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# Operating System Concepts

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## \* **Introduction:**

- Why there is need of an OS?
- What is an OS?
- Functions of an OS
- System Calls



## \* **UNIX System Architecture Design**

- Major subsystem of an UNIX system: File subsystem & Process Control subsystem.
- System Calls & its categories
- Dual Mode Operation

## \* **Process Management**

- What is Process & PCB?
- States of the process
- CPU scheduling & CPU scheduling algorithms
- Inter Process Communication: Shared Memory Model & Message Passing Model



## \* **Process Management**

- Process Synchronization/Co-ordination
- Deadlocks & deadlock handling methods

## \* **Memory Management**

- Swapping
- Memory Allocation Methods
- Internal Fragmentation & External Fragmentation
- Segmentation
- Paging
- Virtual Memory Management



## \* **File Management**

- What is file?
- What is filesystem & filesystem structure?
- Disk space allocation methods
- Disk scheduling algorithms

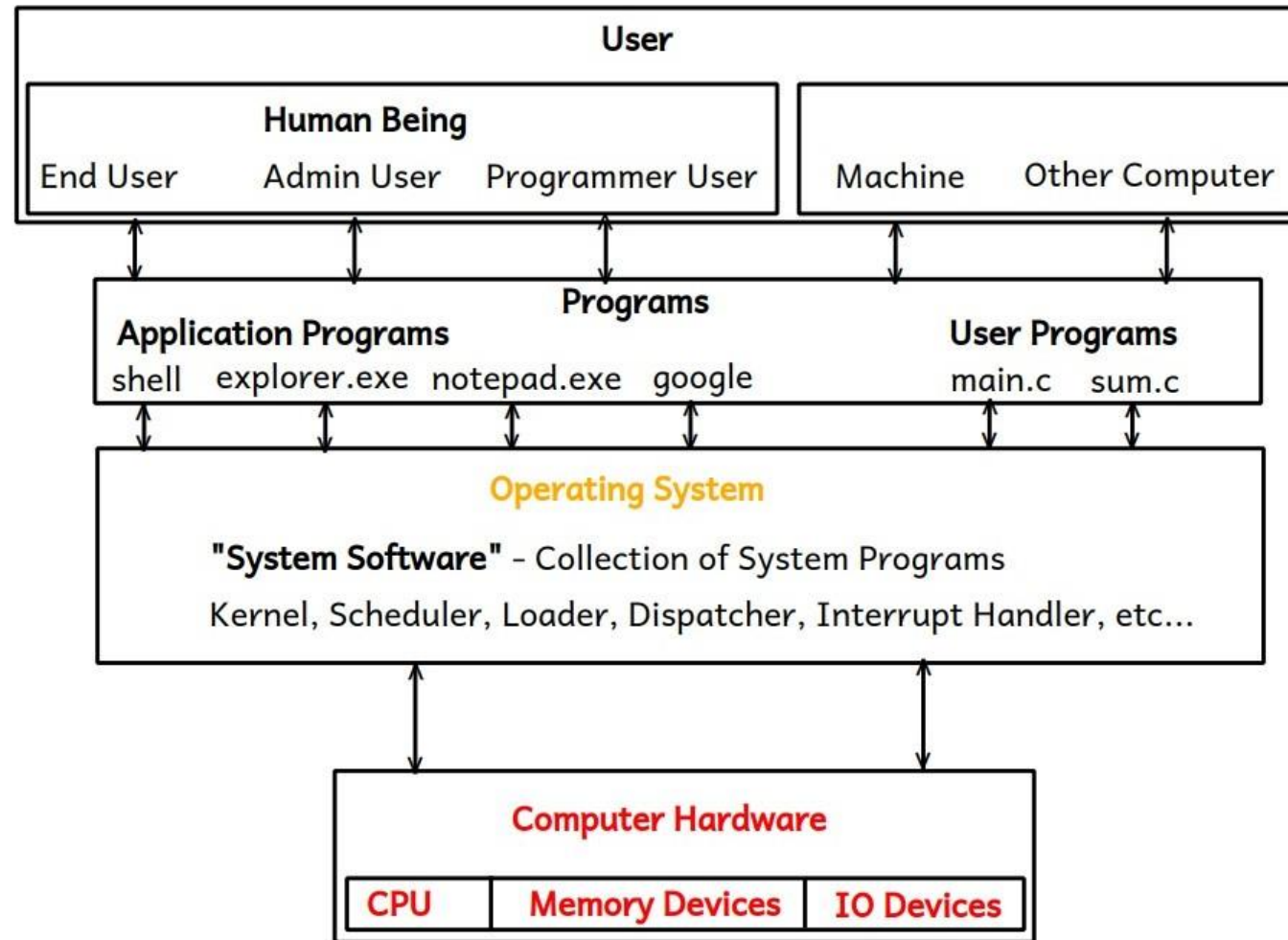


## **Q. Why there is a need of an OS?**

- Computer is a machine/hardware does different tasks efficiently & accurately.
- Basic functions of computer:
  1. Data Storage
  2. Data Processing
  3. Data Movement
  4. Control
- As any user cannot communicates/interacts directly with computer hardware to do different tasks, and hence there is need of some interface between user and hardware.



# Operating System



# Types of Programs

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## Q. What is a Software?

- Software is a collection of programs.

## Q. What is a Program?

- Program is a finite set of instructions written in any programming language (either low level or high level programming language) given to the machine to do specific task.

- Three types of programs are there:

1. **"user programs"**: programs defined by the programmer user/developers

e.g. main.c, hello.java, addition.cpp etc....

2. **"application programs"**: programs which comes with an OS/can be installed later

e.g. MS Office, Notepad, Compiler, IDE's, Google Chrome, Mozilla Firefox, Calculator, Games etc....

3. **"System Programs"**: programs which are inbuilt in an OS/part of an OS.

e.g. Kernel, Loader, Scheduler, Memory Manager etc...





## Q. What is an Operating System?

- An OS is a **system software** (i.e. collection of system programs) which acts as an interface between user and hardware.
- An OS also acts as an interface between programs and hardware.
- An OS allocates resources like main memory, CPU time, i/o devices access etc... to all running programs, hence it is also called as a **resource allocator**.
- An OS controls an execution of all programs and it also controls hardware devices which are connected to the computer system and hence it is also called as a **control program**.



## Q. What is an Operating System?

- An OS manages limited available resources among all running programs, hence it is also called as a **resource manager**.
- From End User: An OS is a software (i.e. collection of programs) comes either in CD/DVD, has following main components:

**1. Kernel:** It is a core program/part of an OS which runs continuously into the main memory does basic minimal functionalities of it.

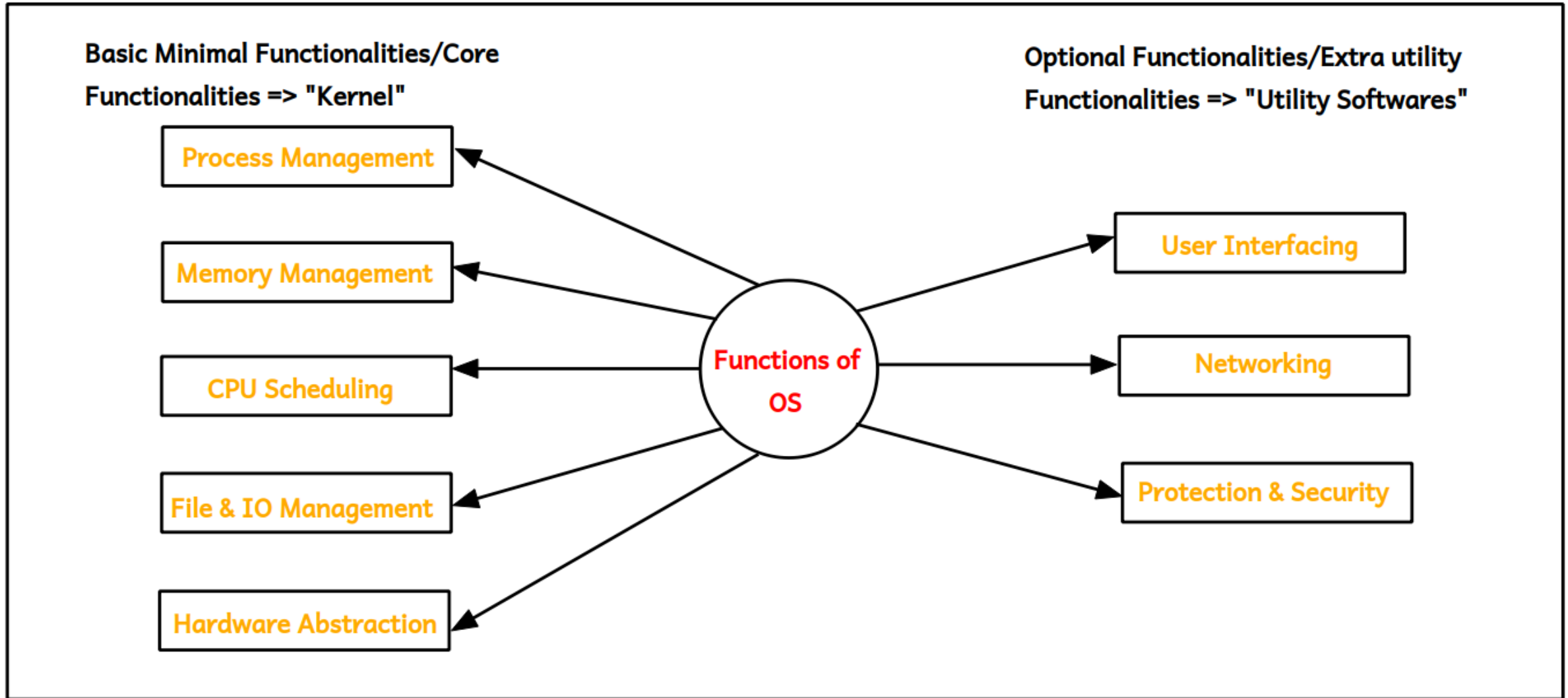
e.g. Linux: vmlinuz, Windows: ntoskrnl.exe

**2. Utility Softwares:** e.g. disk manager, windows firewall, anti-virus software etc...

**3. Application Softwares:** e.g. google chrome, shell, notepad, msoffice etc...



# Functions of Operating System



# Interaction with an OS : Two Types of Interface (CUI and GUI)

## 1. CUI/CLI : Command User Interface/Command Line Interface

- by using this kind of interface user can interact with an OS by means entering commands onto the terminal/command line in a text format.

e.g. In Windows name of the program which provides CUI => cmd.exe command prompt

In Linux name of an application program which provides CUI => shell/terminal

In MSDOS name of the program which provides CUI => command.com (Microsoft Disk Operating System).

## 2. GUI : Graphical User Interface

- by using this kind of interface user can interact with an OS by means making an event like click on buttons, left click/right click/double click, menu bar, menu list etc.....

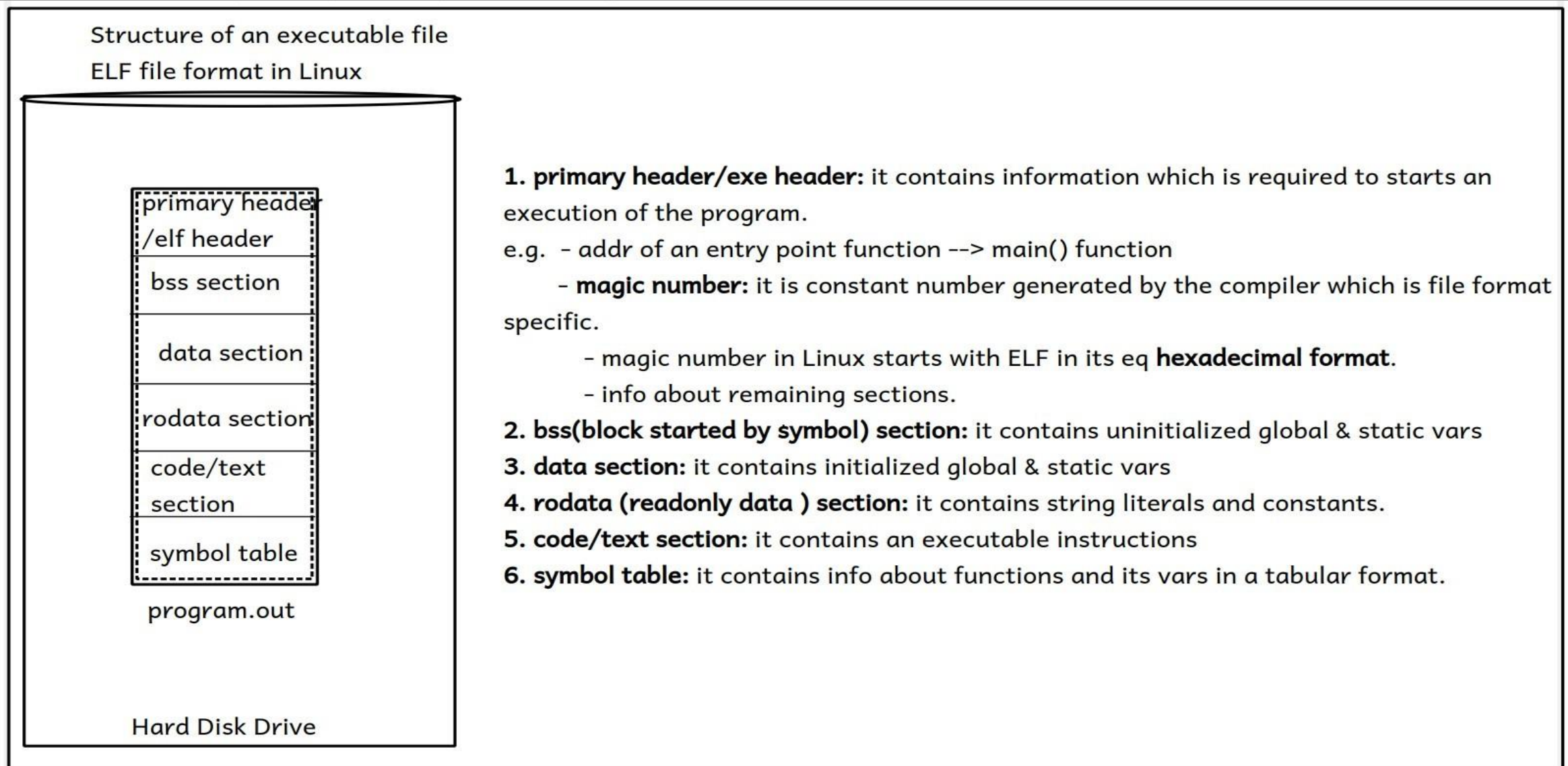
- Windows = User friendly GUI.

e.g. In Windows name of an application program which provides GUI => explorer.exe

In Linux name of an application program which provides GUI => GNOME/KDE (GNU Network Object Model Environment / Common Desktop Environment).



# Operating System Concepts



# File Format

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- file format of an executable file in Windows is PE (Portable Executable), whereas file format of an executable file in Linux is **ELF (Executable & Linkable Format)**.
- file format is a specific way to store data & instructions of a program inside an executable file, and it is different in diff OS.
- in Linux file format of an executable file is ELF:
- ELF file format divides an executable file logically into sections and inside each section specific contents can be kept in an organized manner:
  1. elf header
  2. bss section (block started by symbol)
  3. data section
  4. rodata (read only data )section
  5. code/text section
  6. symbol table



# Unix Operating System

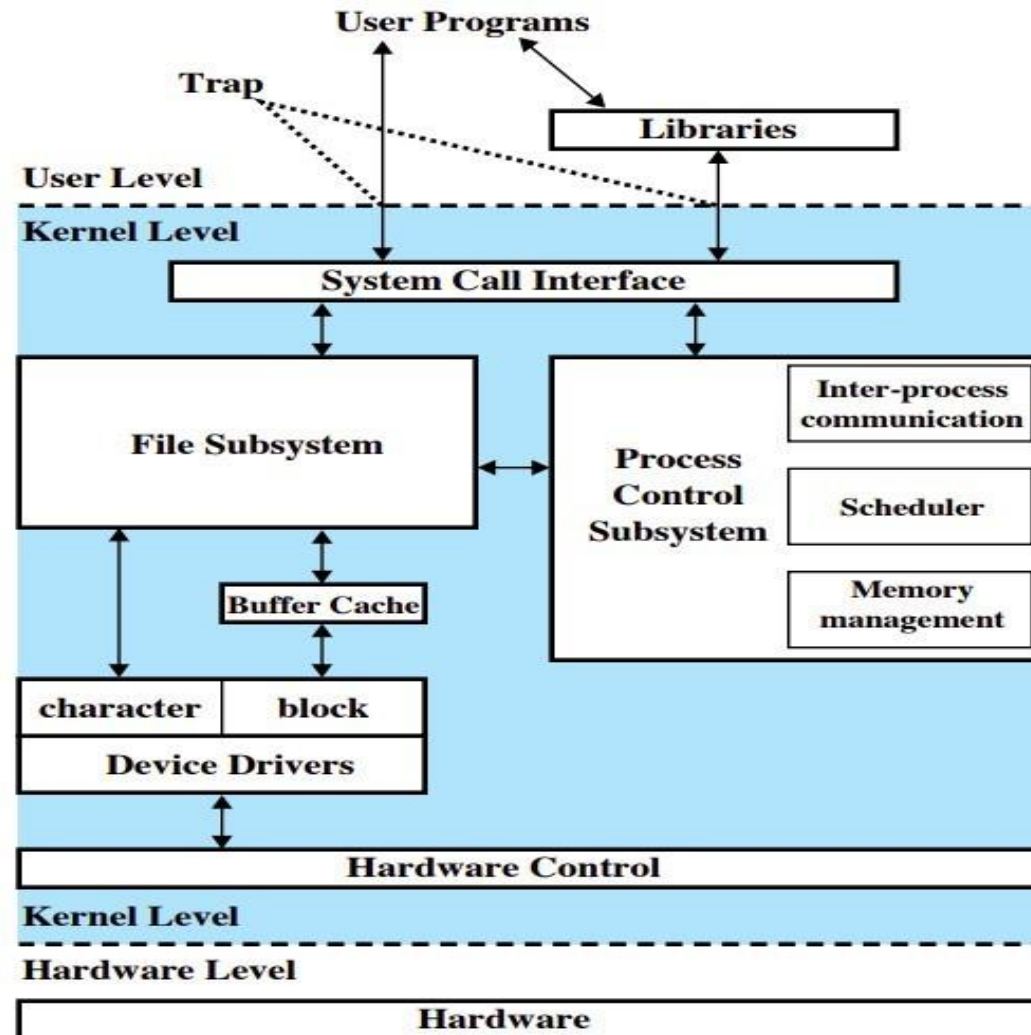
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## # **UNIX Operating System:**

- **UNIX: UNICS – Uniplexed Information & Computing Services/System.**
- UNIX was developed at **AT&T Bell Labs** in US, in the decade of 1970's by **Ken Thompson, Denies Ritchie** and team.
- It was first run on a machine **DEC-PDP-7** (Digital Equipment Corporation – Programmable Data Processing-7).
- UNIX is the first **multi-user, multi-programming & multi-tasking** operating system.
- UNIX was specially designed for developers by developers
- System architecture design of UNIX is followed by all modern OS's like Windows, Linux, MAC OS X, Android etc..., and hence UNIX is referred as **mother of all modern operating systems.**



# OS Architecture





# Operating System Architecture

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- Kernel acts as an interface between programs and hardware.
- Operating System has subsystems like **System Call Interface Block, File Subsystem Block, Process Control Subsystem Block (which contains IPC, Memory Management & CPU Scheduling), Device Driver, Hardware Control/Hardware Abstraction Layer.**
- There are two major subsystems:
  1. Process Control Subsystem
  2. File Subsystem
- In UNIX, whatever is that can be stored is considered as a file and whatever is active is referred as a process.
- **File has space & Process has life.**



# Operating System Architecture

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- From UNIX point of view all devices are considered as a file
- In UNIX, devices are categorised into two categories:

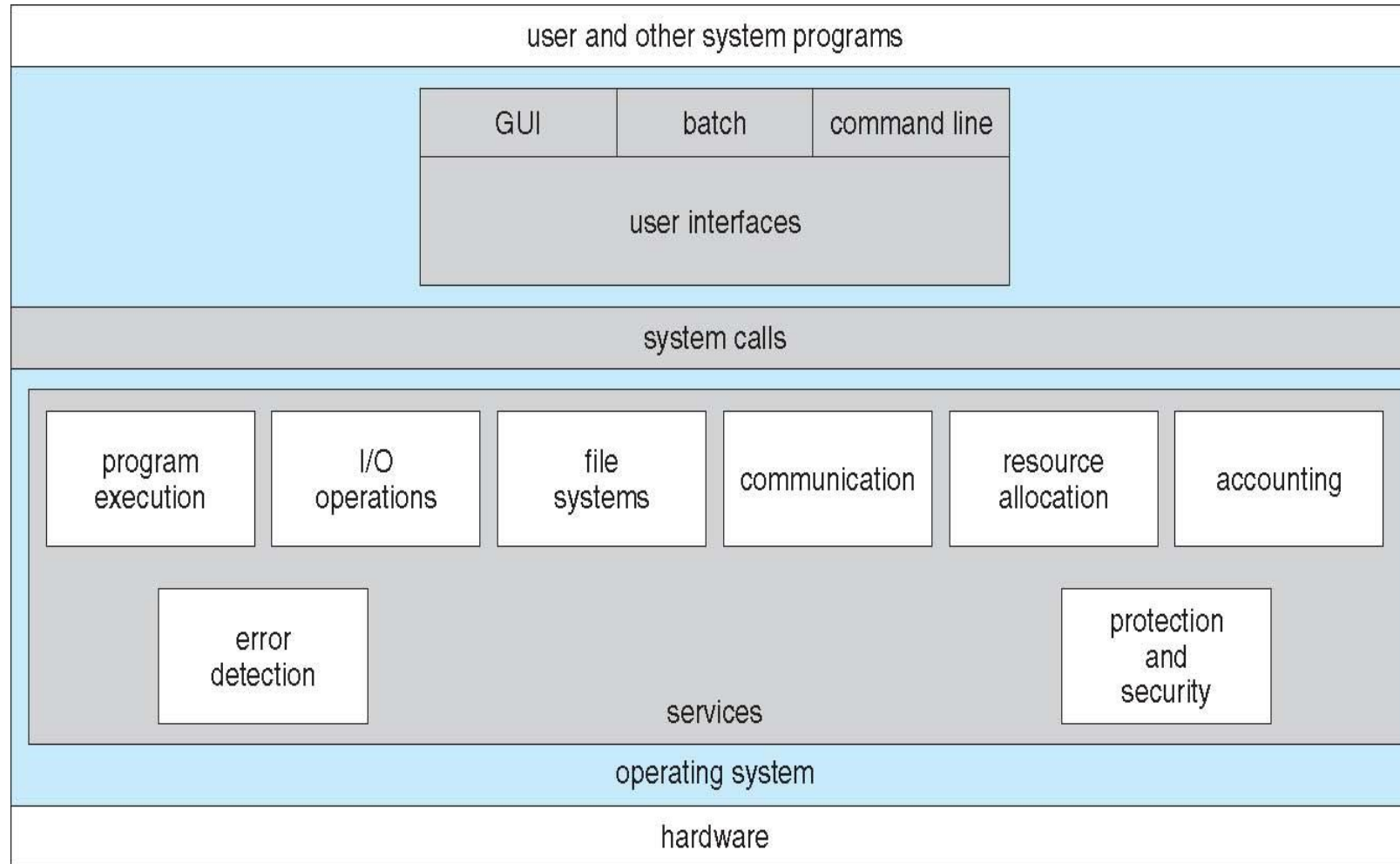
**1. Character Devices:** Devices from which data gets transferred character by character --> character special device file  
e.g. keyboard, mouse, printer, monitor etc...

**2. Block Devices:** Devices from which data gets transferred block by block --> block special device file  
e.g. all storage devices.

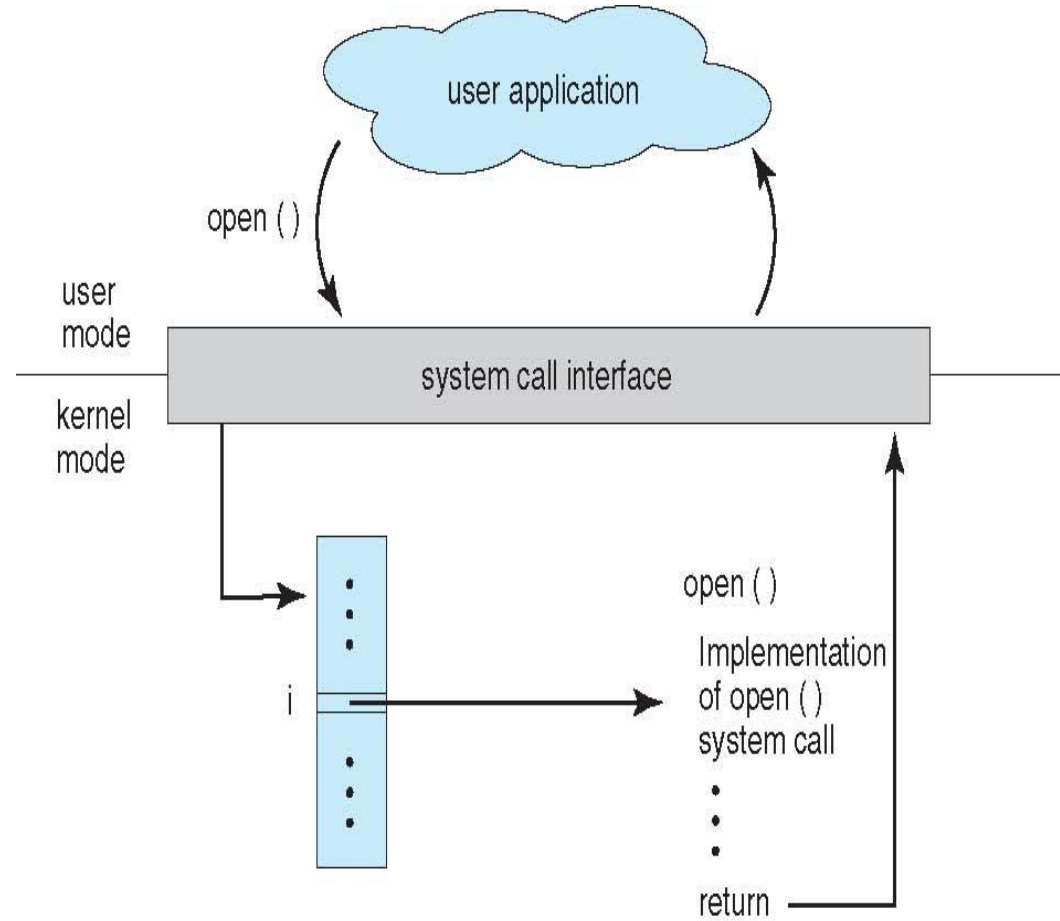
**- Device Driver:** It is a program/set of programs enable one or more hardware devices to communicate with the computer's operating system.



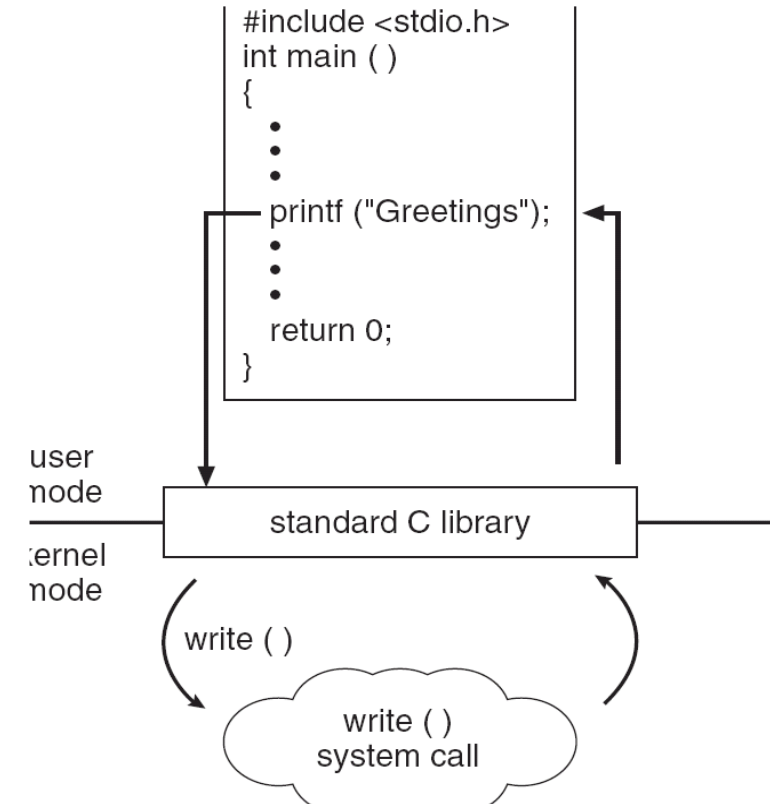
# View of OS Services



# System Call and OS Relationship



## C Example



# System Call Categories

## Process control

(fork(),exit(),wait())

- load, execute, end, abort
- create process, terminate process
- get and set process
- allocate and free memory

## File management

Read(),write()

- create file, delete file, open, close file
- read, write

## Device management

Read(),write()

- request device, release device
- read, write
- get device attributes, set device attributes

## Communications

Pipe(),shmget()

- send, receive messages
- transfer status information

## Protection and Security

Chmod(),chown()

- Grant permissions
- Change ownership

## Information maintenance

Getpid(),sleep()

- get time or date, set time or date
- get system data, set system data



# System Calls

- Hardware Control Layer/Block does communication with control logic block i.e. controller of a hardware.

**# System Calls:** are the functions defined in a C, C++ & Assembly languages, which provides interface of services made available by the kernel for the user (programmer user).

- If programmers want to use kernel services in their programs, it can be called directly through system calls or indirectly through set of library functions provided by that programming language.

- There are 6 catagories of system calls:

- 1. Process Control System Calls:** e.g. fork(), \_exit(), wait() etc...

- 2. File Operations System Calls:** e.g. open(), read(), write(), close() etc...

- 3. Device Control System Calls:** e.g. open(), read(), write(), ioctl() etc...



# System Calls

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**4. Accounting Information System Calls:** e.g. `getpid()`, `getppid()`, `stat()` etc...

**5. Protection & Security System Calls:** e.g. `chmod()`, `chown()` etc...

**6. Inter Process Communication System Calls:** e.g. `pipe()`, `signal()`, `msgget()` etc...

- In UNIX 64 system calls are there.
- In Linux more than 300 system calls are there
- In Windows more than 3000 system calls are there
- When system call gets called the CPU switched from user defined code to system defined code, and hence system calls are also called as **software interrupts/trap**.



# Dual Mode Operation

## # Dual Mode Operation:

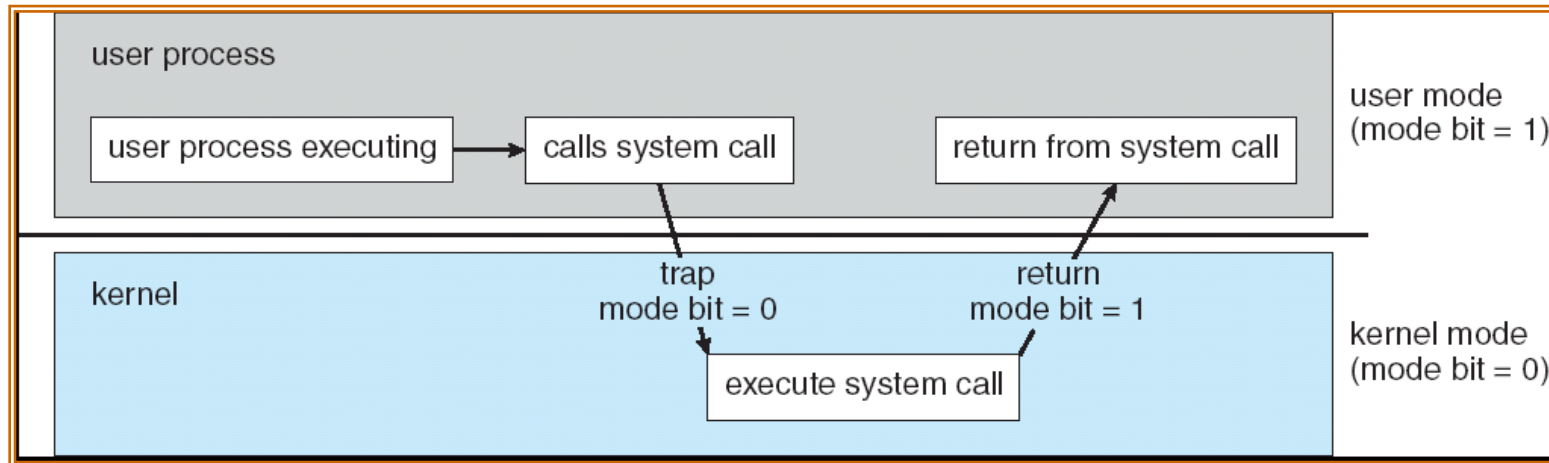
- System runs in two modes: System Mode and User Mode

### 1. System Mode:

- When the CPU executes system defined code instructions, system runs in a system mode.
- System mode is also referred as kernel mode/monitor mode/supervisor mode/ privileged mode.

### 2. User Mode:

- When the CPU executes user defined code instructions, system runs in a user mode.
- User mode is also referred as non-privileged mode.
- Throughout execution, the CPU keeps switch between kernel mode and user mode





# Dual Mode Operation

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## # Dual Mode Operation:

- Throughout an execution of any program, the CPU keeps switch in between kernel mode and user mode and hence system runs in two modes, it is referred as **dual mode operation**.
- To differentiate between user mode and kernel mode one bit is there onto the CPU which is maintained by an OS, called as **mode bit**, by which the CPU identifies whether currently executing instruction is of either system defined code instruction/s or user defined code instruction/s.
- In Kernel mode value of **mode bit = 0**, whereas
- In User mode **mode bit = 1**.



# Kernel space vs User space

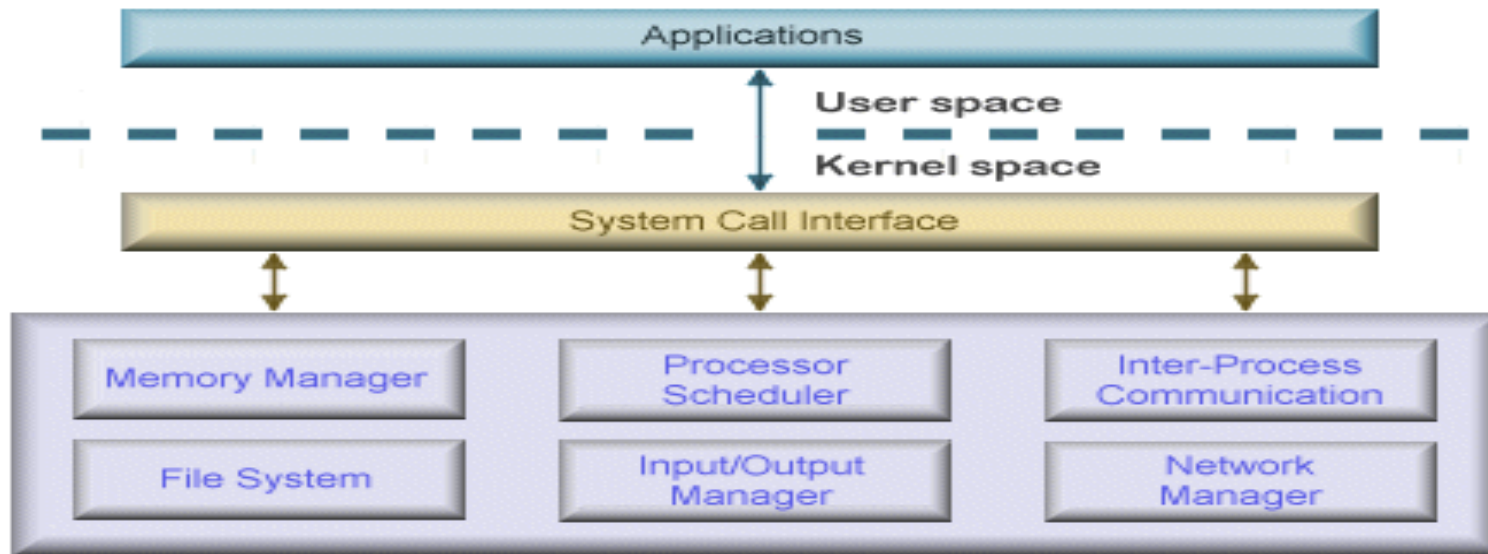
Part of the OS runs in the kernel model

known as the **OS kernel**

Other parts of the OS run in the user mode, including service programs , user applications, etc.

they run as **processes**

they form the user space (or the user land)



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# Thank you

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