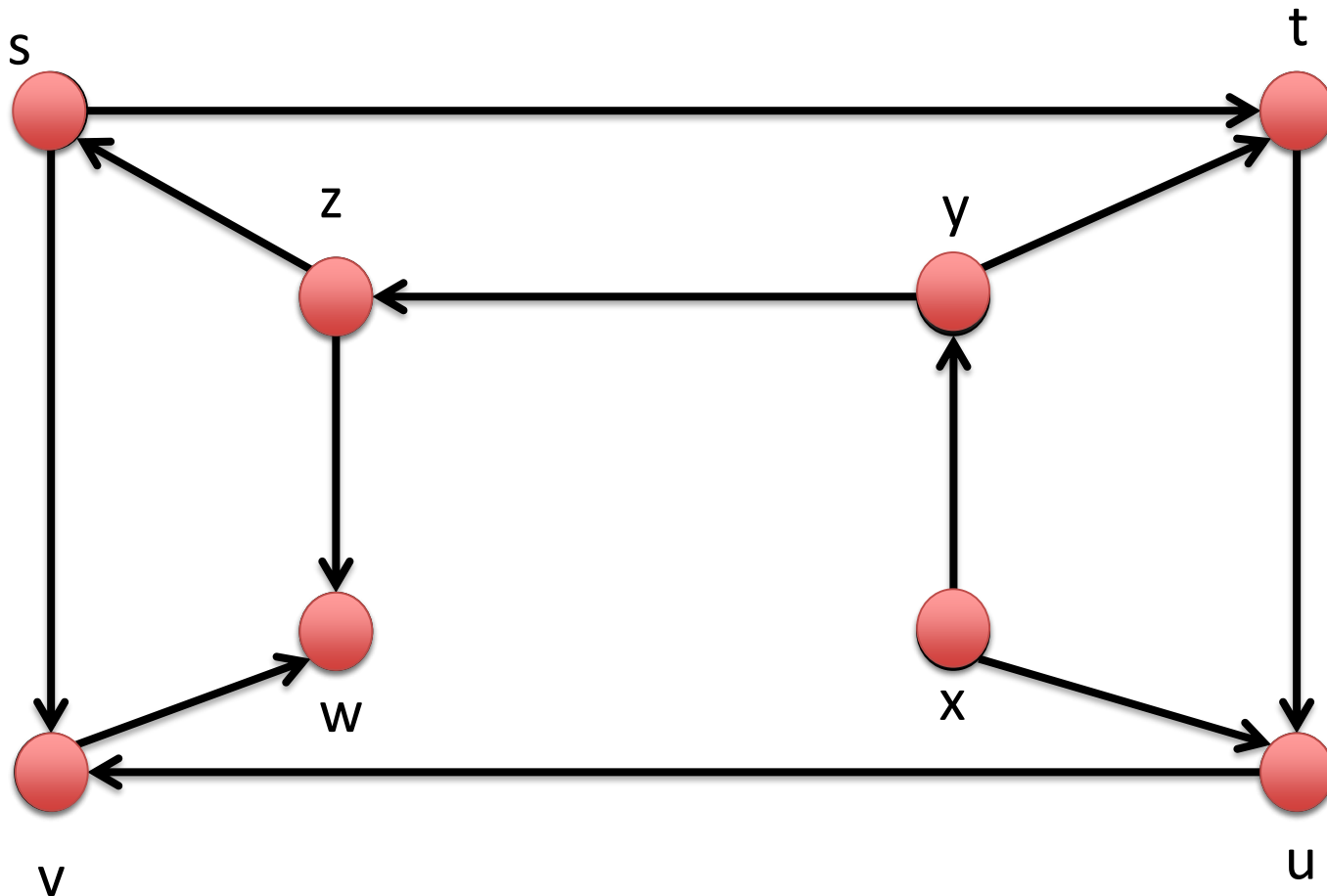


# Acyclic Graph



# Acyclic Graph

Empty Graph  $G^*$  implies that  $G$  is acyclic



# Trees and Forests

- An undirected graph is called a **tree** if there is **exactly one path between any pair of nodes**.
- An undirected graph is called **a forest** if there is **at most one path between any pair of nodes**.

**Note:** Each component of a forest is a tree.

# Properties of Trees

The following properties of an undirected graph  $G$  are equivalent:

1.  $G$  is a tree.
2.  $G$  is connected and has exactly  $n-1$  edges.
3.  $G$  is connected and contains no cycles.

# Operations

We want efficiently support the following operations for graphs:

- **Accessing associated information** (get the information stored at nodes and edges)
- **Navigation** (access the edges incident to a node)
- **Edge queries** (ask whether an edge is in the graph, query its reverse edge)
- **Construction, conversion and output** (translate one graph representation into another)
- **Update** (Add and remove nodes and edges)

# Unordered Edge Sequences

**Simplest choice:**

Unordered sequence of edges (e.g. linked list of edges).

Good if you just want to output the edges of the graph.

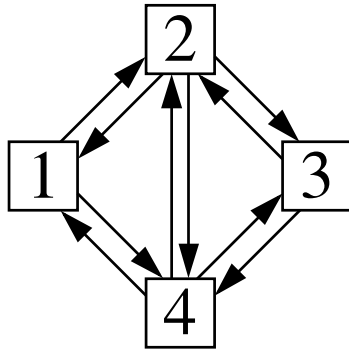
**Problem:**

Most interesting operations take time  $\Theta(m)$ .

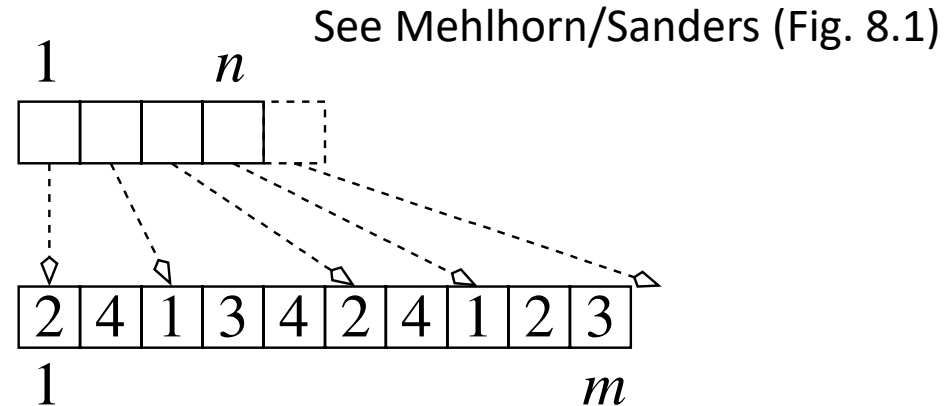
# Adjacency Arrays (static graphs)

- Assume that the graph is static (i. e. it does not change).
- Then we can store the graph in an array.
- Store the outgoing neighbors of each node in a subarray and concatenate these subarrays into a single edge array  $E$ .
- Use an additional array  $V$  to store the starting positions of the subarrays.
- Memory consumption:  $n+m+\Theta(1)$ .

# Adjacency Arrays



(Bi)-directed Graph



Adjacency Array

- For any node  $v$ ,  $V[v]$  is the index of the first outgoing edge of  $v$ .
- Add dummy entry  $V[n+1]=m+1$
- Outgoing edges of node  $v$  are accessible at  $E[V[v]], \dots, E[V[v+1]-1]$



# Question

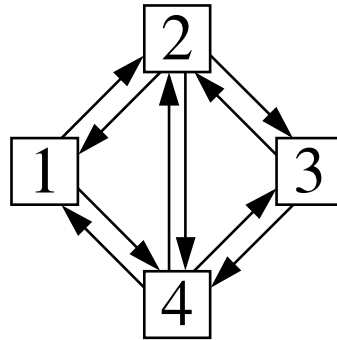
Are there better representations that allow to add or remove edges in constant time?

Two popular choices:

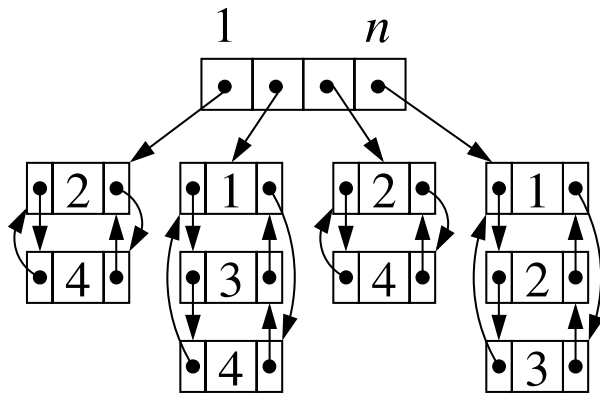
Adjacency Lists

Adjacency Matrices

# Adjacency Lists



(Bi)-directed Graph



Adjacency List

$$\begin{pmatrix} 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{pmatrix}$$

Adjacency Matrix

# Adjacency Lists

**Idea:** Use for each node  $v$  a double-linked list that stores its outgoing neighbors (alternatively we can also use the incoming neighbors or lists for both).

## **Advantage:**

- Insertion of edges goes in constant time.
- Well suited for sparse graphs (occur often in practice)

# Adjacency Matrices

**Idea:** Represent a graph consisting of  $n$  nodes by an  $n \times n$  matrix  $A$ . Set

$$A_{ij} = 1 \text{ if } (i, j) \in E$$

$$A_{ij} = 0 \text{ otherwise}$$

Insertion, removal, edge queries work in constant time.

$O(n)$  to obtain an edge entering or leaving a node.

Disadvantage: Storage requirement  $n^2$  even for sparse graphs.

# Graph Traversal

We want to have algorithms that visit every node of a given graph in linear time.

**Idea for breadth-first-search:** Start at a node  $s$  and visit in iteration  $i$  all nodes of distance  $i$  to  $s$ .

# Breadth-first-search (BFS)

Three types of nodes



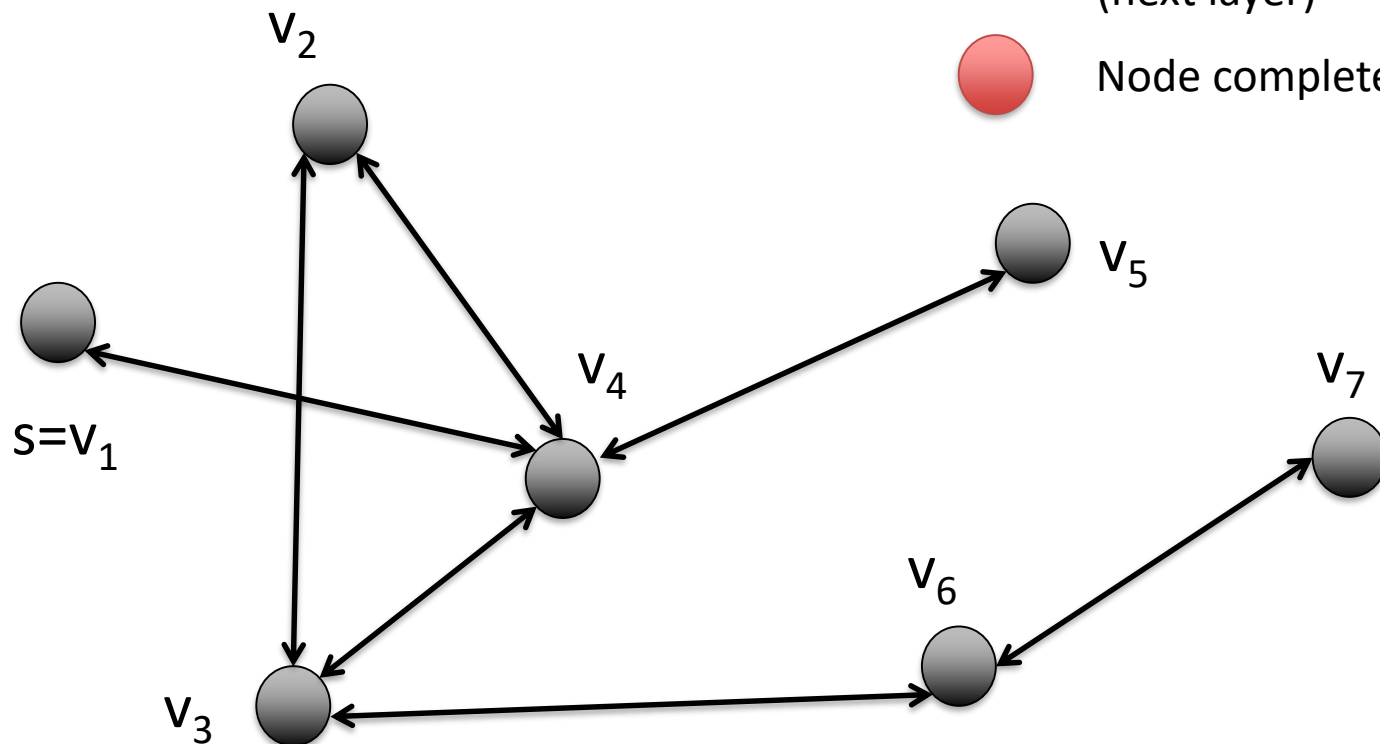
Active node (current layer)



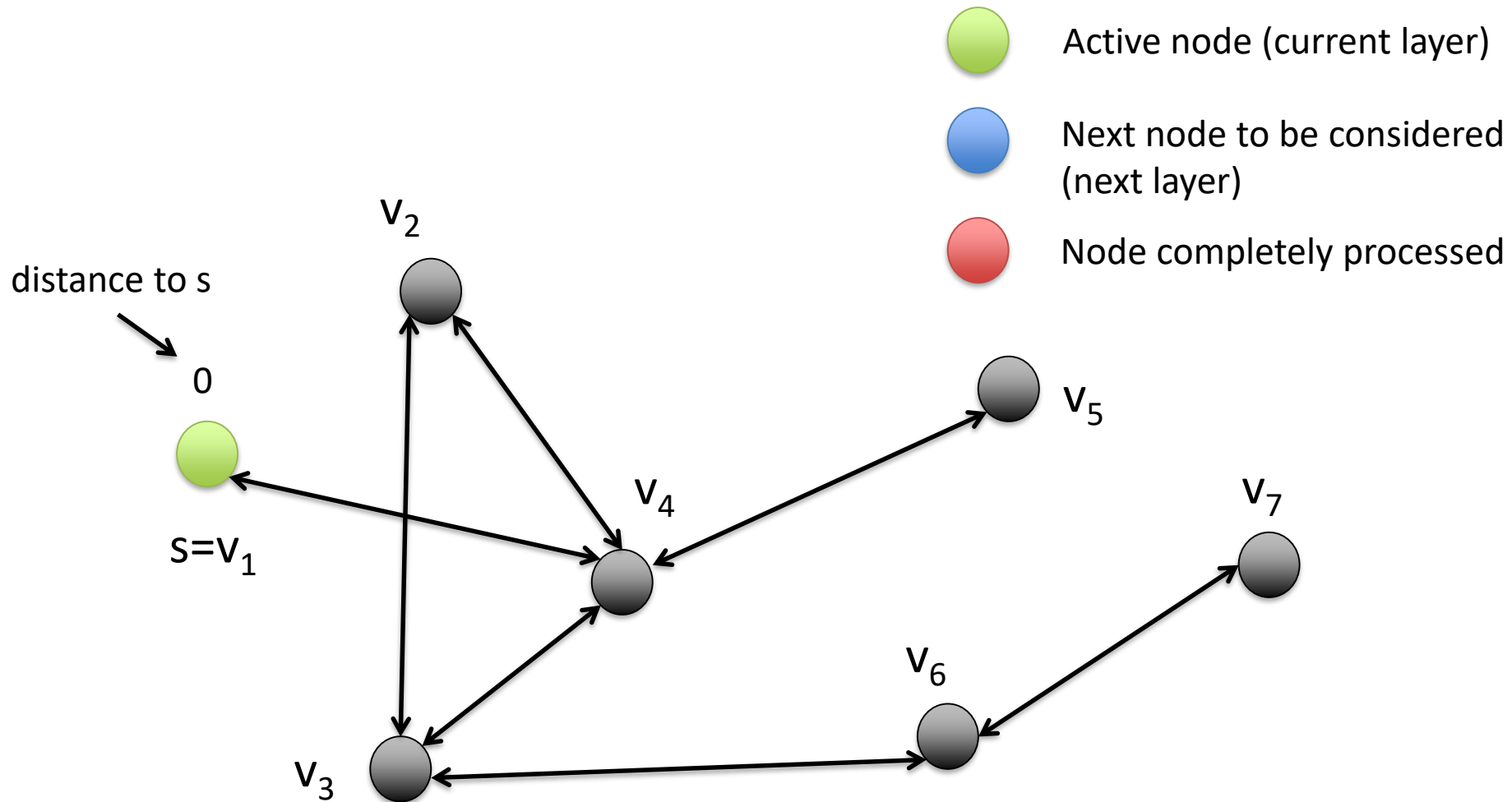
Next node to be considered (next layer)



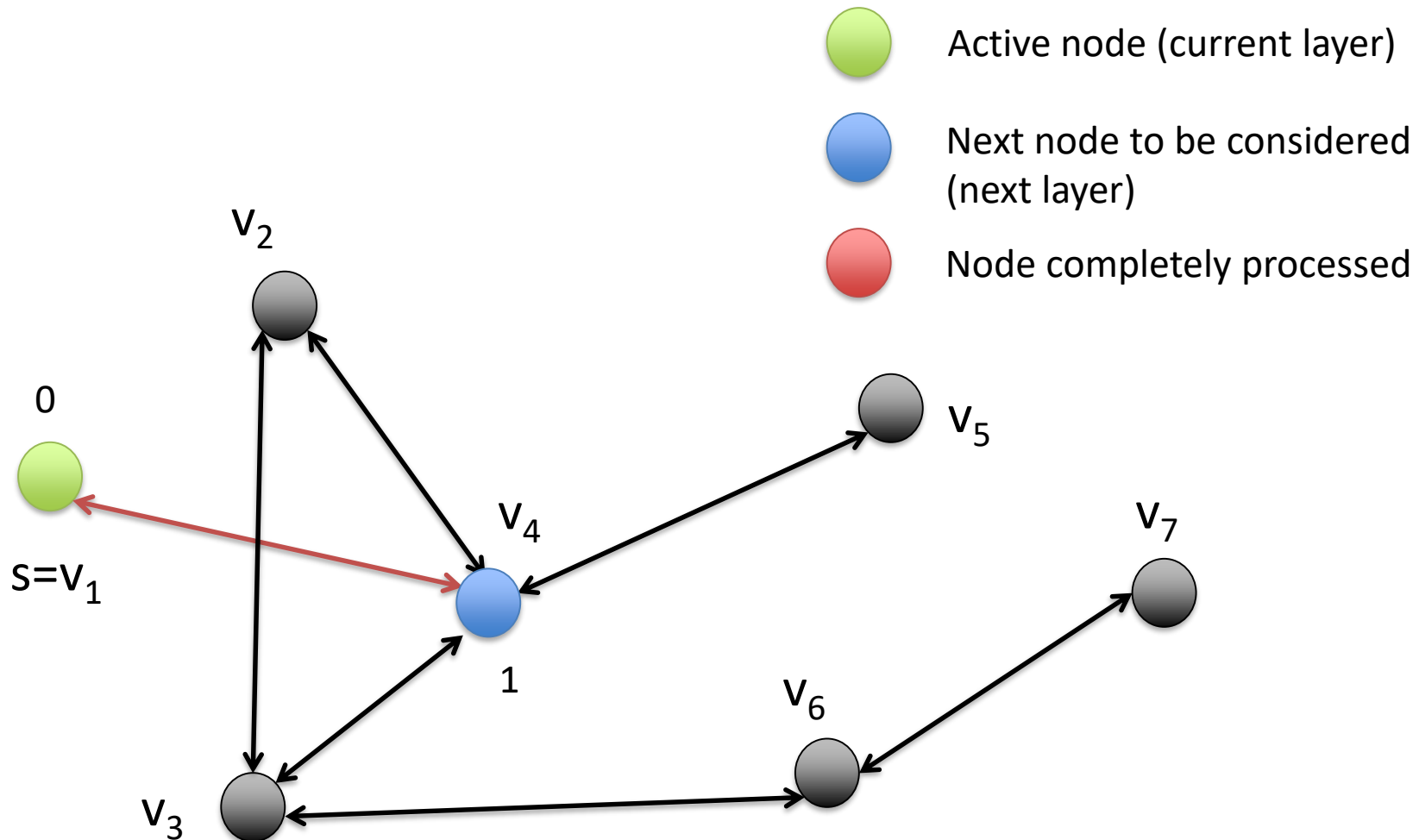
Node completely processed



# Breadth-first-search (BFS)

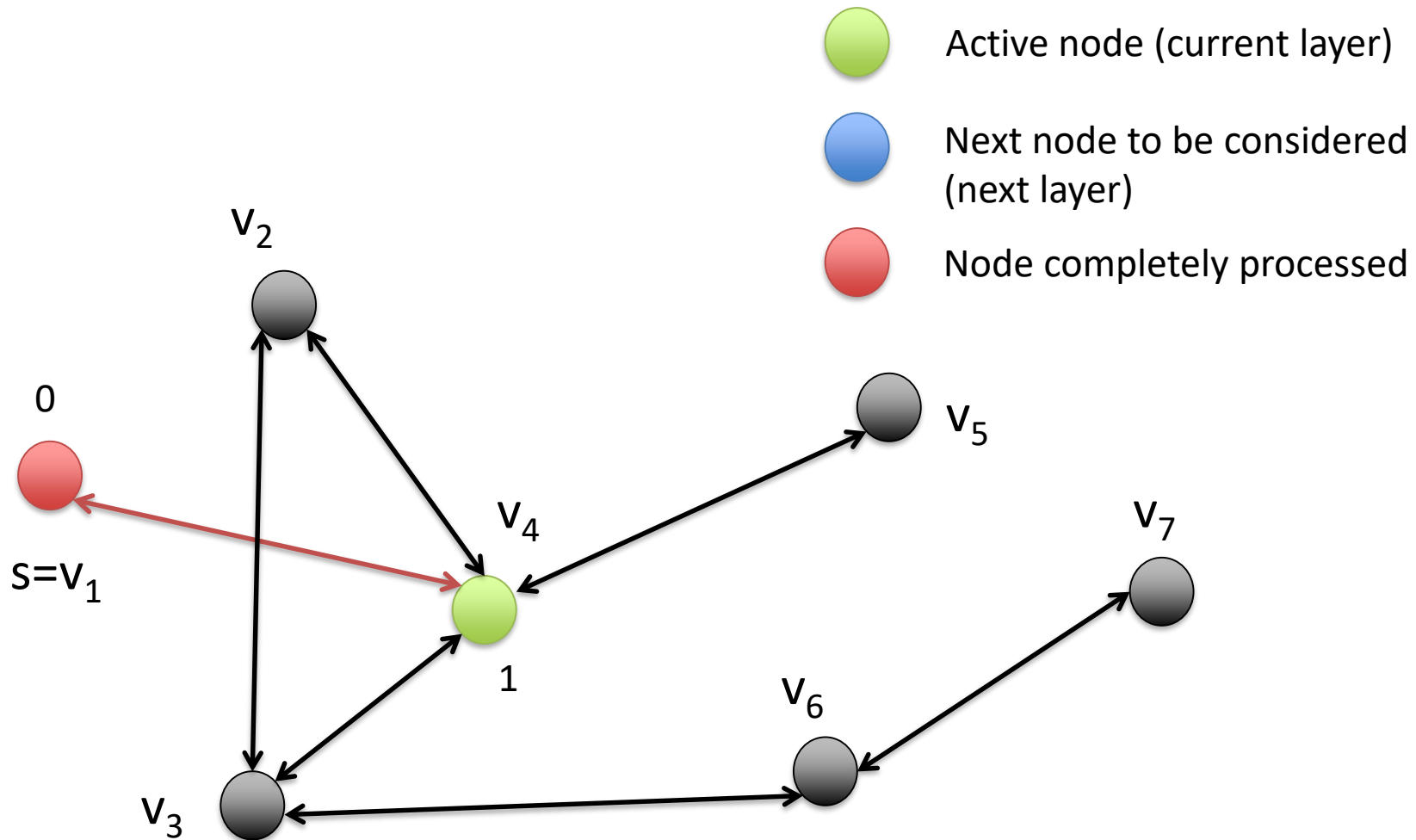


# Breadth-first-search (BFS)

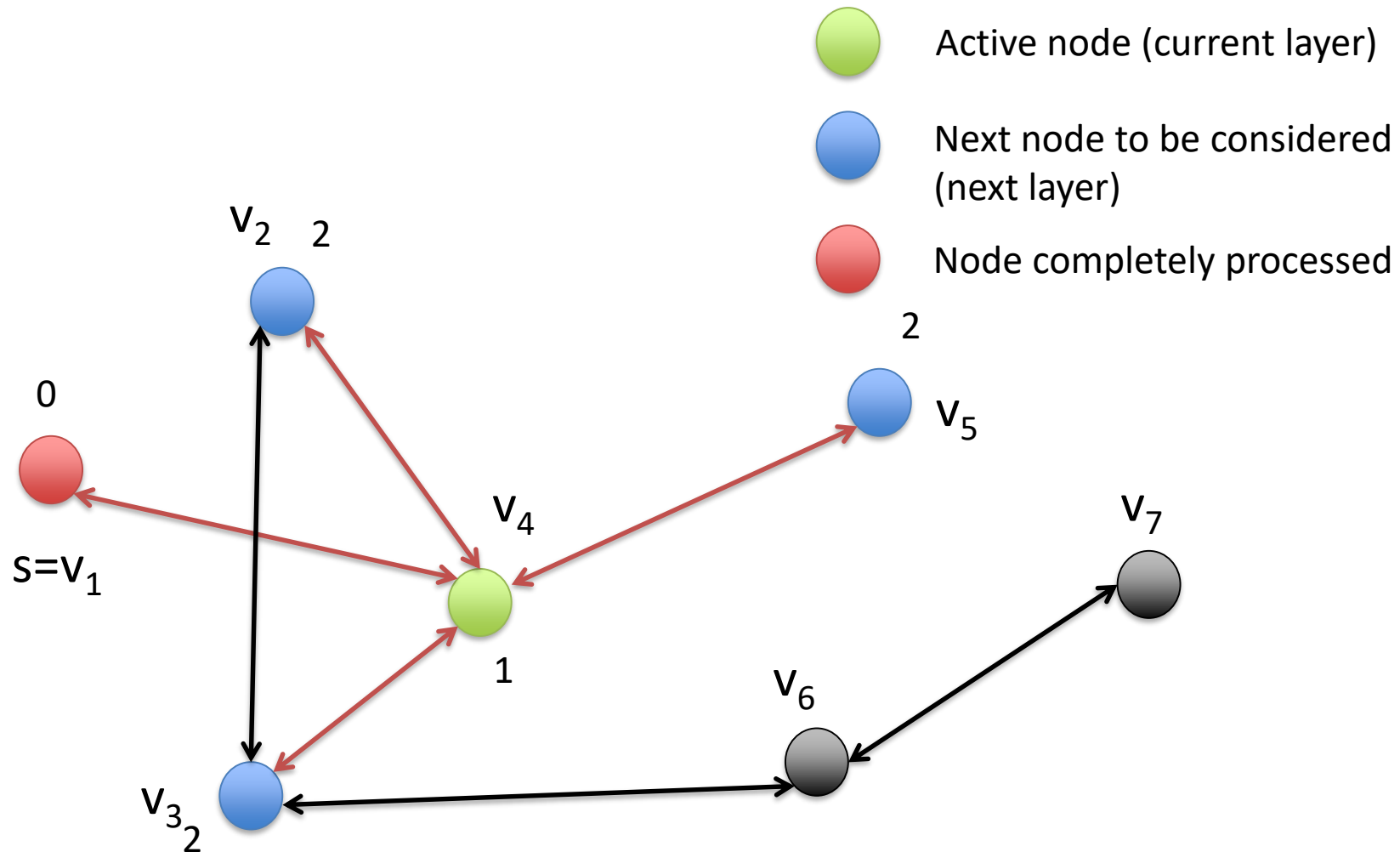




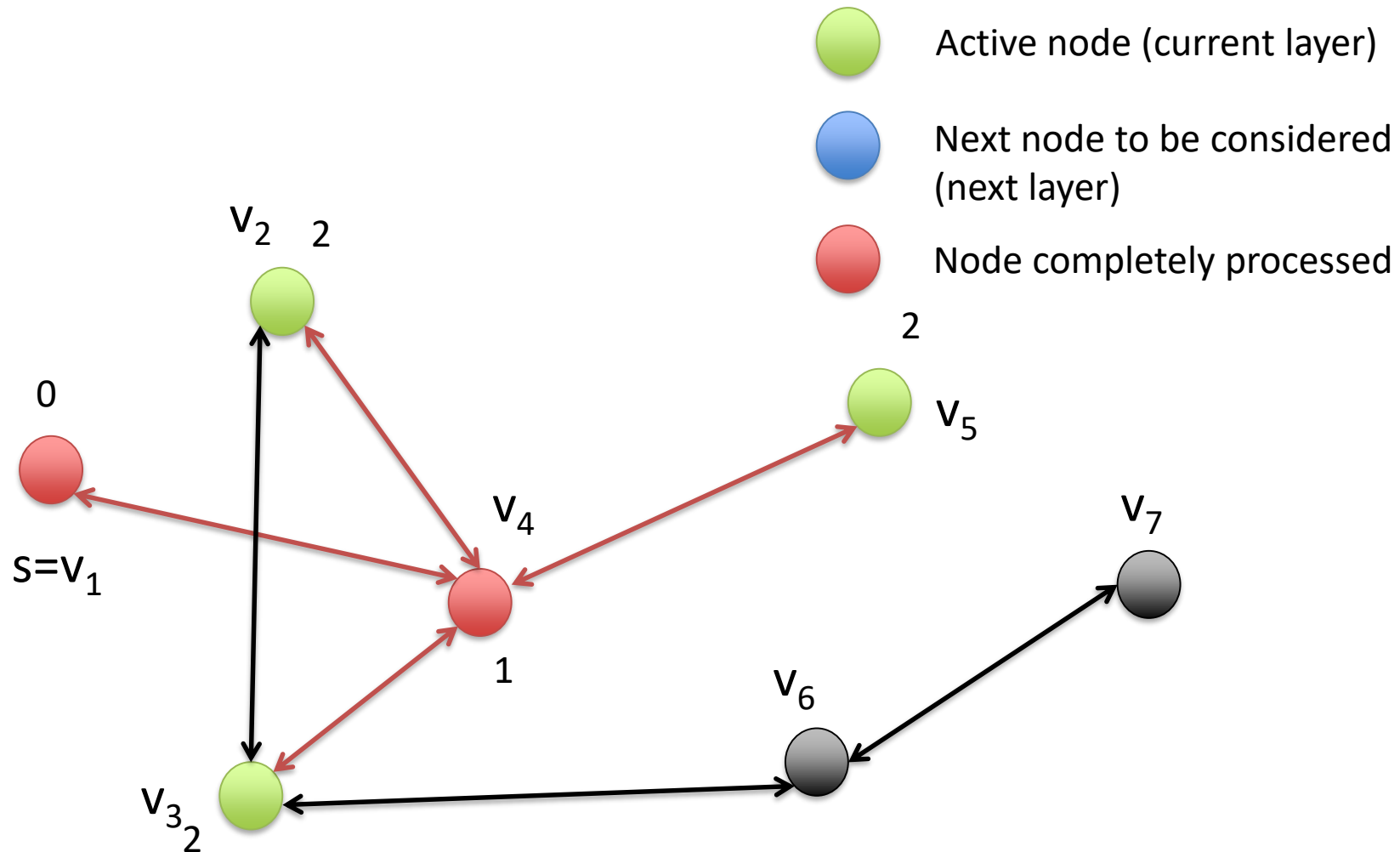
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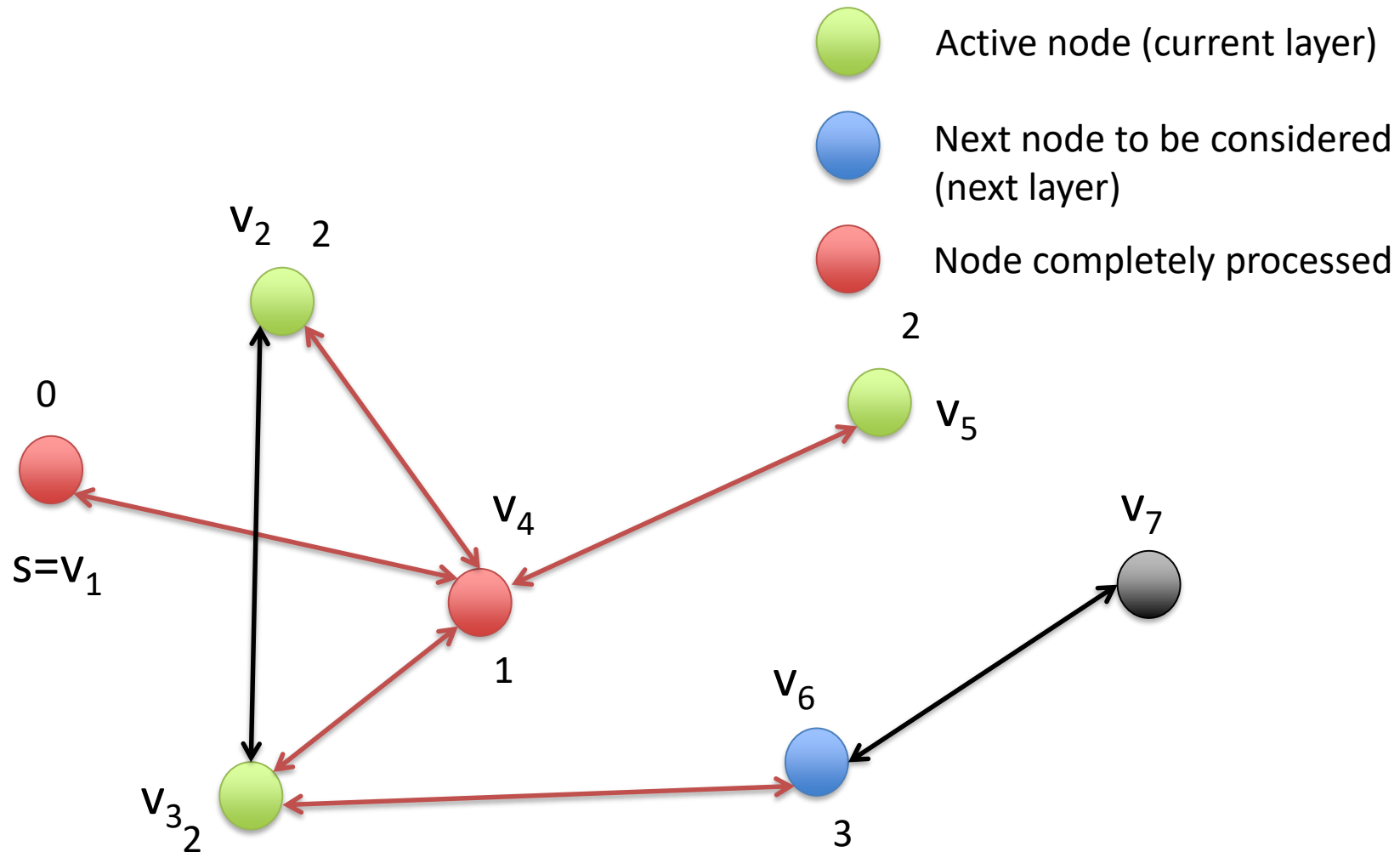
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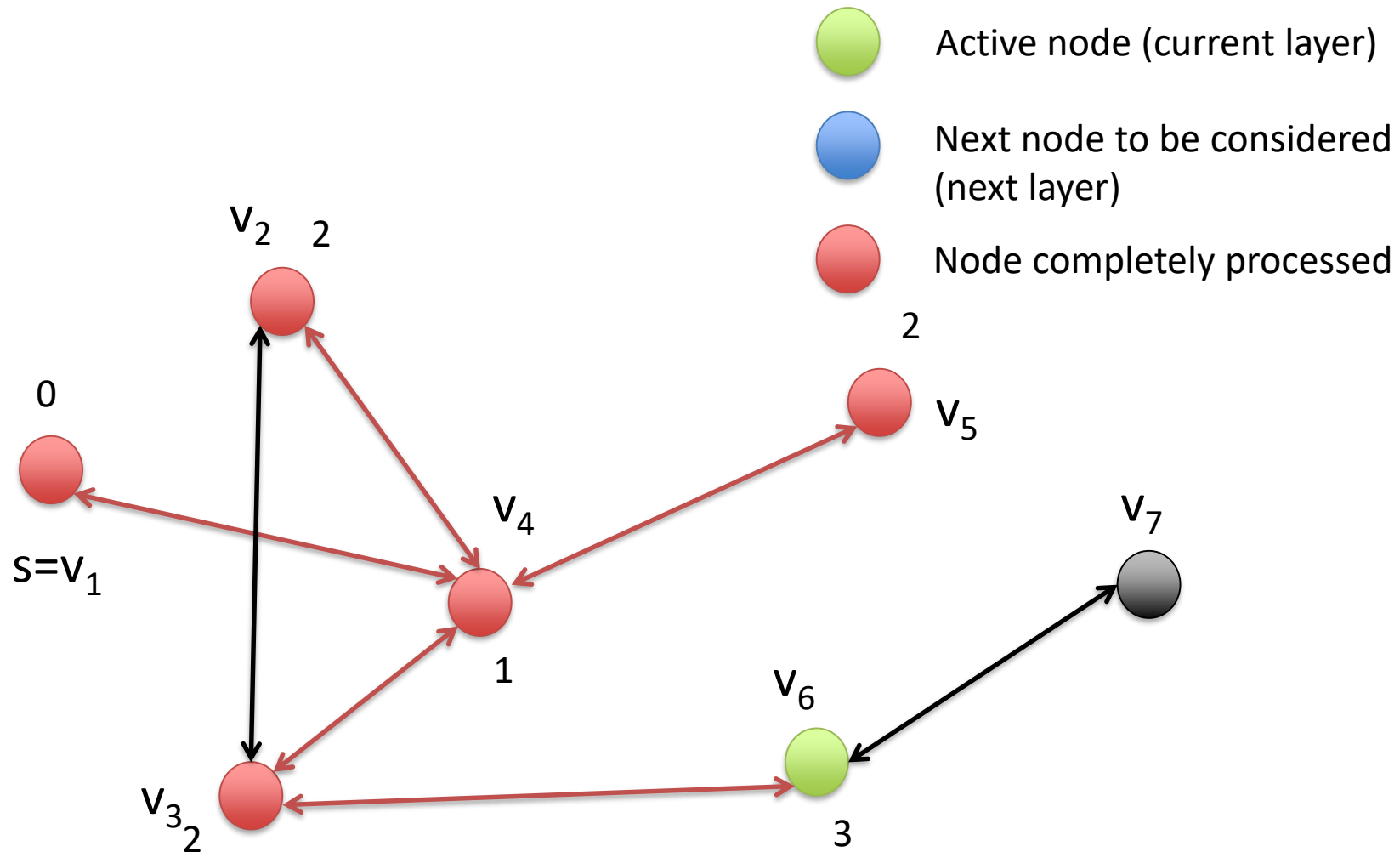
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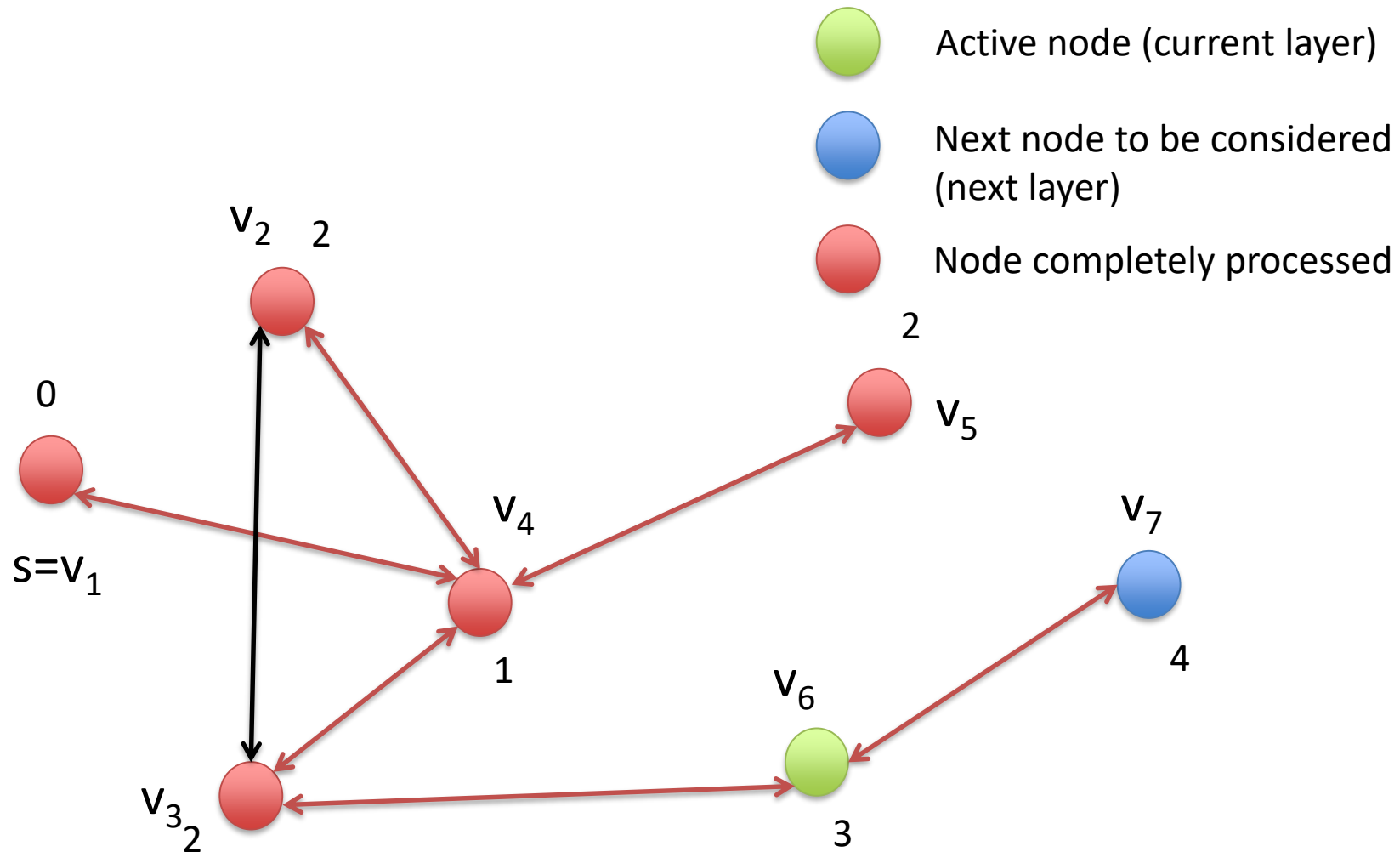
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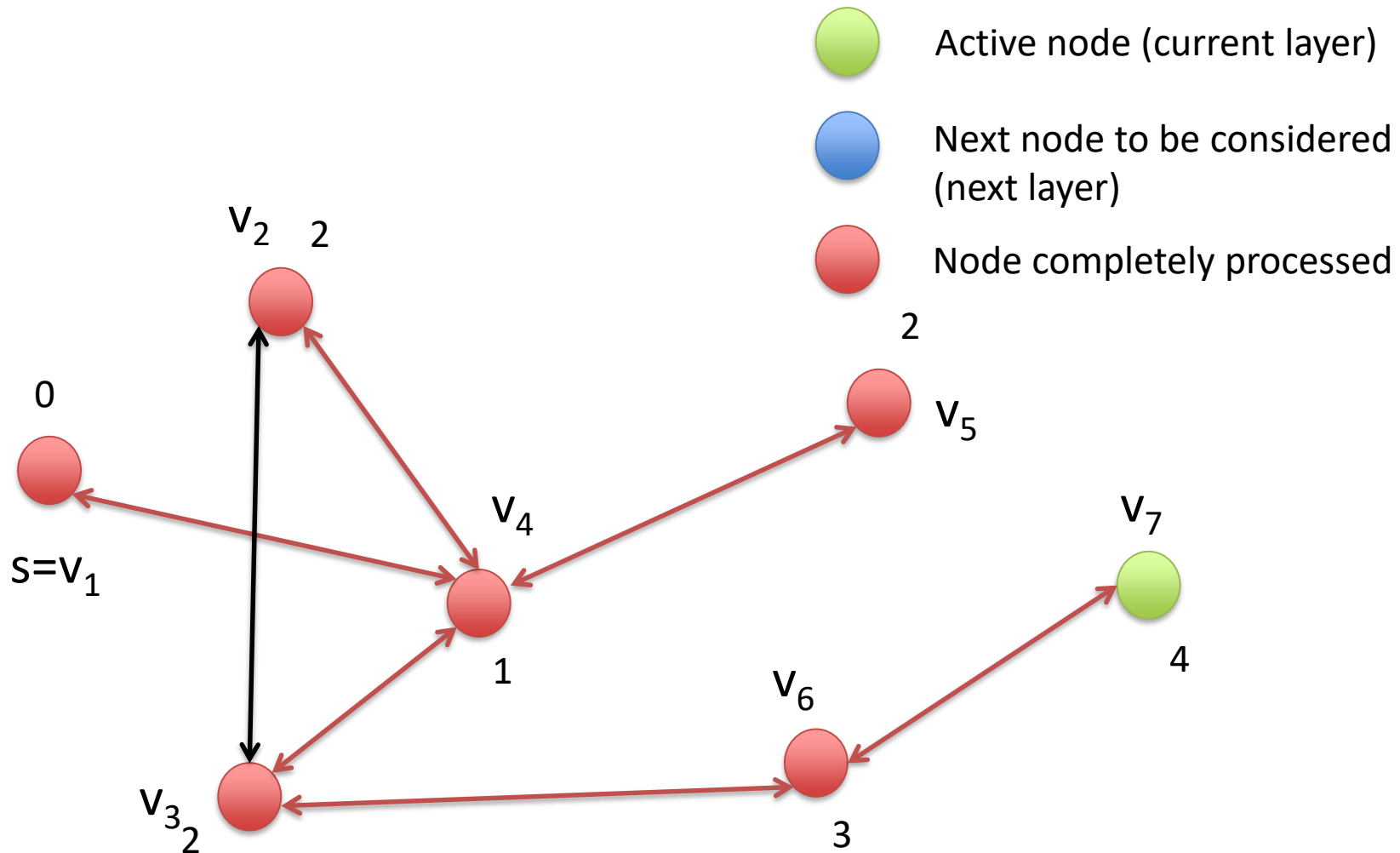
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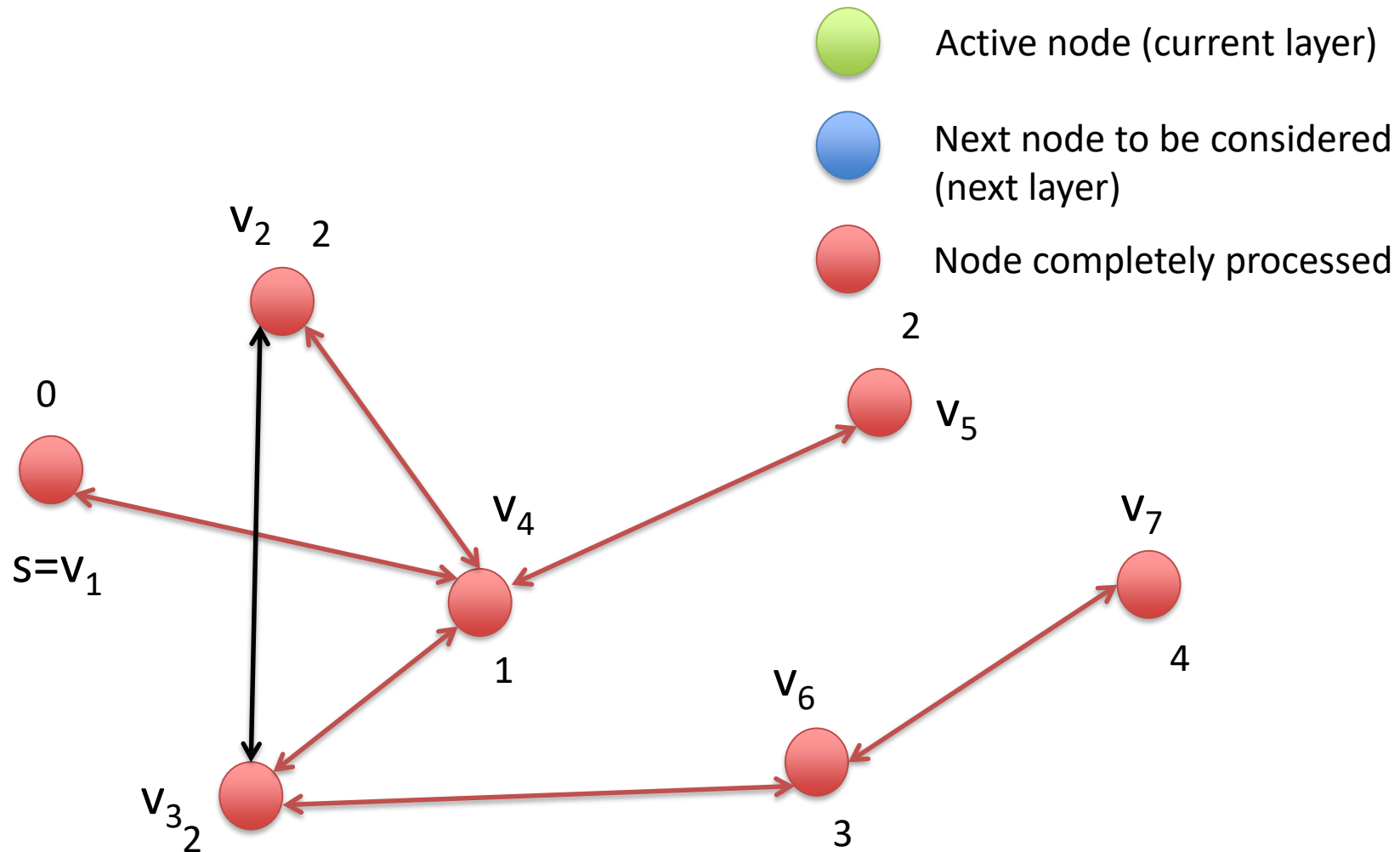
# Breadth-first-search (BFS)



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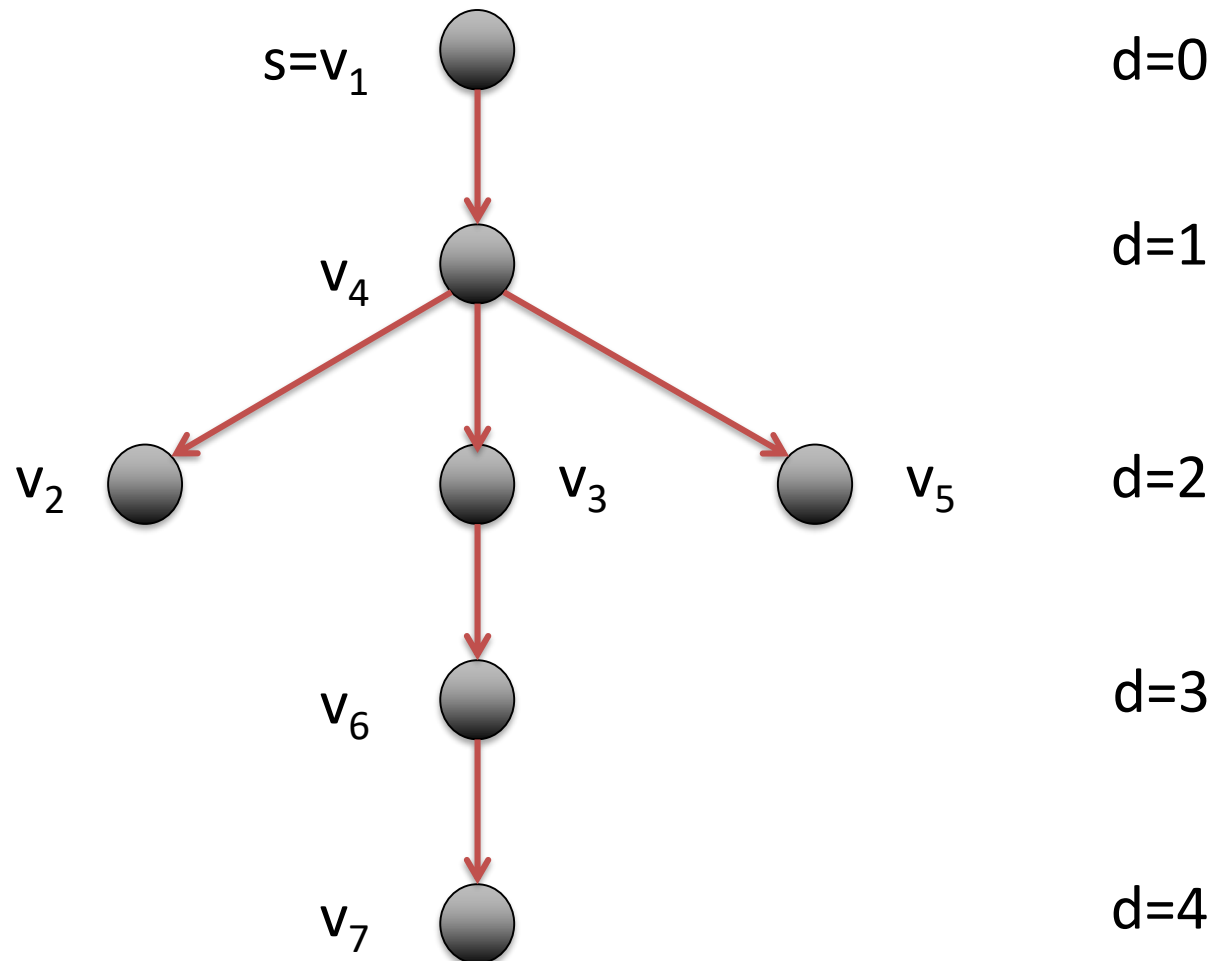


# Breadth-first-search (BFS)





# Breadth-First-Search Tree



# Implementation

- Use **Adjacency Array** and **Priority Queues Q**.
- We introduce each node into the Priority Queue only once. (Time  $O(n)$ )
- We only consider a node in the Priority Queues together with its edges once. ( $O(n+m)$ )
- Updating the distance vector and the parent vector is done once for every node. (Time  $O(n)$ )
- **Total Runtime**:  $O(n+m)$ .

# Pseudo-code Breadth-First-Search

```

Function bfs(s : NodeId) : (NodeArray of NodeId) × (NodeArray of 0..n)
    d =  $\langle \infty, \dots, \infty \rangle$  : NodeArray of NodeId                                // distance from root
    parent =  $\langle \perp, \dots, \perp \rangle$  : NodeArray of NodeId
    d[s] := 0
    parent[s] := s                                                                // self-loop signals root
    Q =  $\langle s \rangle$  : Set of NodeId                                                // current layer of BFS tree
    Q' =  $\langle \rangle$  : Set of NodeId                                                // next layer of BFS tree
    for  $\ell := 0$  to  $\infty$  while Q  $\neq \langle \rangle$  do                                // explore layer by layer
        invariant Q contains all nodes with distance  $\ell$  from s
        foreach u  $\in$  Q do
            foreach (u, v)  $\in$  E do                                            // scan edges out of u
                if parent(v) =  $\perp$  then                                         // found an unexplored node
                    Q' := Q'  $\cup$  {v}                                           // remember for next layer
                    d[v] :=  $\ell + 1$ 
                    parent(v) := u                                              // update BFS tree
                end if
            end foreach
        (Q, Q') := (Q',  $\langle \rangle$ )                                                // switch to next layer
    return (d, parent)
    // the BFS tree is now  $\{(v, w) : w \in V, v = \text{parent}(w)\}$ 

```

Green  
nodes

Blue  
nodes

Green  
nodes  
become  
red, blue  
nodes  
become  
green