

# G51FAI

## Fundamentals of AI

Instructor: Siang Yew Chong

*Blind Searches*



# Outlines

- State space vs. search tree
- Avoiding repeated states
  - Tree search
  - Graph search
- Performance evaluation of blind search strategies
  - Breath first
  - Depth first
  - Depth limited
  - Iterative deepening
  - Uniform cost

# Searching in a State Space

- **Obtaining** the whole *large state space* is **impractical**. Instead, **states** are **generated** (during search)
- The *search space* is the **implicit tree defined** by the **initial state** and the **operators**
- A *solution* is a **sequence of actions** associated with a **path** in a **state space** from a **start** to a **goal state**
- Search works by considering various possible action sequences
- The *search tree* is the **explicit tree generated** by the **search strategy** (that defines the order of state expansion)
- The **cost** of a **solution** is the **sum** of the **arc costs** on the **solution path**
  - if all arcs have the same (unit) cost, then the solution cost is just the length of the solution (number of steps / state transitions)
- Search tree may be *infinite* because of *loopy* or *redundant* paths even if state space is small

# Tree-Search

**function** TREE-SEARCH(*problem*) **returns** a solution, or failure

    initialize the frontier using the initial state of *problem*

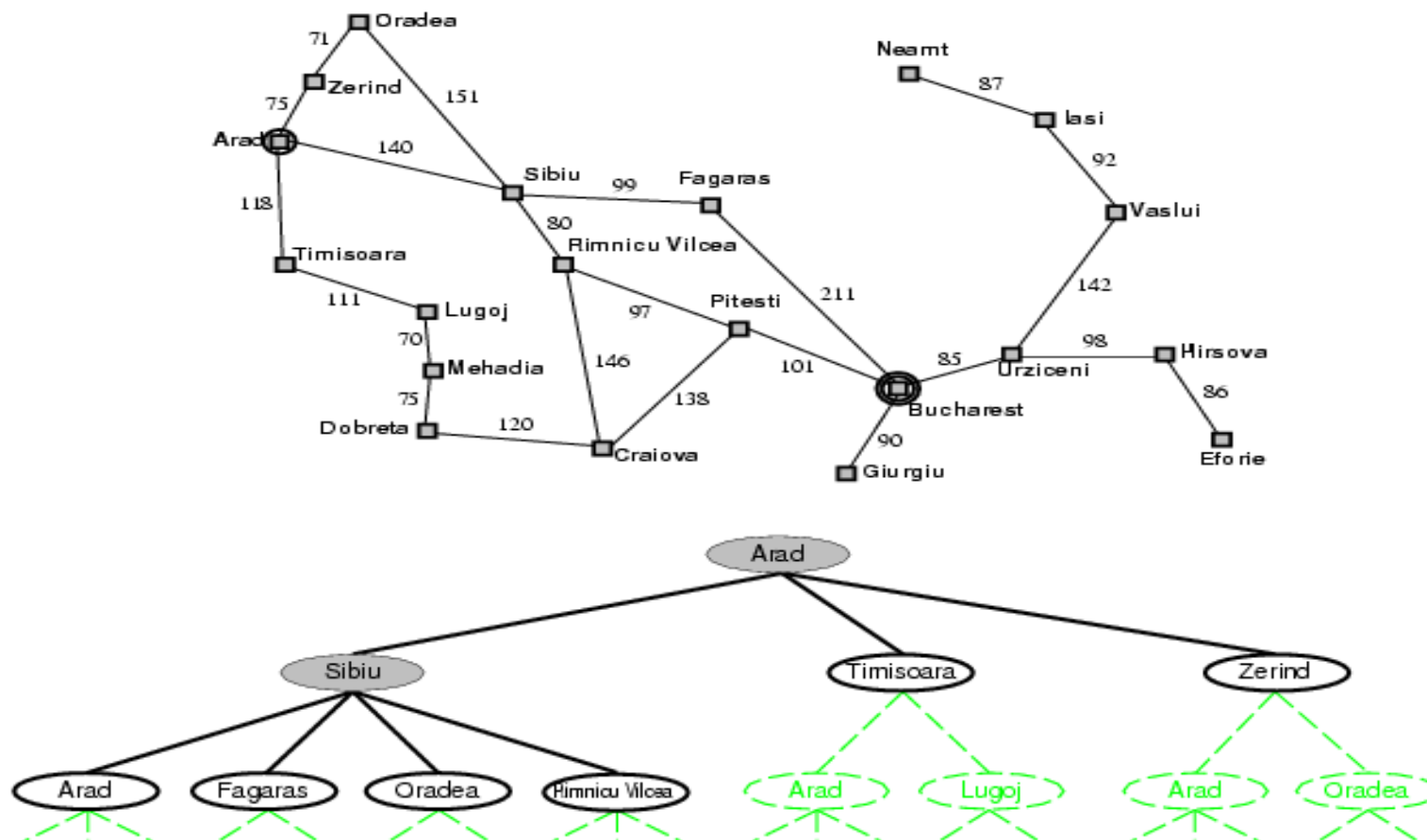
**loop do**

**if** the frontier is empty **then return** failure

        choose a leaf node and remove it from the frontier

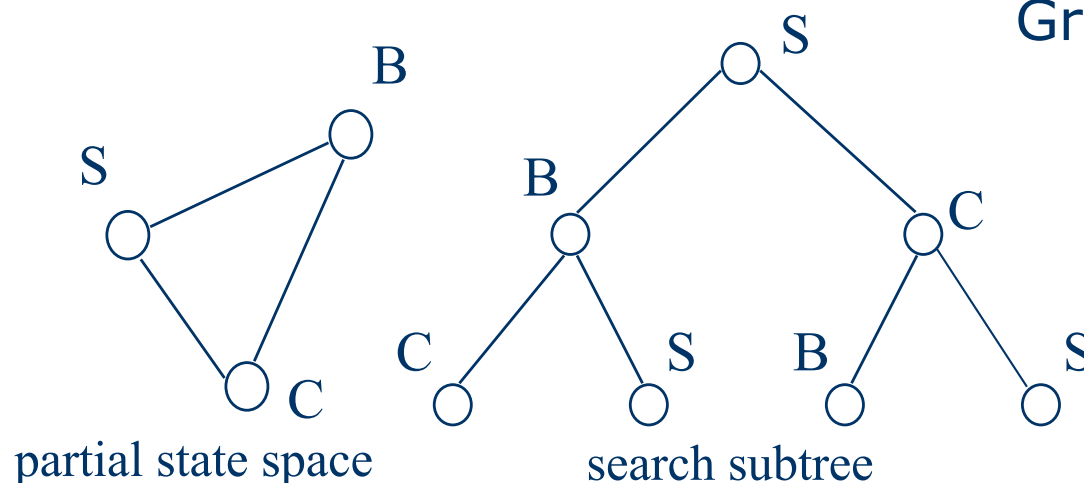
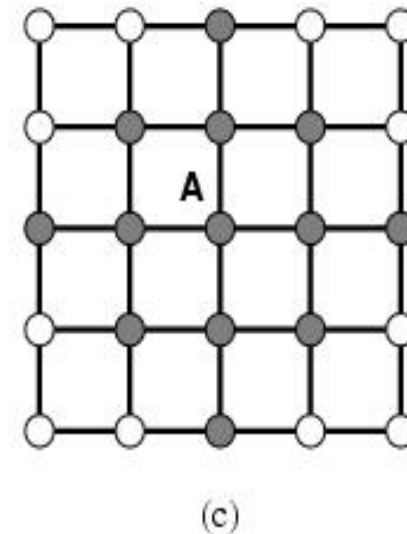
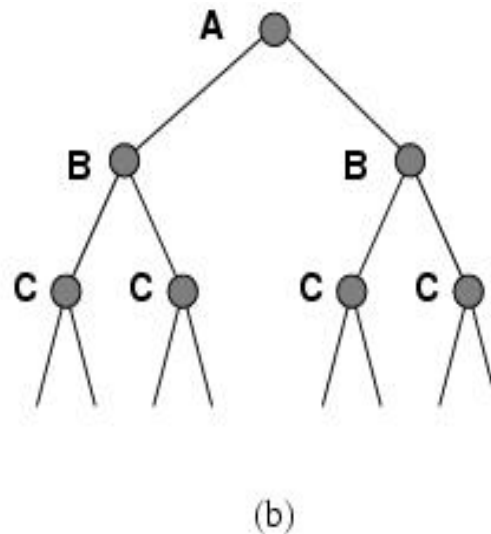
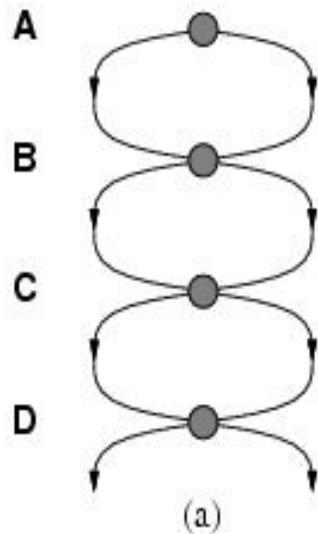
**if** the node contains a goal state **then return** the corresponding solution

        expand the chosen node, adding the resulting nodes to the frontier



# Avoiding Repeated States

- Failure to detect repeated states can turn a solvable problems into unsolvable ones.

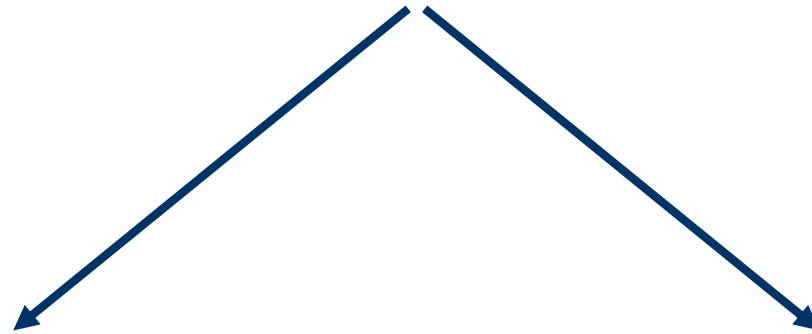


## Graph-search

- never generate a state generated before
- check for cycles
- must keep track of all possible states/**memory** (space complexity of  $O(b^d)$ )

# Search Strategies

## Two Categories of Search



### □ Uninformed/Blind

*No additional information about states beyond that provided in the problem definition*

### □ Informed/Heuristic

*Uses strategies that know whether one state is more promising than the others in reaching the goal*

# Characteristics of Blind Searches

- Can only generate successors and distinguish between a goal state from a non-goal state
- **No preference** as to which **state** (node) will be **more promising, expansion** done **systematically** according to a specific order
- Search process constructs a search tree, where
  - **root** is the **initial state**; and
  - **leaf nodes (fringe)** are nodes discovered but not yet expanded OR without successors
- The order of **fringe processing characterises** the **different categories** of search
  - this can have a dramatic effect on how well the search performs when measured against the four criteria defined earlier

# Search Implementation

Two types of data structure are needed:

- **Fringe** are set of **nodes** that
  - have been discovered
  - but not “**processed**” (**tested** for **goal state** and **discover their children**)
  - also known as *open nodes, frontier, agenda*
- **Explored nodes** are set of nodes that
  - have been discovered
  - have been “processed”
  - Also known as *close nodes*
- Processed implies the completion of the following:
  - ✓ *tested whether they are a goal*
  - ✓ *all children have been discovered*



# Search Implementation

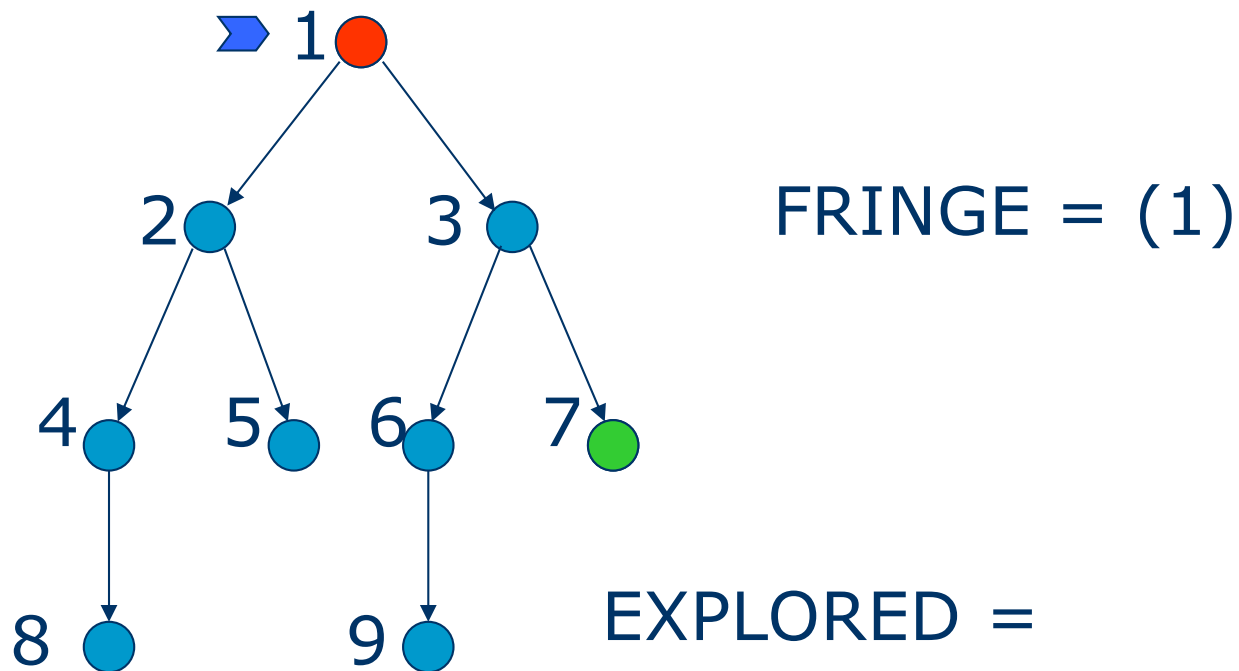
- Fundamental algorithm:
  - move nodes
    - ✓ into the “fringe” when they are discovered
    - ✓ pick a node from the fringe to be processed in a predetermined order
    - ✓ into the “explored” after they have been processed
  - processing method to compare current node with goal state and “expand a node” to discover its children when goal state not reached, as dictated by the goal state and operators of a problem

# Blind Search

- ❑ Breadth-first Search
- ❑ Depth-first Search
- ❑ Depth-limited Search
- ❑ Iterative Deepening Search
- ❑ Uniform-cost Search

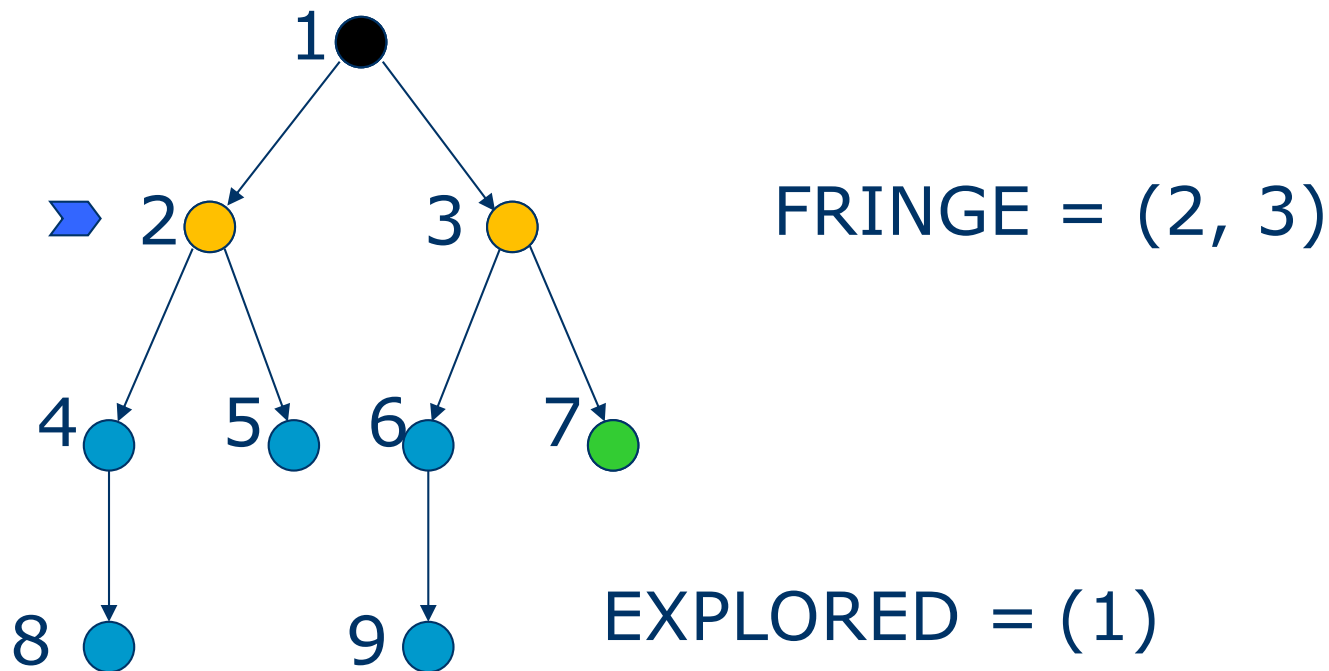
# Breadth-First Strategy

- explores nodes nearest the root before exploring nodes further away
- implementation: *fringe* is a FIFO queue
- new nodes are inserted at the end of the queue



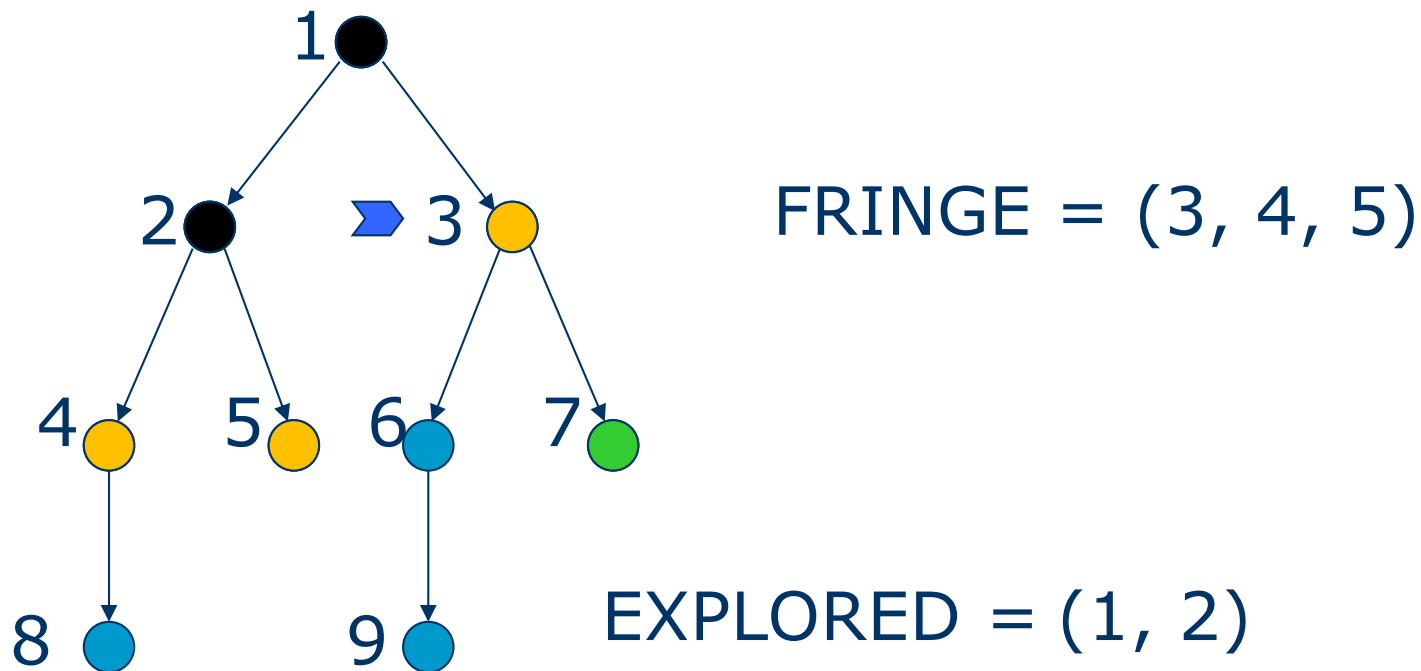
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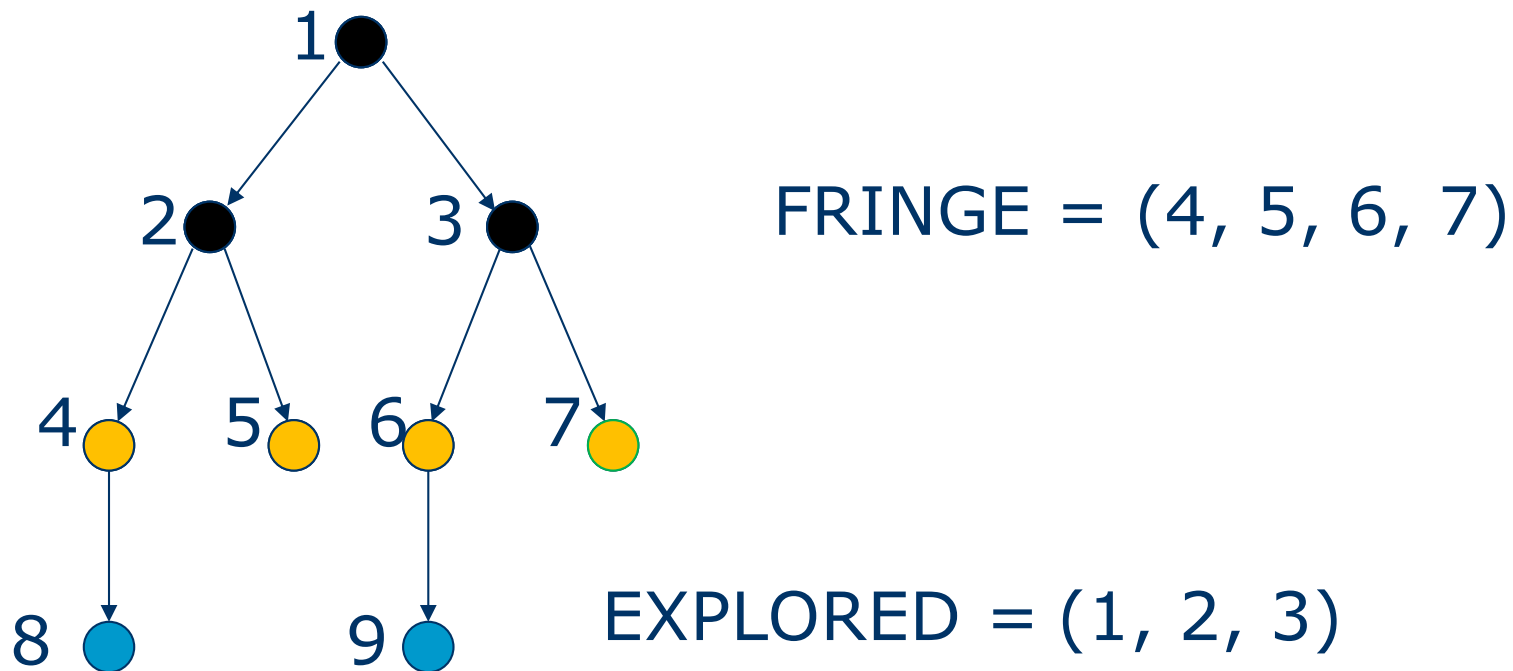
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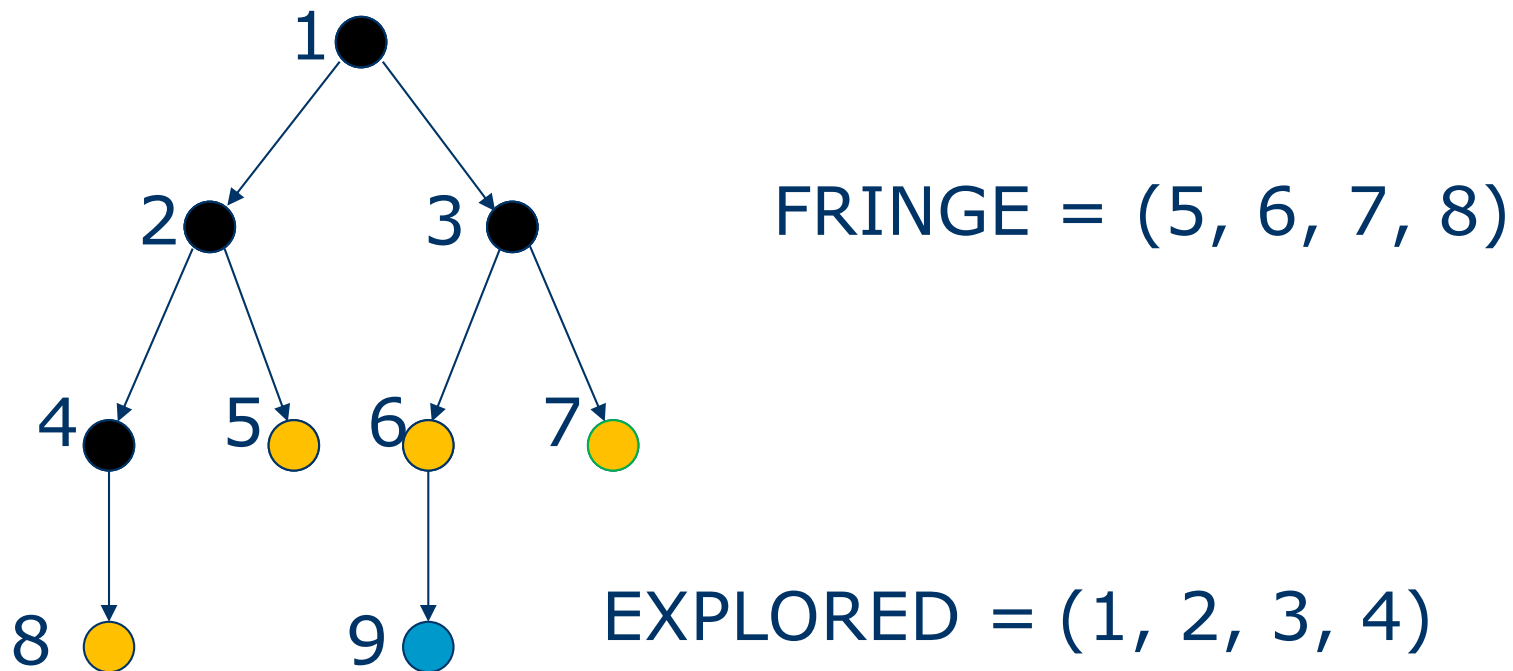
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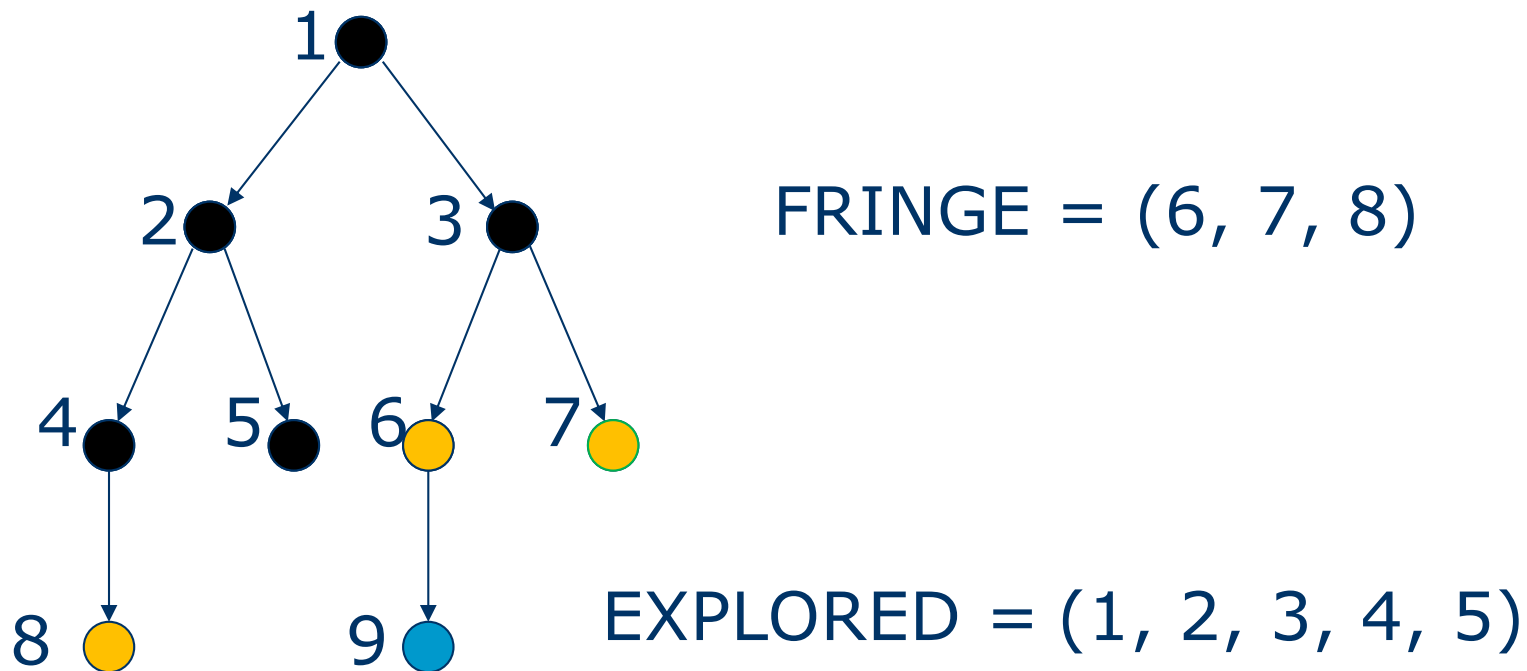
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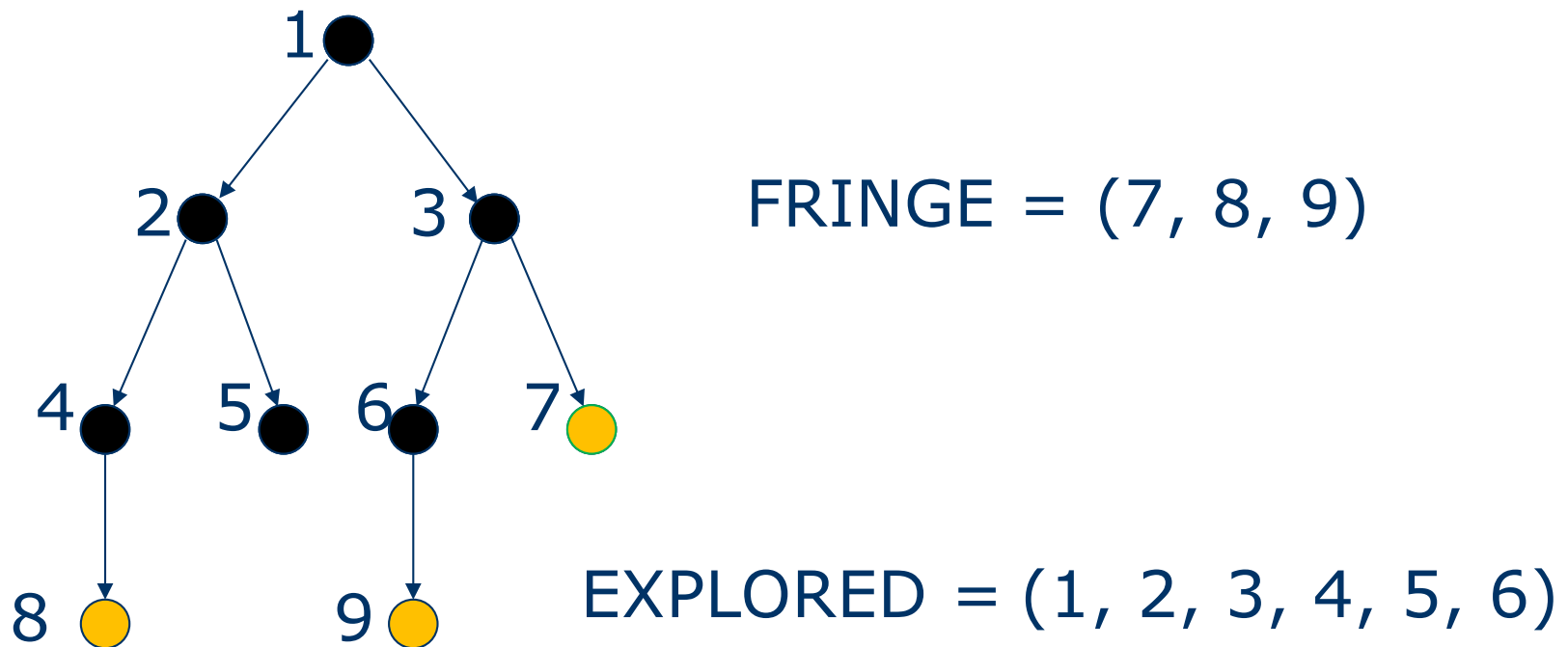
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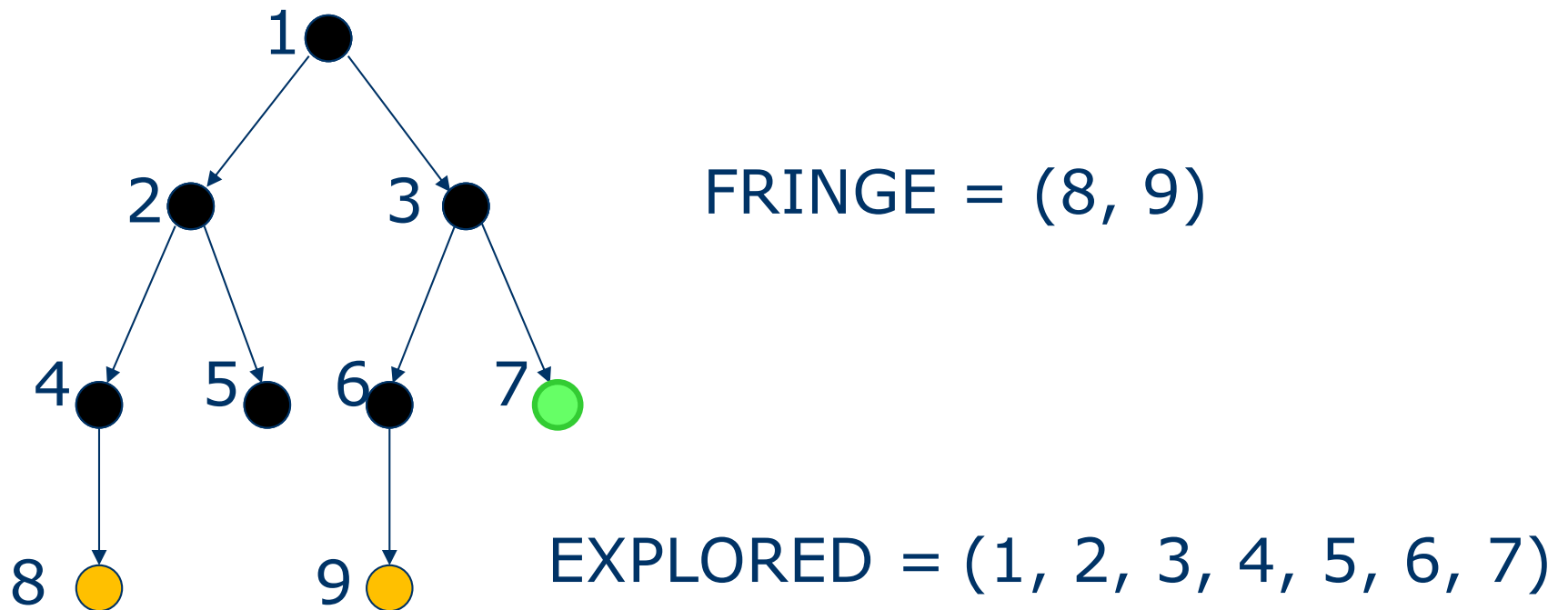
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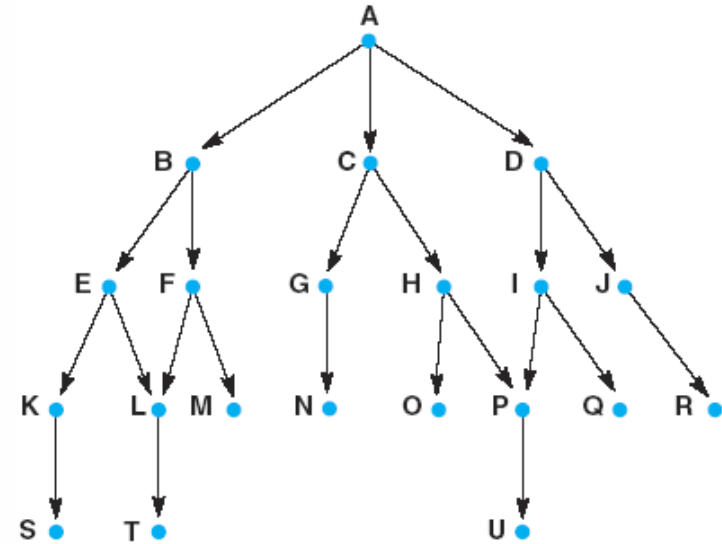


# Breadth-First Strategy

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# Another BFS Example (Exercise)



1. **open** = [A]; **closed** = [ ]
2. **open** = [B,C,D]; **closed** = [A]
3. **open** = [C,D,E,F]; **closed** = [B,A]
4. **open** = [D,E,F,G,H]; **closed** = [C,B,A]
5. **open** = [E,F,G,H,I,J]; **closed** = [D,C,B,A]
6. **open** = [F,G,H,I,J,K,L]; **closed** = [E,D,C,B,A]
7. **open** = [G,H,I,J,K,L,M] (as L is already on open); **closed** = [F,E,D,C,B,A]
8. **open** = [H,I,J,K,L,M,N]; **closed** = [G,F,E,D,C,B,A]
9. and so on until either U is found or **open** = [ ]

# Breadth First Search

## Observation

- If there is a **solution** breadth first search is **guaranteed** to find it
- **If** there are **several solutions** then breadth first search will **always find** the **shallowest goal state** first and if the cost of a solution is a non-decreasing function of the depth then it will always find the cheapest solution
- Just before starting to explore level  $n$ , the queue holds *all* the nodes at level  $n-1$
- When this method succeeds, it doesn't give the path to the goal

# Breadth-First Search

- ❑ Search Pattern: spread before dive

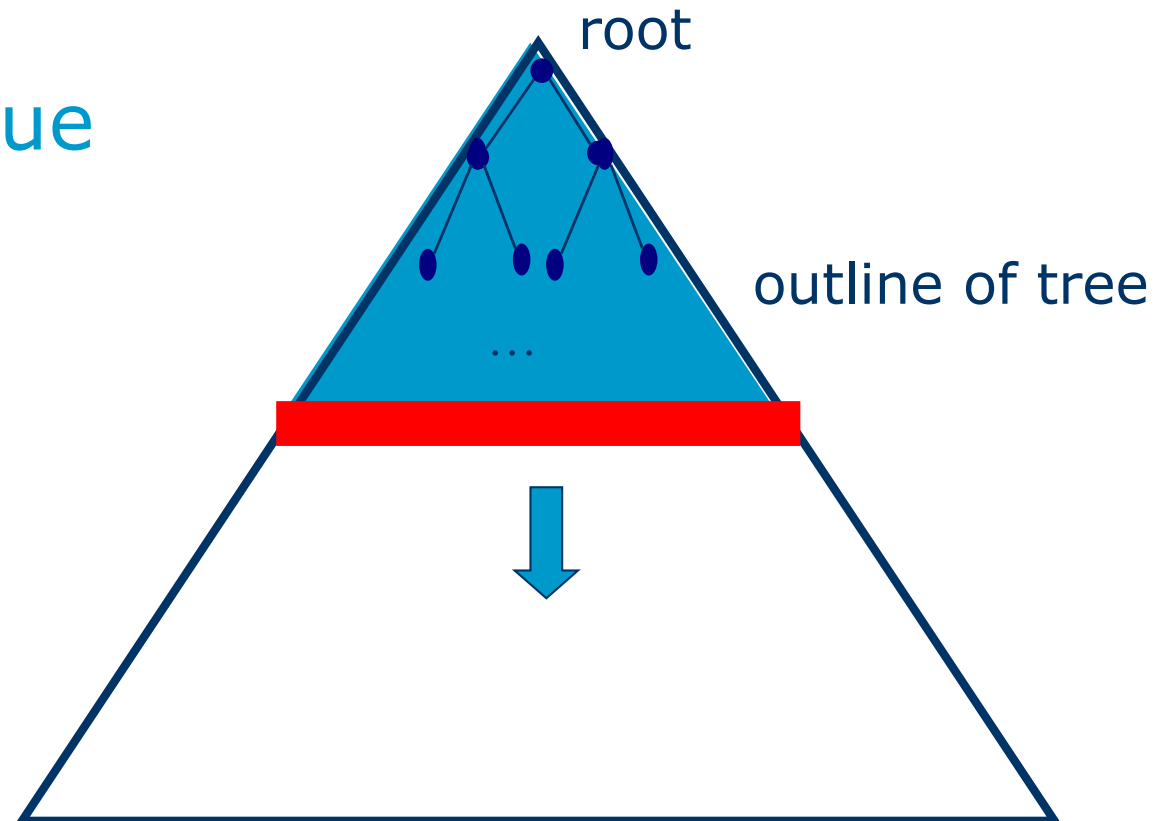
- ❑ Fringe in red

- ❑ Explored in blue

- ❑ Size of fringe

  - $O(b^d)$

  - exponential



# Evaluating Breadth First Search

## □ Evaluating against four criteria

- Complete? : Yes
- Optimal? : Yes
- Time Complexity:
  - assume branching factor is  $b$ , so root has  $b$  successors, each node at the next level has again  $b$  successors (total  $b^2$ ), ... and solution is at depth  $d$
  - worst case; expand all but the last node at depth  $d$
  - total number of nodes generated is:
$$1 + b + b^2 + \dots + b^d + b(b^d - 1) = O(b^{d+1})$$
- Space Complexity:  $O(b^{d+1})$
- Note : The space/time complexity could be less as the solution could be found anywhere on the  $d^{\text{th}}$  level.

# Evaluating Breadth First Search

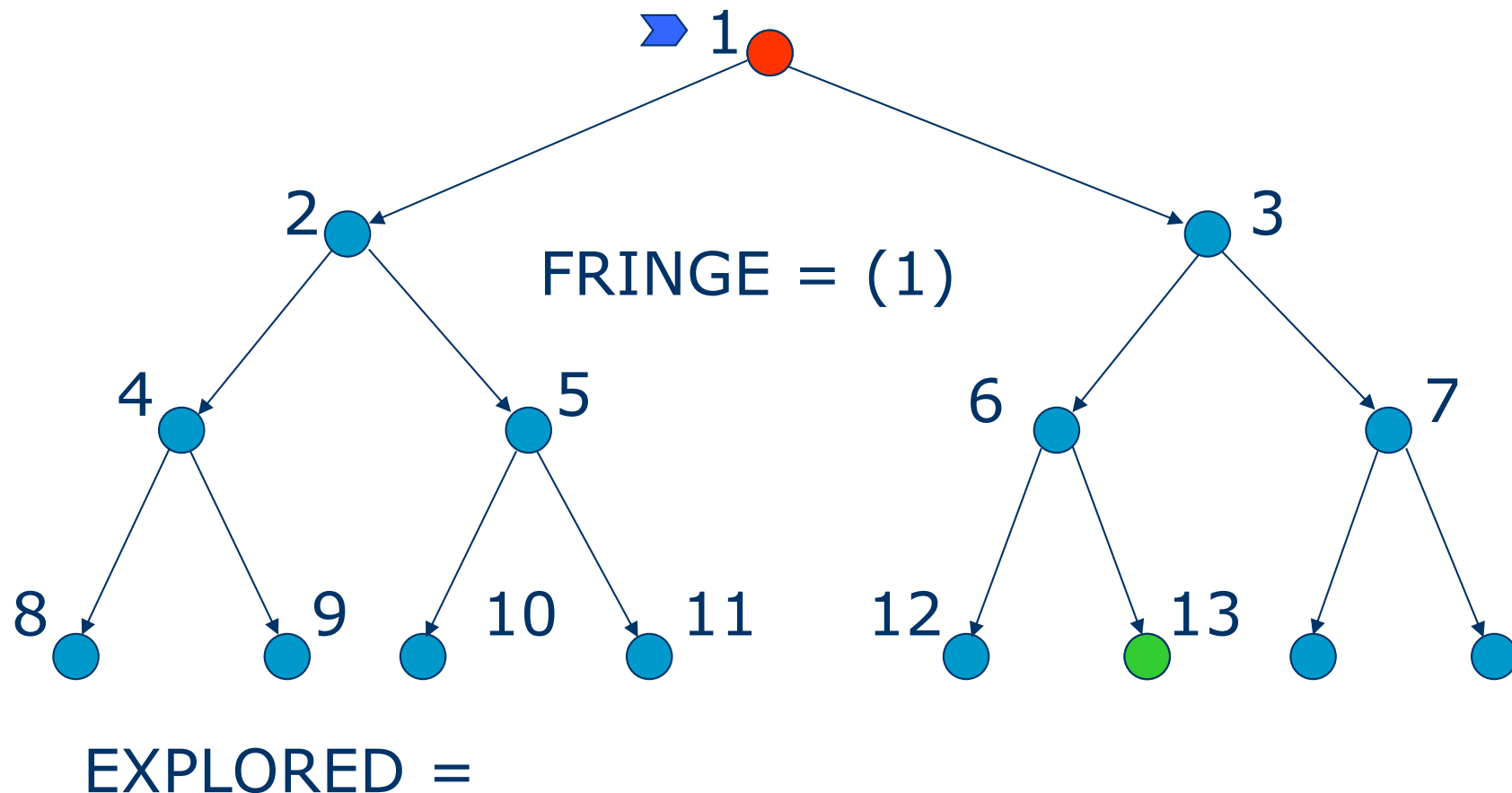
- Notes:
  - memory requirements are a bigger problem than execution time
  - exponential complexity search problems cannot be solved by uninformed search methods for any but the smallest instances.

DEPTH	NODES	TIME	MEMORY
2	1100	0.11 seconds	1 megabyte
4	111100	11 seconds	106 megabytes
6	$10^7$	19 minutes	10 gigabytes
8	$10^9$	31 hours	1 terabyte
10	$10^{11}$	129 days	101 terabytes
12	$10^{13}$	35 years	10 petabytes
14	$10^{15}$	3523 years	1 exabyte

Assumptions:  $b = 10$ ; 10,000 nodes/sec; 1000 bytes/node

# Depth-First Strategy

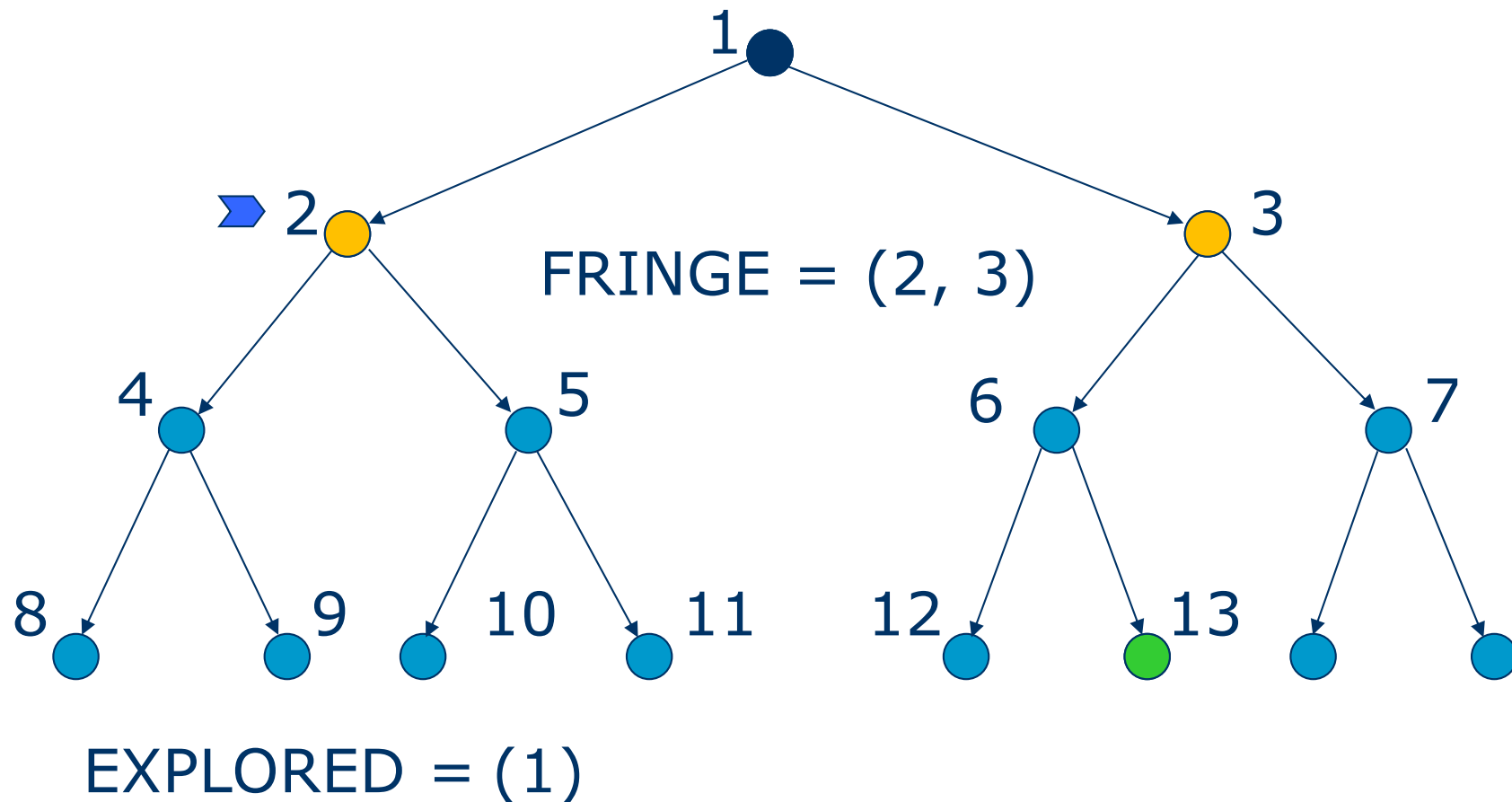
- explores a path all the way to a leaf before backtracking and exploring another path
- implementation: *fringe* is a LIFO queue (=stack)
- new nodes are inserted at the front of the queue





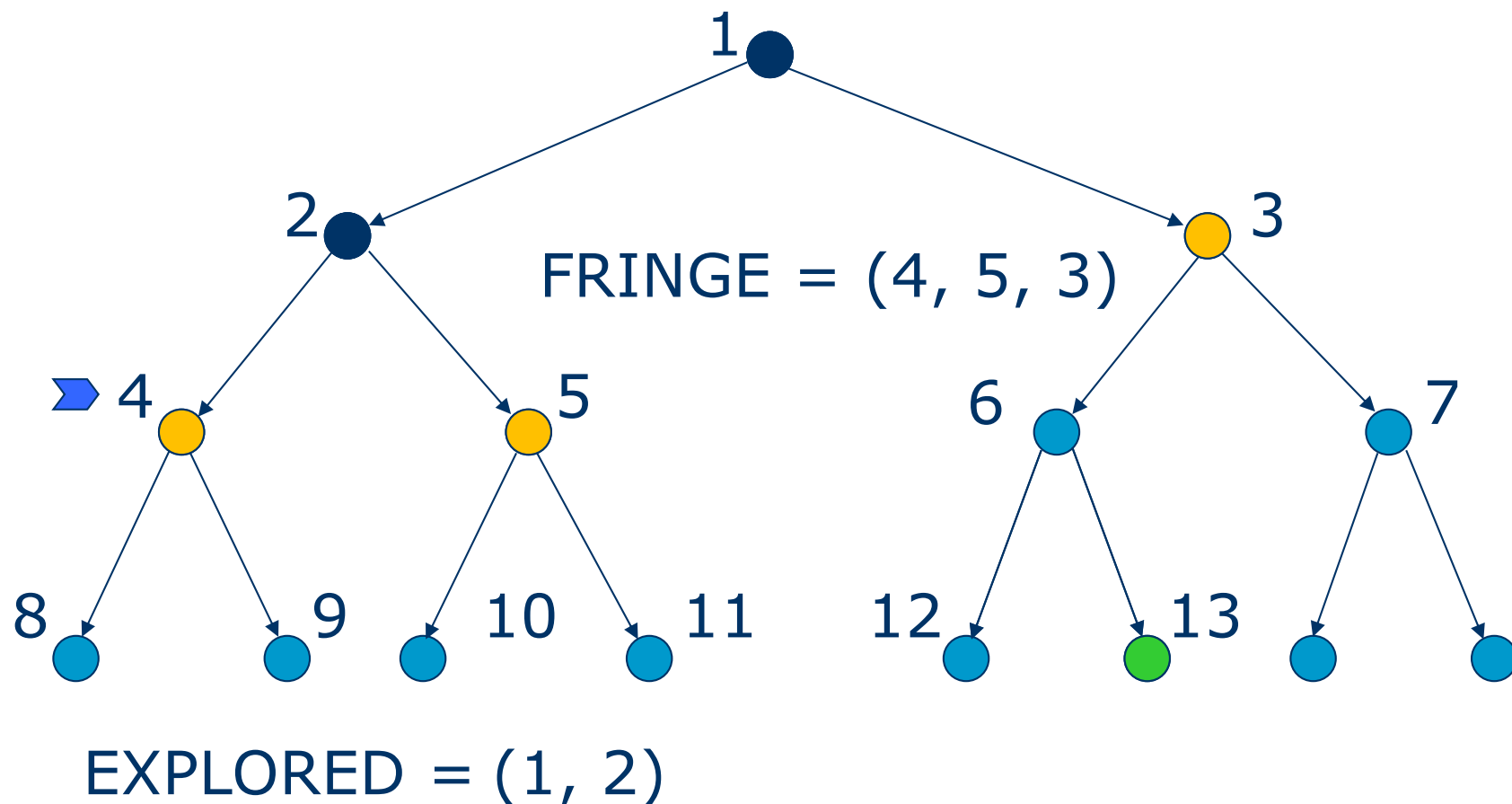
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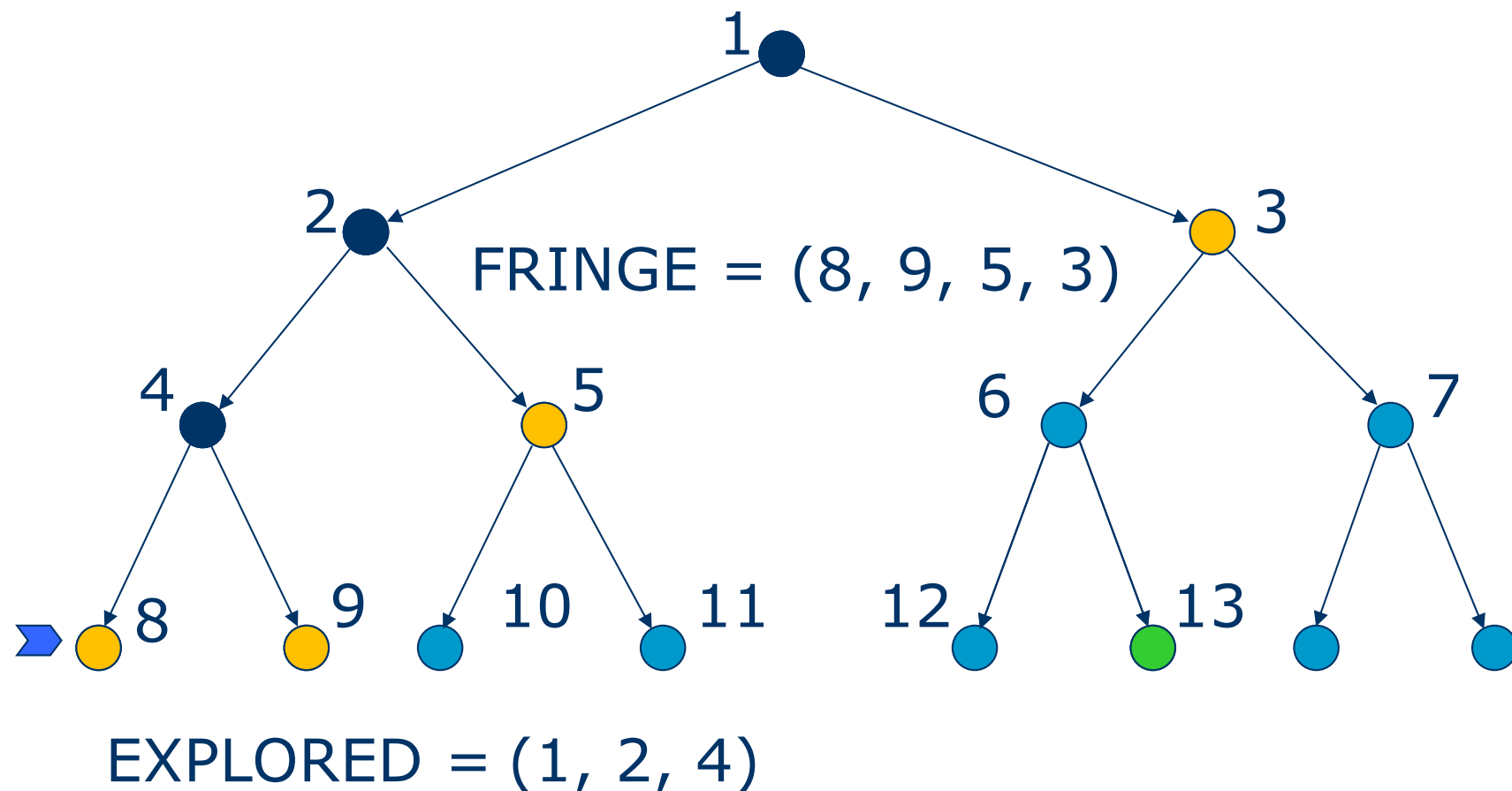
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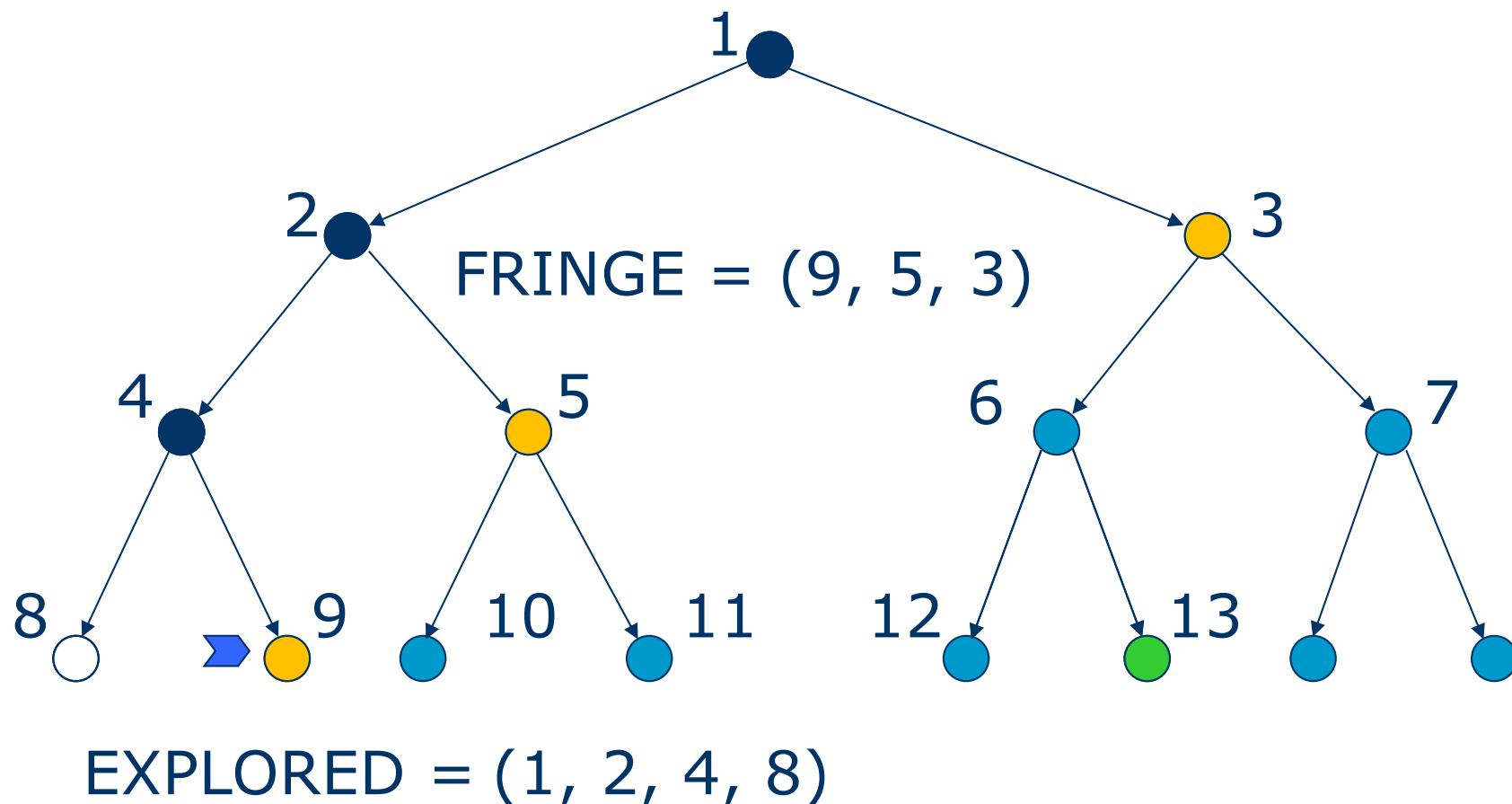
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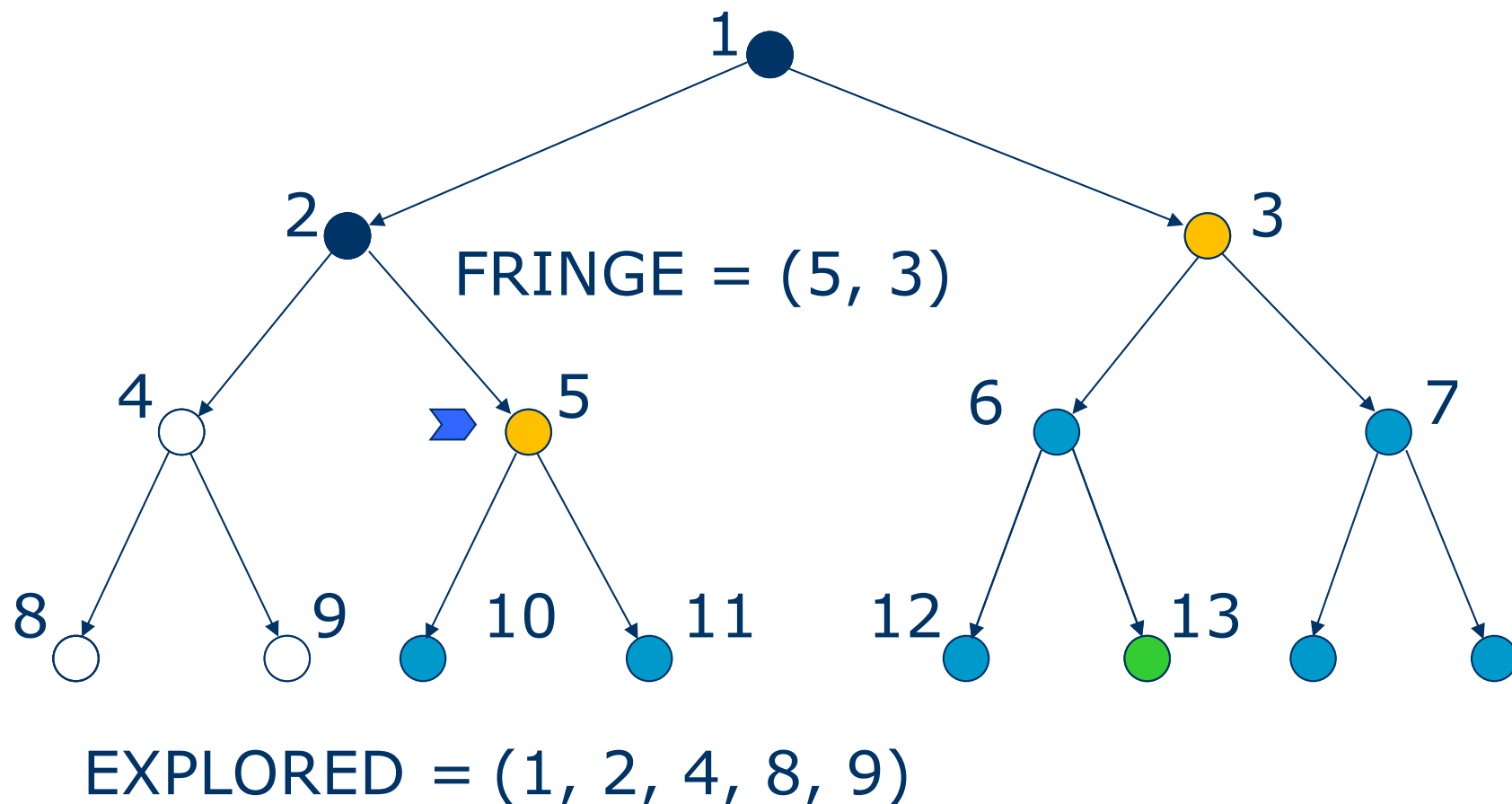
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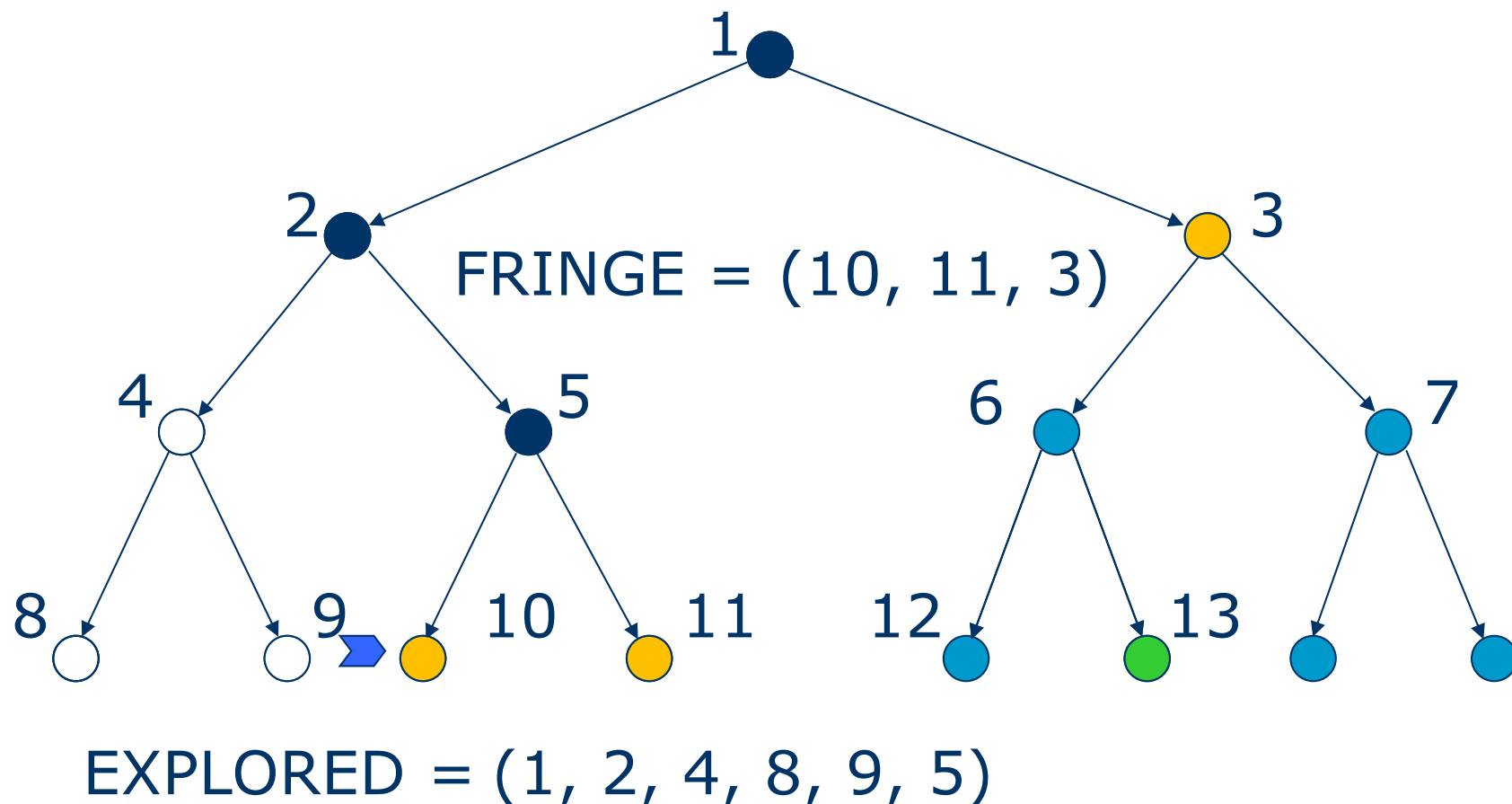
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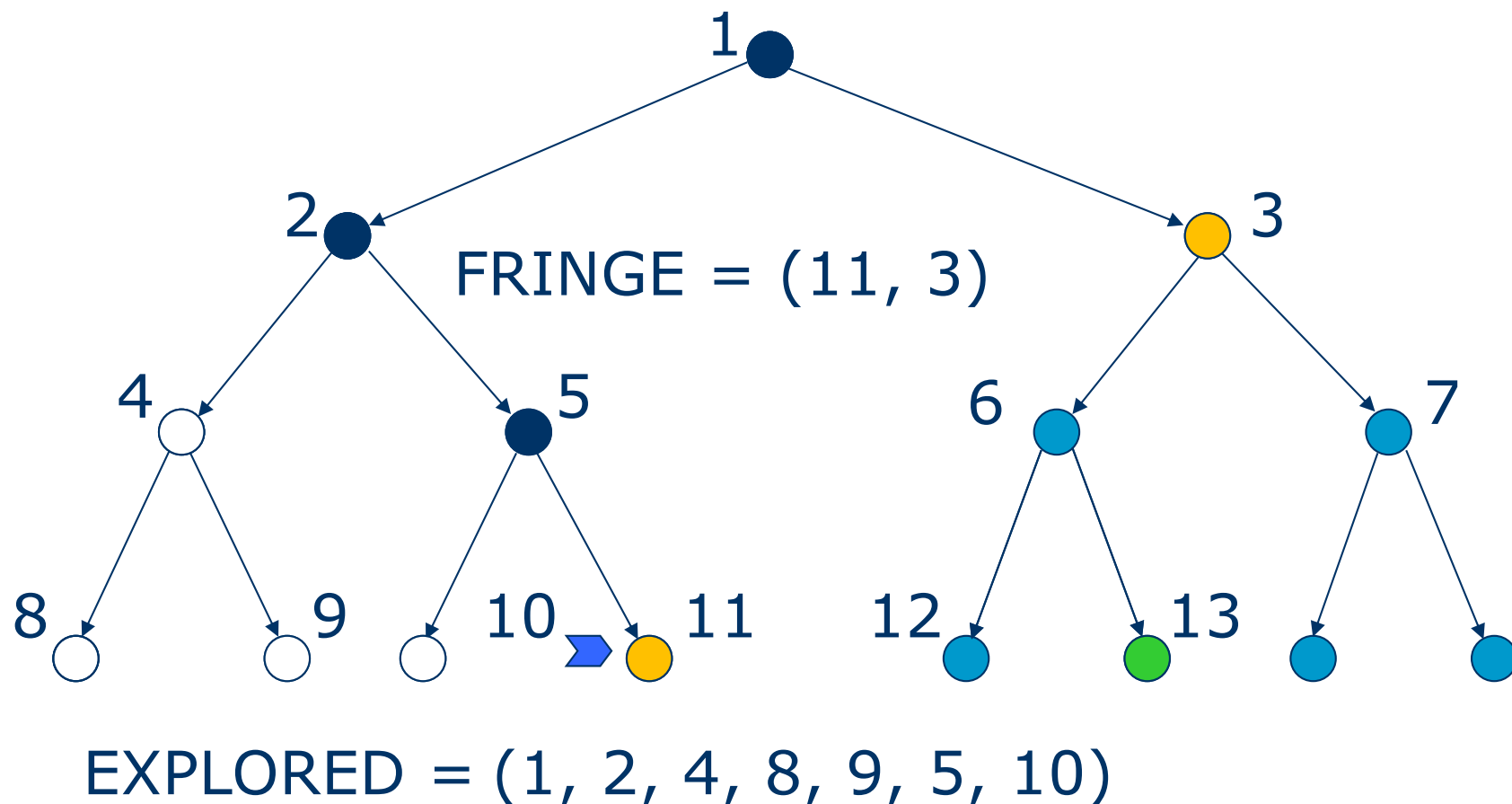
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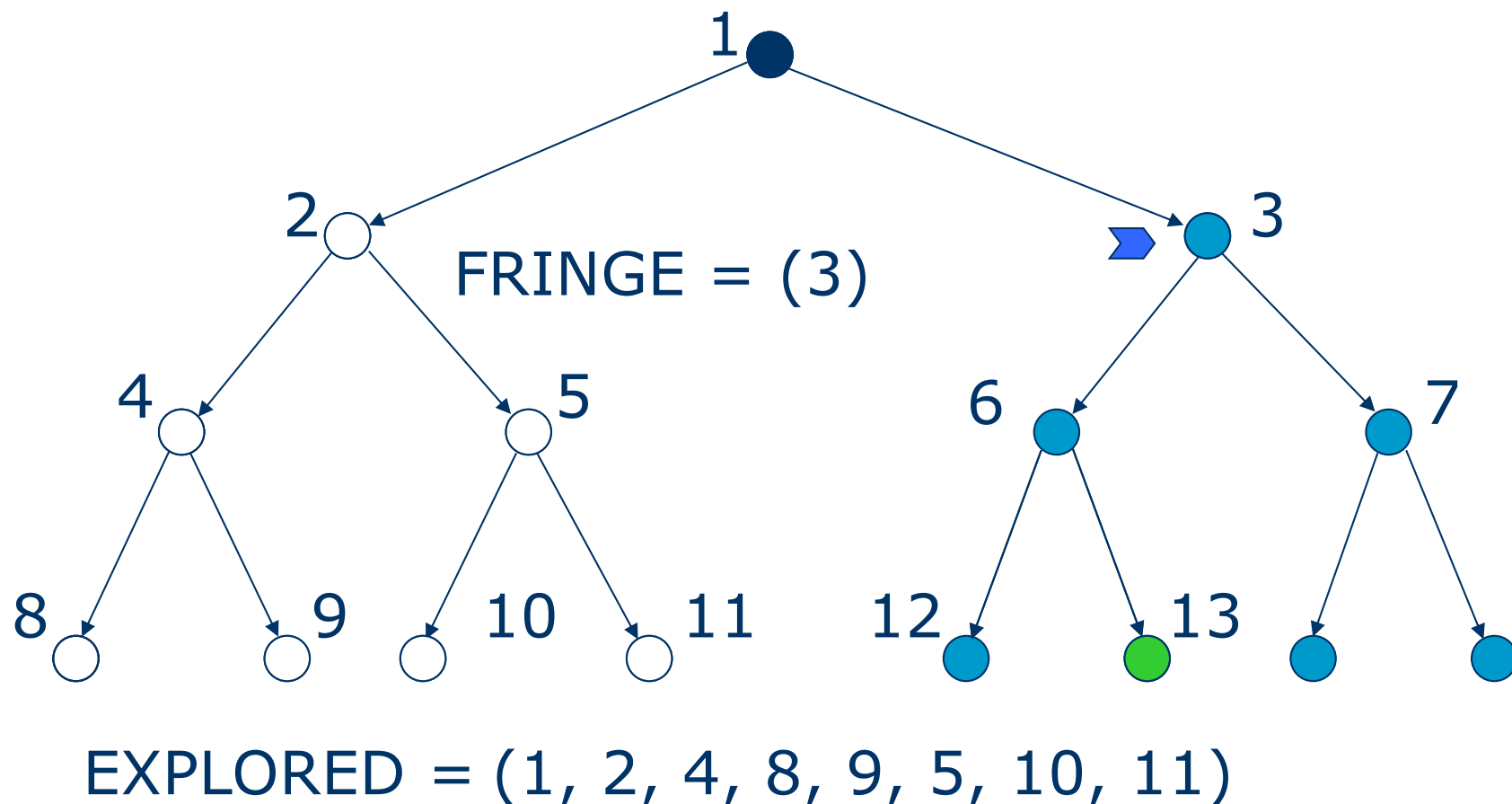
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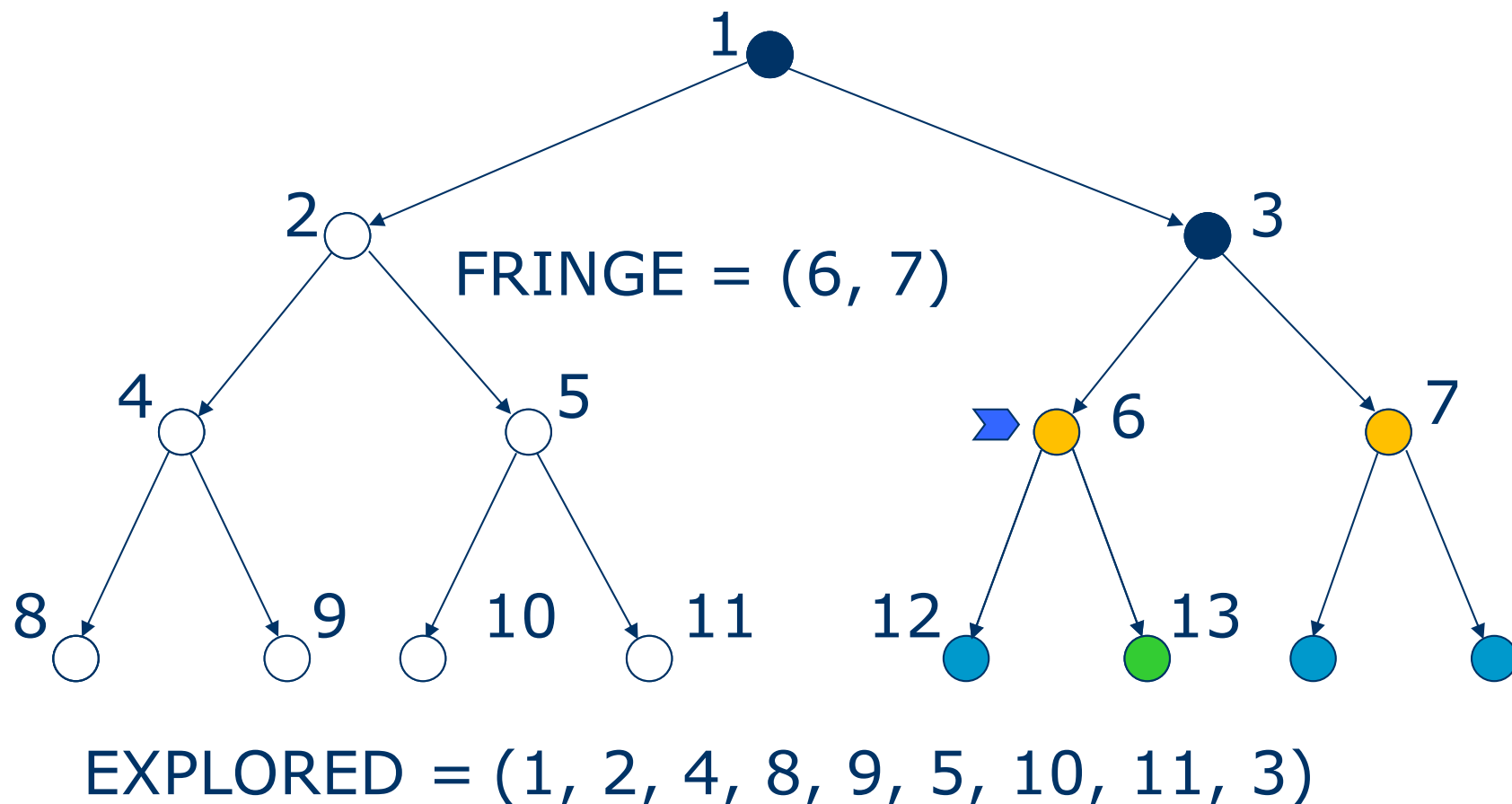
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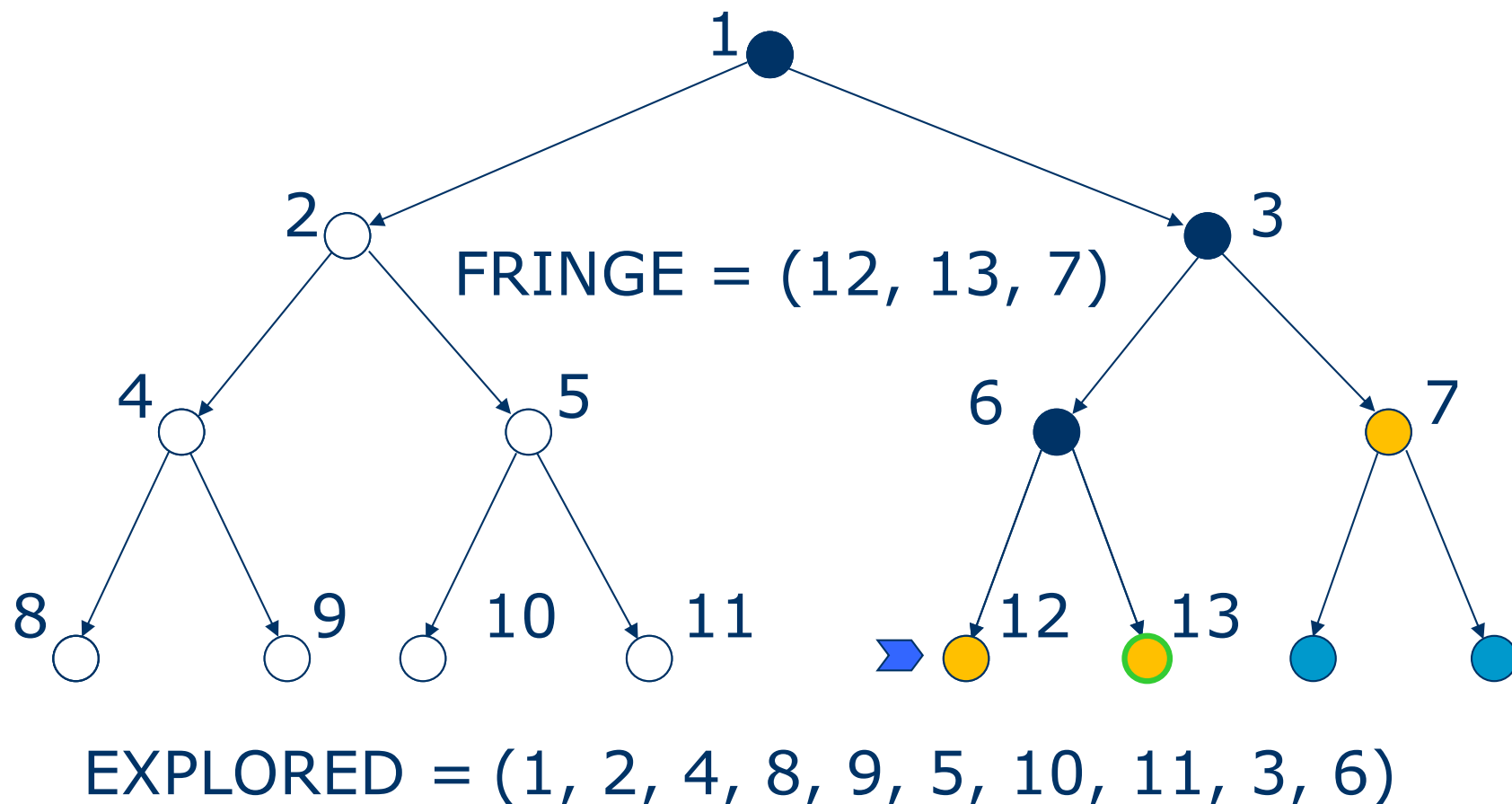
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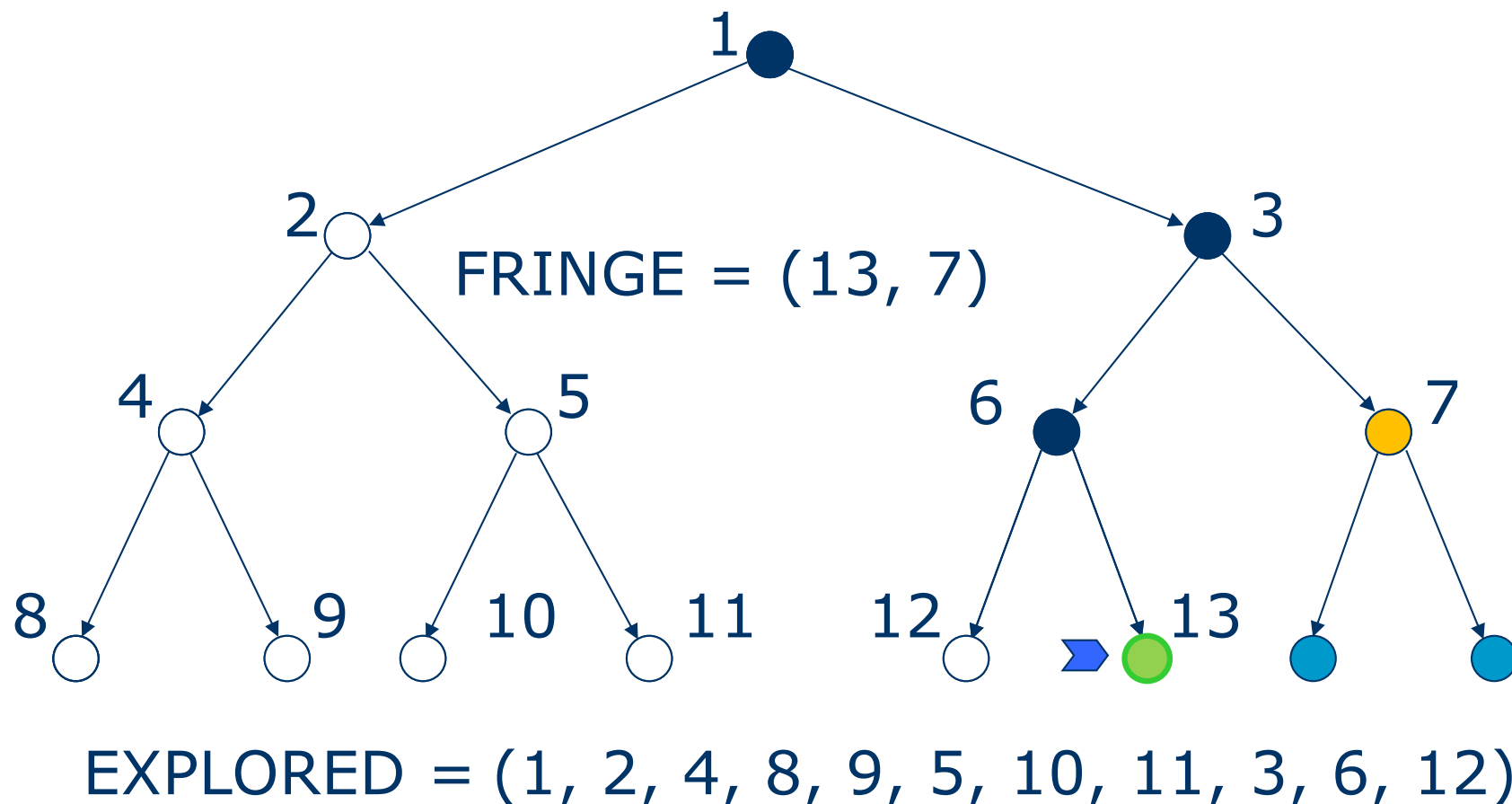
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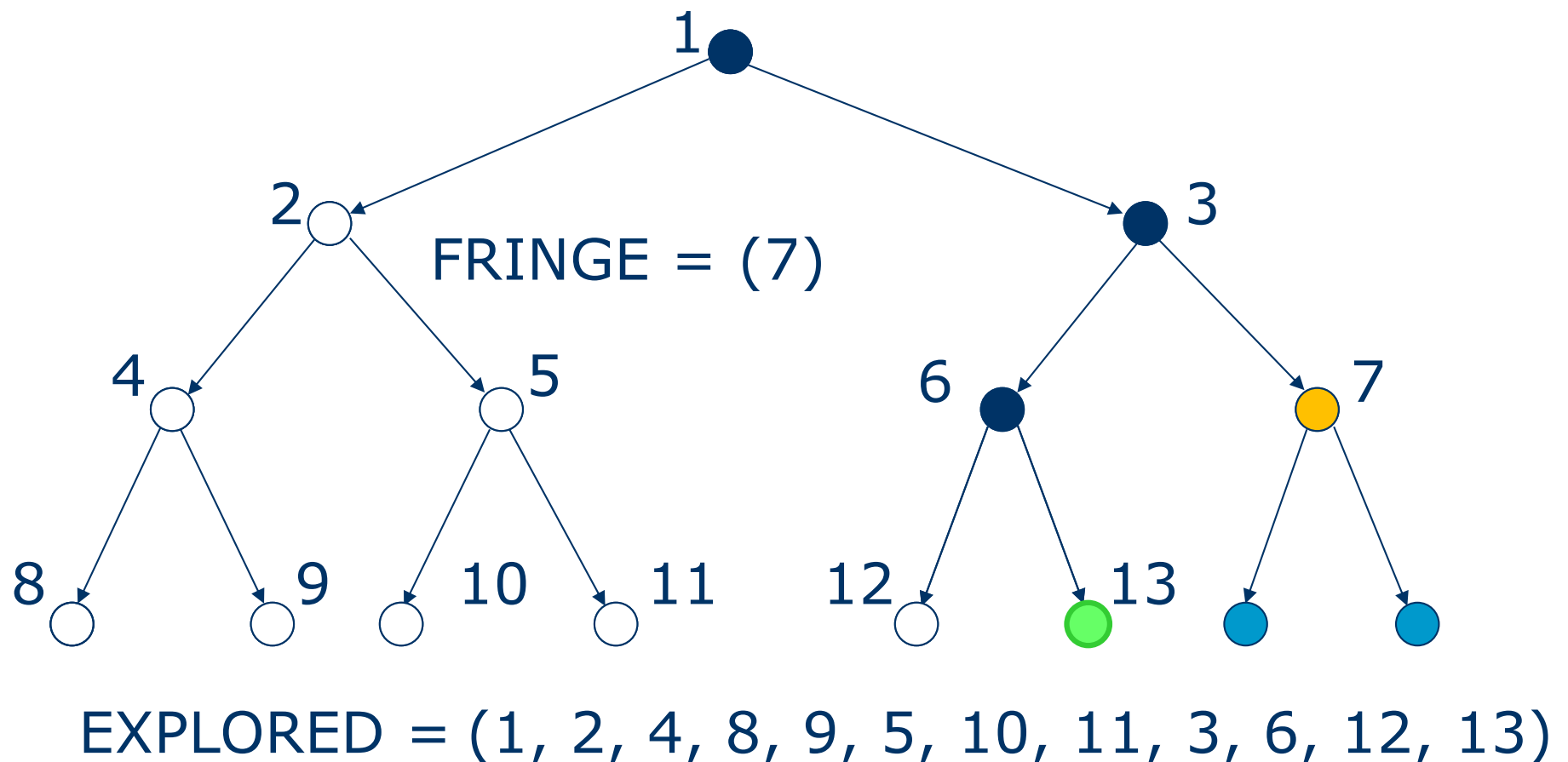
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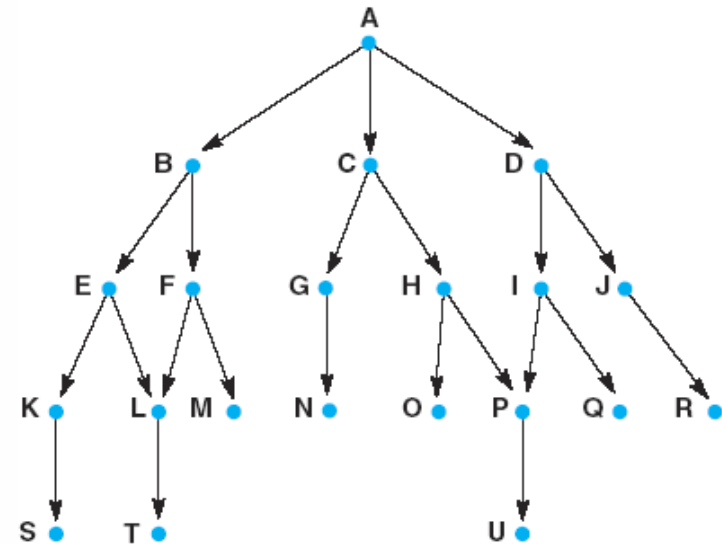


# Depth-First Strategy

- explores a path all the way to a leaf before backtracking and exploring another path
- implementation: *fringe* is a LIFO queue (=stack)



# Another DFS Example (Exercise)



1. **open = [A]; closed = [ ]**
2. **open = [B,C,D]; closed = [A]**
3. **open = [E,F,C,D]; closed = [B,A]**
4. **open = [K,L,F,C,D]; closed = [E,B,A]**
5. **open = [S,L,F,C,D]; closed = [K,E,B,A]**
6. **open = [L,F,C,D]; closed = [S,K,E,B,A]**
7. **open = [T,F,C,D]; closed = [L,S,K,E,B,A]**
8. **open = [F,C,D]; closed = [T,L,S,K,E,B,A]**
9. **open = [M,C,D], as L is already on closed; closed = [F,T,L,S,K,E,B,A]**
10. **open = [C,D]; closed = [M,F,T,L,S,K,E,B,A]**
11. **open = [G,H,D]; closed = [C,M,F,T,L,S,K,E,B,A]**

# Depth-First Search

## Observations

- Only needs to store the path from the root to the leaf node as well as the unexpanded nodes. For a state space with a branching factor of  $b$  and a maximum depth of  $m$ , DFS requires **storage** of  $bm$  nodes
- Time complexity for DFS is  $b^m$  in the worst case
- If DFS goes down a infinite branch it will not terminate if it does not find a goal state
- If it does find a solution there may be a better solution at a lower level in the tree. Therefore, depth first search is **neither complete nor optimal**
- When this method succeeds, it doesn't give the path to the goal

# Depth-First Search

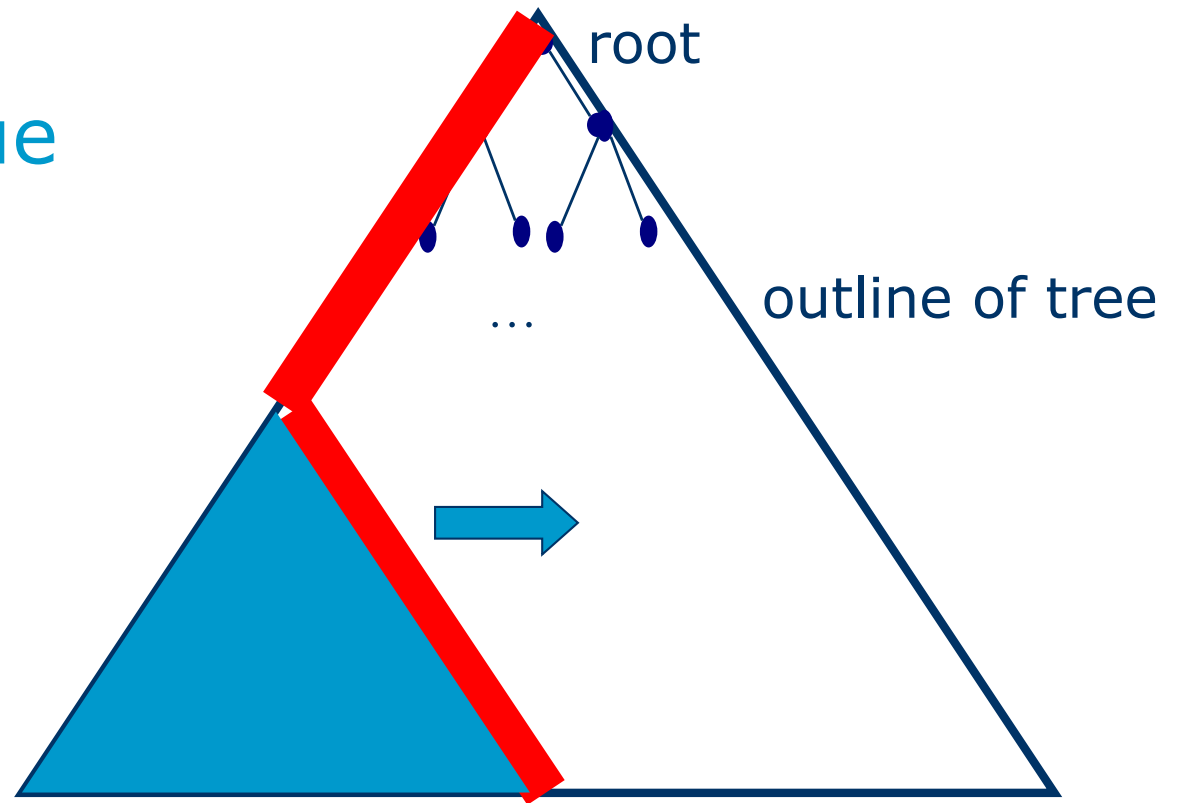
❑ Search Pattern: dive before spread

❑ Fringe in red

❑ Explored in blue

❑ Size of fringe

- $O(bd)$
- linear



# Depth Limited Search (vs DFS)

- DFS may never terminate as it could follow a path that has no solution on it and is infinite
- DLS solves this by imposing a depth limit, at which point the search terminates for that particular branch



# Depth Limited Search

## Observations

- Can be implemented by the general search algorithm using operators which keep track of the depth
- Choice of depth parameter is important
  - too deep is wasteful of time and space
  - too shallow and we may never reach a goal state
- If the depth parameter,  $l$ , is set deep enough then we are guaranteed to find a solution if one exists
  - therefore it is complete if  $l \geq d$  ( $d$ =depth of solution)
- Space requirements are  $O(bl)$
- Time requirements are  $O(b^l)$
- DLS is not optimal

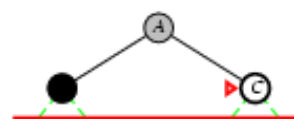
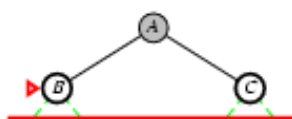
# Iterative Deepening Search (vs DLS)

- On the Romania map there are 20 towns so any town is reachable in 19 steps
- In fact, any town is reachable in 9 steps
- Setting a depth parameter to 19 is obviously wasteful if using DLS
- **IDS** remedies the issue of choosing the right depth limit by **sequentially trying all depth limits**, first depth 0, then 1, then 2, and so on, **until a solution is found**.
- In effect it is **combining BFS and DFS**

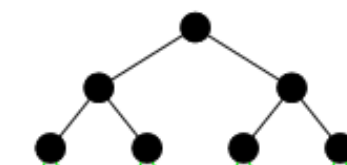
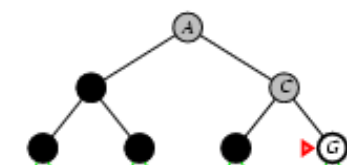
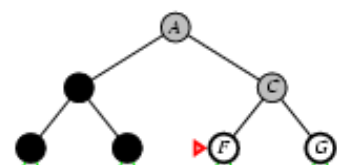
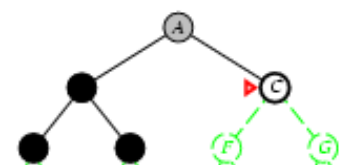
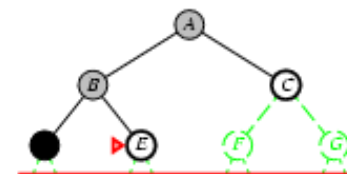
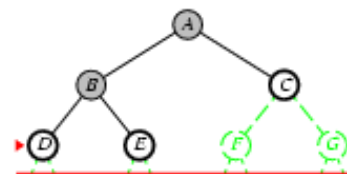
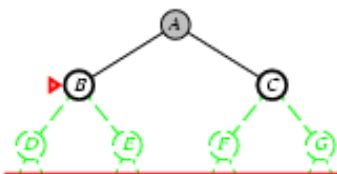
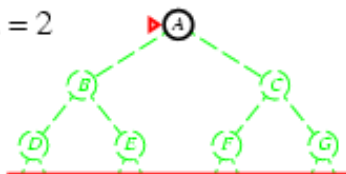
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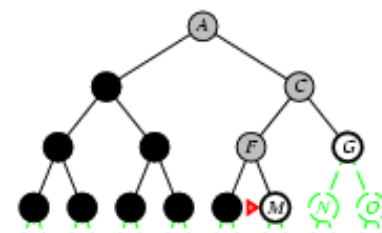
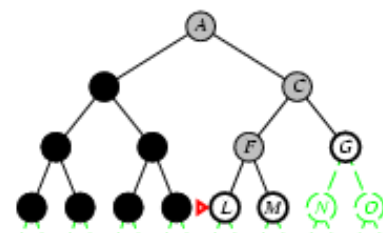
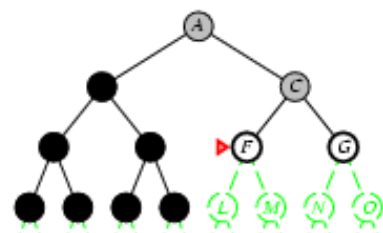
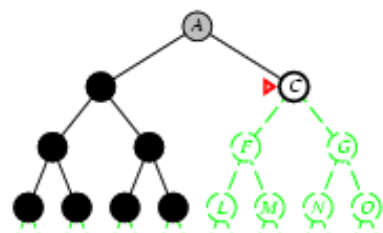
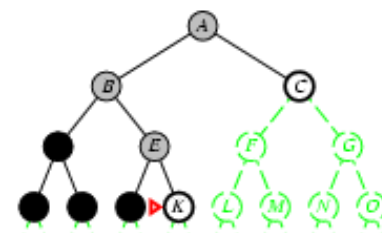
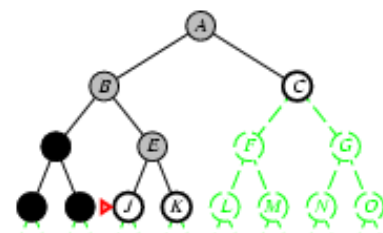
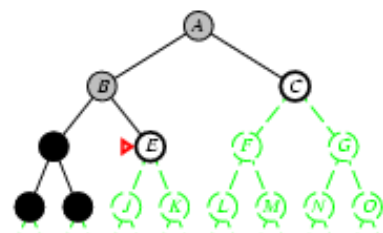
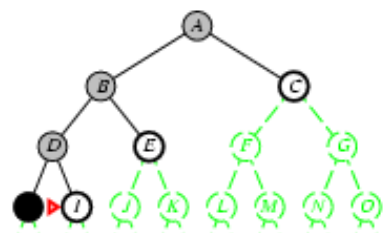
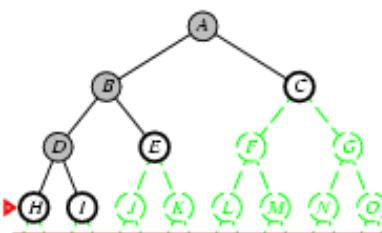
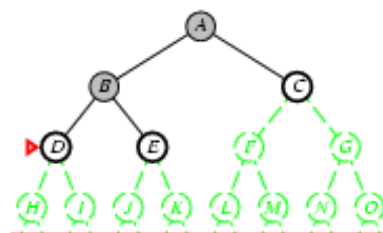
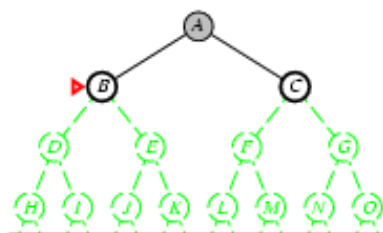
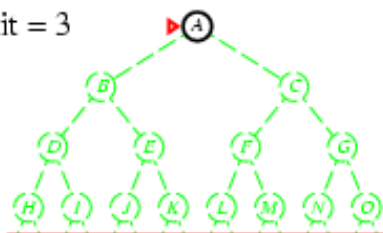
Limit = 1



Limit = 2



Limit = 3



# Iterative Deepening Search

## Observations

- ❑ IDS may seem wasteful as it is expanding the same nodes many times. In fact, IDS expands just 11% more nodes than those by BFS or DLS when  $b=10$ 
  - If  $b = 10$ ,  $d = 5$ ,  $N(\text{IDS}) = 123,450$ ,  $N(\text{BFS}) = 111,110$
  - Time Complexity =  $O(b^d)$
  - Space Complexity =  $O(bd)$
- ❑ For **large search spaces**, where the depth of the solution is not known, IDS is normally the preferred search method

# State Space Search

- Two main approaches to searching a state space
  - **Data-driven search** which starts from an initial state and uses actions that are allowed to move forward until a goal is reached. Also known as ***forward chaining***
  - **Goal-driven search** which starts at the goal and work back toward a start state, by seeing what moves could have led to the goal state. Also known as ***backward chaining***
- Both search the same state space and produce the same result, however the order and actual number of states searched can be different

# State Space Search

- Goal-driven search
  - **Goal** can be **clearly** and **easily formulated**, e.g. theorem prover; finding an exit path from a maze; medical diagnosis (with known conditions)
  - Problem data are not given but must be acquired by the problem solver
- Data-driven search
  - Goal is not clear or hard to formulate precisely
  - All or most of the data are given in the initial problem statement
  - Large number of potential goals

# Example (Exercise)

Suppose the goal node for the state space tree in Figure 1 is M. List the *order* in which nodes will be *visited* for the following.

- (i) breadth-first search; [3 marks]
- (ii) depth-limited search with depth limit of 2 (assume the root of the tree is at depth of 0); and [3 marks]
- (iii) depth-first iterative deepening search. [4 marks]

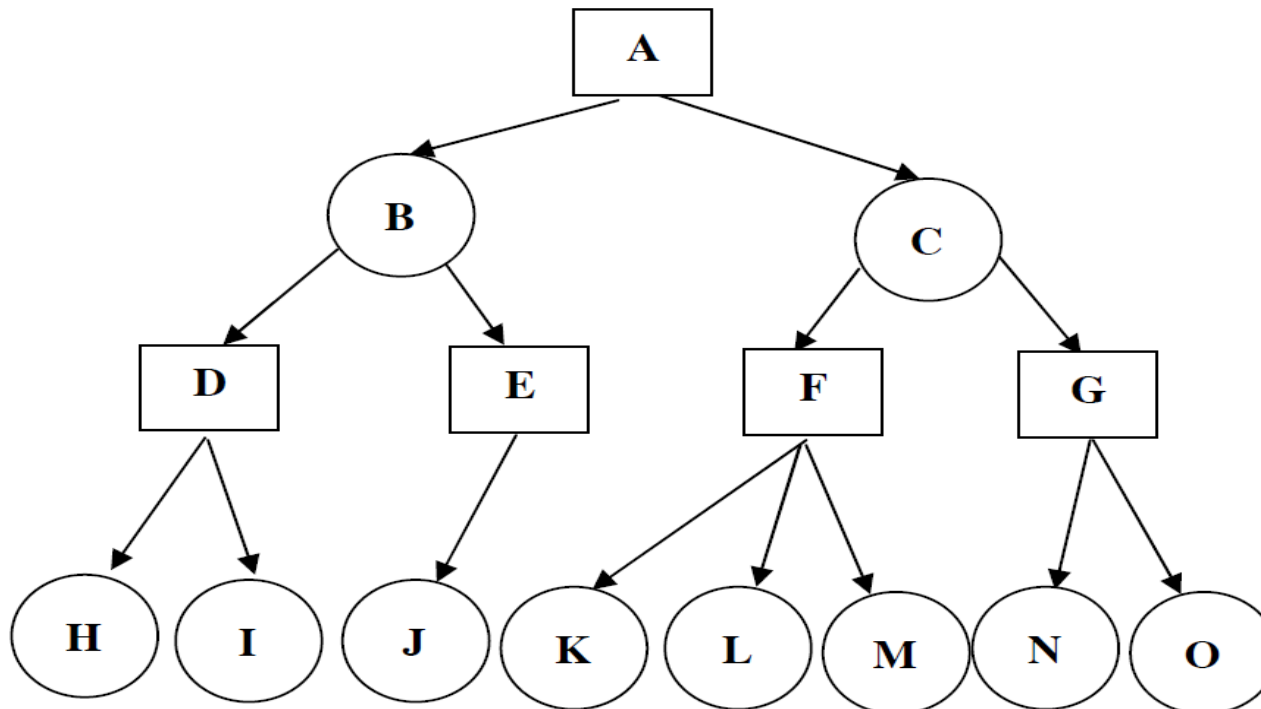


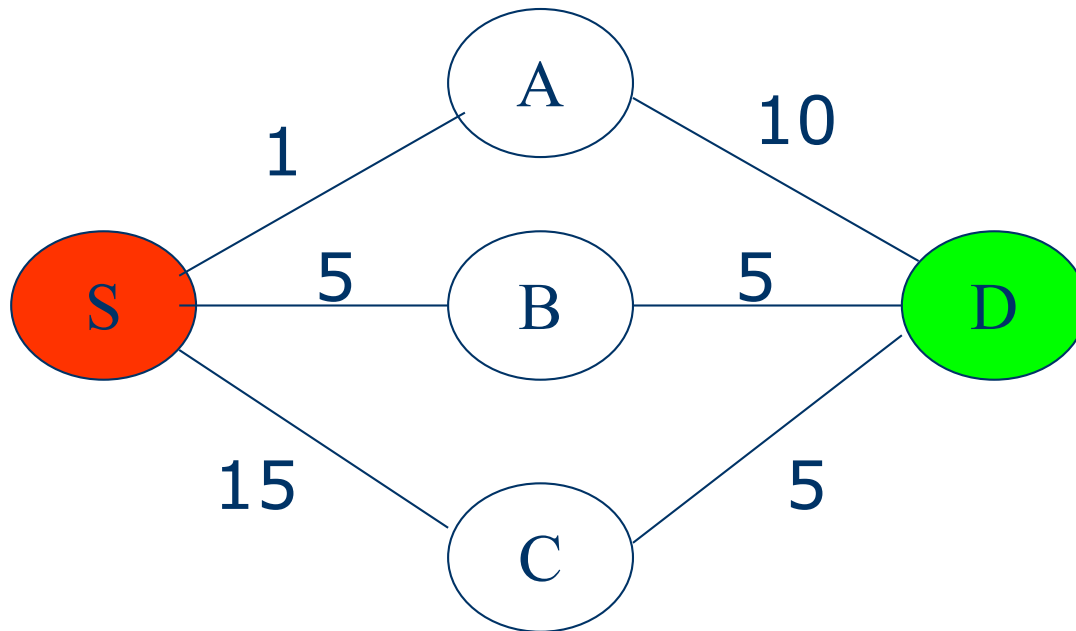
Figure 1.

# Uniform Cost Search

- **BFS** will find the **optimal** (shallowest) solution provided that ***all step costs are equal***.
- For other cases, **Uniform Cost Search (a variant of Dijkstra's algorithm for graph search)** can be used to find the cheapest solution provided that ***the path cost grows monotonically*** (i.e. never decreases as one proceeds along the path).
- Instead of expanding the shallowest node, Uniform Cost Search works by expanding the node  $n$  with the lowest path cost on the fringe.



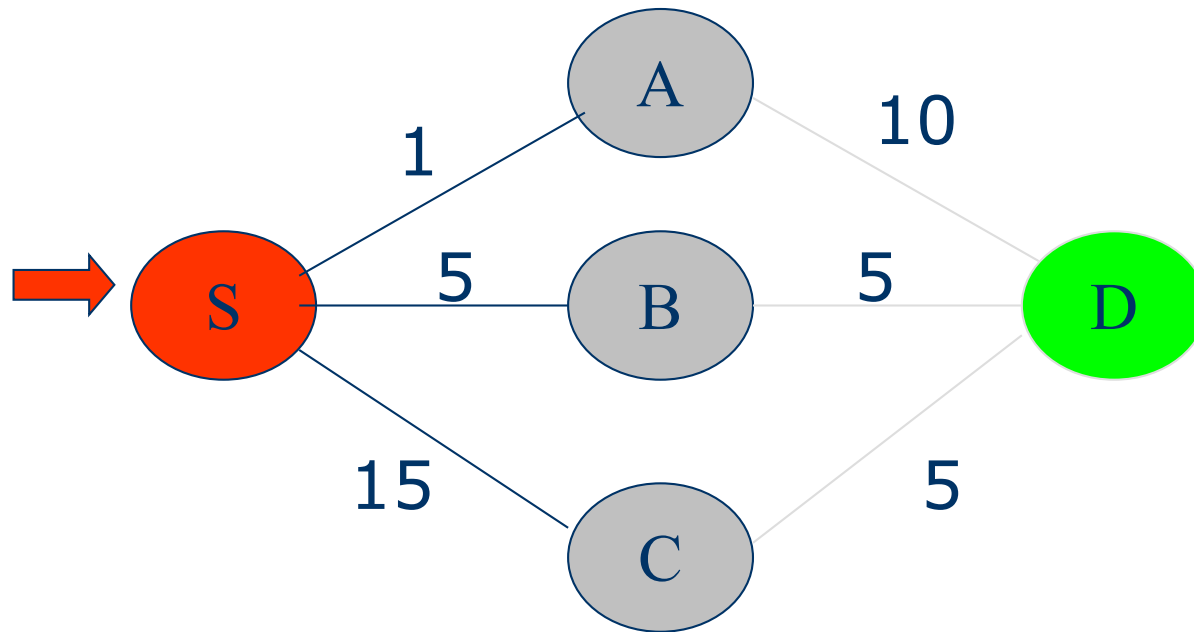
# Uniform Cost Search



Similar to BFS except that it sorts (ascending order) the nodes in the fringe according to the cost of the node, where cost is the path cost,  $g(n)$ .

# Uniform Cost Search

Fringe =  $[S_0]$

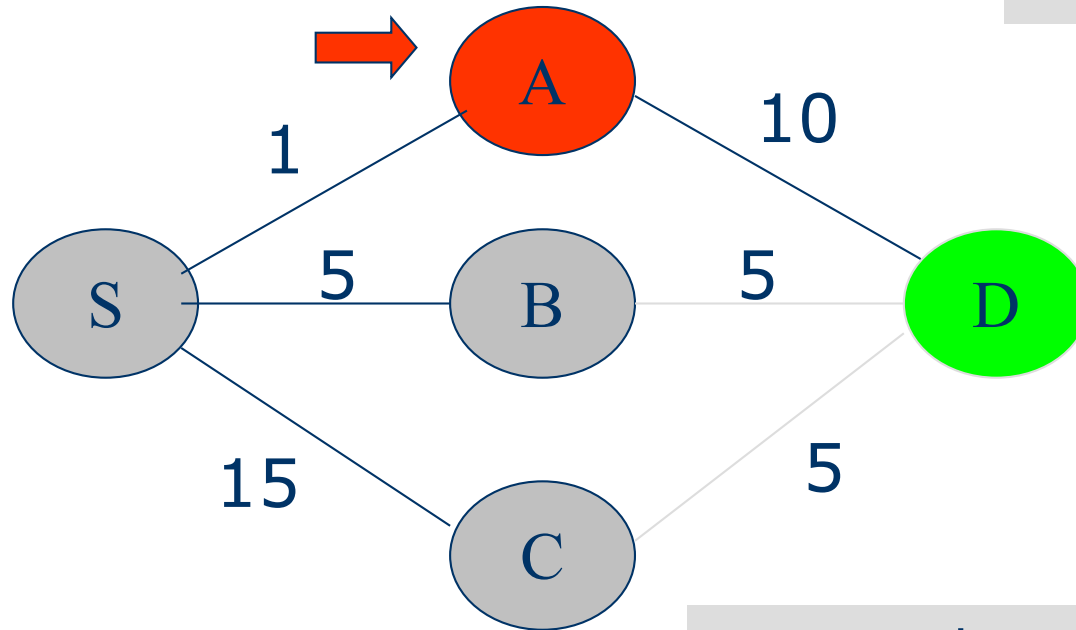


Updated Fringe =  $[A_1, B_5, C_{15}]$

Next Node = Head of Fringe = S, S is not goal

Successor(S) = {C, B, A} = expand(S)  
but sort them according to path cost.

# Uniform Cost Search



Fringe =  $[A_1, B_5, C_{15}]$

Updated Fringe =  $[B_5, D_{11}, C_{15}]$

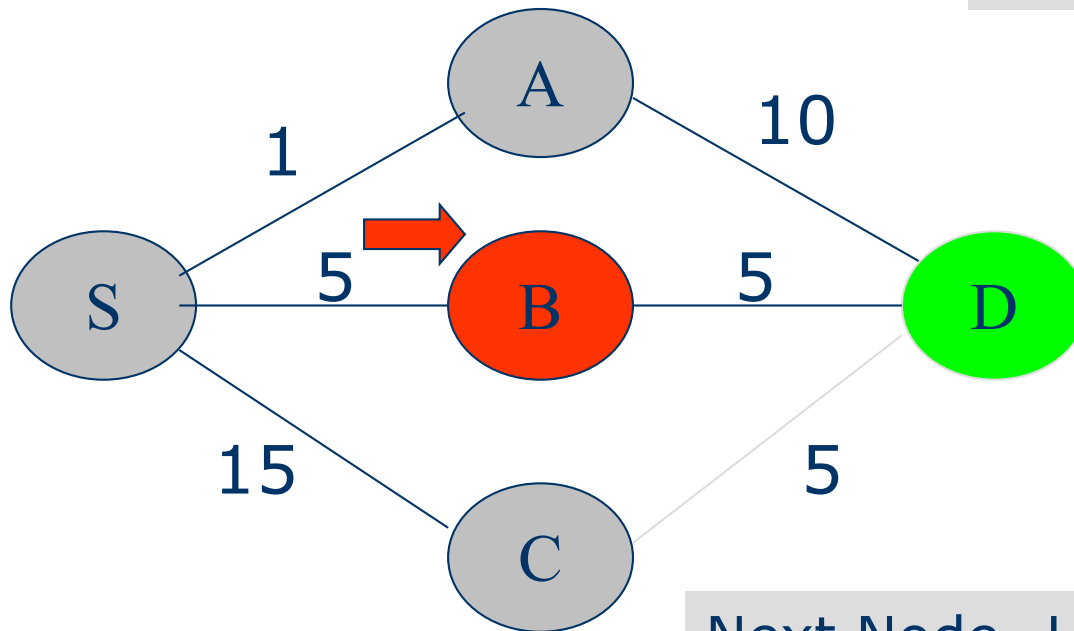
Next Node = Head of Fringe = A, A is not goal

Successor(A) = {D} = expand(A)

Sort the queue according to path cost.

# Uniform Cost Search

Fringe =  $[B_5, D_{11}, C_{15}]$



Updated Fringe =  $[D_{10}, D_{11}, C_{15}]$

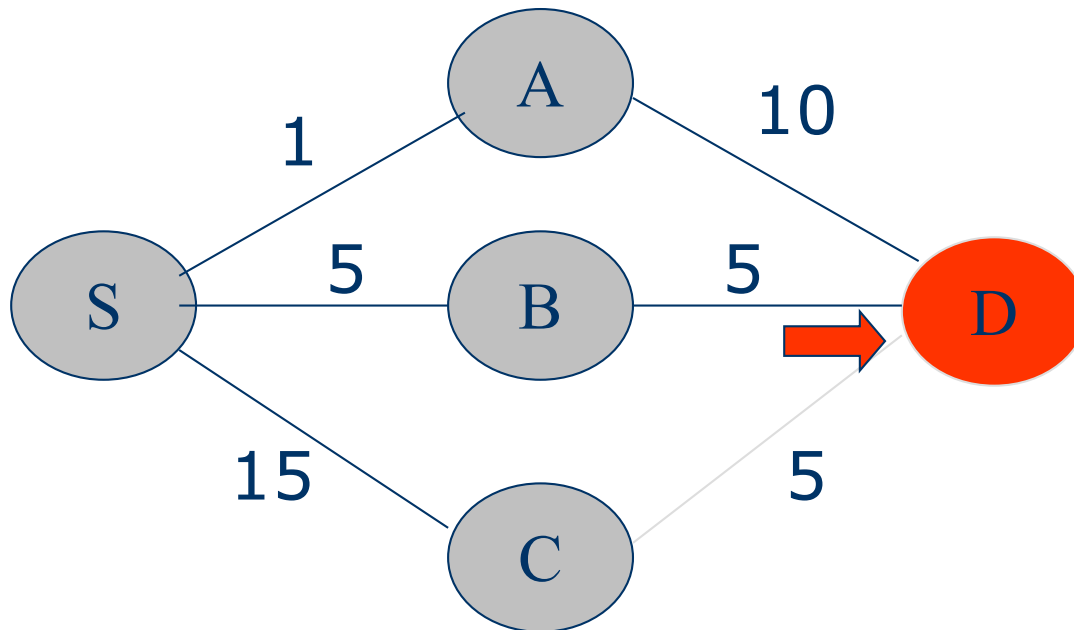
Next Node = Head of Fringe = B, B is not goal

Successor(B) = {D} = expand(B)

Sort the queue according to path cost.

# Uniform Cost Search

Fringe = [**D**<sub>10</sub>, D<sub>11</sub>, C<sub>15</sub>]



Always finds the  
cheapest solution

Next Node=Head of Fringe=D,  
D is a GOAL (cost 10 = 5+5)  
S→B→D

# Example (Exercise)

The start node and the goal node for the state space in Figure 1 are S and G respectively.

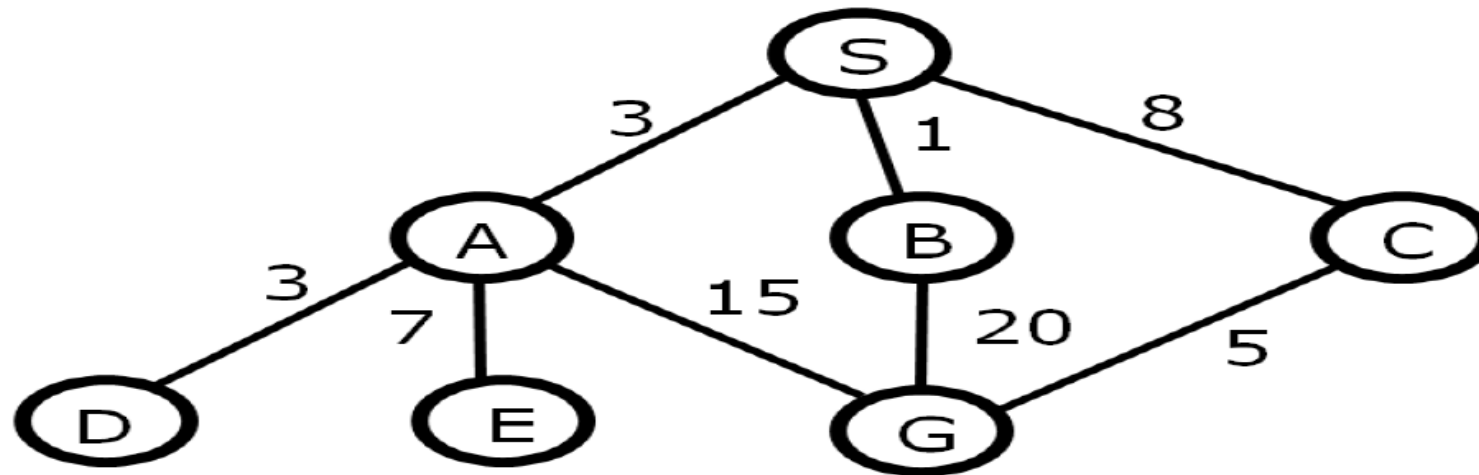


Figure 1.

For each of the search strategies below, work out the *solution path* and the *number of nodes expanded*. Show at each step what *nodes* are in the *queue*. Assume that processed nodes will be ignored.

- (i) *depth-first search*;
- (ii) *uniform cost search*.

# Tree Search Algorithm

```
function TREE-SEARCH(problem, fringe) return a solution or failure
  fringe ← INSERT(MAKE-NODE(INITIAL-STATE[problem]), fringe)
  loop do
    if EMPTY?(fringe) then return failure
    node ← REMOVE-FIRST(fringe)
    if GOAL-TEST[problem] applied to STATE[node] succeeds
      then return SOLUTION(node)
    fringe ← INSERT-ALL(EXPAND(node, problem), fringe)
```

```
function EXPAND(node, problem) return a set of nodes
  successors ← the empty set
  for each <action, result> in SUCCESSOR-FN[problem](STATE[node]) do
    s ← a new NODE
    STATE[s] ← result
    PARENT-NODE[s] ← node
    ACTION[s] ← action
    PATH-COST[s] ← PATH-COST[node] + STEP-COST(node, action, s)
    DEPTH[s] ← DEPTH[node]+1
    add s to successors
  return successors
```

# Graph Search Algorithm

```
function GRAPH-SEARCH(problem, fringe) return a solution or failure
  closed  $\leftarrow$  an empty set
  fringe  $\leftarrow$  INSERT(MAKE-NODE(INITIAL-STATE[problem]), fringe)
  loop do
    if EMPTY?(fringe) then return failure
    node  $\leftarrow$  REMOVE-FIRST(fringe)
    if GOAL-TEST[problem] applied to STATE[node] succeeds
      then return SOLUTION(node)
    child  $\leftarrow$  the empty set
    for each <action, result> in SUCCESSOR-FN[problem](STATE[node]) do
      if result not in closed or fringe then do
        s  $\leftarrow$  a new NODE
        STATE[s]  $\leftarrow$  result
        PARENT-NODE[s]  $\leftarrow$  node
        ACTION[s]  $\leftarrow$  action
        PATH-COST[s]  $\leftarrow$  PATH-COST[node] + STEP-COST(node, action, s)
        DEPTH[s]  $\leftarrow$  DEPTH[node]+1
        add s to child
  fringe  $\leftarrow$  INSERT-ALL(child, fringe)
```



# Summary

Evaluation	Breadth First	Uniform Cost	Depth First	Depth Limited	Iterative Deepening
Time	$B^D$	$B^D$	$B^M$	$B^L$	$B^D$
Space	$B^D$	$B^D$	BM	BL	BD
Optimal?	Yes	Yes	No	No	Yes
Complete?	Yes	Yes	No	Yes, if $L \geq D$	Yes

B = Branching factor

D = Depth of solution

M = Maximum depth of the search tree

L = Depth Limit

# Summary

- Repeated states
- Evaluation of various search strategies
  - Blind searches
    - ✓ Breath-first
    - ✓ Depth-first
    - ✓ Depth limited
    - ✓ Iterative deepening
    - ✓ Uniform cost
- Algorithmic Implementation

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