

## Recap: Ch 8-10

### Limits of Computation

- “To compute”: How can we examine computability of some problem/language? What exactly is an algorithm? Undecidability?
- We need a formal definition of algorithm and of computation in general

### Turing Machines

Abstract computing device

- Simple, yet as powerful as any computer
- Made computation easy to describe

### Church-Turing Hypothesis

Informally: This model captures anything we can compute.

### The TM

- Infinite tape cells containing tape symbols
- Read/write head that can move left or right
- Finite state control

Formally, a TM  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_F)$

Transitions  $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$

Acceptance:  $w$  is accepted by TM  $M$  if  $M$ , when started with  $w$  on the tape, eventually enters a final state.

### How to Design One

- Take informal description
- Break it down into components
- Describe high-level idea (how its components can be made)
- Build it precisely together with proof

### Instantaneous Descriptions, or Configuration of a TM

With  $x, y \in \Gamma^*$  and  $q \in Q$ :

If  $\delta(q, a) = (p, b, L)$  then  $xraq\gamma y \vdash xq\gamma by$  or  $xqay \vdash qxb y$

If  $\delta(q, a) = (p, b, R)$  then for any  $r : xraqay \vdash xrbpy$

## Language Accepted by TM M

$$L(M) = \{w \in \Sigma^* \mid q_0 w \vdash^* \alpha q_F \beta \text{ where } \alpha, \beta \in \Gamma^*\}$$

## Recursively Enumerable Languages

TM is called the recursively enumerable languages

### Making/Designing TMs Easier

- Storage in the FSC
- Multiple tracks
- Multiple tapes (can simulate with single tape/single head)
- One head, array under the head (not independent)
- Input transformation

**Note:** They all can convert back to the basic TM

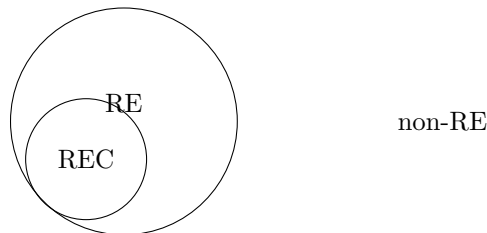
### Classes of Languages/Problems

1. **Recursive languages:** Class of languages accepted by TMs that always halt - TM that always halt  $\rightarrow$  algorithm
  - decidable problems  $\xrightarrow{\text{yes/no}}$  (halt)
2. **Not:** problems/languages that are not RE/enumerable are called undecidable  $\rightarrow$  they don't have algorithms
3. **Recursively enumerable languages:** class of languages accepted by TMs (that may not halt)  $\rightarrow$  procedure
  - more  $\xrightarrow{\text{yes}}$  (halt)
4. **Non-RE languages:** languages/problems for which there is no TM
  - implies  $\rightarrow ?$

**Note:** Closure properties (see Folk or other primary text)

### Showing Languages to be Undecidable or Non-RE

Use the UTM and via diagonalization, for which we first need a suitable encoding of a TM by means of strings.



## Diagonalization Language (for showing non-RE)

Let  $\overline{L_d}$  be the set of strings  $w$  such that  $w$  is a TM and  $w \notin L(M_w)$ . To show that  $\overline{L_d}$  is non-RE:

- When  $w$  is a string (i.e., encoded TM) that does not accept itself
- Let  $D$  be a characteristic vector of  $L(M_i)$ , specifying which strings belong to  $L(M_i)$
- Let  $D_i = \{M_i | M_i \notin L(M_i)\}$
- Then proving by contradiction:  $\overline{L_d}$  is non-RE (i.e., there is no TM that accepts  $\overline{L_d}$ )

## Universal TM

$U$  is a RE but not recursive language, i.e.:

- UTM takes over the task for some TM  $M$  by having string  $w$  and accepts iff  $M$  accepts  $w$
- UTM's input:  $\langle E(M), w \rangle$                       UTM accepts iff  $M$  accepts  $w$
- Is simulated by  $M$  on  $w$                       So,  $L(U) = \{(M, w) | w \in L(M)\}$  accepts iff
- (undecidability of  $L_u$ )

## Halting Problem

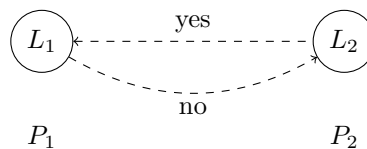
Set of pairs  $(M, w)$  s.t.  $w \in H(M)$ , with:

- $H(M)$  is the set of inputs  $w$  s.t.  $M$  halts eventually
- Regardless of whether or not  $M$  accepts  $w$                       is RE but not rec.

## Reductions

$L_1$  reduces to  $L_2$  (denoted  $L_1 \leq L_2$ ) if there exists a function  $R$  (called the "reduction" from  $L_1$  to  $L_2$ ) such that:

1.  $R$  is computable by some TM  $M_R$  that takes as input a string  $x$  (an instance of  $L_1$ ) and halts with a string  $R(x)$  (an instance of  $L_2$ ) on its tape.
2.  $M_R$  is an algorithm
3.  $x \in L_1 \Leftrightarrow R(x) \in L_2$



## Notes on Reductions

- For showing (un)decidability, we don't care how long the reduction takes, but when we work at tractability/intractability (P/NP etc.), we put a bound on  $T_R$  to show the reduction has to take in polynomial time or less, denoted as  $\leq_p$
- You'll have to understand and be able to explain why configurations at intermediate steps (including those for time bounds) are reasonable

## Typical Approach

If you want to prove whether a problem  $P_1$  (new choice for the complexity class) of  $P_2$ , then take a problem  $P_1$  with known class, devise the algorithm  $R$  and compute time  $O(T_R)$  to demonstrate that  $P_1$  is also element of  $P_2$ . (recall also 'lower bound' and 'upper bound' notions)

## Rice's Theorem

Every non-trivial property of RE-languages is undecidable.

## Tractable Problems

Complexity theory considers tractable all problems with polynomial time algorithms ( $T(n) = O(n^k)$ )

- $P = \{L | L = L(M) \text{ for some poly-time DTM } M\}$
- $NP = \{L | L = L(M) \text{ for some poly-time NDTM } M\}$

## Running Time (or Time Complexity) of a TM

A TM has time complexity  $T(n)$  if it halts in at most  $T(n)$  steps (accepting or not) for all inputs  $n$  of length  $n$ .

## Examples of Problems

### In P (tractable)

- Is a given vertex  $v$  in a graph  $G$  reachable from vertex  $s$ ?
- Path from node  $s$  to  $y$  in graph  $G$ ?

### In NP (less tractable)

- Traveling salesman problem
- Clique
- Knapsack

To establish something is in NP:

1. Guess some solution
2. Verify that it is a correct solution

## NP-Hardness

Problem  $Y$  is NP-hard if  $\forall x \in NP$  we have  $x \leq_p Y$

## NP-Completeness

$Y$  is NP-complete if:

1.  $Y$  is NP-hard
2.  $Y \in NP$

(NP-completeness is a strong evidence of intractability)

## co-NP

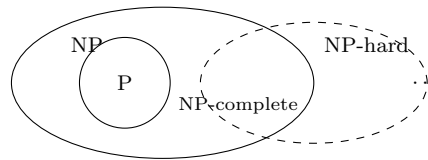
A problem whose complement is in NP

(Note:  $P$  is closed under complementation) e.g., UNSAT

$\text{co-NP} = \{\bar{L} \mid L \in NP\}$

## Complexity Class Relationships

Assuming  $P \neq NP$ , we obtain the following figure:



## Additional Notes on Completeness

For completing "completeness" as we have seen with NP, we can apply the same idea to other complexity classes.

e.g., EXPTIME-complete (is EXPTIME-hard and in EXPTIME)

## Bounded TMs

Time-bounded

space-bounded (i.e., limited amount of tape)

e.g.,  $PSPACE = \{L \mid L(M) \text{ for some DTM } M \text{ that uses at most space that is polynomial in its input}\}$

Examples: QSAT, equivalence of CFGs, minimization of DFAs

## Relationships Between Classes

$EXPTIME \subseteq NEXPTIME \subseteq EXPSPACE \subseteq NEXPSPACE \subseteq \dots \subseteq EXPTIME$

Non-trivial problems in these classes are logic related,

e.g., class satisfiability in ER and UML class diagrams