

Normalisation (Functional Dependencies)

G51DBI – Databases and Interfaces

Yorgos Tzimiropoulos

yorgos.tzimiropoulos@nottingham.ac.uk

This Lecture

- Normalisation
 - Functional Dependencies

2

Redundancy and Normalisation

- Redundant data
 - Can be determined from other data in the database
 - Leads to various problems
 - INSERT Anomalies
 - UPDATE Anomalies
 - DELETE Anomalies
- Normalisation
 - Aims to reduce data redundancy
 - Redundancy is found/expressed in functional dependencies
 - Normal forms are defined so that they don't contain specific types of functional dependency

3

Functional Dependencies

- Redundancy is often caused by a functional dependency
- A functional dependency (FD) is a link between two sets of attributes in a relation
- We can normalise a relation by removing undesirable FDs
- A set of attributes, A, **functionally determines (fd)** another set, B, if whenever two rows of the relation have the same values for all the attributes in A, then they also have the same values for all the attributes in B.
- In this case, we can say there exists a **functional dependency** between A and B ($A \rightarrow B$).

4

Functional Dependencies

A	B
a1	b1
a2	b2

$A \rightarrow B$

If $a1 = a2$, then
 $b1 = b2$

- A set of attributes, A, **functionally determines (fd)** another set, B, if whenever two rows of the relation have the same values for all the attributes in A, then they also have the same values for all the attributes in B.
- In this case, we can say there exists a **functional dependency** between A and B ($A \rightarrow B$).

5

Properties of FDs

In any relation, the primary key fd any set of attributes in that relation

$$K \rightarrow X$$

- K is the primary key, X is a set of attributes
- Same for candidate keys

K	X
k1	x1
k2	x2

Proof:

Assume the opposite. Then there is pair of rows such that:
 $k1 = k2$ and $x1 \neq x2$

6

Properties of FDs

In any relation, the primary key fd any set of attributes in that relation

$$K \rightarrow X$$

- K is the primary key, X is a set of attributes
- Same for candidate keys

K	X
k1	x1
k2	x2

Proof:

Assume the opposite. Then there is pair of rows such that:
 $k1 = k2$ and $x1 \neq x2$

This implies that the 2 rows are different and that for these rows $k1 = k2$. This violates **uniqueness property** for primary key

7

Properties of FDs

In any relation, any set of attributes is FD on itself

$$X \rightarrow X$$

X	X
x1	x1
x2	x2

Proof:

Obviously, if $x1 = x2$ from left side, then $x1 = x2$ from the right side

8

Properties of FDs

Rules for FDs

- Reflexivity: If B is a subset of A then

$$A \rightarrow B$$

A1	A2	A3	A4	A1	A3
a1,1	a2,1	a3,1	a4,1	a1,1	a3,1
a1,2	a2,2	a3,2	a4,2	a1,2	a3,2

Proof:

Without loss of generality assume $A = \{A1, A2, A3, A4\}$ and $B = \{A1, A3\}$

Obviously, if the 2 rows of A are equal, then

$a1,1 = a1,2$ and $a2,1 = a2,2$ and $a3,1 = a3,2$ and $a4,1 = a4,2$ which implies that the 2 rows of B are also equal

9

Properties of FDs

Rules for FDs

- Augmentation: If $A \rightarrow B$ then

$$A \cup C \rightarrow B \cup C$$

A	C	B	C
a1	c1	b1	c1
a2	c2	b2	c2

Proof:

It is given that:

$A \rightarrow B$: if $a1 = a2$ then $b1 = b2$

$C \rightarrow C$: if $c1 = c2$ then $c1 = c2$

So if $a1 = a2$ and $c1 = c2$, then $b1 = b2$ and $c1 = c2$

i.e. $A \cup C \rightarrow B \cup C$

10

Properties of FDs

Rules for FDs

- Transitivity: If $A \rightarrow B$ and $B \rightarrow C$ then

$$A \rightarrow C$$

A	B	C
a1	b1	c1
a2	b2	c2

Proof:

It is given that $A \rightarrow B$ so if $a1 = a2$ then $b1 = b2$

but because $B \rightarrow C$ if $b1 = b2$ then $c1 = c2$

So $a1 = a2$ implies $c1 = c2$

i.e. $A \rightarrow C$

11

Summary of Properties of FDs

- In any relation

- The primary key fd any set of attributes in that relation

$$K \rightarrow X$$

- K is the primary key, X is a set of attributes

- Same for candidate keys

- Any set of attributes is FD on itself

$$X \rightarrow X$$

- Rules for FDs

- Reflexivity: If B is a subset of A then

$$A \rightarrow B$$

- Augmentation: If $A \rightarrow B$ then

$$A \cup C \rightarrow B \cup C$$

- Transitivity: If $A \rightarrow B$ and $B \rightarrow C$ then

$$A \rightarrow C$$

12