

COMP1023 Software Engineering

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Lecture 3
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Topics covered

A Requirements Engineering (RE) *continued from previous lecture*

► The RE process:

- 1 elicitation
- 2 specification
- 3 validation
- 4 change

B Agile development

- Extreme programming
- User stories
- Refactoring
- Test-driven development
- Pair programming

C Agile project management

- Scrum

3. Requirements validation

Requirements validation

- ✧ Concerned with **demonstrating** that the requirements define the system that the customer really wants.
- ✧ Requirements error costs are high, so validation is very important.
 - Fixing a requirements error after delivery may cost up to 100 times the cost of fixing an implementation error.

Requirements checking

- ✧ **Validity.** Does the system provide the functions which best support the customer's needs?
- ✧ **Consistency.** Are there any requirements conflicts?
- ✧ **Completeness.** Are all functions required by the customer included?
- ✧ **Realism.** Can the requirements be implemented given available budget and technology

Requirements validation techniques

✧ Requirements reviews

- Systematic manual analysis of the requirements.

✧ Prototyping

- Using an executable model of the system to check requirements.

✧ Test-case generation

- Developing tests for requirements to check testability.

Requirements reviews

- ✧ Regular reviews should be held while the requirements definition is being formulated.
- ✧ Both client and contractor staff should be involved in reviews.
- ✧ Reviews may be formal (with completed documents) or informal. **Good communication** between developers, customers and users can resolve problems at an early stage.

4. Requirements change

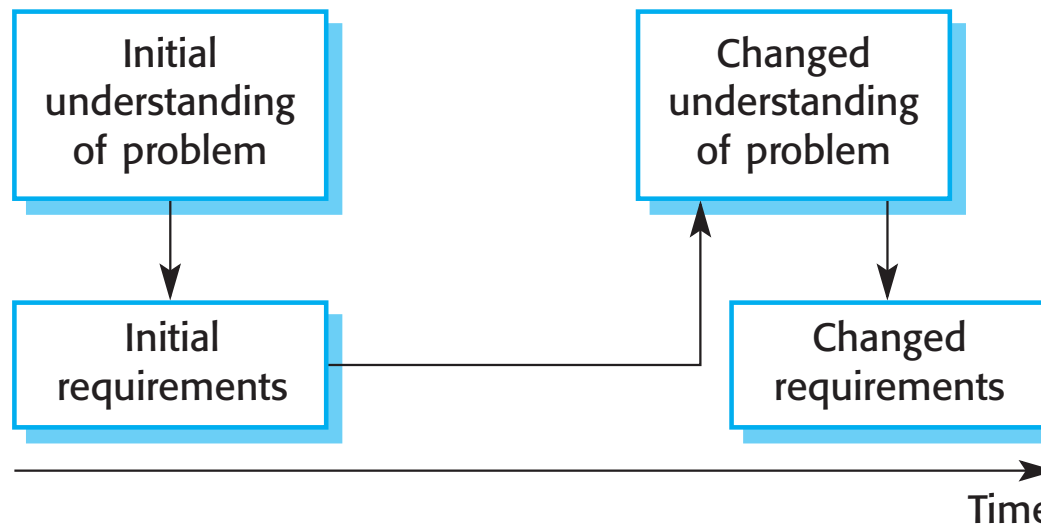
Changing requirements

- ✧ The business and technical environment of the system **will** eventually change.
 - New hardware may be introduced, it may be necessary to interface the system with other systems, business priorities may change (with consequent changes in the system support required), and new legislation and regulations may be introduced that the system must necessarily abide by.
- ✧ The people who pay for a system and the users of that system are rarely the same people.
 - System customers impose requirements because of organizational and budgetary constraints. These may conflict with end-user requirements and, after delivery, new features may have to be added for user support if the system is to meet its goals.

Changing requirements

- ✧ Large systems usually have a **diverse user community**, with many users having **different requirements** and priorities that may be conflicting or contradictory.
 - The final system requirements are inevitably a compromise between them and, with experience, it is often discovered that the balance of support given to different users has to be changed.

Requirements evolution



Requirements Engineering: key points

- ✧ Requirements for a software system set out **what the system should do** and define **constraints** on its operation and implementation.
- ✧ **Functional requirements** are statements of the services that the system must provide or are descriptions of how some computations must be carried out.
- ✧ **Non-functional** requirements often constrain the system being developed and the development process being used.

Requirements Engineering: key points

- ✧ The requirements engineering process is an **iterative process** that includes requirements **elicitation**, **specification**, **validation** and **change**.
- ✧ Requirements elicitation is an iterative process that can be represented as a spiral of activities – requirements discovery, requirements classification and organization, requirements negotiation and requirements documentation.
- ✧ You can use a range of techniques for requirements elicitation including interviews, user stories and scenarios.

Requirements Engineering: Key points

- ✧ Requirements specification is the process of formally documenting the user and system requirements and creating a **software requirements document**.
- ✧ The software requirements document is an agreed statement of the system requirements. It should be organized so that both system customers and software developers can use it.

Requirements Engineering: Key points

- ✧ Requirements **validation** is the process of checking the requirements for validity, consistency, completeness, realism and verifiability.
- ✧ Business, organizational and technical **changes** inevitably lead to **changes to the requirements** for a software system.

Watch:

✧ **An introduction to Requirements Engineering**
<https://www.youtube.com/watch?v=Ec0s0z5uXQ8>

Agile Software Development

Rapid software development

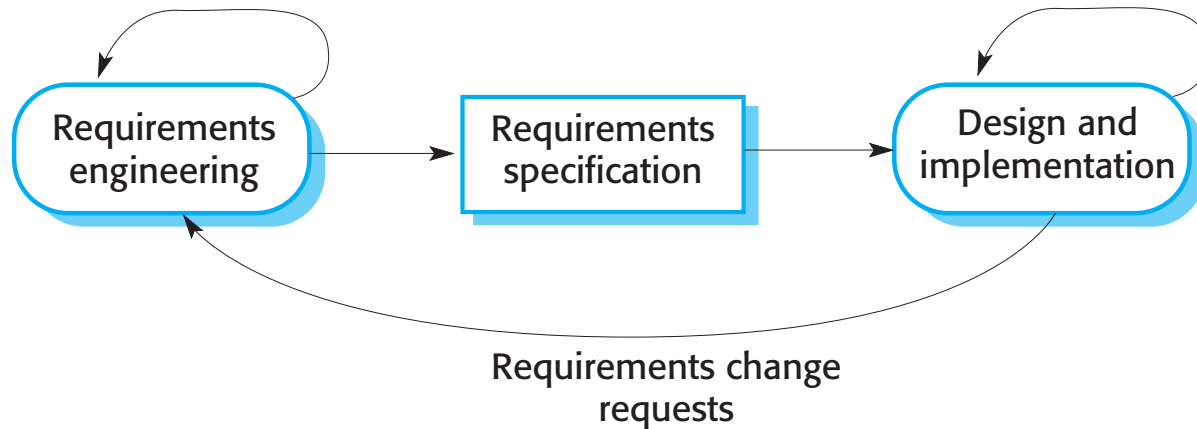
- ✧ **Rapid development and delivery** is now often the most important requirement for software systems.
 - Businesses operate in a fast-changing environment and it is practically impossible to produce a set of stable software requirements.
 - Software has to evolve quickly to reflect changing business needs.
- ✧ **Plan-driven development** is essential for **some types** of system but does not meet these business needs.
- ✧ **Agile** development methods emerged in the late 1990s. Their aim was to radically reduce the delivery time for working software systems.

Agile development

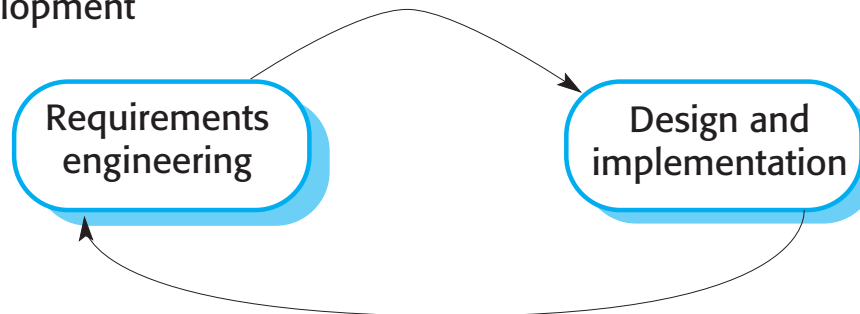
- ✧ Program specification, design and implementation are inter-leaved.
- ✧ The system is developed as a series of versions or increments with **stakeholders** involved in version **specification and evaluation**.
- ✧ **Frequent delivery** of new versions for evaluation
- ✧ Extensive tool support (e.g. automated testing tools) used to support development.
- ✧ Minimal documentation – focus on working code

Plan-driven and agile development

Plan-based development



Agile development



Plan-driven and agile development

✧ Plan-driven development

- Based around **separate development stages** with the outputs to be produced at each of these stages planned in advance.
- Not necessarily waterfall model – plan-driven, incremental development is possible
- Iteration occurs within activities.

✧ Agile development

- **Development stages** (specification, design, implementation and testing) are **interleaved**.
- The outputs from the development process are decided through negotiation during the software development process.

Motivation for agile development

- ✧ Dissatisfaction with the **overheads** involved in software design methods of the 1980s and 1990s led to the creation of agile methods. These methods:
 - **Focus on the code** rather than the design
 - Are based on an **iterative approach** to software development
 - Are intended to **deliver working software quickly** and **evolve** this quickly to meet **changing requirements**.
- ✧ The aim of agile methods is to
 - **reduce overheads** in the software process (e.g. by limiting documentation)
 - be able to **respond quickly to changing requirements**.

Agile manifesto

<https://agilemanifesto.org>

- ✧ *We are uncovering better ways of developing software by doing it and helping others do it. Through this work we have come to value:*
 - ***Individuals and interactions*** over processes and tools
 - Working software*** over comprehensive documentation
 - Customer collaboration*** over contract negotiation
 - Responding to change*** over following a plan
- ✧ *That is, while there is value in the items on the right, we value the items on the left more.*

The principles of Agile

Principle	Description
Customer involvement	Customers should be closely involved throughout the development process . Their role is to provide and prioritize new system requirements and to evaluate the iterations of the system.
Incremental delivery	The software is developed in increments with the customer specifying the requirements to be included in each increment .
People not process	The skills of the development team should be recognized and exploited. Team members should be left to develop their own ways of working without prescriptive processes.
Embrace change	Expect the system requirements to change and so design the system to accommodate these changes.
Maintain simplicity	Focus on simplicity in both the software being developed and in the development process. Wherever possible, actively work to eliminate complexity from the system.

Agile method applicability

- ✧ Product development where a software company is developing a **small or medium-sized product** for sale.
 - Virtually all software products and apps are now developed using an agile approach
- ✧ Custom system development within an organization, where there is a **clear commitment from the customer to become involved** in the development process and where there are **few external rules and regulations** that affect the software.

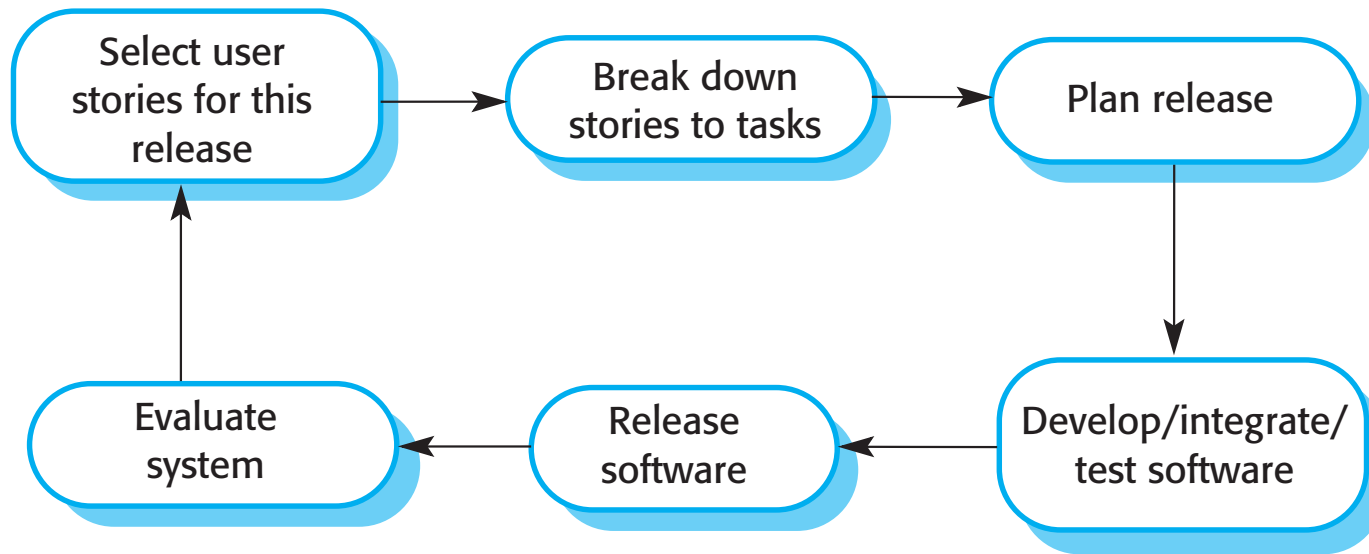
Short break

Agile development techniques

Extreme programming (XP)

- ✧ A very influential agile method, developed in the late 1990s, that introduced a range of agile development techniques.
- ✧ XP takes an 'extreme' approach to iterative development.
 - New versions may be built several times per day;
 - Increments are delivered to customers every 2 weeks;
 - All tests must be run for every build and the build is only accepted if tests run successfully.

The extreme programming release cycle



Extreme programming practices (a)

Principle or practice	Description
Incremental planning	Requirements are recorded on story cards and the stories to be included in a release are determined by the time available and their relative priority. The developers break these stories into development 'Tasks'.
Small releases	The minimal useful set of functionality that provides business value is developed first. Releases of the system are frequent and incrementally add functionality to the first release.
Simple design	Enough design is carried out to meet the current requirements and no more.
Test-first development	An automated unit test framework is used to write tests for a new piece of functionality before that functionality itself is implemented.
Refactoring	All developers are expected to refactor the code continuously as soon as possible code improvements are found. This keeps the code simple and maintainable.

Extreme programming practices (b)

Pair programming	Developers work in pairs, checking each other's work and providing the support to always do a good job.
Collective ownership	The pairs of developers work on all areas of the system, so that no islands of expertise develop and all the developers take responsibility for all of the code. Anyone can change anything.
Continuous integration	As soon as the work on a task is complete, it is integrated into the whole system. After any such integration, all the unit tests in the system must pass.
Sustainable pace	Large amounts of overtime are not considered acceptable as the net effect is often to reduce code quality and medium term productivity
On-site customer	A representative of the end-user of the system (the customer) should be available full time for the use of the XP team. In an extreme programming process, the customer is a member of the development team and is responsible for bringing system requirements to the team for implementation.

XP and agile principles

- ✧ Incremental development is supported through small, frequent system releases.
- ✧ Customer involvement means full-time customer engagement with the team.
- ✧ People not process through pair programming, collective ownership and a process that avoids long working hours.
- ✧ Change supported through regular system releases.
- ✧ Maintaining simplicity through constant refactoring of code.

Influential XP practices

- ✧ Extreme programming has a technical focus and is **not easy to integrate** with management practice in most organizations.
- ✧ Consequently, while agile development uses practices from XP, the method as originally defined is **not widely used**.
- ✧ Key practices
 - User stories for specification
 - Refactoring
 - Test-first development
 - Pair programming

User stories for requirements

- ✧ In XP, a customer or user is **part of the XP team** and is responsible for making decisions on requirements.
- ✧ User requirements are expressed as user stories or scenarios.
- ✧ These are written on cards and the development team breaks them down into implementation tasks. These tasks are the basis of schedule and cost estimates.
- ✧ The customer chooses the stories for inclusion in the next release based on their priorities and the schedule estimates.

Example: a 'prescribing medication' story

Prescribing medication

The record of the patient must be open for input. Click on the medication field and select either 'current medication', 'new medication' or 'formulary'.

If you select 'current medication', you will be asked to check the dose; If you wish to change the dose, enter the new dose then confirm the prescription.

If you choose, 'new medication', the system assumes that you know which medication you wish to prescribe. Type the first few letters of the drug name. You will then see a list of possible drugs starting with these letters. Choose the required medication. You will then be asked to check that the medication you have selected is correct. Enter the dose then confirm the prescription.

If you choose 'formulary', you will be presented with a search box for the approved formulary. Search for the drug required then select it. You will then be asked to check that the medication you have selected is correct. Enter the dose then confirm the prescription.

In all cases, the system will check that the dose is within the approved range and will ask you to change it if it is outside the range of recommended doses.

After you have confirmed the prescription, it will be displayed for checking. Either click 'OK' or 'Change'. If you click 'OK', your prescription will be recorded on the audit database. If you click 'Change', you reenter the 'Prescribing medication' process.

Examples of task cards for prescribing medication

Task 1: Change dose of prescribed drug

Task 2: Formulary selection

Task 3: Dose checking

Dose checking is a safety precaution to check that the doctor has not prescribed a dangerously small or large dose.

Using the formulary id for the generic drug name, lookup the formulary and retrieve the recommended maximum and minimum dose.

Check the prescribed dose against the minimum and maximum. If outside the range, issue an error message saying that the dose is too high or too low. If within the range, enable the 'Confirm' button.

Refactoring

- ✧ **Conventional wisdom** in software engineering is to design for change. It is worth spending time and effort **anticipating changes** as this reduces costs later in the life cycle.
- ✧ **XP**, however, maintains that this is not worthwhile as **changes cannot be reliably anticipated**.
- ✧ Rather, it proposes constant code improvement – **refactoring** – to make changes easier when they have to be implemented.

Refactoring

- ✧ Programming team look for possible software improvements and make these improvements even where there is no immediate need for them.
- ✧ This improves the **understandability** of the software and so reduces the need for documentation.
- ✧ Changes are easier to make because the code is well-structured and clear.
- ✧ However, some changes requires architecture refactoring and this is much more expensive.

Examples of refactoring

- ✧ Re-organization of a class hierarchy to remove duplicate code.
- ✧ Tidying up and renaming attributes and methods to make them easier to understand.
- ✧ The replacement of inline code with calls to methods that have been included in a program library.

Test-first development

- ✧ Testing is central to XP and XP has developed an approach where the program is tested after every change has been made.
- ✧ XP testing features:
 - Test-first development.
 - Incremental test development from scenarios/user stories.
 - User involvement in test development and validation.
 - Automated test harnesses are used to run all component tests each time that a new release is built.

Test-driven development

- ✧ Writing **tests before code** clarifies the requirements to be implemented.
- ✧ Tests are written as programs rather than data so that they can be executed automatically. The test includes a check that it has executed correctly.
 - Usually relies on a testing framework such as JUnit.
- ✧ All previous and new tests are run automatically when new functionality is added, thus checking that the new functionality has not introduced errors.

Customer involvement in testing process

- ✧ The role of the customer in the testing process is to help develop acceptance tests for the stories that are to be implemented in the next release of the system.
- ✧ The customer who is part of the team writes tests as development proceeds. All new code is therefore validated to ensure that it is what the customer needs.
- ✧ However, the customer
 - has limited time available, cannot work full-time with the development team.
 - may feel that providing the requirements was enough contribution and may be reluctant to get involved with testing.

Example test case description (by customer)

Test 4: Dose checking

Input:

1. A number in mg representing a single dose of the drug.
2. A number representing the number of single doses per day.

Tests:

1. Test for inputs where the single dose is correct but the frequency is too high.
2. Test for inputs where the single dose is too high and too low.
3. Test for inputs where the single dose * frequency is too high and too low.
4. Test for inputs where single dose * frequency is in the permitted range.

Output:

OK or error message indicating that the dose is outside the safe range.

Test automation

- ✧ Test automation means that tests are written as executable components before the task is implemented.
 - These testing components should be stand-alone, should simulate the submission of input to be tested and should check that the result meets the output specification. An automated test framework (e.g. JUnit) is a system that makes it easy to write executable tests and submit a set of tests for execution.
- ✧ As testing is automated, there is always a set of tests that can be quickly and easily executed
 - Whenever any functionality is added to the system, the tests can be run and problems that the new code has introduced can be caught immediately.

Problems with test-first development

- ✧ Programmers prefer programming to testing and sometimes they take short cuts when writing tests. For example, they may write incomplete tests that do not check for all possible exceptions that may occur.
- ✧ Some tests can be very difficult to write incrementally. For example, in a complex user interface, it is often difficult to write unit tests for the code that implements the 'display logic' and workflow between screens.
- ✧ It difficult to judge the completeness of a set of tests. Although you may have a lot of system tests, your test set may not provide complete coverage.

Pair programming

- ✧ Pair programming involves programmers **working in pairs**, developing code together.
- ✧ This helps develop common ownership of code and **spreads knowledge** across the team.
- ✧ It serves as an **informal review process** as each line of code is looked at by more than 1 person.
- ✧ It **encourages refactoring** as the whole team can benefit from improving the system code.

Pair programming

- ✧ In pair programming, programmers sit together at the **same computer** to develop the software.
- ✧ Pairs are created dynamically so that all team members work with each other during the development process.
- ✧ The sharing of knowledge that happens during pair programming is very important as it **reduces the overall risks** to a project when team members leave.
- ✧ Pair programming is not necessarily inefficient and there is some evidence that suggests that a pair working together is more efficient than 2 programmers working separately.

Agile project management

Agile project management

- ✧ The principal responsibility of software project managers is to manage the project so that the software is delivered **on time and within the planned budget** for the project.
- ✧ The standard approach to project management is plan-driven. Managers draw up a plan for the project showing what should be delivered, when it should be delivered and who will work on the development of the project deliverables.
- ✧ **Agile** project management requires a different approach, which is adapted to incremental development and the practices used in agile methods.

Scrum

- ✧ Scrum is an agile method that focuses on managing iterative development rather than specific agile practices.
- ✧ There are three phases in Scrum.
 - The initial phase is an outline planning phase where you establish the general objectives for the project and design the software architecture.
 - This is followed by a series of sprint cycles, where each cycle develops an increment of the system.
 - The project closure phase wraps up the project, completes required documentation such as system help frames and user manuals and assesses the lessons learned from the project.
- ✧ Watch: [Introduction to Scrum](#)

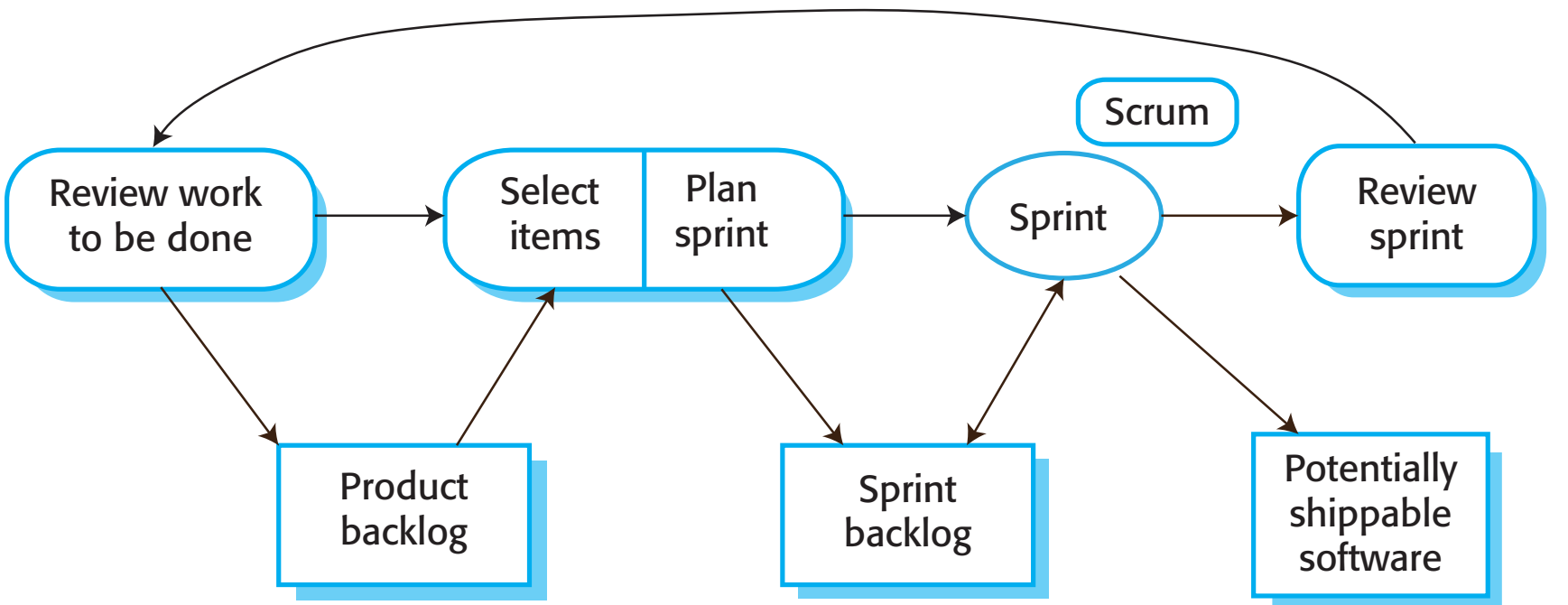
Scrum terminology (a)

Scrum term	Definition
Development team	A self-organizing group of software developers, which should be no more than 7 people. They are responsible for developing the software and other essential project documents.
Potentially shippable product increment	The software increment that is delivered from a sprint. The idea is that this should be 'potentially shippable' which means that it is in a finished state and no further work, such as testing, is needed to incorporate it into the final product. In practice, this is not always achievable.
Product backlog	This is a list of 'to do' items which the Scrum team must tackle. They may be feature definitions for the software, software requirements, user stories or descriptions of supplementary tasks that are needed, such as architecture definition or user documentation.
Product owner	An individual (or possibly a small group) whose job is to identify product features or requirements, prioritize these for development and continuously review the product backlog to ensure that the project continues to meet critical business needs. The Product Owner can be a customer but might also be a product manager in a software company or other stakeholder representative.

Scrum terminology (b)

Scrum term	Definition
Scrum	A daily meeting of the Scrum team that reviews progress and prioritizes work to be done that day. Ideally, this should be a short face-to-face meeting that includes the whole team.
Scrum Master	The Scrum Master is responsible for ensuring that the Scrum process is followed and guides the team in the effective use of Scrum. He or she is responsible for interfacing with the rest of the company and for ensuring that the Scrum team is not diverted by outside interference. The Scrum developers are adamant that the Scrum Master should not be thought of as a project manager. Others, however, may not always find it easy to see the difference.
Sprint	A development iteration. Sprints are usually 2-4 weeks long.
Velocity	An estimate of how much product backlog effort that a team can cover in a single sprint. Understanding a team's velocity helps them estimate what can be covered in a sprint and provides a basis for measuring improving performance.

Scrum sprint cycle



The Scrum sprint cycle

- ✧ Sprints are fixed length, normally 2–4 weeks.
- ✧ The starting point for planning is the product backlog, which is the list of work to be done on the project.
- ✧ The selection phase involves all of the project team who work with the customer to select the features and functionality from the product backlog to be developed during the sprint.

The Sprint cycle

- ✧ Once these are agreed, the team organize themselves to develop the software.
- ✧ During this stage the team is isolated from the customer and the organization, with all communications channelled through the so-called 'Scrum master'.
- ✧ The role of the Scrum master is to protect the development team from external distractions.
- ✧ At the end of the sprint, the work done is reviewed and presented to stakeholders. The next sprint cycle then begins.

Teamwork in Scrum

- ✧ The 'Scrum master' is a facilitator who arranges daily meetings, tracks the backlog of work to be done, records decisions, measures progress against the backlog and communicates with customers and management outside of the team.
- ✧ The whole team attends short daily meetings (Scrums) where all team members share information, describe their progress since the last meeting, problems that have arisen and what is planned for the following day.
 - This means that everyone on the team knows what is going on and, if problems arise, can re-plan short-term work to cope with them.

Scrum benefits

- ✧ The product is broken down into a set of manageable and understandable chunks.
- ✧ Unstable requirements do not hold up progress.
- ✧ The whole team have visibility of everything and consequently team communication is improved.
- ✧ Customers see on-time delivery of increments and gain feedback on how the product works.
- ✧ Trust between customers and developers is established and a positive culture is created in which everyone expects the project to succeed.

Agile methods: limitations and challenges

Practical problems with agile methods

- ✧ The informality of agile development is incompatible with the legal approach to contract definition that is commonly used in large companies.
- ✧ Agile methods are most appropriate for new software development rather than software maintenance. Yet the majority of software costs in large companies come from maintaining their existing software systems.
- ✧ Agile methods are designed for small co-located teams yet much software development now involves worldwide distributed teams.

Contractual issues

- ✧ Most software contracts for custom systems are based around a specification, which sets out what has to be implemented by the system developer for the system customer.
- ✧ However, this precludes interleaving specification and development as is the norm in agile development.
- ✧ A contract that pays for developer time rather than functionality is required.
 - However, this is seen as a high risk by many legal departments because what has to be delivered cannot be guaranteed.

Agile methods and software maintenance

- ✧ Most organizations spend more on maintaining existing software than they do on new software development. So, if agile methods are to be successful, they have to support maintenance as well as original development.
- ✧ Two key issues:
 - Are systems that are developed using an agile approach maintainable, given the emphasis in the development process of minimizing formal documentation?
 - Can agile methods be used effectively for evolving a system in response to customer change requests?
- ✧ Problems may arise if original development team cannot be maintained.

Agile maintenance

✧ Key problems are:

- Lack of product documentation
- Keeping customers involved in the development process
- Maintaining the continuity of the development team

✧ Agile development relies on the development team knowing and understanding what has to be done.

✧ For long-lifetime systems, this is a real problem as the original developers will not always work on the system.

Agile and plan-driven methods

- ✧ Most projects include elements of plan-driven and agile processes. Deciding on the balance depends on:
 - Is it important to have a very detailed specification and design before moving to implementation? If so, you probably need to use a plan-driven approach.
 - Is an incremental delivery strategy, where you deliver the software to customers and get rapid feedback from them, realistic? If so, consider using agile methods.
 - How large is the system that is being developed? Agile methods are most effective when the system can be developed with a small co-located team who can communicate informally. This may not be possible for large systems that require larger development teams so a plan-driven approach may have to be used.

Agile Development: key points

- ✧ Agile methods are **incremental** development methods that focus on rapid software development, **frequent releases** of the software, **reducing process overheads** by minimizing documentation and producing **high-quality code**.
- ✧ Agile development practices include
 - User stories for system specification
 - Frequent releases of the software,
 - Continuous software improvement
 - Test-first development
 - Customer participation in the development team.

Agile Development: key points

- ✧ Scrum is an agile method that provides a project management framework.
 - It is centred round a set of sprints, which are fixed time periods when a system increment is developed.
- ✧ Many practical development methods are a mixture of plan-based and agile development.
- ✧ Scaling agile methods for large systems is difficult.
 - Large systems need up-front design and some documentation and organizational practice may conflict with the informality of agile approaches.